2009 spring

Microstructural Characterization of Materials

04. 08. 2009

Eun Soo Park

Office: 33-316 Telephone: 880-7221 Email: espark@snu.ac.kr Office hours: by an appointment 1

Resolution ... Airy Discs



d₁~1/aperture-diameter

 $R_1 = d_1/2 = 0.61\lambda/nsin(\alpha) = 0.61\lambda/NA$

Diffraction limited Resolution



Thus the smallest separation is determined by the N.A. (1/2f#) Typically the best objective has N.A ≈1.6 ⇒ resolution ≈170 nm

For $\lambda \sim 400$ nm (green light)

 \Rightarrow **Decrease** λ

Electron Microscopy - Decreasing The Wavelength $\Rightarrow E = \frac{p^2}{2m} = eV$ Energy Conservation $\Rightarrow p = \sqrt{2meV}$ $P = \frac{h}{2}$ $\lambda = \frac{h}{p} = \frac{h}{\sqrt{2meV}} = \frac{6.6 \cdot 10^{-34}}{\sqrt{2 \cdot 9.1 \cdot 10^{-31} \cdot 1.6 \cdot 10^{-19} \cdot 50000}}$ $= \approx 0.05 A$

Resolution (50 kV): $R_1 = 0.61\lambda/NA \sim (0.6 \bullet 0.05)/1.6 \sim 0.2 \text{ Å}$

The Evolution of Resolution



광학현미경과 전자현미경의 비교

항 목	전자현미경	광학현미경					
광 원	전자빔	가시광선					
파 장	0.0859 Å (20KV) ~	7,500Å(가시광선)~					
	0.0251 Å (200KV)	2,000 Å(자외선)					
전파매질	진 공	공 기					
렌즈(방법)	전자기렌즈	유리렌즈					
분해능	점분해능 : 3.5 Å	가시광선 : 2000 Å					
	회절격자분해능 : 1.4Å	자외선 : 1000Å					
사용배율	100X ~ 450,000X	10X ~ 2,000X					
초점조절	전기적	기계적					

Effect of Probe Size : Impact on Resolution



Optical vs. Electron Microscopy



Depth of focus



Depth of focus



Table 4.3. Dep	th of Focus (Field) in μ n α (rad)	n 		
Magnification	5×10^{-3}	1×10^{-2}	3×10^{-2}		
10X	4,000	2,000	670		
50X	800	400	133		
100X	400	200	67		
500X	80	40	13		
1,000X	40	20	6.7		
10,000X	4	2	0.67		
100,000X	0.4	0.2	0.067		







Ideal Res. Limit : Rayleigh limit

Practical Res. is determined by various Lens aberrations

Negligible d_c, d_a $d = \sqrt{d_d^2 + d_s^2}$ $d = \sqrt{d_d^2 + d_s^2 + d_c^2 + d_a^2}$ **0.61**λ α $d_a = \alpha^3 \triangle f$ $d_d =$ Astigmatism: stigmator로 보정 Diffraction 수차 $d_c = C_c \alpha \frac{\triangle E}{E}$ $d_s = C_s \alpha^3 M$ **C**,: 구면수차 계수 **C**_C: 색수차 계수 AE : 0.2-0.3eV for cold FE

Spherical aberration and aperture diffraction vary in opposite directions with α . This leads to the need to find an optimum aperture angle α_{opt} .

SEM - scanning electron microscopy

tiny electron beam scanned across surface of specimen
backscattered or secondary electrons detected
Magnification range 15x to 200,000x
Resolution of 50 Å
Excellent depth of focus
Relatively easy sample prep



Breakdown of an electron microscope



In simplest terms, an SEM is really nothing more than a television. We use a filament to get electrons, magnets to move them around, and a detector acts like a camera to produce an image.

SEM Operation



TV Screen

Scanning Technology



Pixel by pixel image



Digital Z-contrast image

A look inside the column



SEM: Optics #1

- <u>Electron gun</u> produces beam of monochromatic electrons.
- First condenser lens forms beam and limits current ("<u>coarse</u> knob").
 - Condenser aperture eliminates high-angle electrons.
- <u>Second condenser lens</u> forms thinner, coherent beam ("<u>fine</u> knob").
 - Objective aperture further eliminates high-angle electrons from beam.



SEM: Optics #2

- Beam "<u>scanned</u>" by deflectior coils to form image.
- Final <u>objective lens</u> focuses beam onto specimen.
- Beam <u>interacts</u> with sample and outgoing electrons are detected.
- <u>Detector</u> counts electrons at given location and displays intensity.
- Process repeated until scan is finished (usu. 30 frames/ sec)



http://www.unl.edu/CMRAcfem/semoptic.htm

Electron Gun (전자빔)



Electron Emission-electron gun

- Cathode of the gun is the source of electrons for the beam in the electron microscope
- Thermionic emission heat
- Field emission strong electrical field
- Photoelectric emission electromagnetic radiation

Thermionic Emission



Self biasing from a resistor



Thermionic Emission(열전자 방출)



Figure 2-3 Thermionic emission curve of tungsten. Diagram of the energy of the orbital electrons of tungsten showing that the work potential is the difference between the energy necessary for the electrons to escape from the surface of the metal and the energy of the highest-orbital electrons.

Work Function: 전자가 금속표면을 탈출할 때 넘어야 하는 potential energy(V) W.F 낮을 수록 전자방출이 쉽다 W.F 낮은 금속: 원자반경(atomic radius)이 크다 결정구조에서 원자간 간격이 크다

Orbital Electrons in Tungsten(W)

TABLE 2-1 CONFIGURATION OF THE ORBITAL ELECTRONS IN LANTHANUM AND TUNGSTEN ATOMS

	Atomic	K		L		М		Ν				0			Р	
	number	s s	\$	p	s	р	d	\$	р	d	f	s	р	d	s	
Lanthanum	57	2	2	6	2	6	10	2	6	10		2	6		(2)	
Tungsten	74	2	2	6	2	6	10	2	6	10	14	2	6	4	2	

The electrons that are circled are far from the atomic nucleus and are the main contributors to thermionic emission.

Negative electron은 원자의 positive nuclei 주위의 전자궤도 (orbit)에 속박되어 있다.

가장 바깥궤도에 있는 전자: valence electrons

작은 holding force와 높은 에너지를 가지므로 상대적으로 떼 어내기 쉬우나, 낮은 표면탈출에 필요한 운동에너지가 적음

1. Tungsten Hairpin Electron Gun

Inexpensive, low mag. high current (x-ray microanalysis), low vacuum(10⁻⁵torr), t=30-100h



V-shaped hairpin tip of d = 100μm 대부분의 금속 : 열전자 방출 전에 용융, 융점이 높은 W 사용 25

Electron source assembly

Removed Wehnelt cap







Electron Gun(W-filament)



Thermal emission



No electrons can be above the valence band at 0K, since none have energy above the Fermi level and there are no available energy states in the band gap.

At high temperatures, some electrons can reach the conduction band and contribute to electric current.

$$f(E) = \frac{1}{\exp\frac{(E - E_f)}{kT} + 1} \approx \exp\frac{\left(E - E_f\right)}{kT}$$

Operation of the Self-biased Gun



29

열전자 방출 전자총



Cathode(Filament): 열전자 방출(W, LaB₆)

Wehnelt: 열전자 집속, 1~3 mm 직경의 aperture (hole)

Anode: 열전자 가속

가속전압(Accelerating Voltage): cathode와 Anode 사이의 potential 차이

- 보통 30,000V (30kV)



Brightness : concept of current density

$$\beta = \frac{\text{current}}{\text{area} \times \text{solid angle}} = \frac{4i_b}{\pi^2 d^2 \alpha^2} \text{ A/cm}^2 \text{sr}$$

Brightness $\uparrow \Rightarrow$ current \uparrow in same beam size \Rightarrow beam diameter \downarrow in same current

Maximum theoretical Brightness (Langmuir eq'n)

$$\beta_{\text{max}} = \frac{J_c e V_o}{\pi kT} \text{ A/cm}^2 \text{ sr for thermionic gun} \sim 9.2 \times 10^4 \text{ A/cm}^2 \text{ sr}$$

$$\beta_{\rm max} = \frac{J_c e V_o}{\pi \Delta E} \,\text{A/cm}^2 \text{sr for field emission gun}$$

→ ΔE 0.3eV for cold emission $\beta_{\text{max}} \sim 2 \times 10^{9} \text{A/cm}^{2} \text{sr}$

2. LaB₆ Electron Gun

High brightness(5-10times) : lower work function(2.5eV : 4.5eV for W), expensive but longer lifetime(1000hr), high vacuum(10⁻⁷torr)

 $\beta_{\text{max}} = 10^{5} \text{ A/cm}^{2} \text{ sr}$



간접 가열 방식

직접 가열 방식

33

