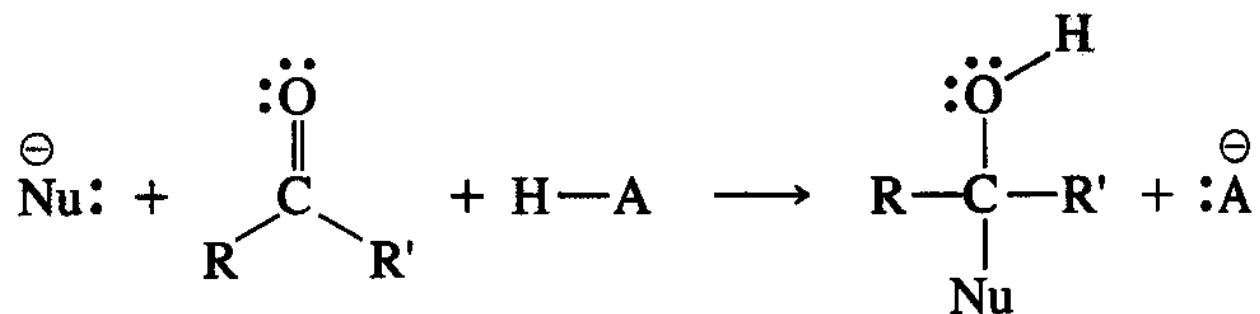
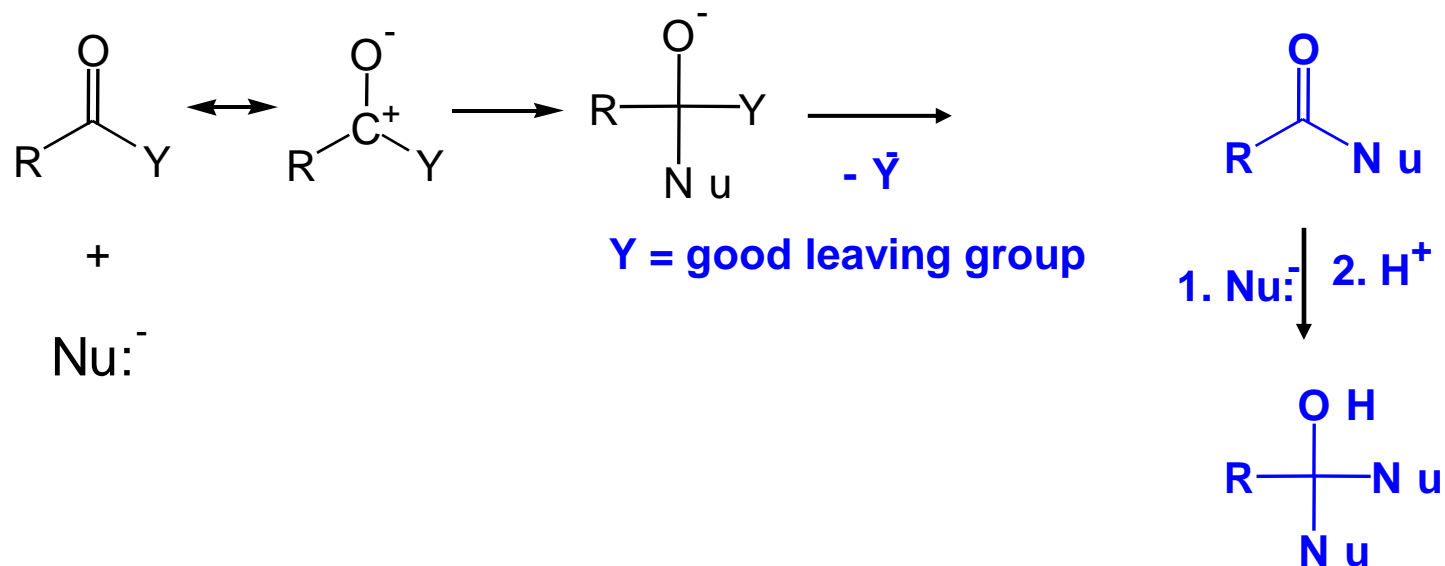


Chapter 19 Substitutions at the Carbonyl Group

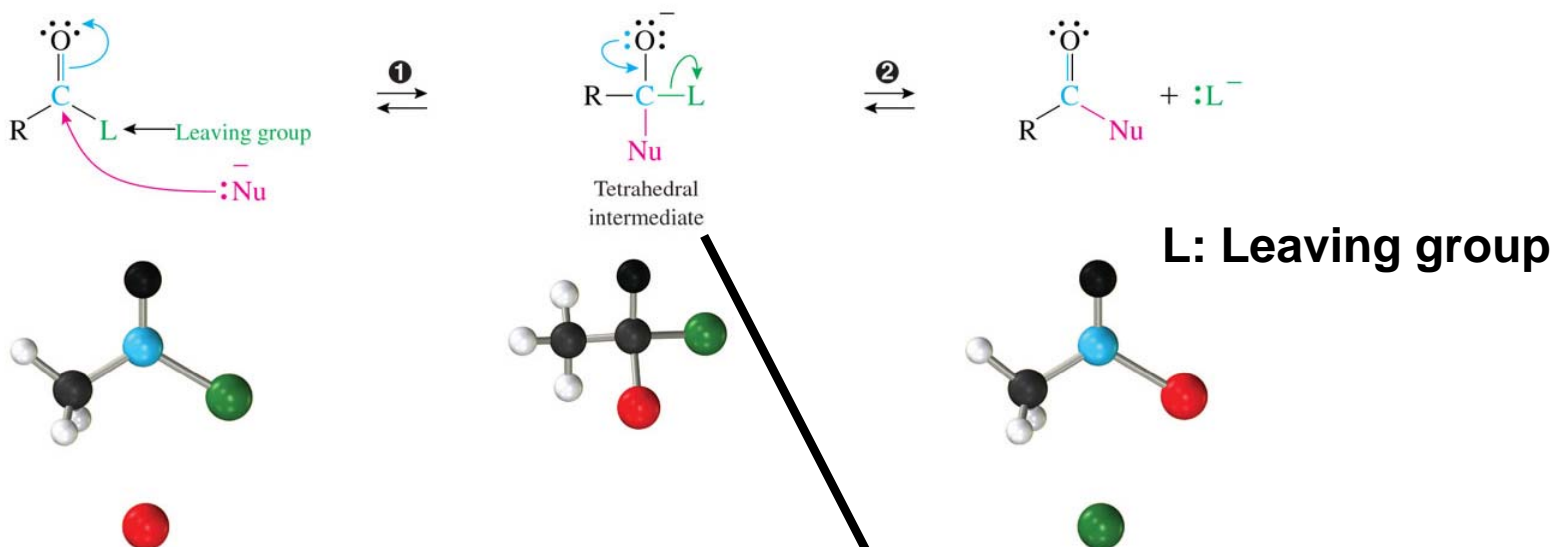
In Chapter 18 Additions to the Carbonyl Groups



In Chapter 19 Substitutions at the Carbonyl Group



19.1 General Mechanism



1 The first step of this mechanism is exactly the same as the first step for nucleophilic addition to aldehydes and ketones under basic conditions (see Figure 18.1). The nucleophile may be unchanged in some cases.

This mechanism is sometimes called the **tetrahedral mechanism** because of this sp^3 -hybridized intermediate with tetrahedral geometry. If L is H or R , it is too basic to leave as an anion, so aldehydes and ketones stop at this point. When L is Cl or an N or O group, it can better support a negative charge and is capable of acting as a leaving group.

2 The overall result is a substitution of Nu for L . L^- must be a better leaving group (less basic) than H^- or R_3C^- .

Tetrahedral intermediate
(sp^3 hybridized carbon)

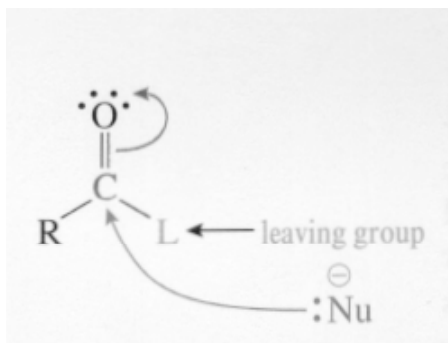
If $\text{L} = -\text{CH}_3$ or $-\text{H}$ (ketone or aldehyde), it stops here. Because they are poor leaving group, or H^- and CH_3^- are both too basic (unstable).

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 $\Rightarrow \text{L}$ must be a better leaving group than $-\text{CH}_3$ or H^- .



Reactivity of carbonyls

1. Steric effect: Steric hindrance decrease the reactivity



Bulky

2. Inductive effect: e- w/drawing group → increase the reactivity

because the carbonyl carbon becomes more electrophilic

: e- donating group → decrease the reactivity

3. Resonance effect: resonance e- w/drawing group

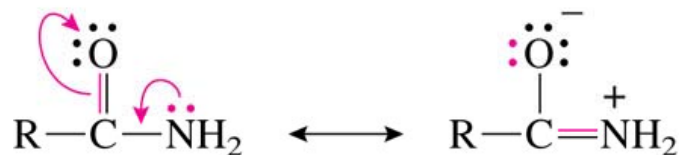
→ increase the reactivity

because the carbonyl carbon becomes more electrophilic

: resonance e- donating group → decrease the reactivity

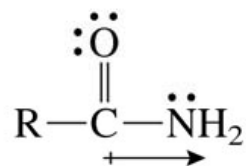
Examples

Resonance effect

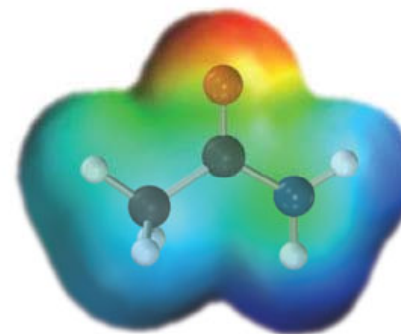


Stronger resonance donor

Inductive effect

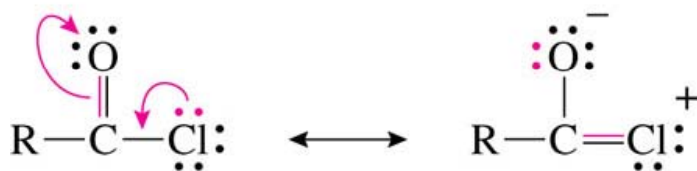


Weaker inductive withdrawer



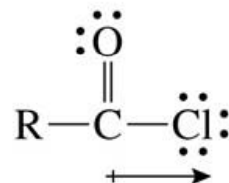
⇒ decrease the reactivity very much ⇒ increase the reactivity slightly

Resonance effect

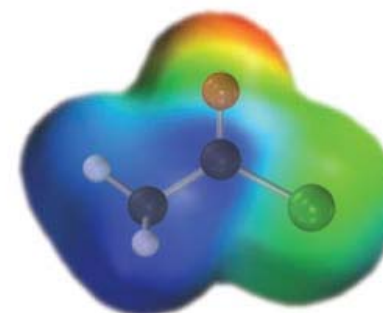


Weaker resonance donor

Inductive effect



Stronger inductive withdrawer

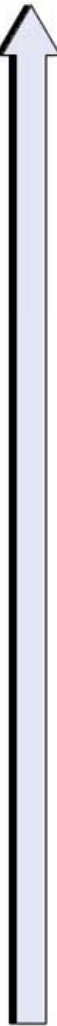
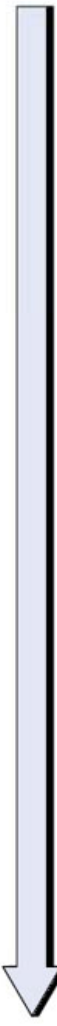


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⇒ decrease the reactivity slightly ⇒ increase the reactivity very much

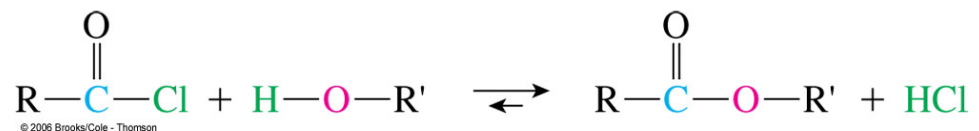
Therefore acyl chloride is much more reactive than amide !

Reactivity scale

Compound		Structure	Leaving Group	Comment
Most reactive compound  Increasing reaction rate Least reactive compound	Acyl chloride	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{Cl}$	Cl^-	Less favored at equilibrium
	Anhydride	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{O}-\overset{\text{O}}{\parallel}{\text{C}}-\text{R}$	$\text{O}-\overset{\text{O}}{\parallel}{\text{C}}-\text{R}$	
	Aldehyde	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{H}$		First step only
	Ketone	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{R}'$		First step only
	Ester	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{O}-\text{R}'$	$\text{O}-\text{R}'$	Esters and acids are very similar in both rate and equilibrium position
	Acid	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{O}-\text{H}$	$\text{O}-\text{H}$	
	Amide	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{NH}_2$	NH_2^-	
	Carboxylate anion	$\text{R}-\overset{\text{O}}{\parallel}{\text{C}}-\text{O}^-$	O^{2-}	Poor leaving group; seldom leaves
				 More favored at equilibrium

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⇒ determines the equilibrium



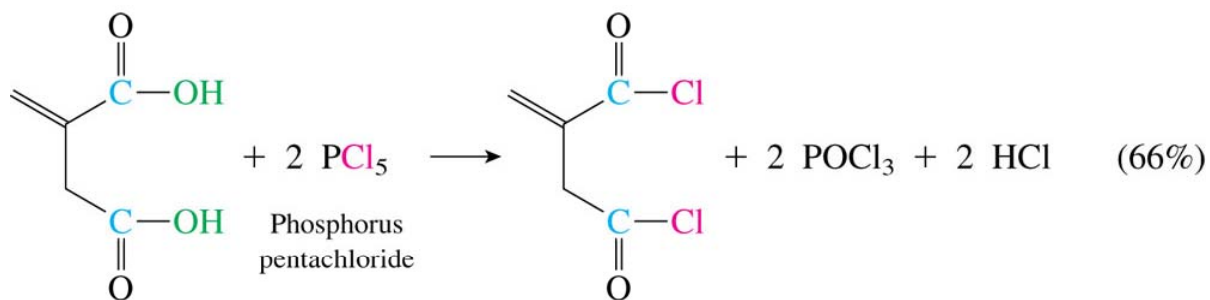
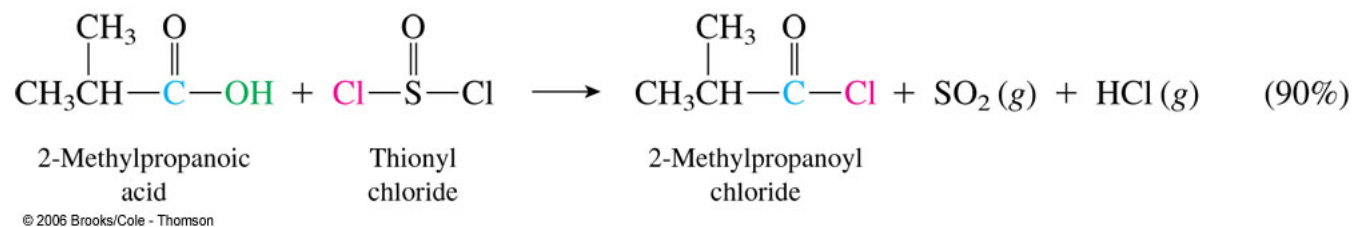
19.2 Preparation of Acyl Chloride

Why?

Because acyl chloride has the highest reactivity.
Conversion to other compounds is very easy.

How?

Use thionyl chloride (SOCl_2) or phosphorus pentachloride (PCl_5).



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Gas formation
makes thionyl
chloride very
reactive.

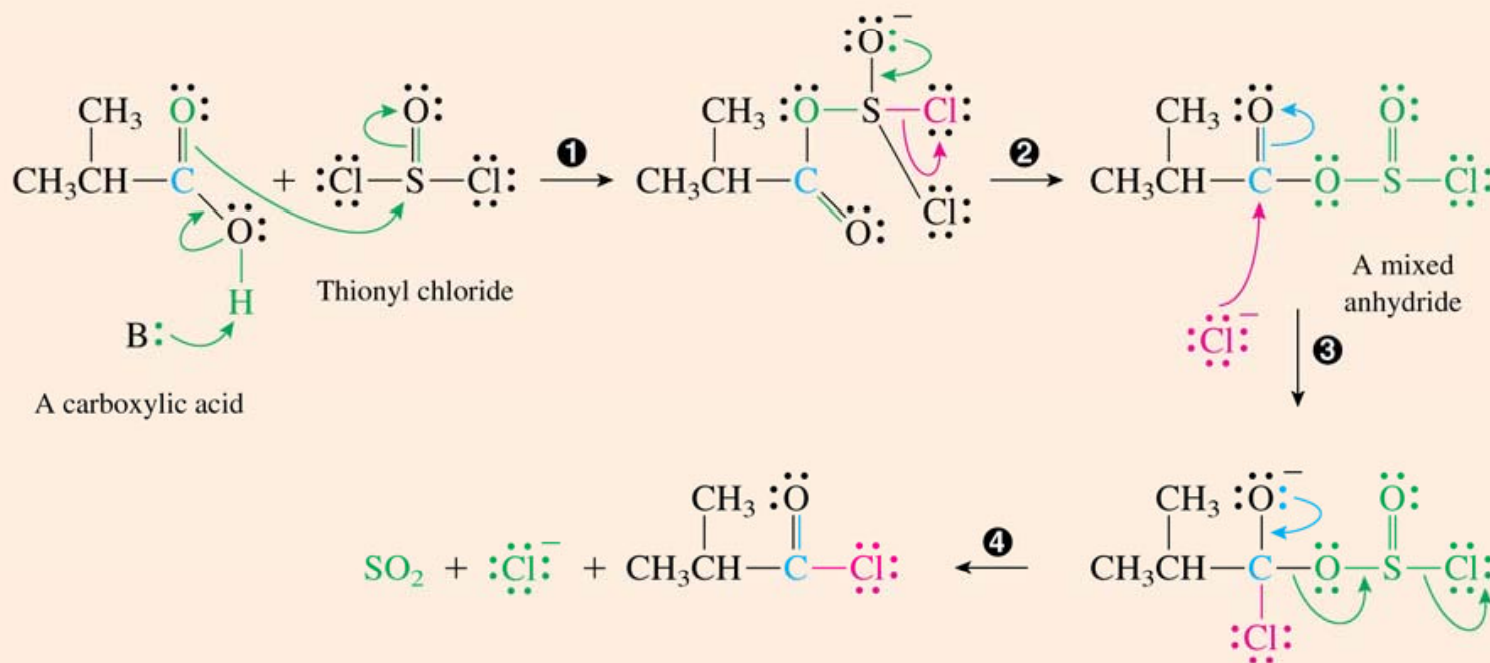
Mechanism

- 1 Thionyl chloride reacts like an acyl chloride. In this step, its sulfur–oxygen double bond plays the role of the carbonyl group of an acyl chloride. The nucleophile, the oxygen of the carboxylic acid, attacks the sulfur and displaces the pi electrons onto the oxygen.

- 2 The reaction continues like the mechanism in Figure 19.1, but at sulfur rather than carbon. The electrons on the oxygen help displace the chloride.

This compound is a mixed anhydride of the carboxylic acid and sulfurous acid and is more reactive than the acyl chloride.

- 3 The nucleophilic chloride anion bonds to the carbon, displacing the pi electrons onto the oxygen.



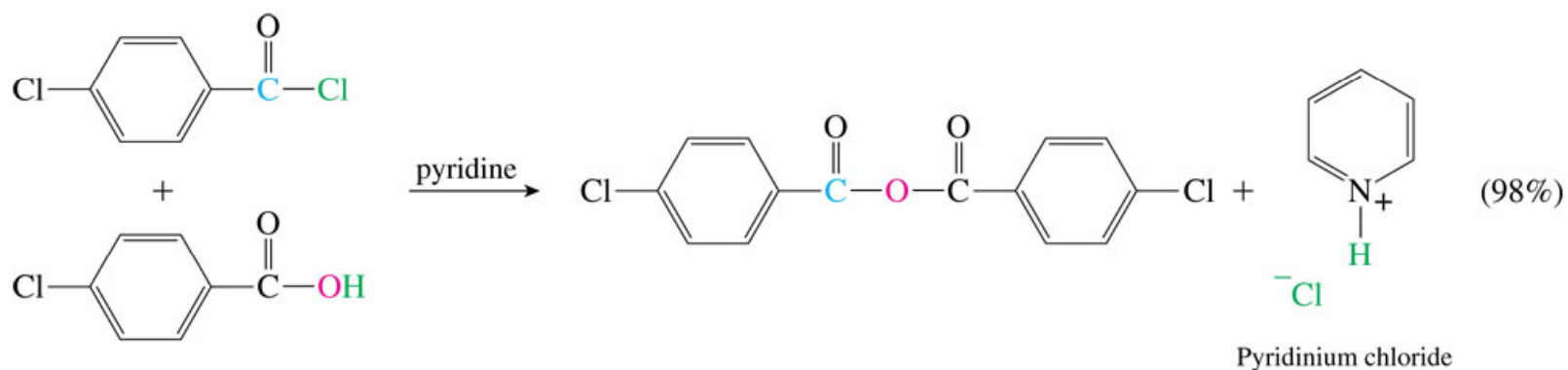
- 4 The electrons on the oxygen help displace the leaving group, which fragments to SO₂ and Cl⁻.

19.3 Preparation of Anhydride

How?

1. Using a carboxylic acid or its conjugated base with an acyl chloride

$R-CO_2^- M^+$ also can be used



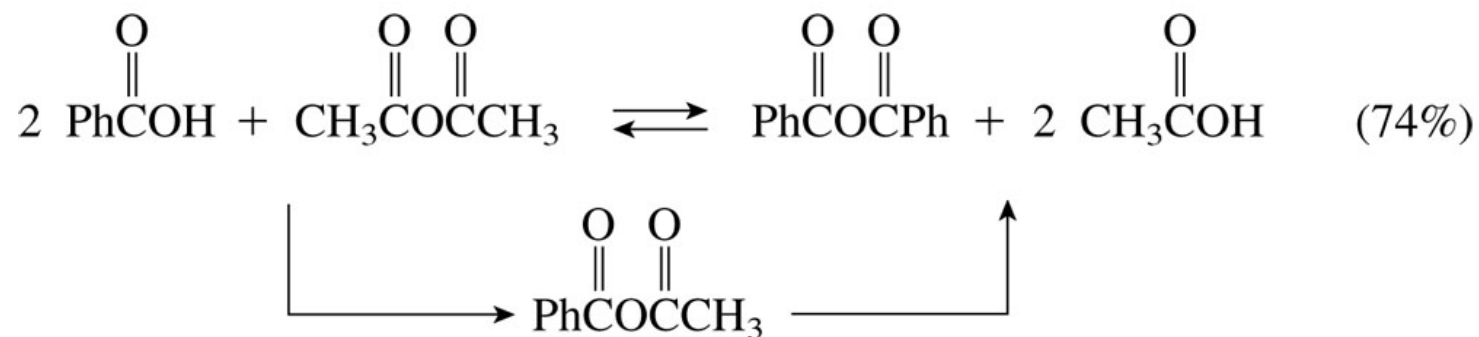
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Pyridine is added or used as a solvent

- Salt formation → precipitation
- Prevent the reaction mixture from becoming strongly acidic

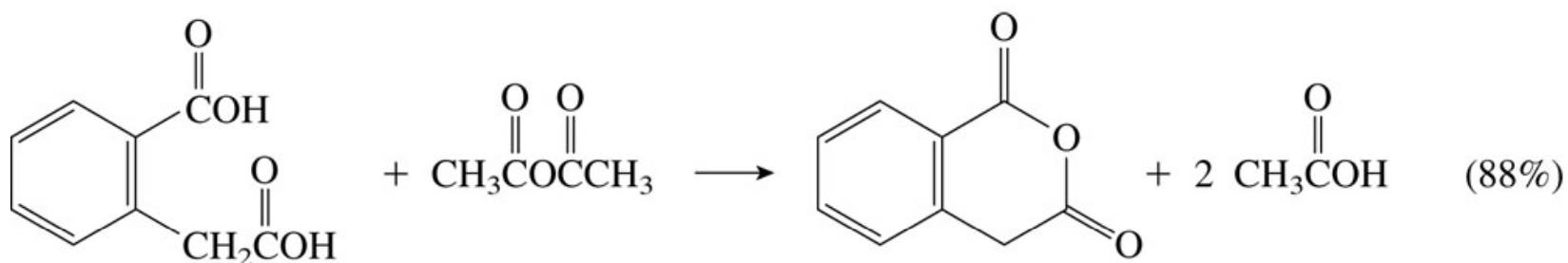
How?

2. Using a carboxylic acid with an other anhydride



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Removal of the acetic acid can drive the equilibrium to the product

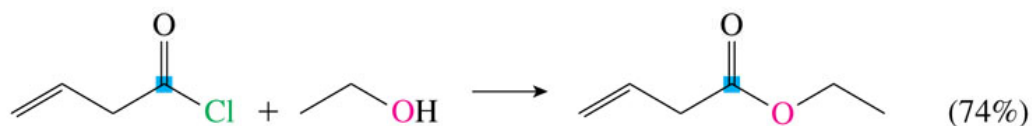
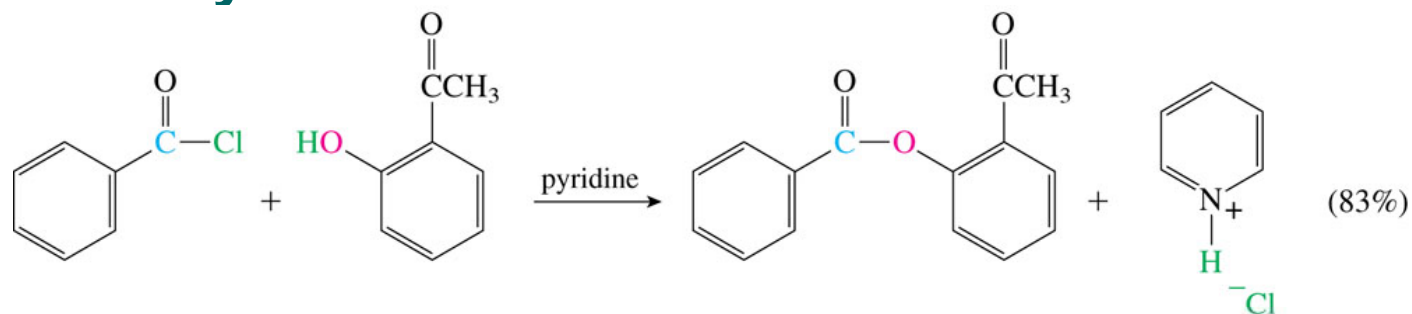


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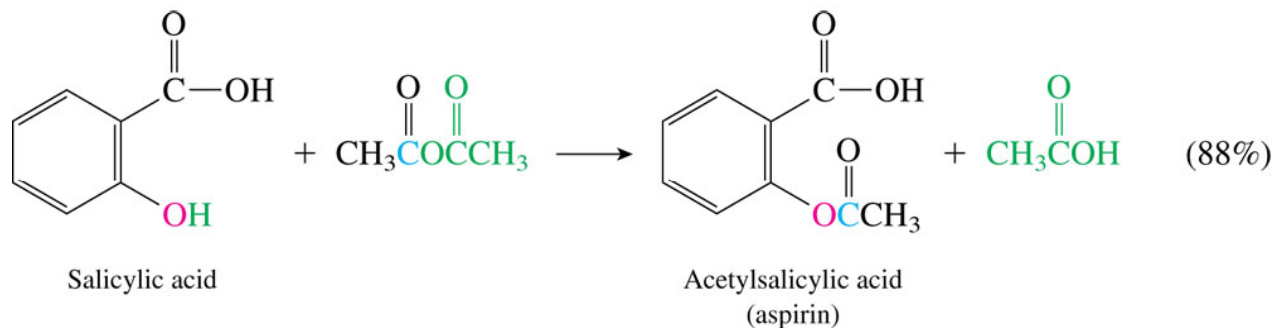
19.4 Preparation of Esters

How?

1. By reaction of an alcohol with either an acyl chloride or an anhydride



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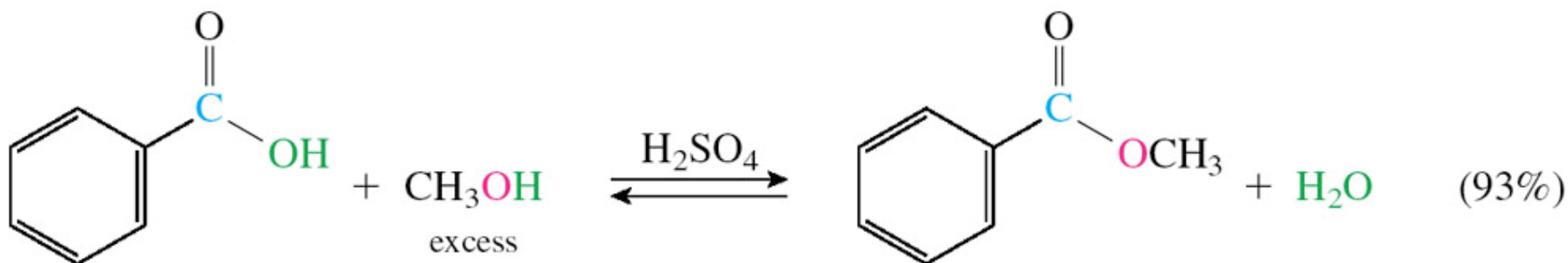


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How?

2. By reaction of an alcohol with a carboxylic acid

⇒ Fisher esterification



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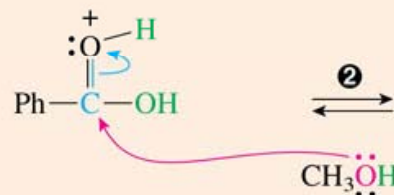
- Using excess amount of alcohol: although K (0.1~1) is low, anyhow the product will be obtained
- Removal of the water can derive the equilibrium to right

Fisher esterification

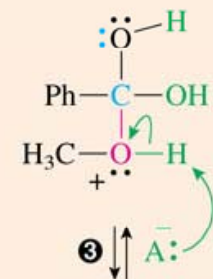
1 Acid-catalyzed reactions begin by protonation of the oxygen of the carbonyl group to make the carbon more electrophilic.



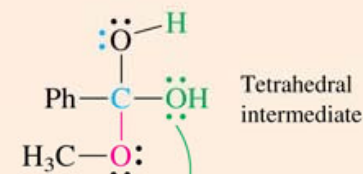
2 Then the nucleophile, the oxygen of the alcohol, bonds to the carbonyl carbon.



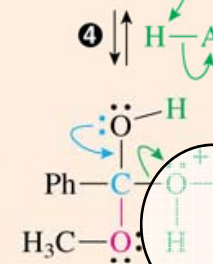
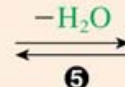
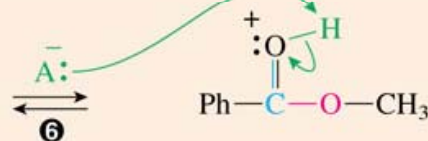
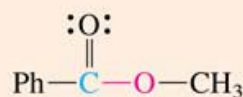
3 Next, a proton is transferred to some base in the solution.



This is the tetrahedral intermediate in the mechanism for acidic conditions. It differs from the one in Figure 19.1 only in that the oxygen is protonated. Note the similarity of the steps leading away from this intermediate in both directions.



Tetrahedral intermediate



Good leaving group

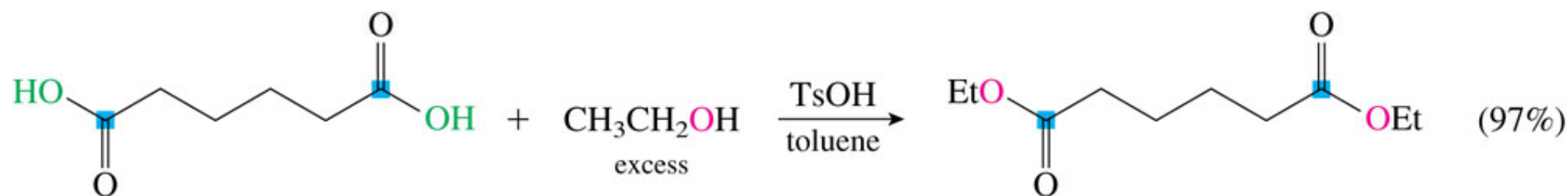
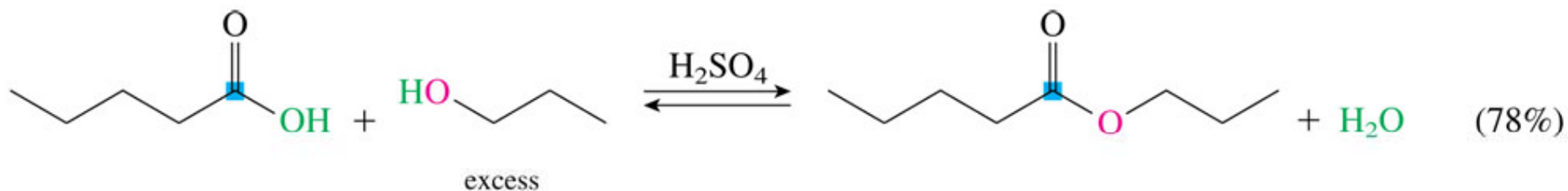
6 Only a proton transfer is needed to complete the reaction. This step resembles the reverse of step 1. The acid, HA, is regenerated here, so the reaction is acid catalyzed.

5 Then water leaves in a step that resembles the reverse of step 2.

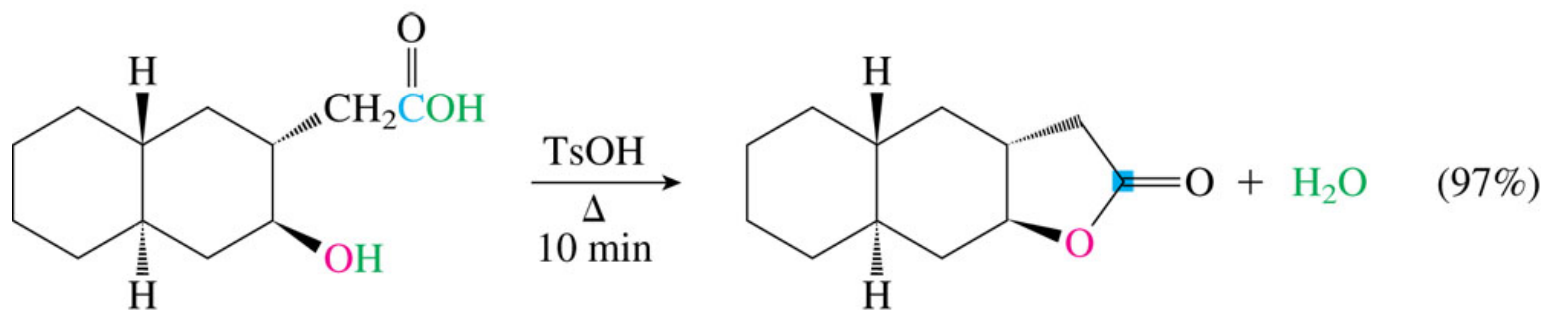
4 Before the oxygen leaves, it is protonated to make it a better leaving group, water. This resembles the reverse of step 3.

Acid such as sulfuric acid, hydrochloric acid, or p-toluenesulfonic acid is used as a catalyst.

Examples



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A lactone
(a cyclic ester)

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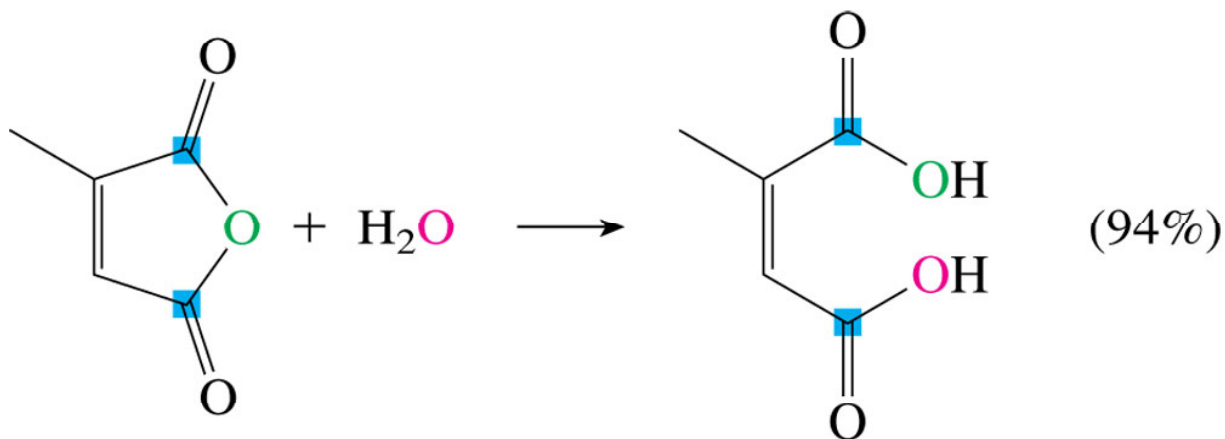
19.5 Preparation of Carboxylic Acids

How?



1. From an acyl chloride or an anhydride

Just add some water → Vigorous reaction

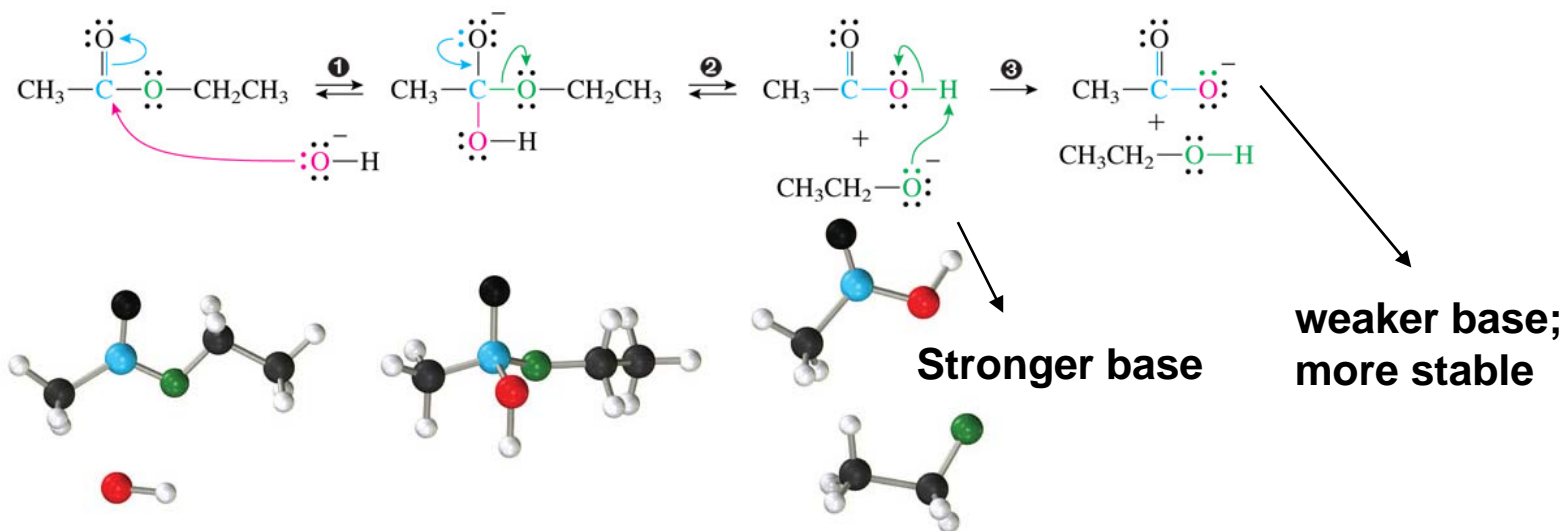


2. From Esters

Ester can be hydrolyzed under either acidic or basic conditions

a. Acidic condition: the reverse of the Fisher esterification

b. Basic condition: saponification

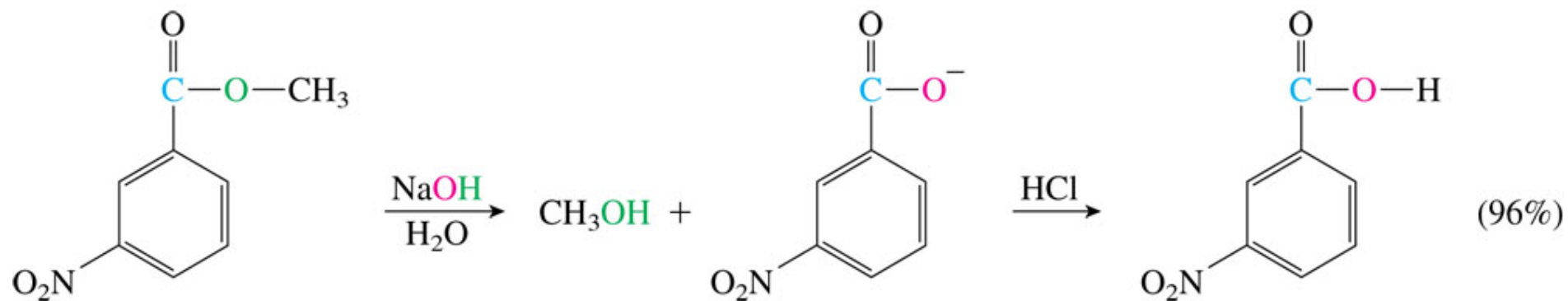


1 This step is the same as the first step of Figure 19.1. The nucleophile, hydroxide ion in this case, bonds to the carbonyl carbon, displacing the pi electrons onto the oxygen.

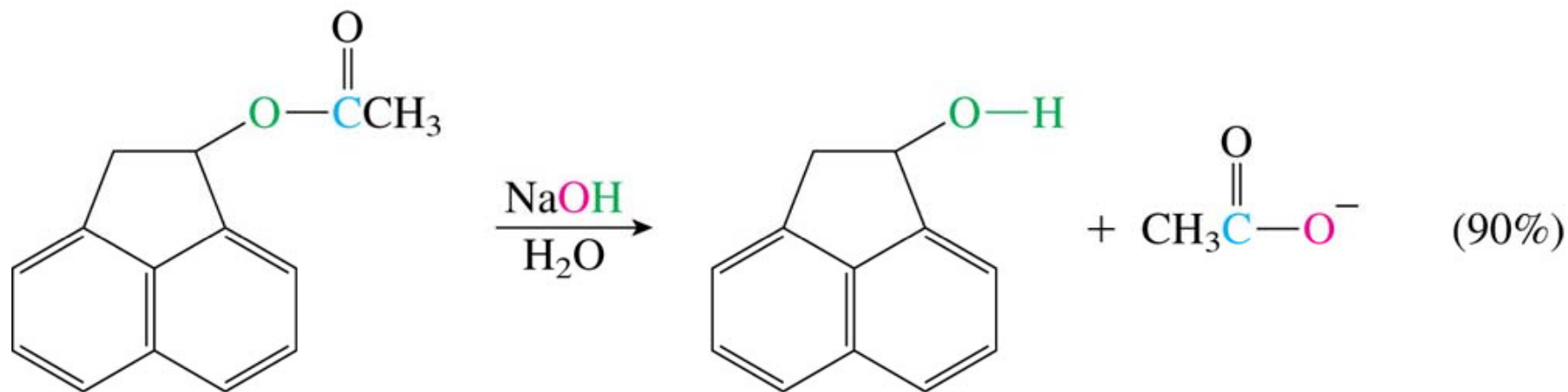
2 Next, the leaving group, ethoxide ion, departs as an electron pair from the negative oxygen reforms the double bond of the carbonyl group.

3 Ethoxide ion, a strong base, removes a proton from acetic acid. The formation of the weak base, acetate ion, in this step drives the equilibrium to the final products, the alcohol and the carboxylate anion.

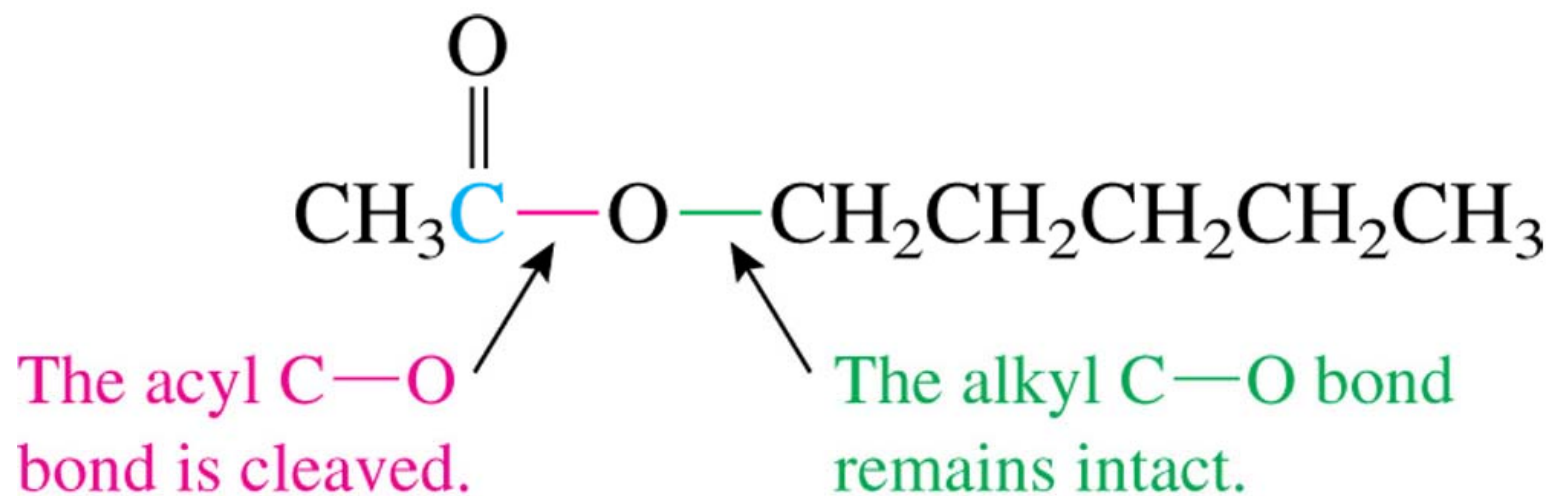
Examples



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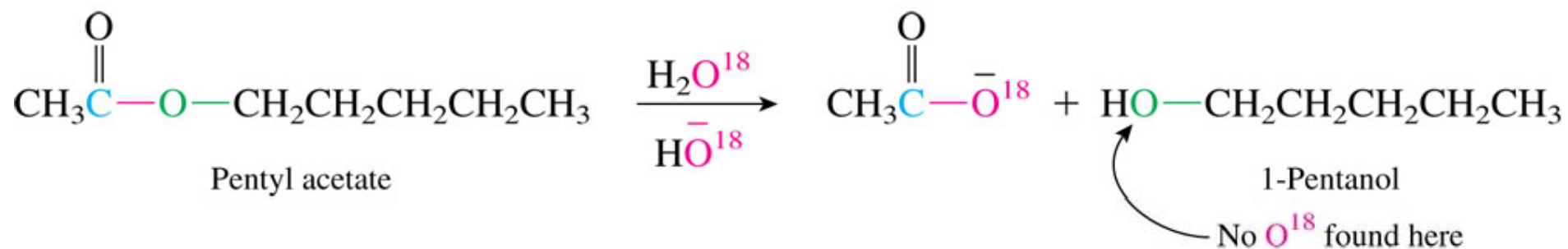


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Clue



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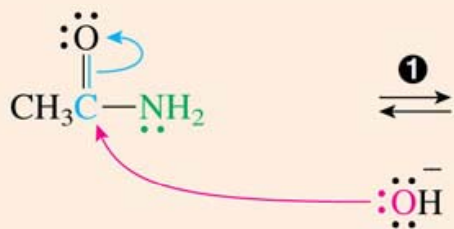
3. From Amide (Amide Hydrolysis)

Amide is **less reactive** than ester!

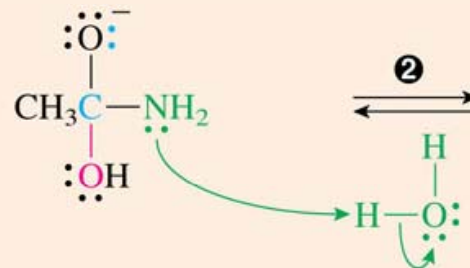
Therefore it requires **vigorous heating** in acidic or basic conditions

Mechanism in basic condition

① A hydroxide ion nucleophile bonds to the carbon of the carbonyl group, exactly as the mechanism for the saponification of an ester begins.

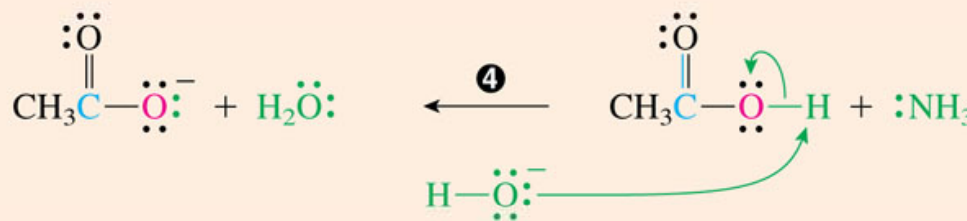
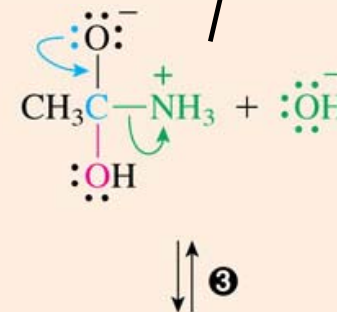


② At this point, this mechanism deviates slightly from the ester saponification mechanism. Because amide ion (NH_2^-) is a poor leaving group, the nitrogen is first protonated by the solvent to convert it to a better leaving group.



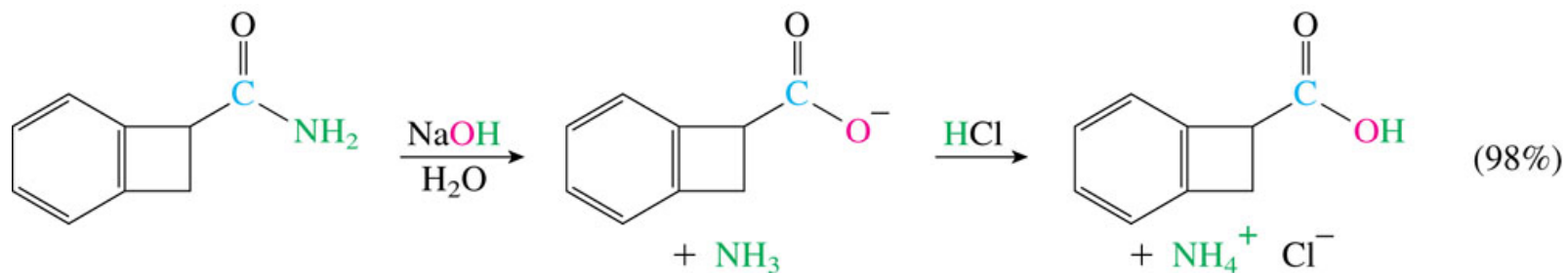
$-\text{NH}_3^+$ is a better leaving group than $-\text{NH}_2$

③ Ammonia leaves while an electron pair from the negative oxygen reforms the double bond of the carbonyl group.

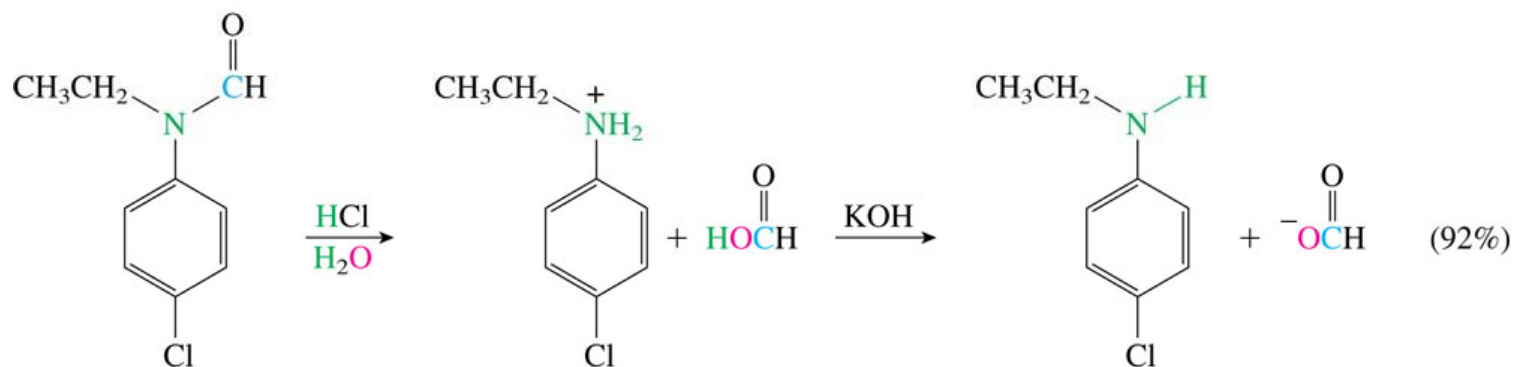
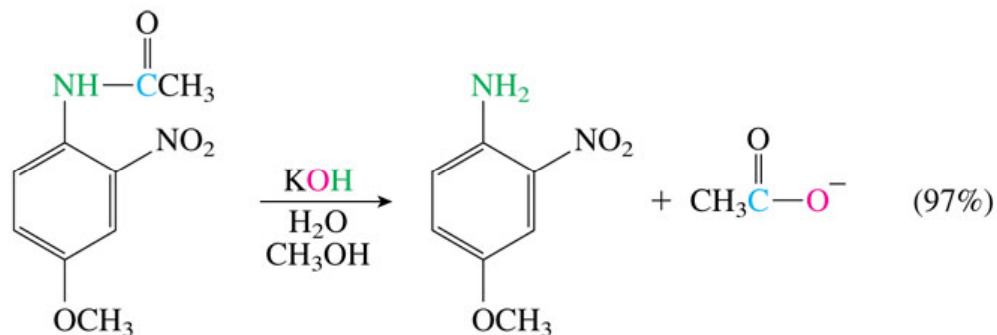


④ A proton transfer from the carboxylic acid to a hydroxide ion completes the process.

Examples



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4. From Nitrile (Nitrile Hydrolysis)

Nitrile → Amide → Carboxylic acid

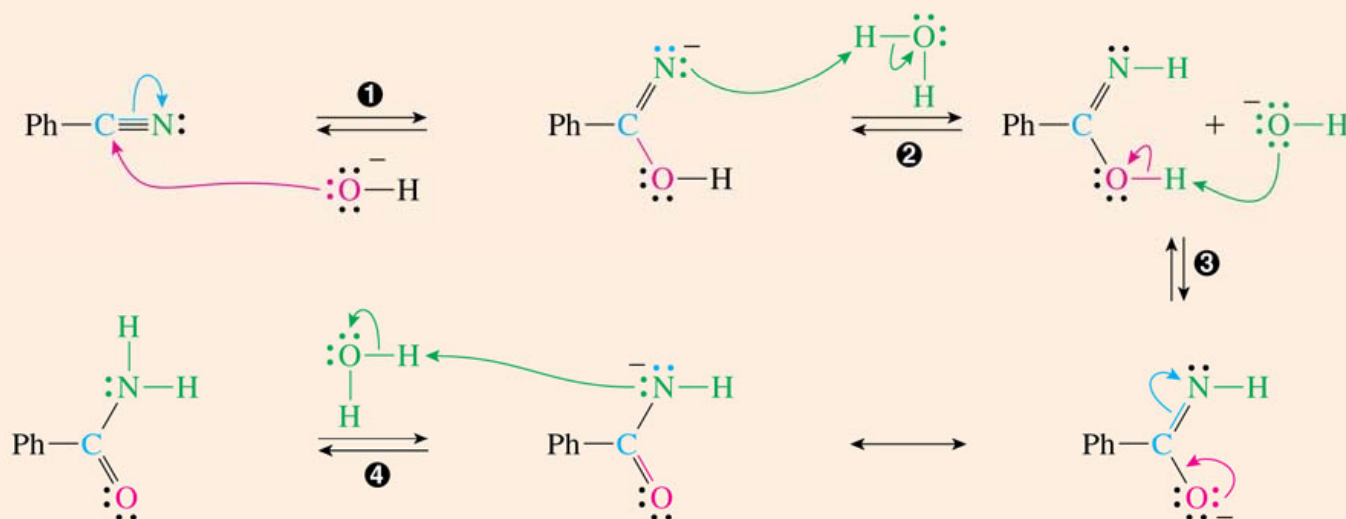
basic or acidic conditions

❶ The cyano group resembles a carbonyl group in many of its reactions. This mechanism begins with the nucleophile, hydroxide ion, bonding to the electrophilic carbon of the nitrile. One pair of pi electrons is displaced onto the nitrogen.

❷ Next, the negative nitrogen is protonated by a water molecule.

This compound is a tautomer of an amide. Tautomerization occurs in the same manner as was the case for the conversion of an enol to its carbonyl tautomer.

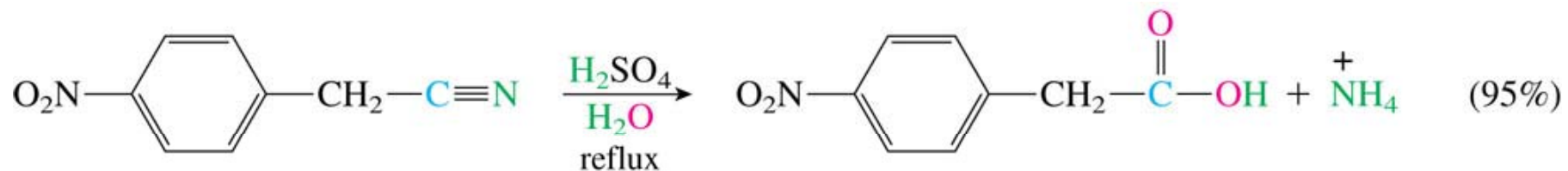
❸ A proton on the oxygen is removed by a base in the solution.



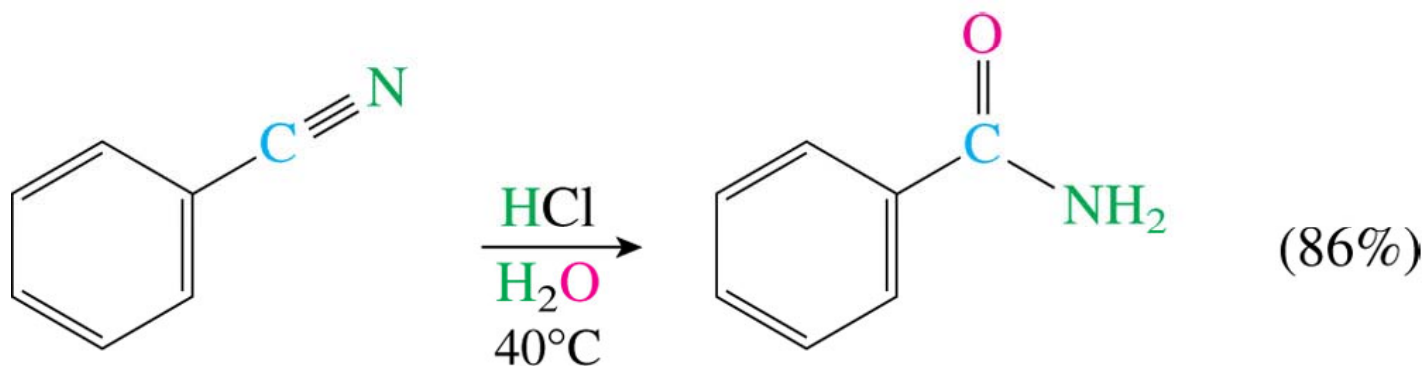
❹ Reprotonation occurs on the nitrogen to produce the amide. As was the case with the carbonyl–enol tautomerization, the stability of the carbon–oxygen double bond causes the amide tautomer to be favored at equilibrium.

Carboxylic acid

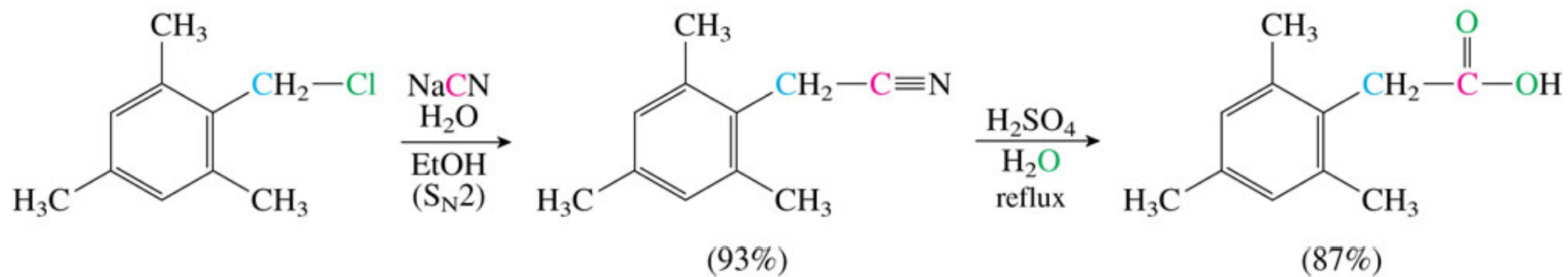
Examples



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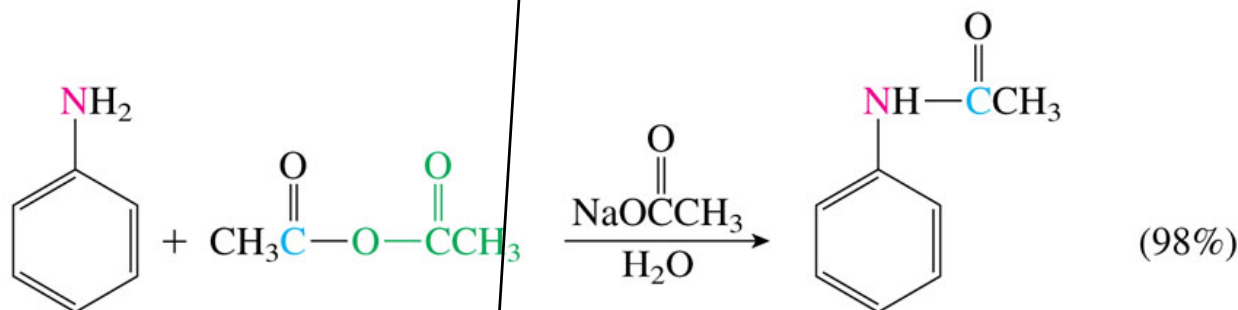
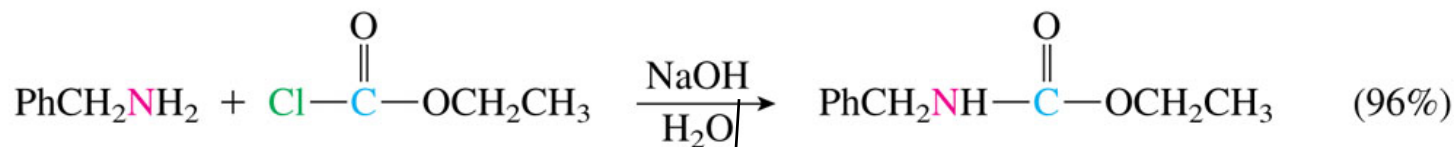
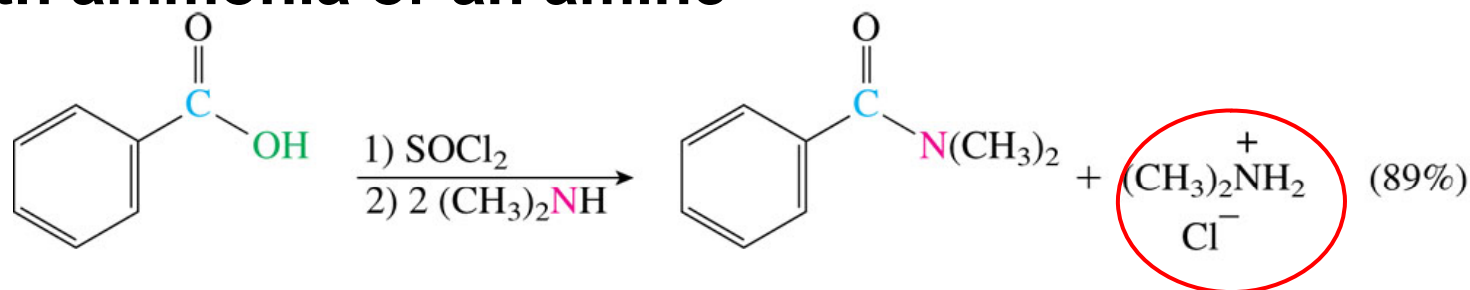
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19.6 Preparation of Amides

By the reaction of an acyl chloride or an anhydride with ammonia or an amine



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To make the rxn condition not too acidic: HCl is the by product.

In acidic condition → hydrolysis

How about carboxylic acid + amine ?



Then carboxylate anion and the ammonium cation are formed !

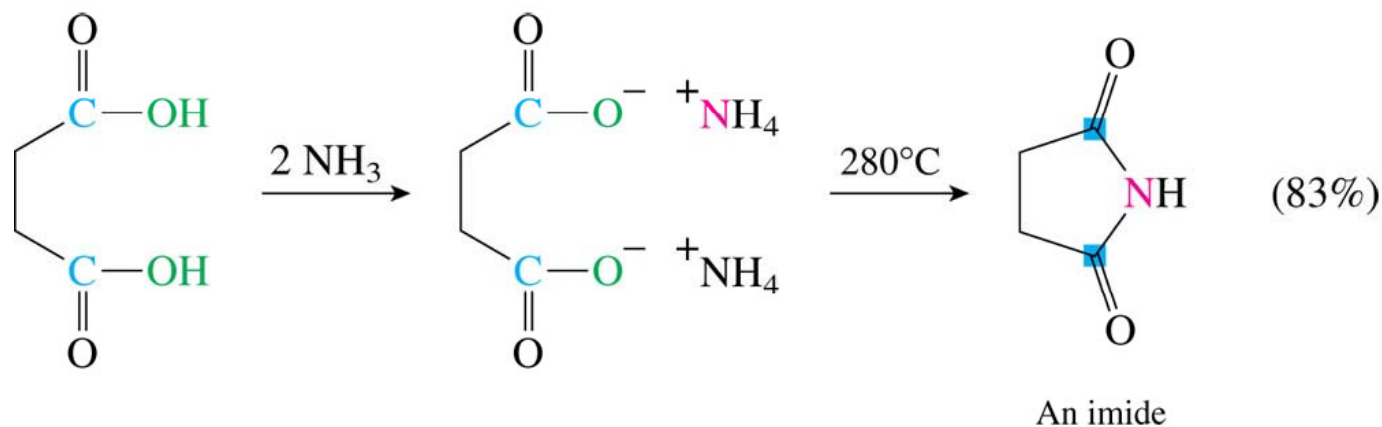
Because of the acid base reaction.



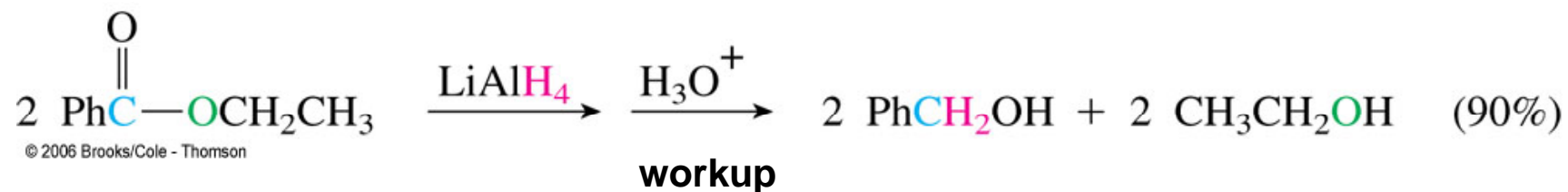
Vigorous heating: water is distilled off

Amide

Example

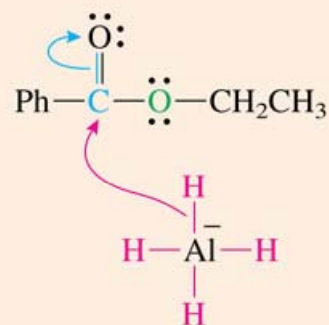


19.7 Reaction with Hydride Nucleophiles

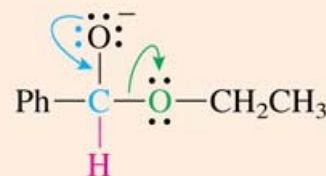


Mechanism

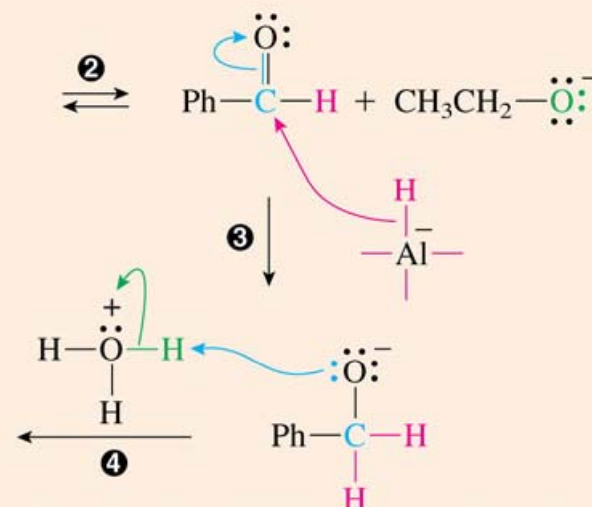
1 As usual, the hydride nucleophile attacks the carbonyl carbon and displaces the pi electrons onto the oxygen.



2 The electrons on the negative oxygen reform the pi bond as ethoxide ion leaves.



3 The product aldehyde is more reactive toward nucleophiles than is the ester and is attacked by hydride ion, as discussed in Chapter 18.



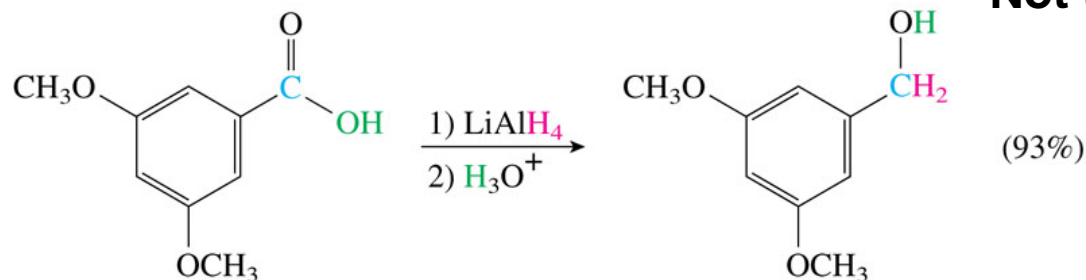
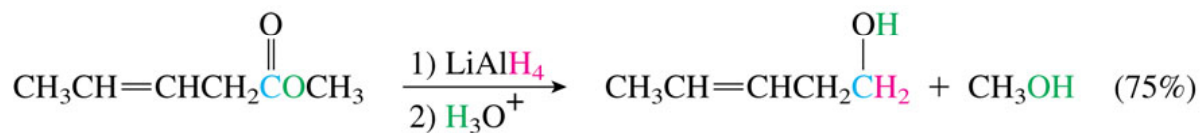
4 When the acid is added in the second step of the reaction, the oxygen is protonated to produce the final product, a primary alcohol.

The reaction stops at the stage of the alkoxide ion, the conjugate base of an alcohol, until acid is added during the workup phase.

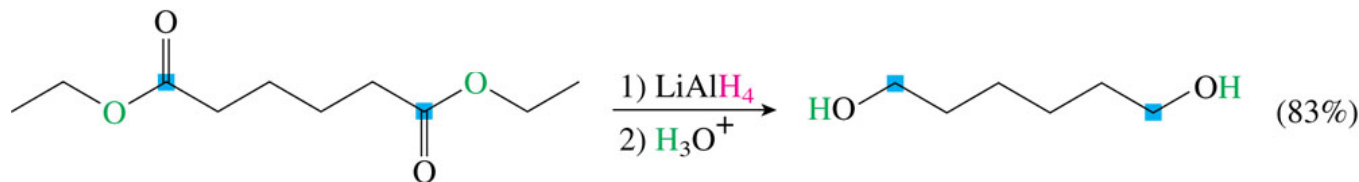
H⁻ is a very strong nucleophile

1. One LiAlH₄ can reduce two ester
2. If less LiAlH₄ is used, still ester and alcohol is formed (no aldehyde), because the aldehyde reacts with the hydride as fast as it is formed. **Aldehyde is more reactive than ester!**

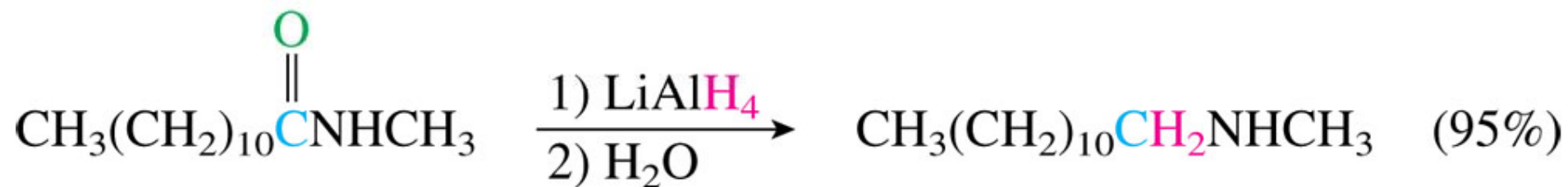
Examples



Not the double bond !

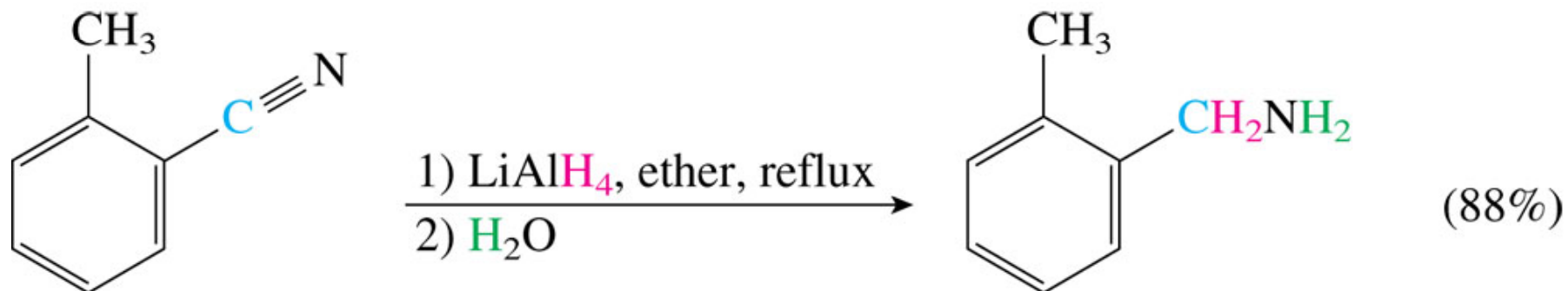


Reduction of amide with LiAlH₄



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Reduction of Nitrile with LiAlH₄



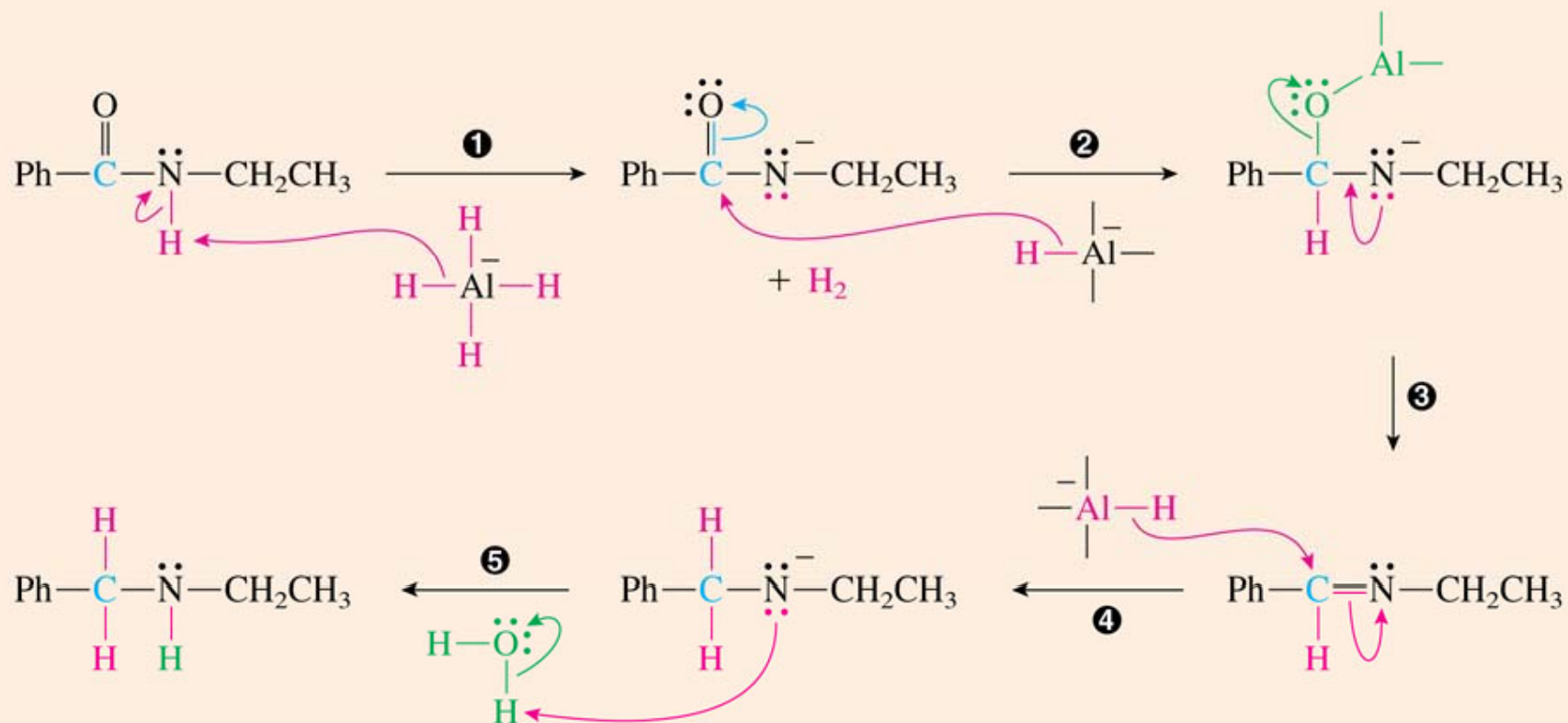
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Mechanism for the reduction of an amide to an amine

1 Like the reaction shown in Figure 19.8, the mechanism begins with the basic hydride ion removing an acidic proton. Here, it is the proton on the nitrogen.

2 Next, another hydride ion acts as a nucleophile, attacking the carbon of the carbonyl group.

3 The oxygen, coordinated with aluminum, leaves in this step rather than the nitrogen because the oxygen is a weaker base and therefore a better leaving group.



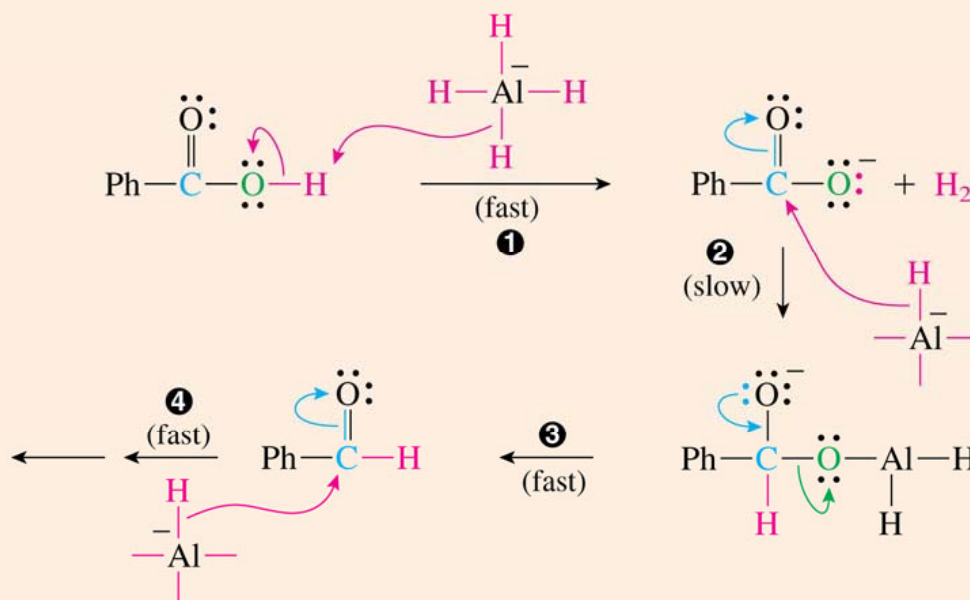
5 The final step in the mechanism is protonation of the negative nitrogen by water that is added during the workup.

4 The carbon–nitrogen double bond of the imine is attacked by the hydride nucleophile just like a carbon–oxygen double bond.

Reduction of carboxylic acids

1 The strongly basic hydride ion removes the acidic proton from the carboxylic acid.

2 Even though the carboxylate anion is at the bottom of the reactivity scale, lithium aluminum hydride is still powerful enough to react with it.

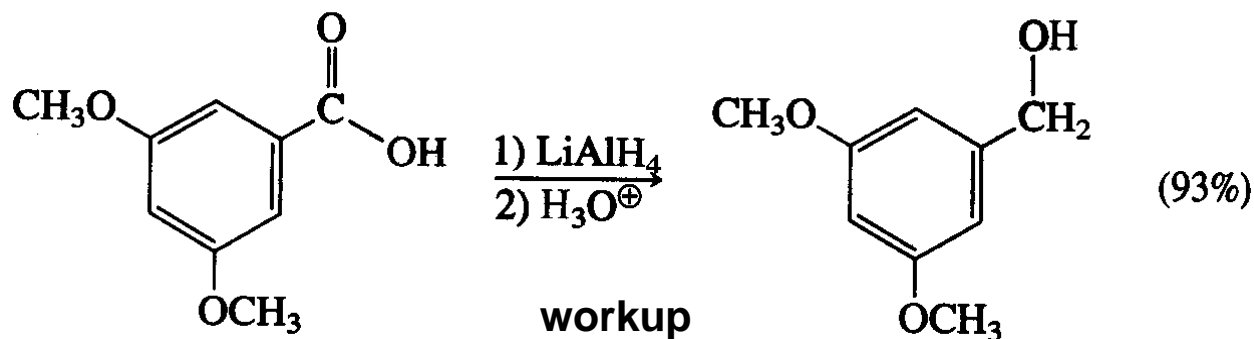


Same as before

4 The reduction of the aldehyde proceeds as shown in Figure 19.7.

3 Although a negative oxygen is a very poor leaving group, coordination with an aluminum compound, such as the one shown or a related one, enables it to leave in this step.

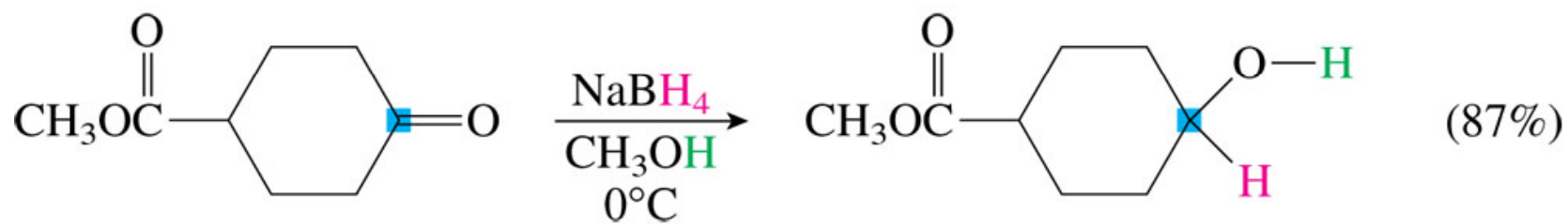
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Sodium borohydride (NaBH₄)

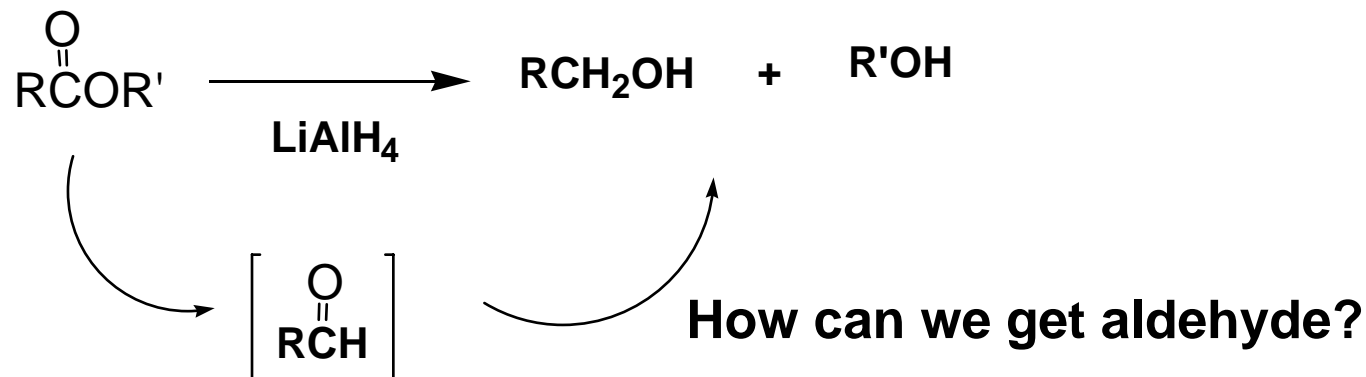
→ much less reactive than LiAlH₄

→ **can reduce aldehydes or ketones, while cannot reduce esters**



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19.8 Reduction of Acid Derivatives to Aldehyde



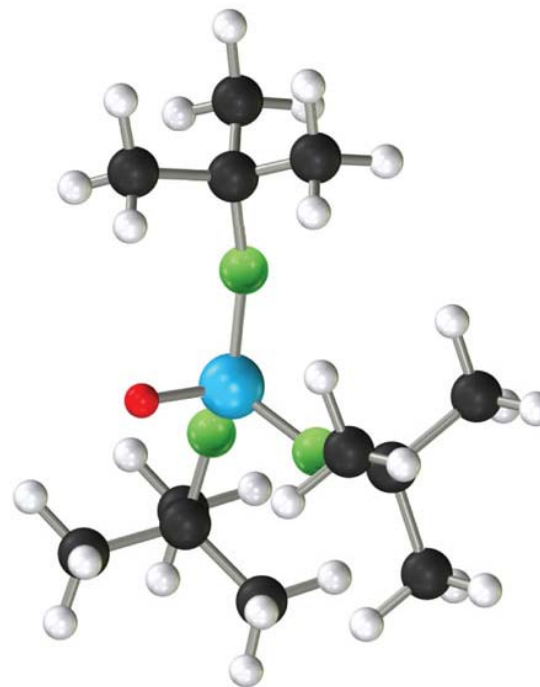
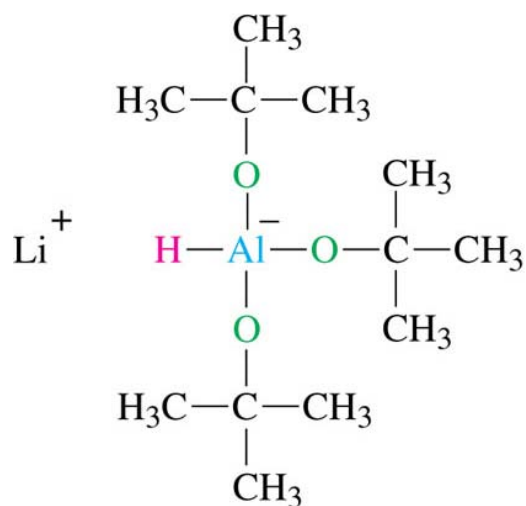
← Unstable (high reactivity)

Stable (low reactivity)

To get aldehyde, use RCOCl or RCO₂COR + lithium tri-*t*-butoxyaluminum hydride

1. use RCOCl or RCO₂COR + lithium tri-*t*-butoxyaluminum hydride

Lithium tri-*t*-butoxyaluminum hydride

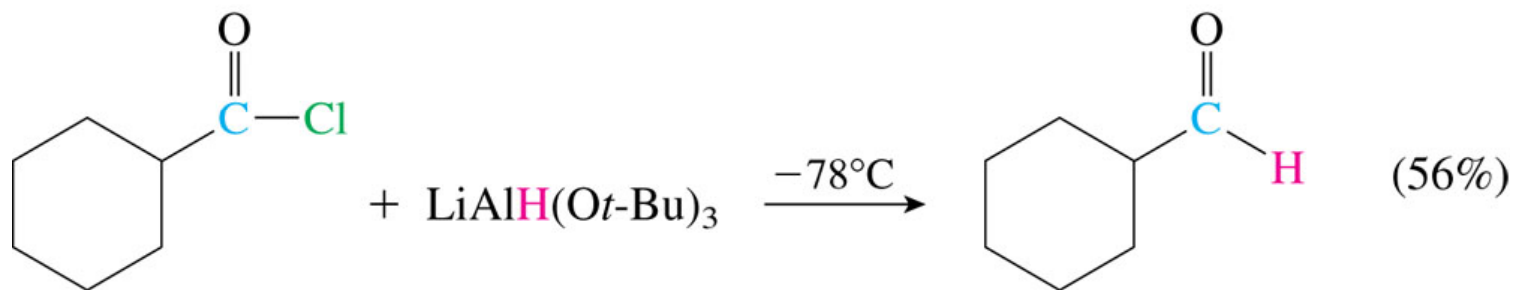
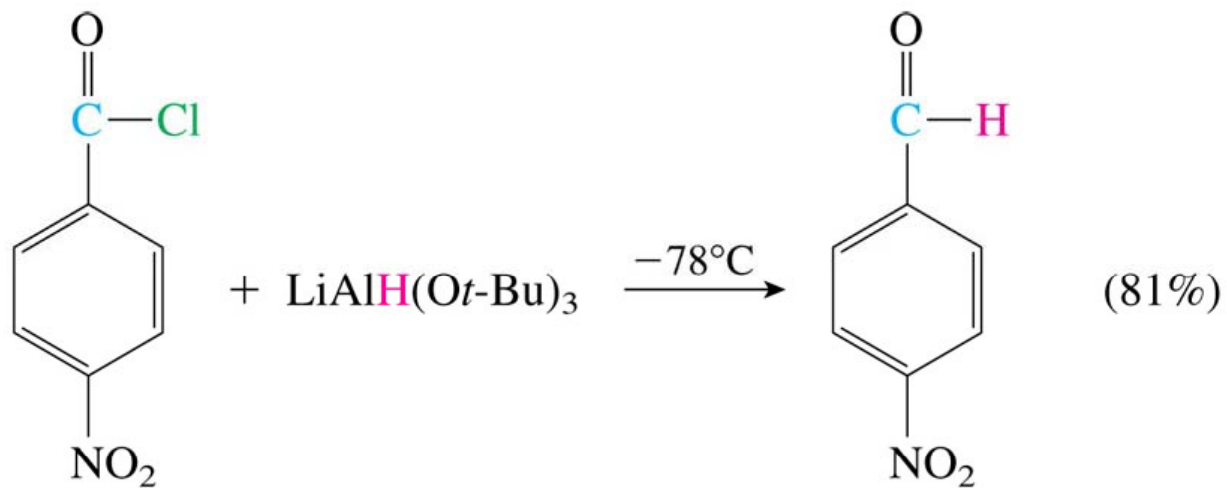


Lithium tri-*t*-butoxyaluminum hydride [LiAlH(Ot-Bu)₃]
(reduces an acyl chloride but not an aldehyde)

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At low temperature (-78 °C), it does not react with aldehyde, probably due to the steric effect

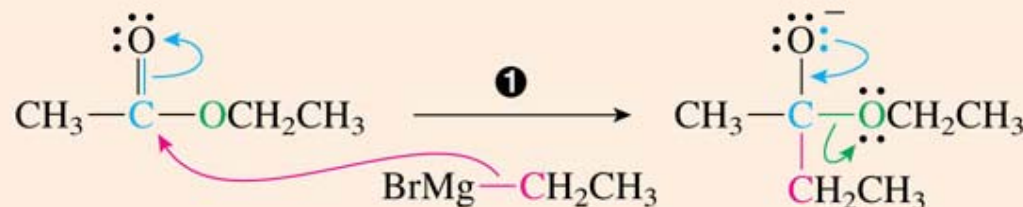
Examples



19.9 Reactions with Organometallic Nucleophiles

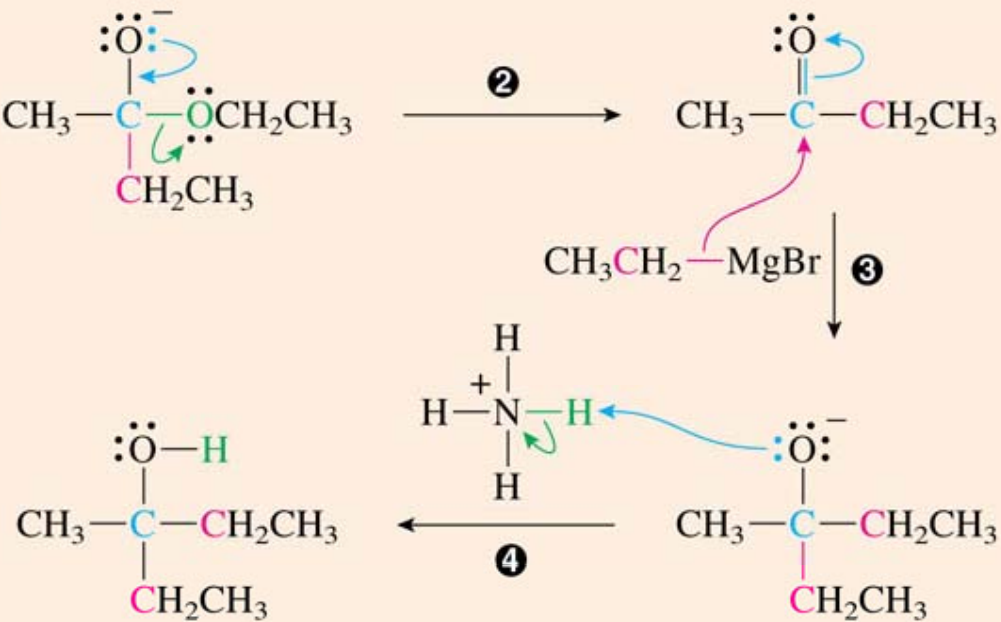
The reaction of Grignard reagents with esters

❶ The organometallic nucleophile attacks the carbonyl carbon and displaces the pi electrons onto the oxygen.

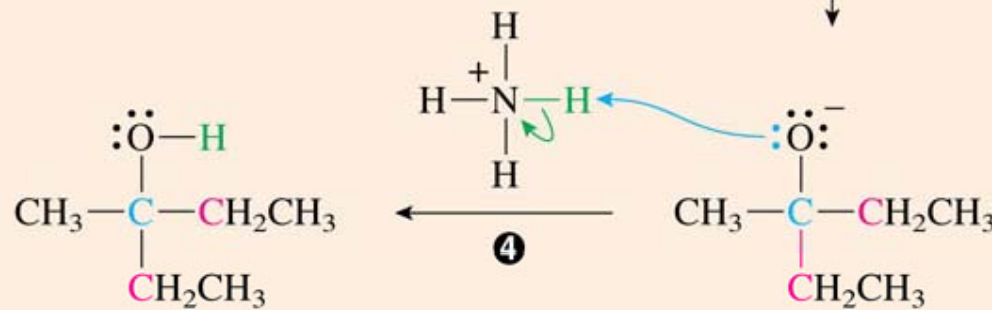


❷ The electrons on the negative oxygen reform the pi bond as the ethoxide anion leaves.

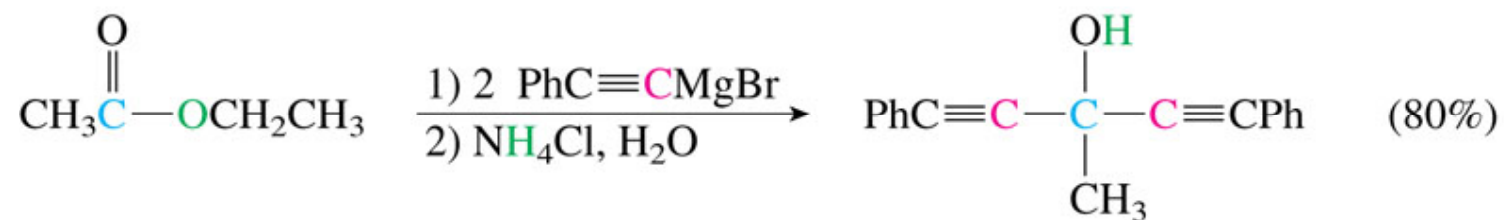
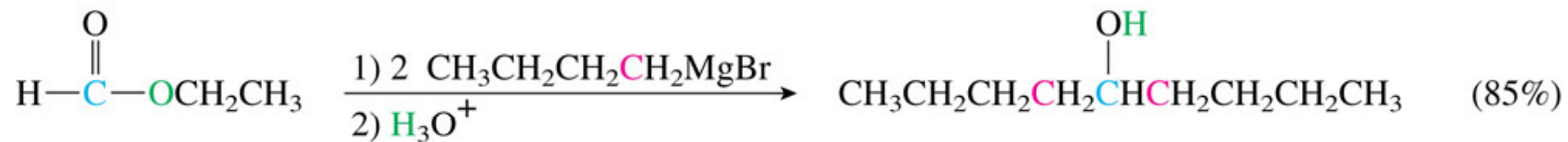
❸ The ketone also reacts with the Grignard reagent as discussed in Chapter 18.



❹ When the reaction is worked up by the addition of acid, the alkoxide ion is protonated to produce an alcohol. In this example the yield is 67%.



Examples

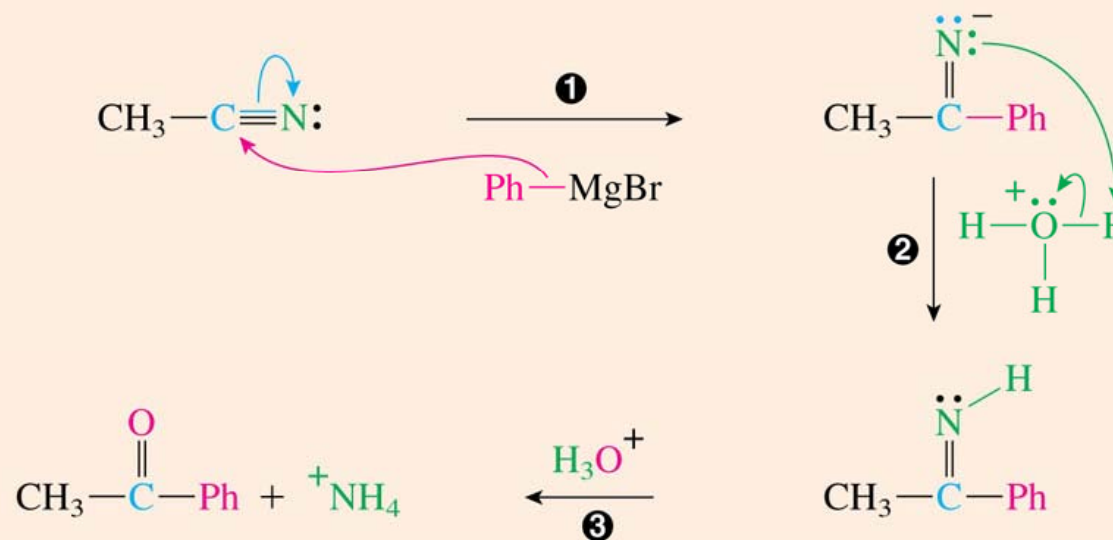


2. Using Grignard reagents with Nitriles

Mechanism

- ❶ The nucleophile attacks the electrophilic carbon of the cyano group.

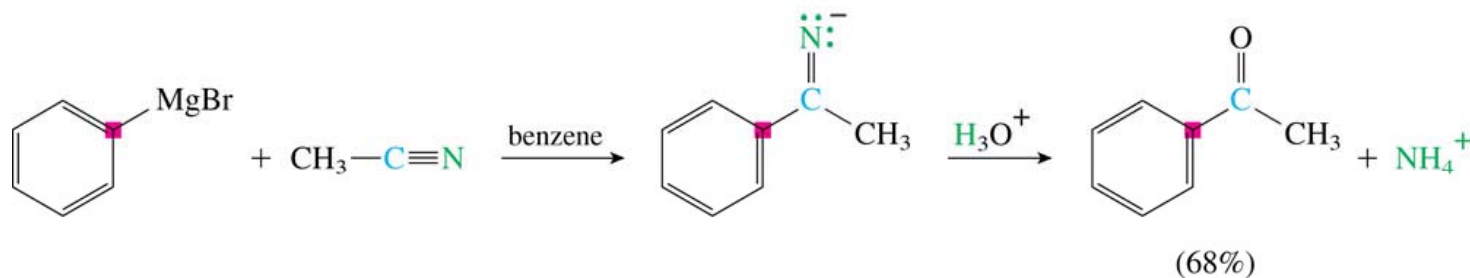
This intermediate is too weak an electrophile to react with the Grignard reagent. It is stable in the solution until acid is added during the workup.



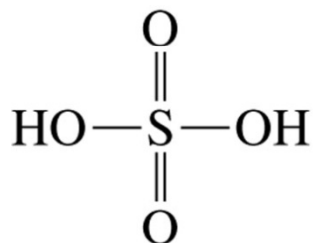
- ❸ The imine is hydrolyzed to a ketone by the reverse of the mechanism shown in Figure 18.3.

- ❷ Addition of acid to the reaction solution produces an imine.

Example

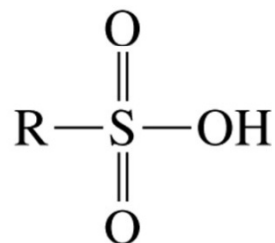


19.11 Derivatives of Sulfur and Phosphorus Acids

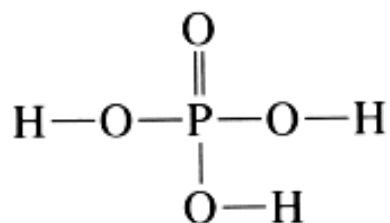


Sulfuric acid

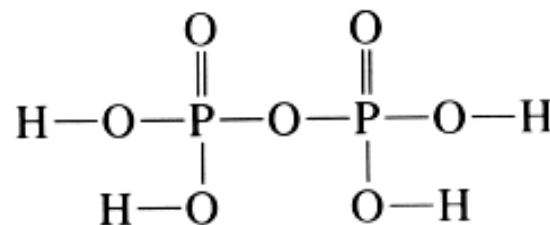
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A sulfonic acid



phosphoric acid

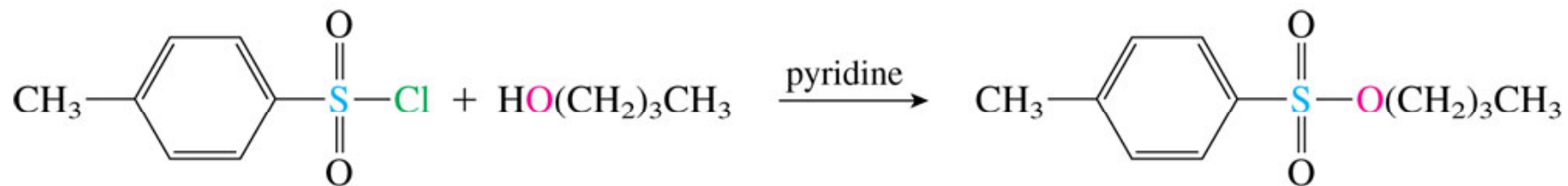


pyrophosphoric acid



**The Chemical behavior is similar to that of carboxylic acids,
While they are stronger acid than the carboxylic acids!**

Examples

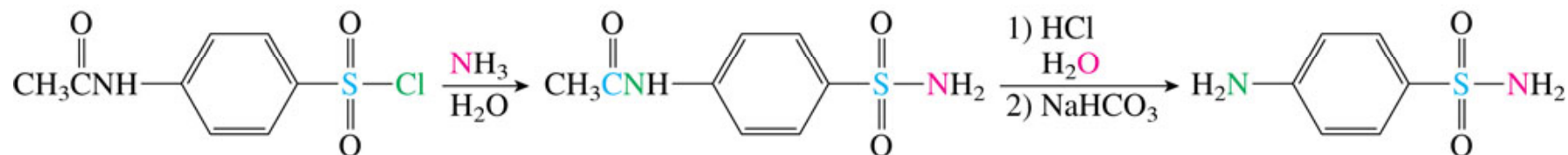


p-Toluenesulfonyl chloride

(90%)

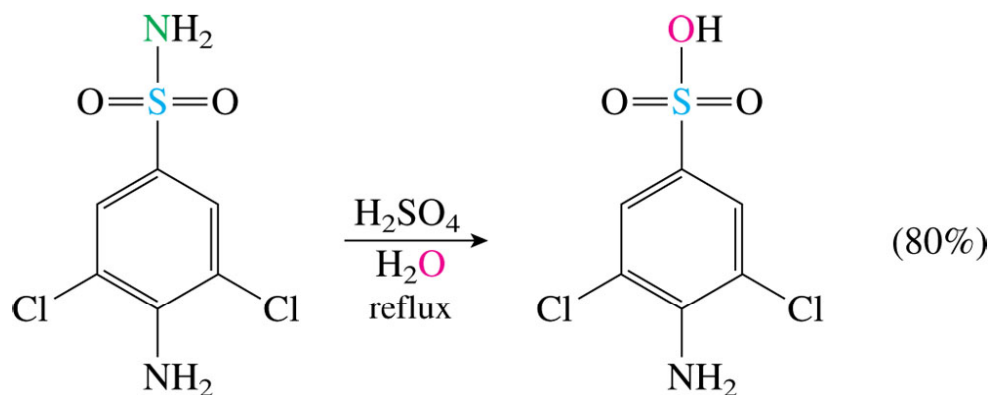
A toluenesulfonate ester

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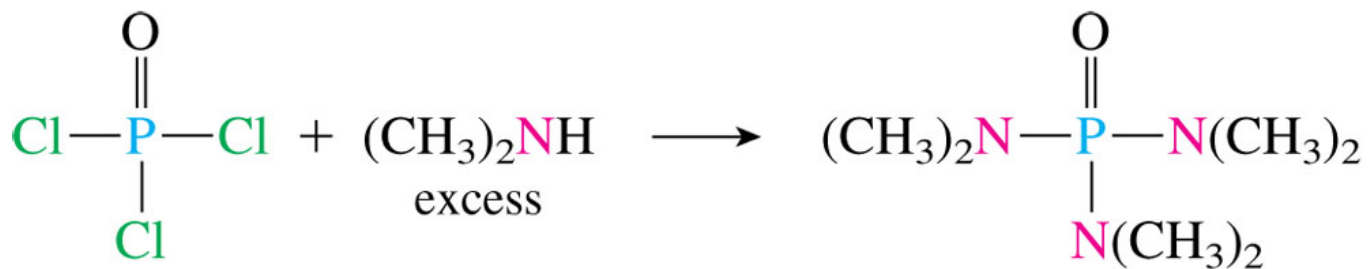
p-Aminobenzenesulfonamide
(sulfanilamide)

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(80%)

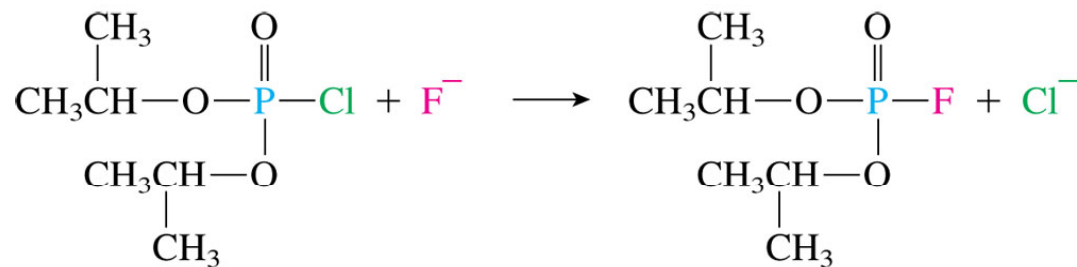
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Phosphorus
oxychloride

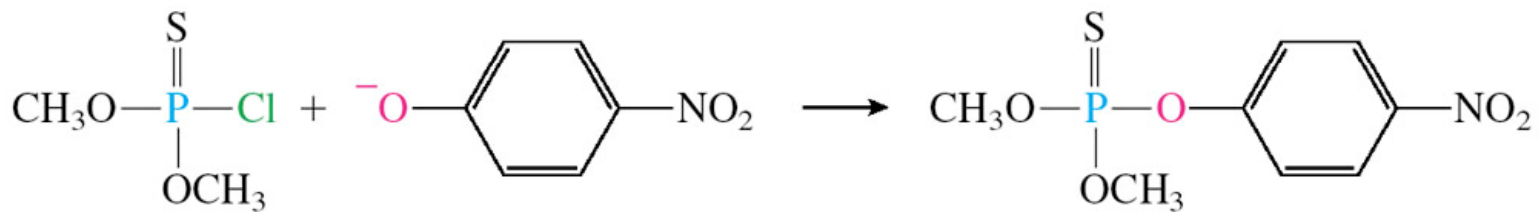
Hexamethylphosphoric triamide
(HMPA)

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Diisopropylphosphorofluoridate
(DFP)

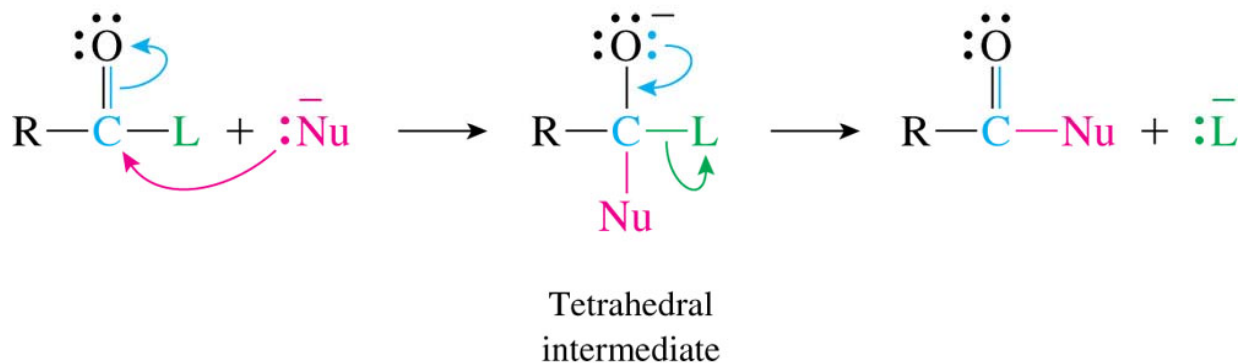
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Methyl parathion

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Summary



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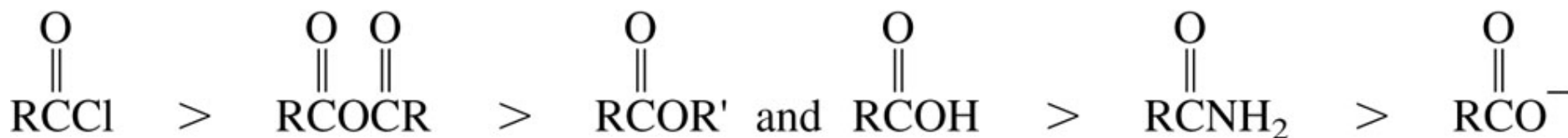
Resonance effects: Electron donors slow the reaction; withdrawers accelerate it

Inductive effects: Electron withdrawers accelerate the reaction

Steric effects: Steric hindrance slows the approach of the nucleophiles

Most reactive

Least reactive



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Reaction	Comment
$\text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{SOCl}_2 \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{CCl}$ <p style="text-align: center;">(PCl₃ or PCl₅)</p>	<p>Section 19.2 Preparation of acyl chlorides. Acyl chlorides are commonly used to prepare other carboxylic acid derivatives.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{R}'\overset{\text{O}}{\parallel}\text{COCl} \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{CO}\overset{\text{O}}{\parallel}\text{CR}'$	<p>Section 19.3 Preparation of anhydrides.</p>
$2 \text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{CH}_3\overset{\text{O}}{\parallel}\text{COCCH}_3 \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{CO}\overset{\text{O}}{\parallel}\text{CR} + 2 \text{CH}_3\overset{\text{O}}{\parallel}\text{COH}$	<p>Section 19.3 Preparation of anhydrides by exchange.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{CCl} + \text{R}'\text{OH} \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{COR}'$ <p style="text-align: center;">or</p> $\text{R}\overset{\text{O}}{\parallel}\text{CO}\overset{\text{O}}{\parallel}\text{CR}'$	<p>Section 19.4 Preparation of esters.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{R}'\text{OH} \xrightarrow{\text{HA}} \text{R}\overset{\text{O}}{\parallel}\text{COR}'$	<p>Section 19.4 Preparation of esters by Fischer esterification. The equilibrium must be driven to favor the ester. Requires an acid catalyst.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{CCl} + \text{H}_2\text{O} \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{COH}$ <p style="text-align: center;">or</p> $\text{R}\overset{\text{O}}{\parallel}\text{CO}\overset{\text{O}}{\parallel}\text{CR}$	<p>Section 19.5 Hydrolysis of acyl chlorides and anhydrides. These derivatives must be protected from water to avoid these reactions.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{COR}' + \text{H}_2\text{O} \xrightarrow[\text{OH}^-]{\text{H}^+} \text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{R}'\text{OH}$	<p>Section 19.5 Hydrolysis of esters. Base is most commonly used in the process known as saponification.</p>
$\text{R}\overset{\text{O}}{\parallel}\text{CNH}_2 + \text{H}_2\text{O} \xrightarrow[\text{OH}^-]{\text{H}^+} \text{R}\overset{\text{O}}{\parallel}\text{COH} + \text{NH}_3$	<p>Section 19.5 Hydrolysis of amides. This reaction can be accomplished by using either acid or base.</p>
$\text{RC}\equiv\text{N} + \text{H}_2\text{O} \xrightarrow[\text{OH}^-]{\text{H}^+} \text{R}\overset{\text{O}}{\parallel}\text{CNH}_2 \longrightarrow \text{R}\overset{\text{O}}{\parallel}\text{COH}$	<p>Section 19.5 Hydrolysis of nitriles. This reaction can be stopped at the amide or carried to the carboxylic acid.</p>
$\text{R-L} + \text{CN}^- \longrightarrow \text{RCN}$	<p>The preparation of nitriles by S_N2 reactions combined with hydrolysis of nitriles provides a carboxylic acid preparation.</p>

Reaction	Comment
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCCl} \end{array} + \text{R}'\text{NH}_2 \longrightarrow \begin{array}{c} \text{O} \\ \parallel \\ \text{RCNHR}' \end{array}$ <p>or</p> $\begin{array}{c} \text{O} \quad \text{O} \\ \parallel \quad \parallel \\ \text{RCOCR} \end{array}$	<p>Section 19.6 Preparation of amides.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCOR}' \\ \text{or} \\ \text{RCOH} \end{array} \xrightarrow[2) \text{H}_3\text{O}^+]{1) \text{LiAlH}_4} \text{RCH}_2\text{OH}$	<p>Section 19.7 Reduction of esters or acids to alcohols.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCNHR}' \end{array} \xrightarrow[2) \text{H}_2\text{O}]{1) \text{LiAlH}_4} \text{RCH}_2\text{NHR}'$	<p>Section 19.7 Reduction of amides to amines.</p>
$\text{RC}\equiv\text{N} \xrightarrow[2) \text{H}_2\text{O}]{1) \text{LiAlH}_4} \text{RCH}_2\text{NH}_2$	<p>Section 19.8 Reduction of nitriles to primary amines.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCCl} \end{array} \xrightarrow[-78^\circ\text{C}]{\text{LiAlH}(\text{O}t\text{-Bu})_3} \begin{array}{c} \text{O} \\ \parallel \\ \text{RCH} \end{array}$	<p>Section 19.8 Reduction of acyl chlorides to aldehydes.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCOR}' \end{array} \xrightarrow[2) \text{H}_3\text{O}^+]{1) \text{DIBALH}, -78^\circ\text{C}} \begin{array}{c} \text{O} \\ \parallel \\ \text{RCH} \end{array}$	<p>Section 19.8 Reduction of esters to aldehydes.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCOR}' \end{array} \xrightarrow[2) \text{H}_3\text{O}^+]{1) 2 \text{R}''\text{MgX}} \begin{array}{c} \text{OH} \\ \\ \text{R}-\text{C}-\text{R}'' \\ \\ \text{R}'' \end{array}$	<p>Section 19.9 Preparation of alcohols from esters.</p>
$\begin{array}{c} \text{O} \\ \parallel \\ \text{RCCl} \end{array} \xrightarrow{\text{R}'_2\text{CuLi}} \begin{array}{c} \text{O} \\ \parallel \\ \text{RCR}' \end{array}$	<p>Section 19.10 Preparation of ketones from acyl chlorides.</p>
$\text{RC}\equiv\text{N} \xrightarrow[2) \text{H}_3\text{O}^+]{1) \text{R}'\text{MgX}} \begin{array}{c} \text{O} \\ \parallel \\ \text{RCR}' \end{array}$	<p>Section 19.10 Preparation of ketones from nitriles.</p>