### **Chapter 5**

# **Ionic Polymerization**

- Ionic polym'n is
  - □ specific to monomer Table 5.1 p124
    - cationic polym'n for CH<sub>2</sub>=CH(X) with X that donate e<sup>-</sup> and/or resonance-stabilize (+) charge [inductive and/or resonance e<sup>-</sup> donating X]
      - $CH_2 = CH(OR)$ ,  $CH_2 = C(R_1)(R_2)$
      - $CH_2 = CH(Ph)$ ,  $CH_2 = C(R)(Ph)$ ,  $CH_2 = CH(CH = CH_2)$ ,  $CH_2 = CH(CR = CH_2)$
      - CH<sub>2</sub>=CH(R) ~ too-weakly donating with short R (like Me)
    - anionic ---
      - $CH_2 = CH(COOR)$ ,  $CH_2 = C(R)(COOR)$ ,  $CH_2 = CH(C \equiv N)$ ,  $CH_2 = C(R)(C \equiv N)$
    - Both possible for styrenics, dienes
      - weakly inductive-donating
      - resonance-stabilizing both (+) and (-) charge [+M/-M]
    - Both not facile for vinyl halides, vinyl esters

#### Ionic polym'n is (cont'd)

much faster than radical polym'n

 $\leftarrow$  high conc'n of propagating chain

influenced by counter-ion [gegenion]

■ ----C<sup>+</sup> A<sup>-</sup> or ----C<sup>-</sup> M<sup>+</sup>

influenced by solvent

---C<sup>+</sup> A<sup>-</sup> [contact] ---C<sup>+</sup> //A<sup>-</sup> [separated] ---C<sup>+</sup> + A<sup>-</sup> [free]

# Cationic polym'n: mechanism

initiation

□ with protonic acid, HA



*Ch* 5 *SI* 4

- not HCI or HBr ← counter-ion [A-] too nucleophilic
- H<sub>2</sub>SO<sub>4</sub>, HClO<sub>4</sub> popular

with Lewis acid



- initiator/cocatalyst ~ a misnomer
  - activator/initiator, actually



- head-to-tail
- when C<sup>+</sup> rearrangement possible  $\rightarrow$  isomerization polym'n
- termination and chain transfer
  - <u>no</u> bimolecular termination
  - ion-pair rearrangement



chain transfer to counter-ion, spontaneous termination

#### termination and chain transfer (cont'd)

CT to monomer



□ CT to solvent, impurity, or polymer also possible

β-H electrophilic due to hyperconjugation



### Kinetics of cationic polym'n

rate of polym'n

$$Initiation: \mathbb{R}^{+} \mathbb{A}^{-} + \mathbb{M} \xrightarrow{k_{i}} \mathbb{R} \mathbb{M}_{1}^{+} \mathbb{A}^{-}$$

$$Propagation: \mathbb{R} \mathbb{M}_{n}^{+} \mathbb{A}^{-} + \mathbb{M} \xrightarrow{k_{p}} \mathbb{R} \mathbb{M}_{n+1}^{+} \mathbb{A}^{-}$$

$$Ion-pair rearrangement: \mathbb{R} \mathbb{M}_{n}^{+} \mathbb{A}^{-} \xrightarrow{k_{t}} \mathbb{R} \mathbb{M}_{n} + \mathbb{H}^{+} \mathbb{A}^{-}$$

$$Chain transfer to monomer: \mathbb{R} \mathbb{M}_{n}^{+} \mathbb{A}^{-} + \mathbb{M} \xrightarrow{k_{tM}} \mathbb{R} \mathbb{M}_{n} + \mathbb{H} \mathbb{M}_{1}^{+} \mathbb{A}^{-}$$

$$R_{p} = -\frac{d[\mathbb{M}]}{dt} = k_{p}[\mathbb{M}][\mathbb{M}^{+}] \qquad [\mathbb{M}^{+}] = \sum_{n=1}^{\infty} [\mathbb{R} \mathbb{M}_{n}^{+}]$$

$$\frac{d[\mathbb{M}^{+}]}{dt} = k_{i}[\mathbb{R}^{+} \mathbb{A}^{-}][\mathbb{M}] - k_{i}[\mathbb{M}^{+}] = 0 \quad \boldsymbol{\leftarrow} \text{ s-s condition}$$

$$\mathbb{S} \text{-s not achieved in most cases; so fast}$$

$$R_{p} = \left(\frac{k_{i}k_{p}}{k_{t}}\right) [\mathbb{R}^{+} \mathbb{A}^{-}][\mathbb{M}]^{2} \qquad \boldsymbol{\leftarrow} [\mathbb{M}^{+}] = \left(\frac{k_{i}}{k_{t}}\right) [\mathbb{R}^{+} \mathbb{A}^{-}][\mathbb{M}]$$

*Ch 5 Sl 7* 

□ R<sub>p</sub> (cont'd)

$$R_{\rm p} = \left(\frac{k_{\rm i}k_{\rm p}}{k_{\rm t}}\right) [\mathrm{R}^{+}\mathrm{A}^{-}][\mathrm{M}]^{2}$$

radical polym'n  

$$R_{\rm p} = k_p \left(\frac{fk_{\rm d}}{k_{\rm t}}\right)^{1/2} [\rm M][\rm I]^{1/2}$$

□ 2nd-order on [M] ■ when  $R^{+}A^{-} + M \xrightarrow{k_{i}} RM_{1}^{+}A^{-}$  is RDS. (most cases)

□ If  $BF_3+H_2O$  →  $H^+(BF_3OH)^-$  is RDS, 1st-order on [M].

□ If transferred active center re-initiate rapidly,  $[M^+] = [R^+A^-]$  and  $R_p = k_p[M][R^+A^-]$ .

$$\mathbf{X}_{n} \quad \overline{x}_{n} = \frac{k_{p}[M][M^{+}]}{k_{t}[M^{+}] + k_{trM}[M^{+}][M]} \longrightarrow \frac{1}{\overline{x}_{n}} = \frac{k_{t}}{k_{p}[M]} + \frac{k_{trM}}{k_{p}}$$
$$\square \text{ If no CT to M, } (\overline{x}_{n})_{0} = (k_{p}/\overline{k}_{t})[M].$$

#### □ effect of Temp

$$\frac{d\ln(R_p)}{dT} = \frac{E_i + E_p - E_t}{\mathbf{R}T^2} \quad \text{can be} > 0 \text{ or } < 0$$
  
• activated C=C by subs  $\rightarrow E_i$  and  $E_p$  small and  $< E_t$ 

$$\frac{\mathrm{d}\ln(\bar{x}_{\mathrm{n}})_{0}}{\mathrm{d}T} = \frac{E_{\mathrm{p}} - E_{\mathrm{t}}}{\mathbf{R}T^{2}} < \mathbf{0}$$

As Temp up, x<sub>n</sub> down.

$$\frac{\mathrm{d}\ln(C_{\mathrm{tr}})}{\mathrm{d}T} = \frac{E_{\mathrm{tr}} - E_{\mathrm{p}}}{\mathbf{R}T^2} > \mathbf{0}$$

As Temp up, C up, and x<sub>n</sub> down.

Low temperature preferred in cationic polym'n.

#### effect of solvent and counter-ion



□ free ion active center propagates faster

- higher k<sub>p</sub> (by ala > ten times)
- Iower k<sub>t</sub> for ion-pair rearrangement, also
- □ faster in polar solvent
- □ faster with larger counter-ion
  - not evident ~ no systematic exp'tal data
  - <cf> anionic polym'n

### Pseudocationic polym'n

- In some of cationic polym'n
  - with protonic acid initiator in less polar solvent
    - eg, ST with HCIO<sub>4</sub> and some others
  - □ shows bimodal MMD.
    - there is another process than ionic propagation
- pseudocationic (covalent) polym'n



□ slower than ion/ion-pair propagation → lower MM
 □ losing popularity ~ ion and ion-pair separately

# Living cationic polym'n

Cationic polym'n is hardly living-like.

- very sensitive to impurity and conditions
- □ CT and rearrangements (← hyperconjugation)
- Iiving-like may be possible by controlling C---counter ion bond characteristic
  - betw covalent and ionic (like pseudocationic)

$$CH_2 = CH \xrightarrow{HI} H - CH_2 - CH - I \xrightarrow{I_2} H - CH_2 - CH - I \xrightarrow{R^+ \delta^-} H - CH_2 - CH - I_2 \xrightarrow{R^+ \delta^+} H - CH_2 - CH - I_2 \xrightarrow{R^+ \delta^+} H - CH_2 - CH - I_2 \xrightarrow$$

 $\Box$  x<sub>n</sub> should be [M]/[I].

### Practical considerations in cationic

Ch 5 SI 13

- Cationic polym'n requires
  - □ low rxn Temp ~ often below 0 °C
  - □ free from impurities
  - $\Box$  control of heat  $\leftarrow$  very fast initiation and propagation
- butyl rubber
  - the only commercial polymer by cationic polym'n
  - isobutylene copolymerized with small amount of isoprene
    - isoprene for (S) vulcanization
  - with alkyl halide/Lewis acid
  - □ at low Temp below 0 °C
  - □ MM control by Temp ~ control CT

# Anionic polym'n

Ch 5 SI 14

□ Virtually all anionic polym'n is truely living.

- no bimolecular termination
- □ no CT ~ requires H:<sup>-</sup> ion abstraction

Earlier works were not living Section 5.3.1 p132

mechanism with basic (organometallic) initiator



□ in polar solvent like THF ~ for free initiator and ion

■ mechanism with e<sup>-</sup> transfer initiation



- in polar solvent like THF
- propagation
- □ <u>No</u> appreciable termination or CT

# Kinetics of anionic polym'n

Ch 5 SI 16

 $\Box$  R<sub>p</sub>

 $\Box k_i > k_p \sim$  initiation completed before propagation

$$R_{\rm p} = -\frac{\mathrm{d}[\mathrm{M}]}{\mathrm{d}t} = k_{\rm p}[\mathrm{I}]_0[\mathrm{M}]$$

- much faster than radical
  - k<sub>p</sub> comparable ~ lower in non-polar, higher in polar solvent
  - $[M^-] (= [I]_0)$  much higher than  $[M^\bullet]$

🗅 MM

 $\overline{x}_n = \frac{cK[\mathbf{M}]_0}{[\mathbf{I}]_0}$ 

K=1 for initiation by organometallic compounds K=2 for electron transfer initiation

#### MMD

 $\Box$  Initially, N initiators ( $\rightarrow$  N polymers later)

■ At time t, N<sub>0</sub>, N<sub>1</sub>, N<sub>2</sub>, --- N<sub>x</sub>, ---  
■ N<sub>x</sub> ~ # of x-mer  

$$\frac{dN_x}{dt} = \Phi N_{x-1} - \Phi N_x \qquad v = \int_0^t \Phi dt \qquad \Phi \sim \text{rate of a monomer addition} \\ v \sim \text{kinetic chain length, } x_n \qquad N_x = \frac{Nv^x e^{-v}}{x!} \qquad \longrightarrow P(x) = \frac{v^x e^{-v}}{x!} \sim \text{Poisson distribution} \\ \overline{M}_n = \sum_{x=1}^\infty P(x)M_x \qquad \overline{M}_x = xM_0 \qquad \overline{M}_n = M_0 e^{-v} \underbrace{\sum_{x=1}^\infty xv^x}_{x!} = M_0 v e^{-v} \underbrace{\sum_{x=1}^\infty v^x r^{-1}}_{(x-1)!} \\ \overline{M}_n = M_0 v \qquad \underbrace{\sum_{x=1}^\infty v^r / r! = e^v}_{r=0} \\ \end{array}$$

$$w_{x} = \frac{N_{x}M_{x}}{NM_{0}v} \longrightarrow w_{x} = \frac{e^{-v}v^{x-1}}{(x-1)!}$$

$$\overline{M}_{w} = \sum_{x=1}^{\infty} w_{x}M_{x} \longrightarrow \overline{M}_{w} = M_{0}e^{-v} \sum_{x=1}^{\infty} xv^{x-1}$$

$$M_{x} = xM_{0} \longrightarrow \overline{M}_{w} = M_{0}e^{-v} \sum_{r=1}^{\infty} rv^{r-1}/(r-1)! = (v+1)e^{v}$$

$$\overline{M}_{w} = M_{0}(v+1) \longrightarrow \sum_{r=1}^{\infty} rv^{r-1}/(r-1)! = (v+1)e^{v}$$

□ PDI or D

 $\overline{M}_n$ 

• theoretically,  $D \approx 1.0$ 

V

practically, 1.02 – 1.20



### Effect of solvent and counter-ion

Ch 5 SI 19

#### effect of solvent

	Dialactria	L app
Solvent	Constant $(\epsilon)$	liters/mole-sec
Benzene	2.2	2
Dioxane	2.2	5
Tetrahydrofuran	7.6	550
1,2-Dimethoxyethane	5.5	3,800

#### TABLE 5-10 Effect of Solvent on Anionic Polymerization<sup>a</sup> of Styrene Odian p424

 $\Box$  polarity [ $\varepsilon$ ] of solvent  $\land \rightarrow$  fraction of free ion  $\land \rightarrow k_p \land$ 



 $\Box$  MMD broader in non-polar solvent  $\leftarrow$  slow initiation

#### effect of counter-ion

- □ in polar solvents
  - $k_p \sim K^+ < Na^+ < Li^+$
  - smaller ions better solvated ~ [free ion] up
- □ in non-polar solvents
  - $k_p \sim K^+ > Na^+ > Li^+$
  - separation of ion governs



- □ Energetics ~ complex
  - $\Box$  E<sub>p</sub> > 0 ~ small, dependent on solvent and counter-ion

### Deactivation/functionaliz'n

□ At the end of anionic polym'n

 $\Box$  addition of proton donor like ROH  $\rightarrow$  deactivation



polymer with functionalized end-group, controlled MM/MMD

### Practical considerations in anionic

- Anionic polym'n requires
  - $\Box$  highly purified reactants  $\leftarrow$  reactive active center
  - □ inert rxn system ~ high vac, sealed

□ Acidic H in monomer must be protected.



side rxn in polar M like (meth)acrylates, acrylonitriles



requires polar solvent, bulky initiator, low temperature

Ch 5 SI 22

## GTP

- group transfer polym'n
  - □ for polar monomers (with C=O or C≡N)
    - to avoid side reactions
  - initiator ~ silyl ketene acetal

catalyst

- Nu:<sup>-</sup> ~ popular ~ HF<sub>2</sub><sup>-</sup>, F<sup>-</sup>, etc
- Lewis acid ~ larger amount needed ~ less popular

mechanism

- associative p142 ~ not anionic ~ may be wrong
- dissociative p143 ~ anionic ~ more plausible



#### mechanism (cont'd)



#### □ GTP is a living anionic polym'n

- □ like anionic, sensitive to impurities like active H
  - dry condition
  - protection needed for monomers with active H
- □ unlike anionic, at high temp (50 80 °C)
- □ PDI ~ 1.1 1.3
- □ side reaction ~ back-biting cyclization p144
- GTP mainly for
  - □ functionalized polymethacrylates with low MM < 50K
  - GTP of acrylate and (meth)acrylonitrile too fast



### Aldol GTP

GTP of silvl vinyl ether using aldehyde initiator



# Carbonyl polym'n

### Odian p444-449

Ch 5 SI 28



- Iow ceiling temperature
  - $\Box$  due to low  $\Delta H$ 
    - for R=Me,  $\Delta H = 29 \text{ kJ/mol}$
    - short and stable C=O
- □ anionic polym'n of CH<sub>2</sub>O
  - polyformaldehyde [= polyoxymethylene (POM) = polyacetal]
    - an engineering plastic with high T<sub>m</sub> = 175 °C
    - mechanical, abrasive, and dimensional stability
  - end-capping for use

 $HO_{+}CH_{2}O_{+} CH_{2}OH \xrightarrow{(RCO)_{2}O} RCOO_{+}CH_{2}O_{+}CH_{2}OCOR$ 

Monomer	$T_c$ (°C)
Formaldehyde	119 <sup>6</sup>
Trifluoroacetaldehyde	85
Trichloroacetaldehyde	11
Propanal	-31
Acetaldehyde	-39
Pentanal	-42
	Odian p445