

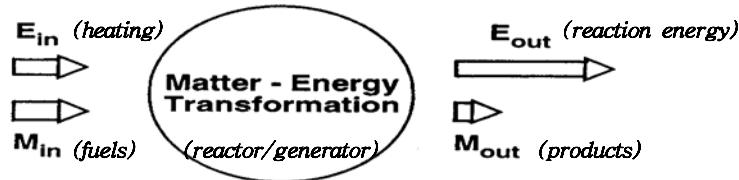
# Chapter 1. Fundamentals of Fusion

**Reading assignments:** Harms Chaps. 1, 2, 3, 7      Stacey Chap. 1,

## 1. Origin of fusion energy

### A. Fusion energy production

#### 1) Mass-energy transformation



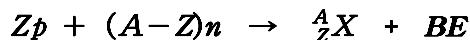
- (e.g.) Hydro-electric process  
Chemical reactions (combustion)  
Fission process  
Fusion process

Total energy conservation including rest mass energy :

$$E_{in} + M_{in} \rightarrow E_{out} + M_{out} \quad (1)$$

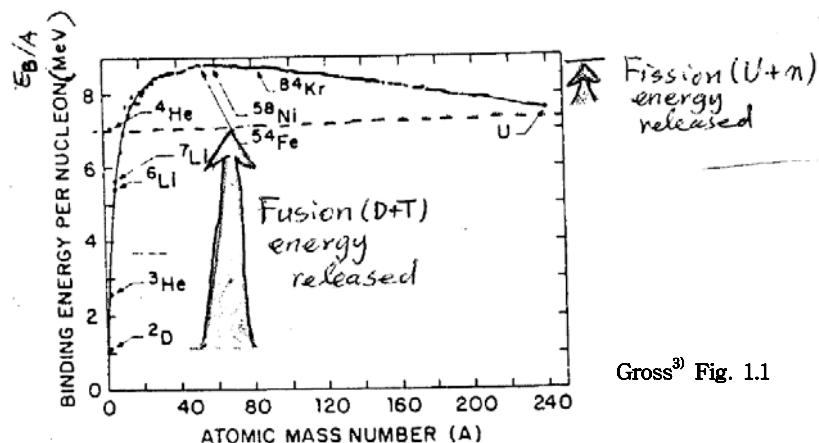
If  $\Delta m = M_{out} - M_{in} < 0$ , then we can get  $E_{out} > E_{in}$ .

#### 2) Binding energy for an assembled nucleus



$$\text{where } BE \equiv [(Zm_p + (A-Z)m_n) - m_X]c^2 = -\Delta m c^2 \quad (2)$$

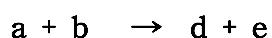
$\Delta m < 0 \rightarrow \text{Released energy (Exothermic or Exoergic)}$



Gross<sup>3</sup> Fig. 1.1

#### 3) Mass defect energy (or Q-value) of nuclear reaction

reactants      products



$$\Delta m = (m_d + m_e) - (m_a + m_b), \quad \Delta m < 0 : \text{exothermic or exoergic}$$

$$\Delta m > 0 : \text{endothermic or endoergic}$$

$$Q_{ab} = (-\Delta m)_{ab} c^2 \quad \text{by Einstein's mass-energy relation} \quad (3)$$

Realization of energy production ( $\Delta m < 0$ ) by nuclear reactions

$1 \leq A \lesssim 60$  : fusion reaction

two light nuclei  $\rightarrow$  new nuclei or n + Q

$60 \leq A$  : fission reaction

heavy nucleus + n  $\rightarrow$  two lighter nuclei + n<sub>s</sub> + Q

For  $E_a + E_b \ll Q_{ab}$

$$Q_{ab} \approx E_d + E_e = \frac{1}{2} m_d v_d^2 + \frac{1}{2} m_e v_e^2 \quad (4)$$

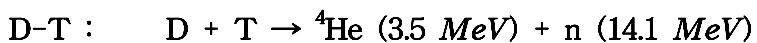
By momentum conservation for reactions with center of mass at rest,

$$m_d v_d = m_e v_e$$

$$E_d = \left( \frac{m_e}{m_d + m_e} \right) Q_{ab}, \quad E_e = \left( \frac{m_d}{m_d + m_e} \right) Q_{ab} \quad (5)$$

## B. Interesting fusion fuels

### 1) 1st generation fuels

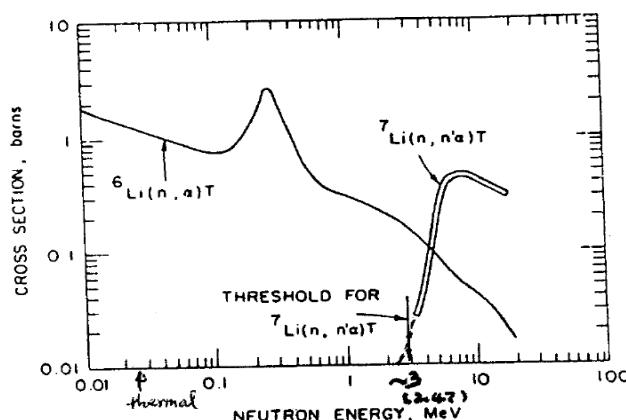
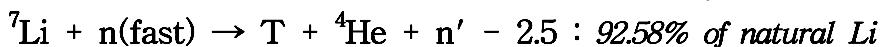


*Highest σ*

*T-breeding is needed*

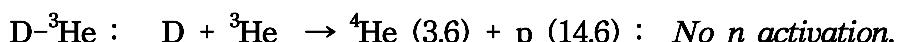
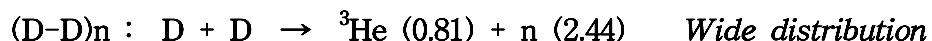
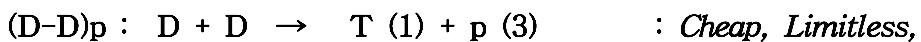
*Radioactivity of T ( $\beta$  emitter, 12.3 y), n activation and damage*

*T-breeding :*



Gross<sup>3)</sup> Fig. 2.1 Reaction cross sections versus neutron energy for the <sup>6</sup>Li(n, α)T and <sup>7</sup>Li(n, n'), α)T reactions used to manufacture tritium. One barn =  $10^{-28}$  m<sup>2</sup>.

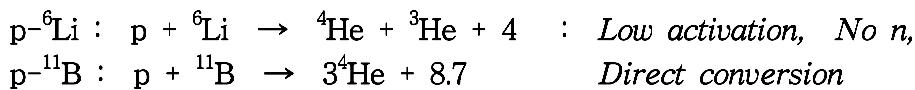
### 2) Advanced fuels



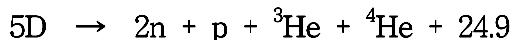
$\nearrow$  *Direct conversion,*

*$10^{-8}$  in regolith*

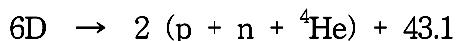
### 3) Exotic fuels



\* Semi-catalyzed D-D : (D-D) + (D-T)

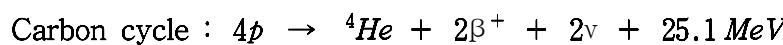
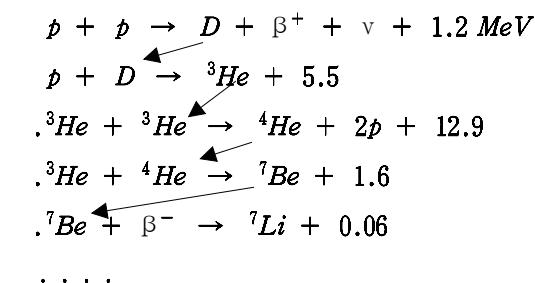


\* Catalyzed D-D : (D-D) + (D-T) + (D-<sup>3</sup>He)

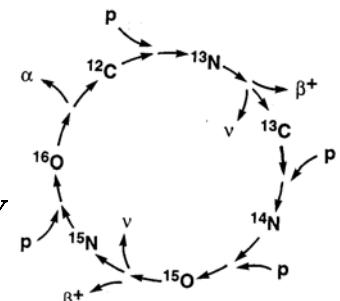


No T-breeding, Higher  $T_{ig}$ , Lower  $P_{th}$  than D-T

(cf) Natural fusion in terrestrial conditions (Nucleosynthesis)



(closed fusion cycle)



Harms Fig. 1.3

## C. Promise of fusion

### 1) Energy demand

Ancient - 1750 :	5 Q	$* 1 \text{ Q} = 10^{18} \text{ Btu}$
1751 - 1850 :	2	$\simeq 10^{21} \text{ J} = 31.7 \text{ TW/yr}$
1851 - 1950 :	4	$\simeq 4 \times 10^{10} \text{ t coal}$
1951 - 2000 :	15	$* 2500 \text{ Cal/day} \simeq 10^7 \text{ J/day}$
		$\simeq 120 \text{ W to sustain life}$
* Energy consumption in 1975	$\simeq 0.25 \text{ Q}$	$\simeq 8 \text{ TW}$
1994		$\simeq 11.7 \text{ TW}$ (fossile fuels : 87 %)
2050		$\simeq 50 \text{ TW}$

### 2) Energy resources

Recoverable fossile fuels :

Coal	53 Q
Oil	12
Natural gas	13
Oil shale, Tar sand	3
	Total 81 Q

Renewable resources --- Limited

Solar, Wind, Tide, Wave, Hydroelectric, Geothermal, Organic waste,  
Biomass

Fission fuels :

$${}^{235}\text{U} \quad 10 \text{ Q}, \quad {}^{238}\text{U}, {}^{232}\text{Th} \quad 10^3 \text{ Q}$$

Fusion fuels :

$$\begin{array}{ll} \text{Li} & 10^3 \text{ Q (land deposit)} \\ & 10^7 \text{ Q (sea water - 0.17 ppm of T)} \\ \text{D} & 10^{10} \text{ Q} \end{array}$$

\* Inexhaustible large-energy options :

- 1) Solar energy
- 2) Fission breeders
- 3) Fusion

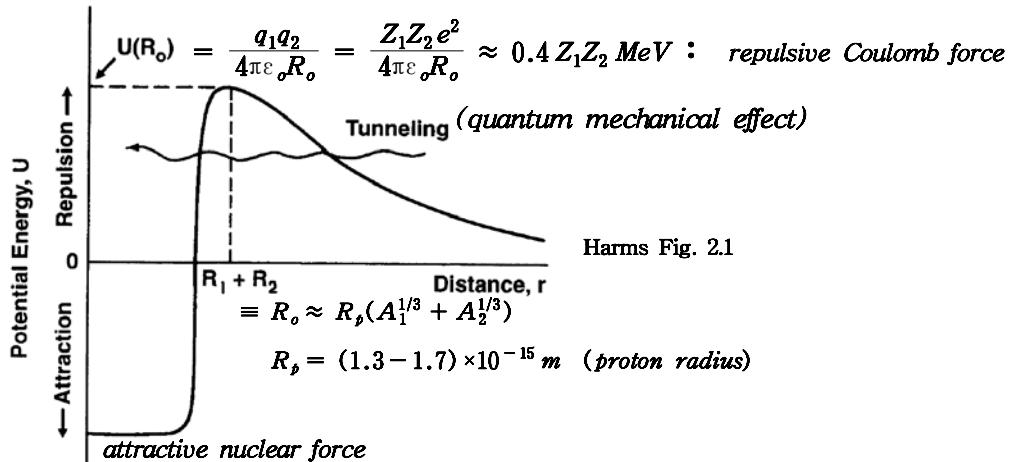
### 3) Advantages of fusion energy

- Essentially limitless, cheap, widely-distributed fuels  
 $D : 1/6500 (\approx 0.0153\%)$  of sea water ( $10^{14}$  tons)  
 1 liter water  $\approx 300$  liter gasoline
  - Lack of radioactivity and environmental problems
  - Reduced danger of diversion of weapon-like materials  
 (None of materials subject to the provisions of NPT)
  - Inherent safety against destructive runaway (nuclear excursion)
  - Multiple end uses  
 (Direct energy conversion, Fusion-fission hybrid, RI product, Fuel synthesis, neutron source, waste treatment, etc.)
- \* Drawbacks :  
 Large unit size for  $\sim GWe \rightarrow$  Large investment

## 2. Physical characterization of fusion reaction

### A. Fusion reactions

#### 1) Requirement for a fusion event between two colliding nuclei



Kinetic energy of nuclei in center of mass system for a fusion event

$$E_{cm} > U(R_o) \approx 0.4 Z_1 Z_2 \text{ [MeV]} \quad (6)$$

where

$$E_{cm} = \frac{1}{2} m_r v^2$$

$$m_r = \frac{m_1 m_2}{m_1 + m_2} : \text{reduced mass} \quad (7)$$

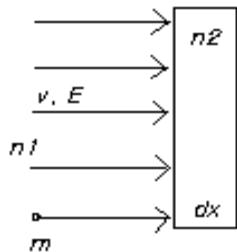
$$v = |\mathbf{v}_1 - \mathbf{v}_2| : \text{relative velocity}$$

\* Even where  $E_{cm} < U(R_o)$ , there are some fusion events due to barrier penetration by quantum mechanical tunneling effect.

$$\text{Probability(tunneling)} \propto \frac{1}{v} \exp\left(-\sqrt{\frac{q_1 q_2}{v}}\right)$$

## 2) Fusion reaction cross sections

*Beam-target collisions* (Binary interactions)



For fixed target

$$m = m_1, \quad v = v_1, \quad E = m_1 v_1^2 / 2$$

For moving target

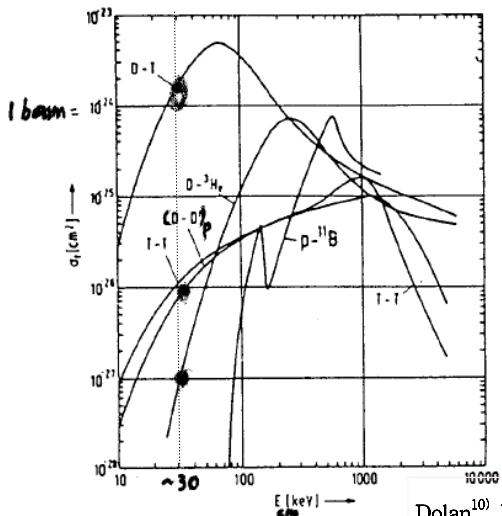
$$m = m_r, \quad v = |\mathbf{v}_1 - \mathbf{v}_2|, \quad E = E_{cm}$$

$$dn_1 = -\sigma_{12}(E) n_1 n_2 dx \quad (8)$$

Fusion cross section for low energy  $E_{cm} < U(R_o)$  by quantum mechanical tunneling process :

$$\sigma_{12}(E) = \frac{A}{E} e^{-B/\sqrt{E}} : \text{Gamow theory (1938)} \quad (9)$$

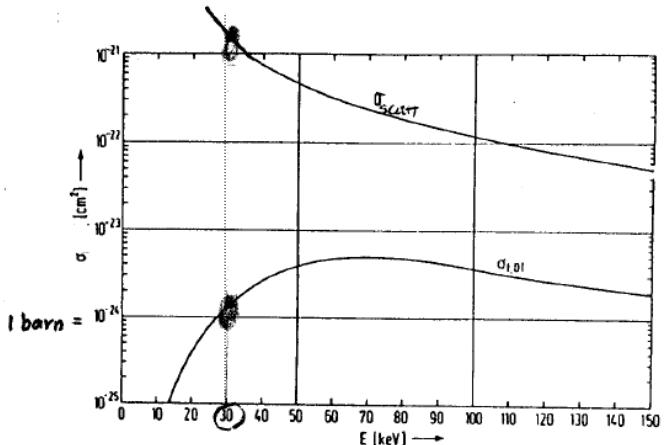
where  $A = \text{const.}, \quad B = 2^{-1/2} \pi m_r^{1/2} Z_1 Z_2 e^2 / h \epsilon_0$  (cf) Gross TABLE 3.2



Cross-section  $\sigma_f$  for various fusion reactions as a function of kinetic energy  $E_{kin} = (m_i v^2)/2$  of the relative motion of the colliding nuclei.

Dolan<sup>10)</sup>

Fig. 2C1



Comparison of fusion cross-section  $\sigma_{f,DT}$  of DT reaction with scattering cross-section  $\sigma_{scatt}$  for Coulomb collisions

$$\begin{aligned} \sigma_{a-a} &\approx 10^{-16} \text{ cm}^2 \\ \sigma_{e-a} &\approx 10^{-15} \\ \sigma_{exc} &\approx 10^{-16} (\text{e-H}) \\ \sigma_{ion} &\approx 10^{-16} (\text{e-H}) \\ \sigma_{cx} &\approx 10^{-15} (\text{H}^+-\text{H}) \end{aligned}$$

### Notes)

i) For  $E \lesssim 100 \text{ keV}$ ,

$$\sigma_{D-T} > \sigma_{D-D} > \sigma_{D-^3\text{He}}$$

ii)  $\sigma_{fusion} \approx O(1 \text{ barn}), \quad \sigma_{scatt} \approx O(10^3 \text{ barn}) \text{ at } E \approx \text{few } 10 \text{ keV}$

iii)  $\sigma_{scatt} \gg 100 \sigma_{DT}$

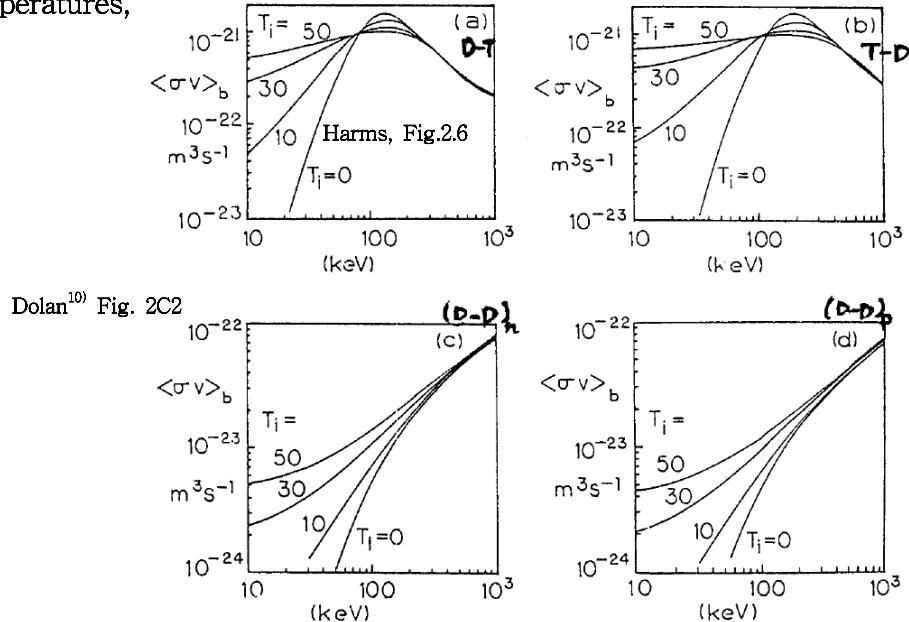
$\Rightarrow$  loss energy  $\gg$  fusion energy

$\Rightarrow$  Fusion by beam-target collisions are not proper for practical energy-producing fusion reactors

### 3) Fusion reaction rate parameter (or reactivity or $\sigma - v$ parameter)

$$\langle \sigma v \rangle_{12} \equiv \int_{v_1} \int_{v_2} f_1(v_1) f_2(v_2) \sigma_{12}(v) v d^3 v_1 d^3 v_2 \quad (10)$$

For beam-plasma  $\langle \sigma v \rangle_b$  as functions of beam energy in various plasma ion temperatures,

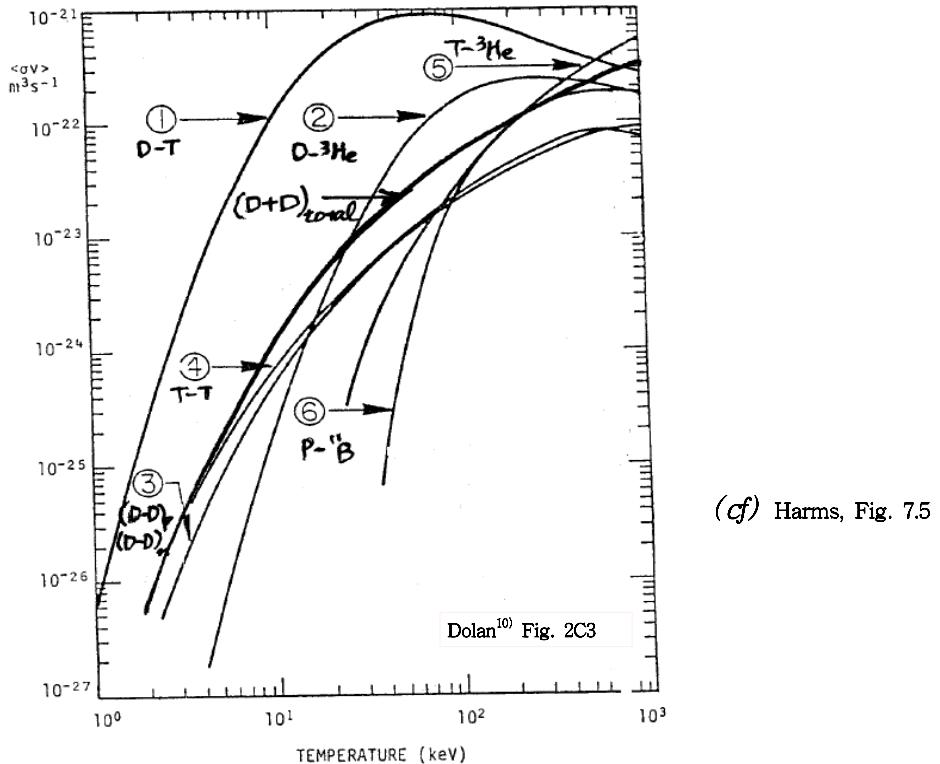


From calculations based on the theory (9) in (10) under two Maxwellian distributions of species 1 and 2 with  $T < 25$  keV,

$$\langle \sigma v \rangle_{DT} \approx 3.7 \times 10^{-18} (kT)^{-2/3} \exp(-20/(kT)^{1/3}) \quad m^3/s \quad (10)_{DT}$$

$$\langle \sigma v \rangle_{DD} \approx 2.3 \times 10^{-20} (kT)^{-2/3} \exp(-18.8/(kT)^{1/3}) \quad (10)_{DD}$$

in keV



#### 4) Fusion reaction rate (density)

Differential reaction rate per unit volume by Eq. (8) :

$$dR_{12} \equiv \frac{d}{dt}(-dn) = dn_1 dn_2 \sigma_{12}(v) v \quad (11)$$

where  $dn_1 = n_1 f_1(v_1) d^3 v_1, \quad dn_2 = n_2 f_2(v_2) d^3 v_2$

$f_1, f_2$  = normalized distribution functions

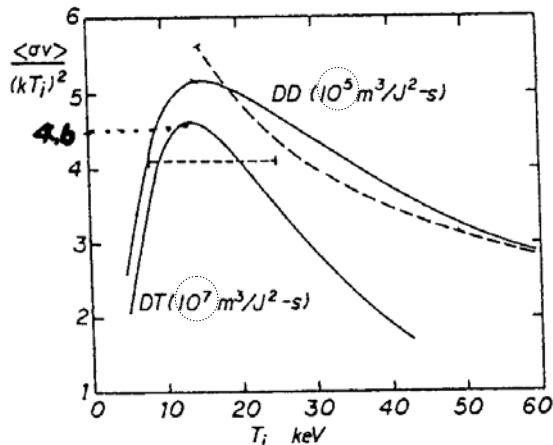
Total reaction rate :

$$R_{12} = n_1 n_2 \langle \sigma v \rangle_{12} \quad (12)$$

where the fusion reaction rate parameter is

$$\langle \sigma v \rangle_{12} \equiv \int_{v_1} \int_{v_2} \sigma_{12}(v) v f_1(v_1) f_2(v_2) d^3 v_1 d^3 v_2$$

Notes) i)  $R_{12} = n_1 n_2 \langle \sigma v \rangle_{12}$       p =  $n k T$        $\Rightarrow R_{12} \propto \langle \sigma v \rangle_{12} / (kT)^2$  at a given p



Approximation formula :

$$\langle \sigma v \rangle_{DT} / (kT)^2 \approx 4.1 \times 10^7 \text{ m}^3/\text{J}^2\text{s}$$

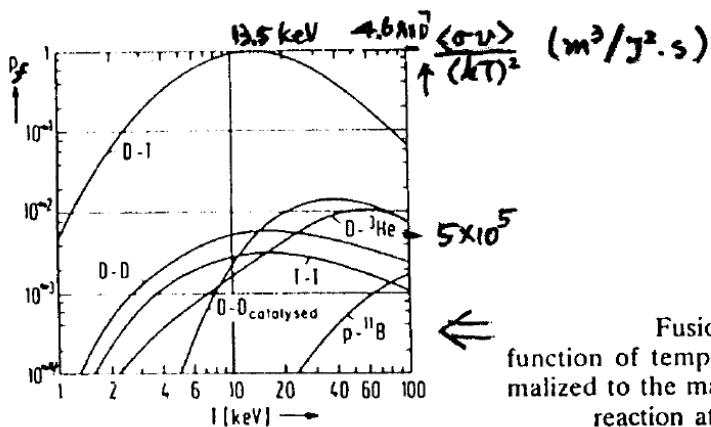
for  $8 \leq kT \leq 25 \text{ keV}$

$$\langle \sigma v \rangle_{DD} / (kT)^2 \approx 4.0 \times 10^5 (30 \text{ keV}/T)^{1/2}$$

for  $15 \leq kT \leq 70 \text{ keV}$

Dolan<sup>10</sup> Fig. 2D1

ii) Fusion power density :  $P_f = R_{12} Q_{12} \propto \frac{\langle \sigma v \rangle}{(kT)^2}$



Fusion power densities as a function of temperature. Values are normalized to the maximum obtained for DT reaction at  $T = 13.5 \text{ keV}$ .

iii) For a given pressure

$(R_{12})_{max}$  occurs at  $kT \approx 13 \text{ keV}$  for DT

15 for DD

60 for  $D^3He$

iv) At given T

$$R_{DT} \approx 100 R_{DD}$$

v) At given T and p (constant plasma ion density n)

$$R_{DT} = \frac{n^2}{4} \langle v \rangle_{DT} \text{ for 50%--50% DT}$$

$$R_{DD} = \frac{n^2}{2} \langle v \rangle_{DD} \text{ for DD}$$

$$\Rightarrow R_{DT} \approx 50 R_{DD}$$

$\Rightarrow$  D-T reaction has less severe conditions of T and P than D-D reaction

$\Rightarrow$  1st generation reactor = D-T reactor !

## 5) Fusion power density

= Rate of energy density released by fusion reactions

$$P_{12} = R_{12} E_f = n_1 n_2 \langle v \rangle_{12} Q_{12} \text{ W/m}^3 \quad (13)$$

$$P_{DD} = \frac{n_D^2}{2} \langle v \rangle_{DD} \times 3.65 \text{ MeV}$$

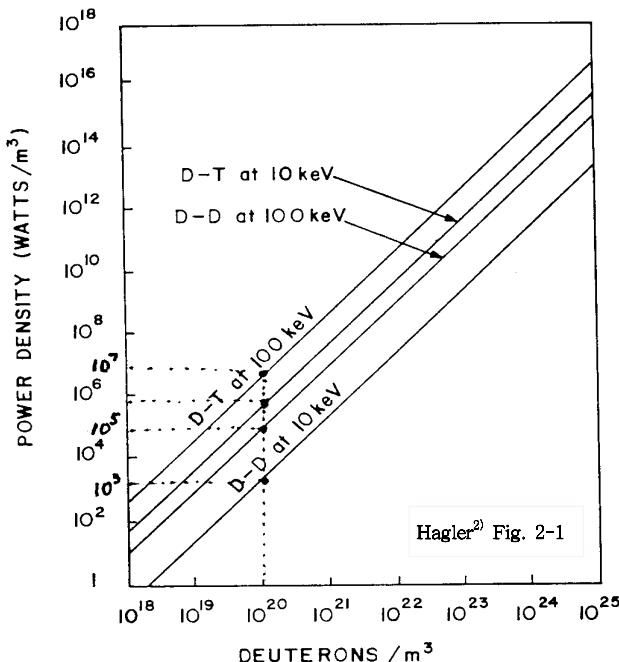
$$\approx 3 \times 10^{-37} n_D^2 \text{ W/m}^3 \text{ for } 10 \text{ keV}$$

$$10^{-35} n_D^2 \text{ for } 100$$

$$P_{DT} = \frac{n_D n_T}{4} \langle v \rangle_{DT} \times 17.6 \text{ MeV}$$

$$\approx 6 \times 10^{-35} n_D n_T \text{ W/m}^3 \text{ for } 10 \text{ keV}$$

$$5 \times 10^{-34} n_D n_T \text{ for } 100$$



Note) Expected optimum operation density

$\left\{ \begin{array}{l} \text{Design value in fission reactor core : } 20 \sim 60 \text{ MW/m}^3 \\ \text{For a fusion reactor of } L \approx 3 \text{ m } \& P_{th} = 10^6 \text{ kW,} \\ P_{DT} = P_{th}/L^3 \approx 40 \text{ MW/m}^3 \end{array} \right.$

$$\Rightarrow n_D \approx 10^{20} \sim 10^{21} \text{ m}^{-3} \text{ for } 10 \text{ keV}$$

## B. Coulomb scattering

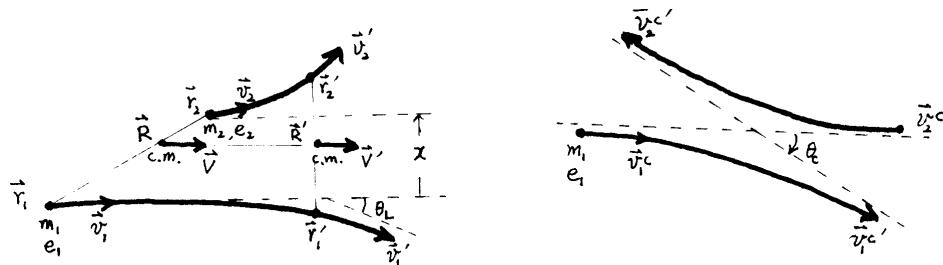
### 1) Collisional processes in laboratory and center-of-mass(c.m.) reference frames

Type of interactions between charged particles in plasmas :

Weak, long-range, collective Coulomb interactions of many particles ( $\lambda_D < L$ )

$\therefore$  Accumulation of small-angle scattering (Coulomb collisions)  
 $\Rightarrow$  Large-angle deflection (Collective behavior)

Consider an elastic Coulomb collision between a test particle (1) and a field particle (2)



Lab. reference frame

c.m. reference frame

Conservation laws :

$$m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2 = m_1 \mathbf{v}'_1 + m_2 \mathbf{v}'_2 : \text{momentum}$$

$$m_1 v_1^2 + m_2 v_2^2 = m_1 v'_1{}^2 + m_2 v'_2{}^2 : \text{energy}$$

$\Rightarrow \mathbf{V} = \mathbf{V}'$  : c.m. velocity is unchanged by collisions

$|\mathbf{v}| = |\mathbf{v}'|$  : Magnitude of relative velocity is unchanged by collisions,  
 although its direction is changed.

where

$$\mathbf{V} \equiv \frac{d\mathbf{R}}{dt} = \frac{m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2}{m_1 + m_2} : \text{c.m. velocity}$$

$$\mathbf{v} \equiv \mathbf{v}_1 - \mathbf{v}_2 : \text{relative velocity}$$

$$\mathbf{r} \equiv \mathbf{r}_1 - \mathbf{r}_2 : \text{relative position}$$

Equations of motions :

In lab. frame,

$$\mathbf{F}_{12} \equiv m_1 \frac{d^2 \mathbf{r}_1}{dt^2} = \frac{q_1 q_2 (\mathbf{r}_1 - \mathbf{r}_2)}{4\pi\epsilon_0 |\mathbf{r}_1 - \mathbf{r}_2|^3}$$

$$\mathbf{F}_{12} \equiv m_2 \frac{d^2 \mathbf{r}_2}{dt^2} = \frac{q_1 q_2 (\mathbf{r}_2 - \mathbf{r}_1)}{4\pi\epsilon_0 |\mathbf{r}_1 - \mathbf{r}_2|^3}$$

In c.m. frame,

$$(m_1 + m_2) \frac{d^2 \mathbf{R}}{dt^2} = 0 : \text{constant c.m. motion}$$

$$m_r \frac{d^2 \mathbf{r}}{dt^2} = \frac{q_1 q_2}{4\pi \epsilon_0} \frac{\mathbf{r}}{|\mathbf{r}|^3} \equiv C \frac{\mathbf{r}}{r^3} : \text{relative motion} \quad (14)$$

where  $m_r \equiv \frac{m_1 m_2}{m_1 + m_2}$  = reduced mass

Note) (14) describes motion of a single fictitious particle of (reduced) mass  $m_r$ , (relative) velocity  $\mathbf{v}$  which is changed only direction, but not in magnitude.

Relationship between  $\Theta_L$  (measured in lab.) &  $\Theta_c$  (calculated in c.m.) :

$$\cot \Theta_L = \frac{m_1}{m_2} \csc \Theta_c + \cot \Theta_c \quad (15)$$

Notes)  $m_1 \ll m_2$  :  $\Theta_L \approx \Theta_c$

$m_1 \approx m_2$  :  $\Theta_L \approx \Theta_c/2$

$m_1 \gg m_2$  :  $\Theta_L \approx (m_2/m_1) \Theta_c$

Transferred energy from 1 to 2 in a collision :

$$\frac{\Delta E}{E_o} = \frac{4m_1 m_2}{(m_1 + m_2)^2} \sin^2 \frac{\Theta_c}{2} \quad (16)$$

where  $\Delta E = \frac{1}{2} m_1 (v_1^2 - v_1'^2) = E_o (1 - \frac{v_1'^2}{v_1^2})$

Notes)  $m_1 \ll m_2$  :  $\Delta E \ll E_o$

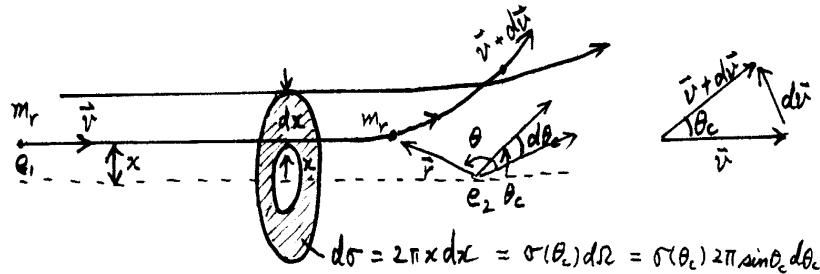
$m_1 \approx m_2$  :  $\Delta E \approx E_o$

$m_1 \gg m_2$  :  $\Delta E \ll E_o$

## 2) Coulomb scattering cross section

(or Rutherford scattering cross section)

From Eq. (14), binary Coulomb collision can be treated as the scattering of a particle  $m_r$  with  $\mathbf{v}$  by a fixed center of force (Rutherford-type scattering)

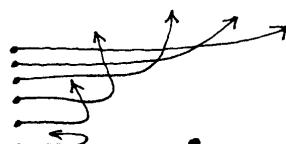


Solution of Eq. (14) :

Harms Fig. 3.2

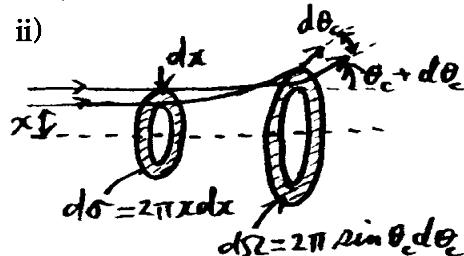
$$\tan\left(\frac{\Theta_c}{2}\right) = \left(\frac{q_1 q_2}{4\pi \epsilon_0}\right) \frac{1}{m_r v^2 x} = \frac{C}{m_r v^2} \frac{1}{x} \equiv \frac{K}{x} \quad (17)$$

Notes) i)



$\Theta_c \uparrow$  as  $x \downarrow$

ii)



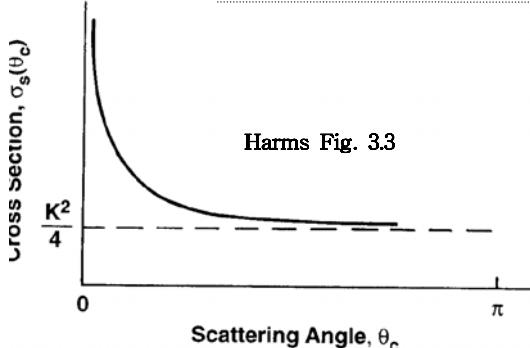
Since particles passing through a geometrical annular area  $dA \equiv d\sigma_s = 2\pi x dx$  will be scattered into a solid angle  $d\Omega = 2\pi \sin\theta_c d\theta_c$ , we can expect that  $d\sigma_s \propto d\Omega$ .

From this relation, the **differential scattering cross section** for scattering into  $(\theta_c, \theta_c + d\theta_c)$  is written as:

$$d\sigma_s = \frac{d\sigma_s}{d\Omega} d\Omega \equiv \sigma'_s(\theta_c) d\Omega = \sigma'_s(\theta_c) 2\pi \sin\theta_c d\theta_c = 2\pi x dx \quad (18)$$

Then, Coulomb cross section (**Rutherford scattering cross section**) can be found as

$$\begin{aligned} \sigma'_s(\theta_c) &\equiv \frac{d\sigma_s}{d\Omega} = \frac{x dx}{\sin\theta_c d\theta_c} \\ (18) \quad &= \frac{1}{4} \left( \frac{C}{m_r v^2} \right)^2 \frac{1}{\sin^4(\theta_c/2)} = \frac{K^2}{4} \frac{1}{\sin^4(\theta_c/2)} \\ (17) \quad &= \left( \frac{q_1 q_2}{16\pi\epsilon_0} \right)^2 \frac{1}{\left( \frac{m_r v^2}{2} \right)^2 \sin^4 \frac{\theta_c}{2}} \end{aligned} \quad (19)$$



*Notes)*

For 'head-on' collisions ( $\theta_c \rightarrow \pi, x \rightarrow 0$ ),  $\sigma'_s(\theta_c) \rightarrow K^2/4$

For 'glancing' collisions ( $\theta_c \rightarrow 0, x \rightarrow \infty$ ),  $\sigma'_s(\theta_c) \rightarrow \infty$ : mostly small-angle scattering by long-range Coul. force

Total scattering cross section :

$$\begin{aligned} \sigma_s &= \int_0^\pi \sigma'_s(\theta_c) d\Omega = 2\pi \int_0^\pi \left[ \frac{K^2}{4 \sin^4(\theta_c/2)} \right] \sin\theta_c d\theta_c \\ &= \pi K^2 \int_0^\pi \frac{\cos(\theta_c/2)}{\sin^3(\theta_c/2)} d\theta_c = 2\pi K^2 \int_{\sin(\theta_{\min}/2)}^{\sin(\pi/2)} \frac{d(\sin\theta)}{\sin^3\theta} \\ &= \pi K^2 \left\{ \left[ \sin\left(\frac{\theta_{\min}}{2}\right) \right]^{-2} - 1 \right\} \end{aligned} \quad (20)$$

where  $\theta_{\min}$  follows from the inversion of Eq.(17) for  $x = \lambda_D$  :

$$\theta_{\min} = 2 \tan^{-1} \left( \frac{K}{\lambda_D} \right) \quad (21)$$

### 3) Effective Coulomb scattering cross section

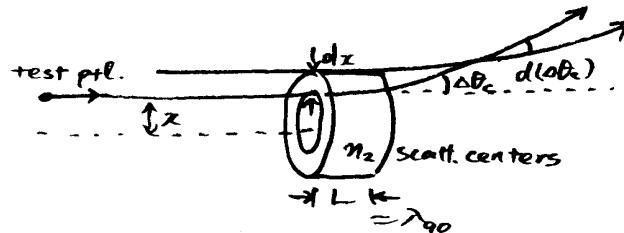
In a single encounter, a large-angle ( $\theta_c > 90^\circ$ ) cross-section is

$$\begin{aligned} \sigma_s(\theta_c > 90^\circ) &= \pi x_{90}^2 \\ &= \frac{(q_1 q_2)^2}{\pi (4\epsilon_0 m_r v^2)^2} \approx \frac{1.6 \times 10^{-24}}{E^2 (keV)} m^2 \quad (25) \\ (17) \text{ for } \theta_c/2 &= 45^\circ \end{aligned}$$

Effective large-angle deflection = Cumulative multiple small-angle deflections due to long-range collective interactions with charged particles in Debye sphere  
 ≠ A single large-angle deflection due to a close encounter (in  $\lambda_D$ ) by a binary Coulomb collision

Deflection angle for a single small-angle scattering :

$$(17) \Rightarrow \Delta\theta_c \approx \frac{2q_1q_2}{4\pi\varepsilon_0 m_r v^2 x}$$



By calculating a mean square deflection of test particle traveling in plasma with scattering center density  $n_2$

$$\overline{(\Delta\theta_c)^2} = \int_{\Delta\theta_{\min}}^{\Delta\theta_{\max}} (\Delta\theta_c)^2 f(\Delta\theta_c) d(\Delta\theta_c)$$

$$\text{where } \Delta\theta_{\max} = q_1q_2 / 2\pi\varepsilon_0 m_r v^2 x_{\min}$$

$$\Delta\theta_{\min} = q_1q_2 / 2\pi\varepsilon_0 m_r v^2 x_{\max}$$

$$f(\Delta\theta_c) d(\Delta\theta_c) = n_2 L 2\pi x dx : \# \text{ of collisions resulting in } \Delta\theta_c$$

$$\text{Here, } x_{\max} = \lambda_D = \left( \frac{\varepsilon_0 k T_2}{n_2 q_2^2} \right)^{1/2} : \text{ Debye length of 2}$$

$$x_{\min} = x_{90} = |q_1q_2| / 4\pi\varepsilon_0 m_r v^2 = |q_1q_2| / 12\pi\varepsilon_0 k T,$$

the effective Coulomb scattering cross section can be found :

$$\sigma_{s,eff} = \frac{1}{n_2 \lambda_s} = \frac{(q_1q_2)^2 \ln \Lambda}{2\pi(\varepsilon_0 m_r v^2)^2} \quad (26)$$

$$\text{where } \ln \Lambda \equiv \ln \left( \frac{x_{\max}}{x_{\min}} \right) : \text{ Coulomb logarithm}$$

Notes)

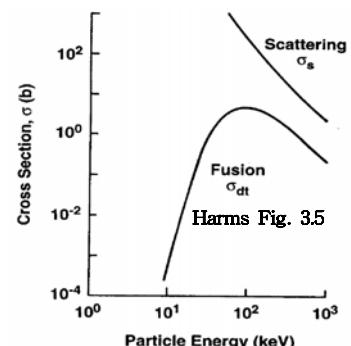
$$\begin{aligned} i) \sigma_{s,eff} &\approx \frac{2.6 \times 10^{-22}}{E^2(\text{keV})} \text{ barn}^2 \text{ for } Z=1 \& \ln \Lambda = 20 \\ &\approx 10^{-9} z^2 \ln \Lambda / T^2(\text{K}) \end{aligned}$$

$$\begin{aligned} \sigma_{s,eff} &\gg \sigma_{DT} \Rightarrow \sigma_{scatt} = 10^3 \sim 10^4 \sigma_{fus} \\ (\text{e.g.}) \quad \sigma_{s,eff} &\approx 10^4 \text{ barn } (H^+ + H^+ \text{ at } 10 \text{ keV}) \\ \sigma_{DT} &\approx 10^{-1} \text{ barn } (\text{at } \sim 25 \text{ keV}) \end{aligned}$$

$$\text{ii) } \frac{\text{Multi-coll.}}{\text{Single coll.}} = \frac{\sigma_{s,eff}}{\sigma_s(\Theta_c > 90^\circ)} = 8 \ln \Lambda \approx O(10^2)$$

$$\text{iii) For high T, } \sigma_{90} \propto v^{-4} \propto T^{-2} : \text{ collisionless plasma}$$

$$(\text{e.g.}) \quad n = 10^{20} \text{ m}^{-3}, T = 100 \text{ keV} \Rightarrow \lambda_s \approx 10^6 \text{ m}, v_s \approx v/\lambda_s = 10 \sim 100 \text{ m/s}$$



#### 4) Characteristic scattering (or confinement) times

##### a) Mean collision (or scattering) time

= Momentum relaxation (transfer) time

= Particle confinement time

$$\frac{dP}{dt} = -\frac{P}{\tau_s}$$

$$\tau_s = \frac{\lambda_s}{v}$$

In c.m. reference frame,

$$\begin{aligned}\tau_{s,12} &= \frac{\lambda_{s,12}}{v} = \frac{1}{n_2 \sigma_{s,eff} v} \\ &= \frac{2\pi \varepsilon_o^2 m_r^2 v^3}{n_2 (q_1 q_2)^2 \ln \Lambda} = \frac{2\pi \sqrt{m_r} \varepsilon_o^2 (3kT_1)^{3/2}}{n_2 (q_1 q_2)^2 \ln \Lambda}\end{aligned}\quad (27)$$

where

$$\begin{aligned}\Theta_L &\approx \begin{cases} \Theta_c & \text{for } m_1 \ll m_2 \\ \Theta_c/2 & m_1 = m_2 \\ (m_2/m_1)\Theta_c & m_1 \gg m_2 \end{cases} \Rightarrow (\tau_s)_L \approx \begin{cases} (\tau_s)_c & \Theta_c \\ 2(\tau_s)_c & m_1 = m_2 \\ (m_1/m_2)(\tau_s)_c & m_1 \gg m_2 \end{cases} \\ \tau_c^{ei} &\equiv (\tau_{s,ei})_L = 6\sqrt{3}\pi \frac{\varepsilon_o^2 m_e^{1/2} (kT_e)^{3/2}}{Z n_e e^4 \ln \Lambda} \\ &\approx 2 \times 10^5 \frac{T_e^{3/2}(K)}{n_e \ln \Lambda} \approx 10^{16} \frac{T_e^{3/2}(keV)}{n_e \ln \Lambda} \approx 2 \times 10^{11} \frac{T_e^{3/2}(eV)}{n_e \ln \Lambda}\end{aligned}\quad (28)$$

$$\tau_{ei} = (\tau_c^{ei})^{-1} \approx 5 \times 10^{-6} \frac{n_e \ln \Lambda}{T_e^{3/2}(k)} : \text{ mean collision time between e \& i}$$

$$\tau_c^{ee} : \tau_c^{ei} : \tau_c^{ii} : \tau_c^{ie} = 1 : \frac{1}{Z} \left( \frac{T_i}{T_e} \right)^{3/2} : \frac{1}{Z^3} \left( \frac{m_i}{m_e} \right)^{1/2} \left( \frac{T_i}{T_e} \right)^{3/2} : \frac{1}{Z^2} \frac{m_i}{m_e}$$

For  $T_i = T_e = 10 \text{ keV}$ ,  $Z = 1$ ,  $n_e = 10^{20} \text{ m}^{-3}$ ,

$$\begin{aligned}\tau_c^{ee} : \tau_c^{ei} : \tau_c^{ii} : \tau_c^{ie} &\approx 1 : 1 : \sqrt{\frac{m_i}{m_e}} : \frac{m_i}{m_e} \\ &\approx 10^{-4} : 10^{-4} : 10^{-2} : 1 \quad (\text{sec})\end{aligned}\quad (29)$$

##### b) Energy confinement time

= Energy relaxation (transfer) time

= Temperature relaxation time

= Equilibration time

$$\frac{dT}{dt} = \frac{T_2 - T_1}{\tau_{eq}}$$

$$\tau_{eq} \approx \tau_E^{12} = (\# \text{ of collisions required to transfer all energy}) \times (\text{mean collision time})$$

$$= \frac{1}{(\Delta E/E_o)_s} \tau_c^{12}$$

where energy transferred in a large-angle scattering in c.m. system is

$$\left(\frac{\Delta E}{E_o}\right)_s = \frac{2m_1 m_2}{(m_1 + m_2)^2} \approx \frac{2m_1/m_2}{1} \text{ for } m_1 \ll m_2$$

$$= \frac{1/2}{2m_2/m_1} \text{ for } m_1 = m_2$$

$$= \frac{1/2}{2m_2/m_1} \text{ for } m_1 \gg m_2$$

Then,

$$\begin{aligned} \tau_E^{ee} &\approx \tau_c^{ee} \approx \tau_c^{ei}, & \tau_E^{\ddot{i}} &\approx \tau_c^{\ddot{i}} \approx \sqrt{\frac{m_i}{m_e}} \tau_c^{ee}, \\ \tau_E^{ei} &\approx \frac{m_i}{m_e} \tau_c^{ei}, & \tau_E^{ie} &\approx \frac{m_i}{m_e} \tau_c^{ei} \\ \therefore \tau_E^{ee} : \tau_E^{\ddot{i}} : \tau_E^{ei} : \tau_E^{ie} &\approx 1 : \sqrt{\frac{m_i}{m_e}} : \frac{m_i}{m_e} : \frac{m_i}{m_e} & (30) \\ &\approx 10^{-4} : 10^{-2} : 1 : 1 \text{ (sec)} \end{aligned}$$

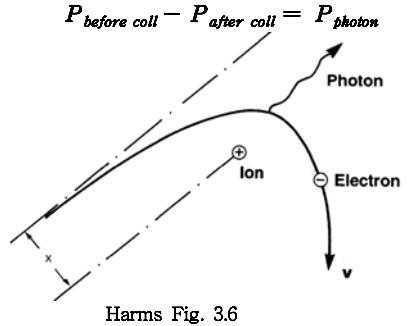
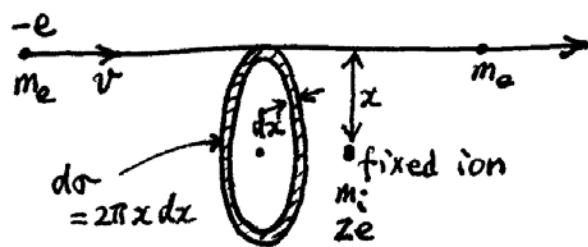
### C. Radiation power losses

#### 1) Bremsstrahlung (Braking radiation or Free-free radiation)

Mainly due to electron acceleration or deceleration in e-i collisions

since radiation fields ( $P_{rad} = E_{rad} \times H_{rad}$ ) exactly cancel in e-e or i-i collisions due to oppositely accelerated particles.

Assume small-angle Coulomb scattering.



Harms Fig. 3.6

$$|F| = m_e \left| \frac{dv}{dt} \right| \approx \frac{Ze^2}{4\pi\epsilon_0 c^2 x^2} \Rightarrow \bar{a} = \left| \frac{dv}{dt} \right| \approx \frac{Ze^2}{4\pi\epsilon_0 m_e c^2 x^2}$$

$$\Delta t_{coll} = \frac{x}{v} \ll 1, \text{ otherwise continuous spectrum}$$

Power radiated from a single e-i collision :

$$P_{rad} = \frac{1}{6\pi\epsilon_0 c^3} q_e^2 \left| \frac{dv}{dt} \right|^2 = \frac{Z^2 e^6}{96\pi^3 \epsilon_0^2 c^3 m_e^2 x^4}$$

# of collisions per unit volume of  $n_e$  with  $n_i$  in  $d\sigma$  during  $t_{coll}$  :

$$dN = n_e n_i d\sigma v t_{coll} = n_e n_i 2\pi x^2 dx$$

Total bremsstrahlung power density at all impact parameters  $x$  :

$$\begin{aligned} P_{br} &= \int_{x_{min}}^{x_{max}} P_{rad} dN = \frac{Z^2 e^6 n_e n_i}{48\pi^2 \epsilon_0^3 c^3 m_e^2} \int_{\hbar/m_e v}^{\lambda_p \rightarrow \infty} \frac{dx}{x^2} \\ &\quad \downarrow v = \sqrt{8T_e/\pi m_e} \qquad \qquad \qquad \uparrow x_{min} = \lambda_{deBroglie} = \hbar/\Delta p \text{ since } \Delta x \Delta p = \hbar \\ &= \frac{Z^2 e^6 n_e n_i v}{24\pi \epsilon_0^3 c^2 m_e \hbar} = A_{br} n_e n_i Z^2 (kT_e)^{1/2} \quad W/m^3 & (35) \end{aligned}$$

where  $A_{br} \approx 4.8 \times 10^{-37}$  in  $keV$  unit for  $kT_e$

$1.6 \times 10^{-38}$  in  $eV$  unit for  $kT_e$

Notes) In fusion plasma, soft X-ray range of brems. can penetrates thru plasma ( $\omega_{pe} \ll \omega_X$ )  $\Rightarrow$  Optically thin (transparent)

serious energy losses

## 2) Cyclotron radiation

$$\begin{aligned} \bar{a} &= v^2/r_L \approx r_{Le} \omega_{ce}^2 = v_{\perp e} eB/m_e \Rightarrow P_{rad} \Rightarrow P_{cyc} = n_e P_{rad} \\ P_{cyc} &= 6.2 \times 10^{-17} B^2 n_e [kT_e (keV)] \quad W/m^3 \\ &\approx 1.5 \text{ MW/m}^3 > P_{br} \end{aligned} \quad (36)$$

Notes)

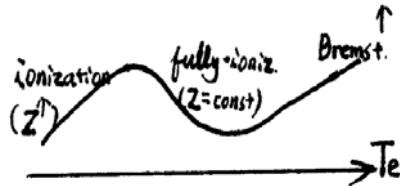
Optically thick(opaque) to fundamental frequency (IR, MW)

Losses at harmonics  $\approx 10^{-2} \sim 10^{-3} P_{cyc} \rightarrow$  reflectors

## 3) Line (Excitation+Recombination) radiations from impurities

(bound-bound, free-bound)

$$P_{imp} = 5.4 \times 10^{-37} n_e n_z \left( Z^2 (kT_e)^{1/2} + \frac{3.8 \times 10^{-2} Z^4}{(kT_e)^{1/2}} + \frac{8.6 \times 10^{-4} Z^6}{(kT_e)^{3/2}} \right) \quad W/m^3 \quad (37)$$



## Homework :

Harms Chap.1 Problems 1.3, 1.5, 1.8

Chap.2 2.2

Chap.3 3.3, 3.4, 3.5, 3.7

Chap.7 7.1, 7.4, 7.6