

**2017 Spring**

**“Calculation and Applications Phase Equilibria”**

# **Principles *of* Solidification**

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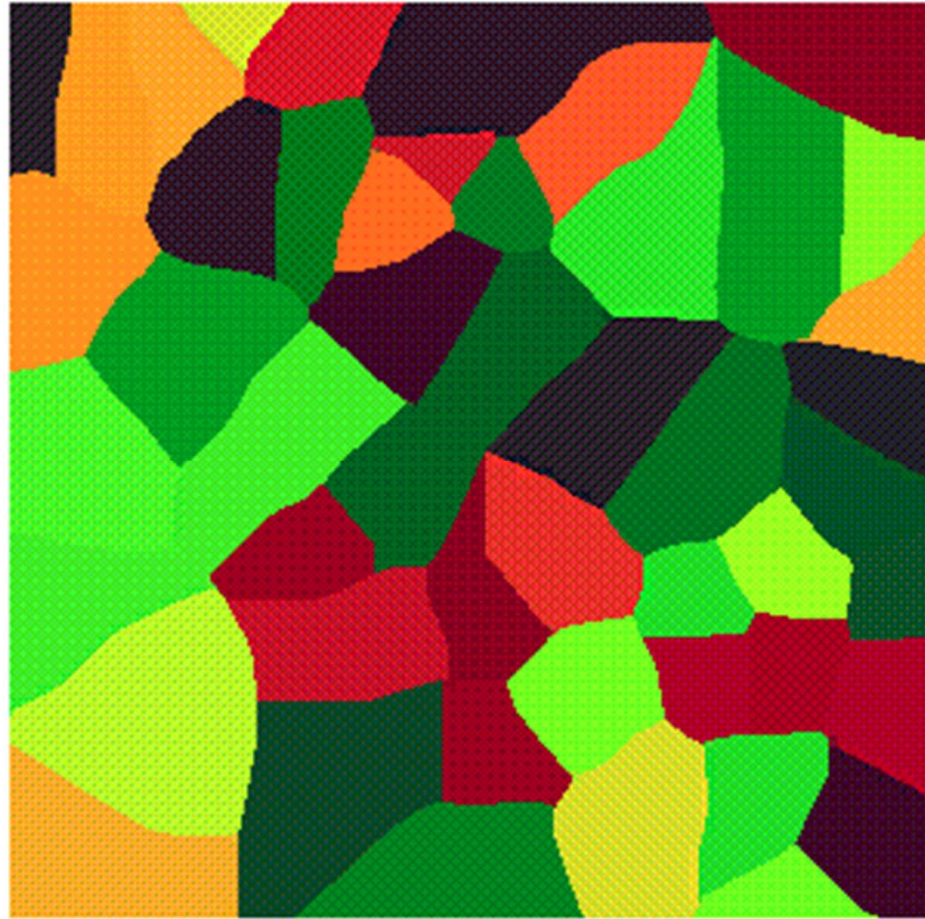
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# Chapter 1 Introduction of Solidification

Melting and Crystallization are **Thermodynamic Transitions**

**Solidification:**      **Liquid**       $\longrightarrow$       **Solid**



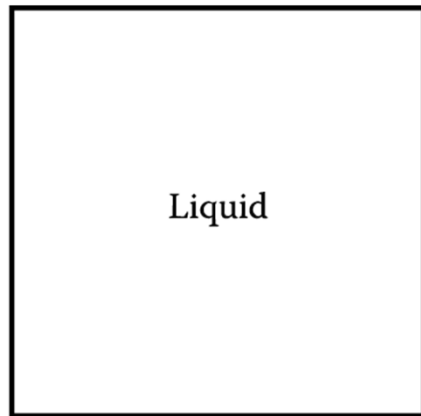
**4 Fold Anisotropic Surface Energy/2 Fold Kinetics, Many Seeds**

## **Thermodynamic Transitions: $\Delta G = 0$**

**1)  $G_L$  versus  $G_S$**

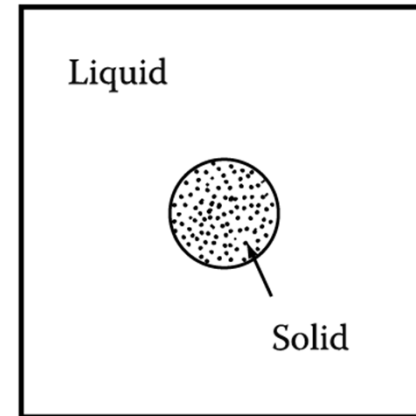
**2) Interfacial free energy**

# 1) Homogeneous Nucleation



(a)  $G_1$

$$G_1 = (V_S + V_L)G_V^L$$



(b)  $G_2 = G_1 + \Delta G$

$$G_2 = V_S G_V^S + V_L G_V^L + A_{SL} \gamma_{SL}$$

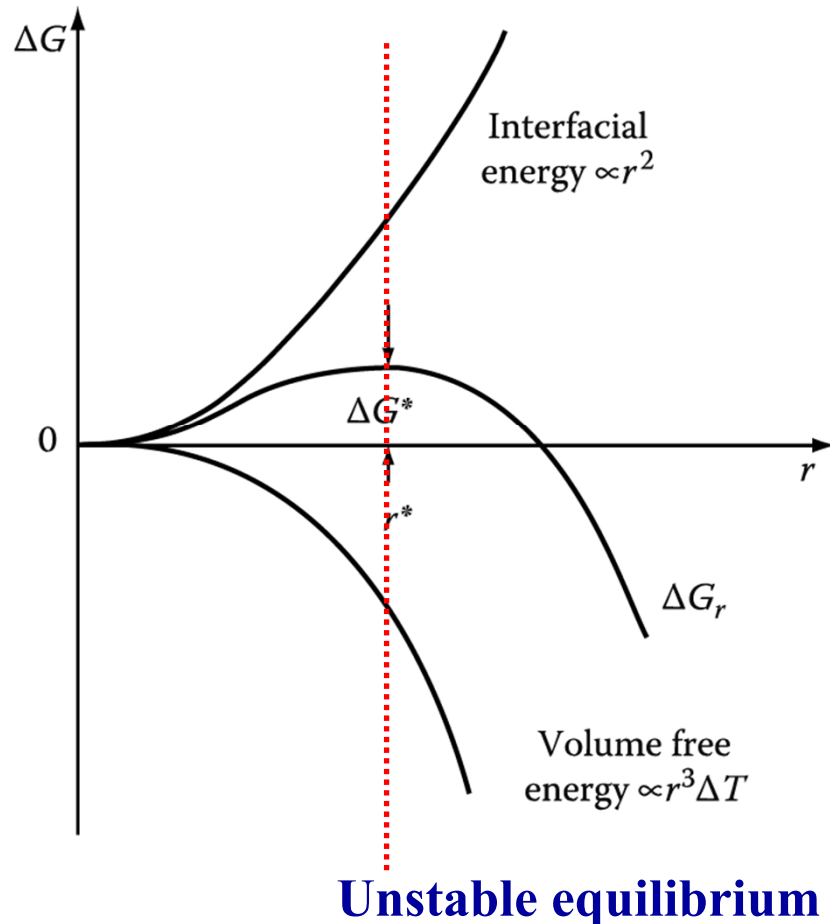
$G_V^S, G_V^L$ : free energies per unit volume

$$\Delta G = G_2 - G_1 = -V_S (G_V^L - G_V^S) + A_{SL} \gamma_{SL}$$

for spherical nuclei (isotropic) of radius :  $r$

$$\Delta G_r = -\frac{4}{3} \pi r^3 \Delta G_V + 4\pi r^2 \gamma_{SL}$$

# 1) Homogeneous Nucleation



Why  $r^*$  is not defined by  $\Delta G_r = 0$ ?

$r < r^*$  : unstable (lower free E by reduce size)

$r > r^*$  : stable (lower free E by increase size)

$r^*$  : critical nucleus size

$$r^* \longrightarrow dG=0$$

Fig. 4.2 The free energy change associated with homogeneous nucleation of a sphere of radius  $r$ .

# 1.8 Thermodynamic Criteria for Equilibrium (at $T_m$ )

## 2) Driving force for solidification

$$G^L = H^L - TS^L$$

$$G^S = H^S - TS^S$$

$$\Delta G = \Delta H - T \Delta S$$

$$L : \Delta H = H^L - H^S$$

(Latent heat)

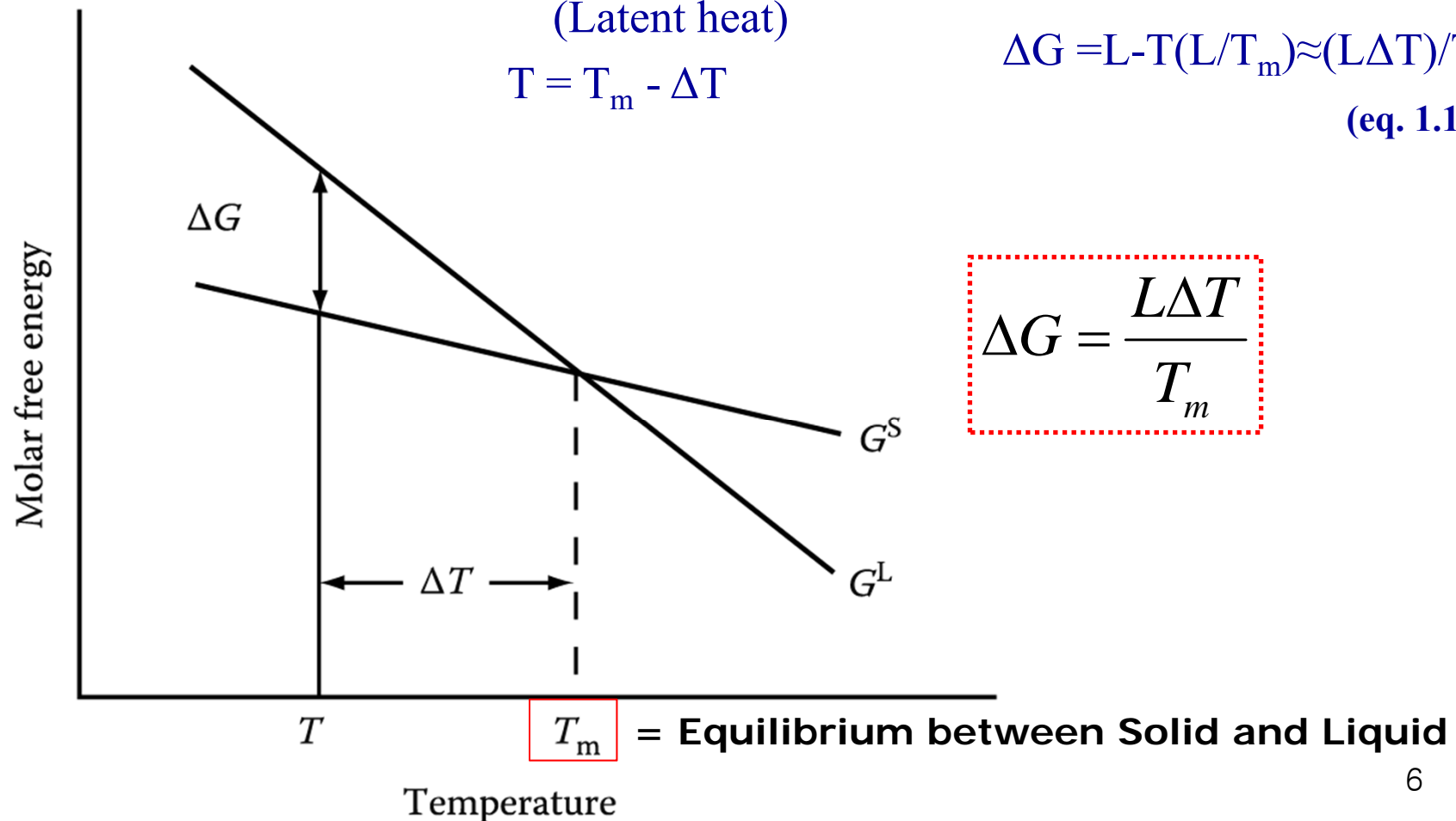
$$T = T_m - \Delta T$$

$$\Delta G = 0 = \Delta H - T_m \Delta S$$

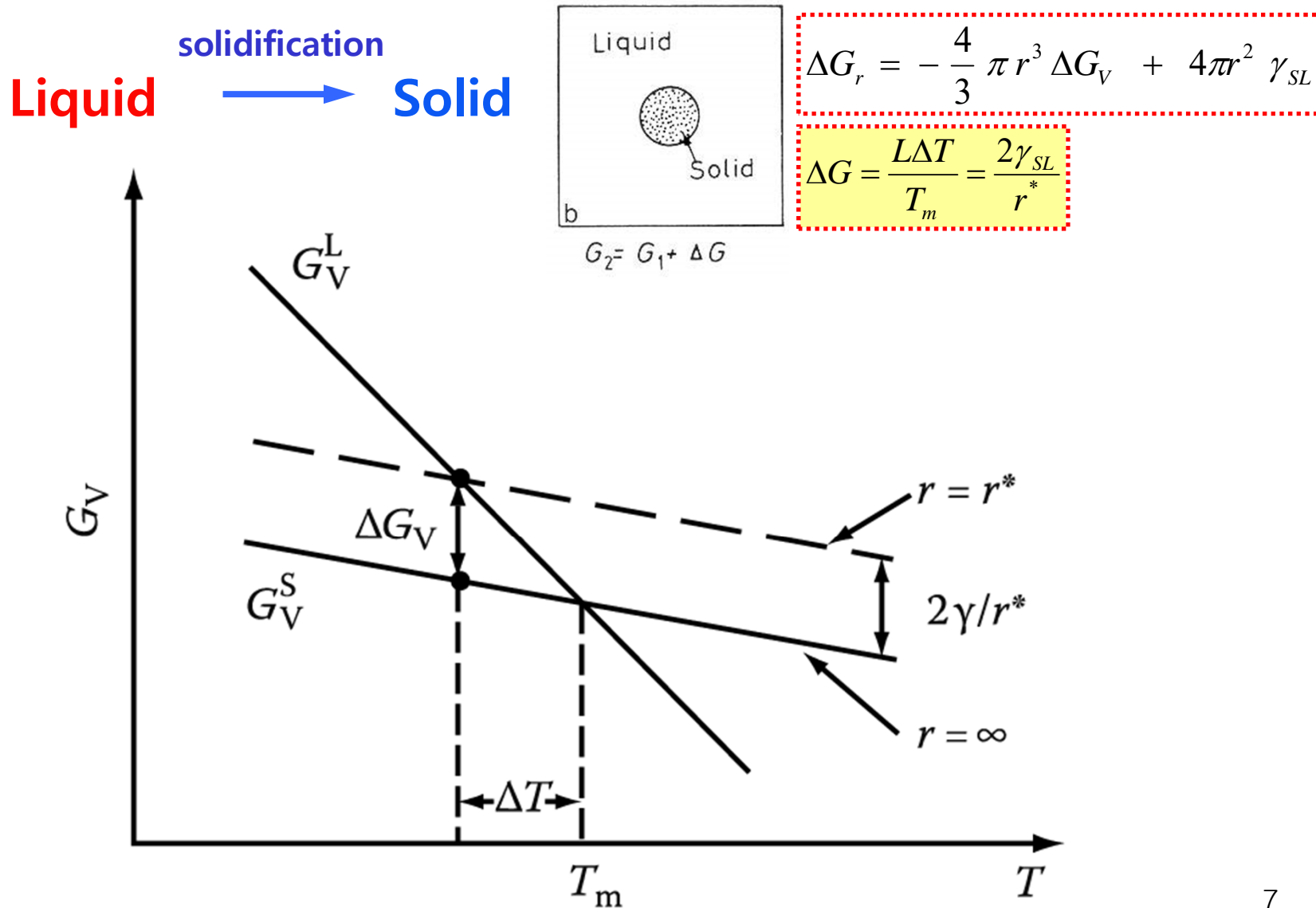
$$\Delta S = \Delta H / T_m = L / T_m$$

$$\Delta G = L - T(L/T_m) \approx (L\Delta T) / T_m$$

(eq. 1.17)



## 2) Driving force for solidification

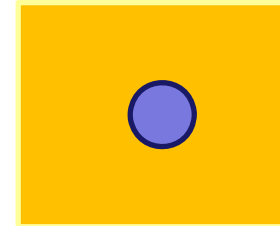


# Melting and Crystallization are Thermodynamic Transitions

Solidification: **Liquid** → **Solid**

<Thermodynamic>

• Interfacial energy →  $\Delta T_N$





### 3) Nucleation of melting

Although nucleation during solidification usually requires some undercooling, melting invariably occurs at the equilibrium melting temperature even at relatively high rates of heating.

Why?

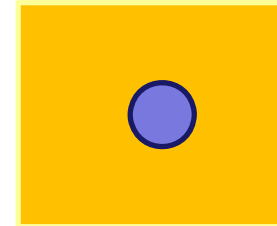
$$\gamma_{SL} + \gamma_{LV} < \gamma_{SV} \quad (\text{commonly})$$



In general, wetting angle = 0  $\rightarrow$  No superheating required!

# Melting and Crystallization are Thermodynamic Transitions

**Solidification:** Liquid  $\rightarrow$  Solid



<Thermodynamic>

• Interfacial energy  $\Rightarrow \Delta T_N$



**No superheating required!**

• Interfacial energy  $\Rightarrow$  **No  $\Delta T_N$**

$$\gamma_{SL} + \gamma_{LV} < \gamma_{SV}$$

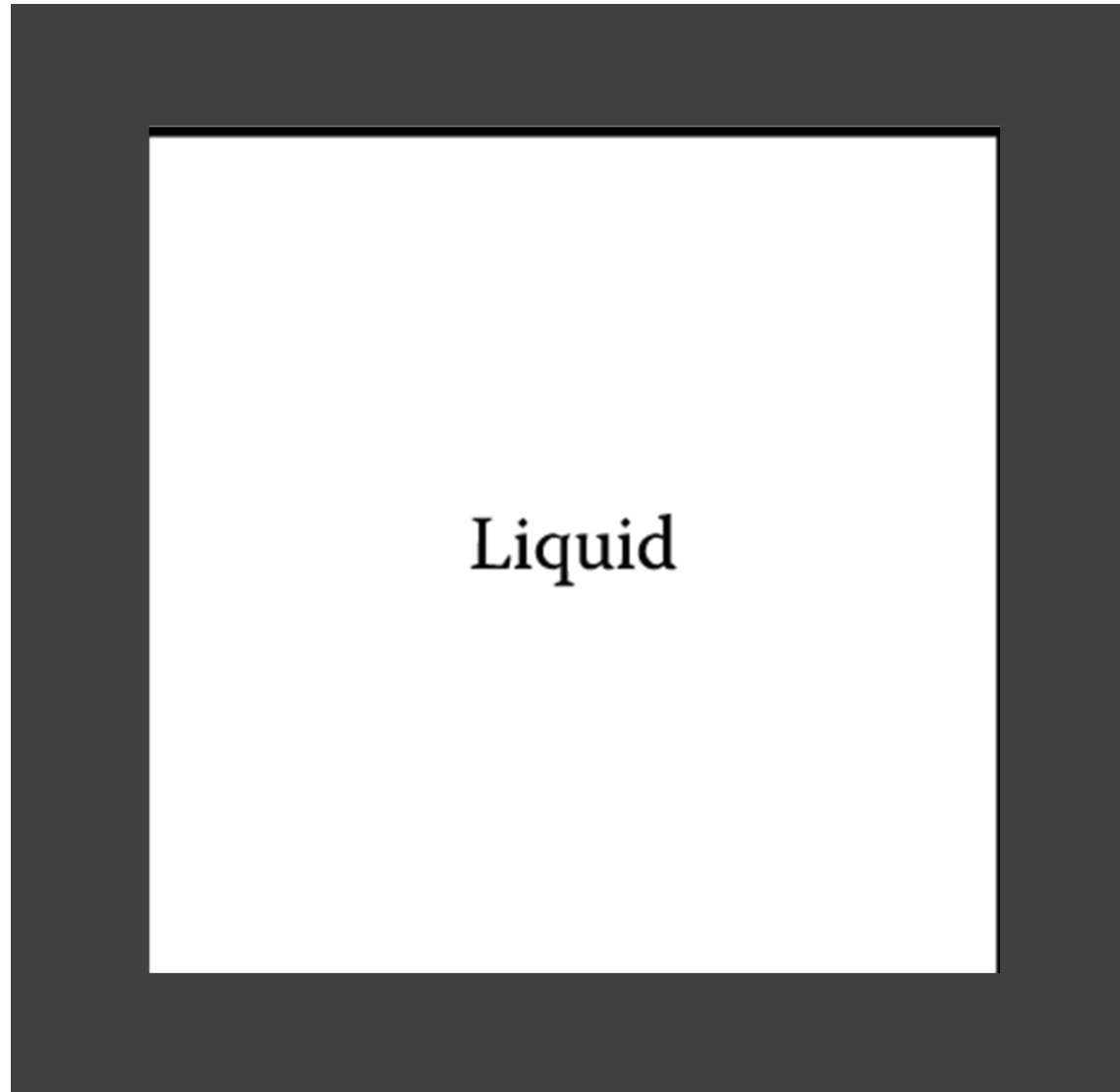
vapor



**Melting:** Liquid  $\leftarrow$  Solid

Incentive Homework 1:  
Example of Superheating (PPT 3 pages)

## 2) Change of interfacial free energy → **Heterogeneous Nucleation**



# Solidification: Liquid $\longrightarrow$ Solid

- Nucleation in Pure Metals
- Homogeneous Nucleation

$$r^* = \frac{2\gamma_{SL}}{\Delta G_V} \quad \Delta G^* = \frac{16\pi\gamma_{SL}^3}{3(\Delta G_V)^2} = \left( \frac{16\pi\gamma_{SL}^3 T_m^2}{3L_V^2} \right) \frac{1}{(\Delta T)^2}$$

$r^*$  &  $\Delta G^*$   $\downarrow$  as  $\Delta T \uparrow$

$$N_{\text{hom}} \approx f_0 C_0 \exp\left\{-\frac{A}{(\Delta T)^2}\right\} \sim \frac{1}{\Delta T^2}$$

- Heterogeneous Nucleation

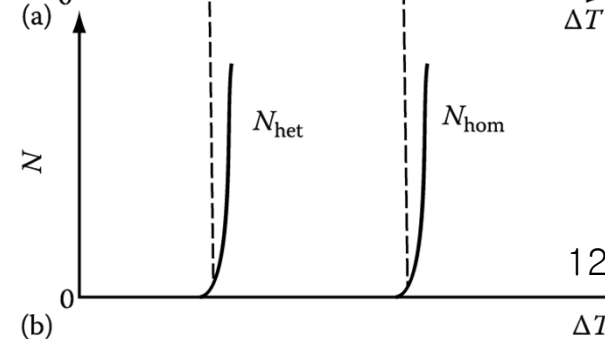
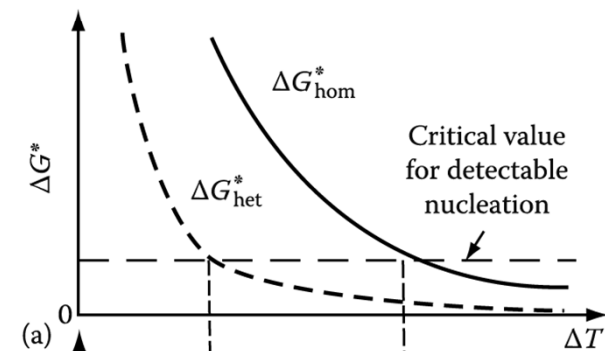
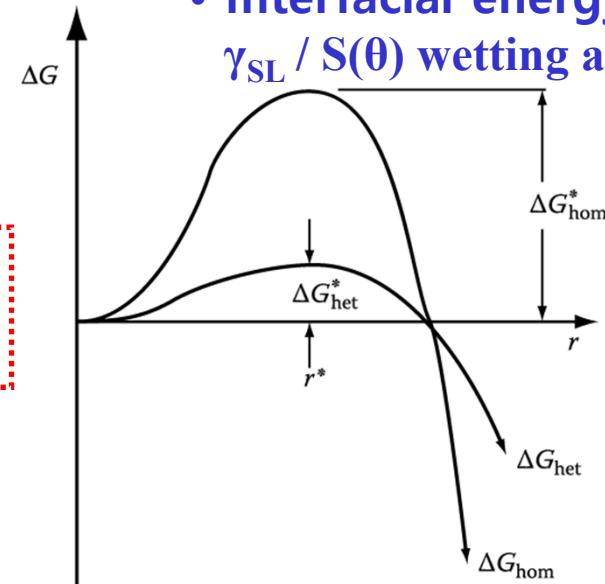
$$\Delta G_{\text{het}}^* = S(\theta)\Delta G_{\text{hom}}^*$$

$$\frac{V_A}{V_A + V_B} = \frac{2 - 3\cos\theta + \cos^3\theta}{4} = S(\theta)$$

- Nucleation of melting

$$\gamma_{SL} + \gamma_{LV} < \gamma_{SV} \quad (\text{commonly})$$

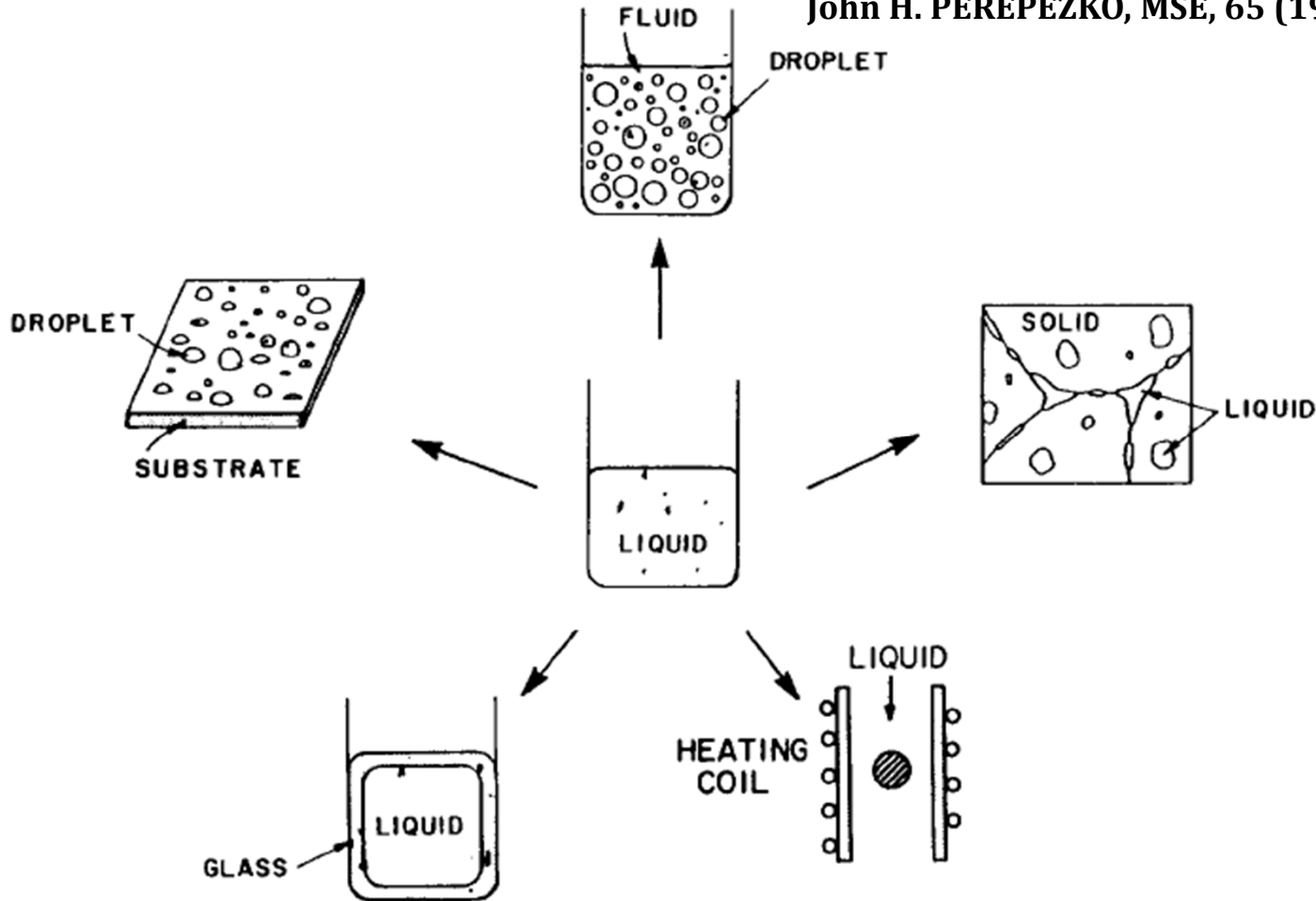
- Undercooling  $\Delta T$
- Interfacial energy  $\gamma_{SL} / S(\theta)$  wetting angle



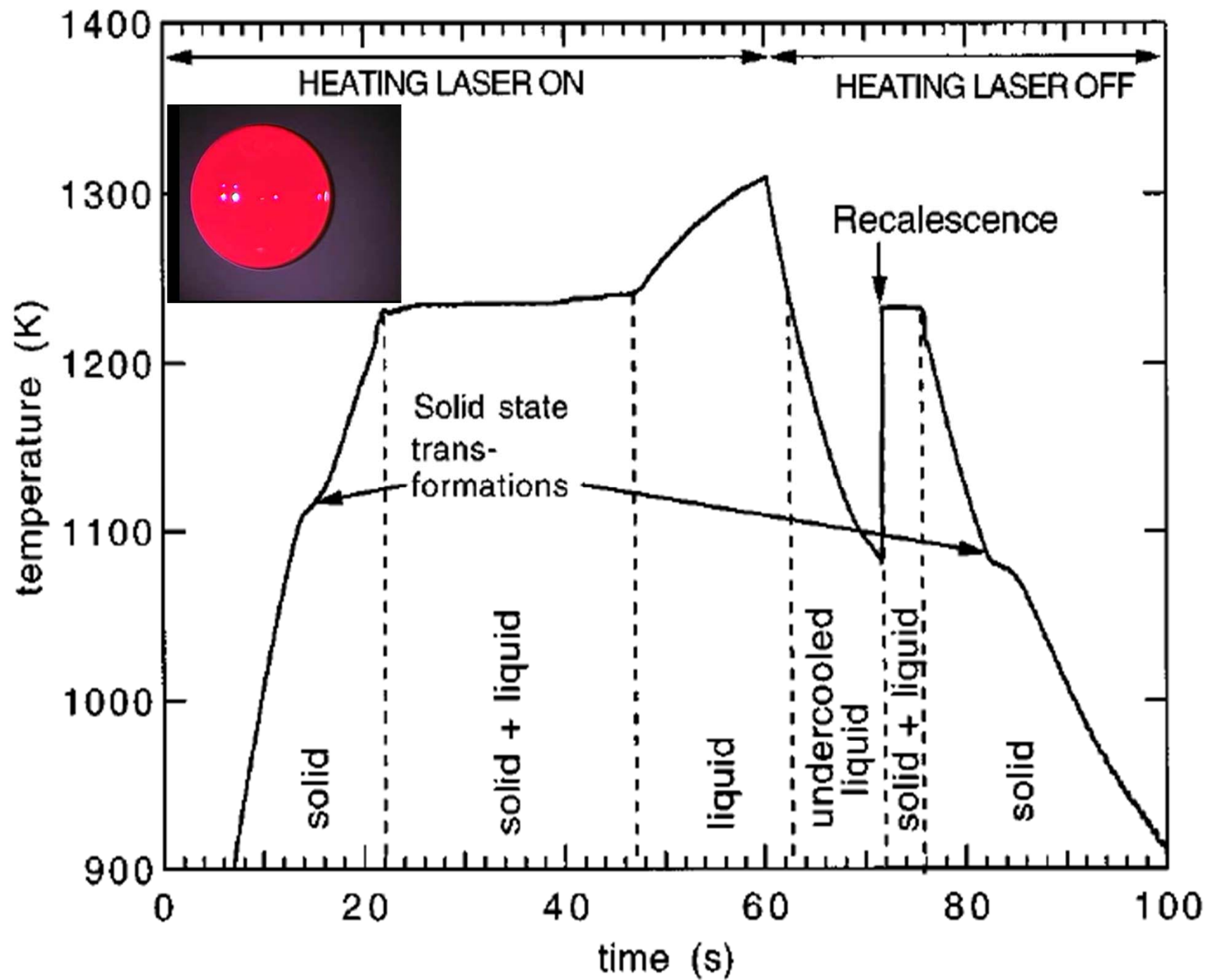
**What is the meaning for the  $\Delta T$  (undercooling)  
during solidification?**

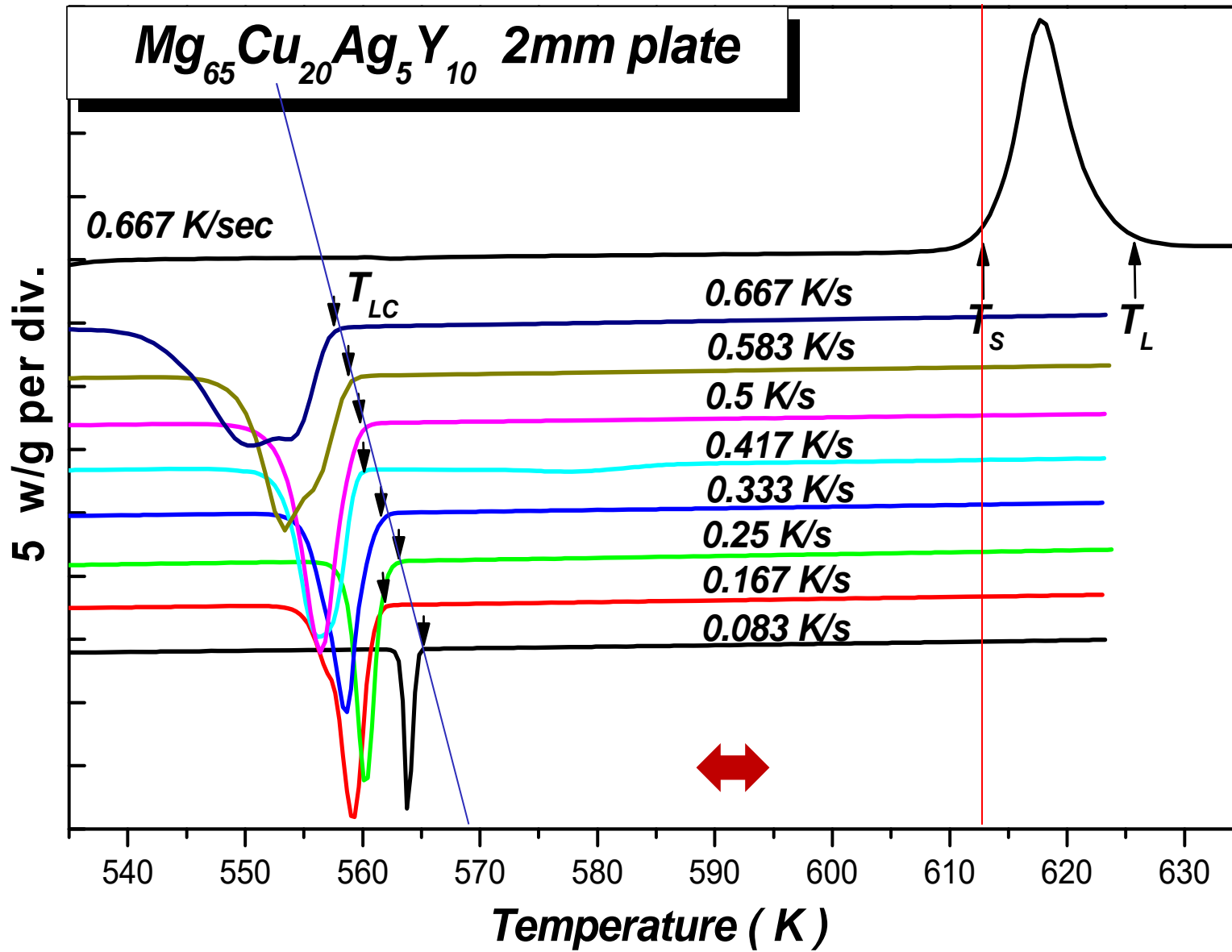
# How to obtain large undercooling during cooling?

John H. PEREPEZKO, MSE, 65 (1984) 125-135



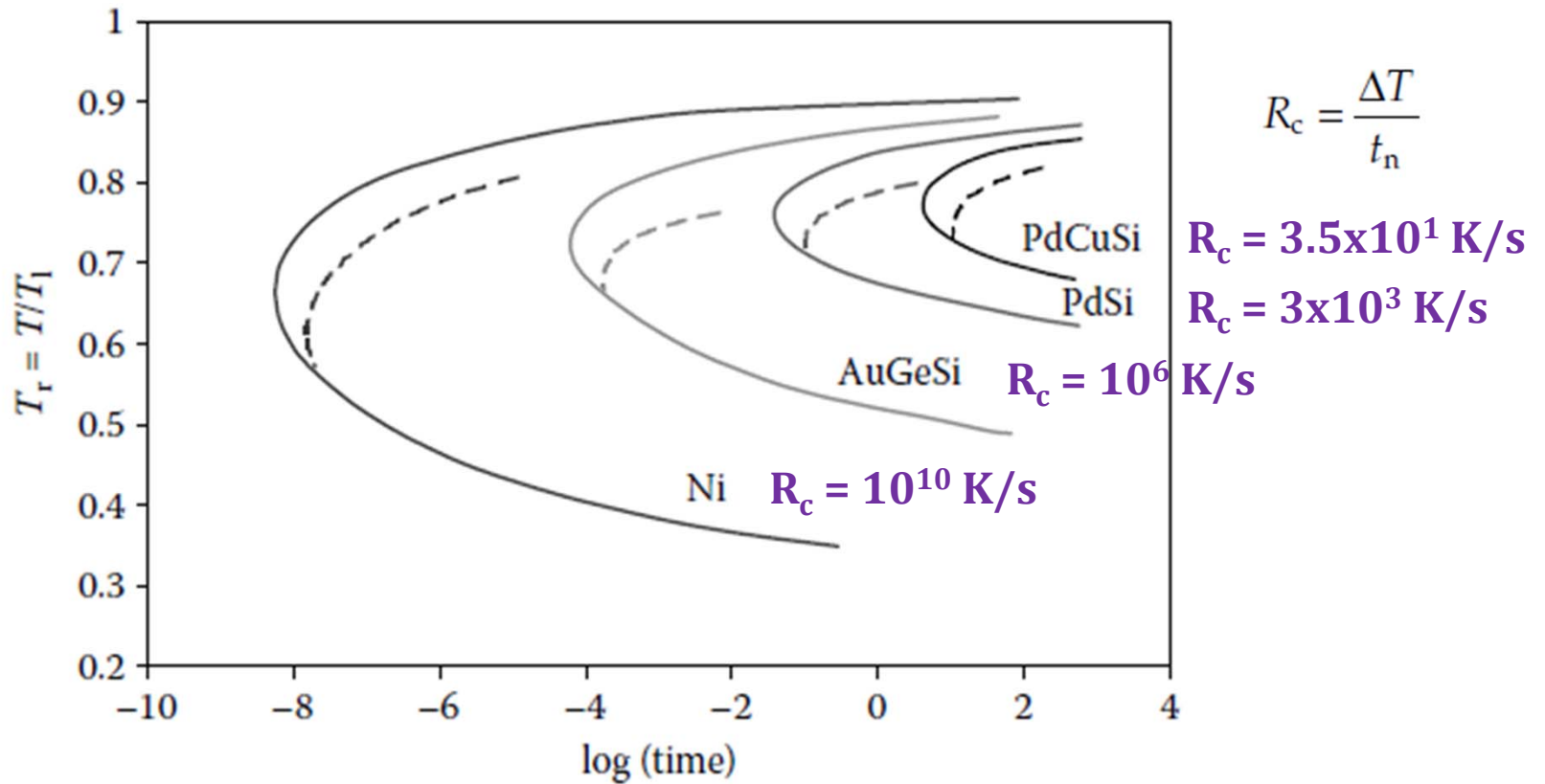
By dispersing a liquid into a large number of small droplets within a suitable medium, the catalytic effects of active nucleants may be restricted to a small fraction of the droplets so that many droplets will exhibit extensive undercooling.







# TTT versus CCT



**FIGURE 1**

Time-temperature-transformation ( $T$ - $T$ - $T$ ) curves (solid lines) and the corresponding continuous cooling transformation curves (dashed lines) for the formation of a small volume fraction for pure metal Ni, and  $\text{Au}_{78}\text{Ge}_{14}\text{Si}_8$ ,  $\text{Pd}_{82}\text{Si}_{18}$ , and  $\text{Pd}_{78}\text{Cu}_6\text{Si}_{16}$  alloys.

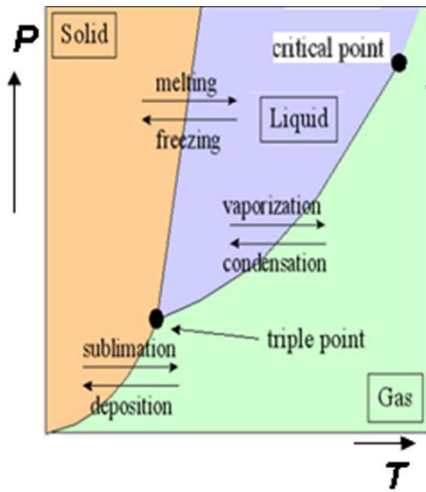
$T_{rg}$

1/4

1/2

2/3

**How to classify thermodynamic transition?**



# The First-Order Transitions

Latent heat  
Energy barrier  
Discontinuous entropy, heat capacity

- First Order Phase Transition at  $T_T$ :

- $G$  is **continuous** at  $T_T$
- First derivatives of  $G$  ( $V, S, H$ ) are **discontinuous** at  $T_T$

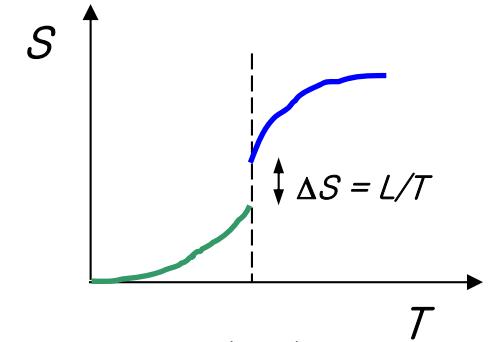
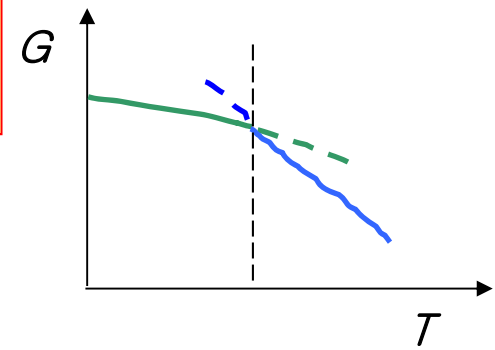
$$V = \left(\frac{\partial G}{\partial P}\right)_T \quad S = -\left(\frac{\partial G}{\partial T}\right)_P \quad H = G - T\left(\frac{\partial G}{\partial T}\right)_P$$

- Second derivatives of  $G$  ( $\alpha, \beta, C_p$ ) are **discontinuous** at  $T_T$

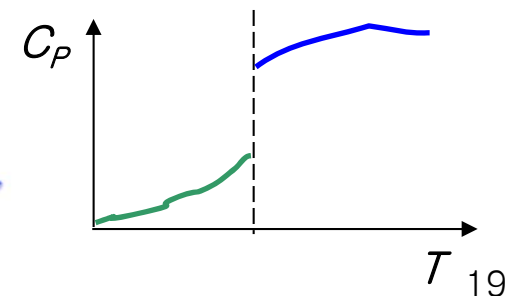
$$C_P = \left(\frac{\partial H}{\partial T}\right)_P \quad \alpha = \frac{1}{V}\left(\frac{\partial V}{\partial T}\right)_P \quad \beta = \frac{-1}{V}\left(\frac{\partial V}{\partial P}\right)_T$$

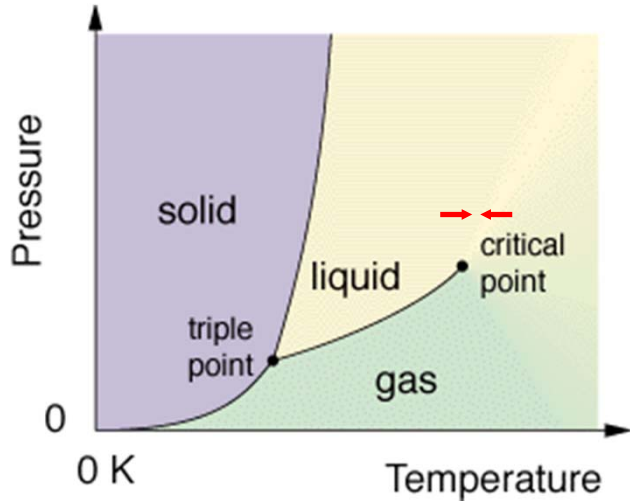
Heat capacity at constant P or V      Coefficient of Thermal expansion      Compressibility at constant T or S

- **Examples:** Vaporization, Condensation, Fusion, Crystallization, Sublimation.



$$C_P = T\left(\frac{\partial S}{\partial T}\right)_{P,N}$$

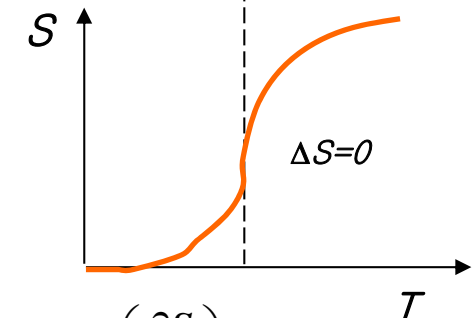
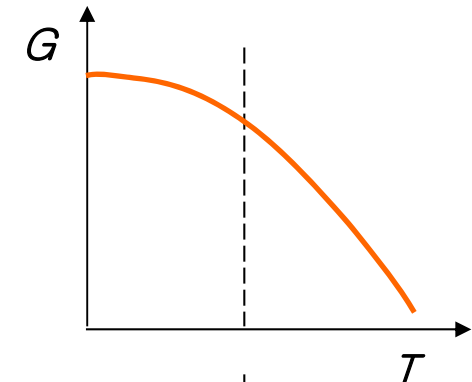




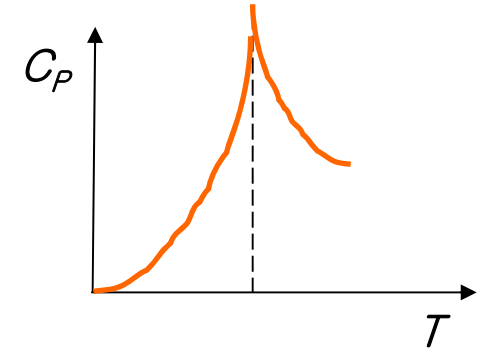
# The Second Order Transition

No Latent heat  
Continuous entropy

Second-order transition



$$C_p = T \left( \frac{\partial S}{\partial T} \right)_{P,N} \rightarrow \infty$$



• Second Order Phase Transition at  $T_T$ :

- $G$  is continuous at  $T_T$
- First derivatives of  $G$  ( $V, S, H$ ) are continuous at  $T_T$

$$V = \left( \frac{\partial G}{\partial P} \right)_T \quad S = - \left( \frac{\partial G}{\partial T} \right)_P \quad H = G - T \left( \frac{\partial G}{\partial T} \right)_P$$

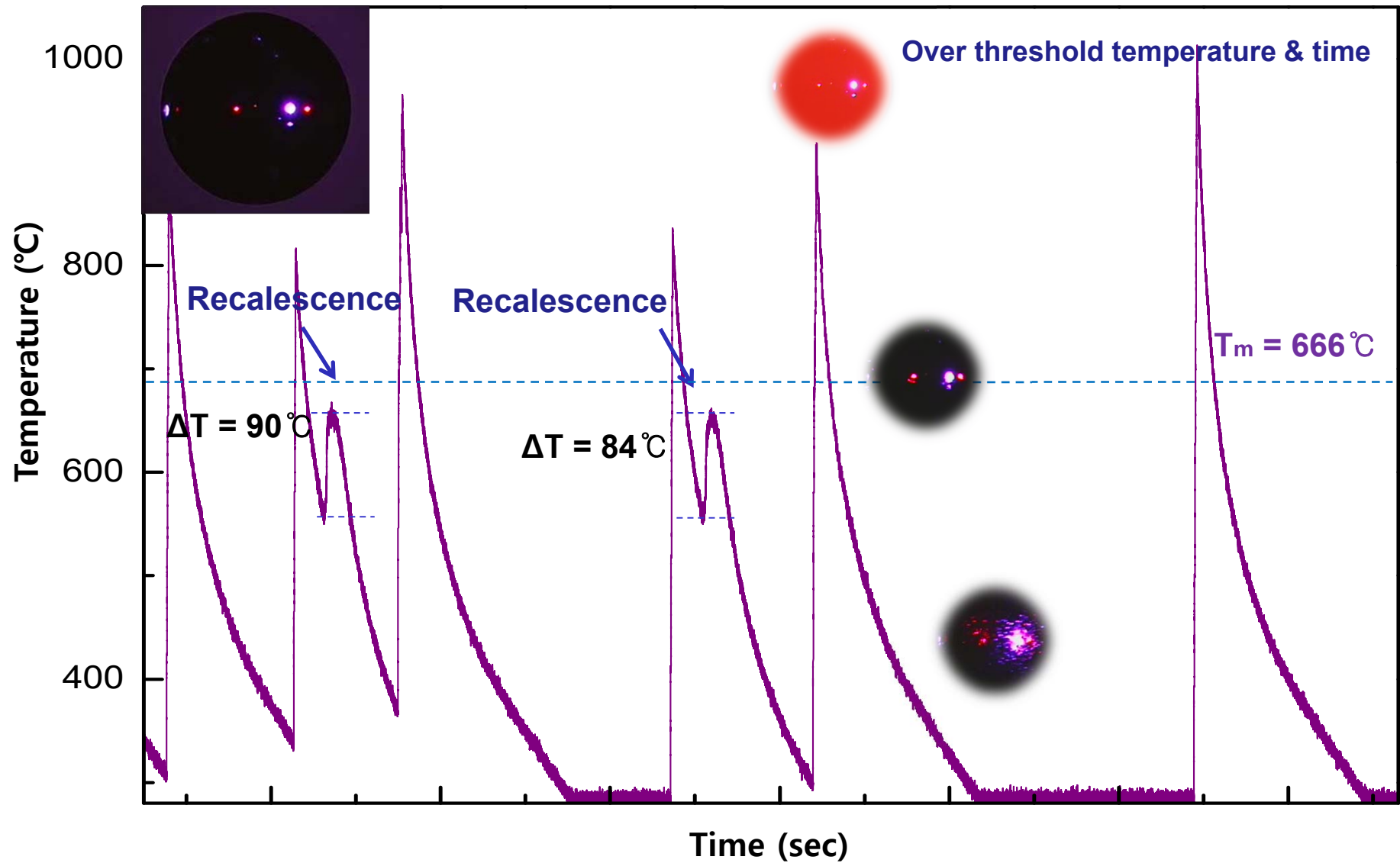
- Second derivatives of  $G$  ( $\alpha, \beta, C_p$ ) are discontinuous at  $T_T$

$$C_p = \left( \frac{\partial H}{\partial T} \right)_P \quad \alpha = \frac{1}{V} \left( \frac{\partial V}{\partial T} \right)_P \quad \beta = \frac{-1}{V} \left( \frac{\partial V}{\partial P} \right)_T$$

- **Examples:** Order-Disorder Transitions in Metal Alloys, Onset of Ferromagnetism, Ferroelectricity, Superconductivity.

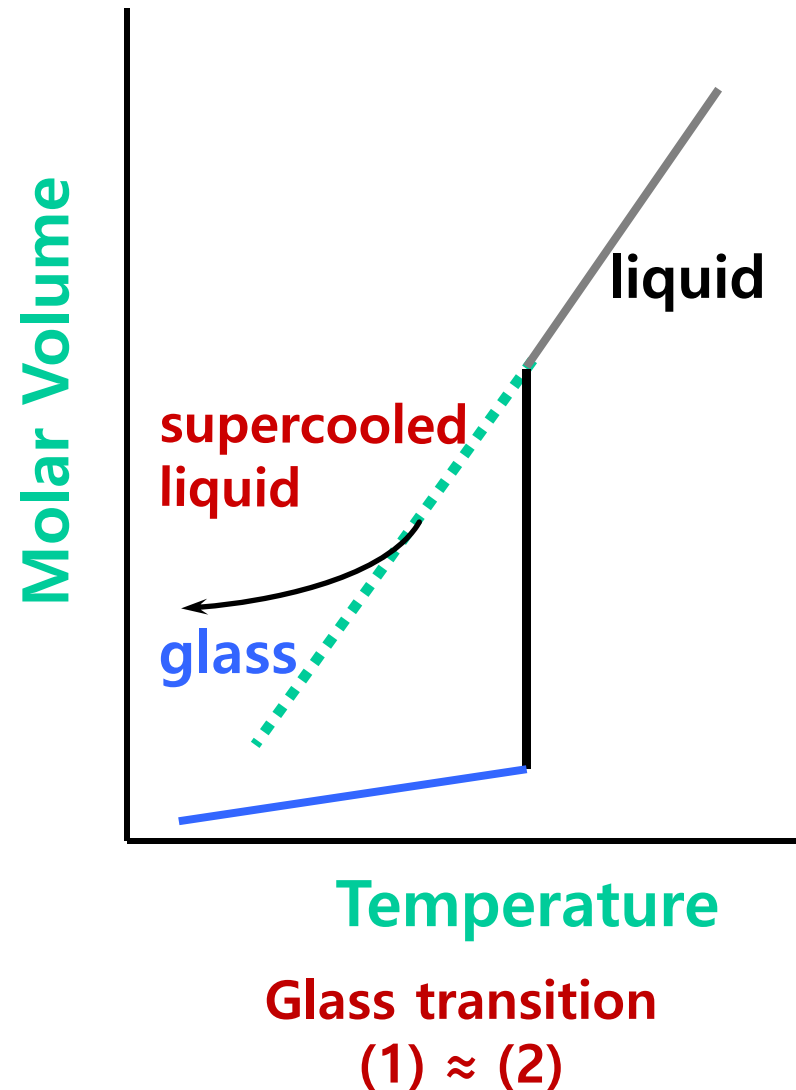
**How to obtain kinetic transition?**

# Cyclic Cooling Curves of $Zr_{41.2}Ti_{13.8}Cu_{12.5}Ni_{10}Be_{22.5}$ (VIT 1)



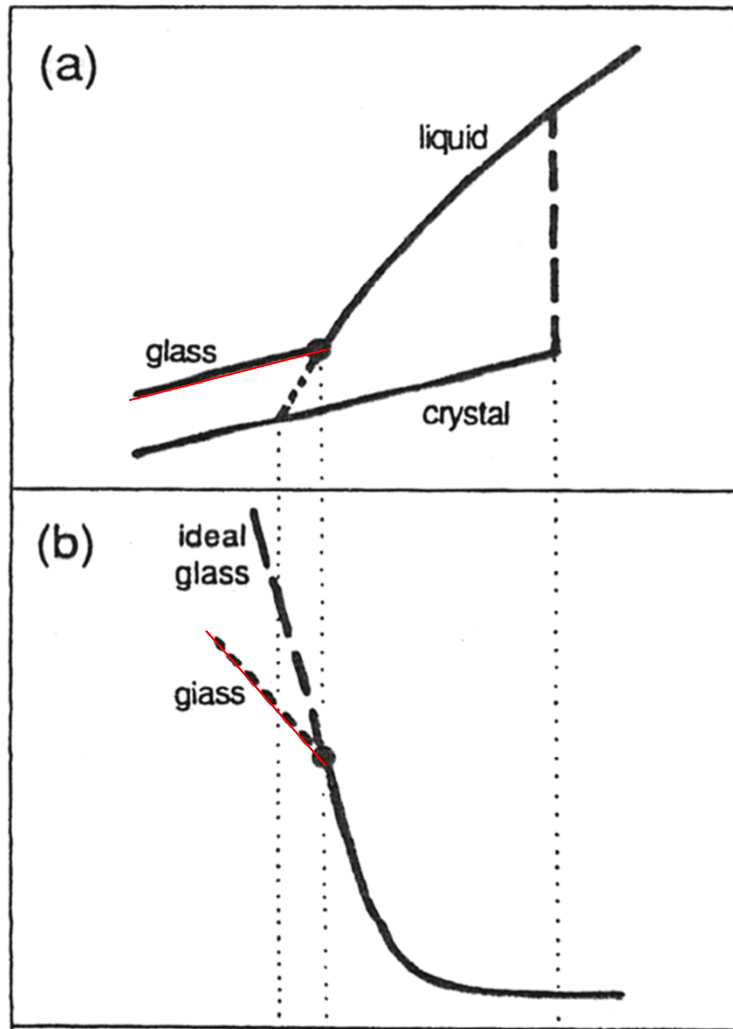
# Glass Formation is Controlled by **Kinetics**

- Glass-forming liquids are those that are able to **“by-pass” the melting point,  $T_m$**
- Liquid may have a **“high viscosity”** that makes it difficult for atoms of the liquid to diffuse (rearrange) into the crystalline structure
- Liquid maybe cooled so fast that it does **not have enough time to crystallize**
- Two time scales are present
  - (1) **“Internal” time scale** controlled by the viscosity (bonding) of the liquid for atom/molecule arrangement
  - (2) **“External” timescale** controlled by the cooling rate of the liquid



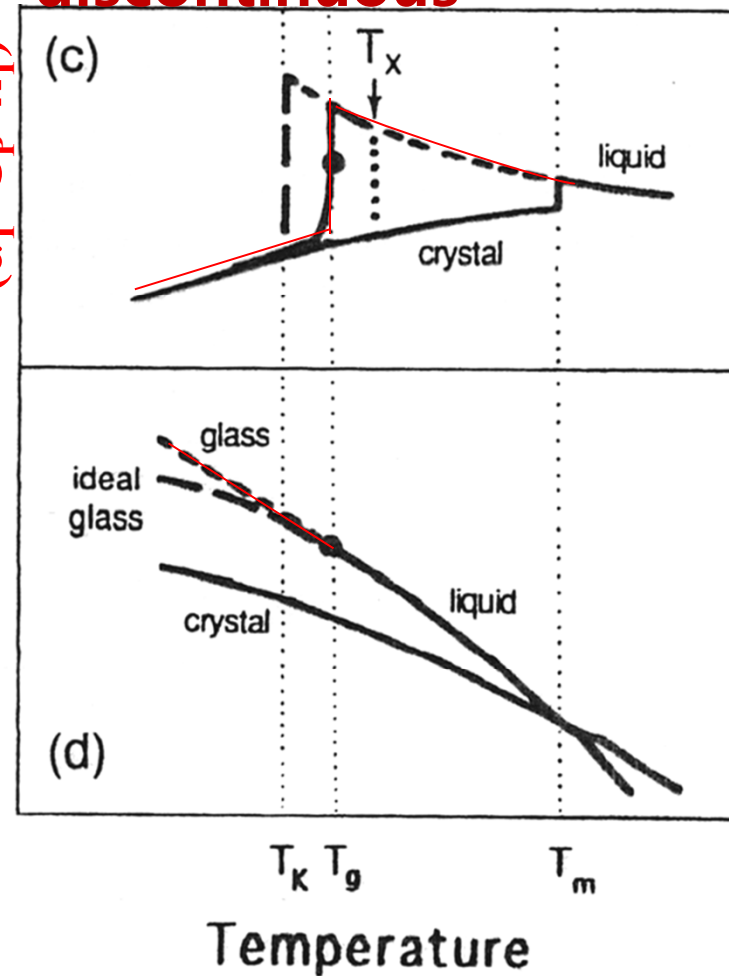
Entropy ( $V, S, H$ )

continuous



discontinuous

Specific heat  
( $\alpha_T, C_P, \kappa_T$ )



Schematic of the glass transition showing the effects of temperature on the entropy, viscosity, specific heat, and free energy.  $T_x$  is the crystallization onset temperature.



## Chapter 1 Introduction of Solidification

Melting and Crystallization are **Thermodynamic Transitions**



Glass transition is **kinetic Transitions**

# < Thermal map >

