

Field and Wave Electromagnetic

Chapter 10

Waveguides and Cavity Resonators

Seoul National Univ.

Introduction (1)

* Waveguide

- TEM waves are not the only mode of guided waves
- The three types of transmission lines (parallel-plate, two-wire, and coaxial) are not the only possible wave-guiding structure.
- Attenuation constant α for loss line

$$\alpha \cong \frac{1}{2} \left(R \sqrt{\frac{C}{L}} + G \sqrt{\frac{L}{C}} \right) \propto R$$

$$R = 2 \left(\frac{R_s}{w} \right) = \frac{2}{w} \sqrt{\frac{\pi f \mu_c}{\sigma_c}} \propto \sqrt{f}$$

\therefore Attenuation of TEM waves tends to increase monotonically with frequency \Rightarrow prohibitively high in the microwave range.

Introduction (2)

- TEM waves : $E_z = H_z = 0$
- TM waves : transverse magnetic waves ($H_z = 0, E_z \neq 0$)
- TE waves : transverse electric (TE) waves
- single conductor wave guide
: rectangular and cylindrical wave guide.
- dielectric-slab waveguide : surface waves

General Wave Behaviors along Uniform Guiding Structures (1)

- * General wave behaviors along uniform guiding structures
 - straight guiding structures with a uniform cross section.

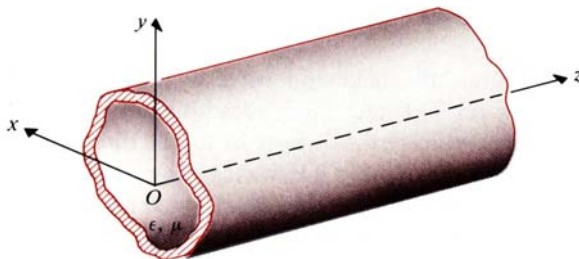


FIGURE 10-1
A uniform waveguide with an arbitrary cross section.

- Assume that the waves propagate in the +z direction with a propagation constant $\underline{\underline{\gamma = \alpha + j\beta}}$
- For harmonic time dependence on z and t
for all field components : $e^{-\gamma z} e^{j\omega t} = e^{(j\omega t - \gamma z)} = e^{-\alpha z} e^{j(\omega t - \beta z)}$

General Wave Behaviors along Uniform Guiding Structures (2)

- For a cosine reference

$$\bar{E}(x, y, z; t) = \Re[\bar{E}^0(x, y)e^{(j\omega t - \gamma z)}]$$

where $\bar{E}^0(x, y)$: two-dimensional vector phasor

- $\frac{\partial}{\partial t} \rightarrow j\omega$, $\frac{\partial}{\partial z} \rightarrow -\gamma$, in using a phasor representation

- In the charge-free dielectric region inside, Helmholtz's equations should be satisfied

$$\nabla^2 \bar{E} + k^2 \bar{E} = 0$$

$$\nabla^2 \bar{H} + k^2 \bar{H} = 0, \quad \text{where } k = \omega\sqrt{\mu\epsilon}$$

- In Cartesian coordinates, rectangular wave guide

$$\nabla^2 \bar{E} = (\nabla_{xy}^2 + \nabla_z^2) \bar{E} = (\nabla_{xy}^2 + \frac{\partial^2}{\partial z^2}) \bar{E} = \nabla_{xy}^2 \bar{E} + \gamma^2 \bar{E}$$

General Wave Behaviors along Uniform Guiding Structures (3)

$$\therefore \nabla_{xy}^2 \bar{E} + (\gamma^2 + k^2) \bar{E} = 0$$

$$\nabla_{xy}^2 \bar{H} + (\gamma^2 + k^2) \bar{H} = 0$$

$$\text{cf) } \nabla_{xy}^2 \bar{E} + (\gamma^2 + k^2) \bar{E} = 0$$

$$\rightarrow \nabla_{xy}^2 (\hat{x}E_x + \hat{y}E_y + \hat{z}E_z) + (\gamma^2 + k^2)(\hat{x}E_x + \hat{y}E_y + \hat{z}E_z) = 0$$

$$\text{i.e } \nabla_{xy}^2 E_x + (\gamma^2 + k^2)E_x = 0, \quad \nabla_{xy}^2 E_y + (\gamma^2 + k^2)E_y = 0$$

$$\nabla_{xy}^2 E_z + (\gamma^2 + k^2)E_z = 0$$

The solution of above equations depends on the cross-sectional geometry and the boundary conditions

$$\text{cf) } \nabla_{r\phi}^2 \text{ instead of } \nabla_{xy}^2 \text{ for waveguides with a circular cross section}$$

General Wave Behaviors along Uniform Guiding Structures (4)

- Interrelationships among the six components in Cartesian coordinates

$$\begin{aligned} \nabla \times \bar{E} &= -j\omega\mu\bar{H} & \nabla \times \bar{H} &= j\omega\varepsilon\bar{E} \\ 1 \quad \frac{\partial E_z^0}{\partial y} + \gamma E_y^0 &= -j\omega\mu H_x^0 & 4 \quad \frac{\partial H_z^0}{\partial y} + \gamma H_y^0 &= j\omega\varepsilon E_x^0 \\ 2 \quad -\gamma E_x^0 - \frac{\partial E_z^0}{\partial x} &= -j\omega\mu H_y^0 & 5 \quad -\gamma H_x^0 - \frac{\partial H_z^0}{\partial x} &= j\omega\varepsilon E_y^0 \\ 3 \quad \frac{\partial E_y^0}{\partial x} - \frac{\partial E_x^0}{\partial y} &= -j\omega\mu H_z^0 & 6 \quad \frac{\partial H_y^0}{\partial x} - \frac{\partial H_x^0}{\partial y} &= j\omega\varepsilon E_z^0 \end{aligned}$$

$$\text{cf) } \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ E_x & E_y & E_z \end{vmatrix} = -j\omega\mu\bar{H} \quad \begin{vmatrix} \left(\frac{\partial E_z}{\partial y} - \frac{\partial E_y}{\partial z}\right) \\ \left(\frac{\partial E_x}{\partial z} - \frac{\partial E_z}{\partial x}\right) \\ \left(\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y}\right) \end{vmatrix} = \begin{pmatrix} -j\omega\mu H_x \\ -j\omega\mu H_y \\ -j\omega\mu H_z \end{pmatrix}$$

where $\frac{\partial}{\partial z} \rightarrow -\gamma$ and $e^{-\gamma z}$ is suppressed

General Wave Behaviors along Uniform Guiding Structures (5)

- Transverse component can be expressed in terms of longitudinal components.

Ex) Combining 1 and 5

$$\begin{aligned} 1 : \quad \frac{\partial E_z^0}{\partial y} + \gamma E_y^0 &= -j\omega\mu H_x^0 \\ 5 : \quad -\gamma H_x^0 - \frac{\partial H_z^0}{\partial x} &= j\omega\varepsilon E_y^0 \end{aligned}$$

eliminating E_y^0 from 1,5

$$\begin{aligned} 1' : \quad j\omega\varepsilon \frac{\partial E_z^0}{\partial y} + \gamma j\omega\varepsilon E_y^0 &= \omega^2 \mu\varepsilon H_x^0 \\ 5' : \quad -\gamma^2 H_x^0 - \gamma \frac{\partial H_z^0}{\partial x} &= \gamma j\omega\varepsilon E_y^0 \\ (k^2 + \gamma^2) H_x^0 &= j\omega\varepsilon \frac{\partial E_z^0}{\partial y} - \gamma \frac{\partial H_z^0}{\partial x} \end{aligned}$$

$$\therefore H_x^0 = -\frac{1}{h^2} \left(-j\omega\varepsilon \frac{\partial E_z^0}{\partial y} + \gamma \frac{\partial H_z^0}{\partial x} \right) \quad \text{where } h^2 = k^2 + \gamma^2$$

General Wave Behaviors along Uniform Guiding Structures (6)

- i.e

$$H_x^0 = -\frac{1}{h^2} \left(-j\omega\epsilon \frac{\partial E_z^0}{\partial y} + \gamma \frac{\partial H_z^0}{\partial x} \right)$$

$$H_y^0 = -\frac{1}{h^2} \left(+j\omega\epsilon \frac{\partial E_z^0}{\partial x} + \gamma \frac{\partial H_z^0}{\partial y} \right)$$

$$E_x^0 = -\frac{1}{h^2} \left(j\omega\mu \frac{\partial H_z^0}{\partial y} + \gamma \frac{\partial E_z^0}{\partial x} \right)$$

$$E_y^0 = -\frac{1}{h^2} \left(-j\omega\mu \frac{\partial H_z^0}{\partial x} + \gamma \frac{\partial E_z^0}{\partial y} \right) \quad \text{where } h^2 = k^2 + \gamma^2$$

note First, solve $\nabla_{xy}^2 \bar{E} + h^2 \bar{E} = 0$

and $\nabla_{xy}^2 \bar{H} + h^2 \bar{H} = 0$ for longitudinal components

then find H_x, H_y, E_x, E_y using above equation

TEM Waves (1)

* TEM wave

- $E_z = 0, H_z = 0$ for TEM waves

$\therefore E_x = E_y = H_x = H_y = 0$ unless $h^2 = 0$

- TEM waves exist only

where $\gamma_{TEM}^2 + k^2 = 0$

or $\gamma_{TEM}^2 = -k^2 = -j\omega\sqrt{\mu\epsilon}$: propagation constant of a uniform plane wave on a lossless transmission line.

- Phase velocity $\mu_{p(TEM)} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\epsilon}}$

TEM Waves (2)

$$\begin{aligned}
 \text{- Wave impedance } Z_{TEM} &= \frac{E_x^0}{H_y^0} = \frac{j\omega\mu}{\gamma_{TEM}} = \frac{\gamma_{TEM}}{j\omega\varepsilon} \quad \text{from 2,4} \\
 &= \sqrt{\frac{\mu}{\varepsilon}} = \eta
 \end{aligned}$$

note Z_{TEM} is the same as the intrinsic impedance of the dielectric medium

$$\begin{aligned}
 Z_{TEM} &= -\frac{E_y^0}{H_x^0} = -\sqrt{\frac{\mu}{\varepsilon}} \\
 \therefore \boxed{\bar{H} = \frac{1}{Z_{TEM}} \hat{z} \times \bar{E}}
 \end{aligned}$$

TEM Waves (3)

* Single-conductor waveguides cannot support TEM waves.

Why?

1. \bar{B} lines always close upon themselves
2. For TEM waves to exist, \bar{B} and \bar{H} lines would form closed loops in a transverse plane.
3. By the Ampere's circuital law.

$$\oint \bar{H} \cdot d\bar{l} = I_c + I_d \quad \text{transverse plane}$$

$$I_c : \text{conductor current}$$

$$I_d : \text{displacement current}$$

4. Without an inner conductor

$$I_c = 0$$

TEM Waves (4)

5. For TEM wave, $E_z = 0 \rightarrow$ no longitudinal displacement current

$$\text{cf) } I_d = \int_s \frac{\partial \bar{D}}{\partial t} \cdot ds = 0 \text{ in the } z \text{ direction}$$

$$\therefore E_z = 0$$

6. Therefore there can be no closed loops of magnetic field lines in any transverse plane

7. Assuming perfect conductors, a coaxial transmission line having an inner conductor can support TEM waves

8. When the conductors have losses, no longer TEM waves

TM / TE Waves (1)

For TM waves $H_z = 0$	For TE waves $E_z = 0$
solving $\nabla_{xy}^2 E_z^0 + h^2 E_z^0 = 0$ for E_z . with proper boundary conditions $H_x^0 = \frac{j\omega\epsilon}{h^2} \frac{\partial E_z^0}{\partial y} : \textcircled{1}$ $H_y^0 = -\frac{j\omega\epsilon}{h^2} \frac{\partial E_z^0}{\partial x} : \textcircled{2}$ $E_x^0 = -\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial x} : \textcircled{3}$ $E_y^0 = -\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial y} : \textcircled{4}$	solving $\nabla_{xy}^2 H_z^0 + h^2 H_z^0 = 0$ for H_z . with proper boundary conditions $H_x^0 = -\frac{\gamma}{h^2} \frac{\partial H_z^0}{\partial x} : \textcircled{5}$ $H_y^0 = -\frac{\gamma}{h^2} \frac{\partial H_z^0}{\partial y} : \textcircled{6}$ $E_x^0 = -\frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial y} : \textcircled{7}$ $E_y^0 = \frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial x} : \textcircled{8}$

TM / TE Waves (2)

<p> $(E_T^0)_{TM} = \hat{x}E_x^0 + \hat{y}E_y^0 = -\frac{\gamma}{h^2}\nabla_T E_z^0$ E_x^0, E_y^0 are given, H_x^0, H_y^0 can be determined from the wave impedance for the TM mode from ②, ③ and ①, ④ $Z_{TM} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{\gamma}{j\omega\epsilon}$ cf) $Z_{TM} \neq \frac{j\omega\mu}{\gamma}$ $\therefore \gamma$ for TM is not equal to $j\omega\sqrt{\mu\epsilon}$, which is γ_{TEM} $\therefore \bar{H} = \frac{1}{Z_{TM}}(\hat{z} \times \bar{E})$ </p>	<p> $(H_T^0)_{TE} = \hat{x}H_x^0 + \hat{y}H_y^0 = -\frac{\gamma}{h^2}\nabla_T H_z^0$ similar way, E_x^0, E_y^0 can be obtained from H_x^0, H_y^0 from ⑥, ⑦ and ⑤, ⑧ $Z_{TE} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{j\omega\mu}{\gamma}$ cf) $Z_{TE} \neq Z_{TM} = \frac{\gamma}{j\omega\epsilon}$ $\therefore \bar{E} = -Z_{TE}(\hat{z} \times \bar{H})$ $= Z_{TE}(\bar{H} \times \hat{z})$ </p>
---	--

TM / TE Waves (3)

<p> solution of $\nabla_{xy}^2 E_z^0 + h^2 E_z^0 = 0$ for a given boundary condition are possible only for discrete values of h \Rightarrow infinity of h's \Rightarrow but solutions are not possible for all values of h \Rightarrow eigenvalues or characteristic values $h^2 = \gamma^2 + k^2$ $\gamma = \sqrt{h^2 - k^2}$ $= \sqrt{h^2 - \omega^2 \mu \epsilon}$ </p>	<p> $\nabla_{xy}^2 H_z^0 + h^2 H_z^0 = 0$ eigen values </p>
--	---

TM / TE Waves (4)

for $\gamma = 0$,

$$\omega^2 \mu \epsilon = h^2$$

$$f_c = \frac{h}{2\pi\sqrt{\mu\epsilon}} : \text{cutoff frequency}$$

cf) The value of f_c for a particular mode in a waveguide depends on the eigenvalue of this mode

$$\gamma = h \sqrt{1 - \left(\frac{f}{f_c}\right)^2}$$

$$\nabla_{xy}^2 H_z^0 + h^2 H_z^0 = 0$$

eigen values

TM / TE Waves (5)

$$\gamma = h \sqrt{1 - \left(\frac{f}{f_c}\right)^2}$$

(a) $\left(\frac{f}{f_c}\right)^2 > 1$ or $f > f_c$

in this range, $\Rightarrow \omega^2 \mu \epsilon > h^2$ and γ is imaginary

$$\begin{aligned} \gamma = j\beta &= jk \sqrt{1 - \left(\frac{h}{k}\right)^2} \\ &= jk \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \end{aligned}$$

\Rightarrow propagation mode with a phase constant β

$$\beta = k \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \quad (\text{rad/m})$$

TM / TE Waves (6)

- Guided wavelength

$$\lambda_g = \frac{2\pi}{\beta} = \frac{\lambda}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} > \lambda \quad \text{where } \lambda = \frac{2\pi}{k} = \frac{1}{f\sqrt{\mu\epsilon}} = \frac{u}{f}$$

let cutoff wavelength, $\lambda_c = \frac{u}{f_c}$

$$\text{then } \frac{1}{\lambda_g^2} = \frac{1}{\lambda^2} \left(1 - \left(\frac{f_c}{f}\right)^2\right)$$

$$\frac{1}{\lambda_g^2} = \frac{1}{\lambda^2} - \frac{f^2}{u^2} \left(\frac{f_c}{f}\right)^2 = \frac{1}{\lambda^2} - \frac{1}{\lambda_c^2}$$

$$\therefore \frac{1}{\lambda_g^2} + \frac{1}{\lambda_c^2} = \frac{1}{\lambda^2}$$

TM / TE Waves (7)

- Phase velocity

$$u_p = \frac{\omega}{\beta} = \frac{u}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} = \frac{\lambda_g}{\lambda} u > u$$

- cf) 1. Phase velocity of guided wave is faster than that of unbounded medium.
2. Phase velocity depends on frequency so that single conductor waveguides are dispersive transmission systems

TM / TE Waves (8)

- Group velocity

$$u_g = \frac{1}{d\beta/d\omega} = u \sqrt{1 - \left(\frac{f_c}{f}\right)^2} = \frac{\lambda}{\lambda_g} u < u$$

$$\therefore u_g u_p = u^2$$

$$\begin{aligned} \text{cf) } \frac{d\beta}{d\omega} &= \frac{d(k \sqrt{1 - (\frac{f_c}{f})^2})}{d\omega} = \frac{d[2\pi f \sqrt{\mu\epsilon} \sqrt{1 - (\frac{f_c}{f})^2}]}{d(2\pi f)} \\ &= \frac{d}{df} \frac{f}{u} \sqrt{1 - (\frac{f_c}{f})^2} \\ &= \frac{1}{u} \frac{1}{\sqrt{1 - (\frac{f_c}{f})^2}} \end{aligned}$$

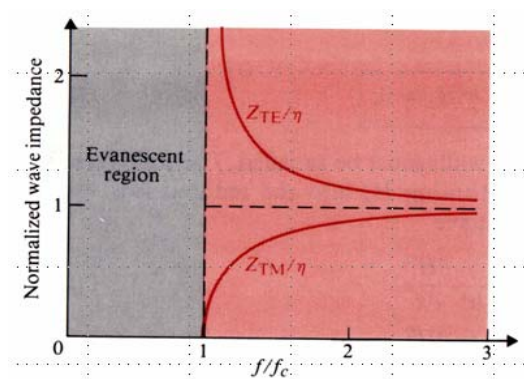
TM / TE Waves (9)

$$\begin{aligned} - Z_{TM} &= \frac{\gamma}{j\omega\epsilon} = \frac{jk \sqrt{1 - (\frac{f_c}{f})^2}}{j\omega\epsilon} \\ &= \sqrt{\frac{\mu}{\epsilon}} \sqrt{1 - (\frac{f_c}{f})^2} = \eta \sqrt{1 - (\frac{f_c}{f})^2} \end{aligned}$$

; purely resistive and less than the intrinsic impedance of the dielectric medium

$$\begin{aligned} - Z_{TE} &= \frac{j\omega\mu}{\gamma} = \frac{j\omega\mu}{jk \sqrt{1 - (\frac{f_c}{f})^2}} \\ &= \sqrt{\frac{\mu}{\epsilon}} \frac{1}{\sqrt{1 - (\frac{f_c}{f})^2}} = \frac{\eta}{\sqrt{1 - (\frac{f_c}{f})^2}} \end{aligned}$$

; purely resistive larger than the intrinsic impedance of the dielectric medium



TM / TE Waves (10)

(b) $\left(\frac{f}{f_c}\right)^2 < 1$ or $f < f_c$

$$\gamma = \alpha = h\sqrt{1 - \left(\frac{f}{f_c}\right)^2} : \text{real number}$$

$\therefore e^{-\gamma z} = e^{-\alpha z} \Rightarrow$ wave diminishes rapidly with z and is said to be evanescent

\Rightarrow waveguide : high-pass filter

$$Z_{TM} = \frac{\gamma}{j\omega\epsilon} = \frac{h\sqrt{1 - \left(\frac{f}{f_c}\right)^2}}{j\omega\epsilon} = -j\frac{h}{\omega\epsilon}\sqrt{1 - \left(\frac{f}{f_c}\right)^2}, \quad f < f_c$$

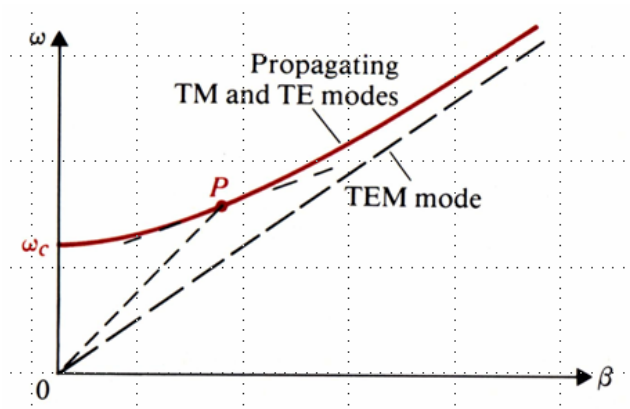
\Rightarrow purely reactive \Rightarrow no power flow associated with evanescent mode

$$Z_{TE} = \frac{j\omega\mu}{\gamma} = j\frac{\omega\mu}{h\sqrt{1 - \left(\frac{f}{f_c}\right)^2}} : \text{purely reactive. no power flow.}$$

TM / TE Waves (11)

- $\omega - \beta$ diagram

$$\beta = \frac{\omega}{u}\sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}$$



Parallel-Plate Waveguide (1)

- Parallel plate waveguide can support TM and TE waves as well as TEM waves

* TM waves between parallel plates

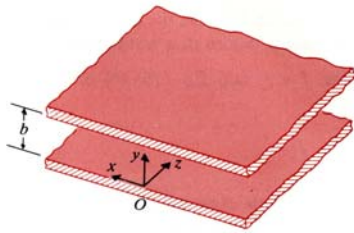


FIGURE 10-5
An infinite parallel-plate waveguide.

1. Assuming ϵ and μ
2. Infinite in extent in the x -direction
3. TM waves ($H_z = 0$)
4. $e^{(j\omega t - \gamma z)}$

Parallel-Plate Waveguide (2)

$$E_z(y, z) = E_z^0(y) e^{-\gamma z} \quad (\text{no variation along } x\text{-direction})$$

$$\frac{d^2 E_z^0(y)}{dy^2} + h^2 E_z^0(y) = 0$$

$$\text{where } h^2 = \gamma^2 + k^2$$

B.C.

$$E_z^0(y) = 0 \quad \text{at } y = 0 \text{ and } y = b$$

$$\therefore E_z^0(y) = A_n \sin\left(\frac{n\pi y}{b}\right) \quad \text{from } h = \frac{n\pi}{b}$$

where A_n depends on the strength of excitation of the particular TM wave

Parallel-Plate Waveguide (3)

$$\therefore H_x^0(y) = \frac{j\omega\epsilon}{h^2} \frac{\partial E_z^0}{\partial y} = \frac{j\omega\epsilon}{h} A_n \cos\left(\frac{n\pi y}{b}\right)$$

$$H_y^0(y) = -\frac{j\omega\epsilon}{h^2} \frac{\partial E_z^0}{\partial x} = 0$$

$$E_x^0(y) = -\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial x} = 0$$

$$E_y^0(y) = -\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial y} = -\frac{\gamma}{h} A_n \cos\left(\frac{n\pi y}{b}\right)$$

$$\gamma = \sqrt{\left(\frac{n\pi}{b}\right)^2 - \omega^2 \mu\epsilon}$$

Cutoff frequency that makes $\gamma = 0 \quad \therefore f_c = \frac{n}{2b\sqrt{\mu\epsilon}}$

Parallel-Plate Waveguide (4)

cf) $f_{c1} = \frac{1}{2b\sqrt{\mu\epsilon}}$ for TM_1 mode with $n=1$

$f_{c2} = \frac{2}{2b\sqrt{\mu\epsilon}}$ for TM_2 mode with $n=2$

cf) TM_0 mode is the TEM mode with $f_c = 0$

$$\therefore E_z = 0$$

- Dominant mode of the waveguide = the mode having the lowest cutoff frequency
- For parallel plate waveguides, the dominant mode is the TEM mode

Ex. 10-3(1)

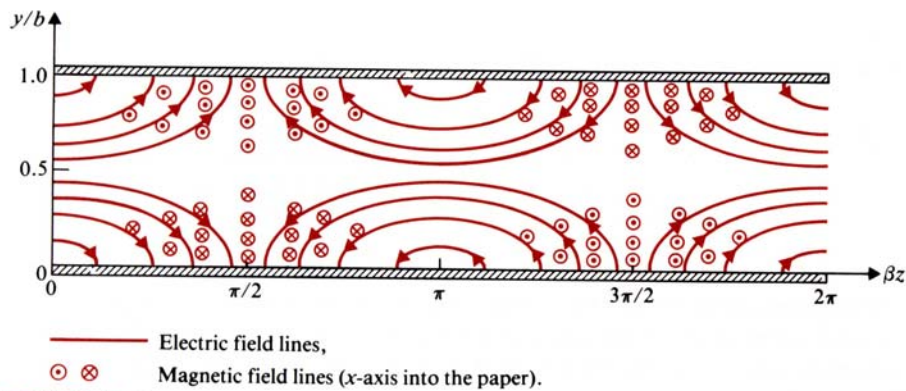


FIGURE 10-6
Field lines for TM_1 mode in parallel-plate waveguide.

c) Field line : the direction of the field in space

$$\text{i.e. } d\bar{l} = \hat{x}dx + \hat{y}dy + \hat{z}dz = k\bar{E} = k(\hat{x}E_x + \hat{y}E_y + \hat{z}E_z)$$

$$\frac{dx}{E_x} = \frac{dy}{E_y} = \frac{dz}{E_z} = k \Rightarrow \text{field line}$$

Ex. 10-3(2)

$$\therefore \text{ in the } y-z \text{ plane } \quad \frac{dy}{dz} = \frac{E_y(y, z; t=0)}{E_z(y, z; t=0)}$$

– For TM_1 mode at $t=0$,

$$H_x(y, z; 0) = \frac{\omega\epsilon b}{\pi} A_1 \cos\left(\frac{\pi y}{b}\right) \sin \beta z$$

– At $y=0$ and $y=b$

- There are surface currents because of a discontinuity in the tangential magnetic field.
- There are surface charges because of the presence of a normal electric field

Ex. 10-4 (1)

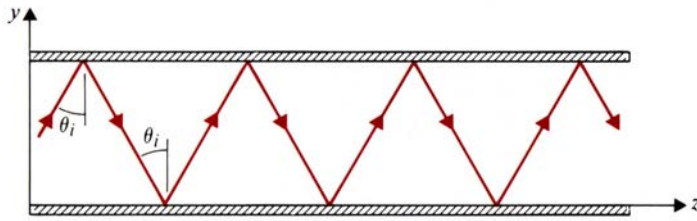


FIGURE 10-7
Propagating wave in parallel-plate waveguide as superposition of two plane waves.

(a) A propagating TM_1 wave = the superposition of two plane waves bouncing back and forth obliquely between the two conducting plates

proof>

$$E_z(y, z) = A_1 \sin\left(\frac{\pi y}{b}\right) e^{-j\beta z} = \frac{A_1}{2j} (e^{j\pi y/b} - e^{-j\pi y/b}) e^{-j\beta z}$$

$$= \frac{A_1}{2j} \left[\underbrace{e^{-j(\beta z - \pi y/b)}}_1 - \underbrace{e^{-j(\beta z + \pi y/b)}}_2 \right]$$

Ex. 10-4 (2)

1 Term : A plane wave propagating obliquely in the $+z$ and $-y$

directions with phase constants β and $\frac{\pi}{b}$

2 Term : A plane wave propagating obliquely in the $+z$ and $+y$

directions with the same phase constants

$$\bar{H} = -\hat{x}H_x$$

$$\bar{E}_i = \hat{y}E_{i0} \sin \theta_i - \hat{z}E_{i0} \cos \theta_i \quad \bar{E}_r = -\hat{y}E_{r0} \sin \theta_i - \hat{z}E_{r0} \cos \theta_i$$

$$= \hat{y}E_{i0} \sin \theta_i + \hat{z}E_{i0} \cos \theta_i$$

$$\bar{\beta}_i = \hat{y}\beta_1 \cos \theta_i + \hat{z}\beta_1 \sin \theta_i \quad \bar{\beta}_r = -\hat{y}\beta_1 \cos \theta_i + \hat{z}\beta_1 \sin \theta_i$$

Ex. 10-4 (3)

$$E_z(y, z) = E_{i0} \cos \theta_i (e^{j\beta_1 y \cos \theta_i} - e^{-j\beta_1 y \cos \theta_i}) e^{-j\beta_1 z \sin \theta_i}$$

$$\therefore \beta_1 \sin \theta_i = \beta, \quad \beta_1 \cos \theta_i = \frac{\pi}{b}$$

$$\beta = \sqrt{\beta_1^2 - \left(\frac{\pi}{b}\right)^2} = \sqrt{\omega^2 \mu \epsilon - \left(\frac{\pi}{b}\right)^2}$$

$$\cos \theta_i = \frac{\pi}{\beta_1 b} = \frac{\lambda}{2b} \Rightarrow \text{solution exists only for } \lambda \leq 2b$$

$$\text{at } \frac{\lambda}{2b} = 1, f = \frac{u}{\lambda} = \frac{1}{2b\sqrt{\mu\epsilon}} \Rightarrow \text{cutoff frequency}$$

then $\theta_i = 0 \Rightarrow$ waves bounce back and forth in the y -direction
and no propagation in the z -direction

\Rightarrow TM_1 mode propagates only when $\lambda < \lambda_c = 2b$ or $f > f_c$.

$$\cos \theta_i = \frac{\lambda}{\lambda_c} = \frac{f_c}{f} \quad \sin \theta_i = \frac{\lambda}{\lambda_g} = \frac{u}{u_p} = \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$

TE Waves between Parallel Plates (1)

* TE waves

$$E_z = 0, \quad \frac{\partial}{\partial x} = 0$$

$$\therefore \frac{d^2 H_z^0(y)}{dy^2} + h^2 H_z^0(y) = 0$$

We note that $H_z(y, z) = H_z^0(y) e^{-\gamma z}$

$$\text{-B.C. } E_x^0 = -\frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial y} = 0$$

$$\text{i.e. } \frac{dH_z^0(y)}{dy} = 0 \text{ at } y = 0 \text{ and } y = b$$

$$\therefore H_z^0(y) = B_n \cos\left(\frac{n\pi y}{b}\right)$$

TE Waves between Parallel Plates (2)

$$\therefore H_x^0(y) = -\frac{\gamma}{h^2} \frac{\partial H_z^0}{\partial x} = 0 \quad (\because \frac{\partial H_z}{\partial x} = 0)$$

$$H_y^0(y) = -\frac{\gamma}{h^2} \frac{\partial H_z^0}{\partial y} = \frac{\gamma}{h} B_n \sin\left(\frac{n\pi y}{b}\right)$$

$$E_x^0(y) = -\frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial y} = \frac{j\omega\mu}{h} B_n \sin\left(\frac{n\pi y}{b}\right)$$

$$E_y^0(y) = \frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial x} = 0 \quad (\because \frac{\partial H_z}{\partial x} = 0)$$

$$\Rightarrow \gamma = \sqrt{h^2 - k^2} = \sqrt{\left(\frac{n\pi}{b}\right)^2 - \omega^2 \mu \epsilon} \Rightarrow \text{the same as that for TM waves}$$

\Rightarrow The cutoff frequency is the same

\Rightarrow For $n = 0$, $H_y = 0$ and $E_x = 0$

TE Waves between Parallel Plates (3)

i.e., TE_0 mode doesn't exist

cf) $TM_0 = TEM$

cf) TM_{01} or TM_{10} does not exist

$$E_z^0(x, y) = E_0 \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{m\pi y}{b}\right)$$

cf) TM_{01} or TM_{10} does exist

for the rectangular waveguide

$$H_z^0(x, y) = H_0 \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{m\pi y}{b}\right)$$

Energy-transport Velocity (1)

* Energy-transport velocity

- Wave guide \Rightarrow high pass filter
- Broadband signal \Rightarrow
 1. low frequency components may be below cutoff
 2. high frequency components will travel widely different velocity
- Energy-transport velocity : velocity at which energy propagates along a waveguide

$$u_{en} = \frac{(P_z)_{av}}{W'_{av}} \quad (\text{m/s})$$

$$(P_z)_{av} = \int_s \bar{P}_{av} \cdot d\bar{s} \quad : \text{the time average power}$$

Energy-transport Velocity (2)

$$W'_{av} = \int_s [(w_e)_{av} + (w_m)_{av}] ds \quad : \text{the time average stored energy per unit length}$$

$$u_{en} = u \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$

[H.W] prove that

$$(w_e)_{av} = \frac{\epsilon}{4} \Re e(\bar{E} \cdot \bar{E}^*)$$

$$(w_m)_{av} = \frac{\mu}{4} \Re e(\bar{H} \cdot \bar{H}^*)$$

Energy-transport Velocity (3)

$$(w_e)_{av} = \frac{\varepsilon}{4} A_n^2 \left[\sin^2\left(\frac{n\pi y}{b}\right) + \frac{\beta^2}{h^2} \cos^2\left(\frac{n\pi y}{b}\right) \right]$$

cf) $(\bar{E} \cdot \bar{E}^*) \Rightarrow j\beta \cdot (-j\beta) = \beta^2$

$$\int_0^b (w_e)_{av} dy = \frac{\varepsilon b}{8} A_n^2 \left[1 + \frac{\beta^2}{h^2} \right] = \frac{\varepsilon b}{8h^2} k^2 A_n^2$$

$$(w_m)_{av} = \frac{\mu}{4} \left(\frac{\omega^2 \varepsilon^2}{h^2} \right) A_n^2 \cos^2\left(\frac{n\pi y}{b}\right)$$

$$\int_0^b (w_m)_{av} dy = \frac{\mu b}{8h^2} (\omega^2 \varepsilon^2) A_n^2 = \frac{\varepsilon b}{8h^2} k^2 A_n^2$$

$$(P_z)_{av} = \int_0^b \bar{P}_{av} \cdot \hat{z} dy$$

$$= \int_0^b \frac{\omega \varepsilon \beta}{2h^2} A_n^2 \cos^2\left(\frac{n\pi y}{b}\right) dy = \frac{\omega \varepsilon \beta b}{4h^2} A_n^2$$

Energy-transport Velocity (4)

$$\begin{aligned} \text{cf) } \bar{P}_{av} &= \frac{1}{2} \Re e(\bar{E} \times \bar{H}^*) \\ &= \frac{1}{2} \Re e(-\hat{z} E_y^0 H_x^{0*} + \hat{y} E_z^0 H_x^{0*}) \end{aligned}$$

$$\begin{aligned} (\bar{P}_{av}) \cdot \hat{z} &= -\frac{1}{2} \Re e(E_y^0 H_x^{0*}) \\ &= \frac{\omega \varepsilon \beta}{2h^2} A_n^2 \cos^2\left(\frac{n\pi y}{b}\right) \end{aligned}$$

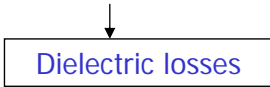
$$u_{en} = \frac{\omega \beta}{k^2} = \frac{\omega}{k} \left(\frac{\beta}{k} \right) = u \sqrt{1 - \left(\frac{f_c}{f} \right)^2}$$

Attenuation in Parallel-plate Waveguides (1)

* Attenuation in parallel-plate waveguide

- Losses are very small

- $\alpha = \alpha_d + \alpha_c$ —————> Ohmic losses



For TEM mode

cf) For a lossy transmission line the time-average power loss per unit length

$$P_L(z) = \frac{1}{2} [|I(z)|^2 R + |V(z)|^2 G] = \frac{V_0^2}{2|Z_0|^2} (R + G|Z_0|^2) e^{-2\alpha z}$$

$$P(z) = \frac{1}{2} \Re[V(z)I^*(z)] = \frac{V_0^2}{2|Z_0|^2} R_0 e^{-2\alpha z}$$

Attenuation in Parallel-plate Waveguides (2)

$$-\frac{\partial P(z)}{\partial z} = P_L(z) = 2\alpha P(z)$$

$$\therefore \alpha = \frac{P_L(z)}{2P(z)} = \frac{1}{2R_0} (R + G|Z_0|^2)$$

$$\therefore \alpha_d = \frac{G}{2} R_0 = \frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon}} = \frac{\sigma}{2} \eta \quad (\because Z_0 \approx R_0 \text{ for low loss conductor})$$

where $\left\{ \begin{array}{l} G = \sigma \frac{\omega}{b} \\ R_0 = \frac{b}{\omega} \eta \end{array} \right.$ ↓ independent of frequency

Attenuation in Parallel-plate Waveguides (3)

$$\therefore \alpha_c = \frac{R}{2R_0} = \frac{1}{b} \sqrt{\frac{\pi f \epsilon}{\sigma_c}} \propto \sqrt{f}$$

$$\text{cf) } R_0 = \frac{b}{\omega} \eta = \frac{b}{\omega} \sqrt{\frac{\mu}{\epsilon}}$$

$$R = \frac{2}{\omega} \sqrt{\frac{\pi f \mu_c}{\sigma_c}}$$

For TM mode

to find dielectric losses, α_d at $f > f_c$

$$- \epsilon_d = \epsilon + \left(\frac{\sigma}{j\omega} \right)$$

Attenuation in Parallel-plate Waveguides (4)

$$\begin{aligned} \gamma &= j \left[\omega^2 \mu \epsilon \left(1 - \frac{j\sigma}{\omega \epsilon} \right) - \left(\frac{n\pi}{b} \right)^2 \right]^{1/2} \\ &= j \sqrt{\omega^2 \mu \epsilon - \left(\frac{n\pi}{b} \right)^2} \left\{ 1 - j\omega \mu \sigma \left[\omega^2 \mu \epsilon - \left(\frac{n\pi}{b} \right)^2 \right]^{-1} \right\}^{1/2} \\ &\cong j \sqrt{\omega^2 \mu \epsilon - \left(\frac{n\pi}{b} \right)^2} \left\{ 1 - \frac{j\omega \mu \sigma}{2} \left[\omega^2 \mu \epsilon - \left(\frac{n\pi}{b} \right)^2 \right]^{-1} \right\} \end{aligned}$$

$$\text{Assumption that } \omega \mu \sigma \ll \omega^2 \mu \epsilon - \left(\frac{n\pi}{b} \right)^2$$

Attenuation in Parallel-plate Waveguides (5)

$$\begin{aligned} \text{For cutoff frequency } \frac{n\pi}{b} &= 2\pi f_c \sqrt{\mu\varepsilon} \\ \Rightarrow \sqrt{\omega^2 \mu\varepsilon - \left(\frac{n\pi}{b}\right)^2} &= \omega \sqrt{\mu\varepsilon} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2} \\ &= \omega \sqrt{\mu\varepsilon} \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \\ \therefore \gamma = \alpha_d + j\beta &= \frac{\sigma}{2} \sqrt{\frac{\mu}{\varepsilon}} \frac{1}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} + j\omega \sqrt{\mu\varepsilon} \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \end{aligned}$$

Attenuation in Parallel-plate Waveguides (6)

We obtain $\alpha_d = \frac{\sigma\eta}{2\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} \Rightarrow$ decreases when frequency increases

$$\text{and } \beta = \omega \sqrt{\mu\varepsilon} \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$

To find α_c

$$\alpha_c = \frac{P_L(z)}{2P(z)}$$

$$\begin{aligned} P(z) &= w \int_0^b -\frac{1}{2} (E_y^0)(H_x^0)^* dy \\ &= \frac{w\omega\varepsilon\beta}{2} \left(\frac{bA_n}{n\pi}\right)^2 \int_0^b \cos^2\left(\frac{n\pi y}{b}\right) dy = w\omega\varepsilon\beta b \left(\frac{bA_n}{2n\pi}\right)^2 \end{aligned}$$

Attenuation in Parallel-plate Waveguides (7)

$$\begin{aligned}
 P_L(z) &= 2w \left(\frac{1}{2} |J_{sz}^0|^2 R_s \right) \\
 &= w \left(\frac{\omega \epsilon b A_n}{n\pi} \right)^2 R_s \quad \text{where } |J_{sz}^0| = |H_x^0(y=0)| = \frac{\omega \epsilon b A_n}{n\pi} \\
 \therefore \alpha_c &= \frac{P_L(z)}{2P(z)} = \frac{2\omega \epsilon}{\beta b} R_s = \frac{2R_s}{\eta b \sqrt{1 - \left(\frac{f_c}{f}\right)^2}} \\
 R_s &= \sqrt{\frac{\pi f \mu_c}{\sigma_c}} \\
 \therefore \alpha_c &= \frac{2}{\eta b} \sqrt{\frac{\pi \mu_c f_c}{\sigma_c}} \frac{1}{\sqrt{\left(\frac{f_c}{f}\right) \left[1 - \left(\frac{f_c}{f}\right)^2\right]}}
 \end{aligned}$$

Attenuation in Parallel-plate Waveguides (8)

TE modes

α_d : the same as TM

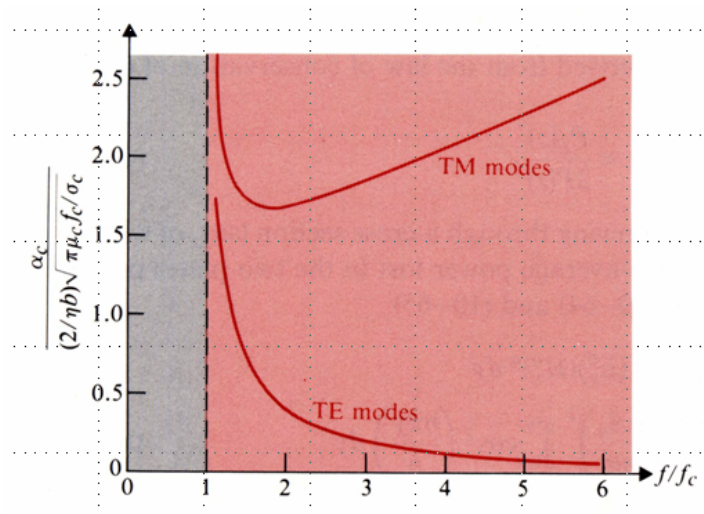
$$\begin{aligned}
 \alpha_c: \quad P(z) &= w \int_0^b \frac{1}{2} (E_x^0)(H_y^0)^* dy \\
 &= \frac{w\omega\mu\beta}{2} \left(\frac{bB_n}{n\pi} \right)^2 \int_0^b \sin^2\left(\frac{n\pi y}{b}\right) dy = w\omega\mu\beta b \left(\frac{bB_n}{2n\pi} \right)^2
 \end{aligned}$$

$$\begin{aligned}
 P_L(z) &= 2w \left(\frac{1}{2} |J_{sx}^0|^2 R_s \right) \\
 &= w |H_z^0(y=0)|^2 R_s = w B_n^2 R_s
 \end{aligned}$$

$$\therefore \alpha_c = \frac{P_L(z)}{2P(z)} = \frac{2R_s}{\omega\mu\beta b} \left(\frac{n\pi}{b} \right)^2 = \frac{2R_s f_c^2}{\eta b f^2 \sqrt{1 - \left(\frac{f_c}{f}\right)^2}}$$

\Rightarrow decreases monotonically as frequency increases

Attenuation in Parallel-plate Waveguides (9)



Homework

H.W

10-2, 10-4, 10-5, 10-8, 10-9, 10-11, 10-14