

## **Chapter 3 Review of 3 Dimensional Nonlinear Elasticity**

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### 3.1 Definition of strain

Green strain, Almansi strain (Hamel strain)

1<sup>st</sup> Piolar-Kirchhoff strain, 2<sup>nd</sup> Piolar-Kirchhoff strain (PK-strains),

Cauchy stress..

We are going to **propose means of expressing the deformation of a body.**

Let us consider the **motion of a body** as shown in Figure 3.1.

Consider two points  $P$  and  $Q$  in the body before deformation.

When external forces are applied, the undeformed body will deform so that

points  $P$  and  $Q$  move to points  $p$  and  $q$  in the deformed body,

respectively.

**Figure 3.1 : The kinematics of a body motion.**

**The change in length of this line segment can serve as a measure of the change of shape and size, i.e., deformation of the body.**

**We **define** strain as a measure of the deformation of the body.**

**Let**

$\vec{X} = X_k \vec{i}_k$  : **Position vector of point P before deformation**

$\vec{x} = x_k \vec{i}_k$  : **Position vector of point p after deformation**

$$\vec{u} = u_k \vec{i}_k : \text{Displacement vector of point P} \quad (3.1)$$

$$d\vec{X} = dX_k \vec{i}_k : \text{Position vector of line segment PQ}$$

$$d\vec{x} = dx_k \vec{i}_k : \text{Position vector of line segment pq}$$

Clearly,

$$\vec{x} = \vec{X} + \vec{u} \quad (3.2)$$

In addition,  $d\vec{x}$  is expressed as

$$d\vec{x} = \frac{\partial \vec{x}}{\partial X_k} dX_k = \frac{\partial x_i}{\partial X_k} dX_k \vec{i}_i \quad (3.3)$$

We introduce a **second order quantity**,  $\vec{F}$  called deformation gradient tensor

such that

$$\vec{F} = \frac{\partial \vec{x}}{\partial X_k} \vec{i}_k = \frac{\partial x_i}{\partial X_k} \vec{i}_k \vec{i}_i \equiv (\nabla_{\vec{X}} \vec{x})^T \quad (3.4)$$

where  $\nabla_{\vec{X}}$  is the gradient vector with respect to  $X$  coordinate system.

Then we can rewrite Eq. (3.3) as

$$d\vec{x} = \vec{F} \cdot d\vec{X} \quad (3.5)$$

Noting that  $d\vec{x}$  and  $d\vec{X}$  are vectors, we easily recognize  $\vec{F}$  is indeed **a second order tensor** and we name it the **deformation gradient tensor**.

On the other hand, the  $\vec{F}^T$  is written as

$$\vec{F}^T = \left( \frac{\partial \vec{x}}{\partial X_k} \vec{i}_k \right)^T = \vec{i}_k \frac{\partial \vec{x}}{\partial X_k} = \frac{\partial x_i}{\partial X_k} \vec{i}_k \vec{i}_i = \frac{\partial x_k}{\partial X_i} \vec{i}_i \vec{i}_k \quad (3.6)$$

Therefore, we can also rewrite Eq. (3.3) as

$$d\vec{x} = d\vec{X} \bullet F^T \quad (3.7)$$

Let us write lengths of line segments  $PQ$  and  $pq$  as  $dS$  and  $ds$ , respectively.

Using Eqs. (3.5) and (3.7), we can obtain the following expression.

$$(ds)^2 = d\vec{x} \bullet d\vec{x} = d\vec{X} \bullet \vec{F}^T \bullet \vec{F} \bullet d\vec{X} \quad (3.8)$$

Moreover, we can express  $(dS)^2$  such as

$$(dS)^2 = d\vec{X} \bullet d\vec{X} = d\vec{X} \bullet \vec{\delta} \bullet d\vec{X} \quad (3.9)$$

where

$$\vec{\delta} = \delta_{ij} \vec{i}_i \cdot \vec{i}_j \quad (3.10)$$

Then the difference between two scalar quantities in Eqs. (3.8) and (3.9) is written as

$$(ds)^2 - (dS)^2 = d\vec{X} \bullet (\vec{F}^T \bullet \vec{F} - \vec{\delta}) \bullet d\vec{X} \quad (3.11)$$

**Note** that this quantity can be used as **a measure of the deformation** of the body.

We introduce **a second order quantity**  $\vec{E}$  such as

$$(ds)^2 - (dS)^2 = d\vec{X} \bullet 2\vec{E} \bullet d\vec{X} \quad (3.12)$$

where

$$\vec{E} = \frac{1}{2} (\vec{F}^T \bullet \vec{F} - \vec{\delta}) \quad (3.13)$$

Remembering  $(ds)^2 - (dS)^2$  is a scalar and  $d\vec{X}$  is a vector, we can conclude  $\vec{E}$  is a second order tensor defined with respect to  $\vec{X}$  coordinate system.

**This is called the Green strain tensor.**

From Eqs. (3.2) and (3.4), we can get

$$\vec{F} = [\nabla_{\vec{X}} (\vec{X} + \vec{u})]^T = \vec{\delta} + (\nabla_{\vec{X}} \vec{u})^T \quad (3.14)$$

Then the  $\vec{F}^T$  is written as

$$\vec{F}^T = \vec{\delta} + (\nabla_{\vec{X}} \vec{u})$$

Therefore we are able to write **Green strain tensor**  $\vec{E}$  as

$$\begin{aligned}
\vec{E} &= \frac{1}{2} (\vec{F}^T \bullet \vec{F} - \vec{\delta}) \\
&= \frac{1}{2} [(\nabla_{\vec{x}} \vec{u})^T + \nabla_{\vec{x}} \vec{u} + \nabla_{\vec{x}} \vec{u} \bullet (\nabla_{\vec{x}} \vec{u})^T] \quad (3.15)
\end{aligned}$$

In order to obtain the expression for **components of Green strain tensor**  $\vec{E}$ , remember the expression for  $\nabla_{\vec{x}}$ .

Then we obtain

$$\nabla_{\vec{X}} \vec{u} = \frac{\partial}{\partial X_i} \vec{i}_i (u_j \vec{i}_j) = \frac{\partial u_j}{\partial X_i} \vec{i}_i \vec{i}_j$$

$$(\nabla_{\vec{X}} \vec{u})^T = \frac{\partial u_j}{\partial X_i} \vec{i}_j \vec{i}_i = \frac{\partial u_i}{\partial X_j} \vec{i}_i \vec{i}_j$$

**Also,**

$$\begin{aligned} \nabla_{\vec{X}} \vec{u} \cdot (\nabla_{\vec{X}} \vec{u})^T &= \left( \frac{\partial u_k}{\partial X_i} \vec{i}_i \vec{i}_k \right) \cdot \left( \frac{\partial u_l}{\partial X_j} \vec{i}_l \vec{i}_j \right) = \frac{\partial u_k}{\partial X_i} \vec{i}_i \delta_{kl} \frac{\partial u_l}{\partial X_j} \vec{i}_j \\ &= \frac{\partial u_k}{\partial X_i} \frac{\partial u_k}{\partial X_j} \vec{i}_i \vec{i}_j \end{aligned}$$

**Writing**

$$\vec{E} = E_{ij} \vec{i}_i \vec{i}_j$$

We obtain the expression for  $E_{ij}$  in index notation as

$$E_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} + \frac{\partial u_k}{\partial X_i} \frac{\partial u_k}{\partial X_j} \right) \sim \frac{1}{2} (u_{i,j} + u_{j,i} + u_{k,i} u_{k,j}) \quad (3.16)$$

**Note that Green strain tensor  $\vec{E}$  is symmetric, i.e.,  $E_{ij} = E_{ji}$  ..**

**Without using index notation, components of  $\vec{E}$  are written as follows :**

$$E_{xx} = \frac{\partial u}{\partial X} + \frac{1}{2} \left[ \left( \frac{\partial u}{\partial X} \right)^2 + \left( \frac{\partial v}{\partial X} \right)^2 + \left( \frac{\partial w}{\partial X} \right)^2 \right] = u_{,x} + \frac{1}{2} (u_{,x}^2 + v_{,x}^2 + w_{,x}^2)$$

$$E_{yy} = \frac{\partial v}{\partial Y} + \frac{1}{2} \left[ \left( \frac{\partial u}{\partial Y} \right)^2 + \left( \frac{\partial v}{\partial Y} \right)^2 + \left( \frac{\partial w}{\partial Y} \right)^2 \right] = v_{,y} + \frac{1}{2} (u_{,y}^2 + v_{,y}^2 + w_{,y}^2)$$

$$E_{zz} = \frac{\partial w}{\partial Z} + \frac{1}{2} \left[ \left( \frac{\partial u}{\partial Z} \right)^2 + \left( \frac{\partial v}{\partial Z} \right)^2 + \left( \frac{\partial w}{\partial Z} \right)^2 \right] = w_{,z} + \frac{1}{2} (u_{,z}^2 + v_{,z}^2 + w_{,z}^2)$$

(3.17)

$$E_{XY} = \frac{1}{2} \left( \frac{\partial v}{\partial X} + \frac{\partial u}{\partial Y} + \frac{\partial u}{\partial X} \frac{\partial u}{\partial Y} + \frac{\partial v}{\partial X} \frac{\partial v}{\partial Y} + \frac{\partial w}{\partial X} \frac{\partial w}{\partial Y} \right) = \frac{1}{2} (v_{,X} + u_{,Y} + u_{,X} u_{,Y} + v_{,X} v_{,Y} + w_{,X} w_{,Y})$$

$$E_{YZ} = \frac{1}{2} \left( \frac{\partial w}{\partial Y} + \frac{\partial v}{\partial Z} + \frac{\partial u}{\partial Y} \frac{\partial u}{\partial Z} + \frac{\partial v}{\partial Y} \frac{\partial v}{\partial Z} + \frac{\partial w}{\partial Y} \frac{\partial w}{\partial Z} \right) = \frac{1}{2} (w_{,Y} + v_{,Z} + u_{,Y} u_{,Z} + v_{,Y} v_{,Z} + w_{,Y} w_{,Z})$$

$$E_{ZX} = \frac{1}{2} \left( \frac{\partial u}{\partial Z} + \frac{\partial w}{\partial X} + \frac{\partial u}{\partial Z} \frac{\partial u}{\partial X} + \frac{\partial v}{\partial Z} \frac{\partial v}{\partial X} + \frac{\partial w}{\partial Z} \frac{\partial w}{\partial X} \right) = \frac{1}{2} (u_{,Z} + w_{,X} + u_{,Z} u_{,X} + v_{,Z} v_{,X} + w_{,Z} w_{,X})$$

$$E_{YX} = E_{XY}, E_{YZ} = E_{ZY}, E_{XZ} = E_{ZX}$$

### Note

- (i) Green strain tensor  $\mathbf{E}$  is referred to the **initial un-deformed geometry**, and indicate what must occur during a given deformation.
- (ii) We have no restriction on the strain-displacement relation in Eq. (3.16) or

Eq. (3.17). This relation includes nonlinear terms in displacement components.

**(iii)** If we use Eqs. (3.4), (3.6), and (3.13), we can get another expression for Green strain tensor  $\mathbf{E}$ . **This expression is convenient for the physical interpretation of  $\mathbf{E}$ .**

$$\vec{E} = \frac{1}{2} \left[ \vec{i}_i \left( \frac{\partial \vec{x}}{\partial X_i} \cdot \frac{\partial \vec{x}}{\partial X_j} \right) \vec{i}_j - \vec{\delta} \right] = \frac{1}{2} \left[ \left( \frac{\partial \vec{x}}{\partial X_i} \cdot \frac{\partial \vec{x}}{\partial X_j} \right) \vec{i}_i \vec{i}_j - \vec{\delta} \right] \quad (3.18)$$

Without using index notation, the components of  $E$  are also written as follows :

$$\begin{aligned}
E_{xx} &= \frac{1}{2} \left( \frac{\partial \vec{x}}{\partial X} \cdot \frac{\partial \vec{x}}{\partial X} - 1 \right), E_{yy} = \frac{1}{2} \left( \frac{\partial \vec{x}}{\partial Y} \cdot \frac{\partial \vec{x}}{\partial Y} - 1 \right), E_{zz} = \frac{1}{2} \left( \frac{\partial \vec{x}}{\partial Z} \cdot \frac{\partial \vec{x}}{\partial Z} - 1 \right) \\
E_{xy} &= \frac{1}{2} \frac{\partial \vec{x}}{\partial X} \cdot \frac{\partial \vec{x}}{\partial Y} = E_{yx}, E_{yz} = \frac{1}{2} \frac{\partial \vec{x}}{\partial Y} \cdot \frac{\partial \vec{x}}{\partial Z} = E_{zy}, E_{zx} = \frac{1}{2} \frac{\partial \vec{x}}{\partial Z} \cdot \frac{\partial \vec{x}}{\partial X} = E_{xz}
\end{aligned}
\tag{3.19}$$

### 3.2 Physical Meaning of The Green Strain Terms

Consider a small rectangular parallelepiped at point  $P$  in a body, as in Fig. 2.

If the body is a rigid body, there is no translation and/or rotation in the body.

Therefore,

$$(ds)^2 - (dS)^2 = 0.$$

This means that **all strain components**  $E_{ij}$  **are zero** in the rigid body.

### Figure 3.2: The motion of a rectangular parallelepiped

Let us imagine next that this body has some deformation and let us focus on line elements  $PA$ ,  $PB$ , and  $PC$ . After deformation, the body in general becomes non-rectangular and these line elements change to  $pa$ ,  $pb$ , and  $pc$ , respectively,

Recalling Eq. (3.3).

$$d\vec{x} = \frac{\partial \vec{x}}{\partial X} dX + \frac{\partial \vec{x}}{\partial Y} dY + \frac{\partial \vec{x}}{\partial Z} dZ \quad (3.20)$$

Note that  $PA, PB,$  and  $PC$  are orthogonal to each other and vectors for line elements  $pa, pb,$  and  $pc$  consist of  $d\vec{x}$ .

Then

$$\begin{aligned} p\vec{a} &= \frac{\partial \vec{x}}{\partial X} dX \\ p\vec{b} &= \frac{\partial \vec{x}}{\partial Y} dY \\ p\vec{c} &= \frac{\partial \vec{x}}{\partial Z} dZ \end{aligned} \tag{3.21}$$

Now we consider changes in the line element lengths.

~ First look at the line element  $PA$ .

**Define relative elongation**  $E_x$  as the ratio of the change in length of  $PA$  with

**respect to the original length.**

**That is**

$$E_x = \frac{|pa| - |PA|}{|PA|} = \frac{|pa|}{|PA|} - 1$$

**Then**

$$|pa| = (1 + E_x)|PA|$$

**From Eqs. (3.19) and (3.21), we know that**

$$|pa|^2 = \frac{\partial \vec{x}}{\partial X} dX \cdot \frac{\partial \vec{x}}{\partial X} dX = (1 + 2E_{xx}) dX^2$$

Similarly defining the relative elongations for PB and PC, we can obtain the following relations.

$$E_X = \sqrt{1 + 2E_{XX}} - 1$$

$$E_Y = \sqrt{1 + 2E_{YY}} - 1$$

$$E_Z = \sqrt{1 + 2E_{ZZ}} - 1$$

(3.22)

Therefore,  $E_{XX}$ ,  $E_{YY}$ , and  $E_{ZZ}$  are related to the relative elongations

$E_{XX}$ ,  $E_{YY}$ , and  $E_{ZZ}$ , respectively and are called extensional strains.

Next consider changes in the angles between adjacent line elements. The angle between  $PA$  and  $PB$  is  $90^\circ$ . For the sake of convenience, denote the angle

between  $pa$  and  $pb$  as  $\frac{\pi}{2} - \phi_{XY}$ . Then,  $\phi_{XY}$  is the angle change.

The scalar product vectors  $p\vec{a}$  and  $p\vec{b}$  with angle  $\phi_{XY}$  is given as

$$\frac{\partial \vec{x}}{\partial X} dX \cdot \frac{\partial \vec{x}}{\partial Y} dY = \left| \frac{\partial \vec{x}}{\partial X} dX \right| \left| \frac{\partial \vec{x}}{\partial Y} dY \right| \cos\left(\frac{\pi}{2} - \phi_{XY}\right)$$

Or

$$\cos\left(\frac{\pi}{2} - \phi_{XY}\right) = \sin \phi_{XY} = \frac{\frac{\partial \vec{x}}{\partial X} \cdot \frac{\partial \vec{x}}{\partial Y}}{\left| \frac{\partial \vec{x}}{\partial X} \right| \left| \frac{\partial \vec{x}}{\partial Y} \right|}$$

**Noting that**

$$\left| \frac{\partial \vec{x}}{\partial X} \right| = \sqrt{1 + 2E_{XX}} = 1 + E_X$$

$$\left| \frac{\partial \vec{x}}{\partial Y} \right| = \sqrt{1 + 2E_{YY}} = 1 + E_Y$$

**Repeating the above procedures for changes in angles between  $pb$  and  $pc$  and between  $pc$  and  $pa$ , we obtain similar expressions.**

Then using Eq. (3.19),

$$\begin{aligned}\sin \phi_{XY} &= \frac{2E_{XY}}{(1 + E_X)(1 + E_Y)} \\ \sin \phi_{YZ} &= \frac{2E_{YZ}}{(1 + E_Y)(1 + E_Z)} \\ \sin \phi_{ZX} &= \frac{2E_{ZX}}{(1 + E_Z)(1 + E_X)}\end{aligned}\tag{3.23}$$

Thus the angular changes between adjacent line elements are related to the strain components  $E_{XY}, E_{YZ},$  and  $E_{ZX}$  as well as to the elongation  $E_X, E_Y,$  and  $E_Z$ . The twice strain components  $E_{XY}, E_{YZ},$  and  $E_{ZX}$  are called shear strains.

## 2.3 Small Strain Assumption

In many engineering problems the **strain components are small**.

Then

$$\begin{aligned} E_X &= \sqrt{1 + 2E_{XX}} - 1 \\ &\simeq 1 + \frac{1}{2}(2E_{XX}) - 1 = E_{XX} \end{aligned}$$

Repeating for other elongations, we obtain

$$\begin{aligned} E_X &= E_{XX} \\ E_Y &= E_{YY} \\ E_Z &= E_{ZZ} \end{aligned} \tag{3.24}$$

Therefore, the relative elongations are also small under small strain assumption.

**For angle changes,**

$$\sin \phi_{XY} = \frac{2E_{XY}}{(1 + E_X)(1 + E_Y)} \approx 2E_{XY} \quad (3.25)$$

**Also we get**

$$\begin{aligned} \sin \phi_{XY} &= 2E_{XY} \\ \sin \phi_{YZ} &= 2E_{YZ} \\ \sin \phi_{ZX} &= 2E_{ZX} \end{aligned} \quad (3.26)$$

**The shear strains are independent of the angle changes under the small strain assumption.**

**Note** that under the small strain assumption, **rotation can still be large.**

## 2.4 Linear Strain Assumption

In addition to the **small strain assumption**, we **add an assumption of small rotation** of volume element. : The combination of these two assumptions is called **linear strain assumption**.

~ Under the linear strain assumption, we can **neglect all the nonlinear terms in the strain-displacement relations** Eq. (3.16) or Eq. (3.17).

**In most cases of this course, we take the linear strain assumption.**

In addition, we may use  $\varepsilon$  for strain tensor instead of  $E$ .

Moreover, in the case of this infinitesimal strains, the **deformed state** is very close to the **undeformed state**.

Therefore  $x$  is very close to  $X$ . Hereafter we will use  $x$  as the coordinate of the

**undeformed body instead of  $\mathbf{X}$ .**

**Then Eq. (3.16) becomes**

$$\varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \sim \frac{1}{2} (u_{i,j} + u_{j,i}) \quad (3.27)$$

**In unabridged notation we have**

$$\varepsilon_{xx} = \frac{\partial u}{\partial x} = u_{,x}$$

$$\varepsilon_{yy} = \frac{\partial v}{\partial y} = v_{,y}$$

$$\varepsilon_{zz} = \frac{\partial w}{\partial z} = w_{,z}$$

**(3.28)**

$$\varepsilon_{xy} = \frac{1}{2} \left( \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right) = \frac{1}{2} (v_{,x} + u_{,y})$$

$$\varepsilon_{yz} = \frac{1}{2} \left( \frac{\partial w}{\partial y} + \frac{\partial v}{\partial z} \right) = \frac{1}{2} (w_{,y} + v_{,z})$$

$$\varepsilon_{zx} = \frac{1}{2} \left( \frac{\partial u}{\partial z} + \frac{\partial w}{\partial x} \right) = \frac{1}{2} (u_{,z} + w_{,x})$$

$$\varepsilon_{yx} = \varepsilon_{xy}, \varepsilon_{yz} = \varepsilon_{zy}, \varepsilon_{xz} = \varepsilon_{zx}$$

In engineering problems, we frequently use **engineering shear strains** such that

$$\begin{aligned}\gamma_{xy} &= 2\varepsilon_{xy} \\ \gamma_{yz} &= 2\varepsilon_{yz} \\ \gamma_{zx} &= 2\varepsilon_{zx}\end{aligned}\tag{3.29}$$

**The justification for neglecting the nonlinear terms is given as follows :**

**Figure 3.3: Change in the segment  $d\mathbf{X}$**

Consider the small line element vector  $d\mathbf{X}$  which changes to  $\frac{\partial \vec{x}}{\partial X} dX$  where

$$\vec{x} = \vec{X} + \vec{u} = (X + u)\vec{i} + (Y + v)\vec{j} + (Z + w)\vec{k}$$

Let  $\theta_1$  be the angle between  $\frac{\partial \vec{x}}{\partial X}$  and  $Y$  axis.

Then

$$\begin{aligned} \cos \theta_1 &= \frac{\frac{\partial \vec{x}}{\partial X} \cdot \vec{j}}{\left| \frac{\partial \vec{x}}{\partial X} \right| \cdot |\vec{j}|} = \frac{\left| \left( 1 + \frac{\partial u}{\partial X} \right) \vec{i} + \frac{\partial v}{\partial X} \vec{j} + \frac{\partial w}{\partial X} \vec{k} \right| \cdot \vec{j}}{|1 + E_x|} \\ &= \frac{\frac{\partial v}{\partial X}}{|1 + E_x|} \end{aligned}$$

Similarly, for the angle,  $\theta_2$ , between  $\frac{\partial \vec{x}}{\partial X}$  and Z axis,

$$\cos \theta_2 = \frac{\frac{\partial \vec{x}}{\partial X} \cdot \vec{k}}{\left| \frac{\partial \vec{x}}{\partial X} \right| \cdot |1|} = \frac{\frac{\partial w}{\partial X}}{|1 + E_x|}$$

For small strains,  $E_x \ll 1$ . For  $\frac{\partial \vec{x}}{\partial X}$  close to X axis, i.e., small rotation of dX,

$$\cos \theta_1, \cos \theta_2 \ll 1 \sim \frac{\partial v}{\partial X}, \frac{\partial w}{\partial X} \ll 1$$

In addition, the deformed coordinate  $\mathcal{X}$  is close to  $X$ .

Similarly, if we consider the small rotation of the line element

$$dy \text{ and } dz, \quad \frac{\partial u}{\partial Y}, \frac{\partial w}{\partial Y} \ll 1 \text{ and } \frac{\partial u}{\partial Z}, \frac{\partial w}{\partial Z} \ll 1.$$

**Therefore, all nonlinear terms can be neglected since**

$$\frac{\partial v}{\partial X} \gg \left( \frac{\partial v}{\partial X} \right)^2$$

**Etc.**

## **2.5 Strain Transformation Law**

**We will try to find the relationship between two strain components expressed with respect to two different coordinate systems,  $\vec{x}$ , and  $\tilde{x}$ .**

**The position vector of a point  $P$  can be written as**

### Figure 3.4 : Change in segment $PQ$

The position vector of a point  $P$  can be written as

$$\vec{x} = \tilde{x} + a$$

where  $a$  is the vector between origins of two coordinate systems. Or using unabridged notation,

$$\vec{x} = x\vec{i} + y\vec{j} + z\vec{k} = \tilde{x}\tilde{i} + \tilde{y}\tilde{j} + \tilde{z}\tilde{k} + \vec{a}$$

Taking a dot product with  $\vec{i}$ ,

$$\begin{aligned}
x &= \vec{x} \cdot \vec{i} = (x\vec{i} + y\vec{j} + z\vec{k}) \cdot \vec{i} = \tilde{x}\vec{i} \cdot \vec{i} + \tilde{y}\vec{j} \cdot \vec{i} + \tilde{z}\vec{k} \cdot \vec{i} + \vec{a} \cdot \vec{i} \\
&= \tilde{x}(\vec{i} \cdot \vec{i}) + \tilde{y}(\vec{j} \cdot \vec{i}) + \tilde{z}(\vec{k} \cdot \vec{i}) + \vec{a} \cdot \vec{i} \\
&= \tilde{x}c_{\tilde{x}x} + \tilde{y}c_{\tilde{y}x} + \tilde{z}c_{\tilde{z}x} + \vec{a} \cdot \vec{i}
\end{aligned}$$

where  $c_{\tilde{x}x}$ ,  $c_{\tilde{y}x}$  and  $c_{\tilde{z}x}$  are direction cosines.

From the above equation, we can obtain

$$c_{\tilde{x}x} = \frac{\partial x}{\partial \tilde{x}}, c_{\tilde{y}x} = \frac{\partial x}{\partial \tilde{y}}, c_{\tilde{z}x} = \frac{\partial x}{\partial \tilde{z}}$$

Taking a dot product with  $\hat{j}$  or  $\hat{k}$ , we get similar expressions for other direction cosines.

Using index notation,

$$\frac{\partial x_j}{\partial \tilde{x}_i} = c_{ij} \quad (3.30)$$

Consider the quantity  $ds^2 - dS^2$  **defined** in Eq. (3.12).

Using index notation (instead of  $\mathbf{E}$  and  $\mathbf{X}$ , we use  $\vec{\mathcal{E}}$  and  $\vec{\mathcal{X}}$  hereafter,)

$$ds^2 - dS^2 = 2\varepsilon_{ij} dx_i dx_j \quad (3.31)$$

Or in matrix form,

$$ds^2 - dS^2 = 2[dx, dy, dz] \begin{bmatrix} \varepsilon_{xx}, \varepsilon_{xy}, \varepsilon_{xz} \\ \varepsilon_{xy} \\ \varepsilon_{xz} \end{bmatrix} \begin{bmatrix} dx \\ dy \\ dz \end{bmatrix} \quad (3.32)$$

The quantity  $ds^2 - dS^2$  is a scalar and thus **invariant under coordinate transformation.**

In  $\tilde{x}$  coordinate system,

$$\begin{aligned}
ds^2 - dS^2 &= d\tilde{x} \cdot \tilde{\varepsilon} \cdot d\tilde{x} \\
&= 2[d\tilde{x}, d\tilde{y}, d\tilde{z}] \begin{bmatrix} \tilde{\varepsilon}_{xx}, \tilde{\varepsilon}_{xy}, \tilde{\varepsilon}_{xz} \\ \tilde{\varepsilon}_{xy} \\ \tilde{\varepsilon}_{xz} \end{bmatrix} \begin{bmatrix} d\tilde{x} \\ d\tilde{y} \\ d\tilde{z} \end{bmatrix}
\end{aligned} \tag{3.33}$$

**According to the chain rule of differentiation**

$$dx = \frac{\partial x}{\partial \tilde{x}} d\tilde{x} + \frac{\partial x}{\partial \tilde{y}} d\tilde{y} + \frac{\partial x}{\partial \tilde{z}} d\tilde{z} = c_{\tilde{x}x} d\tilde{x} + c_{\tilde{y}x} d\tilde{y} + c_{\tilde{z}x} d\tilde{z}$$

$$dy = \dots$$

$$dz = \dots$$

**(3.34)**

**In matrix form**

$$\begin{Bmatrix} dx \\ dy \\ dz \end{Bmatrix} = [?] \begin{Bmatrix} d\tilde{x} \\ d\tilde{y} \\ d\tilde{z} \end{Bmatrix} = T \begin{Bmatrix} d\tilde{x} \\ d\tilde{y} \\ d\tilde{z} \end{Bmatrix} \quad (3.35)$$

**where**

$$T = [?] \quad (3.36)$$

**In addition**

$$[dx, dy, dz] = \begin{Bmatrix} dx \\ dy \\ dz \end{Bmatrix}^T = [d\tilde{x}, d\tilde{y}, d\tilde{z}]T^T \quad (3.37)$$

After substituting Eqs. (3.35) and (3.37) into Eq. (3.32), setting it equal to Eq. (3.33), we get

$$\begin{bmatrix} \tilde{\mathcal{E}}_{xx}, \tilde{\mathcal{E}}_{xy}, \tilde{\mathcal{E}}_{xz} \\ \tilde{\mathcal{E}}_{xy} \\ \tilde{\mathcal{E}}_{xz} \dots \end{bmatrix} = T^T \begin{bmatrix} \mathcal{E}_{xx}, \mathcal{E}_{xy}, \mathcal{E}_{xz} \\ \mathcal{E}_{xy} \\ \mathcal{E}_{xz} \dots \end{bmatrix} T \quad (3.38)$$

In index notation, we can express as

$$\tilde{\mathcal{E}}_{ij} = C_{ik} C_{jl} \mathcal{E}_{ij} \quad (3.39)$$

Expanding Eq. (3.38),

$$\tilde{\gamma} = T_{\varepsilon} \gamma \quad (3.40)$$

where  $\tilde{\gamma}$  is the **engineering strain vector** such that

$$\boldsymbol{\gamma} = \left\{ \begin{array}{l} \boldsymbol{\varepsilon}_{xx} \\ \boldsymbol{\varepsilon}_{yy} \\ \boldsymbol{\varepsilon}_{zz} \\ \boldsymbol{\gamma}_{xy} = 2\boldsymbol{\varepsilon}_{xy} \\ \boldsymbol{\gamma}_{yz} = 2\boldsymbol{\varepsilon}_{yz} \\ \boldsymbol{\gamma}_{zx} = 2\boldsymbol{\varepsilon}_{zx} \end{array} \right\} \quad (3.41)$$

and  $\tilde{\boldsymbol{\gamma}}$  is the engineering strain vector defined with respect to  $\tilde{\boldsymbol{x}}$  coordinate system.

In addition, the 6 X 6 transformation matrix  $T_\varepsilon$  is given as

$$\underline{T_\varepsilon} =$$

$$\begin{bmatrix} C_{\tilde{x}x}^2, \dots\dots\dots \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{bmatrix}$$

## 2,6 Compatibility Equations

Let us consider the strain-displacement relations Eq. (3.27)

$$\varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \sim \frac{1}{2} (u_{i,j} + u_{j,i})$$

- (i) If **displacements**  $u_i$  ( $i = 1..3$ ) are given, we can readily determine all strain components by substituting  $u_i$  into the above equation.
- (ii) **Inversely, when strains are given, we should determine three displacement components by integration of six differential equations** given by the above expression. Then we **cannot** expect single-valued strains. Furthermore,

displacements of interest to us will be continuous. The resulting equations are called the compatibility equations.

**Differentiating Eq. (3.27) twice and** rearranging free indices, we can have

$$\frac{\partial^2 \varepsilon_{ij}}{\partial x_k \partial x_l} = \frac{1}{2} \left( \frac{\partial^3 u_i}{\partial x_j \partial x_k \partial x_l} + \frac{\partial^3 u_j}{\partial x_i \partial x_k \partial x_l} \right)$$
$$\frac{\partial^2 \varepsilon_{kl}}{\partial x_i \partial x_j} = \frac{1}{2} \left( \frac{\partial^3 u_k}{\partial x_l \partial x_i \partial x_j} + \frac{\partial^3 u_l}{\partial x_k \partial x_i \partial x_j} \right)$$

$$\frac{\partial^2 \varepsilon_{lj}}{\partial x_k \partial x_i} = \frac{1}{2} \left( \frac{\partial^3 u_j}{\partial x_l \partial x_i \partial x_k} + \frac{\partial^3 u_l}{\partial x_j \partial x_i \partial x_k} \right)$$

$$\frac{\partial^2 \varepsilon_{ki}}{\partial x_l \partial x_j} = \frac{1}{2} \left( \frac{\partial^3 u_k}{\partial x_i \partial x_j \partial x_l} + \frac{\partial^3 u_i}{\partial x_k \partial x_j \partial x_l} \right)$$

**By adding the first two equations and then subtracting the last two equations,**

**we eliminate  $u_i$  components and thus obtain a set of relations involving only strains.**

**That is**

$$\frac{\partial^2 \varepsilon_{ij}}{\partial x_k \partial x_l} + \frac{\partial^2 \varepsilon_{kl}}{\partial x_i \partial x_j} - \frac{\partial^2 \varepsilon_{lj}}{\partial x_k \partial x_i} - \frac{\partial^2 \varepsilon_{ki}}{\partial x_l \partial x_j} = 0 \quad (3.43)$$

**Actually, only 6 of these 81 equations of compatibility are independent, These are given as follows in unabridged notation :**

**(3.44)**

$$\varepsilon_{xx,yy} + \varepsilon_{yy,xx} = \gamma_{xy,xy}$$

$$\varepsilon_{yy,zz} + \varepsilon_{zz,yy} = \gamma_{yz,yz}$$

$$\varepsilon_{zz,xx} + \varepsilon_{xx,zz} = \gamma_{zx,zx}$$

$$2\varepsilon_{xx,yz} = (-\gamma_{yz,x} + \gamma_{zx,y} + \gamma_{xy,z})$$

$$2\varepsilon_{yy,zx} = (-\gamma_{zx,y} + \gamma_{xy,z} + \gamma_{yz,x})$$

$$2\varepsilon_{zz,xy} = (-\gamma_{xy,z} + \gamma_{yz,x} + \gamma_{zx,y})$$

## 2.7 Principal strains and Principle Directions

As the coordinate system changes, the values of strains change according to the strain transformation law. Now we like to **find those directions for which the relative elongations or extensional strains attain extrema** (i.e., maxima or minima).

Those directions are called **principal directions** and the corresponding strains are called **principal strains**.

**Suppose**  $\varepsilon_{ij} (i, j = 1 \dots 3)$  are given at a material point of a body in  $xyz$  - coordinate system. We like to seek new coordinates  $\tilde{x}$ ,  $\tilde{y}$ , and  $\tilde{z}$ , in which  $\tilde{\varepsilon}_{xx}$  is the principal strain. From the strain transformation law Eq. (3.40),

$$\tilde{\varepsilon}_{xx} = c_{\tilde{xx}}^2 \varepsilon_{xx} + c_{\tilde{xy}}^2 \varepsilon_{yy} + c_{\tilde{xz}}^2 \varepsilon_{zz} + 2c_{\tilde{xx}} c_{\tilde{xy}} \varepsilon_{xy} + 2c_{\tilde{xy}} c_{\tilde{xz}} \varepsilon_{yx} + 2c_{\tilde{xz}} c_{\tilde{xx}} \varepsilon_{zx} \quad (3.45)$$

For simplicity, we introduce new notations  $v_x, v_y$ , and  $v_z$  such as

$$v_x = c_{\tilde{xx}}, v_y = c_{\tilde{xy}}, v_z = c_{\tilde{xz}} \quad (3.46)$$

Then Eq. (3.45) can be written as

$$\tilde{\varepsilon}_{xx} = f(v_x, v_y, v_z) = \varepsilon_{xx} v_x^2 + \dots? \quad (3.47)$$

**Now , we have the following relation or constraint**

$$g(v_x, v_y, v_z) = 1 - (v_x^2 + v_y^2 + v_z^2) = 0 \quad (3.48)$$

Now we will find the extremum of  $\tilde{\varepsilon}_{xx}$  by constructing a function such that

$$F(v_x, v_y, v_z, \lambda) \tilde{\varepsilon}_{xx} = f(v_x, v_y, v_z) + \lambda g(v_x, v_y, v_z) \quad (3.49)$$

According to the **Lagrangian multiplier method**, the values of  $\mathbf{v}^*$  for the extremum of  $F$  are obtained from

$$\frac{\partial F}{\partial v_x} = 2(\varepsilon_{xx} - \lambda)v_x + 2\varepsilon_{xy}v_y + 2\varepsilon_{xz}v_z = 0$$

$$\frac{\partial F}{\partial v_y} = ?$$

$$\frac{\partial F}{\partial v_z} = ?$$

$$\frac{\partial F}{\partial \lambda} = ?$$

**In matrix form**

$$\begin{bmatrix} \varepsilon_{xx} - \lambda, \varepsilon_{xy}, \varepsilon_{xz} \\ \varepsilon_{xy}, ?, ? \\ \varepsilon_{xz}, ?, ? \end{bmatrix} \begin{Bmatrix} v_x \\ v_y \\ v_z \end{Bmatrix} = 0 \quad (3.50)$$

**If we introduce the following shorthand notation**

$$\varepsilon = \begin{bmatrix} \varepsilon_{xx}, \varepsilon_{xy}, \varepsilon_{xz} \\ \varepsilon_{xy}, ?, ? \\ \varepsilon_{xz}, ?, ? \end{bmatrix} = 0 \quad (3.51)$$

$$v = \begin{Bmatrix} v_x \\ v_y \\ v_z \end{Bmatrix} \quad (3.52)$$

$$I = \begin{bmatrix} 1, 0, 0 \\ 0, 1, 0 \\ 0, 0, 1 \end{bmatrix} = \text{Unity Matrix} \quad (3.53)$$

$$(\varepsilon - \lambda I)v = 0 \quad (3.54)$$

In order to have **nontrivial solutions** for  $v$ , the determinant  $|\varepsilon - \lambda I| = 0$

**Or**  $?$  (3.55)

This equation holds for **special values of  $\lambda$** . These special values are called **eigenvalues**.

Expanding Eq. (3.55), we will have a cubic equation for  $\lambda$  ;

$$-\lambda^3 + J_1\lambda^2 - J_2\lambda + J_3 = 0 \quad (3.56)$$

where

$$J_1 = ? \quad (3.57)$$

$$J_2 = ? \quad (3.58)$$

$$J_3 = ? \quad (3.59)$$

**First strain invariant  $J_1$  , second strain invariant  $J_2$  and third strain invariant  $J_3$  do not change under coordinate transformation.**

Since  $\mathcal{E}$  is **symmetric**, these exist **three real eigenvalues** which are obtained by solving the cubic equation (3.56). These eigenvalues will be denoted as

$\lambda_I$ ,  $\lambda_{II}$ , and  $\lambda_{III}$ . Now suppose that we know  $\lambda_I$  and let  $v^I$  be  $v$  associated with  $\lambda_I$ .

Then we have from Eq. (3.50),

$$(\varepsilon - \lambda I)v^I = 0 \quad (3.60)$$

or in matrix form

$$? \quad (3.61)$$

Only two of the above equations are independent and the third equation is provided by the constraint equation

$$(v_x^I)^2 + (v_y^I)^2 + (v_z^I)^2 = 1 \quad (3.62)$$

From the three equations, we calculate  $v_x^I$ ,  $v_y^I$ , and  $v_z^I$ .

In a similar manner, we can calculate  $v^{II}$  and  $v^{III}$ .

Now we **will show that**  $\lambda_I$  is actually a principal strain. Pre-multiplying Eq.

(3.60) with  $(v^I)^T$ ,

$$(\vec{v}^I)^T (\vec{\varepsilon} - \lambda_I \vec{I}) \vec{v}^I = 0$$

Or

$$(\vec{v}^I)^T \vec{\varepsilon} \vec{v}^I - \lambda_I (\vec{v}^I)^T \vec{v}^I = 0 \quad (3.63)$$

From the orthonormality condition Eq. (3.62),

$$(\vec{v}^I)^T \vec{v}^I = 1$$

On the other hand, by noticing Eqs. (3.42) and (3.46), we can show

$$\tilde{\varepsilon}_{xx}^I = (\vec{v}^I)^T \vec{\varepsilon} \vec{v}^I$$

**Therefore we conclude** by introducing these two equations into Eq. (3.63) that

$$\lambda_I = \tilde{\varepsilon}_{xx}^I \quad (3.64)$$

In order to indicate  $\lambda_I, \lambda_{II},$  and  $\lambda_{III}$  are actually principal strains, we use  $\varepsilon_I, \varepsilon_{II},$  and  $\varepsilon_{III}$  such that

$$\begin{aligned} \varepsilon_I &= \lambda_I \\ \varepsilon_{II} &= \lambda_{II} \\ \varepsilon_{III} &= \lambda_{III} \end{aligned} \quad (3.65)$$

With  $\varepsilon_I, \varepsilon_{II},$  and  $\varepsilon_{III}$ , the cubic equation (3.56) can be expressed as

$$-\lambda^3 + J_1\lambda^2 - J_2\lambda + J_3 = -(\lambda - \varepsilon_I)(\lambda - \varepsilon_{II})(\lambda - \varepsilon_{III}) = 0$$

Expanding, **we have**

$$\begin{aligned}
 J_1 &= ? \\
 J_2 &= ? \\
 J_3 &= ?
 \end{aligned}
 \tag{3.66}$$

Therefore, since the principal strains for the given state of strain are unique,  $J_1$ ,  $J_2$ , and  $J_3$  are **invariant**.

### Orthogonality of Principal Directions

The principal directions are orthogonal with each other. For example, if  $\lambda_I \neq \lambda_{II}$ , then eigenvectors  $\vec{v}^I$  and  $\vec{v}^{II}$  are orthogonal.

That is

$$(\vec{v}^I)^T \vec{v}^{II} = 0
 \tag{3.67}$$

In unabridged form,

$$\lambda_x^I \lambda_x^{II} + \lambda_y^I \lambda_y^{II} + \lambda_z^I \lambda_z^{II} = 0 \quad (2.68)$$

**(Proof !)**

## 2.8 Volume Change

We will determine the volume change of the small parallelepiped as shown in Fig. 2. The initial volume before deformation is  $dV_0 = dx dy dz$ . The volume after deformation is

$$dV = \frac{\partial \vec{x}}{\partial X} dX \cdot \left( \frac{\partial \vec{x}}{\partial Y} dY \times \frac{\partial \vec{x}}{\partial Z} dZ \right) \quad (3.69)$$

Using the formula for the product of two **triple scalar product** such that

$$[\vec{u} \cdot (\vec{v} \times \vec{w})][\vec{a} \cdot (\vec{b} \times \vec{c})] = \begin{bmatrix} \vec{u} \cdot \vec{a}, \vec{u} \cdot \vec{b}, \vec{u} \cdot \vec{c} \\ \vec{v} \cdot \vec{a}, \vec{v} \cdot \vec{b}, \vec{v} \cdot \vec{c} \\ \vec{w} \cdot \vec{a}, \vec{w} \cdot \vec{b}, \vec{w} \cdot \vec{c} \end{bmatrix} \quad (3.70)$$

Then

$$\begin{aligned} (dV)^2 &= \left[ \frac{\partial \vec{x}}{\partial X} dX \cdot \left( \frac{\partial \vec{x}}{\partial Y} dY \times \frac{\partial \vec{x}}{\partial Z} dZ \right) \right] \left[ \frac{\partial \vec{x}}{\partial X} dX \cdot \left( \frac{\partial \vec{x}}{\partial Y} dY \times \frac{\partial \vec{x}}{\partial Z} dZ \right) \right] \\ &= \left[ \frac{\partial \vec{x}}{\partial X} \cdot \left( \frac{\partial \vec{x}}{\partial Y} \times \frac{\partial \vec{x}}{\partial Z} \right) \right] \left[ \frac{\partial \vec{x}}{\partial X} \cdot \left( \frac{\partial \vec{x}}{\partial Y} \times \frac{\partial \vec{x}}{\partial Z} \right) \right] (dXdYdZ)^2 \\ &= \begin{vmatrix} \dots \\ \dots? \dots \\ \dots \end{vmatrix} (dV_o)^2 \end{aligned}$$

$$= \begin{vmatrix} 1 + 2E_{XX}, E_{XY}, E_{XZ} \\ E_{XY}, 1 + 2E_{YY}, E_{YZ} \\ E_{XZ}, E_{YZ}, 1 + 2E_{ZZ} \end{vmatrix} (dV_o)^2 = G(dV_o)^2$$

Therefore

$$dV = \sqrt{G} dV_o \quad (3.71)$$

Expanding,

$$G = 1 + 2J_1 + 4J_2 + 8J_3 \quad (3.72)$$

The **relative volume change** of the element is defined as

$$\frac{dV - dV_o}{dV_o} = \frac{dV}{dV_o} - 1 = \sqrt{G} - 1 = \sqrt{1 + 2J_1 + 4J_2 + 8J_3} - 1 \quad (3.73)$$

For small strains,  $1 \gg J_1 \gg J_2 \gg J_3$ .

Then the relative change becomes

$$\frac{dV - dV_0}{dV_0} \approx \sqrt{1 + 2J_1} - 1 \approx 1 + 2J_1 - 1 = J_1$$

Therefore, for small strains,

$$\frac{dV - dV_0}{dV_0} \approx J_1 = \varepsilon_x + \varepsilon_y + \varepsilon_z \quad (3.74)$$

On the other hand, we can express the volume change in terms of the determinant of Jacobian matrix  $J$ .

Rewriting Eq. (3.69),

$$dV = \frac{\partial \vec{x}}{\partial X} \cdot \left( \frac{\partial \vec{x}}{\partial Y} \times \frac{\partial \vec{x}}{\partial Z} \right) dX dY dZ = |J| dV_0 \quad (3.75)$$

where  $J$  is Jacobian matrix between  $X$  and  $x$  coordinate systems and is

equivalent to  $F$  if  $F$  is written as a  $3 \times 3$  matrix such that

$$J = F = \begin{bmatrix} \frac{\partial x}{\partial X} & \frac{\partial x}{\partial Y} & \frac{\partial x}{\partial Z} \\ \frac{\partial y}{\partial X} & \frac{\partial y}{\partial Y} & \frac{\partial y}{\partial Z} \\ \frac{\partial z}{\partial X} & \frac{\partial z}{\partial Y} & \frac{\partial z}{\partial Z} \end{bmatrix} \quad (3.76)$$

Comparing Eqs. (3.71) and (3.75), we know that

$$J = |\mathbf{J}| = \sqrt{G} = \det(\mathbf{F}) \quad (3.77)$$

## 2,9 Change of Area

Let us consider a small triangle PAB in the undeformed body with two side vectors  $dX^{(1)}$  and  $dX^{(2)}$ . This triangle becomes the triangle  $pab$  with two side vectors  $dx^{(1)}$  and  $dx^{(2)}$  after deformation.  $dS^\circ$  and  $dS$  are areas of these triangles. In addition, let  $\mathbf{N}$  and  $\mathbf{n}$  be the unit normal vectors of them. We will determine the area change in these triangles. Then

**Figure 2.6: Change of a triangle element**

$$NdS^\circ = \frac{1}{2} dX^{(1)} \times dX^{(2)} = \frac{1}{2} P_{rst} dX_s^{(1)} dX_t^{(2)} i_r$$

$$ndS = \frac{1}{2} dx^{(1)} \times dx^{(2)} = \frac{1}{2} P_{ijk} dx_j^{(1)} dx_k^{(2)} i_i$$

**From Eq. (2.3),**

$$dx_j^{(1)} = \frac{\partial x_j}{\partial X_s} dX_s^{(1)}$$

$$dx_k^{(2)} = \frac{\partial x_k}{\partial X_t} dX_t^{(2)}$$

**Then**

$$ndS \cdot F = P_{ijk} \frac{\partial x_j}{\partial X_s} \frac{\partial x_k}{\partial X_t} dX_s^{(1)} dX_t^{(2)} i_i \frac{\partial x_l}{\partial X_r} i_l i_r$$

$$= P_{ijk} \frac{\partial x_i}{\partial X_r} \frac{\partial x_k}{\partial X_s} \frac{\partial x_k}{\partial X_t} dX_s^{(1)} dX_t^{(2)} i_r$$

$$= P_{rst} (\det F) dX_s^{(1)} dX_t^{(2)} i_r$$

$$= (\det F) NdS^\circ$$

**Therefore, using Eq. (2.76)**

$$NdS^\circ = \frac{1}{J} n \cdot FdS \quad (2.78)$$

**This relationship is called Nanson's formula.**