

**2018 Fall**

# **“Phase Transformation *in* Materials”**

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# Contents in Phase Transformation

Background  
to understand  
phase  
transformation

(Ch1) Thermodynamics and Phase Diagrams

(Ch2) Diffusion: Kinetics

(Ch3) Crystal Interface and Microstructure

Representative  
Phase  
transformation

(Ch4) Solidification: Liquid  $\rightarrow$  Solid

(Ch5) Diffusional Transformations in Solid: Solid  $\rightarrow$  Solid

(Ch6) Diffusionless Transformations: Solid  $\rightarrow$  Solid

# Contents for today's class

## < Phase Transformation in Solids >

1) **Diffusional Transformation: Thermally-activated process = rate  $\propto \exp(-\Delta G^*/kT)$**

2) **Non-diffusional Transformation: Athermal Transformation**

- **Precipitate nucleation in solid (homogeneous/ heterogeneous)**

- **Precipitate growth**

- 1) **Growth behind Planar Incoherent Interfaces**

- 2) **Diffusion Controlled lengthening of Plates or Needles**

- 3) **Thickening of Plate-like Precipitates by Ledge Mechanism**

- **Overall Transformation Kinetics – TTT Diagram**

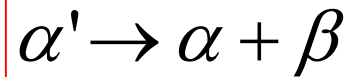
  - **Johnson-Mehl-Avrami Equation**

**Q1: What kind of representative diffusion transformations in solid exist?**

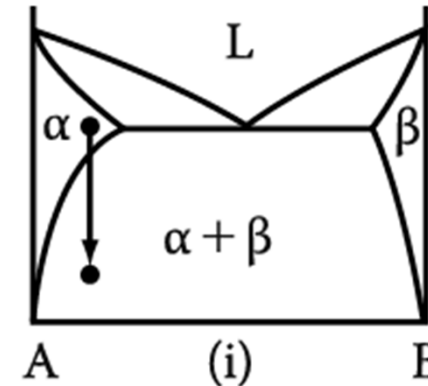
# 5. Diffusion Transformations in solid

: diffusional nucleation & growth

## (a) Precipitation



Metastable supersaturated solid solution



### Homogeneous Nucleation

$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

### Heterogeneous Nucleation

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

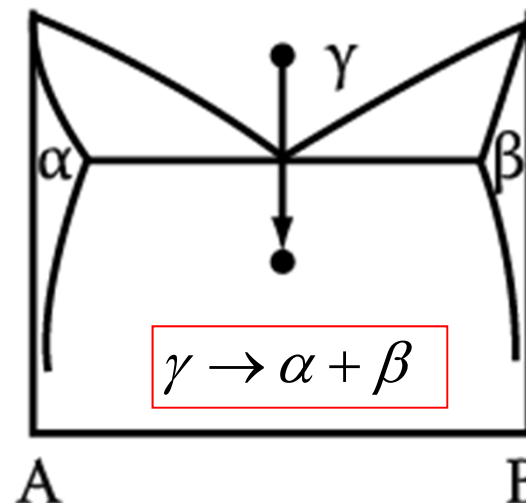
$$N_{hom} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

→ suitable nucleation sites ~ nonequilibrium defects  
(creation of nucleus ~ destruction of a defect (-ΔG<sub>d</sub>))

## (b) Eutectoid Transformation

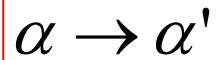
Composition of product phases differs from that of a parent phase.  
→ long-range diffusion

Which transformation proceeds by short-range diffusion?

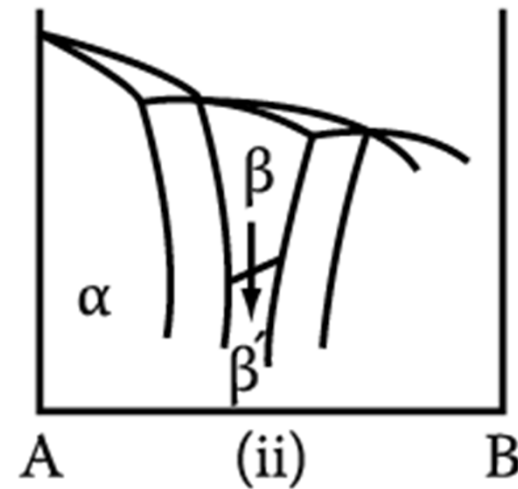
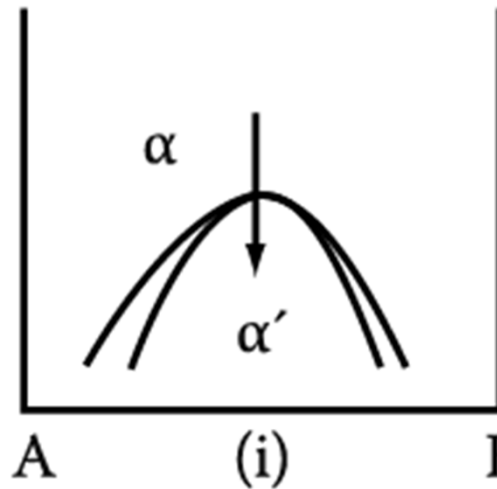


# 5. Diffusion Transformations in solid

## (c) Order-Disorder Transformation

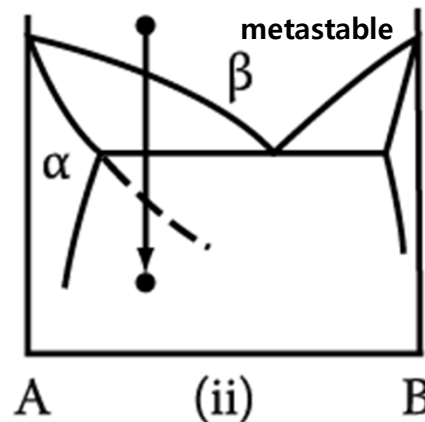
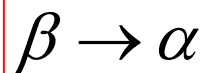
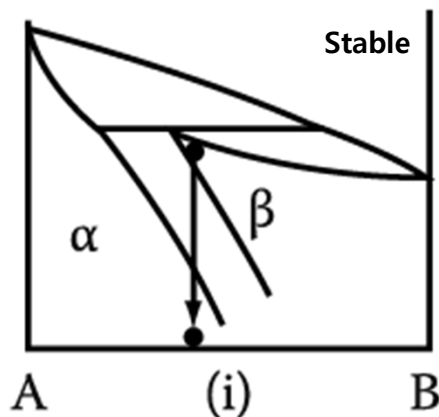


Disorder (high temp.)    Order (low temp.)

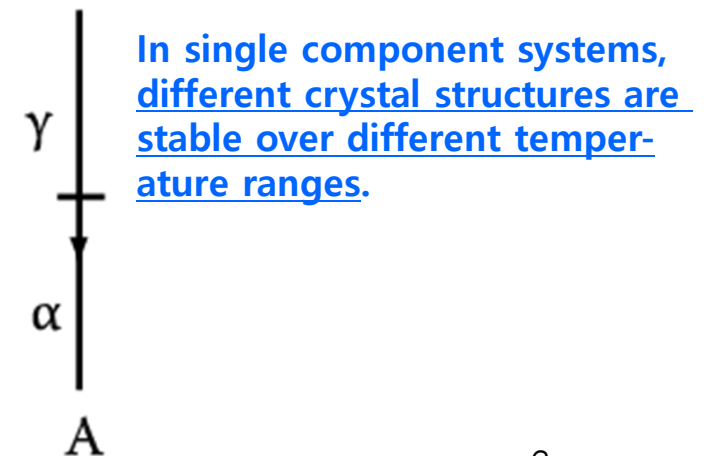


## (d) Massive Transformation

: The original phase decomposes into one or more new phases which have the same composition as the parent phase, but different crystal structures.



## (e) Polymorphic Transformation



In single component systems, different crystal structures are stable over different temperature ranges.

**Q2: Homogeneous nucleation in solid?**

# Homogeneous Nucleation in Solids

## Free Energy Change Associated with the Nucleation

### Negative and Positive Contributions to $\Delta G$ ?

1) Volume Free Energy :

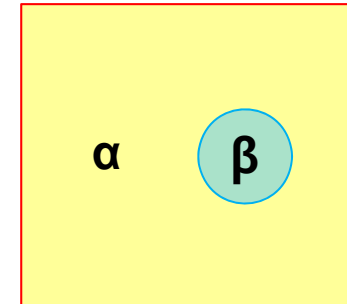
$$-V\Delta G_V$$

2) Interface Energy :

$$A\gamma$$

3) Misfit Strain Energy :

$$V\Delta G_S$$



$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

for spherical nucleation

$$\Delta G = -\frac{4}{3}\pi r^3(\Delta G_V - \Delta G_S) + 4\pi r^2\gamma$$

Plot of  $\Delta G$  vs  $r$ ?

$$r^* = ?$$

$$\Delta G^* = ?$$



# Homogeneous Nucleation in Solids

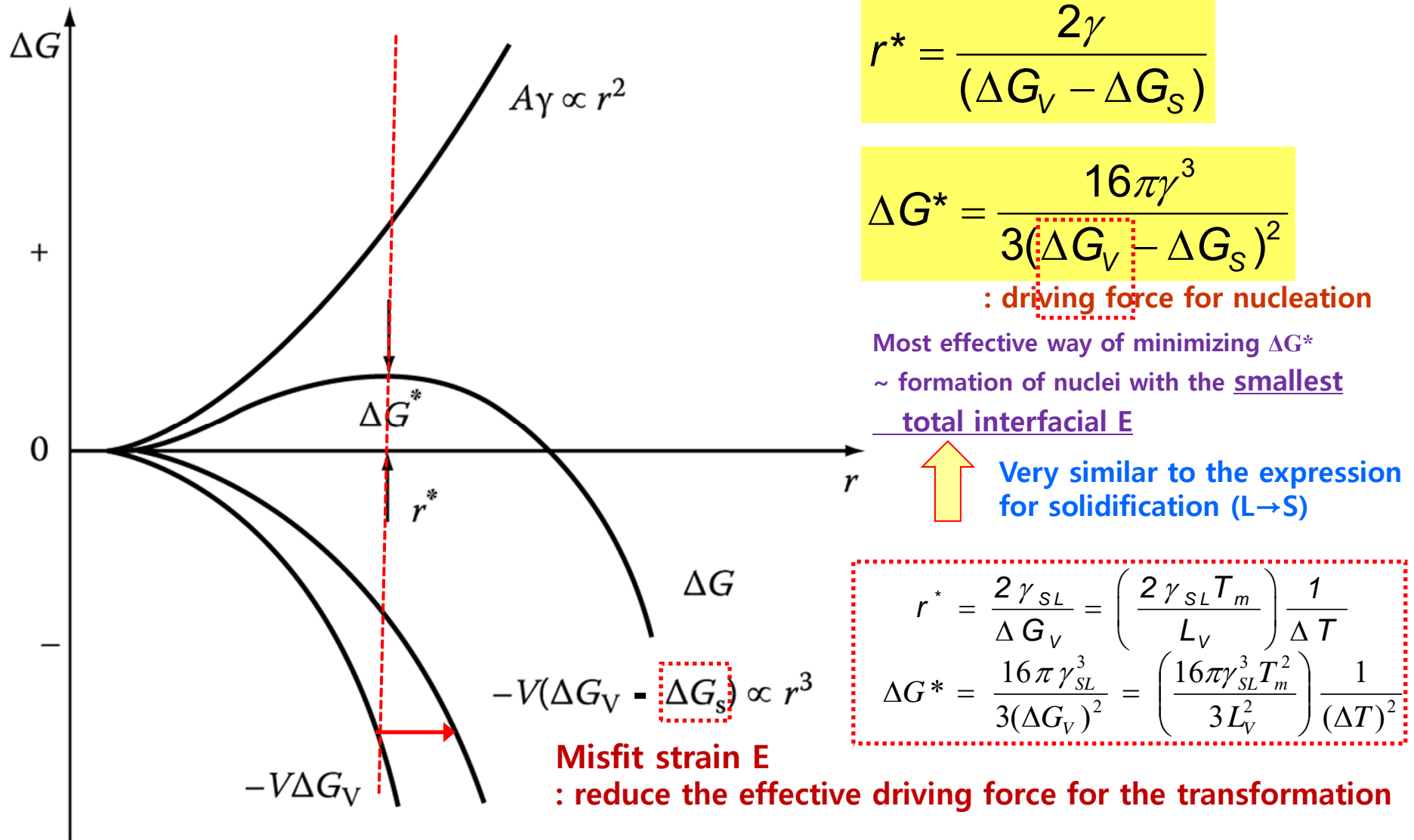


Fig. 5.2 The variation of  $\Delta G$  with  $r$  for a homogeneous nucleus. There is a activation energy barrier  $\Delta G^*$ .

# Homogeneous Nucleation in Solids

## Concentration of Critical Size Nuclei per unit volume

$$C^* = C_0 \exp(-\Delta G^* / kT) \quad C_0 : \text{number of atoms per unit volume in the parent phase}$$

## Homogeneous Nucleation Rate

If each nucleus can be made supercritical at a rate of  $f$  per second,

$$N_{\text{hom}} = f C^* \quad f = \omega \exp(-\Delta G_m / kT)$$

:  $f$  depends on how frequently a critical nucleus can receive an atom from the  $\alpha$  matrix.

$\omega \propto$  vibration frequency, area of critical nucleus

$\Delta G_m$  : activation energy for atomic migration

$$N_{\text{hom}} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

: This eq. is basically same with eq (4.12) except considering temp. dependence of  $f$ .

Homogeneous  
Nucleation rate

$$N_{\text{hom}} = f_0 C_0 \exp\left(-\frac{\Delta G_{\text{hom}}^*}{kT}\right)$$

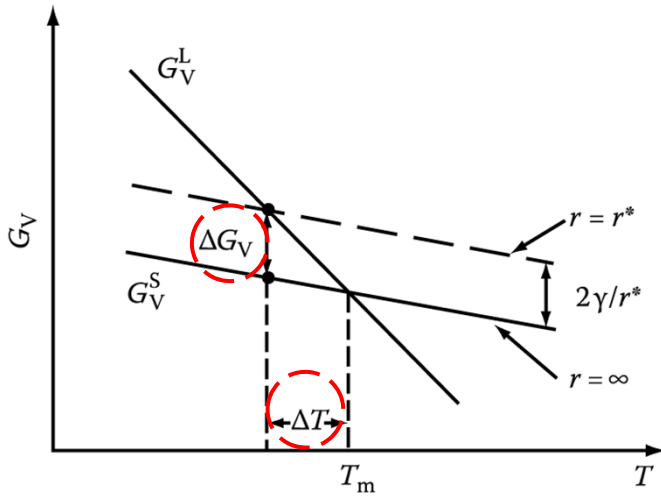
nuclei / m<sup>3</sup>·s

$$N_{\text{hom}} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

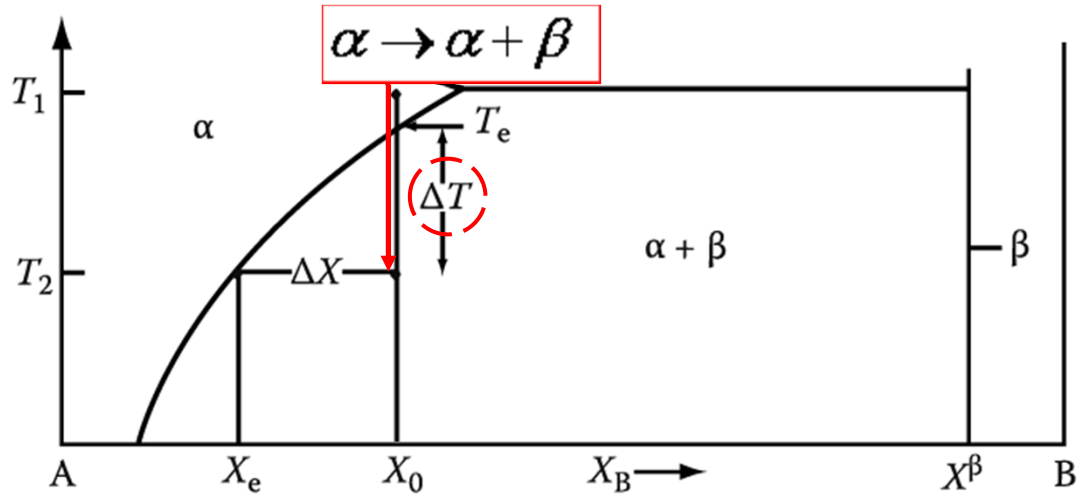
: strongly temp. dependent  
 $\Delta G^*$ 는 온도에 민감

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

$\Delta G^* \propto \Delta G_V$  (driving force for precipitation\_main factor)  
 \* magnitude of  $\Delta G_V \sim$  change by composition



Liquid → Solid



1) For  $X_0$ , solution treatment at  $T_1$

2) For  $X_0$ , quenching down to  $T_2$

$\alpha \rightarrow \alpha + \beta$

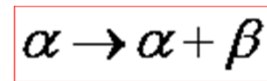
: supersaturated  $\alpha$  with B →  $\beta$  precipitation in  $\alpha$

# Total Free Energy Decrease per Mole of Nuclei $\Delta G_0$



: overall driving force for transformation/ different with driving force for nucleation

## Driving Force for Precipitate Nucleation



$$\Delta G_V = \frac{\Delta G_n}{V_m}$$

$$\Delta G_1 = \mu_A^\alpha X_A^\beta + \mu_B^\alpha X_B^\beta$$

: Decrease of total free E of system by removing a small amount of material with the nucleus composition ( $X_B^\beta$ ) (P point)

$$\Delta G_2 = \mu_A^\beta X_A^\beta + \mu_B^\beta X_B^\beta$$

: Increase of total free E of system by forming  $\beta$  phase with composition  $X_B^\beta$  (Q point)

$$\Delta G_n = \Delta G_2 - \Delta G_1 \text{ (length PQ)}$$

$$\Delta G_V = \frac{\Delta G_n}{V_m} \text{ per unit volume of } \beta$$

: driving force for  $\beta$  precipitation

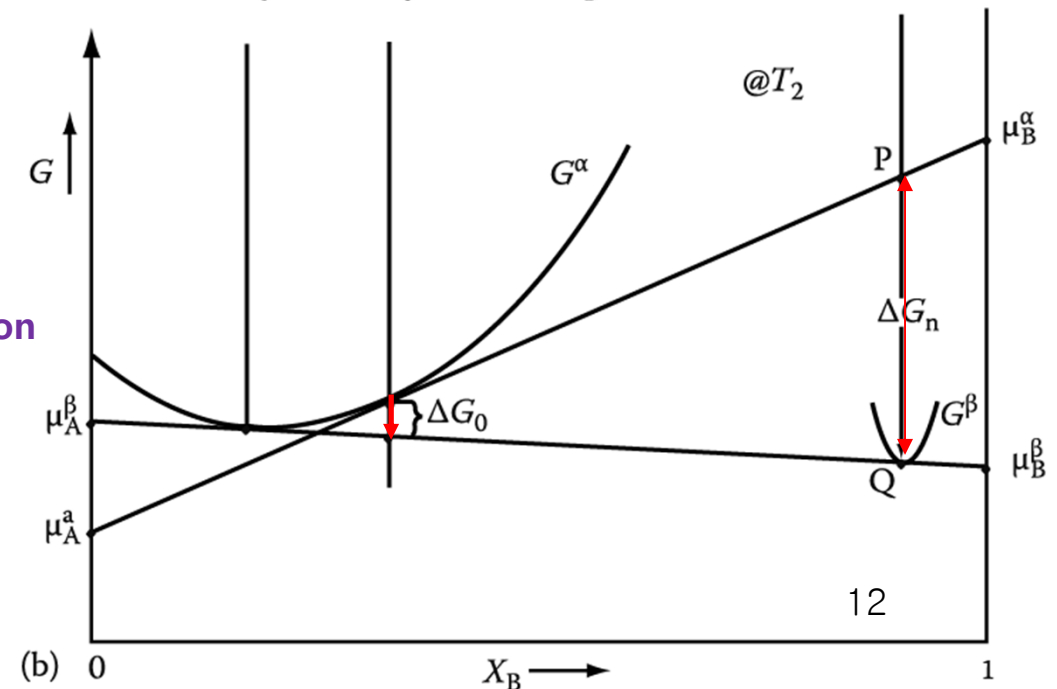
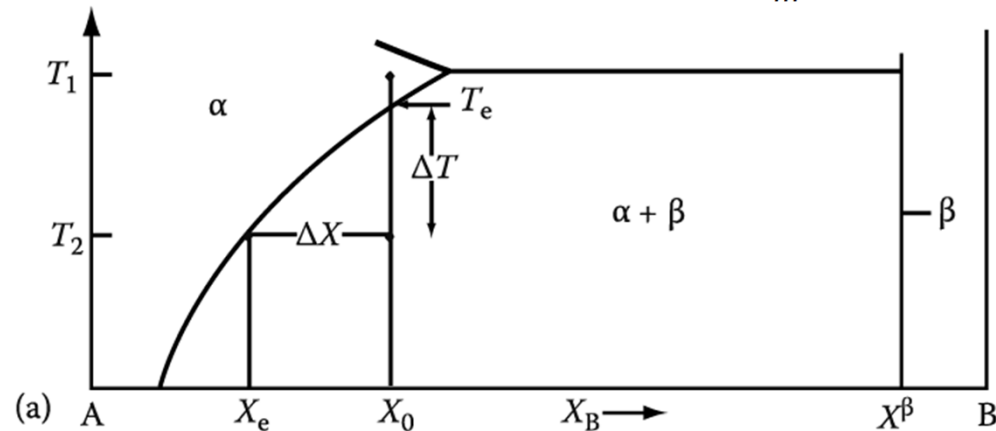
For dilute solutions,

$$\Delta G_V \propto \Delta X \text{ where } \Delta X = X_0 - X_e$$

Composition dependent

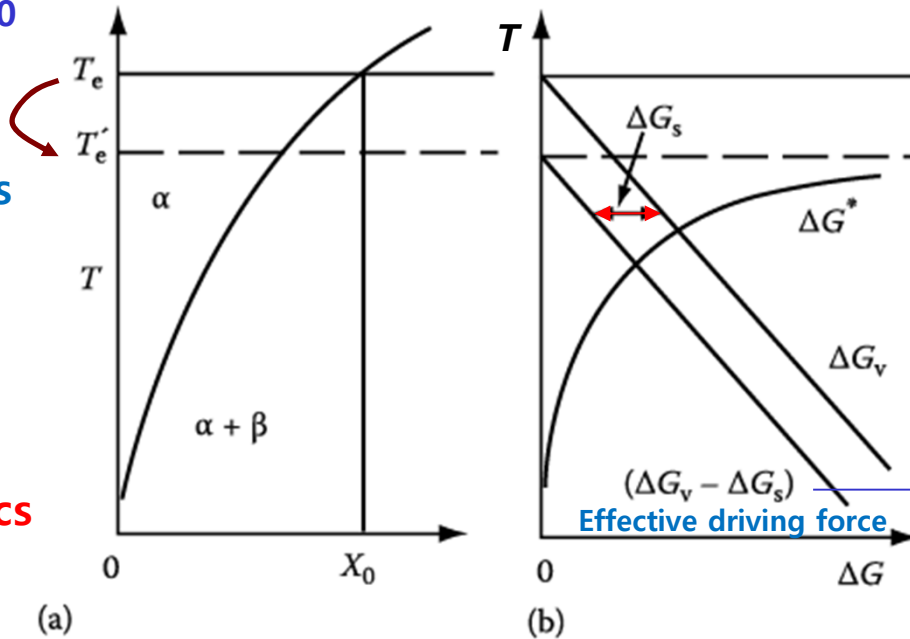
$$\Delta G_V \propto \Delta X \propto (\Delta T)$$

$\propto$  undercooling below  $T_e$



# Rate of Homogeneous Nucleation Varies with undercooling below $T_e$ for alloy $X_0$

Effective equilibrium temperature is reduced by misfit strain E term,  $\Delta G_s$ .

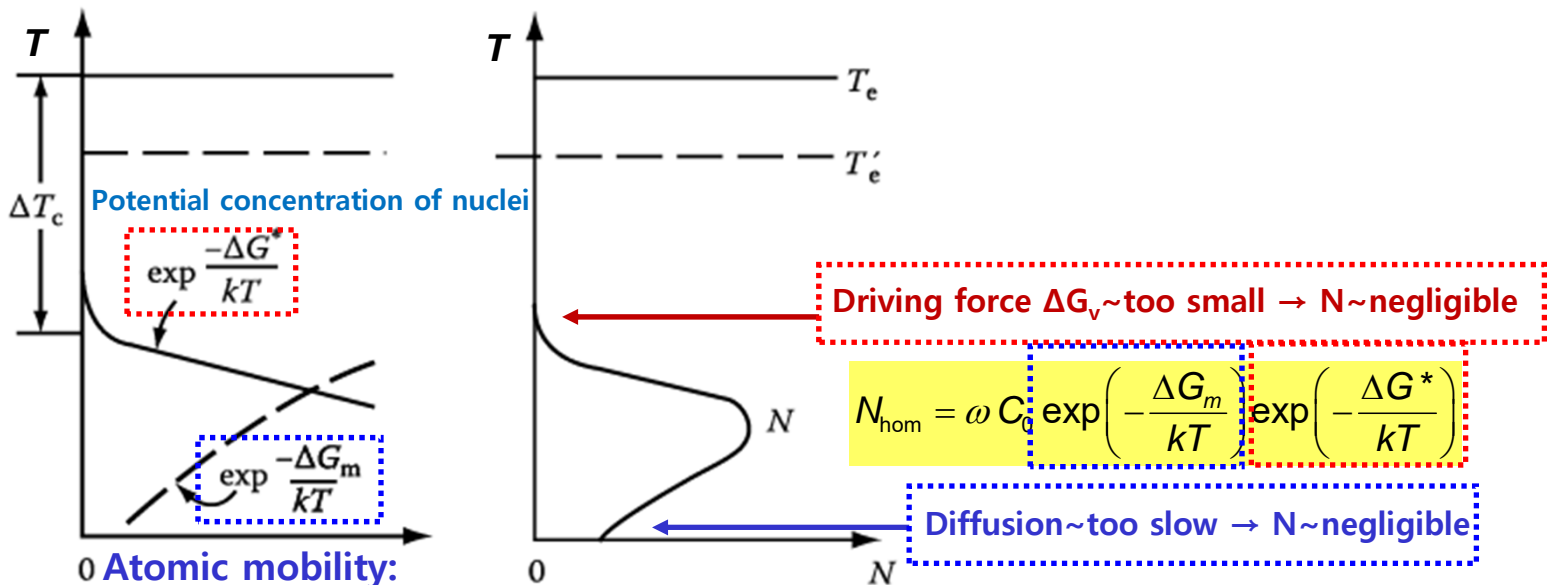


$\Delta G_V \propto \Delta X \propto \Delta T$   
 Composition dependent

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$
  
 Resultant energy barrier for nucleation

Thermodynamics vs Kinetics

Critical undercooling  $\Delta T_c$



$$N_{\text{hom}} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

(c)  $\Delta G_m = \text{const}, T \downarrow \rightarrow \text{AM} \downarrow$  (d)  $\Delta G_m$ : activation energy for atomic migration

# The Effect of $\Delta T$ on $\Delta G^*_{het}$ & $\Delta G^*_{hom}$ ?\_Critical undercooling, $\Delta T_c$

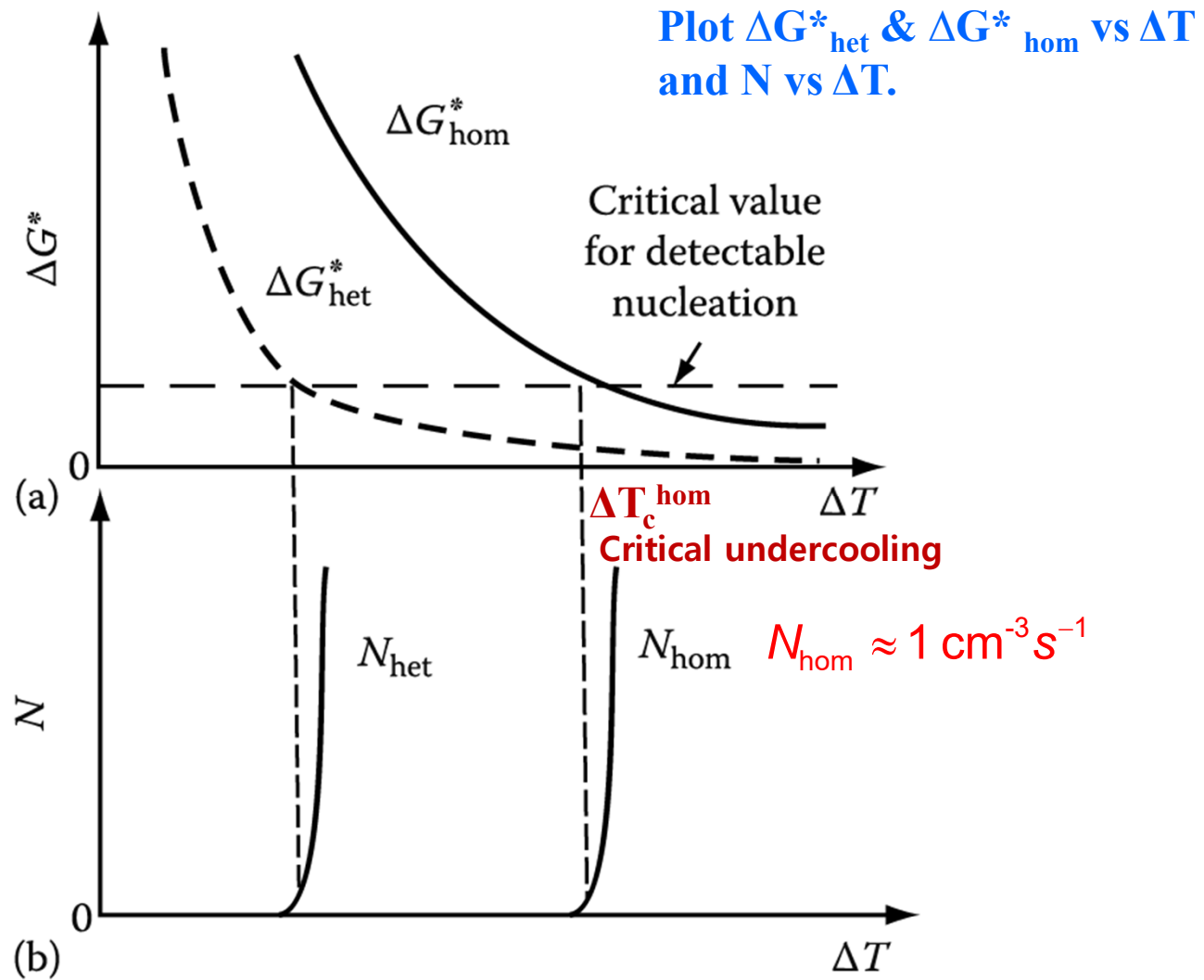


Fig. 4.9 (a) Variation of  $\Delta G^*$  with undercooling ( $\Delta T$ ) for homogeneous and heterogeneous nucleation.

(b) The corresponding nucleation rates assuming the same critical value of  $\Delta G^*$

# The Effect of Alloy Composition on the Nucleation Rate

Compare the two plots of  $T$  vs  $N(1)$  and  $T$  vs  $N(2)$ .

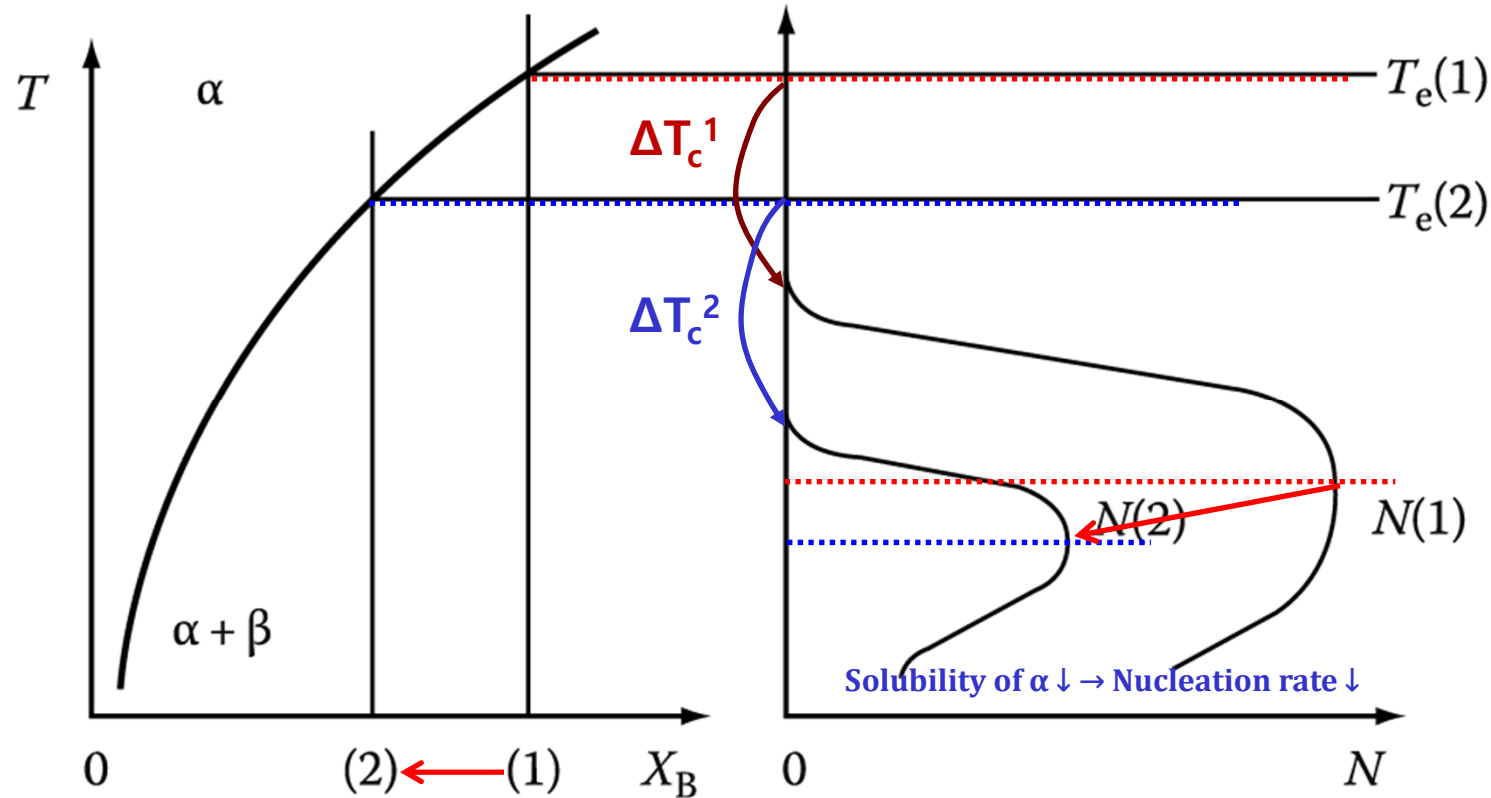


Fig. 5.5 The effect of alloy composition on the nucleation rate. The nucleation rate in alloy 2 is always less than in alloy 1.

\* 어떤 핵이 형성되느냐?  $\rightarrow$  어느 경우 최소의  $\Delta G^*$  필요로 하나?  $\rightarrow$  최소의 계면에너지를 갖는 핵 생성

(a) 핵이 모상과 방위관계를 갖고 정합계면 형성하면  $\rightarrow \Delta G_s$  증가 &  $T_e'$  감소

그러나,  $T_e'$  이하에서는 정합계면 생성에 의한  $\gamma$  감소가  $\Delta G_s$  증가 효과보다 더 커질 수 있음.

$\rightarrow \Delta G^*$  크게 감소  $\rightarrow$  균일핵생성 발생

(b) In most system,  $\alpha$ ,  $\beta$  phase  $\sim$  different crystal structure  $\rightarrow$  부정합 핵은  $\gamma$ 가 커서 평형

$\beta$ 상의 균일 핵생성 불가능  $\rightarrow$  metastable phase  $\beta'$  균일핵생성 (GP Zones, Section 5.5.1)

**Q3: Heterogeneous nucleation in solid?**



# Heterogeneous Nucleation in Solids

➔ most cases, heterogeneous nucleation\_suitable nucleation sites ~ nonequilibrium defects (creation of nucleus~destruction of a defect(-ΔG<sub>d</sub>) & reducing the activation E barrier)

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

## Nucleation on Grain Boundaries

**Assumption:** ΔG<sub>S</sub> (misfit strain E) = 0,

Optimum embryo shape should be that which minimizes the total interfacial free E.

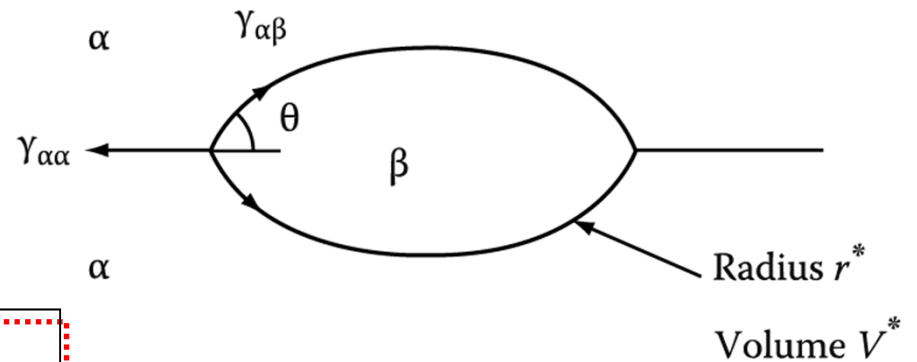
$$\cos \theta = \gamma_{\alpha\alpha} / 2\gamma_{\alpha\beta}$$

(by assuming γ<sub>αβ</sub> is isotropic and equal for both grains)

$$\Delta G = -V\Delta G_V + A_{\alpha\beta}\gamma_{\alpha\beta} - A_{\alpha\alpha}\gamma_{\alpha\alpha}$$

➔ Excess free E associated with the embryo~analogous to solidification on a substrate (Section 4.1.3) (next page)

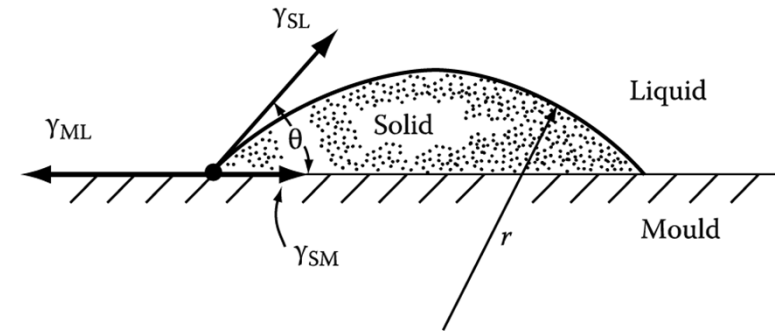
Critical nucleus size(V\*) for grain-boundary nucleation



# Barrier of Heterogeneous Nucleation in S→L transformation

$$\Delta G_{het} = -V_S \Delta G_V + A_{SL} \gamma_{SL} + A_{SM} \gamma_{SM} - A_{SM} \gamma_{ML}$$

$$\Delta G^* = \frac{16 \pi \gamma_{SL}^3}{3 \Delta G_V^2} \cdot S(\theta) = \frac{16 \pi \gamma_{SL}^3}{3 \Delta G_V^2} \cdot \frac{(2 - 3 \cos \theta + \cos^3 \theta)}{4}$$

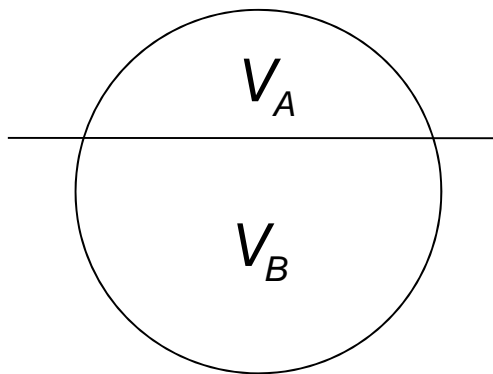


Shape factor

$S(\theta)$  has a numerical value  $\leq 1$  dependent only on  $\theta$  (the shape of the nucleus)

$$\Delta G_{het}^* = S(\theta) \Delta G_{hom}^*$$

$$\Rightarrow r^* = \frac{2 \gamma_{SL}}{\Delta G_V} \quad \text{and} \quad \Delta G^* = \frac{16 \pi \gamma_{SL}^3}{3 \Delta G_V^2} \cdot S(\theta)$$



$$\Delta G_{het}^* = \Delta G_{homo}^* \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

$$\frac{V_A}{V_A + V_B} = \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} = S(\theta)$$

# Heterogeneous Nucleation in Solids

➔ most cases, heterogeneous nucleation\_suitable nucleation sites ~ nonequilibrium defects (creation of nucleus~destruction of a defect(-ΔG<sub>d</sub>) & reducing the activation E barrier)

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

## Nucleation on Grain Boundaries

**Assumption:** ΔG<sub>S</sub> (misfit strain E)= 0,

Optimum embryo shape should be that which minimizes the total interfacial free E.

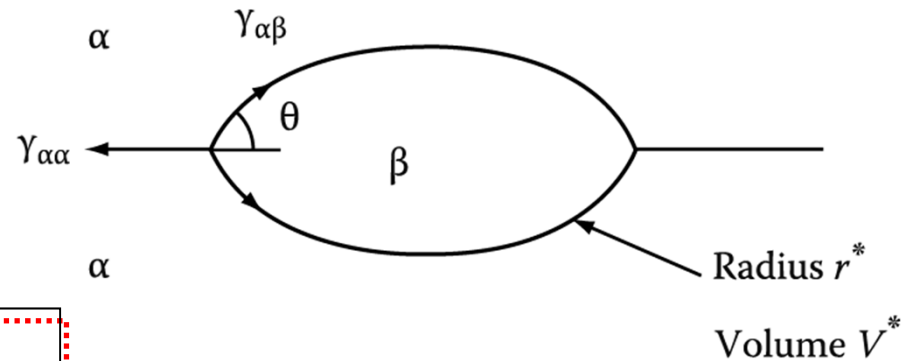
$$\cos \theta = \gamma_{\alpha\alpha} / 2\gamma_{\alpha\beta}$$

(by assuming γ<sub>αβ</sub> is isotropic and equal for both grains)

$$\Delta G = -V\Delta G_V + A_{\alpha\beta}\gamma_{\alpha\beta} - A_{\alpha\alpha}\gamma_{\alpha\alpha}$$

➔ Excess free E associated with the embryo~analogous to solidification on a substrate (Section 4.1.3)

Critical nucleus size(V\*) for grain-boundary nucleation



Critical radius of the spherical caps

$$r^* = 2\gamma_{\alpha\beta} / \Delta G_V$$

r\* is not related to γ<sub>αα</sub>

Activation E barrier for heterogeneous nucleation

$$\frac{\Delta G^*_{het}}{\Delta G^*_{hom}} = \frac{V^*_{het}}{V^*_{hom}} = S(\theta)$$

$$S(\theta) = \frac{1}{2}(2 + \cos \theta)(1 - \cos \theta)^2$$

# Heterogeneous Nucleation in Solids

$$\Delta G_{het}^* \sim \cos \theta \sim \gamma_{\alpha\alpha} / 2\gamma_{\alpha\beta}$$

Reduction by boundary effect

$\gamma_{\alpha\alpha} : \gamma_{\alpha\beta} \geq 2 \rightarrow \theta = 0$   
 No energy barrier for nucleation

$$\Delta G_{het}^* = \Delta G_{homo}^* \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

How can  $V^*$  and  $\Delta G^*$  be reduced even further?

→ **By nucleation on a grain edge or a grain corner.**

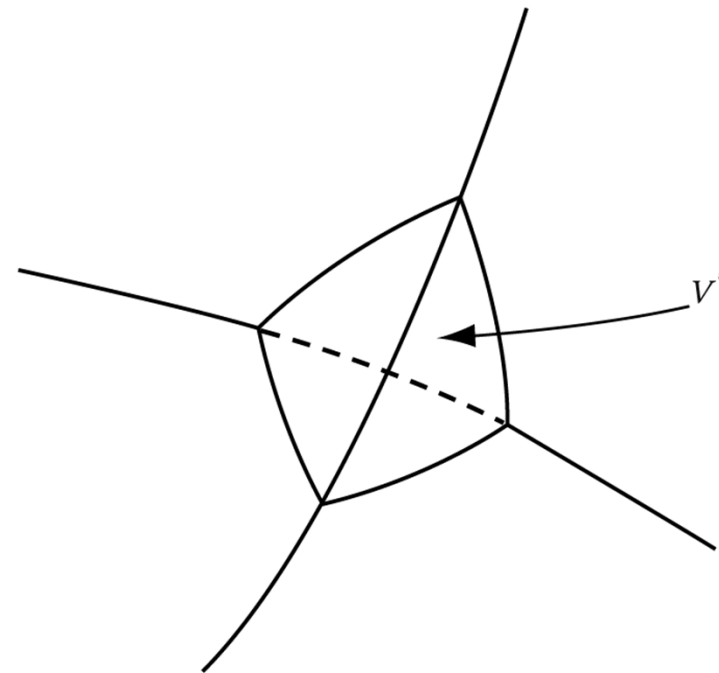
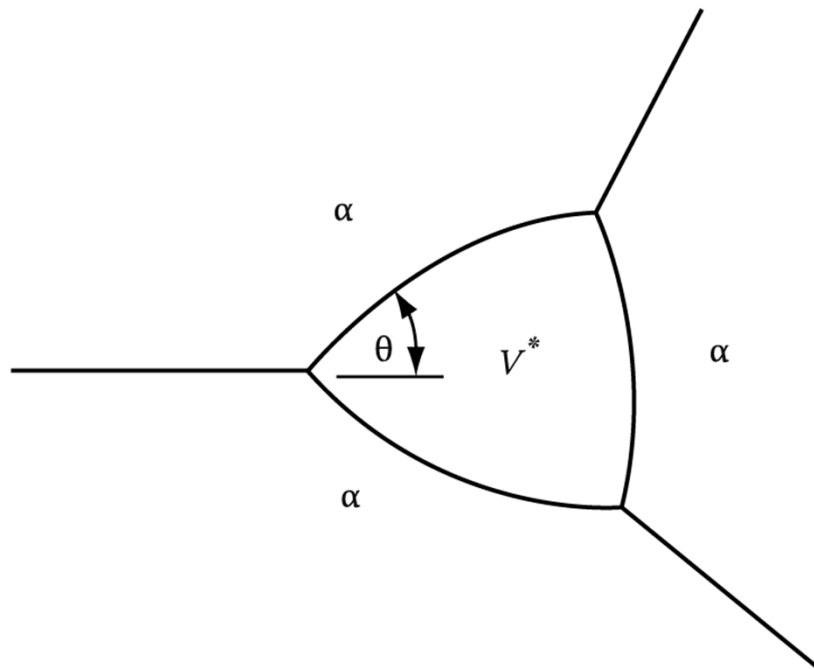


Fig. 5.7 Critical nucleus shape for nucleation on a **grain edge**. Fig. 5.8 Critical nucleus shape for nucleation on a **grain corner**.

# Heterogeneous Nucleation in Solids

Compare the plots of  $\Delta G_{het}^* / \Delta G_{hom}^*$  vs  $\cos \theta$   
for grain boundaries, edges and corners

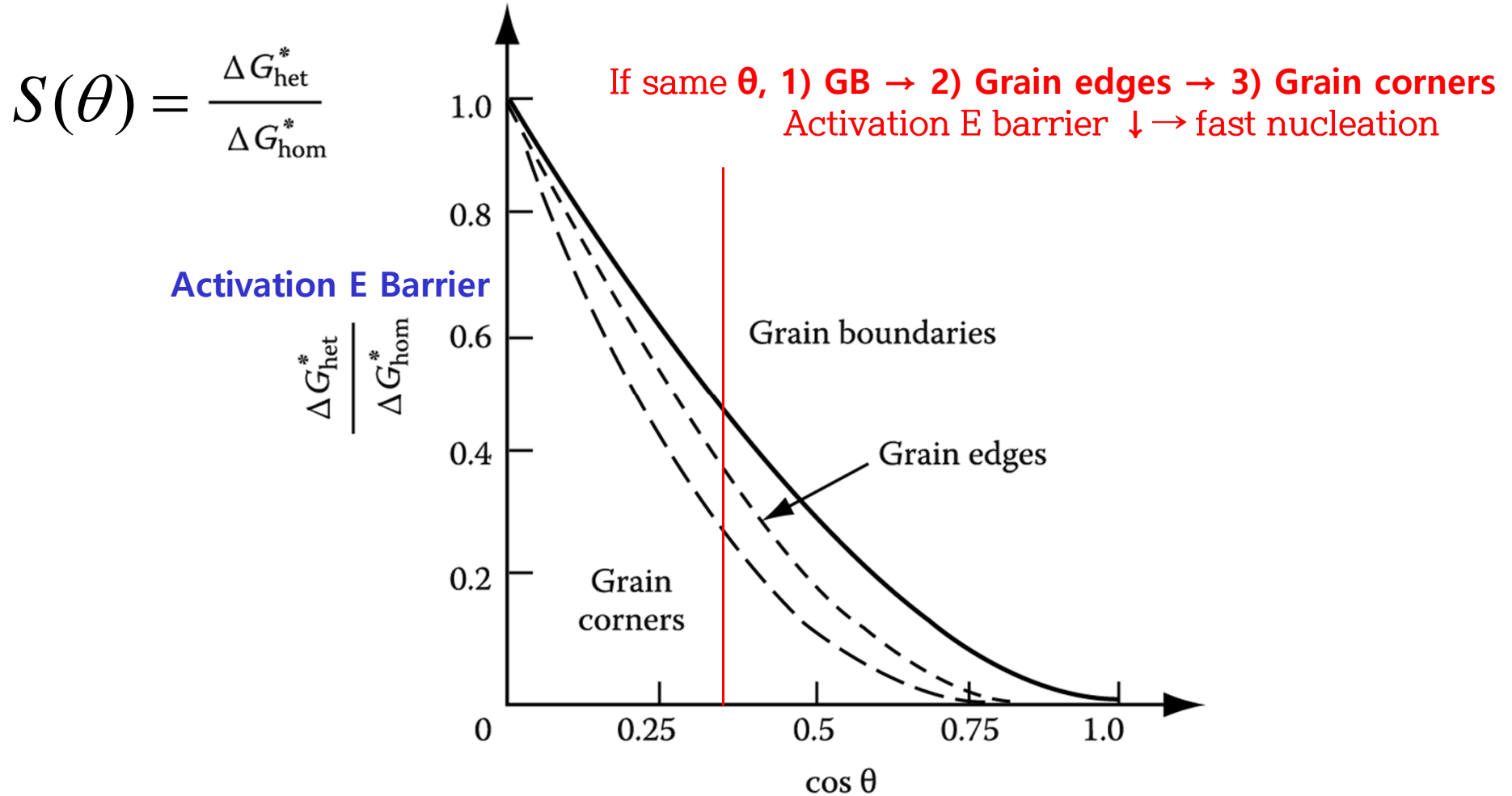


Fig. 5.9 The effect of  $\theta$  on the activation energy for grain boundary nucleation relative to homogeneous nucleation.

# Heterogeneous Nucleation in Solids

**High-angle grain boundaries (high interfacial E) are particularly effective nucleation sites for incoherent precipitates with high  $\gamma_{\alpha\beta}$ .**

If the matrix and precipitate make a **coherent interface**,  **$V^*$  and  $\Delta G^*$  can be further reduced as shown in Fig. 5.10.**

The nuclei will then have an orientation relationship with one of the grains.

## < Nucleus with Coherent Interface >

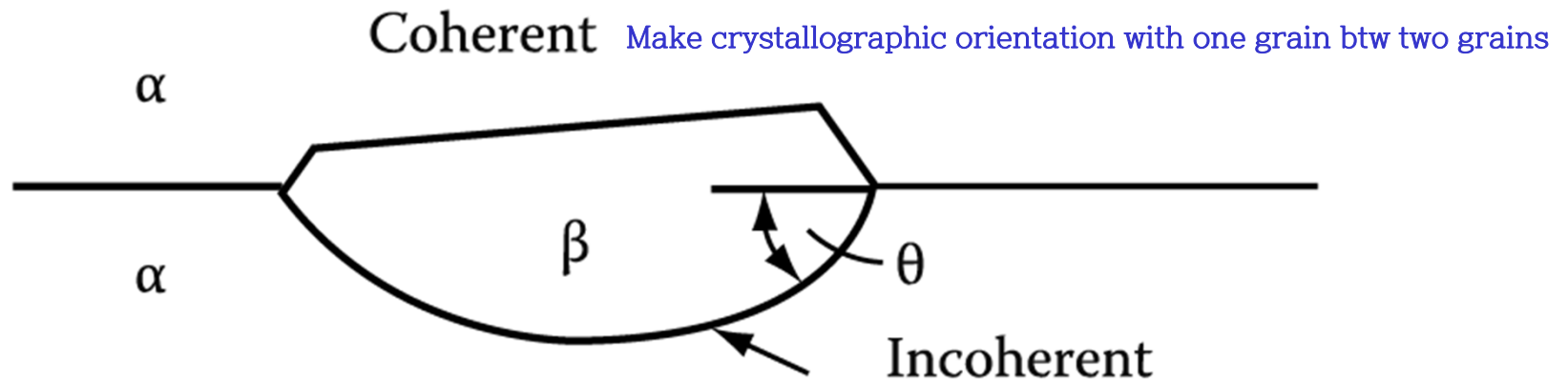


Fig. 5. 10 The critical nucleus size can be reduced even further by forming a low-energy coherent interface with one grain.

- \* **Other planar defects, such as inclusion/matrix interfaces, stacking faults (relatively low E), and free surfaces, dislocations and excess vacancies (?) can behave in a similar way to grain boundaries in reducing  $\Delta G^*$ .**

# Heterogeneous Nucleation in Solids

## Rate of Heterogeneous Nucleation

Decreasing order of  $\Delta G^*$ , i.e., increasing  $\Delta G_d$

(Activation Energy Barrier for nucleation)

1) homogeneous sites

2) vacancies 단독으로 또는 작은 군집체 상태로 핵생성에 영향/ 확산속도 증가 & 불일치 변형에너지 감소

3) dislocations 전위주위의 격자비틀림 → 핵생성시 전체변형에너지 감소 / 용질원소 편석/ 손쉬운 확산경로

4) stacking faults 매우 낮은 에너지/ 총계면에너지 ↓ 효과적이지 못함 → 강력한 불균일 핵생성처는 아님

5) grain boundaries and interphase boundaries

6) free surfaces

: Nucleation should always occur **most rapidly on sites near the bottom of the list.**

However, the relative importance of these sites depends on the **relative concentrations of the sites,  $C_1$ .**

$$N_{het} = \omega(C_1) \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right) \text{ nuclei } m^{-3} s^{-1}$$

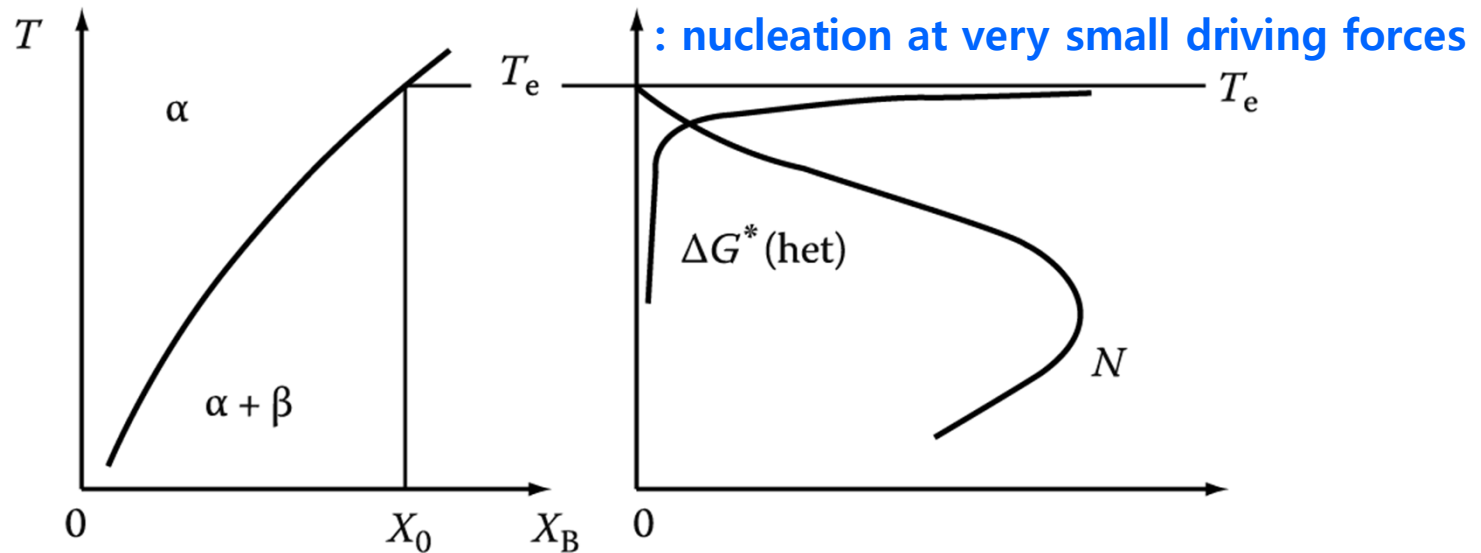
$C_1$  : concentration of heterogeneous nucleation sites per unit volume

$$N_{hom} = \omega(C_0) \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

: number of atoms per unit volume in parent phase

# Heterogeneous Nucleation in Solids

## The Rate of Heterogeneous Nucleation during Precipitation



\* Relative magnitudes of the heterogeneous and homogeneous volume nucleation rates

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

Ignore  $\omega$  and  $\Delta G_m$   
due to small deviation

$\Delta G^* \sim$  always smallest  
for heterogeneous nucleation

⇒ Exponential factor  
: very large quantity

⇒  $\frac{N_{het}}{N_{hom}} > 1$  High heterogeneous  
nucleation rate

But, The factor  $C_1/C_0$  ?



# Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

$C_1/C_0$  for GB nucleation?

$$\frac{C_1}{C_0} = \frac{\delta(\text{GB thickness})}{D(\text{grain size})}$$

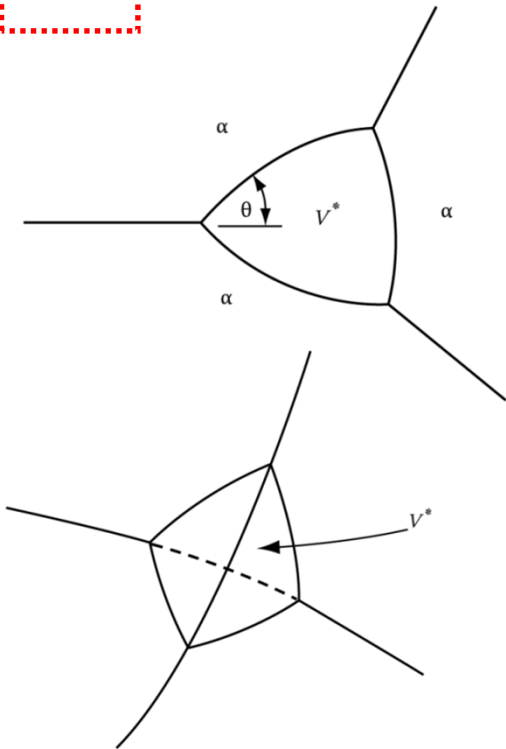
: the number of atoms on heterogeneous sites relative to the number within the matrix

$$\frac{C_1}{C_0} = \left(\frac{\delta}{D}\right)^2 \rightarrow \text{for nucleation on grain edge}$$

$$\frac{C_1}{C_0} = \left(\frac{\delta}{D}\right)^3 \rightarrow \text{for nucleation on grain corner}$$

For  $D = 50 \mu\text{m}$ ,  $\delta = 0.5 \text{ nm}$

$$\frac{C_1}{C_0} = \frac{\delta}{D} \approx 10^{-5}$$



## $C_1/C_0$ for Various Heterogeneous Nucleation Sites

Grain boundary	Grain edge	Grain corner	Dislocations		Excess vacancies
$D = 50 \mu\text{m}$	$D = 50 \mu\text{m}$	$D = 50 \mu\text{m}$	$10^5 \text{ mm}^{-2}$	$10^8 \text{ mm}^{-2}$	$X_v = 10^{-6}$
$10^{-5}$	$10^{-10}$	$10^{-15}$	$10^{-8}$	$10^{-5}$	$10^{-6}$

# Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G_{hom}^* - \Delta G_{het}^*}{kT}\right)$$

## $C_1/C_0$ for Various Heterogeneous Nucleation Sites

각각의 핵생성처에서 경쟁적으로 핵생성 발생: 구동력 조건에 따라 전체 핵생성 속도에 dominant하게 영향을 미치는 site 변화

Grain boundary	Grain edge	Grain corner	Dislocations		Excess vacancies
$D = 50 \mu\text{m}$	$D = 50 \mu\text{m}$	$D = 50 \mu\text{m}$	$10^5 \text{ mm}^{-2}$	$10^8 \text{ mm}^{-2}$	$X_v = 10^{-6}$
$10^{-5}$	$10^{-10}$	$10^{-15}$	$10^{-8}$	$10^{-5}$	$10^{-6}$

In order to make nucleation occur exclusively on the grain corner, how should the alloy be cooled?

1) At very small driving forces ( $\Delta G_v$ ), when activation energy barriers for nucleation are high, the highest nucleation rates will be produced by grain-corner nucleation.

핵생성 구동력

$\Delta G_v$



increase

2) dominant nucleation sites:  
grain edges → grain boundaries

3) At very high driving forces it may be possible for the ( $C_1/C_0$ ) term to dominate and then homogeneous nucleation provides the highest nucleation rates.

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\* The above comments concerned nucleation during isothermal transformations (driving force for nucleation: [isothermal] constant ↔ [continuous cooling] increase with time)

## **Q4: Precipitate growth:**

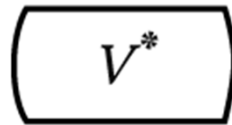
- 1) Growth behind Planar Incoherent Interfaces**
- 2) Diffusion Controlled lengthening of Plates or Needles**
- 3) Thickening of Plate-like Precipitates by Ledge Mechanism**

# 5.3 Precipitate Growth

## Initial precipitate shape

~minimizes the total interfacial free E

Coherent or semicoherent facets

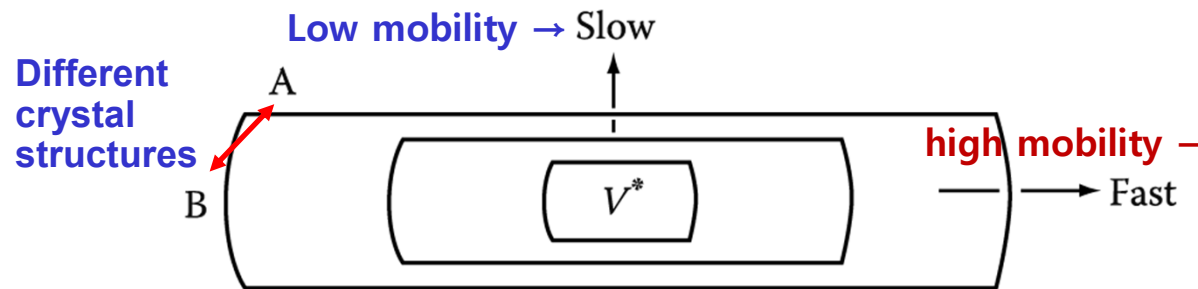


Smoothly curved incoherent interfaces

Precipitate growth → interface migration

: shape~determined by the relative migration rates

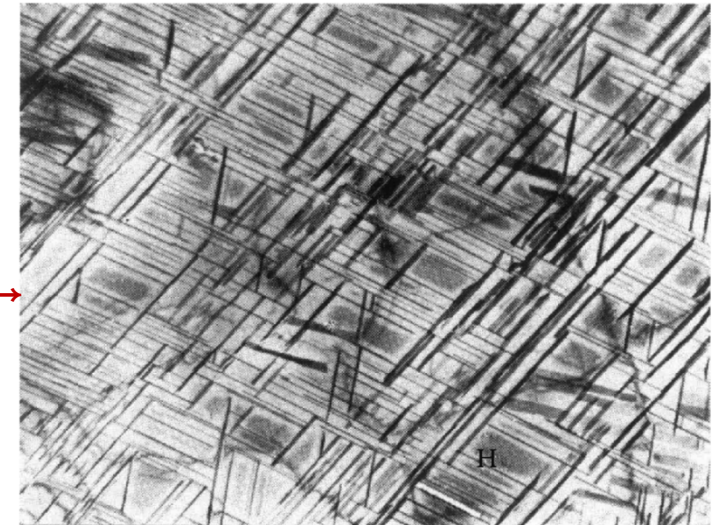
If the nucleus consists of semi-coherent and incoherent interfaces, what would be the growth shape?



⇒ Ledge mechanism

Thin disk or plate

→ Origin of the **Widmanstätten morphology**



# 1) Growth behind Planar Incoherent Interfaces

**Incoherent interface** → similar to rough interface

→ local equilibrium → diffusion-controlled

## Diffusion-Controlled Thickening: precipitate growth rate

$$\rightarrow v = f(\Delta T \text{ or } \Delta X, t)$$

From mass conservation,

$$(C_\beta - C_e) dx \text{ mole of } B \\ = J_B = D(dC/dx)dt$$

**D: interdiffusion coefficient**  
or interstitial diffusion coeff.

$$v = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

Depends on the concentration gradient  
at the interface  $dC/dx$

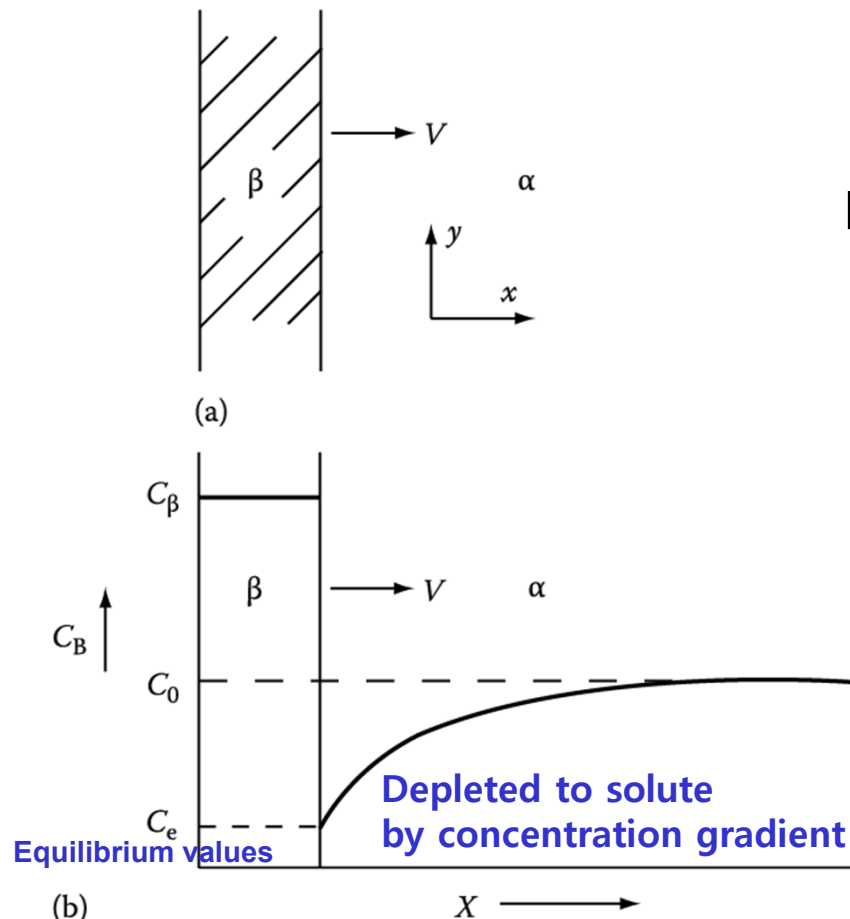
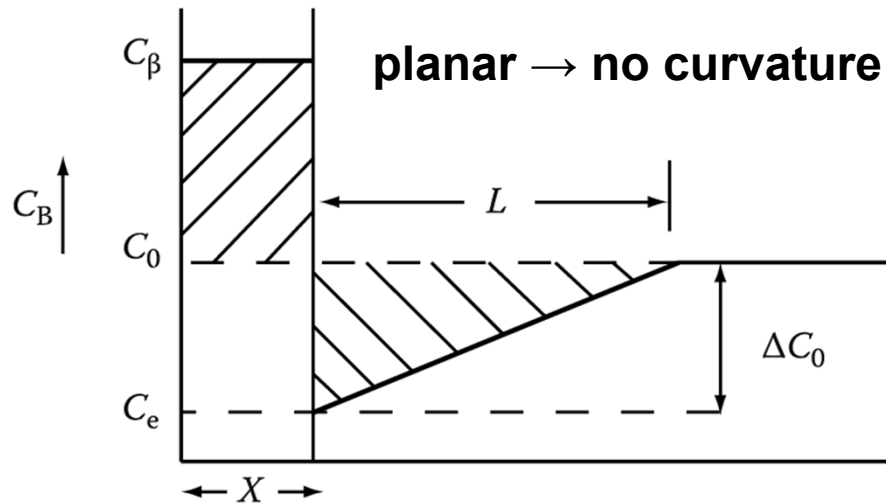


Fig. 5.14 Diffusion-controlled thickening of a precipitate plate.

# 1) Growth behind Planar Incoherent Interfaces

Simplification of concentration profile (Zener)



$$v = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

$$dC/dx = \Delta C_0 / L \leftarrow L = 2(C_\beta - C_0)x / \Delta C_0$$

$$\therefore (C_\beta - C_0)x = L\Delta C_0 / 2$$

(same area)

$$v = \frac{D(\Delta C_0)^2}{2(C_\beta - C_e)(C_\beta - C_0)x}$$

Thickness of the slab

if  $C_\beta - C_0 \cong C_\beta - C_e$  and  $X = CV_m$ ,  
(simplification) Mole fractions

$$\Delta C_0 \rightarrow \Delta X_0 = X_0 - X_e$$

$$x dx = \frac{D(\Delta X_0)^2}{2(X_\beta - X_e)^2} dt \xrightarrow{\text{integral}}$$

$$x = \frac{\Delta X_0}{X_\beta - X_e} \sqrt{(Dt)}$$

Thickness of the slab

$$x \propto \sqrt{(Dt)}$$

Parabolic growth

$$v = \frac{\Delta X_0}{2(X_\beta - X_e)} \sqrt{\frac{D}{t}}$$

$$v \propto \Delta X_0, v \propto \sqrt{(D/t)}$$

Growth rate  $\propto$  supersaturation

# 1) Growth behind Planar Incoherent Interfaces

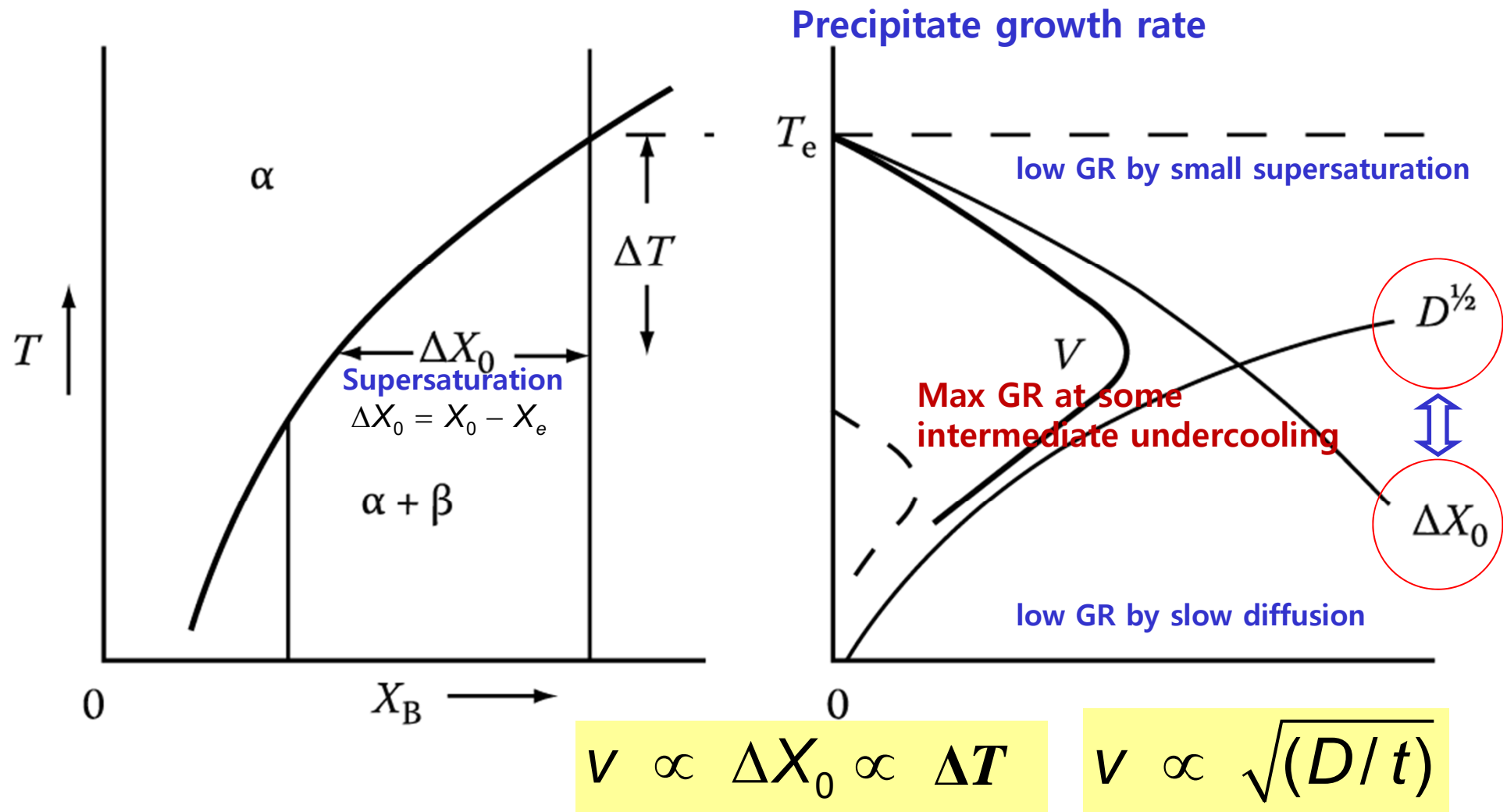


Fig. 5.16 The effect of temperature and position on growth rate,  $v$ .

# 1) Growth behind Planar Incoherent Interfaces

## Effect of “Overlap” of Separate Precipitates

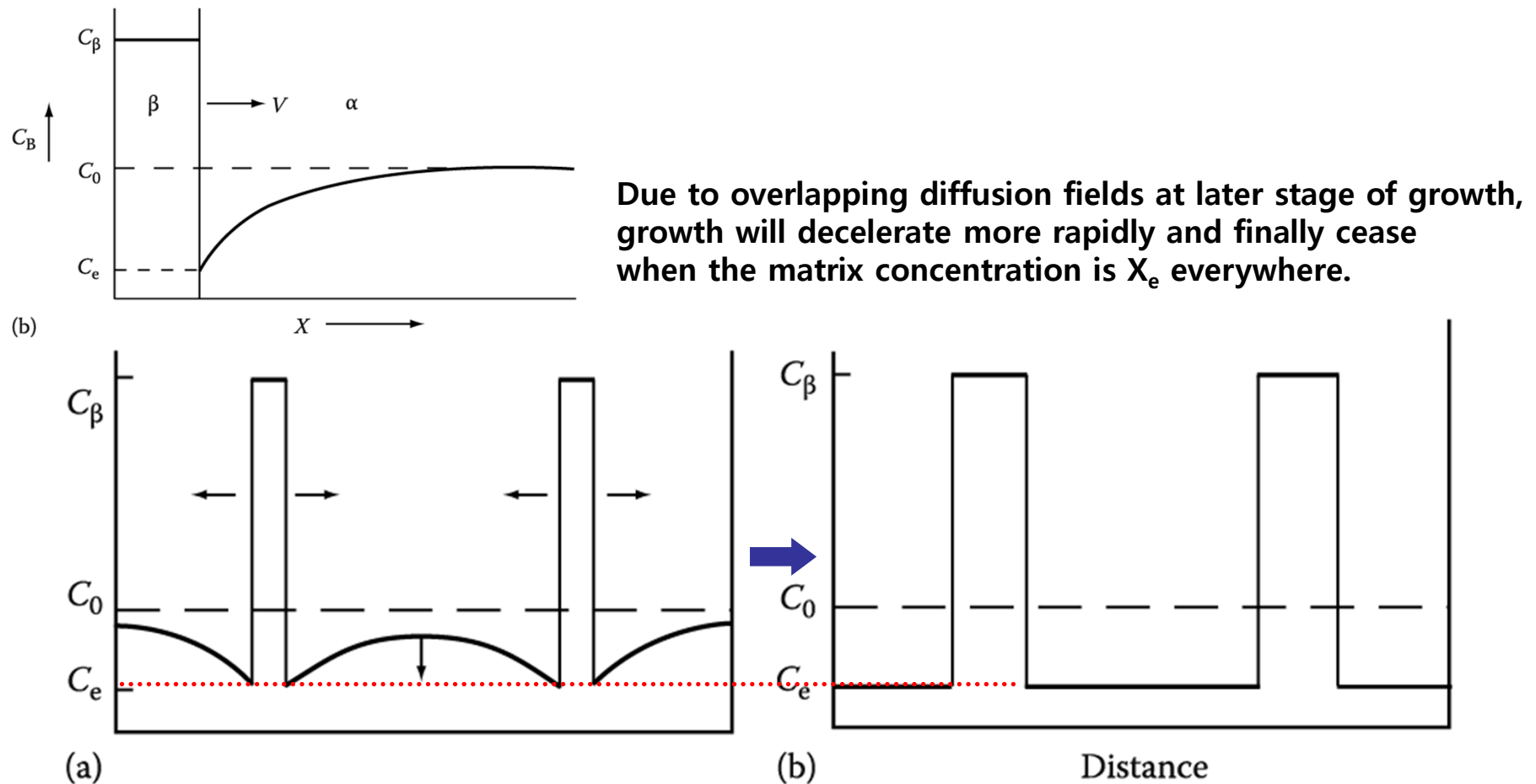
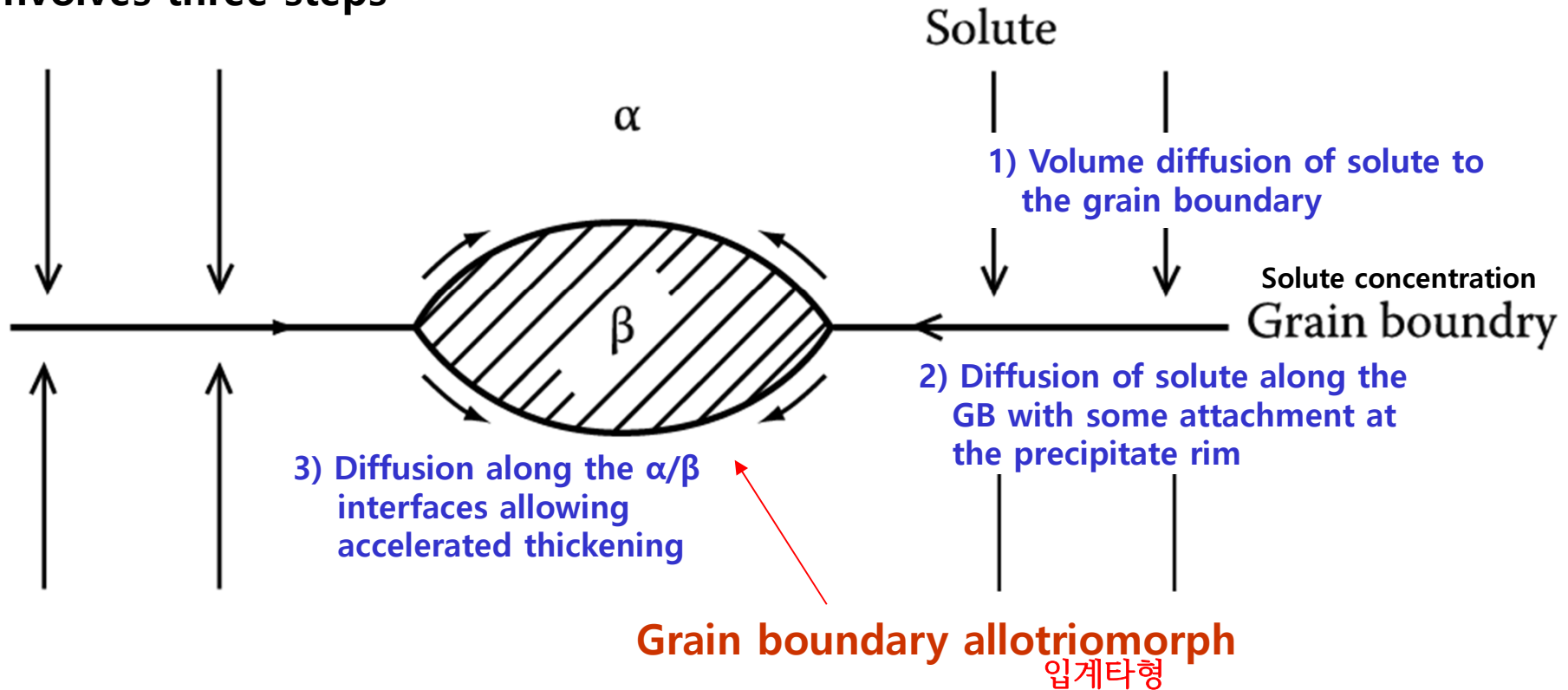


Fig. 5.17 (a) Interference of growing precipitates due to overlapping diffusion fields at later stage of growth. (b) Precipitate has stopped growing.



# 1) Growth behind Planar Incoherent Interfaces

Grain boundary precipitation  $\longrightarrow$  Faster than allowed by volume diffusion involves three steps



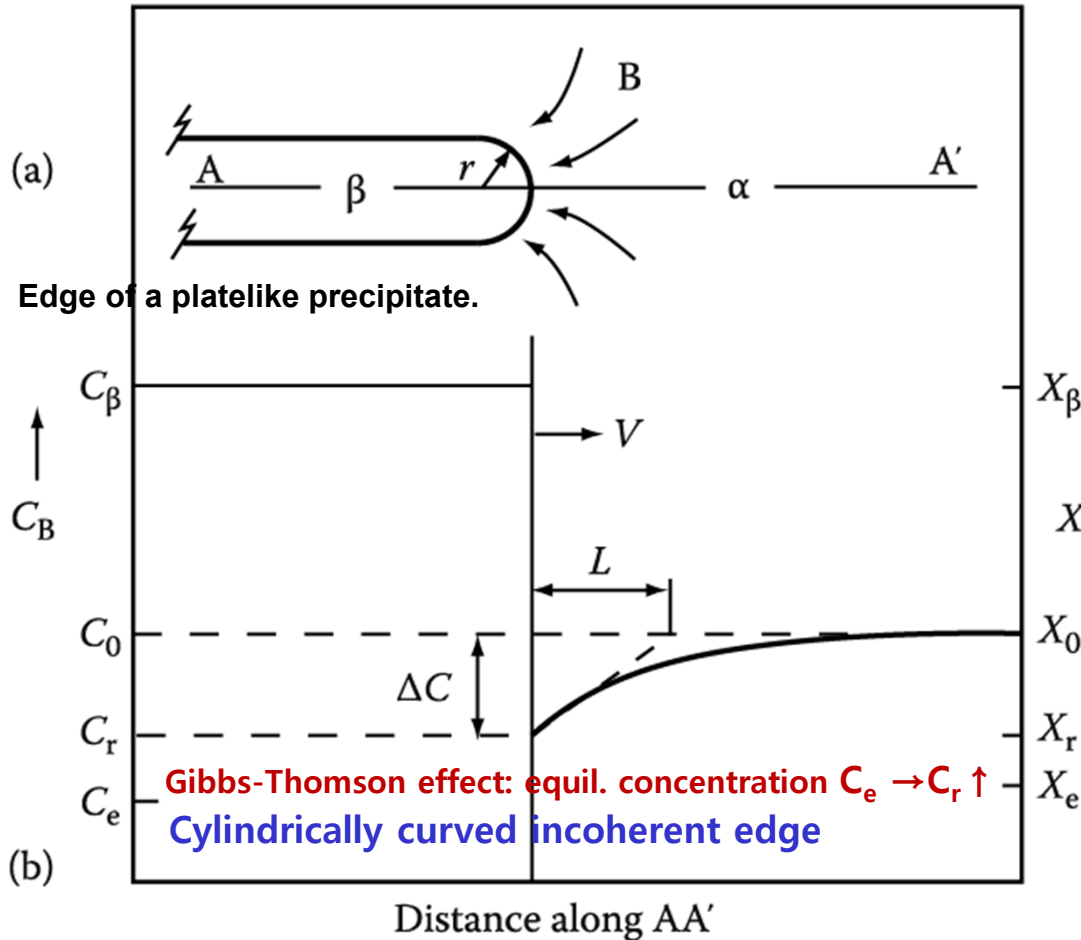
치환형 확산이 일어나는 경우 매우 중요/ 침입형 고용체에서는 체적 확산 속도가 크기 때문에 입계나 전위를 통한 단거리 확산은 상대적으로 중요하지 않음.

Fig. 5.18 Grain-boundary diffusion can lead to rapid lengthening and thickening of grain boundary precipitates, especially by substitutional diffusion.

## 2) Diffusion Controlled lengthening of Plates or Needles

### Plate Precipitate of constant thickness

Volume diffusion-controlled continuous growth process



From mass conservation,

$$V = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

$$\frac{dC}{dx} = \frac{\Delta C}{L} = \frac{C_0 - C_r}{kr}$$

Radial diffusion  
 $k(\text{const}) \sim 1$

$$V = \frac{D}{C_\beta - C_r} \cdot \frac{\Delta C}{kr}$$

$\Delta X$  for diffusion  $\propto$  edge radius of precipitate

(next page)

$$X = CV_m \quad \Delta X = \Delta X_0 \left( 1 - \frac{r^*}{r} \right)$$

$r^*$  = critical radius (if  $r=r^*$ ,  $\Delta X \rightarrow 0$ )

$$V = \frac{D \Delta X_0}{k(X_\beta - X_r)} \cdot \frac{1}{r} \left( 1 - \frac{r^*}{r} \right)$$

$V \rightarrow \text{constant} \rightarrow$

$$X \propto t$$

(if  $t=2r$ ,  $v = \text{constant}$ )

Linear growth

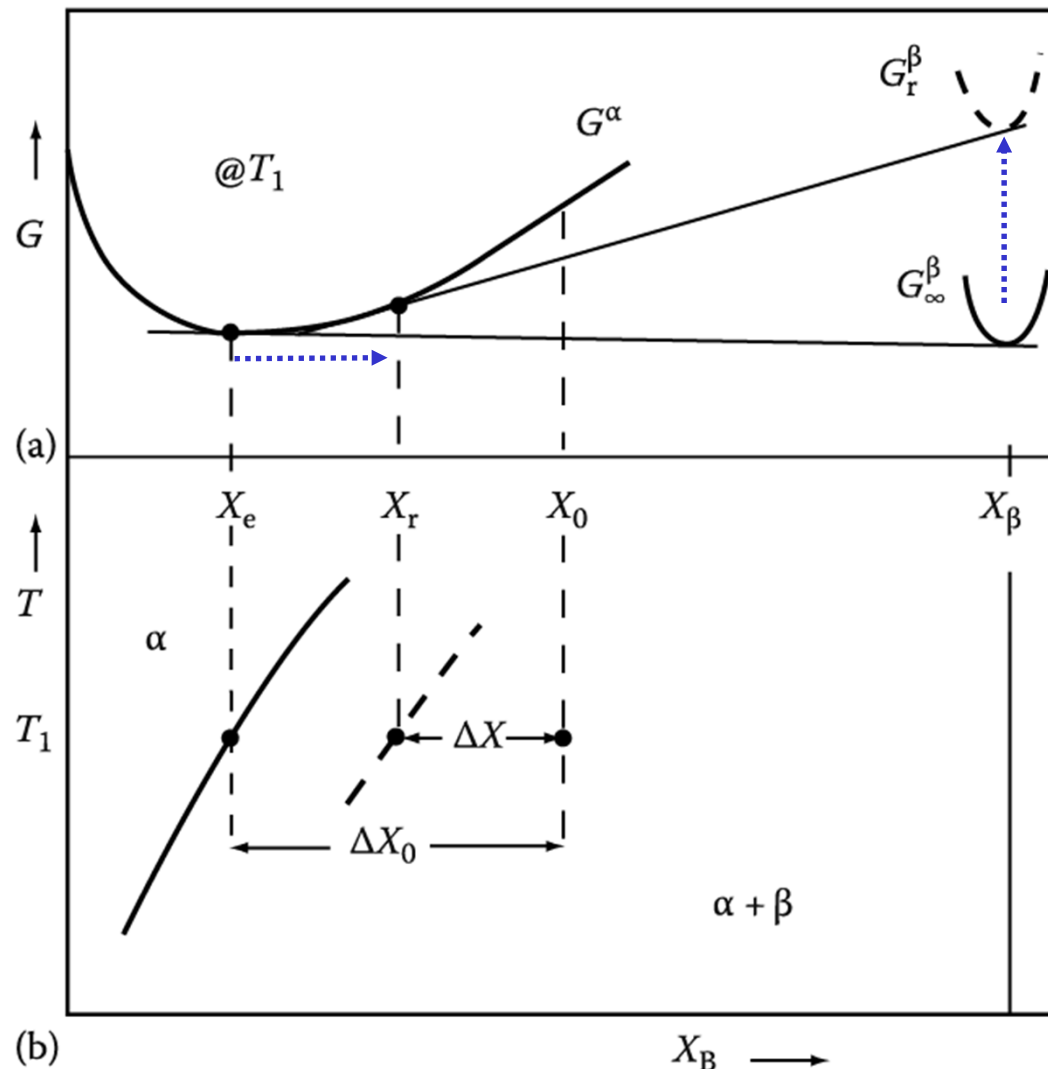
**Needle**  $\rightarrow$  Gibbs-Thomson increase in  $G = 2\gamma V_m/r$  instead of  $\gamma V_m/r$

$\rightarrow$  the same equation but the different value of  $r^*$

## 2) Diffusion Controlled lengthening of Plates or Needles

Volume diffusion-controlled continuous growth process/ curved ends

The Gibbs-Thomson Effect : curvature of  $\alpha/\beta$  interface  $\sim$  extra pressure  $\Delta P = 2\gamma/r$



$$\Delta G = \Delta P \cdot V \sim 2\gamma V_m / r \quad \uparrow$$

Interfacial E  $\rightarrow$  total free E  $\uparrow$

$$\Delta X = \Delta X_0 \left( 1 - \frac{r^*}{r} \right)$$

$r^*$ : critical nucleus, radius

$$\Delta X = X_0 - X_r$$

$$\Delta X_0 = X_0 - X_e$$

$$r \uparrow \longrightarrow \Delta X \uparrow$$

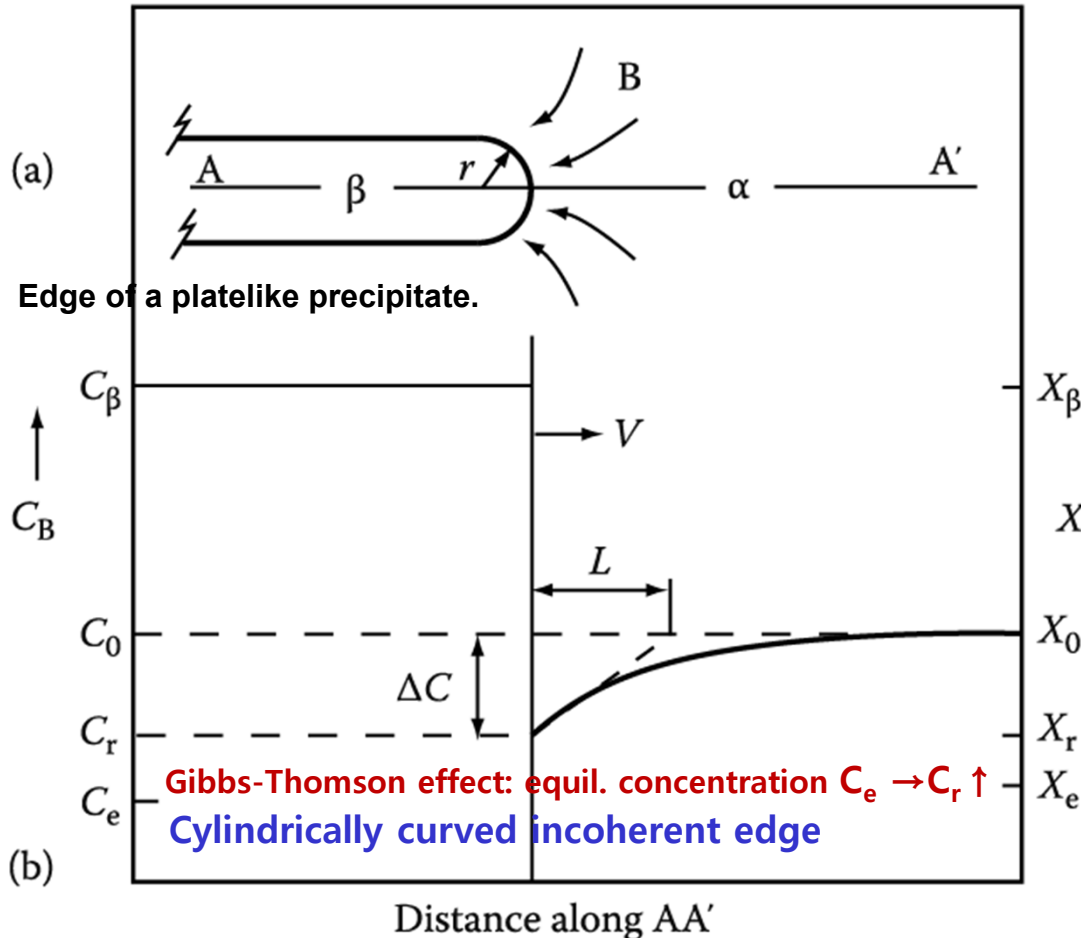
\* In platelike precipitates, the edges are often faceted and observed to migrate by a ledge mechanism.

Fig. 5.20 Gibbs-Thomson effect. (a) Free E curves at  $T_1$ . (b) corresponding phase diagram.

## 2) Diffusion Controlled lengthening of Plates or Needles

### Plate Precipitate of constant thickness

Volume diffusion-controlled continuous growth process



From mass conservation,

$$V = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

$$\frac{dC}{dx} = \frac{\Delta C}{L} = \frac{C_0 - C_r}{kr}$$

Radial diffusion  
 $k(\text{const}) \sim 1$

$$V = \frac{D}{C_\beta - C_r} \cdot \frac{\Delta C}{kr}$$

$\Delta X$  for diffusion  $\propto$  edge radius of precipitate

$$X = CV_m \quad \Delta X = \Delta X_0 \left( 1 - \frac{r^*}{r} \right)$$

$r^*$  = critical radius (if  $r=r^*$ ,  $\Delta X \rightarrow 0$ )

$$V = \frac{D \Delta X_0}{k(X_\beta - X_r)} \cdot \frac{1}{r} \left( 1 - \frac{r^*}{r} \right)$$

$V \rightarrow \text{constant} \rightarrow$

$$X \propto t$$

(If  $t=2r$ ,  $v = \text{constant}$ )

Linear growth

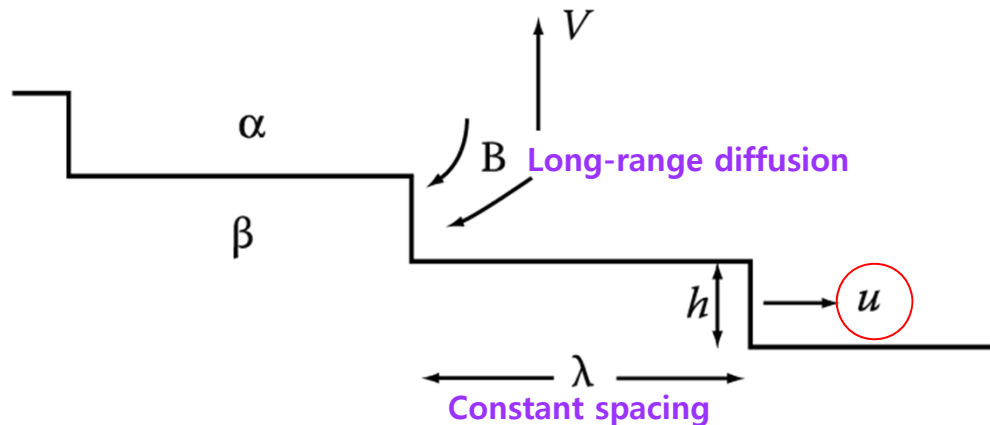
**Needle**  $\rightarrow$  Gibbs-Thomson increase in  $G = 2\gamma V_m/r$  instead of  $\gamma V_m/r$

$\rightarrow$  the same equation but the different value of  $r^*$

### 3) Thickening of Plate-like Precipitates

#### Thickening of Plate-like Precipitates by Ledge Mechanism

↔ planar incoherent interface with high accommodation factors



- For the diffusion-controlled growth, a monoatomic-height ledge should be supplied constantly.
- sources of monoatomic-height ledge → spiral growth, 2-D nucleation, nucleation at the precipitate edges, or from intersections with other precipitates (heterogeneous 2-D)

#### Half Thickness Increase

$$v = \frac{uh}{\lambda} \quad \text{u : rate of lateral migration}$$

If the edges of the ledges are incoherent,

Assuming the diffusion-controlled growth,

$$u = \frac{D\Delta X_0}{k(X_\beta - X_e)h}$$

(Here,  $h = r$  and  $X_r = X_e$ , no Gibbs-Thomson effect)

$$v = \frac{uh}{\lambda}$$

$$v = \frac{D}{C_\beta - C_r} \cdot \frac{\Delta C}{kr}$$

very similar to that of plate lengthening

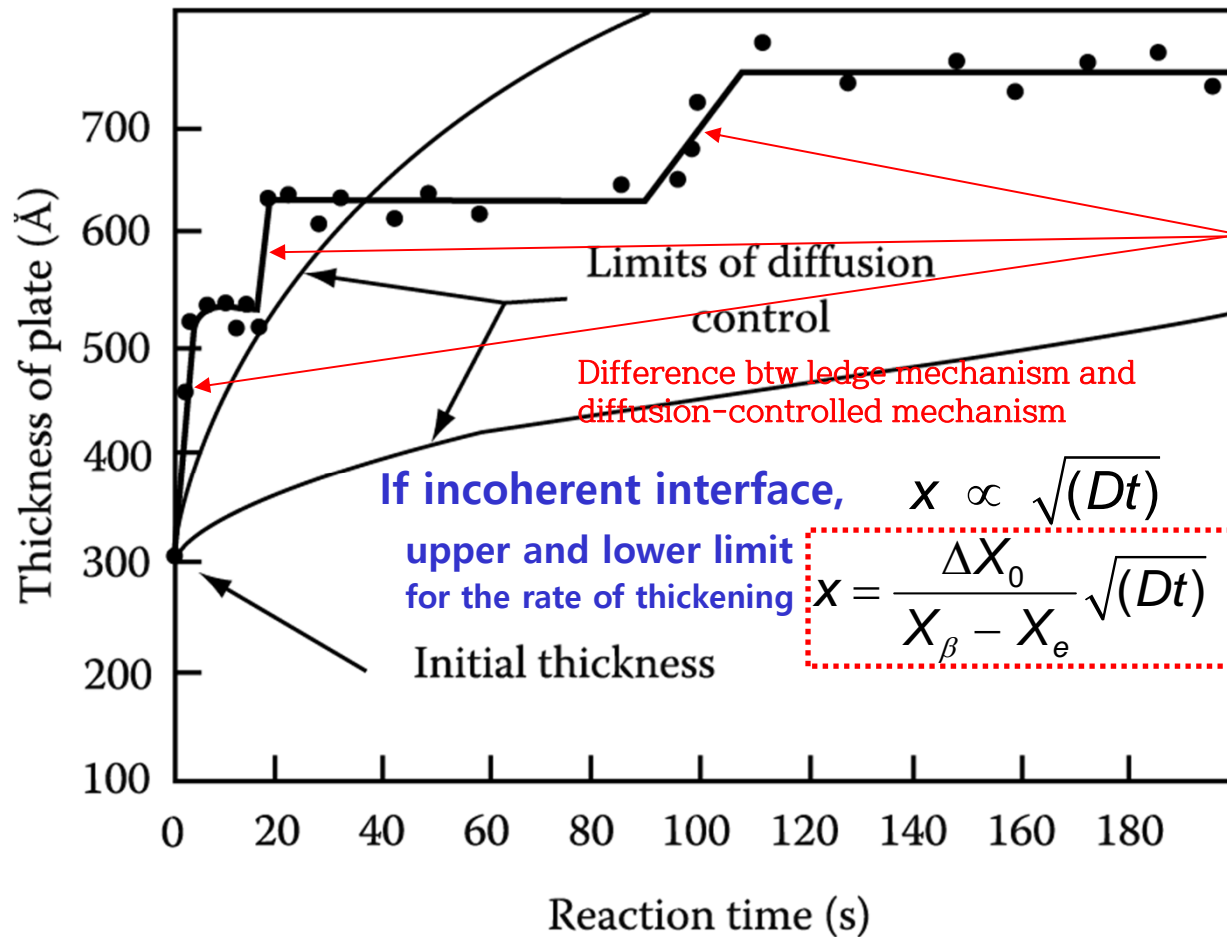
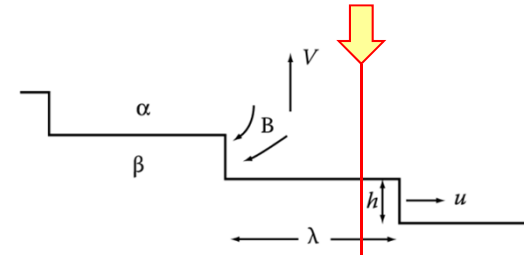
$$V = \frac{D\Delta X_0}{k(X_\beta - X_e)\lambda}$$

Distance btw ledges

### 3) Thickening of Plate-like Precipitates

Except spiral growth, supplement of ledge with constant  $\lambda$  is difficult.

#### Thickening of $\gamma$ Plate in the Al-Ag system



What does this data mean?

appreciable intervals of time  
(no perceptible increase in plate thickness)

& thickness increases rapidly  
as an interfacial ledge passes.



Evidence for the low mobility of semi-coherent interfaces



Thickening rate is not constant

“Ledge nucleation”  
is rate controlling.

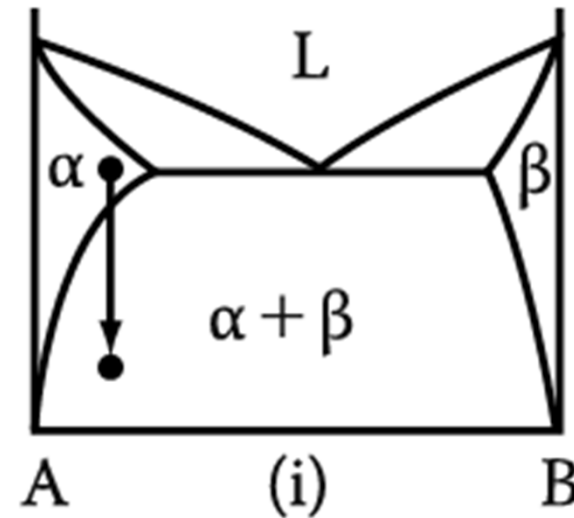
Fig. 5. 22 The thickening of a  $\gamma$  plate in an Al-15 wt% Ag alloy at 400 °C measure the thickening rates of individual precipitate plates by using hot-stage TEM.

# Contents for today's class

## < Phase Transformation in Solids >

### 1) Diffusional Transformation

#### (a) Precipitation



### Homogeneous Nucleation

➡ Effect of misfit strain energy

$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

$$r^* = \frac{2\gamma}{(\Delta G_V - \Delta G_S)} \quad \Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

$$N_{\text{hom}} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

### Heterogeneous Nucleation

➡ suitable nucleation sites ~ nonequilibrium defects  
(creation of nucleus ~ destruction of a defect (-ΔG<sub>d</sub>))

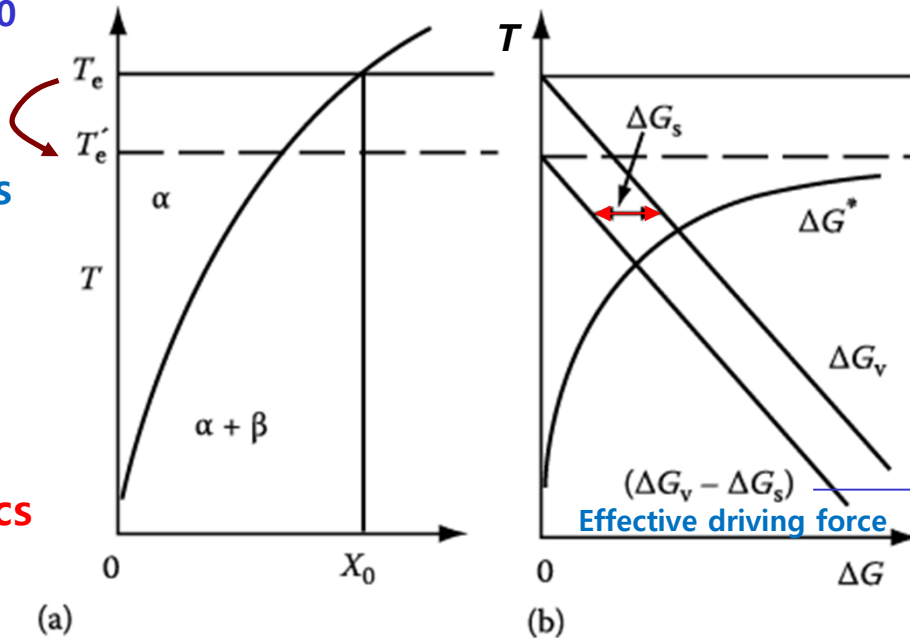
$$\Delta G_{\text{het}} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

$$\frac{\Delta G^*_{\text{het}}}{\Delta G^*_{\text{hom}}} = \frac{V^*_{\text{het}}}{V^*_{\text{hom}}} = S(\theta)$$

$$\frac{N_{\text{het}}}{N_{\text{hom}}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{\text{hom}} - \Delta G^*_{\text{het}}}{kT}\right)$$

# Rate of Homogeneous Nucleation Varies with undercooling below $T_e$ for alloy $X_0$

Effective equilibrium temperature is reduced by misfit strain E term,  $\Delta G_s$ .

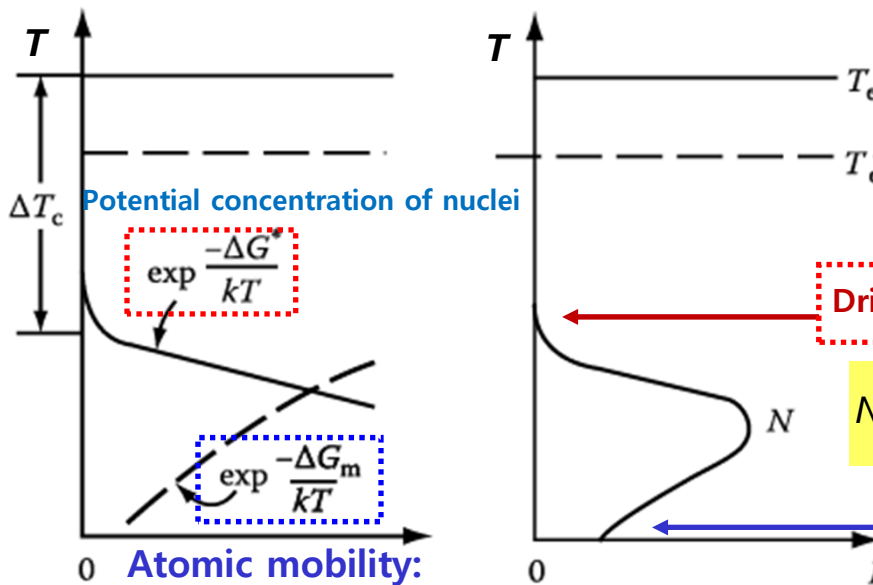


$\Delta G_V \propto \Delta X \propto \Delta T$   
 Composition dependent

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$
  
 Resultant energy barrier for nucleation

Thermodynamics vs Kinetics

Critical undercooling  $\Delta T_c$



Driving force  $\Delta G_v \sim$  too small  $\rightarrow N \sim$  negligible

Diffusion  $\sim$  too slow  $\rightarrow N \sim$  negligible

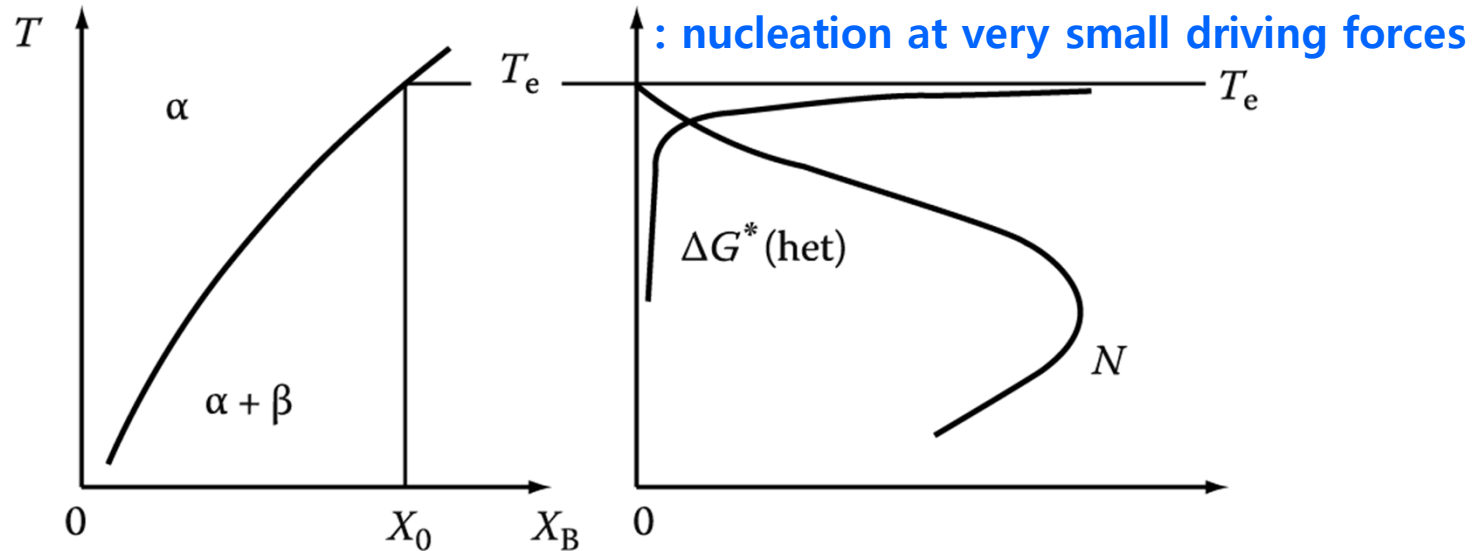
$$N_{\text{hom}} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

(c)  $\Delta G_m = \text{const}, T \downarrow \rightarrow \downarrow$  (d)  $\Delta G_m$ : activation energy for atomic migration



# Heterogeneous Nucleation in Solids

## The Rate of Heterogeneous Nucleation during Precipitation



\* Relative magnitudes of the heterogeneous and homogeneous volume nucleation rates

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

ω 와 ΔG<sub>m</sub>의 차이는 미비하여 무시

ΔG\* ~ always smallest  
for heterogeneous nucleation

⇒ Exponential factor  
: very large quantity

⇒  $\frac{N_{het}}{N_{hom}} > 1$  High heterogeneous  
nucleation rate

But, The factor  $C_1/C_0$  ?

# Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G_{hom}^* - \Delta G_{het}^*}{kT}\right)$$

## $C_1/C_0$ for Various Heterogeneous Nucleation Sites

각각의 핵생성처에서 경쟁적으로 핵생성 발생: 구동력 조건에 따라 전체 핵생성 속도에 dominant하게 영향을 미치는 site 변화

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$10^{-5}$	$10^{-10}$	$10^{-15}$	$10^{-8}$	$10^{-5}$	$10^{-6}$

In order to make nucleation occur exclusively on the grain corner, how should the alloy be cooled?

1) At very small driving forces ( $\Delta G_v$ ), when activation energy barriers for nucleation are high, the highest nucleation rates will be produced by grain-corner nucleation.

핵생성 구동력

$\Delta G_v$



increase

2) dominant nucleation sites:  
grain edges → grain boundaries

3) At very high driving forces it may be possible for the ( $C_1/C_0$ ) term to dominate and then homogeneous nucleation provides the highest nucleation rates.

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\* The above comments concerned nucleation during isothermal transformations (driving force for nucleation: [isothermal] constant ↔ [continuous cooling] increase with time)

- **Precipitate growth**

- 1) **Growth behind Planar Incoherent Interfaces**

Diffusion-Controlled Thickening:  $x \propto \sqrt{(Dt)}$  Parabolic growth

$$v = \frac{D(\Delta C_0)^2}{2(C_\beta - C_e)(C_\beta - C_0)x}$$

$$v \propto \Delta X_0 \propto \sqrt{(D/t)}$$

Supersaturation

- 2) **Diffusion Controlled lengthening of Plates or Needles**

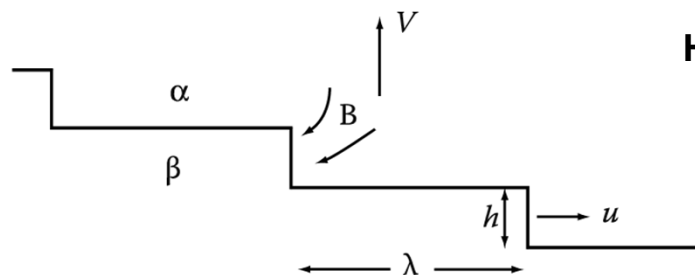
Diffusion Controlled lengthening:

$$v = \frac{D\Delta X_0}{k(X_\beta - X_r)} \cdot \frac{1}{r} \left( 1 - \frac{r^*}{r} \right)$$

$V \rightarrow \text{constant} \rightarrow X \propto t$   
Linear growth

- 3) **Thickening of Plate-like Precipitates**

Thickening of Plate-like Precipitates by Ledge Mechanism



Half Thickness Increase

$$v = \frac{uh}{\lambda}$$

$$v = \frac{D\Delta X_0}{k(X_\beta - X_e)\lambda}$$

$u$ : rate of lateral migration