Electromagnetics <Chap. 10> Waveguides and Cavity Resonators **Section 10.1 ~ 10.2**

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(1st of **week 6**)



Chap. 10 Contents for 1st class of week 6

Sec 1. Introduction

- Difference between Chap. 9 (Transmission lines) and Chap. 10 (Waveguides) ۲
- General wave behaviors (TEM, TE, TM) in the guiding structures •
- Various waveguides •
 - Parallel-plate, rectangular, circular and dielectric-slab waveguides
- Cavity resonators ●

Sec 2. General wave behaviors along a uniform guiding structures

For TEM and TM waves ullet

Chap. 10 Difference between Chap. 9 and Chap. 10

- In <Chapter 9> Theory and Applications of Transmission Lines,
- Propagation of TEM wave in parallel-plate, two-wire, and coaxial transmission lines



- In <Chapter 10> Waveguides and Cavity resonators,
- Propagation of all TEM, TM, TE wave not only in parallel plate, but also in other waveguides
- Attenuation coefficient for a general transmission line
- Low-loss line ($R \ll \omega L$, $G \ll \omega L$)

 $\alpha = R_{\sqrt{\frac{C}{I}}}$

$$\alpha \cong \frac{1}{2} \left(R \sqrt{\frac{C}{L}} + G \sqrt{\frac{L}{C}} \right) \longrightarrow \alpha \propto R \propto \sqrt{f}$$

Distortionless line (*R/L* = *G/C*) where *R* results from

conductivity of the lines

→ TEM not supported at

microwave range!

1. These are **NOT THE ONLY** wave-guiding structures (=waveguides)

2. TEM is **NOT THE ONLY** mode that these structures can support

	TR Lines	Parallel plate	Two-wire	Coaxial
finite les ed at	$R\left(\Omega / m\right)$	$\frac{2}{w}\sqrt{\frac{\pi f\mu_c}{\sigma_c}}$	$\frac{R_s}{\pi a}$	$\frac{R_s}{2\pi} \left(\frac{1}{a} + \frac{1}{b}\right)$
	where $R_s = \sqrt{\frac{\pi f \mu_c}{\sigma_c}}$			



Chap. 10 Overview (1/2)

- Section 10.2 Wave definition and general behavior
- **TEM** wave: No field components in the propagation direction (Both $E \perp k$ and $H \perp k$)
- *TE* wave: Having a longitudinal *H*-field (Only $E \perp k$)
- *TM* wave: Having a longitudinal *E*-field (Only $H \perp k$)
- Characteristics of TE and TM waves
- Have a cut-off frequency (f_c)
- Power & Signal transmission only possible when $f > f_c$ (\rightarrow High-pass filters)

• Section 10.3 – Parallel-plate waveguides with TE & TM modes

- Transverse components (i.e., x and y) of the fields expressed in terms Longitudinal components (i.e., z)
 - For TM modes: E_x , E_y , H_x , $H_y = f(E_z)$ (E_z : longitudinal E-field, $H_z = 0$)
 - ► For TE modes: E_x , E_y , H_x , $H_y = f(H_z)$ (H_z : longitudinal H-field, $E_z = 0$)

- Attenuation coefficient a due to imperfect conducting walls

- Depends on the mode of propagating wave and frequency
- For TM modes: $f\uparrow \rightarrow a\uparrow$
- For TE modes: $f\uparrow \rightarrow a\downarrow$
- * Mode: A wave propagating in the structure with a particular frequency and energy





Parallel-plate waveguides

Chap. 10 Overview (2/2)

- Section 10.4 & 10.5 Hollow metal-pipe of an arbitrary cross-sections
- TEM CANNOT BE supported in such waveguides!
- Only TM & TE waves are possible to pass
- Section 10.6: Dielectric slab waveguide
- Fields are *confined within the dielectric* (core)
- Fields *decay rapidly away* from the slab surface in the transverse plane (cladding)
- TE & TM waves in dielectric slab wave-guide = "Surface waves"

• Section 10.7: Cavity resonator

- Resonator: Device or system exhibiting *resonance* (Waves oscillating at *SOME frequency* with *greater amplitude* than others)
- A hollow metal box with proper dimension \rightarrow "Resonant device"
- Box walls providing large areas for current flow with extremely small losses → Resonance with very high Q-factor
 - e.g. 1) Acoustic resonator in musical instruments
 - e.g. 2) Quartz crystals in radio transmitter
 - e.g. 3) Quartz watches for oscillation of precise frequencies



Metal box resonator

Chap. 10 Waves within a uniform waveguide

- Characteristics for waves within a uniform dielectric waveguide
- Time-harmonic electromagnetic wave

$$\boldsymbol{E}(x,y,z,t) = \operatorname{Re}\left[\boldsymbol{E}(x,y,z)e^{j\omega t}\right] \text{ where } \left(\boldsymbol{E}(x,y,z) = Here, \ \gamma = \alpha + j\beta\right)$$

- Homogenous wave eqns in "charge-free dielectric region"

 $\begin{cases} \nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0 \\ \nabla^2 \mathbf{H} + k^2 \mathbf{H} = 0 \end{cases} \text{ where } k = \omega \sqrt{\mu \varepsilon} \text{ is the wavenumber} \\ \text{where } \nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \text{ is Laplacian operator} \end{cases}$

- Decomposition of Laplacian

$$\nabla^{2} = \nabla_{xy}^{2} + \nabla_{z}^{2}$$

"Longitudinal" coordinate
"Cross-sectional" coordinate

$$\rightarrow \nabla^{2} \mathbf{E} = \nabla_{xy}^{2} \mathbf{E} + \nabla_{z}^{2} \mathbf{E} = \nabla_{xy}^{2} \mathbf{E} + \frac{\partial^{2} \mathbf{E}}{\partial z^{2}}$$

$$= \nabla_{xy}^{2} \mathbf{E} + \gamma^{2} \mathbf{E}$$



is a propagation constant



<Uniform dielectric waveguide>

- transverse plane: xy plane
- Propagation direction: z

- New form of Homogenous wave eqns.

$$\begin{cases} \nabla_{xy}^{2} \boldsymbol{E} + (\gamma^{2} + k^{2}) \boldsymbol{E} = 0 \\ \nabla_{xy}^{2} \boldsymbol{H} + (\gamma^{2} + k^{2}) \boldsymbol{H} = 0 \end{cases}$$

Still 6 equations for E_x , E_y , E_z , H_x , H_y , H_z but in different notation

- : Solution depends on
- cross sectional geometry
- (ii) cladding-dielectric boundary condition



Chap. 10 Transverse & longitudinal fields

- Inter-relationship among six components
 - *E* and *H* components are partly dependent and no need to solve all 6 equations!

$$\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H} \quad \longleftarrow \quad \mathbf{Maxwell's Equations} \quad \nabla \times \mathbf{H} = j\omega\varepsilon\mathbf{E}$$

$$\begin{vmatrix} \mathbf{a}_{x} & \mathbf{a}_{y} & \mathbf{a}_{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ E_{x}^{0}e^{-\gamma z} & E_{y}^{0}e^{-\gamma z} & E_{z}^{0}e^{-\gamma z} \end{vmatrix} = -j\omega\mu(\mathbf{a}_{x}H_{x}^{0} + \mathbf{a}_{y}H_{y}^{0} + \mathbf{a}_{z}H_{z}^{0})e^{-\gamma z}$$

$$\begin{vmatrix} \mathbf{a}_{x} & \mathbf{a}_{y} & \mathbf{a}_{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ H_{x}^{0}e^{-\gamma z} & H_{y}^{0}e^{-\gamma z} \end{vmatrix} = j\omega\varepsilon(\mathbf{a}_{x}E_{x}^{0} + \mathbf{a}_{y}E_{y}^{0} + \mathbf{a}_{z}E_{z}^{0})e^{-\gamma z}$$

$$\left| \begin{array}{c} \frac{\partial E_{z}^{0}}{\partial x} & -\gamma E_{z}^{0}e^{-\gamma z} \\ -\frac{\partial E_{z}^{0}}{\partial x} & -\gamma E_{x}^{0} = -j\omega\mu H_{y}^{0} & \cdots(a) \\ -\frac{\partial E_{z}^{0}}{\partial x} & -\gamma E_{x}^{0} = -j\omega\mu H_{y}^{0} & \cdots(b) \\ \frac{\partial E_{y}^{0}}{\partial x} & -\frac{\partial E_{x}^{0}}{\partial y} = -j\omega\mu H_{z}^{0} & \cdots(c) \end{array} \right| \quad \begin{array}{c} Curl Equations \\ Transverse components \\ (E_{x}, E_{y}, H_{x}, H_{y}) \\ can be expressed in terms of \\ longitudinal components! \\ (E_{z}, H_{z}) \end{aligned} \qquad \left(\begin{array}{c} \frac{\partial H_{z}^{0}}{\partial x} - \gamma H_{x}^{0} = j\omega\varepsilon E_{z}^{0} & \cdots(c) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} & \cdots(c) \end{array} \right)$$

Assumptions

- All the field quantities in the phasor *depend only on x, y*

- Only propagation factor e-yz depends on z

$$\begin{cases} \boldsymbol{E}(x,y,z) = \boldsymbol{E}^{0}(x,y)e^{-\gamma z} = \left(\boldsymbol{a}_{x}E_{x}^{0} + \boldsymbol{a}_{y}E_{y}^{0} + \boldsymbol{a}_{z}E_{z}^{0}\right)e^{-\gamma z} \\ \boldsymbol{H}(x,y,z) = \boldsymbol{H}^{0}(x,y)e^{-\gamma z} = \left(\boldsymbol{a}_{x}H_{x}^{0} + \boldsymbol{a}_{y}H_{y}^{0} + \boldsymbol{a}_{z}H_{z}^{0}\right)e^{-\gamma z} \end{cases}$$

Chap. 10 General behavior of wave within a guide

• Transverse (E_x , E_y , H_x , H_y) components in terms of longitudinal (E_z , H_z) components

$$\begin{cases} E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) & \cdots(1) \\ E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) & \cdots(2) \end{cases} \quad H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) & \cdots(3) \\ H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) & \cdots(4) \end{cases}$$

• Procedures to determine EM wave within a waveguide

1. Solve the wave eqns for E_z , H_z with given boundary conditions

- Classification of EM wave in terms of (E_z, H_z)
- Transverse Magnetic (TM) wave: $H_z = 0$, but nonzero E_z
- *Transverse Electric (TE) wave*: $E_z = 0$, but nonzero H_z

where $h^2 = \gamma^2 + k^2$

i.e., (E_x, E_y, H_x, H_y) are functions of (E_z, H_z) !!

2. Substitute *E_z*, *H_z* into above eqns to obtain E_x , E_y , H_x , H_y

- **TEM wave**: No longitudinal components, H_z , $E_z = 0$ (wave in unbounded medium [Chap. 8], wave in transmission lines [Chap. 9])

Chap. 10 | TEM wave within a guide (1/3)

• TEM wave within a guide

$$\begin{cases} E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) & \cdots(1) \\ E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) & \cdots(2) \end{cases} \quad H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) & \cdots(3) \\ H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) & \cdots(4) \end{cases}$$

- Characteristics of TEM waves within a guide
- Propagation constant γ_{TEM}

$$h^{2} = \gamma_{TEM}^{2} + k^{2} = 0 \quad \rightarrow \quad \gamma_{TEM} = jk = j\omega\sqrt{\mu\varepsilon}^{*}$$
 Exactly so or in *loss*

- Velocity of propagation (phase velocity)

$$u_{p(TEM)} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\varepsilon}}$$
 (m/s)

- Wave impedance

$$Z_{TEM} = \frac{E_x^0}{H_y^0} = \frac{j\omega\mu}{\gamma_{TEM}} = \frac{\gamma_{TEM}}{j\omega\varepsilon} = \sqrt{\frac{\mu}{\varepsilon}} = \eta \quad (\Omega)$$



Since E_z^0 , $H_z^0 = 0$ for TEM,

- 1. Trivial case: All $(E_x^0, E_y^0, H_x^0, H_y^0)$ are zero (meaningless!)
- 2. Nontrivial case:

$$h^2 = \gamma^2 + k^2 = 0$$

same as y for *uniform plane wave* in an unbounded medium selected selected as a selected selected as a selected selected as a selected as selected as a selected as a selected as a sel

In the Curl eqns (b) and (e), set $E_z^0 = 0$ and $H_z^0 = 0$

$$\int_{x}^{0} + \gamma E_{y}^{0} = -j\omega\mu H_{x}^{0} \quad \dots (a)$$

$$\int_{x}^{0} - \gamma E_{x}^{0} = -j\omega\mu H_{y}^{0} \quad \dots (b)$$

$$\begin{cases} \frac{\partial H_{z}^{0}}{\partial y} + \gamma H_{y}^{0} = j\omega\varepsilon E_{x}^{0} \quad \dots (e) \\ -\frac{\partial H_{z}^{0}}{\partial x} - \gamma H_{x}^{0} = j\omega\varepsilon E_{y}^{0} \quad \dots (f) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} \quad \dots (g) \end{cases}$$

$$\begin{cases} \frac{\partial H_{z}^{0}}{\partial y} + \gamma H_{y}^{0} = j\omega\varepsilon E_{y}^{0} \quad \dots (e) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} \quad \dots (g) \end{cases}$$

$$\begin{cases} \frac{\partial H_{z}^{0}}{\partial y} + \gamma H_{y}^{0} = j\omega\varepsilon E_{y}^{0} \quad \dots (e) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} \quad \dots (g) \end{cases}$$

$$\begin{cases} \frac{\partial H_{z}^{0}}{\partial x} - \gamma H_{x}^{0} = j\omega\varepsilon E_{z}^{0} \quad \dots (g) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} \quad \dots (g) \end{cases}$$

Chap. 10 | TEM wave within a guide (2/3)

• Characteristics of TEM wave within a guide

$$u_{p(TEM)} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\epsilon}}$$
 (m/s) $Z_{TEM} = \sqrt{\frac{\mu}{\epsilon}} = \eta$ (\Omega) Both plant independent of the second se

• Relationship between E and H via Z_{TEM}

$$\begin{cases} \frac{\partial E_{z}^{0}}{\partial y} + \gamma E_{y}^{0} = -j\omega\mu H_{x}^{0} & \cdots (a) \\ \frac{\partial E_{z}^{0}}{\partial x} - \gamma E_{x}^{0} = -j\omega\mu H_{y}^{0} & \cdots (b) \\ \frac{\partial E_{z}^{0}}{\partial x} - \gamma E_{x}^{0} = -j\omega\mu H_{z}^{0} & \cdots (c) \end{cases} \xrightarrow{\qquad (d)} \begin{cases} \frac{\partial H_{y}^{0}}{\partial y} + \gamma H_{y}^{0} = j\omega\varepsilon E_{x}^{0} & \cdots (e) \\ \frac{\partial H_{z}^{0}}{\partial x} - \gamma H_{x}^{0} = j\omega\varepsilon E_{y}^{0} & \cdots (f) \\ \frac{\partial H_{z}^{0}}{\partial x} - \gamma H_{x}^{0} = j\omega\varepsilon E_{z}^{0} & \cdots (f) \\ \frac{\partial H_{y}^{0}}{\partial x} - \frac{\partial H_{x}^{0}}{\partial y} = j\omega\varepsilon E_{z}^{0} & \cdots (g) \end{cases}$$
by setting $E_{z}^{0} = 0$ and $H_{z}^{0} = 0$ in the Curl equations (b) and (e),
 $Z_{TEM} \triangleq \frac{E_{x}^{0}}{H_{y}^{0}} = \sqrt{\frac{\mu}{\varepsilon}} & (\Omega) \rightarrow H_{y}^{0} = \frac{1}{Z_{TEM}} E_{x}^{0} & \cdots (1) \end{cases}$ by setting $E_{z}^{0} = 0$ and $H_{z}^{0} = 0$ in the Curl equations (a) and (f),
 $\frac{E_{y}^{0}}{H_{x}^{0}} = -\sqrt{\frac{\mu}{\varepsilon}} = -Z_{TEM} & (\Omega) \rightarrow H_{x}^{0} = -\frac{1}{Z_{TEM}} E_{y}^{0} & \cdots (2)$

by combining (1) and (2), we get

$$\boldsymbol{H} = \frac{1}{Z_{TEM}} \boldsymbol{a}_{z} \times \boldsymbol{E} \quad (A/m)$$

c.f.) *E* and *H* in an unbounded medium

$$\boldsymbol{H} = \frac{1}{\eta} \boldsymbol{a}_{z} \times \boldsymbol{E} \quad (A/m)$$

hase velocity & wave impedance ndent of frequency!

Chap. 10 TEM wave within a guide (3/3)

• "single conductor" waveguide cannot support TEM wave! (see <Fig.1>, <Fig.2>)

• Proof of the statement

- Suppose that TEM wave exists in such a guide
- Its **B** and **H** should form a closed loop in a transverse plane (xy)

 $:: \nabla \cdot \mathbf{B} = 0$ (Magnetic flux lines close upon themselves)

- According to Ampere's circuital law (see <Fig. 4> and <Fig.5>),

$$\oint_{C} \boldsymbol{H} \cdot d\boldsymbol{l} = \int_{S} \left(\boldsymbol{J} + \frac{\partial \boldsymbol{D}}{\partial t} \right) \cdot d\boldsymbol{s}$$

Line integral of **H** around any closed loop C in a transverse plane

Longitudinal conduction & displacement currents through the loop C

- By definition, TEM wave does not have longitudinal E_z
 - \rightarrow No longitudinal current (**J** and $\delta D/\delta t$) can flow
 - \rightarrow Thus, **B** and **H** do not exist in a transverse plane
 - \rightarrow Thus, *E* and *D* also do not exist in a transverse plane
 - .: TEM cannot exist in a single conductor waveguide!



• However, if there is an inner conductor as in <Fig. 3>, TEM can be supported!



Chap. 10 TM wave within a guide (1/5)

• Transverse Magnetic (TM) waves within a uniform guide

- *H*-field = 0 in the propagation direction $\rightarrow H_z = 0$
- *E*-field has a non-zero longitudinal component $\rightarrow E_z \neq 0$

• Procedure to determine the actual field components

- Solve the homogeneous equation for longitudinal E_{z⁰} [Eqn. (A)] with given B.C.
- Plug E_z into **Eqns. (B)** to find transverse E_x^0 , E_y^0 , H_x^0 , H_y^0
 - Since $H_z = 0$, **Eqns. (B)** reduce to **Eqns. (B')** as

$$\left(\boldsymbol{B}' \right) - \begin{cases} \boldsymbol{E}_{x}^{0} = -\frac{\gamma}{h^{2}} \frac{\partial \boldsymbol{E}_{z}^{0}}{\partial x} \quad \cdots(1)' \\ \boldsymbol{E}_{y}^{0} = -\frac{\gamma}{h^{2}} \frac{\partial \boldsymbol{E}_{z}^{0}}{\partial y} \quad \cdots(2)' \\ \boldsymbol{H}_{x}^{0} = \frac{j\omega\varepsilon}{h^{2}} \frac{\partial \boldsymbol{E}_{z}^{0}}{\partial y} \quad \cdots(3)' \\ \boldsymbol{H}_{y}^{0} = -\frac{j\omega\varepsilon}{h^{2}} \frac{\partial \boldsymbol{E}_{z}^{0}}{\partial x} \quad \cdots(4)' \end{cases} \quad \Rightarrow \text{By combining (1)' and (2)', we get} \\ \left[\boldsymbol{a}_{x} \boldsymbol{E}_{x}^{0} + \boldsymbol{a}_{y} \boldsymbol{E}_{y}^{0} \triangleq \boldsymbol{E}_{TM}^{0} \right] = \left[-\frac{\gamma}{h^{2}} \left(\boldsymbol{a}_{x} \frac{\partial}{\partial x} + \boldsymbol{a}_{y} \frac{\partial}{\partial y} \right) \boldsymbol{E}_{z}^{0} \triangleq -\frac{\gamma}{h^{2}} \nabla_{T} \boldsymbol{E}_{z}^{0} \right] \\ \vdots \quad \boldsymbol{E}_{TM}^{0} = -\frac{\gamma}{h^{2}} \nabla_{T} \boldsymbol{E}_{z}^{0} \\ \text{where } \boldsymbol{E}_{TM}^{0} \text{ is transverse electric field} \\ \text{where } \nabla_{T} \boldsymbol{E}_{z}^{0} \text{ is the gradient of } \boldsymbol{E}_{z}^{0} \text{ in the transverse electric field} \end{cases}$$

formula for finding E_{x^0} and E_{y^0} from E_{z^0}

$$\begin{pmatrix} \boldsymbol{A} \end{pmatrix} - \left\{ \nabla_{xy}^{2} E_{z}^{0} + (\gamma^{2} + k^{2}) E_{z}^{0} = 0 \\ \left\{ \begin{split} E_{x}^{0} &= -\frac{1}{h^{2}} \left(\gamma \frac{\partial E_{z}^{0}}{\partial x} + j \omega \mu \frac{\partial H_{z}^{0}}{\partial y} \right) & \cdots(1) \\ E_{y}^{0} &= -\frac{1}{h^{2}} \left(\gamma \frac{\partial E_{z}^{0}}{\partial y} - j \omega \mu \frac{\partial H_{z}^{0}}{\partial x} \right) & \cdots(2) \\ H_{x}^{0} &= -\frac{1}{h^{2}} \left(\gamma \frac{\partial H_{z}^{0}}{\partial x} - j \omega \varepsilon \frac{\partial E_{z}^{0}}{\partial y} \right) & \cdots(3) \\ H_{y}^{0} &= -\frac{1}{h^{2}} \left(\gamma \frac{\partial H_{z}^{0}}{\partial y} + j \omega \varepsilon \frac{\partial E_{z}^{0}}{\partial x} \right) & \cdots(4) \end{cases}$$

transverse plane



Chap. 10 TM wave within a guide (2/5)

• W

-

$$Ave impedance$$
By combining equations (1)' and (4)', or (2)' and (3)',
$$Z_{TM} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{\gamma}{j\omega\varepsilon} \quad (\Omega) \quad \longrightarrow \quad H = \frac{1}{Z_{TM}} (\boldsymbol{a}_z \times \boldsymbol{E}) \quad (A/m)$$

$$H = \frac{1}{Z_{TM}} (\boldsymbol{a}_z \times \boldsymbol{E}) \quad (A/m)$$

$$H = \frac{1}{Z_{TM}} (\boldsymbol{a}_z \times \boldsymbol{E}) \quad (A/m)$$

$$H_y^0 = -\frac{j\omega\varepsilon}{h^2} \frac{\partial E_z^0}{\partial y} \quad \cdots \quad (3)'$$

$$H_y^0 = -\frac{j\omega\varepsilon}{h^2} \frac{\partial E_z^0}{\partial x} \quad \cdots \quad (4)'$$

• S

- Subject to B.C. of a given waveguide

$$(\mathbf{A}) - \left\{ \nabla_{xy}^2 E_z^0 + (\gamma^2 + k^2) E_z^0 = 0 \right\}$$

- Solution only possible for discrete values of h! ---- Characteristic values or eigenvalues of the boundary-value problem
- Each eigenvalue determines the characteristics of a particular mode of the given waveguide
- Eigenvalues in many cases are *real numbers*

Will be covered in greater detail with a particular waveguide! (next class)

Chap. 10 TM wave within a guide (3/5)

• Frequency-dependence of TM waves

- f where [propagation constant (γ) = 0] is given by

$$\gamma = \sqrt{h^2 - k^2} = \sqrt{h^2 - \omega^2 \mu \varepsilon} = 0 \quad \rightarrow \quad \omega_c^2 \mu \varepsilon = h^2 \quad \rightarrow$$

$$\therefore \gamma = h \sqrt{1 - \left(\frac{f}{f_c}\right)^2}$$

• When $f > f_c \rightarrow \gamma$: purely imaginary

$$\gamma = j\beta = jk\sqrt{1 - \left(\frac{h}{k}\right)^2} = jk\sqrt{1 - \left(\frac{f_c}{f}\right)^2} \longrightarrow \text{ propagating mode with } \beta = k\sqrt{1 - \left(\frac{f_c}{f}\right)^2} \quad (\text{rad/m})$$

- Corresponding wavelength *in the guide*

$$\lambda_{g} = \frac{2\pi}{\beta} = \frac{\lambda}{\sqrt{1 - (f_{c}/f)^{2}}} > \lambda, \text{ where } \lambda = \frac{2\pi}{k} = \frac{1}{f\sqrt{\mu\varepsilon}} = \frac{1}{f}$$

where *u* is the velocity of light *in that medium*

$$f_c = \frac{h}{2\pi\sqrt{\mu\varepsilon}} : c$$
 (de

cut-off frequency epending on the eigenvalue)

 $\frac{\pi}{c}$ is a wavelength of a plane wave *in an unbounded dielectric medium (µ, ε)*



Chap. 10 TM wave within a guide (4/5)

- When $f > f_c \rightarrow \gamma$: purely imaginary
- Phase velocity of the propagating wave in the guide

$$u_{p} = \frac{\omega}{\beta} = \frac{u}{\sqrt{1 - (f_{c}/f)^{2}}} > u \longrightarrow (1) \ u_{p} \text{ within a waveguid}$$

$$(2) \ u_{p} \text{ is frequency-dependency}$$

$$(2) \ Waveguide \text{ for TM}$$

- Group velocity

- Wave impedance Z_{TM}

By plugging
$$\gamma = jk\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$
 into $Z_{TM} = \frac{\gamma}{j\omega\varepsilon}$, $\therefore Z_{TM} = \eta\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$

le is always higher than *u* in an unbounded medium endent

= dispersive system

velocity in a lossless medium

city of signal propagation (or *energy transport*) [will be discussed in next class]

(1) Purely *resistive*

(2) Always smaller than intrinsic impedance of the dielectric

Chap. 10 TM wave within a guide (5/5)

• When $f < f_c \rightarrow \gamma$: real

- Propagation constant (y)

$$\gamma = \alpha = h \sqrt{1 - \left(\frac{f}{f_c}\right)^2}$$
: Attenuation constant \longrightarrow Wave p

- Wave with $f < f_c$ attenuates - Wave with $f > f_c$ propagates with β
- Wave impedance Z_{TM}

By plugging
$$\gamma = h \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$
 into $Z_{TM} = \frac{\gamma}{j\omega\varepsilon}$, $\therefore Z_{TM} = -j\frac{h}{\omega\varepsilon}\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$

propagating with $e^{-\gamma z} = e^{-\alpha z}$ (Rapidly decaying with $z \rightarrow Evanescent mode$)

a waveguide for TM wave acts like a *high-pass filter*!

Purely reactive below cutoff frequency \rightarrow *E* and *H* in a phase quadrature → No power flow associated with such an evanescent wave because

$$P_{av} = \frac{1}{2} \operatorname{Re} \left(\boldsymbol{E} \times \boldsymbol{H}^* \right) = 0$$



Electromagnetics <Chap. 10> Waveguides and Cavity Resonators **Section 10.1 ~ 10.2**

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(1st of **week 6**)



Chap. 10 Contents for 2nd class of week 6

Review of the last class

General wave behavior along a uniform dielectric guide

Sec 2. General wave behaviors along a uniform guiding structures (Cont'd.)

• TE and TM wave characteristics, commonality and difference

Chap. 10 General wave behavior within a uniform dielectric guide (1/2)

• Propagating waves along a uniform dielectric waveguide

$$\begin{bmatrix} \boldsymbol{E}(x,y,z) = \boldsymbol{E}^{0}(x,y)e^{-\gamma z} = \begin{bmatrix} \boldsymbol{a}_{x}E_{x}^{0}(x,y) + \boldsymbol{a}_{y}E_{y}^{0}(x,y) + \boldsymbol{a}_{z}E_{y}^{0}(x,y) + \boldsymbol{$$

$$\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H}$$

$$\int$$

$$\int$$

$$\begin{cases}
\frac{\partial E_z^0}{\partial y} + \gamma E_y^0 = -j\omega\mu H_x^0 \quad \cdots \text{(a)} \\
\frac{\partial E_z^0}{\partial x} - \gamma E_x^0 = -j\omega\mu H_y^0 \quad \cdots \text{(b)} \\
\frac{\partial E_y^0}{\partial x} - \frac{\partial E_x^0}{\partial y} = -j\omega\mu H_z^0 \quad \cdots \text{(c)}
\end{cases}$$

$$Transver
(E_x)
can be explored and the exp$$





We have to solve "source-free" Non-homogeneous wave equations!



<Uniform dielectric waveguide>

- Uniform cross-section & composition
- *transverse plane: xy plane*
- Propagation direction: z



Chap. 10 General wave behavior within a uniform dielectric guide (2/2)

• Transverse (E_x , E_y , H_x , H_y) components in terms of longitudinal (E_z , H_z) components

$$\begin{cases} E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) & \cdots(1) \\ E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} - j\omega\epsilon \frac{\partial H_z^0}{\partial y} \right) & \cdots(2) \\ E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial y} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) & \cdots(2) \\ H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) & \cdots(4) \end{cases}$$

- Procedure to determine EM wave within a waveguide
 - 1. Solve the wave eqns for E_z , H_z with given boundary conditions

- Classification of EM wave in terms of (E_z, H_z)
- **TEM wave**: No longitudinal components \rightarrow **H**, **E** \perp **k** \rightarrow H_z, E_z = 0
- Transverse Magnetic (TM) wave: $H \perp k \rightarrow H_z = 0$, but nonzero E_z
- *Transverse Electric (TE) wave*: $E \perp k \rightarrow E_z = 0$, but nonzero H_z

where $h^2 = \gamma^2 + k^2$

 (E_x, E_y, H_x, H_y) are functions of $(E_z, H_z)!!$

2. Substitute *E_z*, *H_z* into above eqns to obtain E_x , E_y , H_x , H_y

Chap. 10 TE wave within a guide (1/2)

• Transverse Electric (TE) waves

- $\boldsymbol{E} \perp \boldsymbol{k} \rightarrow E_z = 0$ (Longitudinal component of \boldsymbol{E} -field = 0)
- Nonzero H_z

• Characterization of TE waves

- i.e. How to obtain E_x^0 , E_y^0 , F_z^0 , H_x^0 , H_y^0 , H_z^0 ?

STEP 1
$$\nabla_{xy}^2 H_z^0 + (\gamma^2 + k^2) H_z^0 = 0$$
 Solve non-
(Will be defined

$$E_{x}^{0} = -\frac{1}{h^{2}} \left(\gamma \frac{\partial E_{y}^{0}}{\partial x} + j\omega\mu \frac{\partial H_{z}^{0}}{\partial y} \right) \quad \dots (1) \quad \text{Use } H_{z}^{0} \text{ from STEP 1 and } E_{z}^{0} = 0 \text{ to determine } transverse H-field components of the components$$

homogenous equation for H_z^0 with given B.C. of a guide ealt in greater details in section 10.2~7)

ents

 $(H_z^0)!$



Chap. 10 TE wave within a guide (2/2)

Characterization of TE waves

- i.e. How to obtain E_{x^0} , E_{y^0} , E_{z^0} , H_{x^0} , H_{y^0} , H_{z^0} ?

STEP 3

$$\begin{bmatrix}
E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_y^0}{\partial x} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) & \cdots(1) \\
E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_y^0}{\partial y} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) & \cdots(2) \\
H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) & \cdots(3) \\
H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) & \cdots(4)
\end{bmatrix}$$
By using *a wave impedance*, obtain *transverse E-fields* from *transverse*
A ratio of transverse components of the *E* and *H*-fields
- A ratio of transverse components of the *E* and *H*-fields
- By using eqns. (1)/(4) or eqns. (2)/(3),

$$\begin{bmatrix}
Z_{TE} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{j\omega\mu}{\gamma} \quad (\Omega) \quad \leftrightarrow \quad E_T = -Z_{TE} \left(a_z \times H_T \right)$$

• Wave impedance is defined according to "right-hand" rule for propagating waves

- "Right-hand" rule
 - Determining the directions of *E*, *H*, and *k* associated with a EM wave
 - Steps
 - Point the fingers of your right hand in *E*-field direction
 - Bend them in the *H*-field direction
 - Then, your thumb points in the k direction

se H-fields





Chap. 10 TM wave within a guide (1/2)

• Transverse Magnetic (TM) waves

- $-H \perp k \rightarrow H_z = 0$ (Longitudinal component of *H*-field = 0)
- Nonzero Ez

• Characterization of TM waves

- i.e. How to obtain E_x^0 , E_y^0 , E_z^0 , H_x^0 , H_y^0 , H_z^0 ?

STEP 1
$$\nabla_{xy}^{2} E_{z}^{0} + (\gamma^{2} + k^{2}) E_{z}^{0} = 0$$
 Solve non

STEP 2

$$\begin{bmatrix}
E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial x} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) & \cdots(1) & \text{Use } E_z^0 \text{ from STEP 1 and } H_z^0 = 0 \text{ to obtain } transverse E-field components} \\
E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial y} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) & \cdots(2) \\
H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) & \cdots(3) \\
H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) & \cdots(3) \\
H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) & \cdots(4) \\
\end{bmatrix}$$
Use E_z^0 from STEP 1 and $H_z^0 = 0$ to obtain $transverse E-field components$
If we combine eqns. (1) and (2),

$$\begin{bmatrix}
\left(E_T^0 \right)_{TM} \triangleq \mathbf{a}_x E_x^0 + \mathbf{a}_y E_y^0 \right] = \left[-\frac{\gamma}{h^2} \left(\mathbf{a}_x \frac{\partial}{\partial x} + \mathbf{a}_y \frac{\partial}{\partial y} \right) E_z^0 \triangleq -\frac{\gamma}{h^2} \nabla_T E_z^0 \right] \\
\therefore \left(E_T^0 \right)_{TE} = -\frac{\gamma}{h^2} \nabla_T E_z^0 \right] \\
\therefore \left(E_T^0 \right)_{TE} = -\frac{\gamma}{h^2} \nabla_T E_z^0 \right] \\
\text{Gradient of } E_z^0 \text{ in a transverse} \\
\text{: Transverse } E-fields (E_x^0 \text{ and } E_y^0) \text{ can be obtained by longitudinal } E-field (E_z^0) \\
\end{bmatrix}$$

n-homogenous equation for E_z^0 with given B.C. within a guide



Chap. 10 TM wave within a guide (2/2)

Characterization of TM waves

- i.e. How to obtain E_{x^0} , E_{y^0} , E_{z^0} , H_{x^0} , H_{y^0} , H_{z^0} ?

$$E_{x}^{0} = -\frac{1}{h^{2}} \left(\gamma \frac{\partial E_{z}^{0}}{\partial x} + j\omega\mu \frac{\partial H_{z}^{0}}{\partial y} \right) \quad \dots (1) \qquad -$$
$$E_{y}^{0} = -\frac{1}{h^{2}} \left(\gamma \frac{\partial E_{z}^{0}}{\partial y} - j\omega\mu \frac{\partial H_{z}^{0}}{\partial x} \right) \quad \dots (2)$$

$$H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z}{\partial x} - j\omega \varepsilon \frac{\partial E_z^0}{\partial y} \right) \quad \dots (3)$$

$$H_{y}^{0} = -\frac{1}{h^{2}} \left(\gamma \frac{\partial H_{z}}{\partial y} + j\omega \varepsilon \frac{\partial E_{z}^{0}}{\partial x} \right) \quad \dots (4)$$

Vave impedance By having eqns. (1)/(4) or (2)/(3),

$$Z_{TM} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{\gamma_{TM}}{j\omega\varepsilon} \quad (\Omega) \qquad \longrightarrow \qquad (H_T)_{TM} = \frac{1}{Z_{TM}} \left(\boldsymbol{a}_z \times \left(\boldsymbol{E}_T \right)_{TM} \right)$$

$$Z_{TE} = \frac{E_x^0}{H_y^0} = -\frac{E_y^0}{H_x^0} = \frac{j\omega\mu}{\gamma_{TE}} \quad (\Omega) \qquad \longrightarrow \qquad \left(\boldsymbol{E}_T \right)_{TE} = -Z_{TE} \left(\boldsymbol{a}_z \times \left(\boldsymbol{H}_T \right)_{TE} \right) \\ Z_{TEM} = \frac{E_x^0}{H_y^0} = \frac{j\omega\mu}{\gamma_{TEM}} = \sqrt{\frac{\mu}{\varepsilon}} = \eta \quad (\Omega) \qquad \longrightarrow \qquad \left(\left(\boldsymbol{H}_T \right)_{TEM} = \frac{1}{\eta} \left(\boldsymbol{a}_z \times \left(\boldsymbol{E}_T \right)_{TEM} \right) \right)$$

• Wave impedance for TEM vs. TE / TM - Z_{TEM} is independent of frequency $\checkmark \gamma_{\text{TEM}} = jk = j\omega \sqrt{\mu \epsilon}$

- Z_{TE} and Z_{TM} are *frequency-dependent* $\triangleleft \gamma_{TE}$ and $\gamma_{TM} \neq jk$

By using *a wave impedance*, obtain *transverse H-fields* from *transverse E-fields*

or TE and TEM,

$$h^2 = \gamma_{TEM}^2 + k^2 = 0$$



Chap. 10 TE & TM waves within a guide (Commonality, 1/2)

• Cut-off frequency

- *frequency* at which $[\gamma = 0]$ is given by

$$\gamma = \sqrt{h^2 - k^2} = \sqrt{h^2 - \omega^2 \mu \varepsilon} = 0 \quad \rightarrow \quad \omega_c^2 \mu \varepsilon = h^2$$



• When $f > f_c \rightarrow \gamma$: purely imaginary

- Phase constant (β)

$$\gamma = jk \sqrt{1 - \left(\frac{h}{k}\right)^2} = jk \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \longrightarrow prop$$

- Corresponding wavelength

$$\lambda_{g} = \frac{2\pi}{\beta} = \frac{\lambda}{\sqrt{1 - (f_{c}/f)^{2}}} > \lambda, \text{ where } \lambda = \frac{2\pi}{k} = \frac{1}{f\sqrt{\mu\varepsilon}} = \frac{1}{f}$$

$$h^{2}$$

$$\begin{cases} \nabla_{xy}^{2} \boldsymbol{E} + (\gamma^{2} + k^{2}) \boldsymbol{E} = 0 \\ \nabla_{xy}^{2} \boldsymbol{H} + (\gamma^{2} + k^{2}) \boldsymbol{H} = 0 \end{cases}$$

- h: Characteristic values or eigenvalues determined by boundary condition
- Only *discrete & real* values are allowed!
- Each eigenvalue determines the characteristics of a particular mode of the given waveguide

pagating mode with

$$\beta = k \sqrt{1 - \left(\frac{f_c}{f}\right)^2} \quad (\text{rad/m})$$

- λ : wavelength of a plane wave *in an unbounded dielectric medium* (μ , ε)
- *u*: velocity of light *in that medium*





Chap. 10 TE & TM waves within a guide (Commonality, 2/2)

- When $f > f_c \rightarrow \gamma$: purely imaginary (cont'd)
- Phase velocity

$$u_p = \frac{\omega}{\beta} = \frac{u}{\sqrt{1 - (f_c/f)^2}} > u \longrightarrow$$

- (2) u_p is *frequency-dependent*
 - → Waveguides for TE/TM = "*dispersive systems*"

- Group velocity

$$u_{g} = \frac{1}{d\beta/d\omega} = u \sqrt{1 - \left(\frac{f_{c}}{f}\right)^{2}} < u \qquad (\therefore u^{2} = u_{p}u_{g}) = Velo$$

- When $f < f_c \rightarrow \gamma$: real
- Waves become "attenuating" or "evanescent" modes
- Waveguides for TE/TM = "high-pass" filters (f > f_c propagating, f < f_c attenuated)

$$\gamma = \alpha = h \sqrt{1 - \left(\frac{f}{f_c}\right)^2} \longrightarrow \begin{cases} Z_{TM} = \frac{\gamma_{TM}}{j\omega\varepsilon} & \text{Wave impedance for evan} \\ Z_{TM} = \frac{\gamma_{TM}}{j\omega\varepsilon} & \text{Purely Imaginary} \to \text{Purely} \\ Z_{TE} = \frac{j\omega\mu}{\gamma_{TE}} & Z = j|Z| = |Z|e^{j\pi/2} = \frac{E_T}{H_T} \\ \cdot E_T \text{ and } H_T \text{ are in phase-quantum set of the se$$

(1) *u_p within a waveguide* is "always faster" than *u in an unbounded medium*

o velocity in a lossless medium

ocity of signal propagation (or energy transport) [will be discussed later]

nescent TM and TE modes

ely *"reactive"*

auadrature \therefore No power flow for evanescent waves

$$\beta = k \sqrt{1 - \left(\frac{1}{2} \right)^2}$$
(when $f > \frac{1}{2}$)

$$\gamma = h \sqrt{1 - \left(-\frac{1}{2} \right)^2}$$

$$\leftarrow \left(\because P_{av} = \frac{1}{2} \operatorname{Re} \left(\boldsymbol{E}_{T} \times \boldsymbol{H}_{T}^{*} \right) = 0 \right)$$





Chap. 10 TE & TM waves within a guide (Difference)

• Wave impedance at $f > f_c$

Since
$$\gamma = j\beta = jk\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$
,

"Purely imaginary" \rightarrow Propagating modes



$$Z_{TM} = \frac{\gamma_{TM}}{j\omega\varepsilon} = \frac{jk}{j\omega\varepsilon} \sqrt{1 - \left(\frac{f_c}{f}\right)^2} = \eta \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$
$$Z_{TE} = \frac{j\omega\mu}{\gamma_{TE}} = \frac{j\omega\mu}{jk} \frac{1}{\left[1 - \left(\frac{f_c}{f}\right)^2\right]} = \frac{\eta}{\left[1 - \left(\frac{f_c}{f}\right)^2\right]}$$

$$\frac{\partial \mu}{k} \frac{1}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} = \frac{\eta}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}}$$

(a) Wave impedances for both TE and TM are real & purely resistive!

(b) $Z_{TE} > Z_{TM}$ for all $f > f_c$

(c) At very high f, both asymptotically converge to η



Chap. 10 Propagating TE & TM waves within a guide

• Summary for propagating mode

Mode	Wave impedance	Waveguide	
TEM	$\eta = \sqrt{\frac{\mu}{\varepsilon}}$	$\lambda_g = \frac{2\pi}{k} = \lambda$	l
TM	$\eta \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$	$\lambda = -\frac{\lambda}{2} > \lambda$	<i>u</i> =
TE	$\frac{\eta}{\sqrt{1-\left(\frac{f_c}{f}\right)^2}}$	$\sqrt{1-(f_c/f)^2}$	с р

- ω - β relationship (Frequency dependence of β)
- Determining characteristics of propagating waves along a waveguide

TEM

$$\beta = \omega \sqrt{\mu \varepsilon}$$

 TE&TM
 $\beta = k \sqrt{1 - \left(\frac{f_c}{f}\right)^2} = \omega \sqrt{\mu \varepsilon} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}$ where ω_f

h (eigenvalue) depends on a particular TE or TM mode in a waveguide of given cross-section

