

2. Stress and infinitesimal strain

2.1 Problem definition

- Shallow depth – Block movement in jointed rock mass under low-stress environment

Deep mining – Stress is main concern for the mine stability.
Rock mass behaves as continuum.

- Force
 - Body force: Gravity, magnetic, inertia (F/V)
 - Surface force: Conveyed by physical contact (F/A)

2.2 Force and stress

- Force is a vector with magnitude and direction.

Ex.) $F_x, F_y, F_z, F_1, F_2, \dots$

- Stress is a tensor with magnitude, direction and surface orientation.

Ex.) $\sigma_{xx} (= \sigma_x), \sigma_{xy} (= \sigma_{yx}), \sigma_{yz}, \dots$

surface orientation (normal to the surface)

stress direction

2.2 Force and stress

- Traction is a force per unit area acting on a surface (Fig.2.1(b)).
- “Tensor is a further extension of ideas we already use when defining quantities like scalars and vectors.”

Ex.) Scalar \rightarrow rank zero

Vector \rightarrow rank one

Stress \rightarrow rank two

:

2.2 Force and stress

- Tensor notation
 - Introduced in deriving the theory of relativity by Einstein.
 - Basic idea is to make summation for the repeatedly used subscripts.

Ex.) $a_i b_i = a_x b_x + a_y b_y + a_z b_z$

$$a_{ii} b_k = a_{xx} b_k + a_{yy} b_k + a_{zz} b_k \quad (i: \text{dummy index}, k: \text{free index})$$
$$a_{ik} b_i c_k = a_{xk} b_x c_k + a_{yk} b_y c_k + a_{zk} b_z c_k$$
$$= a_{xx} b_x c_x + a_{xy} b_x c_y + a_{xz} b_x c_z$$
$$+ a_{yx} b_y c_x + a_{yy} b_y c_y + a_{yz} b_y c_z$$
$$+ a_{zx} b_z c_x + a_{zy} b_z c_y + a_{zz} b_z c_z$$

2.2 Force and stress

- Important symbols in tensor notation

- Kronecker delta

$$\delta_{ij} = 1 \text{ if } i=j.$$

$$= 0 \text{ if } i \neq j.$$

Ex.) $\delta_{xx} = 1, \delta_{zz} = 1, \delta_{xy} = 0, \delta_{yz} = 0$

$$\delta_{ij} a_i b_j = a_x b_x + a_y b_y + a_z b_z = a_i b_i$$

- Permutation symbol

$$\epsilon_{ijk} = 0 \text{ if any pair of subscripts are identical.}$$

$$= (-1)^p, \text{ where } p \text{ is the number of subscript transposition.}$$

Ex.) $\epsilon_{xyz} = 1, \epsilon_{xyx} = 0, \epsilon_{yyz} = 0, \epsilon_{xzz} = 0$

$$\epsilon_{xzy} = -1, \epsilon_{zxy} = 1, \epsilon_{yxz} = -1, \epsilon_{zyx} = -1$$

2.2 Force and stress

- Linear algebra in tensor notation

- Matrix operation

$$A\mathbf{x} = \mathbf{b} \rightarrow a_{ij}x_j = b_i$$

$$AB = Y \rightarrow a_{ij}b_{jk} = Y_{ik}$$

$$A^T B = Z \rightarrow a_{ji}b_{jk} = Z_{ik}$$

$$\mathbf{x}^T B \mathbf{x} = c \rightarrow x_i b_{ij} x_j = c$$

- Derivatives

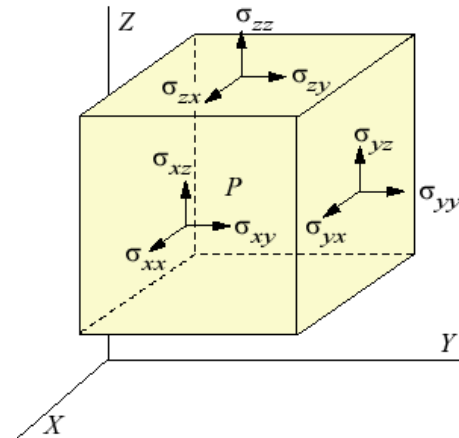
$$\mathit{Grad} \mathbf{f} = \nabla \mathbf{f} = \frac{\partial \mathbf{f}}{\partial x} \hat{e}_x + \frac{\partial \mathbf{f}}{\partial y} \hat{e}_y + \frac{\partial \mathbf{f}}{\partial z} \hat{e}_z = \mathbf{f}_{,i}$$

$$\mathit{Div} \vec{V} = \nabla \cdot \vec{V} = \frac{\partial V_x}{\partial x} + \frac{\partial V_y}{\partial y} + \frac{\partial V_z}{\partial z} = V_{i,i}$$

2.2 Force and stress

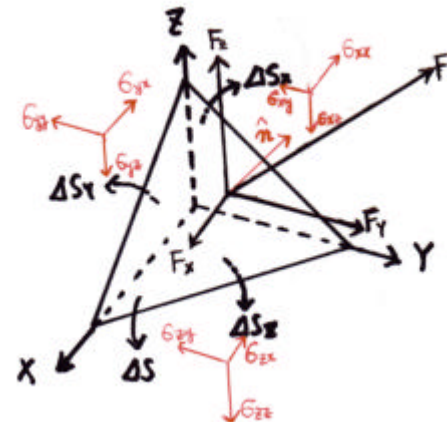
- Stress in rectangular coordinates

$$[\mathbf{S}] = \begin{bmatrix} \mathbf{S}_{xx} & \mathbf{S}_{xy} & \mathbf{S}_{xz} \\ \mathbf{S}_{yx} & \mathbf{S}_{yy} & \mathbf{S}_{yz} \\ \mathbf{S}_{zx} & \mathbf{S}_{zy} & \mathbf{S}_{zz} \end{bmatrix} = \begin{bmatrix} \mathbf{S}_{xx} & \mathbf{S}_{xy} & \mathbf{S}_{xz} \\ \mathbf{S}_{xy} & \mathbf{S}_{yy} & \mathbf{S}_{yz} \\ \mathbf{S}_{xz} & \mathbf{S}_{yz} & \mathbf{S}_{zz} \end{bmatrix}$$



- Stress in an arbitrary plane

$$\hat{n} = \left(\frac{\Delta S_x}{\Delta S}, \frac{\Delta S_y}{\Delta S}, \frac{\Delta S_z}{\Delta S} \right)$$



2.2 Force and stress

$$\vec{F} = \vec{F}_x + \vec{F}_y + \vec{F}_z$$

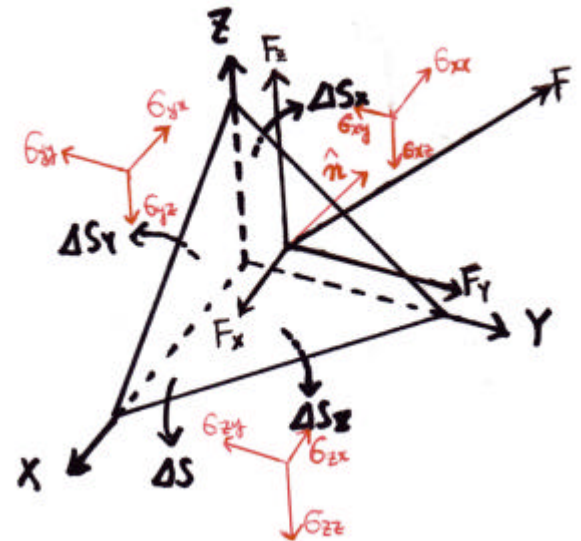
$$\Delta S t^{\vec{n}} = \Delta S t_x^{\vec{n}} + \Delta S t_y^{\vec{n}} + \Delta S t_z^{\vec{n}}$$

$$\Delta S t_x^{\hat{n}} = \Delta S_x \mathbf{s}_{xx} + \Delta S_y \mathbf{s}_{yx} + \Delta S_z \mathbf{s}_{zx}$$

$$\Delta S t_y^{\hat{n}} = \Delta S_x \mathbf{s}_{xy} + \Delta S_y \mathbf{s}_{yy} + \Delta S_z \mathbf{s}_{zy}$$

$$\Delta S t_z^{\hat{n}} = \Delta S_x \mathbf{s}_{xz} + \Delta S_y \mathbf{s}_{yz} + \Delta S_z \mathbf{s}_{zz}$$

$$t_i^{\hat{n}} = n_j \mathbf{s}_{ji} \quad (= \mathbf{s}_{ij} n_j)$$



2.2 Force and stress

- Equilibrium conditions

- Force equilibrium & moment equilibrium

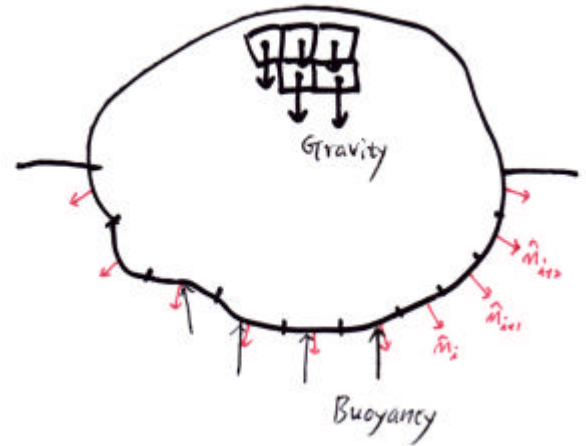
$$\sum \vec{F} = 0, \quad \sum \vec{M} = 0$$

- Force equilibrium

$$\int_s t_i^{\hat{n}} ds + \int_v \mathbf{r} b_i dv = 0$$

$$\int_s n_j \mathbf{s}_{ji} ds = \int_v \mathbf{s}_{ji,j} dv = 0 \quad (\text{Divergence theorem})$$

$$\rightarrow \mathbf{s}_{ji,j} + \mathbf{r} b_i = 0$$



2.2 Force and stress

- Moment equilibrium

$$\vec{M} = \vec{r} \times \vec{F} \quad (= \mathbf{e}_{ijk} r_j F_k)$$

$$\int_s \vec{r} \times t^{\hat{n}} ds + \int_v \vec{r} \times \mathbf{r} \vec{b} dv = 0$$

$$\rightarrow \mathbf{e}_{ijk} \mathbf{s}_{jk} = 0$$

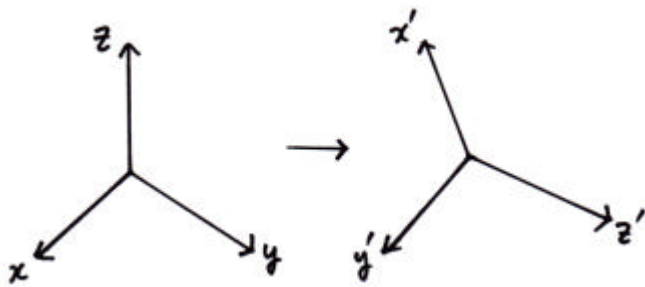
$$i = x: \quad \mathbf{s}_{yz} - \mathbf{s}_{zy} = 0$$

$$i = y: \quad \mathbf{s}_{zx} - \mathbf{s}_{xz} = 0 \quad \rightarrow \quad \mathbf{s}_{ij} = \mathbf{s}_{ji}$$

$$i = z: \quad \mathbf{s}_{xy} - \mathbf{s}_{yx} = 0$$

2.3 Stress transformation

- Vector transformation



	x	y	z	
x'	$\cos \mathbf{q}_{x'x}$	$\cos \mathbf{q}_{x'y}$	$\cos \mathbf{q}_{x'z}$	\rightarrow
y'	$\cos \mathbf{q}_{y'x}$	$\cos \mathbf{q}_{y'y}$	$\cos \mathbf{q}_{y'z}$	
z'	$\cos \mathbf{q}_{z'x}$	$\cos \mathbf{q}_{z'y}$	$\cos \mathbf{q}_{z'z}$	

$$\rightarrow \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

2.3 Stress transformation

- Stress transformation

$$u_i' = a_{ip} u_p, \quad v_j' = a_{jq} v_q \quad (\text{vector transformation})$$

$$u_i' v_j' = \mathbf{S}_{ij}' \quad (\text{outer product})$$

$$u_i' v_j' = a_{ip} u_p a_{jq} v_q = a_{ip} a_{jq} u_p v_q = a_{ip} a_{jq} \mathbf{S}_{pq} = a_{ip} \mathbf{S}_{pq} a_{jq}$$

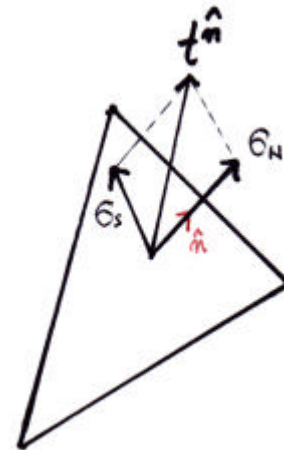
$$\rightarrow \mathbf{S}_{ij}' = a_{ip} \mathbf{S}_{pq} a_{jq} \quad (\Sigma' = A \cdot \Sigma \cdot A^T)$$

2.4 Principal stress and stress invariants

- Principal stress
 - Traction in a surface can be decomposed into normal and shear stresses which are in the same plane with the traction.

$$\mathbf{s}_N = \hat{\mathbf{n}} \cdot \mathbf{t}^{\hat{\mathbf{n}}}$$

$$\mathbf{s}_S = \mathbf{t}^{\hat{\mathbf{n}}} - \mathbf{s}_N$$



2.4 Principal stress and stress invariants

- The surface on which shear component of the traction is zero is a **principal plane** and its normal component is called **principal stress**.

$$\begin{aligned} t^{\hat{n}} &= \Sigma \cdot \hat{n} = \mathbf{s} \hat{n} \\ \mathbf{s}_{ij} n_j - \mathbf{s} n_i &= 0 \\ (\mathbf{s}_{ij} - \mathbf{s} \mathbf{d}_{ij}) n_j &= 0 \end{aligned} \quad \begin{bmatrix} \mathbf{s}_{xx} - \mathbf{s} & \mathbf{s}_{xy} & \mathbf{s}_{xz} \\ \mathbf{s}_{xy} & \mathbf{s}_{yy} - \mathbf{s} & \mathbf{s}_{yz} \\ \mathbf{s}_{xz} & \mathbf{s}_{yz} & \mathbf{s}_{zz} - \mathbf{s} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

When $\mathbf{s}_1 \geq \mathbf{s}_2 \geq \mathbf{s}_3$

\mathbf{s}_1 : major principal stress

\mathbf{s}_2 : intermediate principal stress

\mathbf{s}_3 : minor principal stress

2.4 Principal stress and stress invariants

- Stress invariants

$$\begin{vmatrix} \mathbf{s}_{xx} - \mathbf{s} & \mathbf{s}_{xy} & \mathbf{s}_{xz} \\ \mathbf{s}_{xy} & \mathbf{s}_{yy} - \mathbf{s} & \mathbf{s}_{yz} \\ \mathbf{s}_{xz} & \mathbf{s}_{yz} & \mathbf{s}_{zz} - \mathbf{s} \end{vmatrix} = 0 \quad \text{for } \hat{n} \neq (0,0,0)$$

$$\rightarrow \mathbf{s}^3 - I_1 \mathbf{s}^2 + I_2 \mathbf{s} - I_3 = 0$$

$$I_1 = \mathbf{s}_{ii} \quad (= \mathbf{s}_{xx} + \mathbf{s}_{yy} + \mathbf{s}_{zz})$$

$$I_2 = \frac{1}{2} [\mathbf{s}_{ii} \mathbf{s}_{jj} - \mathbf{s}_{ij} \mathbf{s}_{ij}] \quad (= \mathbf{s}_{xx} \mathbf{s}_{yy} + \mathbf{s}_{xx} \mathbf{s}_{zz} + \mathbf{s}_{yy} \mathbf{s}_{zz} - \mathbf{s}_{xy} \mathbf{s}_{xy} - \mathbf{s}_{xz} \mathbf{s}_{xz} - \mathbf{s}_{yz} \mathbf{s}_{yz})$$

$$I_3 = |\mathbf{s}_{ij}|$$

CUBIC EQUATION: $x^3 + a_1x^2 + a_2x + a_3 = 0$

Let

$$Q = \frac{3a_2 - a_1^2}{9}, \quad R = \frac{9a_1a_2 - 27a_3 - 2a_1^3}{54},$$

$$S = \sqrt[3]{R + \sqrt{Q^3 + R^2}}, \quad T = \sqrt[3]{R - \sqrt{Q^3 + R^2}}$$

Solutions:

$$\begin{cases} x_1 = S + T - \frac{1}{3}a_1 \\ x_2 = -\frac{1}{2}(S + T) - \frac{1}{3}a_1 + \frac{1}{2}i\sqrt{3}(S - T) \\ x_3 = -\frac{1}{2}(S + T) - \frac{1}{3}a_1 - \frac{1}{2}i\sqrt{3}(S - T) \end{cases}$$

If a_1, a_2, a_3 are real and if $D = Q^3 + R^2$ is the *discriminant*, then

- (i) one root is real and two complex conjugate if $D > 0$
- (ii) all roots are real and at least two are equal if $D = 0$
- (iii) all roots are real and unequal if $D < 0$.

If $D < 0$, computation is simplified by use of trigonometry.

Solutions if $D < 0$:

$$\begin{cases} x_1 = 2\sqrt{-Q} \cos(\frac{1}{3}\theta) - \frac{1}{3}a_1 \\ x_2 = 2\sqrt{-Q} \cos(\frac{1}{3}\theta + 120^\circ) - \frac{1}{3}a_1 \\ x_3 = 2\sqrt{-Q} \cos(\frac{1}{3}\theta + 240^\circ) - \frac{1}{3}a_1 \end{cases} \quad \text{where } \cos \theta = R/\sqrt{-Q^3}$$

$$x_1 + x_2 + x_3 = -a_1, \quad x_1x_2 + x_2x_3 + x_3x_1 = a_2, \quad x_1x_2x_3 = -a_3$$

where x_1, x_2, x_3 are the three roots.

2.5 Differential equations of static equilibrium

Refer to section 2.2

$$\mathbf{s}_{ji,j} + \mathbf{r}b_i = 0$$

$$\frac{\partial \mathbf{s}_{xx}}{\partial x} + \frac{\partial \mathbf{s}_{xy}}{\partial y} + \frac{\partial \mathbf{s}_{xz}}{\partial z} + \mathbf{r}b_x = 0$$

$$\frac{\partial \mathbf{s}_{yx}}{\partial x} + \frac{\partial \mathbf{s}_{yy}}{\partial y} + \frac{\partial \mathbf{s}_{yz}}{\partial z} + \mathbf{r}b_y = 0$$

$$\frac{\partial \mathbf{s}_{zx}}{\partial x} + \frac{\partial \mathbf{s}_{zy}}{\partial y} + \frac{\partial \mathbf{s}_{zz}}{\partial z} + \mathbf{r}b_z = 0$$

2.6 Plane problems and biaxial stress

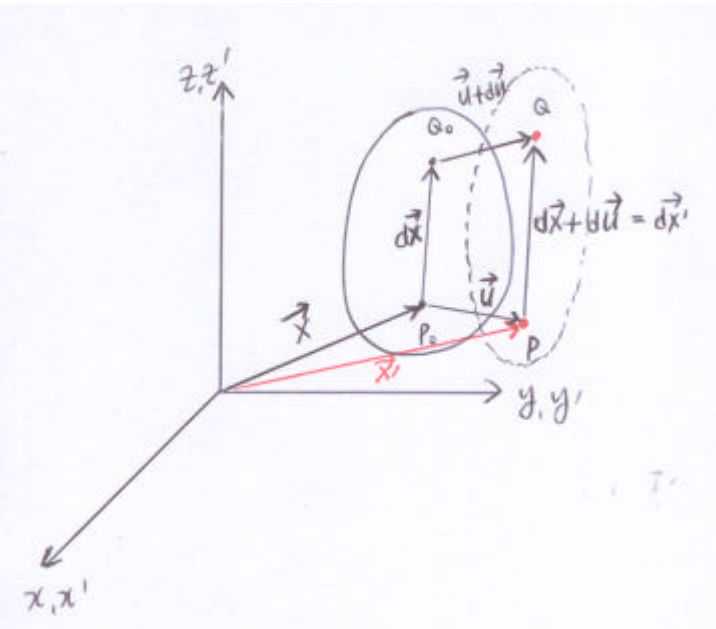
Apply the principles in 3D to 2D problems

Problem

- Calculate traction, normal stress and shear stress in a surface whose normal vector is as follow (Σ is stress on the object).

$$\hat{n} = \left(\frac{2}{3}, -\frac{2}{3}, \frac{1}{3} \right) \quad \Sigma = \begin{bmatrix} 7 & 0 & -2 \\ 0 & 5 & 0 \\ -2 & 0 & 4 \end{bmatrix}$$

2.7 Displacement and strain



$$\vec{X} = (x, y, z) \quad \vec{X}' = (x', y', z')$$

$$\vec{u} = \vec{X}' - \vec{X} \quad d\vec{X}' = d\vec{X} + d\vec{u}$$

$$(dX')^2 = d\vec{X}' \cdot d\vec{X}' \rightarrow dX'_k dX'_k$$

$$dX'_k = \frac{\partial X'_k}{\partial X_j} dX_j$$

$$(dX')^2 - (dX)^2 = \frac{\partial X'_k}{\partial X_i} \frac{\partial X'_k}{\partial X_j} dX_i dX_j - \mathbf{d}_{ij} dX_i dX_j$$

$$= \left(\frac{\partial X'_k}{\partial X_i} \frac{\partial X'_k}{\partial X_j} - \mathbf{d}_{ij} \right) dX_i dX_j$$

2.7 Displacement and strain

$$L_{ij} = \frac{1}{2} \left(\frac{\partial X'_k}{\partial X_i} \frac{\partial X'_k}{\partial X_j} - \mathbf{d}_{ij} \right)$$

$$X'_k = u_k + X_k$$

$$\begin{aligned} L_{ij} &= \frac{1}{2} \left(\left(\frac{\partial u_k}{\partial X_i} + \mathbf{d}_{ki} \right) \left(\frac{\partial u_k}{\partial X_j} + \mathbf{d}_{kj} \right) - \mathbf{d}_{ij} \right) \\ &= \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} + \frac{\partial u_k}{\partial X_i} \frac{\partial u_k}{\partial X_j} + \mathbf{d}_{ij} - \mathbf{d}_{ij} \right) \\ &\approx \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right) \quad \text{for infinitesimal displacement} \end{aligned}$$

$$\therefore (dX')^2 - (dX)^2 = 2L_{ij} dX_i dX_j$$

2.7 Displacement and strain

$$L_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right)$$

$$[L_{ij}] = \begin{bmatrix} \frac{\partial u_x}{\partial X_x} & \frac{1}{2} \left(\frac{\partial u_x}{\partial X_y} + \frac{\partial u_y}{\partial X_x} \right) & \frac{1}{2} \left(\frac{\partial u_x}{\partial X_z} + \frac{\partial u_z}{\partial X_x} \right) \\ \frac{1}{2} \left(\frac{\partial u_y}{\partial X_x} + \frac{\partial u_x}{\partial X_y} \right) & \frac{\partial u_y}{\partial X_y} & \frac{1}{2} \left(\frac{\partial u_y}{\partial X_z} + \frac{\partial u_z}{\partial X_y} \right) \\ \frac{1}{2} \left(\frac{\partial u_z}{\partial X_x} + \frac{\partial u_x}{\partial X_z} \right) & \frac{1}{2} \left(\frac{\partial u_z}{\partial X_y} + \frac{\partial u_y}{\partial X_z} \right) & \frac{\partial u_z}{\partial X_z} \end{bmatrix}$$

.....Linear (Infinitesimal) strain tensor

2.7 Displacement and strain

- Normal strain

$$\begin{aligned}(dX')^2 - (dX)^2 &= (dX' - dX)(dX' + dX) \\ &\approx 2(dX' - dX)dX = 2L_{ij}dX_i dX_j\end{aligned}$$

$$dX' - dX = \frac{L_{ij}dX_i dX_j}{dX}$$

$$\frac{dX' - dX}{dX} = \frac{dX_i}{dX} L_{ij} \frac{dX_j}{dX} = \hat{n} \cdot L \cdot \hat{n} \quad (\text{normal strain})$$

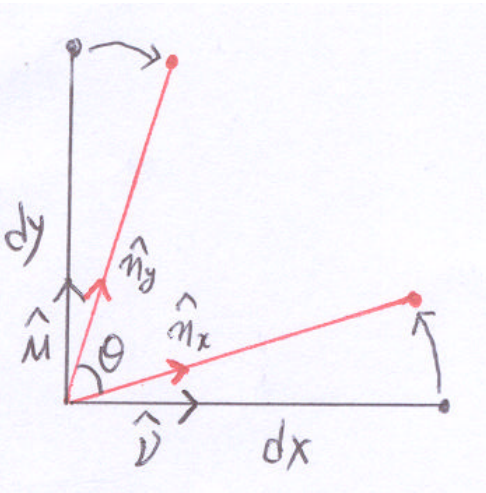
$$\hat{e}_x \cdot L \cdot \hat{e}_x = L_{xx}$$

$$\hat{e}_y \cdot L \cdot \hat{e}_y = L_{yy}$$

$$\hat{e}_z \cdot L \cdot \hat{e}_z = L_{zz}$$

2.7 Displacement and strain

• Shear strain



$$\hat{n}_x \cdot \hat{n}_y = \cos \mathbf{q} = \sin \left(\frac{\mathbf{p}}{2} - \mathbf{q} \right) = \sin \mathbf{g} \approx \mathbf{g} \quad (\text{shear strain})$$

$$L_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right) = \frac{1}{2} (J + J_c)$$

$$d\vec{u} = \frac{\partial u_i}{\partial X_j} dX_j = J \cdot d\vec{X}$$

$$\hat{n}_x \approx \hat{u} + J \cdot \hat{u}, \quad \hat{n}_y \approx \hat{m} + J \cdot \hat{m}$$

$$\begin{aligned} \mathbf{g} &= \hat{n}_x \cdot \hat{n}_y = (\hat{u} + J \cdot \hat{u}) \cdot (\hat{m} + J \cdot \hat{m}) \\ &= \hat{u} \cdot \hat{m} + \hat{u} \cdot (J + J_c) \cdot \hat{m} + \hat{u} \cdot J_c \cdot J \cdot \hat{m} \\ &= \hat{u} \cdot 2L \cdot \hat{m} \end{aligned}$$

$$\hat{e}_x \cdot L \cdot \hat{e}_y = L_{xy} = \frac{1}{2} \mathbf{g}_{xy} \quad \hat{e}_y \cdot L \cdot \hat{e}_z = L_{yz} = \frac{1}{2} \mathbf{g}_{yz}$$

$$\hat{e}_z \cdot L \cdot \hat{e}_x = L_{zx} = \frac{1}{2} \mathbf{g}_{zx}$$

2.7 Displacement and strain

$$L_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right)$$

$$[L_{ij}] = \begin{bmatrix} \mathbf{e}_x & \frac{1}{2} \mathbf{g}_{xy} & \frac{1}{2} \mathbf{g}_{xz} \\ \frac{1}{2} \mathbf{g}_{xy} & \mathbf{e}_y & \frac{1}{2} \mathbf{g}_{yz} \\ \frac{1}{2} \mathbf{g}_{xz} & \frac{1}{2} \mathbf{g}_{yz} & \mathbf{e}_z \end{bmatrix}$$

2.7 Displacement and strain

- Decomposition of relative displacement (du)

$$\begin{aligned}
 du_i &= \frac{\partial u_i}{\partial X_j} dX_j \\
 &= \left[\frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right) + \frac{1}{2} \left(\frac{\partial u_i}{\partial X_j} - \frac{\partial u_j}{\partial X_i} \right) \right] dX_j \\
 &= [L_{ij} + \Omega_{ij}] dX_j
 \end{aligned}$$

$$[\Omega_{ij}] = \begin{bmatrix} 0 & \mathbf{w}_{xy} & \mathbf{w}_{xz} \\ -\mathbf{w}_{xy} & 0 & \mathbf{w}_{yz} \\ -\mathbf{w}_{xz} & -\mathbf{w}_{yz} & 0 \end{bmatrix} \quad \dots \text{rotation tensor}$$

$$= \begin{bmatrix} 0 & -\Omega_z & \Omega_y \\ \Omega_z & 0 & -\Omega_x \\ -\Omega_y & \Omega_x & 0 \end{bmatrix} \left(\vec{\Omega} = \frac{1}{2} \vec{\nabla} \times \vec{u} = \frac{1}{2} \begin{bmatrix} \hat{e}_x & \hat{e}_y & \hat{e}_z \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ u_x & u_y & u_z \end{bmatrix} \right)$$

2.8 Principal strains, strain transformation, volumetric strain and deviator strain

Principal strains: Eigen values/vectors of strain tensor

Strain transformation: analogous to the stress transformation

$$\begin{aligned} \text{Volumetric strain} &= \frac{V - V_0}{V_0} = \frac{(1 + \mathbf{e}_1)(1 + \mathbf{e}_2)(1 + \mathbf{e}_3) dX_1 dX_2 dX_3}{dX_1 dX_2 dX_3} - 1 \\ &= \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_1 \mathbf{e}_2 + \mathbf{e}_2 \mathbf{e}_3 + \mathbf{e}_3 \mathbf{e}_1 + \mathbf{e}_1 \mathbf{e}_2 \mathbf{e}_3 \\ &\approx \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 \end{aligned}$$

$$\text{Deviator strain} = \begin{bmatrix} \mathbf{e}_x - \Delta/3 & \mathbf{g}_{xy} & \mathbf{g}_{xz} \\ \mathbf{g}_{xy} & \mathbf{e}_y - \Delta/3 & \mathbf{g}_{yz} \\ \mathbf{g}_{xz} & \mathbf{g}_{yz} & \mathbf{e}_z - \Delta/3 \end{bmatrix} \quad \Delta : \text{volumetric strain}$$

2.9 Strain compatibility equations

Independent strain components: 6 ($\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z, \mathbf{g}_{xy}, \mathbf{g}_{yz}, \mathbf{g}_{zx}$)

Independent displacement components : 3 (u_x, u_y, u_z)

Random $u_x, u_y, u_z \rightarrow \mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z, \mathbf{g}_{xy}, \mathbf{g}_{yz}, \mathbf{g}_{zx}$ (o)

Random $\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z, \mathbf{g}_{xy}, \mathbf{g}_{yz}, \mathbf{g}_{zx} \rightarrow u_x, u_y, u_z$ (x)

Finding restricting conditions:

$$\mathbf{e}_{xx} = \frac{\partial u_x}{\partial X_x}, \quad \mathbf{e}_{yy} = \frac{\partial u_y}{\partial X_y}, \quad \mathbf{e}_{xy} = \frac{1}{2} \left(\frac{\partial u_x}{\partial X_y} + \frac{\partial u_y}{\partial X_x} \right)$$

$$\frac{\partial^2 \mathbf{e}_{xx}}{\partial X_y^2} = \frac{\partial^3 u_x}{\partial X_x \partial X_y^2}, \quad \frac{\partial^2 \mathbf{e}_{yy}}{\partial X_x^2} = \frac{\partial^3 u_y}{\partial X_x^2 \partial X_y}, \quad \frac{\partial^2 \mathbf{e}_{xy}}{\partial X_x \partial X_y} = \frac{1}{2} \left(\frac{\partial^3 u_x}{\partial X_x \partial X_y^2} + \frac{\partial^3 u_y}{\partial X_x^2 \partial X_y} \right)$$

$$\therefore \frac{\partial^2 \mathbf{e}_{xx}}{\partial X_y^2} + \frac{\partial^2 \mathbf{e}_{yy}}{\partial X_x^2} = 2 \frac{\partial^2 \mathbf{e}_{xy}}{\partial X_x \partial X_y}$$

2.9 Strain compatibility equations

$$\frac{\partial^2 \mathbf{e}_{xx}}{\partial X_y^2} + \frac{\partial^2 \mathbf{e}_{yy}}{\partial X_x^2} = 2 \frac{\partial^2 \mathbf{e}_{xy}}{\partial X_x \partial X_y}$$

$$\frac{\partial^2 \mathbf{e}_{yy}}{\partial X_z^2} + \frac{\partial^2 \mathbf{e}_{zz}}{\partial X_x^2} = 2 \frac{\partial^2 \mathbf{e}_{yz}}{\partial X_y \partial X_z}$$

$$\frac{\partial^2 \mathbf{e}_{zz}}{\partial X_x^2} + \frac{\partial^2 \mathbf{e}_{xx}}{\partial X_z^2} = 2 \frac{\partial^2 \mathbf{e}_{zx}}{\partial X_x \partial X_z}$$

$$\frac{\partial}{\partial X_x} \left(-\frac{\partial \mathbf{e}_{yz}}{\partial X_x} + \frac{\partial \mathbf{e}_{zx}}{\partial X_y} + \frac{\partial \mathbf{e}_{xy}}{\partial X_z} \right) = \frac{\partial^2 \mathbf{e}_{xx}}{\partial X_y \partial X_z}$$

$$\frac{\partial}{\partial X_y} \left(\frac{\partial \mathbf{e}_{yz}}{\partial X_x} - \frac{\partial \mathbf{e}_{zx}}{\partial X_y} + \frac{\partial \mathbf{e}_{xy}}{\partial X_z} \right) = \frac{\partial^2 \mathbf{e}_{yy}}{\partial X_z \partial X_x}$$

$$\frac{\partial}{\partial X_z} \left(\frac{\partial \mathbf{e}_{yz}}{\partial X_x} + \frac{\partial \mathbf{e}_{zx}}{\partial X_y} - \frac{\partial \mathbf{e}_{xy}}{\partial X_z} \right) = \frac{\partial^2 \mathbf{e}_{zz}}{\partial X_x \partial X_y}$$

2.10 Stress-strain relations

$$\mathbf{e}_{xx} = \frac{1}{E} [\mathbf{s}_{xx} - n(\mathbf{s}_{yy} + \mathbf{s}_{zz})], \quad \mathbf{e}_{yy} = \frac{1}{E} [\mathbf{s}_{yy} - n(\mathbf{s}_{xx} + \mathbf{s}_{zz})], \quad \mathbf{e}_{zz} = \frac{1}{E} [\mathbf{s}_{zz} - n(\mathbf{s}_{xx} + \mathbf{s}_{yy})]$$

$$\mathbf{g}_{xy} = \frac{1}{G} \mathbf{t}_{xy}, \quad \mathbf{g}_{yz} = \frac{1}{G} \mathbf{t}_{yz}, \quad \mathbf{g}_{zx} = \frac{1}{G} \mathbf{t}_{zx} \quad \left(G = \frac{E}{2(1+n)} \right)$$

$$\begin{bmatrix} \mathbf{e}_{xx} \\ \mathbf{e}_{yy} \\ \mathbf{e}_{zz} \\ \mathbf{g}_{xy} \\ \mathbf{g}_{yz} \\ \mathbf{g}_{zx} \end{bmatrix} = \frac{1}{E} \begin{bmatrix} 1 & -n & -n & 0 & 0 & 0 \\ -n & 1 & -n & 0 & 0 & 0 \\ -n & -n & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2(1+n) & 0 & 0 \\ 0 & 0 & 0 & 0 & 2(1+n) & 0 \\ 0 & 0 & 0 & 0 & 0 & 2(1+n) \end{bmatrix} \begin{bmatrix} \mathbf{s}_{xx} \\ \mathbf{s}_{yy} \\ \mathbf{s}_{zz} \\ \mathbf{t}_{xy} \\ \mathbf{t}_{yz} \\ \mathbf{t}_{zx} \end{bmatrix}$$

$$\begin{bmatrix} \mathbf{s}_{xx} \\ \mathbf{s}_{yy} \\ \mathbf{s}_{zz} \\ \mathbf{t}_{xy} \\ \mathbf{t}_{yz} \\ \mathbf{t}_{zx} \end{bmatrix} = \frac{E(1-n)}{(1+n)(1-2n)} \begin{bmatrix} 1 & n/(1-n) & n/(1-n) & 0 & 0 & 0 \\ n/(1-n) & 1 & n/(1-n) & 0 & 0 & 0 \\ n/(1-n) & n/(1-n) & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{(1-2n)}{2(1-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{(1-2n)}{2(1-n)} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{(1-2n)}{2(1-n)} \end{bmatrix} \begin{bmatrix} \mathbf{e}_{xx} \\ \mathbf{e}_{yy} \\ \mathbf{e}_{zz} \\ \mathbf{g}_{xy} \\ \mathbf{g}_{yz} \\ \mathbf{g}_{zx} \end{bmatrix}$$

2.11 Cylindrical polar co-ordinates

Refer to p.37, textbook

2.12 Geomechanics conventions for displacement, strain and stress

Compressive/contractile force/displacement are positive.
Refer to Figure 2.12.