



# II. Thermodynamic Properties of Polymers

## Chapter 3. The Solubility Parameter

- 3.1 Cohesive energy
- 3.2 The solubility parameter
- 3.3 Refinements of the solubility parameter concept
- 3.4 Solubility of polymers in solvents
- 3.5 Prediction of solubility parameter components

## Chapter 4. Thermodynamics of Mixing

- 4.1 Entropy of binary mixing
- 4.2 Energy of binary mixing
- 4.3 Equilibrium and stability
- 4.4 Phase diagram
- 4.5 Mixtures at low compositions
- 4.6 Experimental investigation
- 4.7 Summary of thermodynamics



# Chapter 3. The Solubility Parameter



## 3.1. Cohesive energy

3.1.1 Definitions of Cohesive Energy

3.1.2 Determination of Cohesive Energy

## 3.2. The solubility parameter

## 3.3. Refinements of the solubility parameter concept

3.3.1 Hansen Parameter

3.3.2  $\delta_v - \delta_h$  diagram

## 3.4. Solubility of polymers in solvents

## 3.5. Prediction of solubility parameter components



# Chapter 3. The Solubility Parameter

## □ *Characteristics*

1) High molecular weight, ranging from 25,000~ $10^6$ g/mol or higher

2) Distributed molecular weight

3) Conformations

    [ in solution : determined by light-scattering

    [ in the bulk : determined by small-angle neutron scattering(SANS)

    - function of temperature, solvent, structure, crystallization, extension,  
    and the presence of other polymer

→ Determination depends on dissolving the polymer in an appropriate solvent and measuring the required properties in dilute solvent

⇒ Solution property must be understood.



# 3.1. Cohesive Energy

## 3.1.1. Definitions of Cohesive Energy

- The cohesive properties of a polymer find direct expression in its solubility in organic liquids → expressed quantitatively in the *cohesive energy*

- Definitions of *Cohesive Energy*

: the increase in internal energy  $U$  per mole of substance

(if all intermolecular forces eliminated)

the cohesive energy  $E_{\text{coh}} = \Delta U$  (Jmol<sup>-1</sup>)

cohesive energy density  $e_{\text{coh}} = E_{\text{coh}} / V$  (Jcm<sup>-3</sup>)

solubility parameter  $\delta = (E_{\text{coh}} / V)^{1/2} = e_{\text{coh}}^{1/2}$  (J<sup>1/2</sup>cm<sup>-3/2</sup>)

- Determination of  $E_{\text{coh}}$

For liquids of low MW,  $E_{\text{coh}}$  is closely related to the molar heat of evaporation  $\Delta H_{\text{vap}}$

$$E_{\text{coh}} = \Delta U_{\text{vap}} = \Delta H_{\text{vap}} - p \Delta V \approx \Delta H_{\text{vap}} - RT$$

- For low molar mass substances,  $E_{\text{coh}}$  can easily be calculated from  $\Delta H_{\text{vap}}$
- As polymers cannot be evaporated, indirect methods have to be used

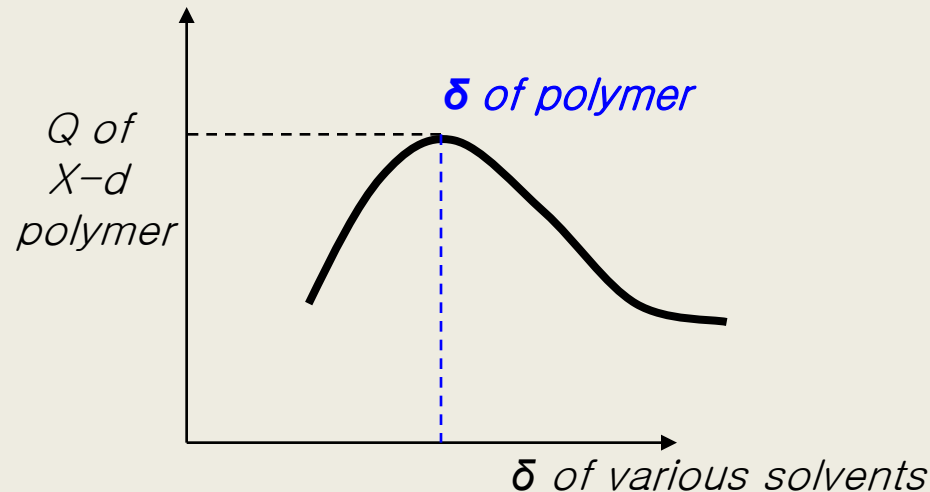
(e.g. comparative swelling or dissolving experiments in  $e_{\text{coh}}$  known liquids)



# 3.1. Cohesive Energy

## 3.1.2. Determination of Cohesive Energy

- ❖ Determination by **swelling experiments** for cross-linked polymer



- ❖ **Theoretical extent of swelling**

: is predicted by the Flory-Rehner theory based on the cross-link density and the attractive forces between the solvent and the polymer.

$$Q = \frac{m - m_0}{m_0} \times \frac{1}{\rho_s}$$

$m$  : the weight of swollen sample  
 $m_0$  : the dry weight  
 $\rho_s$  : the density of swelling agent

# 3.1. Cohesive Energy



❖ Determination by swelling experiments

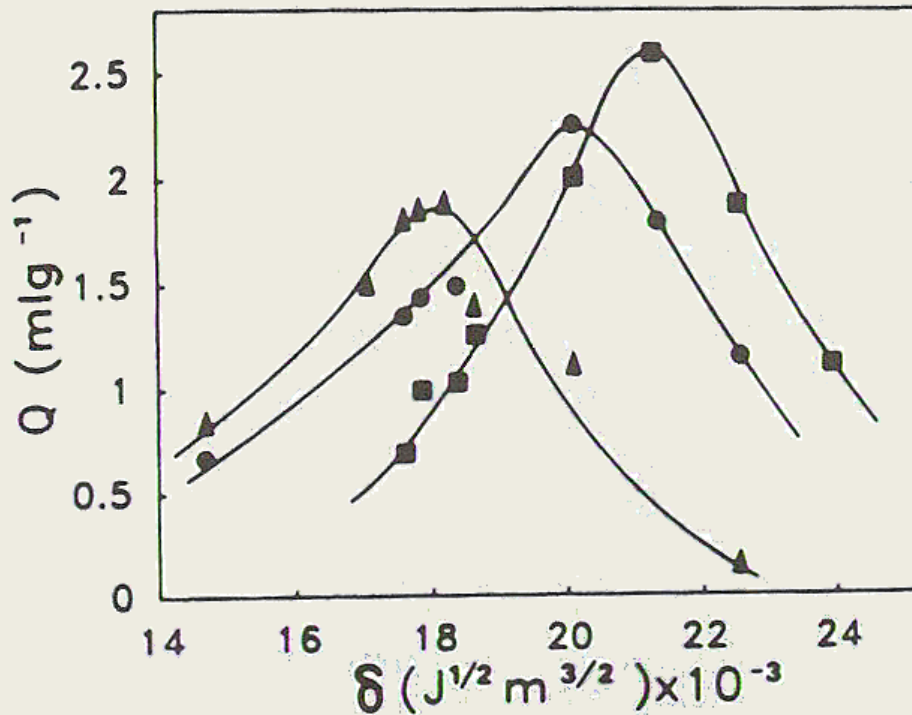


Fig 3.1 The swelling coefficient,  $Q$ , reaches a maximum when the solubility parameter of the solvent nearly matches that of the polymer, for several cross-linked systems: polyurethane(■), polystyrene(▲), and a polyurethane interpenetrating polymer networks(●)



## 3.1.

## Cohesive Energy

- ❖ by measuring the intrinsic viscosity of the polymer in the solvents
  - :  $[\eta]$  will be highest for the best match in solubility parameter since the chain conformation is most expanded in the best solvent.

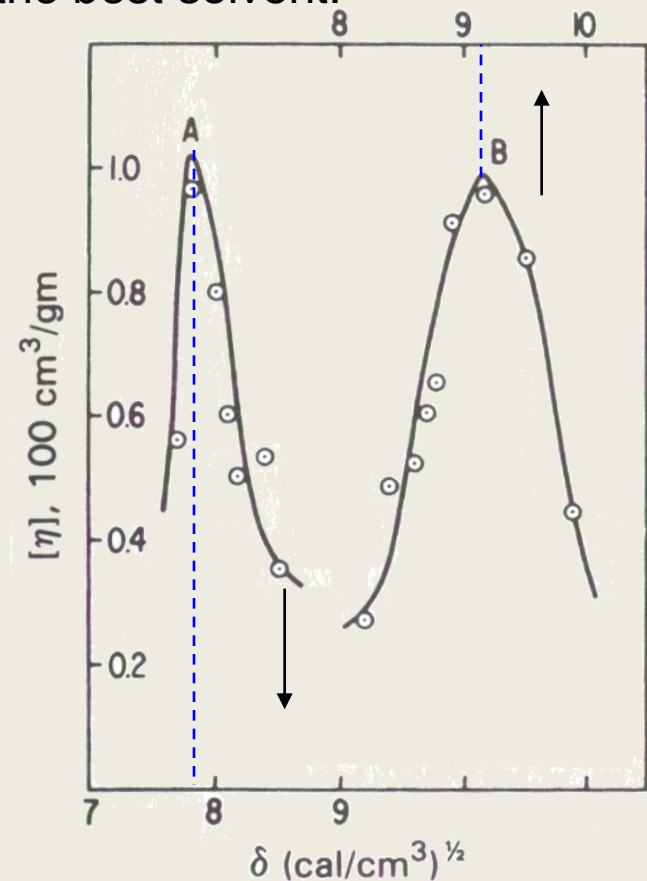
$$[\eta] = \Phi \left( \frac{\bar{r}_0^2}{M} \right)^{\frac{3}{2}} M^{\frac{1}{2}} \alpha^3 = KM^{\frac{1}{2}} \alpha^3$$

$\Phi$  : universal constant ( $2.1 \times 10^{21} \text{ dl} \cdot \text{mol}^{-1} \text{ cm}^{-3}$ )

$\bar{r}_0^2$  : mean square end-to-end distance of coil

$\alpha$  : expansion coeff. of coil.

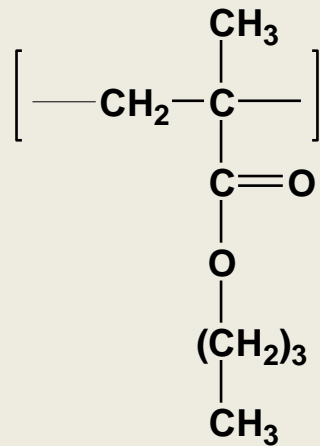
Fig 3.2 Determination of the solubility parameter, using the intrinsic viscosity method, for polyisobutene and polystyrene. The intrinsic viscosity,  $[\eta]$  is a measure of the individual chain size.



# 3.1. Cohesive Energy

- Theoretical calculation : an example

*poly(butyl methacrylate)*



$$F = \left( E_{coh} \frac{M}{\rho} \right)^{\frac{1}{2}}$$

$\rho$  : the density

M : the molecular weight

F : group molar attraction constants  
= calculated by Small

$$\rho = 1.05 \text{ g/cm}^3$$

$$M = 104 \text{ g/mol}$$

Groups	$\Sigma F_i$
4 -CH <sub>2</sub> -	1088
2 -CH <sub>3</sub>	876
1 $\begin{array}{c} \diagup \\ \text{C} \\ \diagdown \end{array}$	-190
1 -COO-	634
<hr/>	
F = 2408	

$$E_{coh} = F^2 \frac{\rho}{M} = 42700 \text{ Jmol}^{-1}$$

cf. experimental value : 43500 – 46500 Jmol<sup>-1</sup>

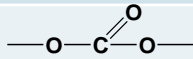
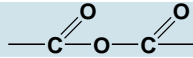
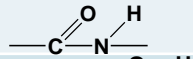
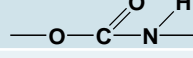




# 3.1. Cohesive Energy

Table. Group contributions to F

Group	Small	Van Krevelen	Hoy
-CH <sub>3</sub>	438	420	303.4
-CH <sub>2</sub> -	272	280	269.0
-CH-	57	140	176.0
>C<	-190	0	65.5
-CH(CH <sub>3</sub> )-	495	560	479.4
-C(CH <sub>3</sub> ) <sub>2</sub> -	686	840	672.3
-CH=CH-	454	444	497.4
>C=CH-	266	304	421.5
-C(CH <sub>3</sub> )=CH-	704	724	724.9
cyclopentyl	-	1384	1295.1
cyclohexyl	-	1664	1473.3
phenyl	1504	1517	1398.4
P-phenylene	1346	1377	1442.2
-F	250	164	84.5
-Cl	552	471	419.6

Group	Small	Van Krevelen	Hoy
-Br	696	614	527.7
-I	870	-	-
-CN	839	982	725.5
-CHCN	896	1122	901.5
-OH	-	754	462.0
-O-	143	256	235.3
-CO-	563	685	538.1
-COOH	-	652	1000.1
-COO-	634	512	668.2
	-	767	903.5
	-	767	1160.7
	-	1228	906.4
	-	1483	1036.5
-S-	460	460	428.4



- For a prediction of the cohesive energy of substances some group additive methods have been developed.
  - ❖ *Dunkel* : derived group contribution for the cohesive energy of liquids at room temperature
  - ❖ *Rheineck, Lin* : found that for homologous series of low-molecular-weight liquids, the contribution to the cohesive energy of a methylene group was not constant, but depended on the value of other groups in the molecule
  - ❖ *Hayes, Di Benedetto, Van Krevelin and Fedors* : applied Dunkel's original method to polymers
  - ❖ *Bunn* : dealt with the cohesive energy at the boiling point
  - ❖ *Bondi* : cohesive energy at 0 K



Table. Group contribution to  $E_{\text{coh}}$  (J/mol)

from "Properties of Polymers", van Krevelen, 1990

Group	$H_0^0$ (0 K)	$E_{\text{coh}}$ (298K)					$E_{\text{coh}}$ ( $T_b$ )	$E_{\text{coh}}$ (298K)
	Bondi <sup>1</sup>	Rheineck, Lin <sup>2</sup>	Dunkel <sup>3</sup>	Di Benedetto <sup>4</sup>	Hayes <sup>5</sup>	Fedors <sup>6</sup>	Bunn <sup>7</sup>	van Krevelen <sup>8</sup>
-CH <sub>3</sub>	10560	4150	7460	-	-	4710	7120	9640
-CH <sub>2</sub> -	6350	5150	4150	3600	4150	4940	2850	4190
-CH-	-270	4060	-1590	-	-	3430	-1840	420
>C<	-8000	-	-7340	-	-	1470	-6280	-5580
-CH(CH <sub>3</sub> )-	10290	8210	5870	-	7120	8140	5700	10060
-C(CH <sub>3</sub> ) <sub>2</sub> -	13120	-	7580	10390	11900	10890	7960	13700
-CH=CH-	-	-	8300	7210	7500	8620	7120	10200
>C=CH-	-	-	2560	-	-	8620	2940	4860
-C(CH <sub>3</sub> )=CH-	-	-	10020	10900	11480	13330	10060	14500
Cyclopentyl	33770	-	-	-	-	24240	-	-
Cyclohexyl	38210	29500	-	-	-	29180	-	-
Phenyl	41060	31220	30920	-	-	31940	22630	31000
P-phenylene	35950	-	-	-	23880	31940	16340	25140
-F	-	-	8630	-	-	4190	4730	4470
-Cl	-	11690	14250	-	-	11550	11730	11990
-Br	-	-	-	-	-	15490	12990	15500
-I	-	-	-	-	-	19050	17600	-
-CN	-	-	-	-	-	25530	-	25000
-CHCN	-	-	-	-	24130	28960	-	25420

Table. Group contribution to  $E_{\text{coh}}$  (J/mol) from "Properties of Polymers", van Krevelen, 1990

Group	$H_0^0$ (0 K)	$E_{\text{coh}}$ (298K)					$E_{\text{coh}}$ ( $T_b$ )	$E_{\text{coh}}$ (298K)
	Bondi	Rheineck, Lin	Dunkel	Di Benedetto	Hayes	Fedors	Bunn	van Krevelen
-OH	-	32810	30380	-	-	29800	24300	-
-O-	-	-	6830	-	6830	3350	4190	6290
-CO-	-	-	17890	-	-	17370	11150	-
-COOH	-	32810	37580	-	-	27630	23460	-
-COO-	-	19530	16010	-	14160	18000	12150	13410
-O-C(=O)-O-	-	-	-	-	-	17580	-	-
-C(=O)-O-C(=O)-	-	-	-	-	-	30560	16340	-
-C(=O)-NH-	-	-	67880	-	44750	33490	35620	60760
-O-C(=O)-NH-	-	-	-	-	26310	26370	36620	-
-S-	-	-	-	-	-	14150	9220	8800

Ref.

[1] Bondi, A. *J. Polymer Sci.* **A2** (1964) 3159; "Physical Properties of Molecular Crystals, Liquids and Glasses", Wiley, New York, 1968

[2] Rheineck, A. E., Lin, K. F., *J. Paint Technol.* **40** (1968) 611

[3] Dunkel, M. *Z. Physik. Chem.* **A138** (1928) 42

[4] Di Benedetto, A. T. *J. Polymer Sci.* **A1** (1963) 3459

[5] Hayes, R. A. *J. Appl. Polymer Sci.* **5** (1961) 318

[6] Fedors, R. F. *Polymer Eng. Sci.* **14** (1974) 147

[7] Bunn, C. W. *J. Polymer Sci.* **16** (1955) 323

[8] Van Krevelen, D. W., Hoftyzer, P. J. *J. Appl. Polymer Sci.* **11** (1967) 2189



## 3.2. The Solubility Parameter

- The definition of solubility parameter ( $\delta$ ) is based on thermodynamic consideration.
- The thermodynamic criteria of solubility are based on the free energy of mixing  $\Delta G_M$ . Two substances are mutually soluble if  $\Delta G_M$  is negative.
- "***Like dissolves Like***" : Qualitative description

### ❖ Quantitatively

$$\Delta G_M = \Delta H_M - T \Delta S_M \text{ ( energy of mixing )}$$

$-\Delta G_M$ : spontaneous process

(-) : depends on  $\Delta H_M$

$\Delta H_M$  : usually positive

"positive" means opposing mixing

$$\left( \begin{array}{l} \Delta H_M : \text{enthalpy of mixing} \\ \Delta S_M : \text{entropy of mixing} \end{array} \right)$$

### ❖ '*opposing mixing*'

: exception for this - the two species in question attract one another in some way, perhaps by having opposite polarities, being acid and base relative to one another, or through hydrogen bonding.

## 3.2. The Solubility Parameter

### □ Hildebrand and Scott equation for regular solutions

$$\Delta H_M = V_M \left[ \left( \frac{\Delta E_1}{V_1} \right)^{\frac{1}{2}} - \left( \frac{\Delta E_2}{V_2} \right)^{\frac{1}{2}} \right]^2 v_1 v_2 \quad : \text{entropy effect ignored} \quad \text{--- (3.1)}$$

where,  $V_M$  : the total volume of the mixture

$\Delta E$  : the  $\epsilon$  of vaporization to a gas at zero pressure

(i.e. infinite separation of molecules)

$V$  : the molar volume of the components

$u$  : the volume fraction of component in the mixture

$\Delta E/V$  : the  $\epsilon$  of vaporization per  $\text{cm}^3$  = cohesive  $\epsilon$  density

1 : solvent

2 : polymer

$\therefore$  "**like dissolves like**" means  $(\Delta E_1/V_1)^{1/2} \approx (\Delta E_2/V_2)^{1/2}$

$\therefore$  solubility parameter :  $\delta = \left( \frac{\Delta E}{V} \right)^{1/2}$

$\therefore \Delta H_M = V_M [\delta_1 - \delta_2]^2 u_1 u_2$

## 3.2. The Solubility Parameter

- ❑ As a requirement for the solubility of a polymer P in a solvent S, the quantity  $(\bar{\delta}_P - \bar{\delta}_S)^2$  has to be small, as small as possible.
- ❑ In the derivation of eq.(3.1), it was assumed that no specific forces are active between the structural units of the substances involved. Therefore it does not hold for crystalline polymers.
- ❑ If one substance contains strongly polar groups or H-bridges,  $\Delta H_M$  become higher than predicted by eq.(3.1)  $\rightarrow \Delta G_M$  becomes positive  
 $\rightarrow$ but, dissolution does not occur even for  $\bar{\delta}_1 = \bar{\delta}_2$ .
- ❑ For these reasons a more refinement of the solubility parameter is necessary.  
 $\rightarrow$ for the most important application of solubility parameters :  
*the prediction of the solubility of polymers in various solvents*



## 3.3. Refinement of the solubility parameter concept

### 3.3.1. Hansen Parameter

- In the derivation by *Hildebrand*, only dispersion forces between structural units have been taken into account.
- However, for many liquids and amorphous polymers, the cohesive energy is also dependent on the interaction between polar groups and on H-bonding.  
→ the solubility parameter as defined corresponds with the total cohesive energy.

$$E_{\text{coh}} = E_d + E_p + E_h$$

where  $E_d$  : contribution of dispersion forces

$E_p$  : contribution of polar forces

$E_h$  : contribution of H-bonding

$$\bar{\delta}^2 = \bar{\delta}_d^2 + \bar{\delta}_p^2 + \bar{\delta}_h^2$$

$$\Delta H_M = V_M v_1 v_2 \left[ (\delta_{d1} - \delta_{d2})^2 + (\delta_{p1} - \delta_{p2})^2 + (\delta_{h1} - \delta_{h2})^2 \right]$$

- ❖ Unfortunately, values of  $\bar{\delta}_d$ ,  $\bar{\delta}_p$  and  $\bar{\delta}_h$  cannot be determined directly.  
→ Indirect methods for the determination of the solubility parameter components  
→ *Hansen*



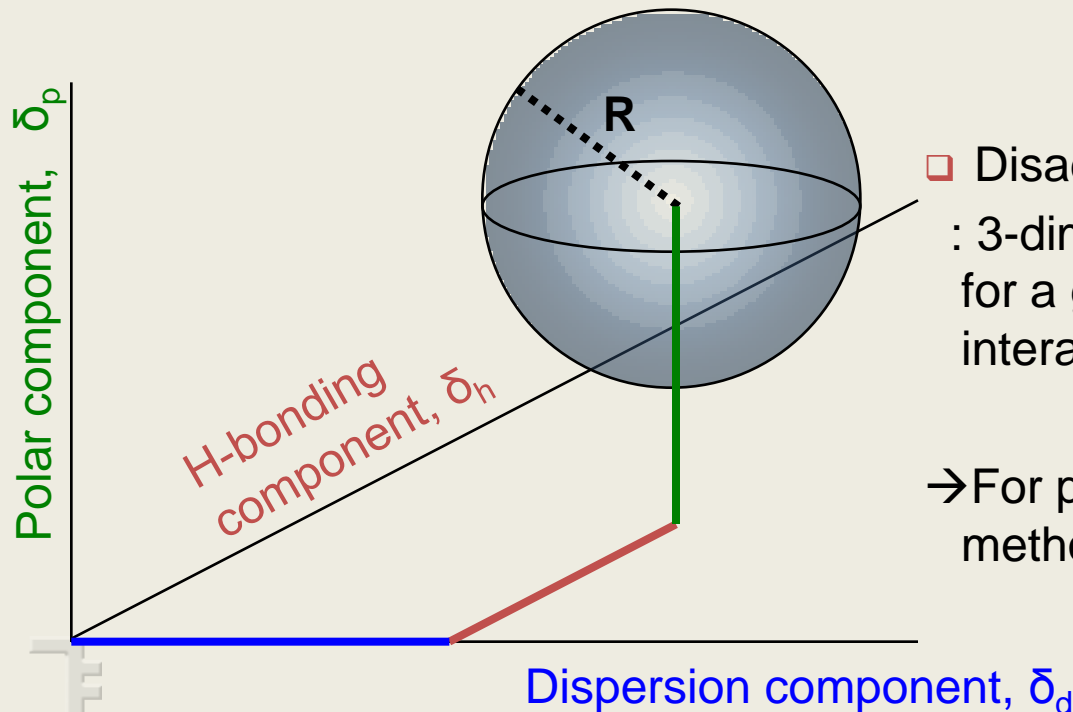
### 3.3. Refinement of the solubility parameter concept

- ❑ The assumption of *Hansen*

: the value of  $\delta_d$  of a given solvent was equal to that of a non-polar substance of about same chemical structure.

$$\delta_p^2 + \delta_h^2 = \delta^2 - \delta_d^2$$

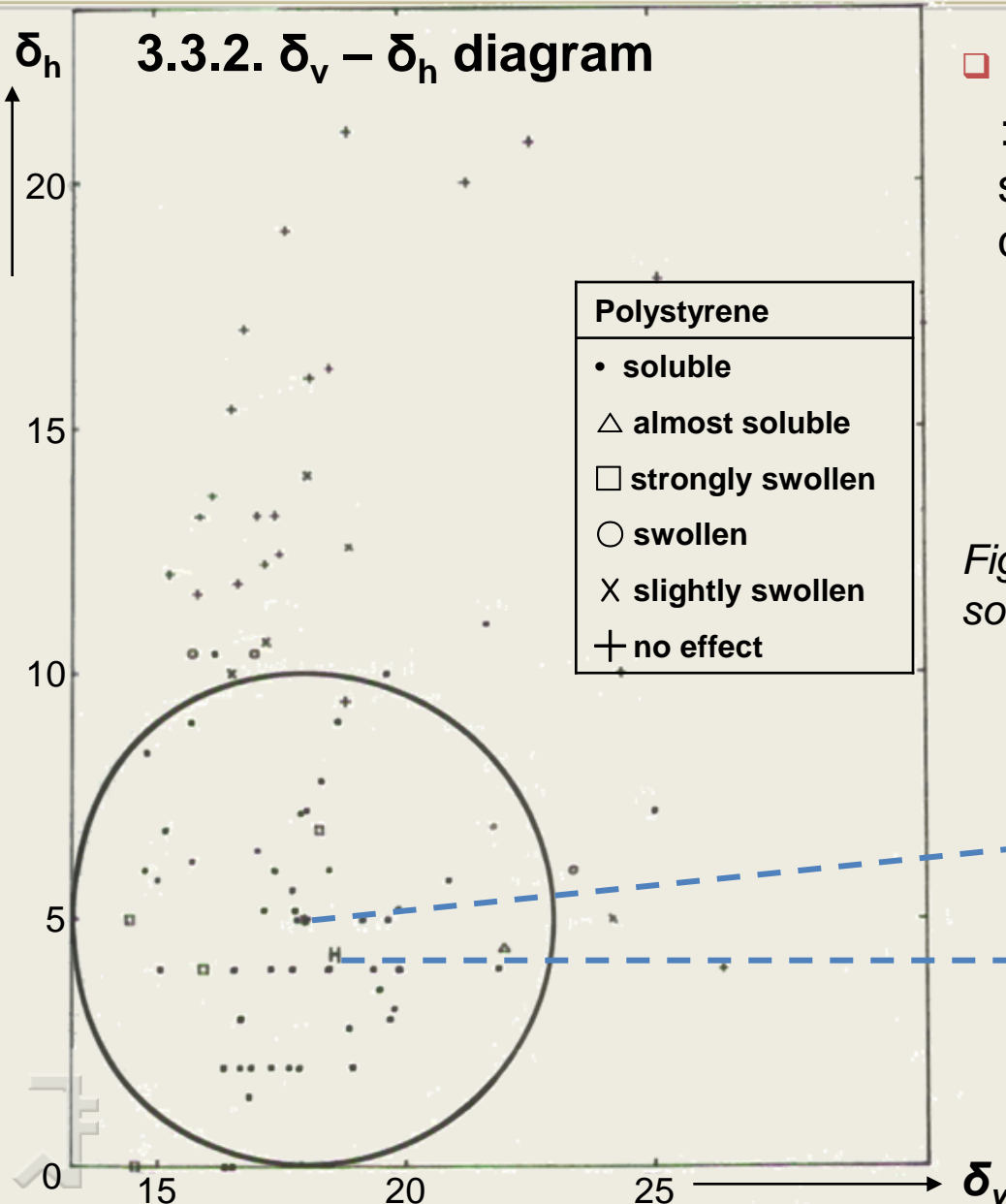
- ❑ Hansen determined experimentally the solubility of a number of polymers in a series of solvents by a point in a three-dimensional structure.



- ❑ Disadvantage of *Hansen's* method  
: 3-dimensional structures are necessary for a graphical representation of the interaction between polymers and solvents

→ For practical applications, a 2-dimensional method is to be preferred.

### 3.3. Refinement of the solubility parameter concept



- The assumption of *Bagley*,  $\delta_v$  vs.  $\delta_h$  : the effect of  $\delta_d$  and  $\delta_p$  show close similarity, while the effect of  $\delta_h$  is of a quite different nature.

$$\delta_v = \sqrt{(\delta_d^2 + \delta_p^2)}$$

→ plot  $\delta_v$  vs.  $\delta_h$

*Fig. Solubility of polystyrene in various solvent in the  $\delta_v - \delta_h$  diagram.*

The center of a circle

The location proposed by Hansen

### 3.3. Refinement of the solubility parameter concept

- A method proposed by *Chen*,  $\chi_{11}$   
: similar to that of Bagley, plot  $\delta_h$  vs.  $\chi_{11}$

$$\chi_{11} = \frac{V_S}{RT} \left[ (\delta_{dS} - \delta_{dP})^2 + (\delta_{pS} - \delta_{pP})^2 \right] \quad \text{where the subscript S and P denote solvent and polymer, respectively}$$

- Disadvantage of this method  
: the characteristics of the polymer should be estimated beforehand.
- At the moment the  $\delta_v - \delta_h$  diagram seems to be the most efficient way to represent polymer-solvent interaction.



## 3.4. Solubility of polymers in solvents

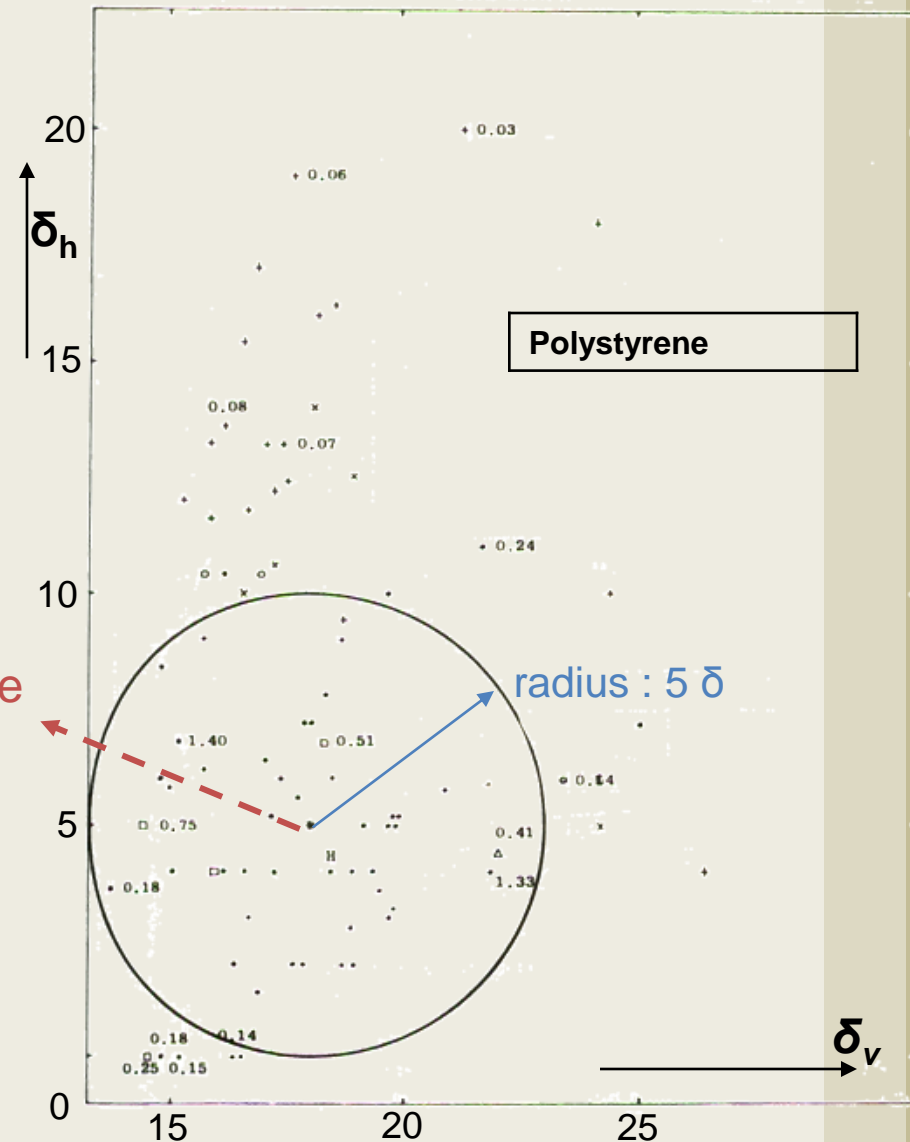
- In the  $\delta_v - \delta_h$  diagram, the degree of solubility can be indicated by a number.
  - ❖ The degree of solubility = polymer volume / solvent volume

*Fig. Solubility of polystyrene in various solvent in the  $\delta_v - \delta_h$  diagram.  
(numbers : vol. styrene / vol. solvent)*

The coordinate value  
:  $\delta_v = 18$ ;  $\delta_h = 5$

- As a general rule, polystyrene is soluble in solvents for which

$$\left| \sqrt{(\delta_v - 18)^2 + (\delta_h - 5)^2} \right| < 5$$



## 3.5. Prediction of solubility parameter components

( $\delta_d$ ,  $\delta_p$  and  $\delta_h$ )

□ Some approaches have been published, viz. that of *Hoftyzer and Van Krevelen*

□ The basic assumption : Hansen parameters

$$E_{\text{coh}} = E_d + E_p + E_h$$

$$\bar{\delta}^2 = \delta_d^2 + \delta_p^2 + \delta_h^2$$

❖ Method of *Hoftyzer and Van Krevelen*

: predicted from group contribution, using following eqn.




$$\delta_d = \frac{\sum F_{di}}{V} \quad \delta_p = \frac{\sqrt{\sum F_{pi}^2}}{V} \quad \delta_h = \sqrt{\frac{\sum E_{hi}}{V}}$$

→ The group contribution for a number of structural groups are given in a table at next page.



## 3.5. Prediction of solubility parameter components

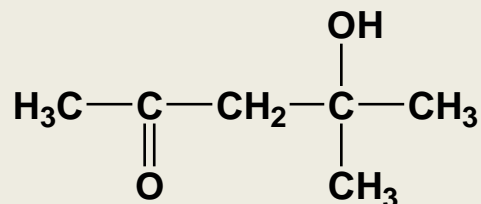
Table. Solubility parameter component group contributions (Hoftyzer-Van Krevelen)

Structural Group	F <sub>di</sub>	F <sub>pi</sub>	E <sub>hi</sub>	Structural Group	F <sub>di</sub>	F <sub>pi</sub>	E <sub>hi</sub>
-CH <sub>3</sub>	420	0	0	-OH	210	500	20000
-CH <sub>2</sub> -	270	0	0	-O-	100	400	3000
>CH-	80	0	0	-COH	470	800	4500
>C<	-70	0	0	-CO-	290	770	2000
=CH <sub>2</sub>	400	0	0	-COOH	530	420	10000
=CH-	200	0	0	-COO-	390	490	7000
=C<	70	0	0	HCOO-	530	-	-
	1620	0	0	-NH <sub>2</sub>	280	-	8400
	1430	110	0	-NH-	160	210	3100
 (o.m.p)	1270	110	0	-N<	20	800	5000
-F	(220)	-	-	-NO <sub>2</sub>	500	1070	1500
-Cl	450	550	400	-S-	440	-	-
-Br	(550)	-	-	=PO <sub>4</sub> <sup>-</sup>	740	1890	13000
-CN	430	1100	2500	ring	190	-	-

## 3.5. Prediction of solubility parameter components

- Theoretical calculation : an example

*diacetone alcohol*



$$V = 123.8 \text{ cm}^3/\text{mol}$$

$$\delta_d = \frac{\sum F_{di}}{V} = \frac{1960}{123.8} = 15.8 \text{ J}^{1/2} \text{ cm}^{-3/2}$$

$$\delta_p = \frac{\sqrt{\sum F_{pi}^2}}{V} = \frac{\sqrt{842900}}{123.8} = 7.4 \text{ J}^{1/2} \text{ cm}^{-3/2}$$

$$\delta_h = \frac{\sqrt{\sum E_{hi}}}{V} = \frac{\sqrt{22000}}{123.8} = 13.3 \text{ J}^{1/2} \text{ cm}^{-3/2}$$

Groups	$F_{di}$	$F_{pi}^2$	$E_{hi}$
3 -CH <sub>3</sub>	1260	0	0
-CH <sub>2</sub> -	270	0	0
<del>C</del>	-70	0	0
-CO-	290	592900	2000
-OH	210	250000	20000
	1960	842900	22000

$$\delta = \sqrt{\delta_d^2 + \delta_p^2 + \delta_h^2} = 21.9 \text{ J}^{1/2} \text{ cm}^{-3/2}$$

cf. experimental value : 18.8 – 20.8 J<sup>1/2</sup>cm<sup>-3/2</sup>

## 3.5. Prediction of solubility parameter components

- To conclude we give the full equation which determines the solubility of a polymer in an organic liquids :

$$\Delta\bar{\delta} = \left[ (\delta_{d.P} - \delta_{d.S})^2 + (\delta_{p.P} - \delta_{p.S})^2 + (\delta_{h.P} - \delta_{h.S})^2 \right]^{\frac{1}{2}}$$

- ❖ For a good solubility  $\Delta\bar{\delta}$  must be small ( $\leq 5$ )







# Keywords in Chapter 4

- Mean field lattice theory for mixture
  - Flory interaction parameter
    - Lever rule
- Spinodal and binodal decomposition in phase diagram
  - Ornstein-Zernike scattering function

