

# Module #19: Graph Theory

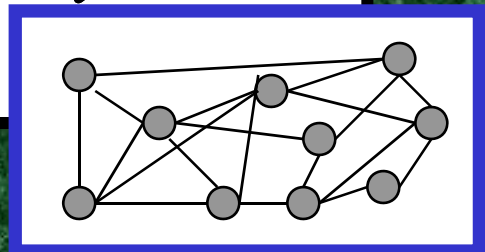
Rosen 5<sup>th</sup> ed., chs. 8-9  
~44 slides (more later), ~3 lectures

# What are Graphs?



**Not Our  
Meaning**

- General meaning in everyday math:  
*A plot or chart of numerical data using a coordinate system.*
- Technical meaning in discrete mathematics:  
*A particular class of discrete structures (to be defined) that is useful for representing relations and has a convenient webby-looking graphical representation.*



# Applications of Graphs

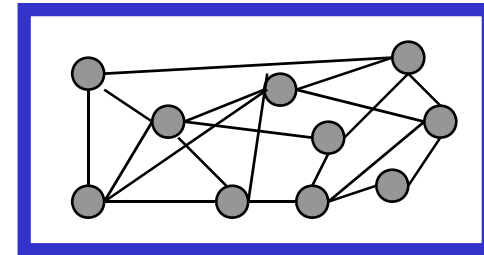
- Potentially anything (graphs can represent relations, relations can describe the extension of any predicate).
- Apps in networking, scheduling, flow optimization, circuit design, path planning.
- Geneology analysis, computer game-playing, program compilation, object-oriented design, ...

# Simple Graphs

- Correspond to symmetric binary relations  $R$ .

- A *simple graph*  $G=(V,E)$  consists of:

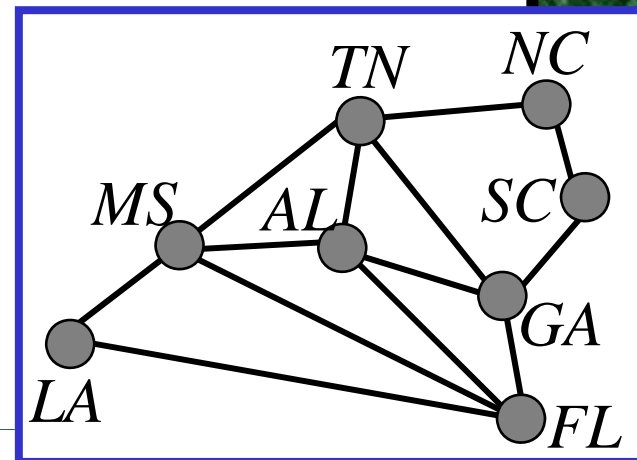
- a set  $V$  of *vertices* or *nodes* ( $V$  corresponds to the universe of the relation  $R$ ),
- a set  $E$  of *edges* / *arcs* / *links*: unordered pairs of [distinct?] elements  $u,v \in V$ , such that  $uRv$ .



*Visual Representation  
of a Simple Graph*

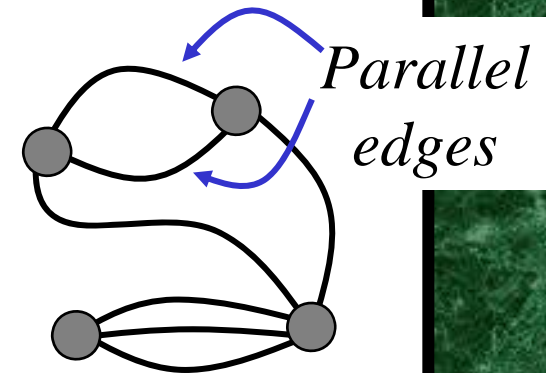
## Example of a *Simple Graph*

- Let  $V$  be the set of states in the far-southeastern U.S.:
  - $V = \{FL, GA, AL, MS, LA, SC, TN, NC\}$
- Let  $E = \{ \{u, v\} \mid u \text{ adjoins } v \}$   
 $= \{ \{FL, GA\}, \{FL, AL\}, \{FL, MS\}, \{FL, LA\}, \{GA, AL\}, \{AL, MS\}, \{MS, LA\}, \{GA, SC\}, \{GA, TN\}, \{SC, NC\}, \{NC, TN\}, \{MS, TN\}, \{MS, AL\} \}$



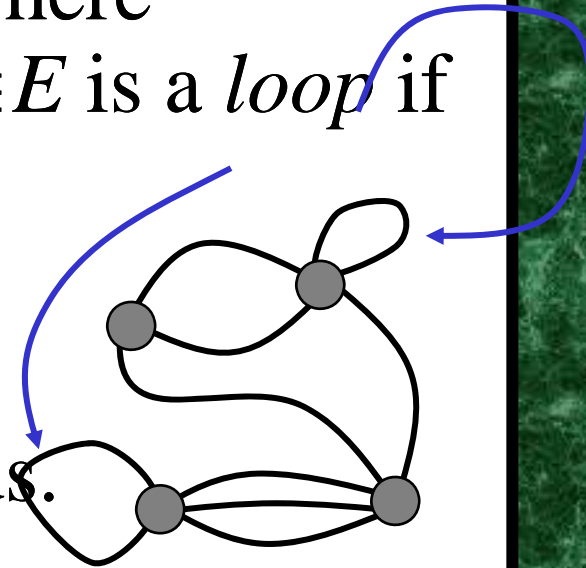
# Multigraphs

- Like simple graphs, but there may be *more than one* edge connecting two given nodes.
- A *multigraph*  $G=(V, E, f)$  consists of a set  $V$  of vertices, a set  $E$  of edges (as primitive objects), and a function  $f:E\rightarrow\{\{u,v\}\mid u,v\in V \wedge u\neq v\}$ .
- E.g., nodes are cities, edges are segments of major highways.



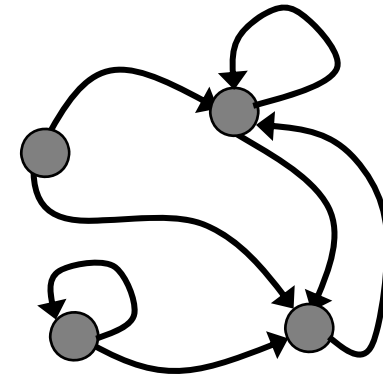
# Pseudographs

- Like a multigraph, but edges connecting a node to itself are allowed.
- A *pseudograph*  $G=(V, E, f)$  where  $f:E\rightarrow\{\{u,v\}\mid u,v\in V\}$ . Edge  $e\in E$  is a *loop* if  $f(e)=\{u,u\}=\{u\}$ .
- *E.g.*, nodes are campsites in a state park, edges are hiking trails through the woods.



# Directed Graphs

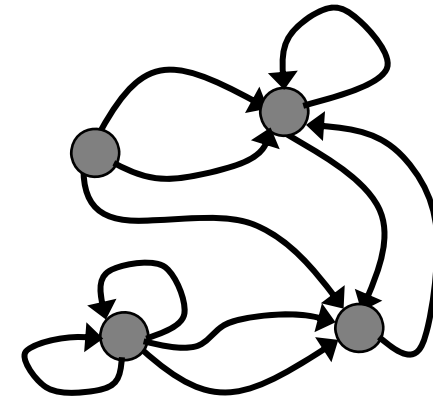
- Correspond to arbitrary binary relations  $R$ , which need not be symmetric.
- A *directed graph*  $(V,E)$  consists of a set of vertices  $V$  and a binary relation  $E$  on  $V$ .
- *E.g.*:  $V = \text{people}$ ,  
 $E = \{(x,y) \mid x \text{ loves } y\}$





# Directed Multigraphs

- Like directed graphs, but there may be more than one arc from a node to another.
- A *directed multigraph*  $G=(V, E, f)$  consists of a set  $V$  of vertices, a set  $E$  of edges, and a function  $f:E\rightarrow V\times V$ .
- E.g.,  $V$ =web pages,  $E$ =hyperlinks. *The WWW is a directed multigraph...*



# Types of Graphs: Summary

- Summary of the book's definitions.
- Keep in mind this terminology is not fully standardized...

<b>Term</b>	<b>Edge type</b>	<b>Multiple edges ok?</b>	<b>Self-loops ok?</b>
Simple graph	Undir.	No	No
Multigraph	Undir.	Yes	No
Pseudograph	Undir.	Yes	Yes
Directed graph	Directed	No	Yes
Directed multigraph	Directed	Yes	Yes

## §8.2: Graph Terminology

- *Adjacent, connects, endpoints, degree, initial, terminal, in-degree, out-degree, complete, cycles, wheels, n-cubes, bipartite, subgraph, union.*

# Adjacency

Let  $G$  be an undirected graph with edge set  $E$ .  
Let  $e \in E$  be (or map to) the pair  $\{u, v\}$ . Then we say:

- $u, v$  are *adjacent / neighbors / connected*.
- Edge  $e$  is *incident with* vertices  $u$  and  $v$ .
- Edge  $e$  *connects*  $u$  and  $v$ .
- Vertices  $u$  and  $v$  are *endpoints* of edge  $e$ .

## Degree of a Vertex

- Let  $G$  be an undirected graph,  $v \in V$  a vertex.
- The *degree* of  $v$ ,  $\deg(v)$ , is its number of incident edges. (Except that any self-loops are counted twice.)
- A vertex with degree 0 is *isolated*.
- A vertex of degree 1 is *pendant*.

# Handshaking Theorem

- Let  $G$  be an undirected (simple, multi-, or pseudo-) graph with vertex set  $V$  and edge set  $E$ . Then

$$\sum_{v \in V} \deg(v) = 2|E|$$

- Corollary: Any undirected graph has an even number of vertices of odd degree.

## Directed Adjacency

- Let  $G$  be a directed (possibly multi-) graph, and let  $e$  be an edge of  $G$  that is (or maps to)  $(u,v)$ . Then we say:
  - $u$  is *adjacent to*  $v$ ,  $v$  is *adjacent from*  $u$
  - $e$  *comes from*  $u$ ,  $e$  *goes to*  $v$ .
  - $e$  *connects*  $u$  to  $v$ ,  $e$  *goes from*  $u$  to  $v$
  - the *initial vertex* of  $e$  is  $u$
  - the *terminal vertex* of  $e$  is  $v$

# Directed Degree

- Let  $G$  be a directed graph,  $v$  a vertex of  $G$ .
  - The *in-degree* of  $v$ ,  $\deg^-(v)$ , is the number of edges going to  $v$ .
  - The *out-degree* of  $v$ ,  $\deg^+(v)$ , is the number of edges coming from  $v$ .
  - The *degree* of  $v$ ,  $\deg(v) \equiv \deg^-(v) + \deg^+(v)$ , is the sum of  $v$ 's in-degree and out-degree.



# Directed Handshaking Theorem

- Let  $G$  be a directed (possibly multi-) graph with vertex set  $V$  and edge set  $E$ . Then:

$$\sum_{v \in V} \deg^{-}(v) = \sum_{v \in V} \deg^{+}(v) = \frac{1}{2} \sum_{v \in V} \deg(v) = |E|$$

- Note that the degree of a node is unchanged by whether we consider its edges to be directed or undirected.

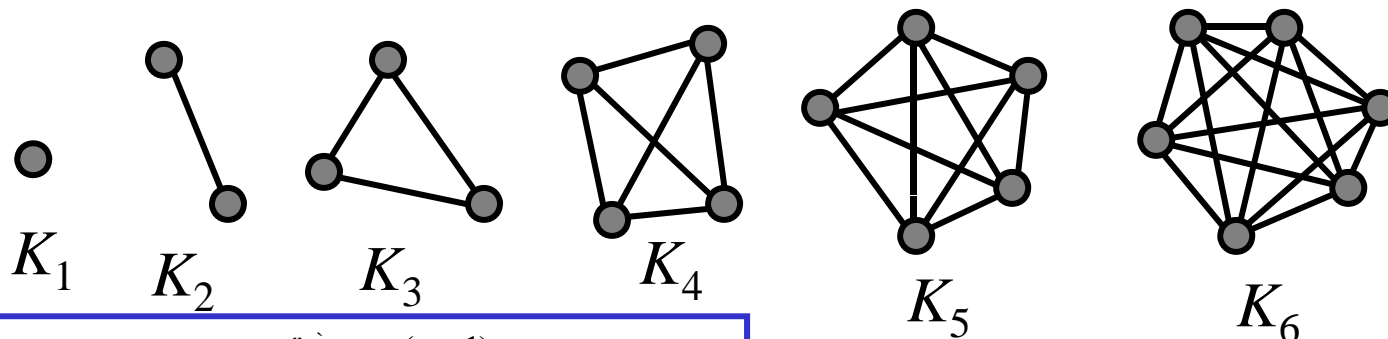
# Special Graph Structures

Special cases of undirected graph structures:

- Complete graphs  $K_n$
- Cycles  $C_n$
- Wheels  $W_n$
- $n$ -Cubes  $Q_n$
- Bipartite graphs
- Complete bipartite graphs  $K_{m,n}$

# Complete Graphs

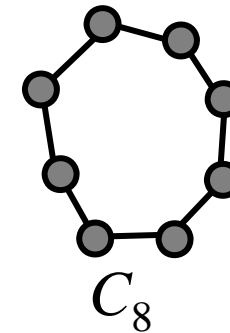
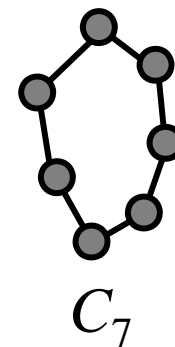
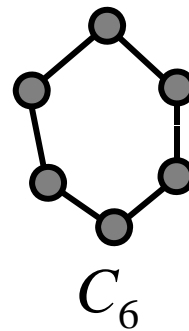
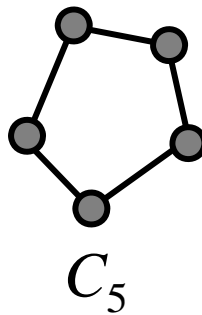
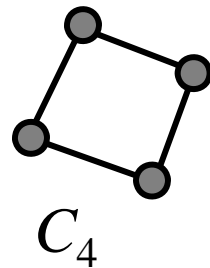
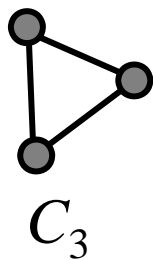
- For any  $n \in \mathbf{N}$ , a *complete graph* on  $n$  vertices,  $K_n$ , is a simple graph with  $n$  nodes in which every node is adjacent to every other node:  $\forall u, v \in V: u \neq v \leftrightarrow \{u, v\} \in E$ .



Note that  $K_n$  has  $\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2}$  edges.

# Cycles

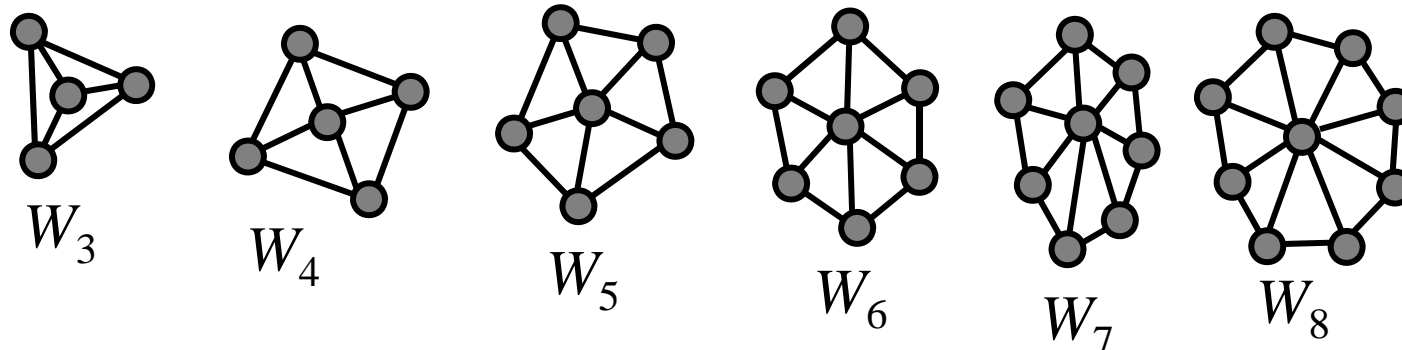
- For any  $n \geq 3$ , a *cycle* on  $n$  vertices,  $C_n$ , is a simple graph where  $V = \{v_1, v_2, \dots, v_n\}$  and  $E = \{\{v_1, v_2\}, \{v_2, v_3\}, \dots, \{v_{n-1}, v_n\}, \{v_n, v_1\}\}$ .



How many edges are there in  $C_n$ ?

# Wheels

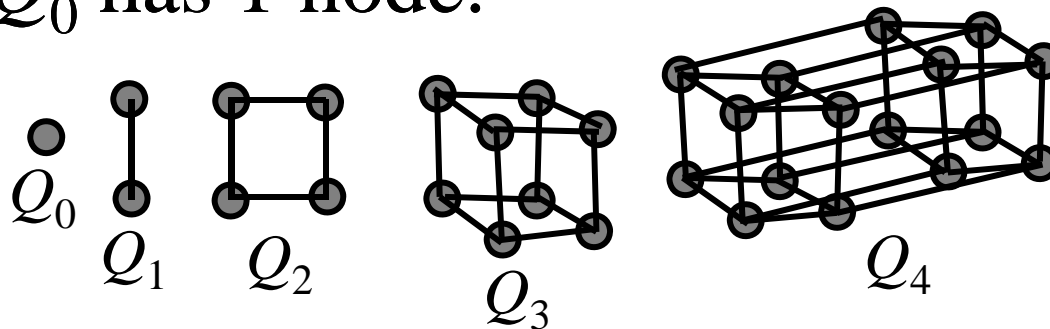
- For any  $n \geq 3$ , a *wheel*  $W_n$ , is a simple graph obtained by taking the cycle  $C_n$  and adding one extra vertex  $v_{\text{hub}}$  and  $n$  extra edges  $\{\{v_{\text{hub}}, v_1\}, \{v_{\text{hub}}, v_2\}, \dots, \{v_{\text{hub}}, v_n\}\}$ .



How many edges are there in  $W_n$ ?

# $n$ -cubes (hypercubes)

- For any  $n \in \mathbf{N}$ , the hypercube  $Q_n$  is a simple graph consisting of two copies of  $Q_{n-1}$  connected together at corresponding nodes.  $Q_0$  has 1 node.



*Number of vertices:  $2^n$ . Number of edges: Exercise to try!*

## $n$ -cubes (hypercubes)

- For any  $n \in \mathbf{N}$ , the hypercube  $Q_n$  can be defined recursively as follows:
  - $Q_0 = \{ \{v_0\}, \emptyset \}$  (one node and no edges)
  - For any  $n \in \mathbf{N}$ , if  $Q_n = (V, E)$ , where  $V = \{v_1, \dots, v_a\}$  and  $E = \{e_1, \dots, e_b\}$ , then  $Q_{n+1} = (V \cup \{v_1', \dots, v_a'\}, E \cup \{e_1', \dots, e_b'\} \cup \{ \{v_1, v_1'\}, \{v_2, v_2'\}, \dots, \{v_a, v_a'\} \})$  where  $v_1', \dots, v_a'$  are new vertices, and where if  $e_i = \{v_j, v_k\}$  then  $e_i' = \{v_j', v_k'\}$ .

# Bipartite Graphs

- Skipping this topic for this semester...

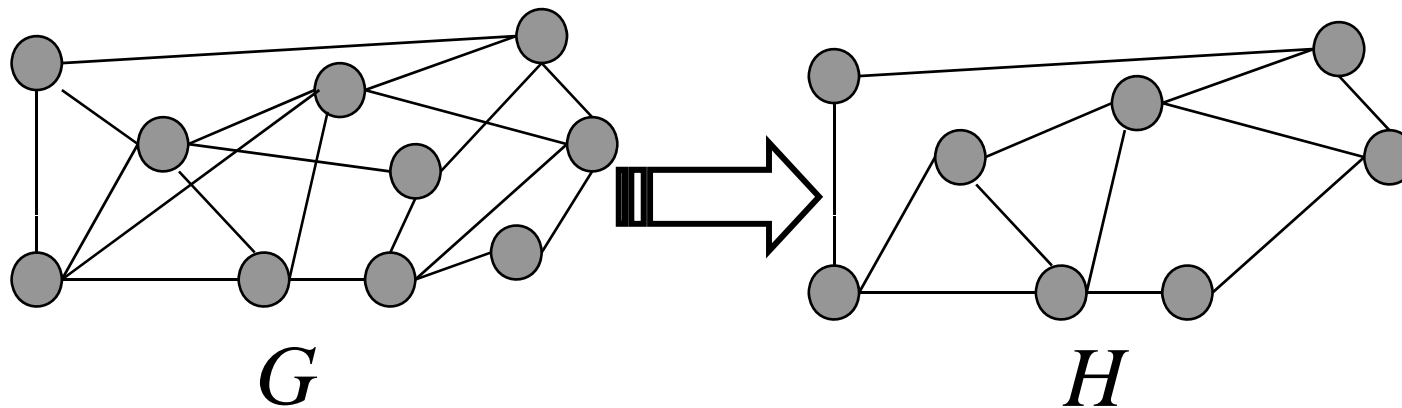


# Complete Bipartite Graphs

- Skip...

# Subgraphs

- A subgraph of a graph  $G=(V,E)$  is a graph  $H=(W,F)$  where  $W \subseteq V$  and  $F \subseteq E$ .



# Graph Unions

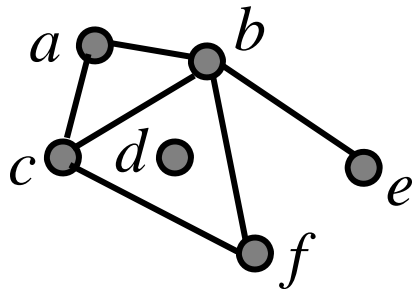
- The *union*  $G_1 \cup G_2$  of two simple graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  is the simple graph  $(V_1 \cup V_2, E_1 \cup E_2)$ .

## §8.3: Graph Representations & Isomorphism

- Graph representations:
  - Adjacency lists.
  - Adjacency matrices.
  - Incidence matrices.
- Graph isomorphism:
  - Two graphs are isomorphic iff they are identical except for their node names.

# Adjacency Lists

- A table with 1 row per vertex, listing its adjacent vertices.



<i>Vertex</i>	<i>Adjacent Vertices</i>
<i>a</i>	<i>b, c</i>
<i>b</i>	<i>a, c, e, f</i>
<i>c</i>	<i>a, b, f</i>
<i>d</i>	
<i>e</i>	<i>b</i>
<i>f</i>	<i>c, b</i>

# Directed Adjacency Lists

- 1 row per node, listing the terminal nodes of each edge incident from that node.

# Adjacency Matrices

- Matrix  $\mathbf{A}=[a_{ij}]$ , where  $a_{ij}$  is 1 if  $\{v_i, v_j\}$  is an edge of  $G$ , 0 otherwise.

# Graph Isomorphism

- Formal definition:
  - Simple graphs  $G_1=(V_1, E_1)$  and  $G_2=(V_2, E_2)$  are *isomorphic* iff  $\exists$  a bijection  $f:V_1\rightarrow V_2$  such that  $\forall a,b\in V_1$ ,  $a$  and  $b$  are adjacent in  $G_1$  iff  $f(a)$  and  $f(b)$  are adjacent in  $G_2$ .
  - $f$  is the “renaming” function that makes the two graphs identical.
  - Definition can easily be extended to other types of graphs.



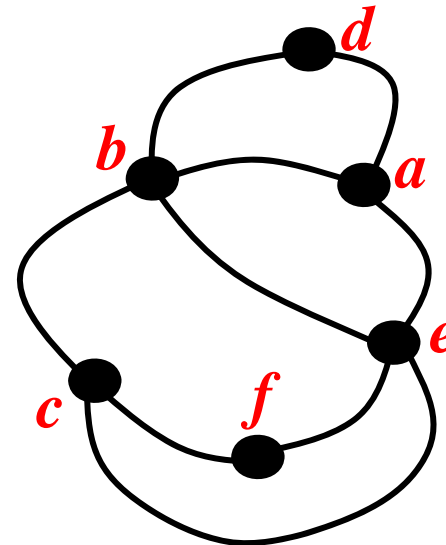
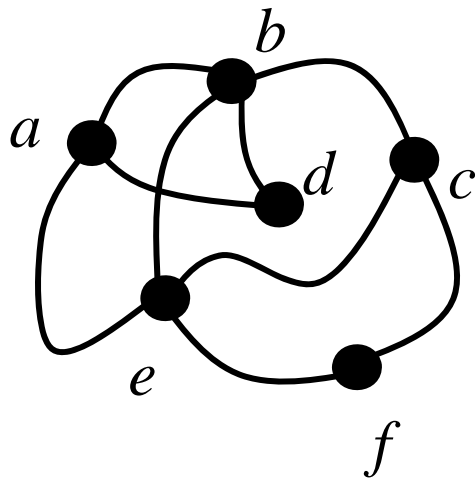
# Graph Invariants under Isomorphism

*Necessary* but not *sufficient* conditions for  $G_1=(V_1, E_1)$  to be isomorphic to  $G_2=(V_2, E_2)$ :

- $|V_1|=|V_2|, |E_1|=|E_2|$ .
- The number of vertices with degree  $n$  is the same in both graphs.
- For every proper subgraph  $g$  of one graph, there is a proper subgraph of the other graph that is isomorphic to  $g$ .

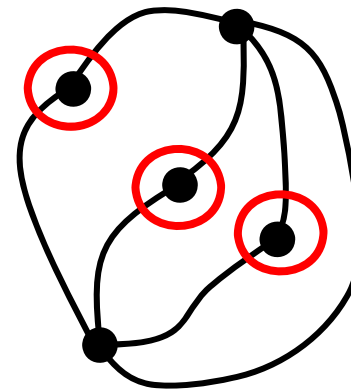
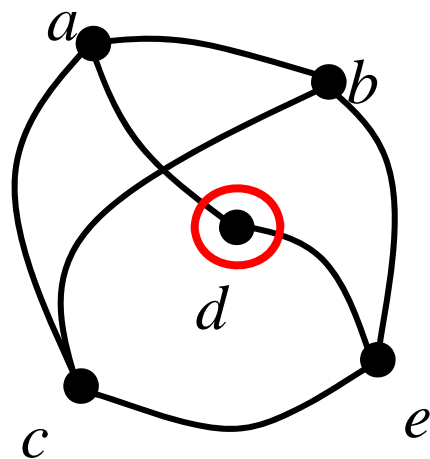
# Isomorphism Example

- If isomorphic, label the 2nd graph to show the isomorphism, else identify difference.



# Are These Isomorphic?

- If isomorphic, label the 2nd graph to show the isomorphism, else identify difference.



- \* Same # of vertices
- \* Same # of edges
- \* Different # of verts of degree 2!  
(1 vs 3)

## §8.4: Connectivity

- In an undirected graph, a *path of length  $n$  from  $u$  to  $v$*  is a sequence of adjacent edges going from vertex  $u$  to vertex  $v$ .
- A path is a *circuit* if  $u=v$ .
- A path *traverses* the vertices along it.
- A path is *simple* if it contains no edge more than once.

# Paths in Directed Graphs

- Same as in undirected graphs, but the path must go in the direction of the arrows.

# Connectedness

- An undirected graph is *connected* iff there is a path between every pair of distinct vertices in the graph.
- Theorem: There is a *simple* path between any pair of vertices in a connected undirected graph.
- *Connected component*: connected subgraph
- A *cut vertex* or *cut edge* separates 1 connected component into 2 if removed.

## Directed Connectedness

- A directed graph is *strongly connected* iff there is a directed path from  $a$  to  $b$  for any two vertices  $a$  and  $b$ .
- It is *weakly connected* iff the underlying *undirected* graph (*i.e.*, with edge directions removed) is connected.
- Note *strongly* implies *weakly* but not vice-versa.

# Paths & Isomorphism

- Note that connectedness, and the existence of a circuit or simple circuit of length  $k$  are graph invariants with respect to isomorphism.



## Counting Paths w Adjacency Matrices

- Let  $\mathbf{A}$  be the adjacency matrix of graph  $G$ .
- The number of paths of length  $k$  from  $v_i$  to  $v_j$  is equal to  $(\mathbf{A}^k)_{i,j}$ . (The notation  $(\mathbf{M})_{i,j}$  denotes  $m_{i,j}$  where  $[m_{i,j}] = \mathbf{M}$ .)

## §8.5: Euler & Hamilton Paths

- An *Euler circuit* in a graph  $G$  is a simple circuit containing every edge of  $G$ .
- An *Euler path* in  $G$  is a simple path containing every edge of  $G$ .
- A *Hamilton circuit* is a circuit that traverses each vertex in  $G$  exactly once.
- A *Hamilton path* is a path that traverses each vertex in  $G$  exactly once.

## Some Useful Theorems

- A connected multigraph has an Euler circuit iff each vertex has even degree.
- A connected multigraph has an Euler path (but not an Euler circuit) iff it has exactly 2 vertices of odd degree.
- If (but not only if)  $G$  is connected, simple, has  $n \geq 3$  vertices, and  $\forall v \deg(v) \geq n/2$ , then  $G$  has a Hamilton circuit.