Electromagnetics <Chap. 10> Waveguides and Cavity Resonators Section 10.7

(1st of week 9)

Jaesang Lee Dept. of Electrical and Computer Engineering Seoul National University (email: jsanglee@snu.ac.kr)



Chap. 10 Contents for 1st class of week 9

Sec 7. Rectangular cavity resonator

- Derivation of general solutions for E and H-fields ●
- TE & TM wave characteristics
- Quality factor (Q)

Chap. 10 Rectangular cavity resonators

• Rectangular cavity resonators

- Hollow, rectangular metal box with sides of a, b, d
- Conducting walls
 - Leading to multiple reflections → Standing waves
 - (::Linear superposition of two EM waves of same frequency in opposite directions)
 - No wave propagation, but confinement in an enclosed cavity
 - Standing waves formed in all directions (x, y, and z) \rightarrow Strong resonance!
- Both TM and TE waves can be supported

• EM waves within the cavity

: Solutions of wave equations with *given boundary condition*

$$\begin{cases} \nabla^2 \boldsymbol{E} + k^2 \boldsymbol{E} = \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) \boldsymbol{E} + k^2 \boldsymbol{E} = 0\\ \nabla^2 \boldsymbol{H} + k^2 \boldsymbol{H} = \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) \boldsymbol{H} + k^2 \boldsymbol{H} = 0\end{cases}$$

where
$$\begin{cases} \boldsymbol{E} = \boldsymbol{a}_{x} E_{x} + \boldsymbol{a}_{y} E_{y} + \boldsymbol{a}_{z} E_{z} \\ \boldsymbol{H} = \boldsymbol{a}_{x} H_{x} + \boldsymbol{a}_{y} H_{y} + \boldsymbol{a}_{z} H_{z} \end{cases}$$







Chap. 10 Rectangular cavity resonators

- Why should we practice to drive the TM & TE waves in a resonator?
 - In the textbook, only results shown with qualitative explanation \rightarrow some important information missing!
 - Many useful EM concepts used in derivation!

$$TM \text{ wave}$$

$$\begin{cases}
E_x = -\frac{E_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \left(\frac{p\pi}{d}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \sin\left(\frac{p\pi z}{d}\right) \\
E_y = -\frac{E_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \left(\frac{p\pi}{d}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\
E_z = E_{z0} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\
\begin{cases}
H_x = \frac{j\omega\varepsilon E_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \\
H_y = -\frac{j\omega\varepsilon E_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right)
\end{cases}$$

$$\begin{aligned} \overline{TE \text{ wave}} \\ \begin{cases} E_x = \frac{j\omega\mu H_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \sin\left(\frac{p\pi z}{d}\right) \\ E_y = -\frac{j\omega\mu H_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\ \end{cases} \\ \begin{cases} H_x = -\frac{H_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \left(\frac{p\pi}{d}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\ H_y = -\frac{H_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \left(\frac{p\pi}{d}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \\ \end{cases} \\ \end{cases} \\ \end{cases} \end{aligned}$$



Chap. 10 Derivation for E-fields for cavity resonators (1/3)

Derivation for E-fields

- Separation of variables

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) E_z + k^2 E_z = 0 \quad \dots (1) \quad \text{where} \quad E_z = X(x) Y(y) Z(z) \quad \dots (2)$$

- By plugging (2) into (1), we get

$$\frac{\partial^2 X(x)}{\partial x^2} Y(y) Z(z) + X(x) \frac{\partial^2 Y(y)}{\partial y^2} Z(z) + X(x) Y(y) \frac{\partial^2 Z(z)}{\partial z^2} + k^2 X(x) Y(y) Z(z) = 0$$

- By dividing above equation by X(x)Y(y)Z(z), we get

$$\frac{1}{X(x)}\frac{\partial^2 X(x)}{\partial x^2} + \frac{1}{Y(y)}\frac{\partial^2 Y(y)}{\partial y^2} + \frac{1}{Z(z)}\frac{\partial^2 Z(z)}{\partial z^2} + k^2 = 0 \quad \cdot$$

- Equation (3) to be satisfied for all x, y, z, we obtain the following three ODEs

$$\begin{pmatrix} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \end{pmatrix} E_x + k^2 E_x = 0 \begin{pmatrix} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \end{pmatrix} E_y + k^2 E_y = 0 \begin{pmatrix} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \end{pmatrix} E_z + k^2 E_z = 0 We are solving this first, then$$

apply the similar approaches to others

$$\begin{cases} \frac{1}{X(x)} \frac{\partial^2 X(x)}{\partial x^2} + k_x^2 = 0\\ \frac{1}{Y(y)} \frac{\partial^2 Y(y)}{\partial y^2} + k_y^2 = 0 \quad \cdots (4)\\ \frac{1}{Z(z)} \frac{\partial^2 Z(z)}{\partial z^2} + k_z^2 = 0\\ \end{cases}$$

where $k_x^2 + k_y^2 + k_z^2 = k^2 \quad \cdots (5)$



Chap. 10 Derivation for E-fields for cavity resonators (2/3)

• Derivation for E-fields

- General solution to the equation for *E_z*: *A combination of sinusoidal functions*!

$$E_{z}(x,y,z) = X(x)Y(y)Z(z) = (A_{z,xe}\cos k_{x}x + A_{z,xo}\sin k_{x}x)(A_{z,ye}\cos k_{y}y + A_{z,yo}\sin k_{y}y)(A_{z,ze}\cos k_{z}z + A_{z,zo}\sin k_{z}z) \quad \dots (6)$$

- Now, from B.C. where tangential E-fields should be zero,

$$E_{z}(x,y,z) = 0 \text{ at } \begin{cases} y=0\\ y=b \end{cases}, \text{ and at } \begin{cases} x=0\\ x=a \end{cases}$$

• Y(y) = 0 at y = 0. Then we get,

$$Y(0) = A_{z,ye} = 0$$

• Y(y) = 0 at y = b. Then we get,

$$Y(b) = A_{z,yo} \sin k_y b = 0 \quad \rightarrow \quad k_y b = n\pi \quad \rightarrow \quad k_y = \frac{n\pi}{b}$$

- Similarly applying the B.C. for X(x), we should get

$$X(0) = A_{z,xe} = 0$$
 and $k_x = \frac{m\pi}{a}$



- Thus, the solution form be simplified as

$$E_{z}(x,y,z) = \sin k_{x}x \sin k_{y}y \left(E_{z,ze}\cos k_{z}z + E_{z,zo}\sin k_{z}z\right) \quad \cdots (7)$$

where
$$E_{z,ze} = A_{z,ze}A_{z,xo}A_{z,yo}$$
 and $E_{z,zo} = A_{z,zo}A_{z,xo}A_{z,yo}$



Chap. 10 Derivation for E-fields for cavity resonators (3/3)

• Derivation for E-fields

- By going through the same procedures for Ex and Ey, we can obtain the following set of equations

$$\begin{cases} E_x(x,y,z) = \sin k_y y \sin k_z z \left(E_{x,xe} \cos k_x x + E_{x,xo} \sin k_x x \right) \\ E_y(x,y,z) = \sin k_x x \sin k_z z \left(E_{y,ye} \cos k_y y + E_{y,yo} \sin k_y z \right) \\ E_z(x,y,z) = \sin k_x x \sin k_y y \left(E_{z,ze} \cos k_z z + E_{z,zo} \sin k_z z \right) \end{cases}$$

- We can substitute equation (8) into (9) (Gauss Law)

$$\nabla \cdot \boldsymbol{E} = 0 \quad \rightarrow \quad \frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} + \frac{\partial E_z}{\partial z} = 0 \quad \dots (9)$$

$$\frac{\partial E_x}{\partial x} = \sin k_y y \sin k_z z \left(-k_x E_{x,xe} \sin k_x x + k_x E_{x,xo} \cos k_x x \right)$$
$$\frac{\partial E_y}{\partial y} = \sin k_x x \sin k_z z \left(-k_y E_{y,ye} \sin k_y y + k_y E_{y,yo} \cos k_y y \right)$$
$$\frac{\partial E_z}{\partial z} = \sin k_x x \sin k_y y \left(-k_z E_{z,ze} \sin k_z z + k_z E_{z,zo} \cos k_z z \right)$$

...(8) where
$$k_x = \frac{m\pi}{a}$$
, $k_y = \frac{n\pi}{b}$, $k_z = \frac{p\pi}{d}$
(m, n, p: Integers)

- Equation (9) should hold at all points within the cavity and *at the walls* -*i.e.* At (0, y, z), (x, 0, z), (x, y, 0)

$$\frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} + \frac{\partial E_z}{\partial z}\Big|_{(0,y,z)} = E_{x,xo} \sin k_y y \sin k_z z = 0 \quad \rightarrow \qquad E_{x,xo} = 0$$

$$\frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} + \frac{\partial E_z}{\partial z}\Big|_{(x,0,z)} = E_{y,yo} \sin k_x x \sin k_z z = 0 \quad \rightarrow \qquad E_{y,yo} = 0$$

$$\frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} + \frac{\partial E_z}{\partial z}\Big|_{(x,y,0)} = E_{z,zo} \sin k_x x \sin k_y y = 0 \quad \rightarrow \qquad E_{z,zo} = 0$$

- If we plug above condition back into equation (8), we get (next page)



Chap. 10 Derivation for H-fields for cavity resonators (1/2)

Derivation for E-fields

- Equation (8) becomes

$$\begin{cases} E_x(x,y,z) = E_{x0} \cos(k_x x) \cdot \sin(k_y y) \cdot \sin(k_z z) \\ E_y(x,y,z) = E_{y0} \sin(k_x x) \cdot \cos(k_y y) \cdot \sin(k_z z) & \cdots (10) \\ E_z(x,y,z) = E_{z0} \sin(k_x x) \cdot \sin(k_y y) \cdot \cos(k_z z) \end{cases}$$

$$\rightarrow \text{ Constants are simplified as } E_{xe,x} \rightarrow E_{x0},$$

Derivation for H-fields

- From Faraday's law (Curl of *E* in Maxwell's equations),

$$\nabla \times \boldsymbol{E} = -j\omega\mu\boldsymbol{H}$$

$$\begin{vmatrix} \boldsymbol{a}_{x} & \boldsymbol{a}_{y} & \boldsymbol{a}_{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ E_{x} & E_{y} & E_{z} \end{vmatrix} = -j\omega\mu(\boldsymbol{a}_{x}H_{x} + \boldsymbol{a}_{y}H_{y} + \boldsymbol{a}_{z}H_{z}) \quad \Box \searrow$$

- Gauss's law yields the following relation

$$\frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial x} + \frac{\partial E_z}{\partial x} = -\left(k_x E_{x0} + k_y E_{y0} + k_z E_{z0}\right)\sin k_x x \sin k_y y \sin k_z$$

$$\rightarrow k_{x}E_{x0} + k_{y}E_{y0} + k_{z}E_{z0} = 0$$
 ...(11)

 $E_{ye,y} \rightarrow E_{y0}, E_{ze,z} \rightarrow E_{z0}$ for better readability.

$$\begin{cases} H_x = \frac{j}{\omega\mu} \left(\frac{\partial E_z}{\partial y} - \frac{\partial E_y}{\partial z} \right) \\ H_y = \frac{j}{\omega\mu} \left(\frac{\partial E_x}{\partial z} - \frac{\partial E_z}{\partial x} \right) & \dots (12) \\ H_z = \frac{j}{\omega\mu} \left(\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} \right) \end{cases}$$

Magnetic fields in terms of Electric fields



Chap. 10 Derivation for H-fields for cavity resonators (2/2)

• Derivation for H-fields

- Now by substituting electric fields equations (10) into magnetic field equations (12),

$$H_{x} = \frac{j}{\omega\mu} \left(\frac{\partial E_{z}}{\partial y} - \frac{\partial E_{y}}{\partial z} \right) = \frac{j}{\omega\mu} \left(k_{y} E_{z0} \sin k_{x} x \cos k_{y} y \cos k_{z} z - k_{z} E_{y0} \sin k_{x} x \cos k_{y} y \cos k_{z} z \right)$$
$$= \frac{j}{\omega\mu} \left(k_{y} E_{z0} - k_{z} E_{y0} \right) \sin k_{x} x \cos k_{y} y \cos k_{z} z$$

- We can similarly obtain H_y and H_z as above

$$\begin{cases} H_x = \frac{j}{\omega\mu} \left(k_y E_{z0} - k_z E_{y0} \right) \sin\left(k_x x \right) \cdot \cos\left(k_y y \right) \cdot \cos\left(k_z z \right) \\ H_y = \frac{j}{\omega\mu} \left(k_z E_{x0} - k_x E_{z0} \right) \cos\left(k_x x \right) \cdot \sin\left(k_y y \right) \cdot \cos\left(k_z z \right) \\ H_z = \frac{j}{\omega\mu} \left(k_x E_{y0} - k_y E_{x0} \right) \cos\left(k_x x \right) \cdot \cos\left(k_y y \right) \cdot \sin\left(k_z z \right) \end{cases}$$

- Above magnetic fields satisfy the *B.C. such that* $H_n = 0!$
- Above magnetic fields satisfy $\nabla \cdot \boldsymbol{H} = 0$

Medium 1
(dielectric)Medium 2
(Conductor)
$$E_{1t} = 0$$
 $E_{2t} = 0$ $a_{n2} \times H_1 = J_S$ $H_{2t} = 0$ $a_{n2} \cdot D_1 = \rho_S$ $D_{2n} = 0$ $H_{1n} = 0$ $H_{2n} = 0$



Chap. 10 | TM modes for rectangular cavity resonators (1/2)

$$\begin{aligned} \hline \textbf{Complete expressions for E and H-fields in cavity} \\ \begin{cases} E_x = E_{x0} \cos(k_x x) \cdot \sin(k_y y) \cdot \sin(k_z z) \\ E_y = E_{y0} \sin(k_x x) \cdot \cos(k_y y) \cdot \sin(k_z z) \\ E_z = E_{z0} \sin(k_x x) \cdot \sin(k_y y) \cdot \cos(k_z z) \end{cases} \\ \begin{cases} H_x = \frac{j}{\omega \mu} (k_y E_{z0} - k_z E_{y0}) \sin(k_x x) \cdot \cos(k_y y) \cdot \cos(k_z z) \\ H_y = \frac{j}{\omega \mu} (k_z E_{x0} - k_x E_{z0}) \cos(k_x x) \cdot \sin(k_y y) \cdot \cos(k_z z) \\ H_z = \frac{j}{\omega \mu} (k_x E_{y0} - k_y E_{x0}) \cos(k_x x) \cdot \cos(k_y y) \cdot \sin(k_z z) \end{cases} \\ where \ k_x = \frac{m\pi}{a}, \ k_y = \frac{n\pi}{b}, \ k_z = \frac{p\pi}{d} \\ (m, n, p: \text{ Interger values}) \end{aligned} \\ \text{and} \ k_x E_{x0} + k_y E_{y0} + k_z E_{z0} = 0 \quad \text{from Gauss's Law} \end{aligned}$$

• TM modes

$$H_{z} = 0$$

$$\begin{cases} k_{x}E_{y0} - k_{y}E_{x0} = 0 \text{ (from } H_{z} = 0) \\ k_{x}E_{x0} + k_{y}E_{y0} + k_{z}E_{z0} = 0 \text{ (from } Gauss's Law) \end{cases}$$

- Two equations with three variables $\rightarrow E_{x0}$, E_{y0} in terms of E_{z0}

$$\begin{cases} E_x = -E_{z0} \frac{k_x k_z}{k_x^2 + k_y^2} \cos(k_x x) \cdot \sin(k_y y) \cdot \sin(k_z z) \\ E_y = -E_{z0} \frac{k_y k_z}{k_x^2 + k_y^2} \sin(k_x x) \cdot \cos(k_y y) \cdot \sin(k_z z) \\ E_z = E_{z0} \sin(k_x x) \cdot \sin(k_y y) \cdot \cos(k_z z) \end{cases}$$
$$\begin{cases} H_x = j \omega \varepsilon E_{z0} \frac{k_y}{k_x^2 + k_y^2} \sin(k_x x) \cdot \cos(k_y y) \cdot \cos(k_z z) \\ H_y = -j \omega \varepsilon E_{z0} \frac{k_x}{k_x^2 + k_y^2} \sin(k_x x) \cdot \cos(k_y y) \cdot \sin(k_z z) \end{cases}$$

Chap. 10 TM modes for rectangular cavity resonators (2/2)

• TM modes (in the textbook notation)

$$\gamma^{2} + k^{2} = h^{2}$$
where $\gamma = jk_{z}$ & $k^{2} = k_{x}^{2} + k_{y}^{2} + k_{z}^{2} \rightarrow h^{2} = k_{x}^{2} + k_{y}^{2}$
and $k_{x} = \frac{m\pi}{a}, k_{y} = \frac{n\pi}{b}, k_{z} = \frac{p\pi}{d}$

$$\begin{cases} E_x = -\frac{E_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \left(\frac{p\pi}{d}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \sin\left(\frac{p\pi z}{d}\right) \\ E_y = -\frac{E_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \left(\frac{p\pi}{d}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\ E_z = E_{z0} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\ \begin{cases} H_x = \frac{j\omega\varepsilon E_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \\ H_y = -\frac{j\omega\varepsilon E_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \end{cases} \end{cases}$$

- No power flow in any directions

- All the E-fields are *in time phase*
- E-fields and H-fields are *in time quadrature* ($\pi/2$ phase difference)

$$\rightarrow$$
 $\therefore \boldsymbol{P}_{av} = \frac{1}{2} \operatorname{Re} (\boldsymbol{E} \times \boldsymbol{H}^*) = 0$

- Resonant frequency

By definition,

$$k^{2} = \omega^{2} \mu \varepsilon = k_{x}^{2} + k_{y}^{2} + k_{z}^{2} = \left(\frac{m\pi}{a}\right)^{2} + \left(\frac{n\pi}{b}\right)^{2} + \left(\frac{p\pi}{d}\right)^{2}$$

$$\rightarrow \omega_r = \frac{1}{\sqrt{\mu\varepsilon}} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2 + \left(\frac{p\pi}{d}\right)^2}$$
$$\rightarrow f_r = \frac{u}{2} \sqrt{\left(\frac{m}{a}\right)^2 + \left(\frac{n}{b}\right)^2 + \left(\frac{p}{d}\right)^2}$$

Lowest resonant frequency for TM wave:

 $m \neq 0 \& n \neq 0$ (Not to make $E_z = 0$) $\rightarrow TM_{110}$ mode!



Chap. 10 TE & TM modes for rectangular cavity resonators

• TE modes

$$\begin{cases} E_x = \frac{j\omega\mu H_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) \sin\left(\frac{p\pi z}{d}\right) \\ E_y = -\frac{j\omega\mu H_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) \cos\left(\frac{p\pi z}{d}\right) \\ H_x = -\frac{H_{z0}}{h^2} \left(\frac{m\pi}{a}\right) \left(\frac{p\pi}{d}\right) \sin\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \\ H_y = -\frac{H_{z0}}{h^2} \left(\frac{n\pi}{b}\right) \left(\frac{p\pi}{d}\right) \cos\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi x}{b}\right) \cos\left(\frac{p\pi x}{d}\right) \\ H_z = H_{z0} \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi x}{b}\right) \sin\left(\frac{p\pi x}{d}\right) \end{cases}$$

- Dominant mode of rectangular cavity resonators?
 - TE₀₁₁, TE₁₀₁, and TM₁₁₀ should be compared

$$f_{TE011} = \frac{u}{2} \sqrt{\left(\frac{1}{b}\right)^2 + \left(\frac{1}{d}\right)^2}, \ f_{TE101} = \frac{u}{2} \sqrt{\left(\frac{1}{a}\right)^2 + \left(\frac{1}{d}\right)^2}, \ f_{TM1}$$

- Resonant frequency

Exactly same as TM modes:

$$k^{2} = \omega^{2} \mu \varepsilon = k_{x}^{2} + k_{y}^{2} + k_{z}^{2} = \left(\frac{m\pi}{a}\right)^{2} + \left(\frac{n\pi}{b}\right)^{2} + \left(\frac{p\pi}{d}\right)^{2}$$

$$\rightarrow f_r = \frac{u}{2} \sqrt{\left(\frac{m}{a}\right)^2 + \left(\frac{n}{b}\right)^2 + \left(\frac{p}{d}\right)^2}$$

- Different modes with same resonant frequency: Degenerate modes
- Lowest resonant frequency for TE wave:

 $p \neq 0 \& (n \neq 0 + m \neq 0)$ (Not to make $H_z = 0$) $\rightarrow TE_{011}$ or TE_{101} mode!

$$u_{10} = \frac{u}{2}\sqrt{\left(\frac{1}{a}\right)^2 + \left(\frac{1}{b}\right)^2}$$

Depending on the dimensions of cavity!

- If
$$a > b > d \rightarrow TM110$$
 dominant!
- If $a > d > b \rightarrow TE101$ dominant!

- If $a = b = d \rightarrow all$ three are degenerately dominant







Chap. 10 Quality factor, Q

Comments on circular cavity resonator

- E and H-fields can be obtained by using cylindrical coordinates
- However, derivation is quite complicated and beyond our scope; Please refer to *microwave engineering* for details
- Quality factor, Q
 - Energy loss in the cavity resonator
 - Cavity stores EM energy in the form of standing waves for particular modes
 - Amplification of wave by resonance \rightarrow *infinity*, if *energy loss* = 0
 - Finite conductivity (σ) of the walls \rightarrow energy loss per reflection (Eventually attenuated

unless continuously supported)

 $Q = 2\pi \frac{\text{Time-average stored energy at a resonant freq}}{\text{Energy lost per cycle}}$ $= 2\pi f_r \frac{\text{Time-average stored energy at a resonant freq}}{\text{Energy loss}}$



$$\frac{\text{quency}}{\text{requency}} = \omega \frac{W}{P_L}$$

$$W = W_e + W_m = \frac{1}{4} \operatorname{Re} \left(\varepsilon \boldsymbol{E} \cdot \boldsymbol{E}^* + \mu \boldsymbol{H} \cdot \boldsymbol{H}^* \right)$$

$$P_L = \oint_S \frac{1}{2} |\boldsymbol{J}_s| R_s ds = \oint_S \frac{1}{2} |\boldsymbol{H}_t| R_s ds$$

Where J_s = surface current density,

*H*_t: tangential H-fields,

Rs: wall resistance

Practice it! (Example 10-17)



Electromagnetics <Chap. 10> Transmission Lines Section 9.1 ~ 9.2

(2nd of **week 9**)

Jaesang Lee Dept. of Electrical and Computer Engineering Seoul National University (email: jsanglee@snu.ac.kr)



Chap. 9 Contents for 2nd class of week 9

Sec 1. Introduction

Sec 2. Transverse Electromagnetic Wave along a Parallel-Plate Transmission Line

- Derivation of general expression for TEM waves •
- Ideal transmission-line equations
- Equivalent circuit model •

Chap. 9 Intro to transmission lines

- Transmission line (TR line)
- A pair of electric conductors
- Used as *cables* for efficient transmission of *AC signal* at distance *at* radio frequency (RF > 30 kHz where wave characteristics matters)
- Why?
- → Signal *radiates off* the regular electric cables at $RF \rightarrow$ Loss! (·: Antenna [Chap. 12])
- Signal *reflected at* connectors or joints at $RF \rightarrow$ Loss! (:: Impedance miss-matching [Sec. 9-7])
- Signal guided within TR lines in form of "TEM" wave
- Easy to do "Impedance-matching" \rightarrow Minimized reflection loss



<*Power line: two-wire>*



<TV cables: coaxial>



<Parallel-plate>

<Two-wire>

<Coaxial>

	Waveguide	Transmission lines
Structure	Hollow metallic structure through which EM propagates	A pair of conductors carrying AC electrical sig
Operating modes	TE and TM modes	TEM or quasi-TEM mod
Operating frequency	Microwave (0.3 ~ 300 GHz)	Radio frequency (30 kHz ~ 300 GHz)

 $R_{Tr} \propto \sqrt{rac{\pi f \mu_c}{\sigma_c}} \rightarrow Significant loss at microwave frequency for TR lines!$





Chap. 9 Infinite parallel-plate TR line

• Infinite parallel-plate TR line

- Two *perfectly conducting* plates ($\sigma_c \rightarrow \infty$) separated by a *dielectric* medium (μ, ε)
- All TEM, TM, TE waves propagating in z-direction
- Infinite in extent in x-direction
 - Fields do not vary in x-direction $\rightarrow \frac{\partial E}{\partial x} = 0, \quad \frac{\partial H}{\partial x} = 0 \quad (E \neq 0, H \neq 0)$
- Electric and magnetic fields for TM modes ($H_z = 0$)
- Wave equation for E_z

 $\nabla^{2} E_{z} + k^{2} E_{z} = 0, \text{ where } E_{z}(y,z) = E_{z}^{0}(y)e^{-\gamma z}$ $\longrightarrow \frac{d^{2} E_{z}^{0}}{dy^{2}} + h^{2} E_{z}^{0} = 0 \quad (\because h^{2} = k^{2} + \gamma^{2})$

- Boundary condition ($E_t = 0$ at conducting interface)

$$E_z^0(y) = 0$$
, where $y = 0$ and $y = d$

- Solution

$$E_z^0(y) = A_n \sin(hy) = A_n \sin\left(\frac{n\pi}{d}y\right), \quad (n = 1, 2, ...)$$



- Transverse field components

$$\begin{cases} E_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^{0^*}}{\partial x} + j\omega\mu \frac{\partial H_y^0}{\partial y} \right) \\ E_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial E_z^0}{\partial y} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) \\ H_x^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial x} - j\omega\varepsilon \frac{\partial E_z^0}{\partial y} \right) \\ H_y^0 = -\frac{1}{h^2} \left(\gamma \frac{\partial H_z^0}{\partial y} + j\omega\varepsilon \frac{\partial E_z^0}{\partial x} \right) \end{cases}$$



Chap. 9 TEM mode in Parallel-plate TR line

• Special case of TM modes = TEM mode

Longitudinal:
$$\begin{cases} E_{z}(y,z) = A_{n} \sin\left(\frac{n\pi}{d}y\right) e^{-\gamma z} \\ H_{z}(y,z) = 0 \end{cases}$$
$$\begin{cases} E_{x}(y,z) = 0 \\ E_{y}(y,z) = -\frac{\gamma}{h^{2}} A_{n} \cos\left(\frac{n\pi y}{d}\right) e^{-\gamma z} \\ H_{x}(y,z) = \frac{j\omega\varepsilon}{h} A_{n} \cos\left(\frac{n\pi y}{d}\right) e^{-\gamma z} \\ H_{y}(y,z) = 0 \end{cases}$$

Propagation constant:

$$\gamma = \sqrt{h^2 - k^2} = \sqrt{\left(\frac{n\pi}{d}\right)^2 - \omega^2 \mu \varepsilon}$$

Cutoff frequency ($\gamma = 0$)

$$f_c = \frac{h}{2\pi\sqrt{\mu\varepsilon}} = \frac{n}{2d\sqrt{\mu\varepsilon}}$$
(Hz)

What if n = 0? $(h \rightarrow 0)$

Longitudinal:
$$\begin{cases} E_{z}(y,z) = 0\\ H_{z}(y,z) = 0 \end{cases}$$

Transverse:
$$\begin{cases} E_{x}(y,z) = 0\\ E_{y}(y,z) = E_{0}e^{-\gamma z}\\ H_{x}(y,z) = -\frac{E_{0}}{\eta}e^{-\gamma z} \text{ where } \eta = -\frac{E_{y}}{H_{x}} = \sqrt{\frac{E_{y}}{H_{x}}} \end{cases}$$

Propagation constant:

$$\gamma = \sqrt{-k^2} = j\omega\sqrt{\mu\varepsilon} \triangleq j\beta$$

Cutoff frequency

$$f_c = 0$$

• $TM_0 = TEM!$

• TEM is a dominant mode of the parallel-plate! (:: lowest f_c)



Chap. 9 Derivation of TEM mode in TR line (1/2)

• Derivation of the TEM mode

$$\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H}$$

$$\nabla \times \mathbf{H} = j\omega\varepsilon\mathbf{E}$$

$$\begin{cases} \frac{\partial E_z}{\partial y} - \frac{\partial E_y}{\partial z} = -j\omega\mu H_x \quad \cdots (a) \\ -\frac{\partial E_z}{\partial x} + \frac{\partial E_x}{\partial z} = -j\omega\mu H_y \quad \cdots (b) \\ \frac{\partial E_z}{\partial x} - \frac{\partial E_x}{\partial y} = -j\omega\mu H_z \quad \cdots (c) \end{cases}$$

$$\begin{cases} \frac{\partial H_z}{\partial y} + \frac{\partial H_y}{\partial z} = j\omega\varepsilon\mathbf{E} \\ -\frac{\partial H_z}{\partial x} + \frac{\partial H_x}{\partial z} = j\omega\varepsilon\mathbf{E} \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_z}{\partial y} = j\omega\varepsilon\mathbf{E} \end{cases}$$

- From equations (c), we know that

$$E_{x}(y,z) = C \cdot E_{x}^{0}(z). \text{ From B.C., } E_{x}(d \text{ or } 0,z) = 0 \quad \rightarrow \quad C = 0$$

$$H_{x}(y,z) = H_{0}H_{x}^{0}(z) \quad \cdots (1)$$

$$E_{x}(y,z) = 0 \quad -\text{ By differentiating equation (f) }$$

- By substituting $E_x = 0$ into equation (b), we get

$$\therefore H_{y}(y,z) = 0$$

- Equation (e) also vanishes accordingly, since $E_x = H_y = 0$.



- From equation (g), we know that

- By differentiating equation (f) with z, equation (a) $\frac{\partial^2 H_x}{\partial z^2} = \left[j\omega\varepsilon \frac{\partial E_y}{\partial z} = j\omega\varepsilon (j\omega\mu H_x) = -\omega^2 \mu\varepsilon H_x \right]$ $\rightarrow \frac{d^2 H_x}{dz^2} + \omega^2 \mu\varepsilon H_x = 0 \quad \cdots (2) \quad (Why ODE, not PDE?)$

Chap. 9 Derivation of TEM mode in TR line (2/2)

• Derivation of the TEM mode

- By solving the ODE,

where $\beta = \omega \sqrt{\mu \epsilon}$

$$\frac{d^{2}H_{x}}{dz^{2}} + \omega^{2}\mu\varepsilon H_{x} = 0 \quad \rightarrow \quad H_{x}(y,z) = H_{0}e^{-j(\omega\sqrt{\mu\varepsilon})z} + H_{1}e^{j(\omega\sqrt{\mu\varepsilon})z}$$

(::there is no refl
$$\therefore H_{x}(y,z) = H_{0}H_{x}^{0}(z) = H_{0}e^{-j\beta z}$$

- By substituting H_x back into equation (f), we get

$$\frac{\partial H_x}{\partial z} = j\omega\varepsilon E_y \quad \to \quad E_y = \frac{1}{j\omega\varepsilon}\frac{\partial H_x}{\partial z} = \frac{1}{j\omega\varepsilon}\cdot\left(-j\beta H_0e^{-\beta z}\right)$$

$$\therefore E_{y}(y,z) = -\sqrt{\frac{\mu}{\varepsilon}}H_{0}e^{-j\beta z} = E_{0}e^{-j\beta z}$$



Characteristics of "TEM waves" guided within TR lines Those of "Uniform plane wave" propagating in an unbounded dielectric





Chap. 9 TR line equations (1/3)

• Surface currents and charges at the plates

- Recall the B.C. for dielectric / conductor interface



where $\begin{cases} \boldsymbol{H}_1 = \boldsymbol{a}_{\boldsymbol{x}} \boldsymbol{H}_x \\ \boldsymbol{D}_1 = \boldsymbol{a}_{\boldsymbol{y}} \boldsymbol{\varepsilon} \boldsymbol{E}_y \end{cases}, \quad \boldsymbol{a}_n \rightarrow surface \ normal \ from \ conductor \ to \ dielectric \end{cases}$

At the *upper* plate (y = d)

• $a_n = -a_y$

$$-\boldsymbol{a}_{y} \cdot \left(\boldsymbol{a}_{y} \varepsilon E_{y}\right) = \rho_{su} \quad \rightarrow \quad \rho_{su} = -\varepsilon E_{y} = -\varepsilon E_{0} e^{-j\beta z} \qquad -\boldsymbol{a}_{y} \times \left(\boldsymbol{a}_{x} H_{x}\right) = \boldsymbol{J}_{su} \quad \rightarrow \quad \boldsymbol{J}_{su} = \boldsymbol{a}_{z} H_{x} = -\boldsymbol{a}_{z} \frac{E_{0}}{\eta} e^{-j\beta z} \qquad -\boldsymbol{a}_{z} \frac{E_{0}}{\eta} e^{-j\beta z} = -\boldsymbol{a}_{$$

At the *lower* plate (y = 0)

• $\boldsymbol{a}_{n} = \boldsymbol{a}_{y}$

$$\boldsymbol{a}_{y} \cdot \left(\boldsymbol{a}_{y} \boldsymbol{\varepsilon} \boldsymbol{E}_{y}\right) = \boldsymbol{\rho}_{sl} \quad \rightarrow \quad \boldsymbol{\rho}_{sl} = \boldsymbol{\varepsilon} \boldsymbol{E}_{y} = \boldsymbol{\varepsilon} \boldsymbol{E}_{0} \boldsymbol{e}^{-j\beta z}$$
$$\boldsymbol{a}_{y} \times \left(\boldsymbol{a}_{x} \boldsymbol{H}_{x}\right) = \boldsymbol{J}_{sl} \quad \rightarrow \quad \boldsymbol{J}_{sl} = -\boldsymbol{a}_{z} \boldsymbol{H}_{x} = \boldsymbol{a}_{z} \frac{\boldsymbol{E}_{0}}{\eta} \boldsymbol{e}^{-j\beta z}$$

Surface current (J_s) & Surface charges (ρ_s) varies sinusoidally as E_y and H_x !

Chap. 9 TR line equations (2/3)

• TR line equations

- From curl equations (b) and (e), we have two ODEs as

$$\begin{cases} \frac{dE_y}{dz} = j\omega\mu H_x & \cdots(1) \\ \frac{dH_x}{dz} = j\omega\varepsilon E_y & \cdots(2) \end{cases}$$
 Why ODE?
($\rightarrow E_y$ and H_x only functions of z)

- If we integrate equation (1) from y = 0 to y = d

$$\left[\frac{d}{dz}\int_{0}^{d}E_{y}(y,z)dy = -\frac{dV(z)}{dz}\right] = \left[j\omega\mu\int_{0}^{d}H_{x}dy = j\omega\mu H_{x}d = j\omega\mu J_{su}(z)d\right]$$
$$\rightarrow -\frac{dV(z)}{dz} = j\omega\left(\mu\frac{d}{w}\right)\left[J_{su}(z)w\right] \quad (J_{su}: \text{ surface current flowing in z-direct})$$

where $V(z) \triangleq -\int_0^d E_y dy$: Potential difference (voltage) between two plates $L \triangleq \mu \stackrel{d}{-} (H/m)$: *Inductance* per unit length of parallel-plate transmission line W

 $I(z) \triangleq J_{su}(z)w$: Total current flowing in z-direction in the upper plate

$$\therefore -\frac{dV(z)}{dz} = j\omega LI(z) \quad \dots (3)$$

$$y = d$$

$$y = 0$$

tion)

$$\begin{cases} E_{y}(y,z) = E_{0}e^{-j\beta z} & \text{where } \beta = \omega\sqrt{\mu\varepsilon} \\ H_{x}(y,z) = -\frac{E_{0}}{\eta}e^{-j\beta z} & \text{At } y = d, \end{cases} \begin{cases} \rho_{su} = -\varepsilon E_{y} \\ \boldsymbol{J}_{su} = \boldsymbol{a}_{z}H_{x} & \text{At } y = 0, \end{cases} \begin{cases} \rho_{sl} = \varepsilon E_{y} \\ \boldsymbol{J}_{sl} = -\boldsymbol{a}_{z}H_{x} & \text{At } y = 0, \end{cases}$$



Chap. 9 TR line equations (3/3)

• TR line equations

- Similarly, by integrating equation (2) from x = 0 to x = w

$$\left[\frac{d}{dz}\int_{0}^{w} H_{x}(y,z)dx = \frac{dI(z)}{dz}\right] = \left[j\omega\varepsilon\int_{0}^{w} E_{y}dy = j\omega\varepsilon E_{y}w\right]$$
$$\rightarrow \frac{dI(z)}{dz} = -j\omega\left(\varepsilon\frac{w}{d}\right)\left[-E_{y}d\right]$$

$$\rightarrow \frac{dI(z)}{dz} = -j\omega CV(z) \quad \cdots (4) \text{ where } C \triangleq \varepsilon \frac{w}{d} \text{ (F/m)} : \textbf{Capace}$$

: A pair of time-harmonic transmission line equations

- Characteristic Impedance (By plugging V(z) and I(z) to the paired equations)

$$-\frac{d}{dz}(V_0e^{-j\beta z}) = j\omega LI_0e^{-j\beta z} \longrightarrow \left(Z_0 = \frac{V(z)}{I(z)} = \frac{V_0}{I_0} = \sqrt{\frac{L}{C}} = \frac{d}{w}\sqrt{\frac{\mu}{\varepsilon}} = \frac{d}{w}\eta \quad (\Omega)$$
$$\rightarrow j\beta V_0e^{-j\beta z} = j\omega LI_0e^{-j\beta z}$$



citance per unit length of parallel-plate transmission line

- Velocity of propagation

$$u_p = \frac{\omega}{\beta} = \frac{1}{\sqrt{LC}} = \frac{1}{\sqrt{\mu\varepsilon}} \quad (m/s)$$

Chap. 9 Lossy TR lines: Equivalent circuit model (1/3)

• Attenuation in the parallel-plate transmission lines caused by...

- (1) Lossy dielectric ($\sigma \neq 0$)
- (2) Imperfectly conducting walls ($\sigma_c \neq \infty$)

$$\alpha = \alpha_d + \alpha_c = \frac{\sigma}{2}\eta + \frac{1}{d}\sqrt{\frac{\pi f\varepsilon}{\sigma_c}} \quad \text{(Will be derived in next class)}$$



$$G = C \frac{\sigma}{\varepsilon} \quad (from \ right)$$
$$= \varepsilon \frac{w}{d} \cdot \frac{\sigma}{\varepsilon} = \sigma \frac{w}{d} \quad (S/m)$$
where σ is the conductivity of the dielectric

S

JS

JL



Chap. 9 Lossy TR lines: Equivalent circuit model (2/3)

- Resistance (R) along the conductors per unit length
- In actual cases, conductivity of the plate is *finite* ($\sigma_c \neq \infty$)
- \therefore small, yet non-vanishing axial field (E_z) "induced!" $(: J_s = \sigma_c E_z)$
 - → "Quasi-TEM mode" in lossy transmission line!
- Average power dissipated per unit area due to E_z

$$\boldsymbol{P}_{av} = \boldsymbol{a}_{\boldsymbol{y}} p_{\boldsymbol{\sigma}_c} = \frac{1}{2} \operatorname{Re} \left(\boldsymbol{a}_{\boldsymbol{z}} E_{\boldsymbol{z}} \times \boldsymbol{a}_{\boldsymbol{x}} H_{\boldsymbol{x}}^* \right) \quad \cdots (1)$$

- Surface impedance (Z_s) by E_z

$$Z_{s} \triangleq \frac{E_{t}}{J_{s}} \rightarrow Z_{s} = \frac{E_{z}}{J_{su}} = \frac{E_{z}}{H_{x}} = \eta_{c} \quad \dots (2) \text{ (Intrinsic impedation)}$$
$$\eta_{c} = R_{s} + jX_{s} = (1+j)\sqrt{\frac{\pi f\mu_{c}}{\sigma_{c}}} \quad (\Omega) \quad \dots (3) \text{ (Refer to lecture)}$$

- How significant is *E_z*?

$$\frac{\left|E_{z}\right|}{\left|E_{y}\right|} = \frac{\left|\eta_{c}H_{x}\right|}{\left|\eta_{H}\right|} = \sqrt{\frac{\varepsilon}{\mu}}\left|\eta_{c}\right| = \sqrt{\frac{\varepsilon}{\mu}}\sqrt{2}\sqrt{\frac{\pi f\mu_{c}}{\sigma_{c}}} = \sqrt{\frac{2\pi f\varepsilon}{\sigma_{c}}}$$



e.g.) For copper [$\sigma_c = 5.8 \times 10^7$ (S/m)] and $\varepsilon = \varepsilon_0$ for dielectric at f = 3 (GHz), $|E_z| \simeq 5.3 \times 10^{-5} |E_y| \ll |E_y|$

 $\rightarrow E_z$ is thus, a slight perturbation and TEM approximation holds!

Chap. 9 Lossy TR lines: Equivalent circuit model (3/3)

- Ohmic power dissipation
 - From Equation (1), we get

$$E_z = J_{su}Z_s$$
 and $H_x = J_{su}$...(4)

By plugging (4) into (1),

$$p_{\sigma_c} = \frac{1}{2} \operatorname{Re} \left(\left| J_s \right|^2 Z_s \right) = \frac{1}{2} \left| J_s \right|^2 R_s \quad (W/m^2)$$

• Distributed parameters of parallel-plate transmission line (width = w, separation = d)

Parameter	Formula	Unit
R	$\frac{2}{w}\sqrt{\frac{\pi f\mu_c}{\sigma_c}}$	(Ω / m)
L	$\mu \frac{d}{w}$	(H / m)
G	$\sigma \frac{w}{d}$	(S / m)
С	$\varepsilon \frac{w}{d}$	(F / m)

- Ohmic power dissipated in *a unit length of the plate*

$$P_{\sigma_c} = p_{\sigma_c} w = \frac{1}{2} \left| J_s w \right|^2 \left(\frac{R_s}{w} \right) = \frac{1}{2} I^2 R_u$$

y

where *I* is total current flowing through R_s/w

$$\therefore R = R_u + R_l = 2\left(\frac{R_s}{w}\right) = \frac{2}{w}\sqrt{\frac{\pi f\mu_c}{\sigma_c}} \quad (G$$



<Equivalent circuit of a two conductor transmission line> (More detail in next class!)



