

PART 3

Substitution and Elimination reactions

- 
- Chapter 8. Substitution reactions of RX
 - 9. Elimination reactions of RX
 - 10. Substit'n/Elimin'n of other comp'ds
 - 11. Organometallic comp'ds
 - 12. Radical reactions

Chapter 8

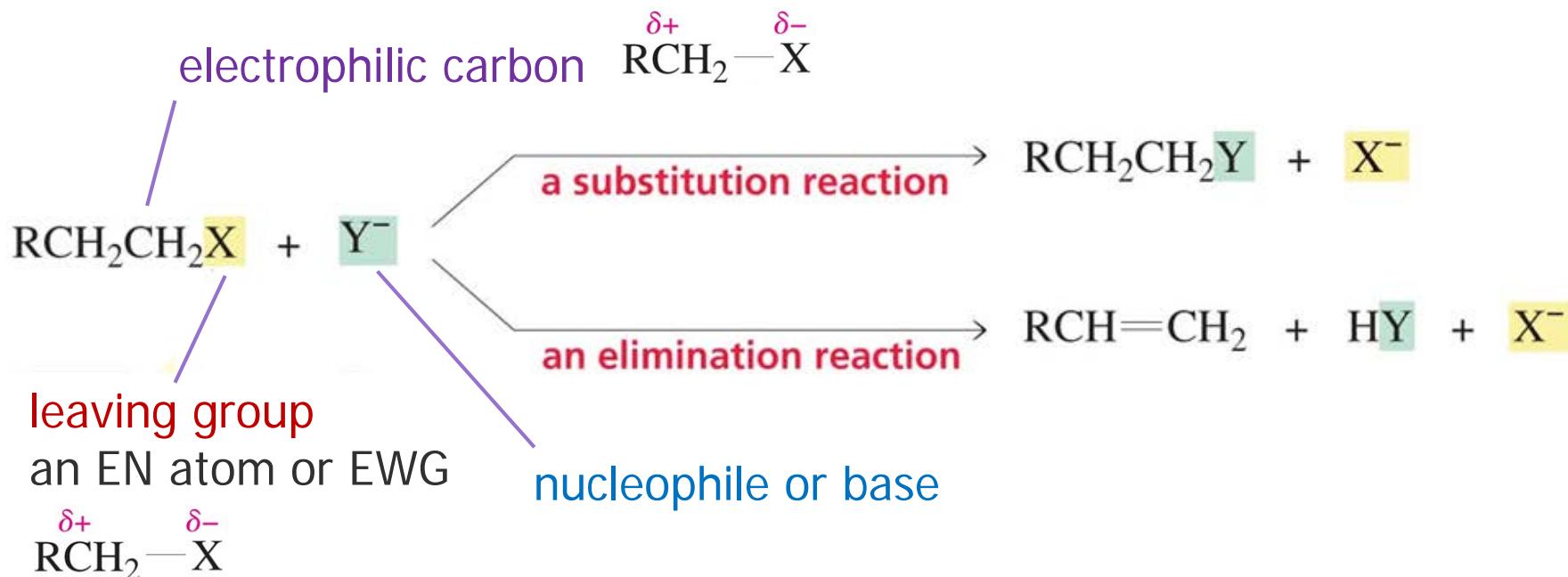
Substitution reactions

S_N2 reactions

S_N1 reactions

Substitution and/or elimination rxns

Ch 8 #3



- $\text{S}_{\text{N}}1$, $\text{S}_{\text{N}}2$, $\text{E}1$ and/or $\text{E}2$ mechanism, depending on
 - the nature of e-philic C, LG, Nu: (B:)
 - solvent polarity

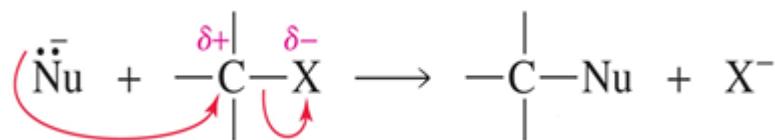
Substitution reactions

Ch 8 #4

- nucleophilic substitution [S_N]

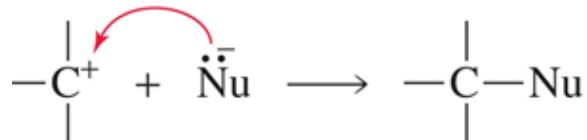
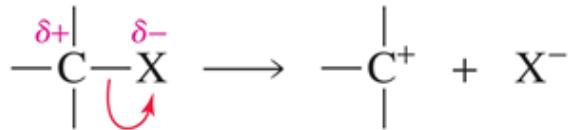
- 2 mechanisms

- S_N2



- rate = k [Nu:] [R-X] ~ 2nd-order ~ bimolecular
 - 1-step ~ concerted ~ 1 TS

- S_N1



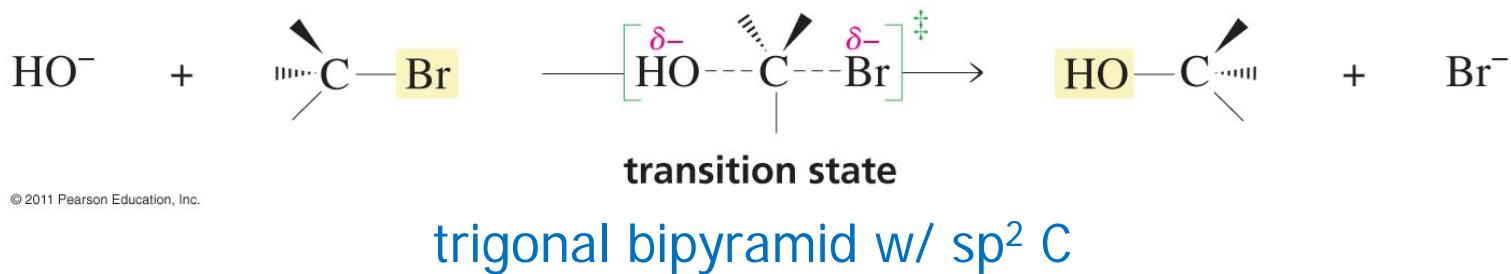
- rate = k [R-X] ~ 1st-order ~ unimolecular (in RDS)
 - 2-step ~ 1 interm and 2 TS

S_N2: mechanism and stereochemistry

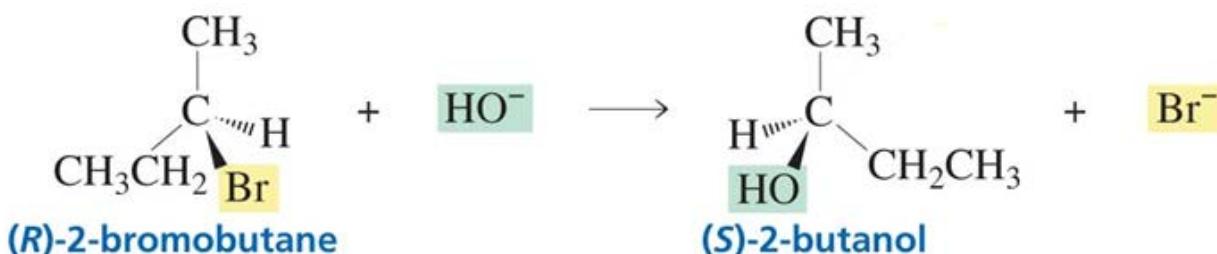
Ch 8 #5



□ back-side attack



□ inversion of configuration



S_N2: steric effect

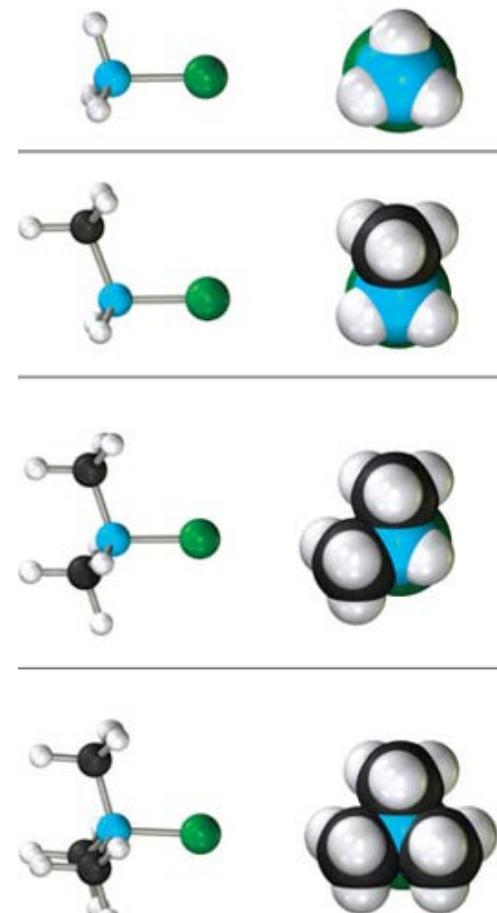
Ch 8 #6

□ # of alkyl groups

Table 8.1 Relative Rates of S_N2 Reactions for Several Alkyl Halides

Alkyl halide	Class of alkyl halide	Relative rate
CH ₃ —Br	methyl	1200
CH ₃ CH ₂ —Br	primary	40
CH ₃ CH ₂ CH ₂ —Br	primary	16
CH ₃ CH—Br CH ₃	secondary	1
CH ₃ CH ₃ CH ₃ C—Br CH ₃	tertiary	too slow to measure

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relative reactivities of alkyl halides in an S_N2 reaction

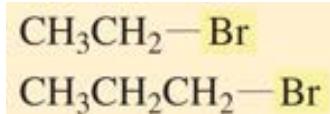
most reactive

methyl halide > 1° alkyl halide > 2° alkyl halide > 3° alkyl halide

X too unreactive to undergo an S_N2 reaction

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□ size of substituent



primary

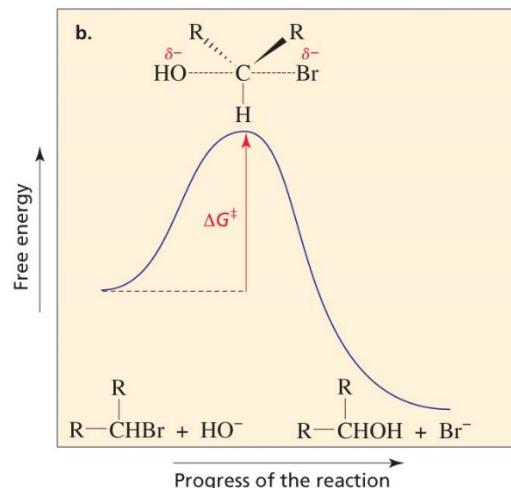
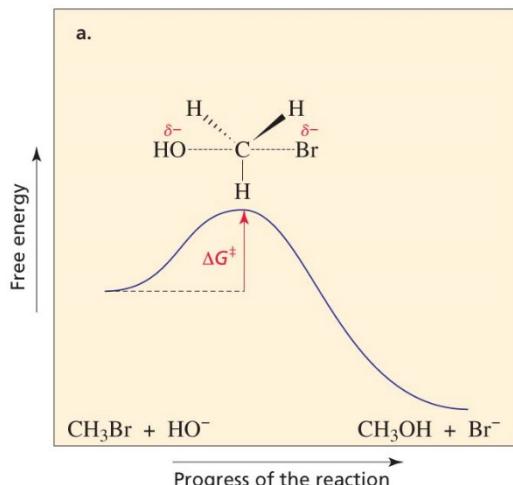
40

primary

16

 10^{-6} 

□ steric hindrance and activation energy



S_N2 : effect of leaving group

Ch 8 #8

- Weaker base is better leaving group.

relative rates of reaction

HO^-	+	RCH_2I	→	RCH_2OH	+	I^-	30,000
HO^-	+	RCH_2Br	→	RCH_2OH	+	Br^-	10,000
HO^-	+	RCH_2Cl	→	RCH_2OH	+	Cl^-	200
HO^-	+	RCH_2F	→	RCH_2OH	+	F^-	1

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- strong acid ~ weak conj base ~ good leaving group

relative reactivities of alkyl halides in an S_N2 reaction

most reactive



too unreactive
to undergo an
 S_N2 reaction

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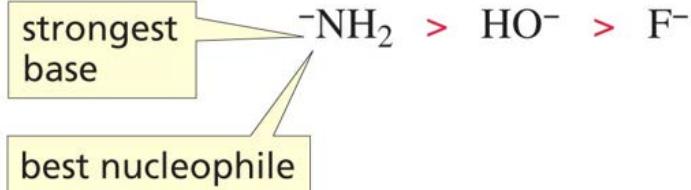
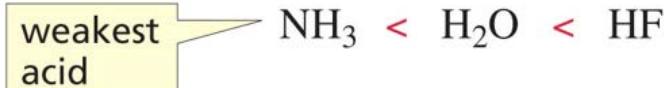
- EN of I = 2.5 ~ RI not polar, but reactive ~ polarizability

S_N2: effect of Nu: (1) - basicity

Ch 8 #9

- basicity ~ ability to accept [share a lone pair with] proton
 - nucleophilicity ~ ability to attack [give e to] e-philic C
 - The two are not always parallel.
- Stronger base is better nucleophile.

- charged vs neutral
 - Actually, (very strong) conj base of weak acid.



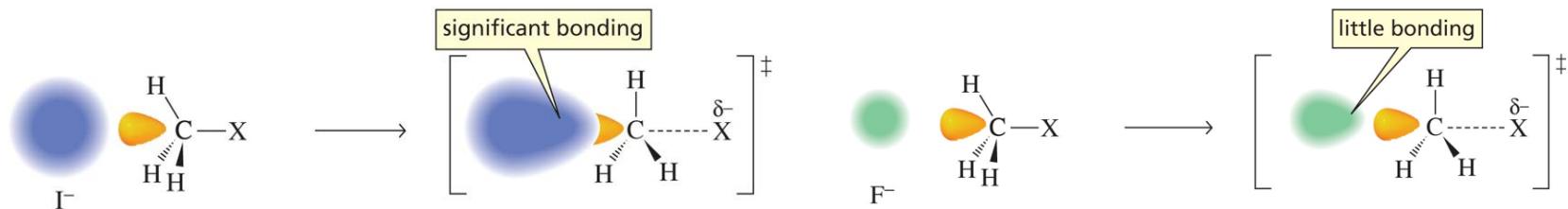
stronger base, better nucleophile	>	weaker base, poorer nucleophile
HO^-	>	H_2O
CH_3O^-	>	CH_3OH
-NH_2	>	NH_3
$\text{CH}_3\text{CH}_2\text{NH}^-$	>	$\text{CH}_3\text{CH}_2\text{NH}_2$

S_N2 : effect of Nu: (2) - size and solvent

Ch 8 #10

□ size and solvent effect

- larger Nu → better polarizable → better overlap with C

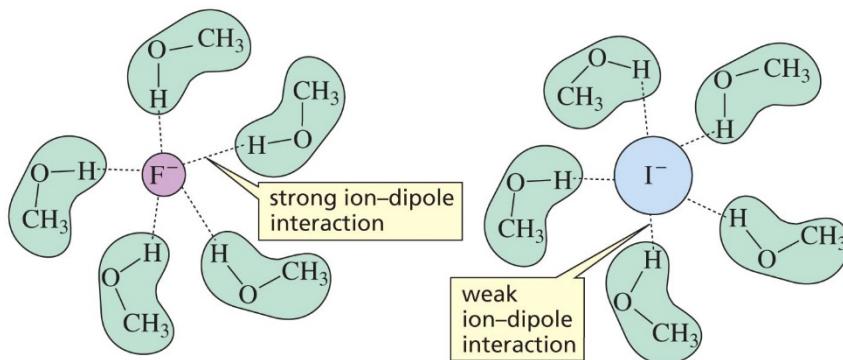


- larger Nu: → weaker B: → less solvated by protic solvent

- protic solvent ~ donates H ~ contains H bonded to O or N

- eg H₂O, ROH, RCOOH, RNH₂

~ poor Nu:'s, used in S_N1



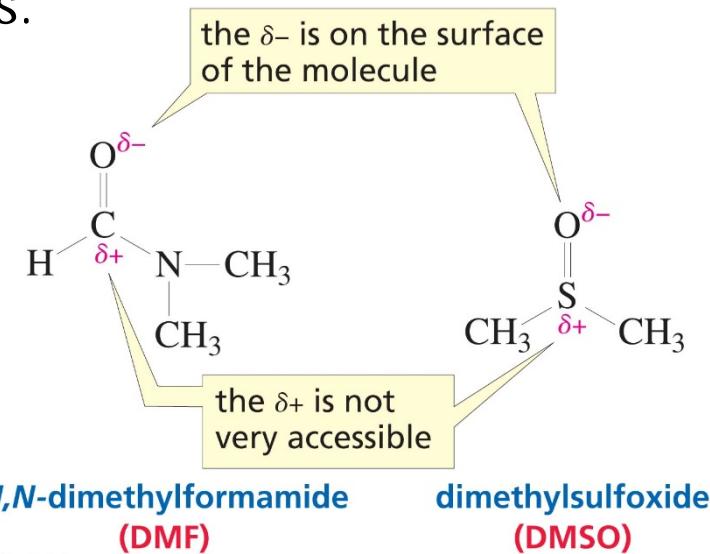
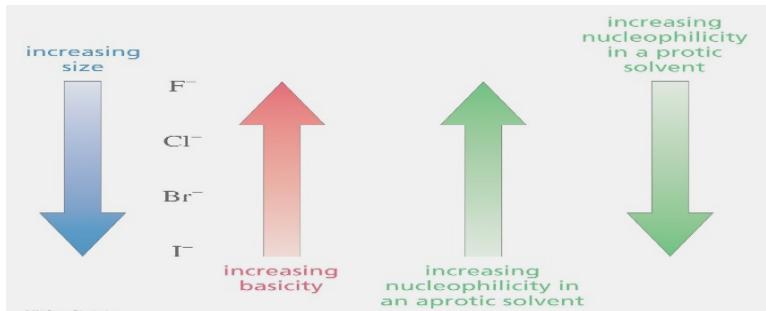
weaker B: →
weaker interaction
to solvent →
better access
to e-philic C

- Larger Nu: is better Nu: in protic polar solvent.
 - basicity and nucleophilicity antiparallel

Table 8.2 Relative Nucleophilicity Toward CH_3I in Methanol	
$\text{RS}^- > \text{I}^- > \text{C}\equiv\text{N}^- > \text{CH}_3\text{O}^- > \text{Br}^- > \text{NH}_3 > \text{Cl}^- > \text{F}^- > \text{CH}_3\text{OH}$	
← increasing nucleophilicity	

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- In aprotic solvents, stronger B: is better Nu:.
 - Nonpolar solvents do not dissolve ions.
 - Aprotic polar solvents do not strongly interact with anions.
 - eg DMF, DMSO ~ solvents for S_N2



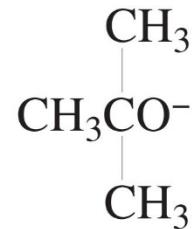
S_N2: effect of Nu: (3) - steric

Ch 8 #12

- bulky Nu: → sterically-hindered → lower nucleophilicity



ethoxide ion
better nucleophile



tert-butoxide ion
stronger base

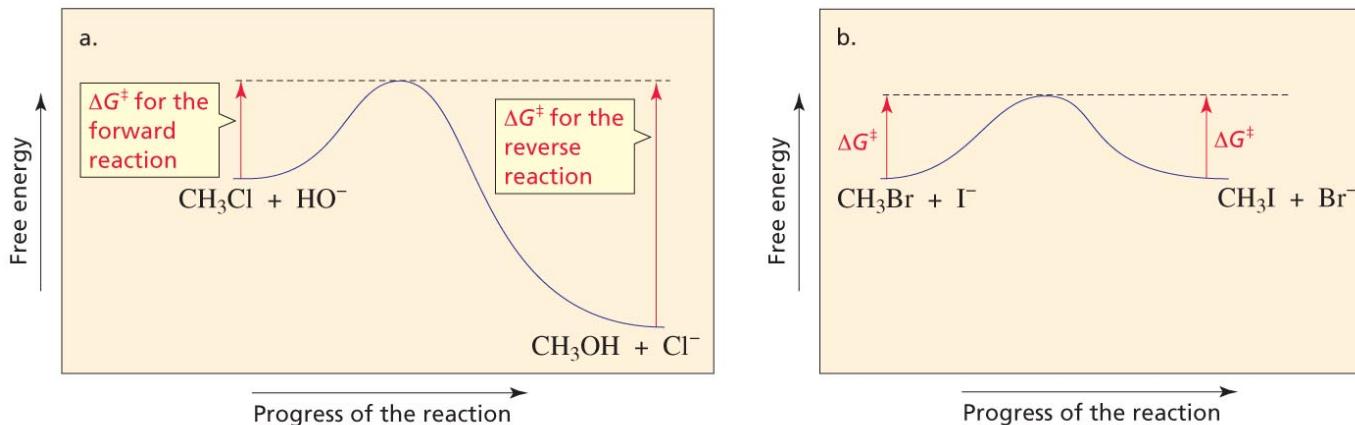
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- B: attacks H (from surface); Nu: attacks C (from back)
- t*-Bu-O⁻
 - strong B: with poor nucleophilicity
 - sometimes useful

S_N2: reversibility

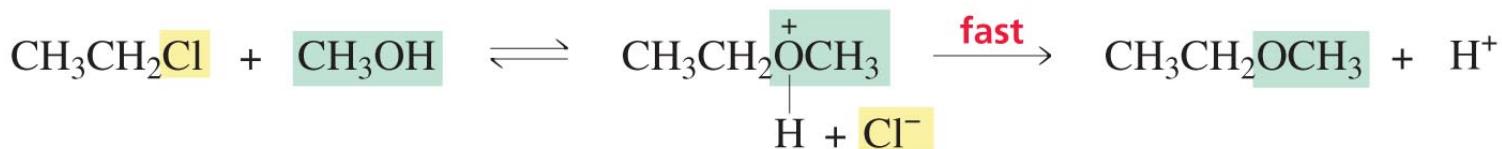
Ch 8 #13

- reversible when $\Delta G^\ddagger(\text{forward}) \approx \Delta G^\ddagger(\text{reverse})$
 - when ΔG or $\Delta\Delta G^\ddagger$ is not large
 - when with similar basicity

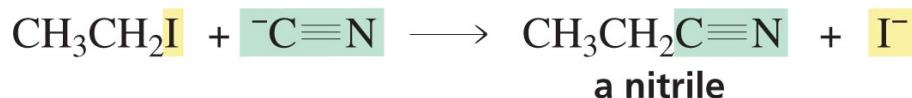
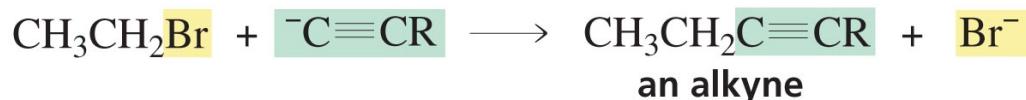
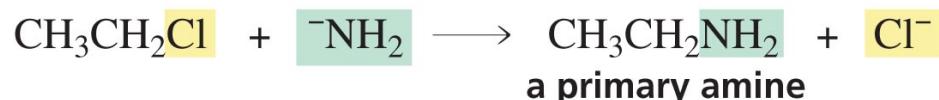
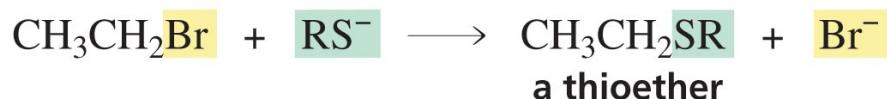
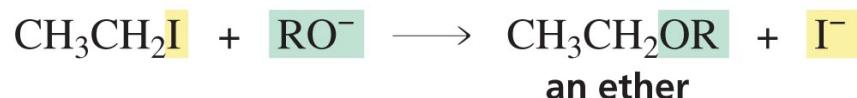
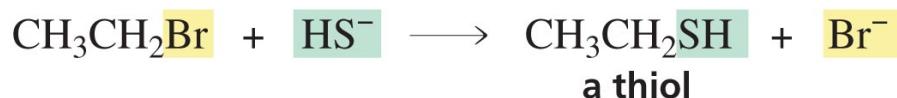


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- reversible to irreversible, when Le Chatelier



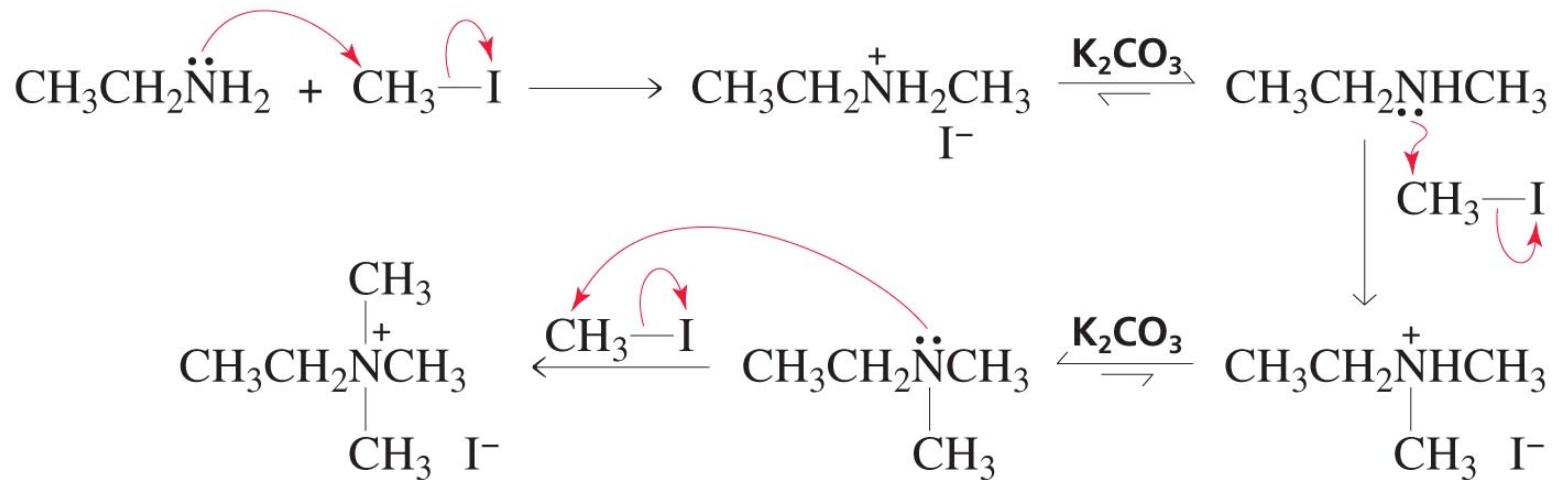
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- Check the direction and reversibility (with Table 8.3 p345).

Prob 13 p346 good Nu: amine and good leaving iodide



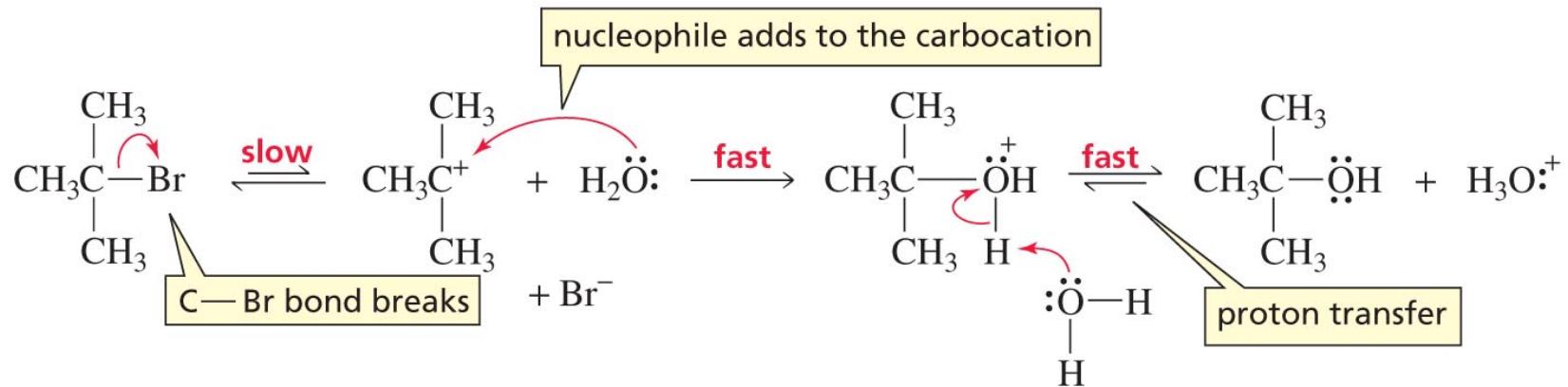
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- exhaustive methylation
- Actually, method for amine preparation
 - $1^\circ \rightarrow 2^\circ \rightarrow 3^\circ \rightarrow 4^\circ$

S_N1: unimolecular nucleophilic substit'n

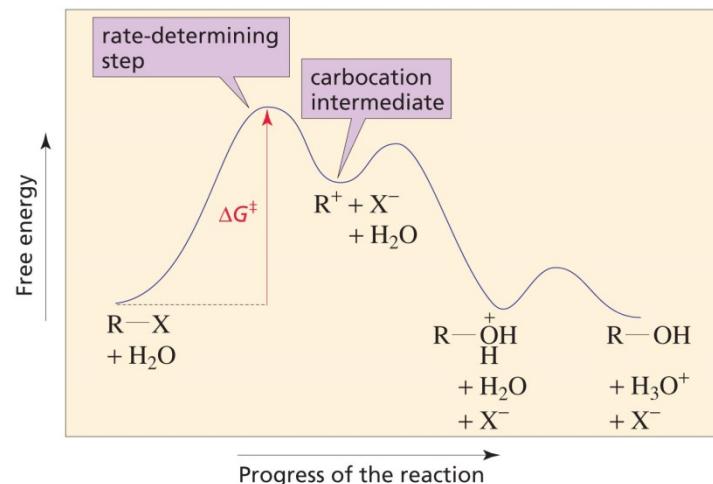
Ch 8 #16

- 2-step rxn with 1st RDS
 - rate = k [R-X] ~ 1st-order ~ unimolecular



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- C^+ intermediate



S_N1: reactivity

Ch 8 #17

- reactivity ← stability of C⁺ intermediate
 - 3° > 2° alkyl halide
 - no S_N1 for 1° and methyl

**Table 8.4 Relative Rates of S_N1 Reactions for Several Alkyl Bromides
(solvent is H₂O, nucleophile is H₂O)**

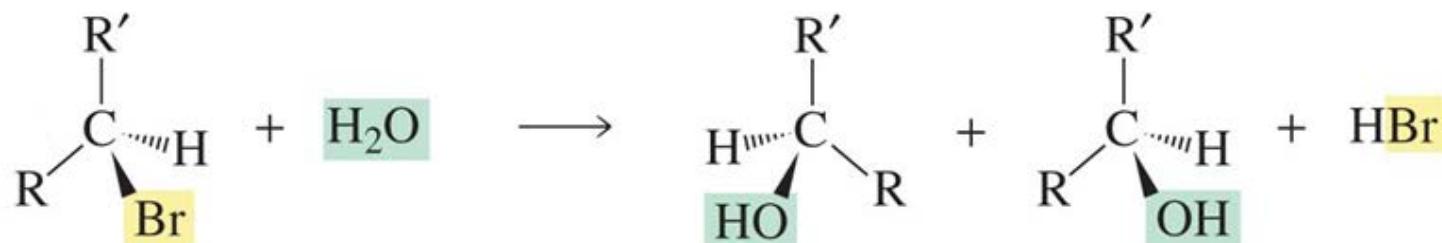
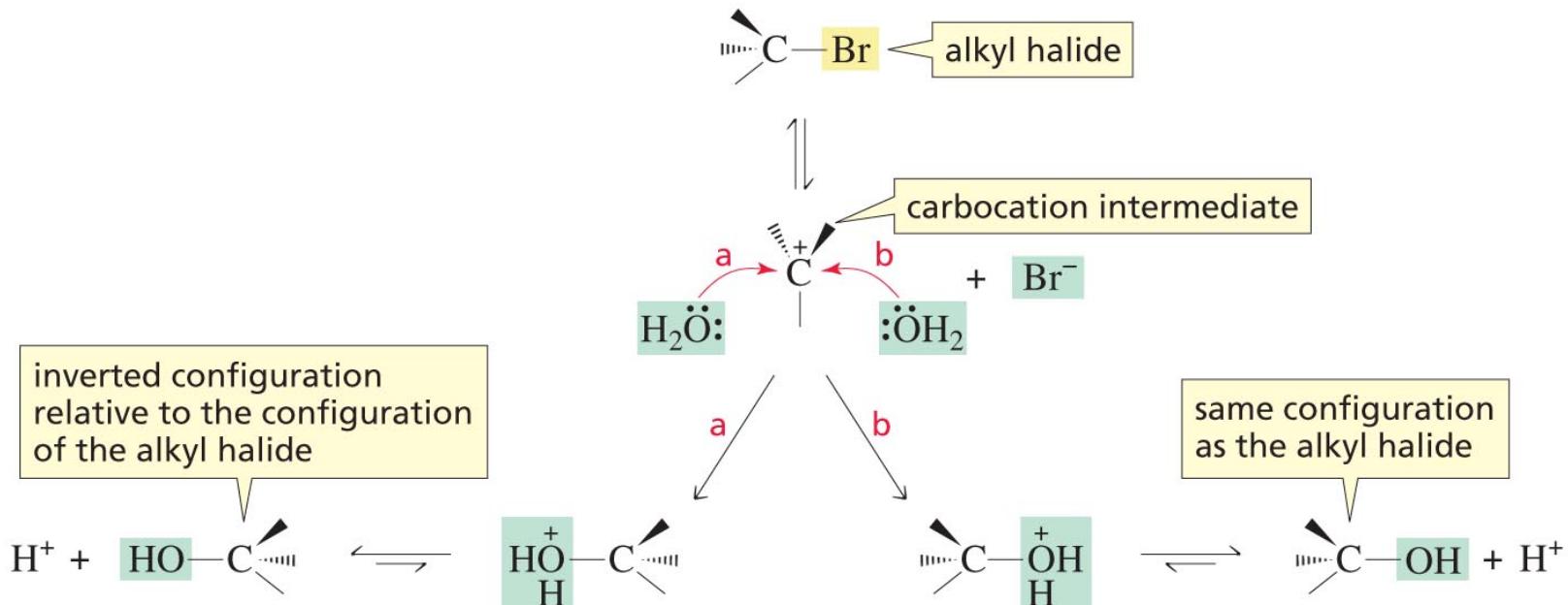
Alkyl bromide	Class of alkyl bromide	Relative rate
$\begin{array}{c} \text{CH}_3 \\ \\ \text{CH}_3\text{C}\text{—Br} \\ \\ \text{CH}_3 \end{array}$	tertiary	1,200,000
$\begin{array}{c} \text{CH}_3\text{CH}\text{—Br} \\ \\ \text{CH}_3 \end{array}$	secondary	11.6
CH ₃ CH ₂ —Br	primary	1.00*
CH ₃ —Br	methyl	1.05*

*Although the rate of the S_N1 reaction of this compound with water is 0, a small rate is observed as a result of an S_N2 reaction.

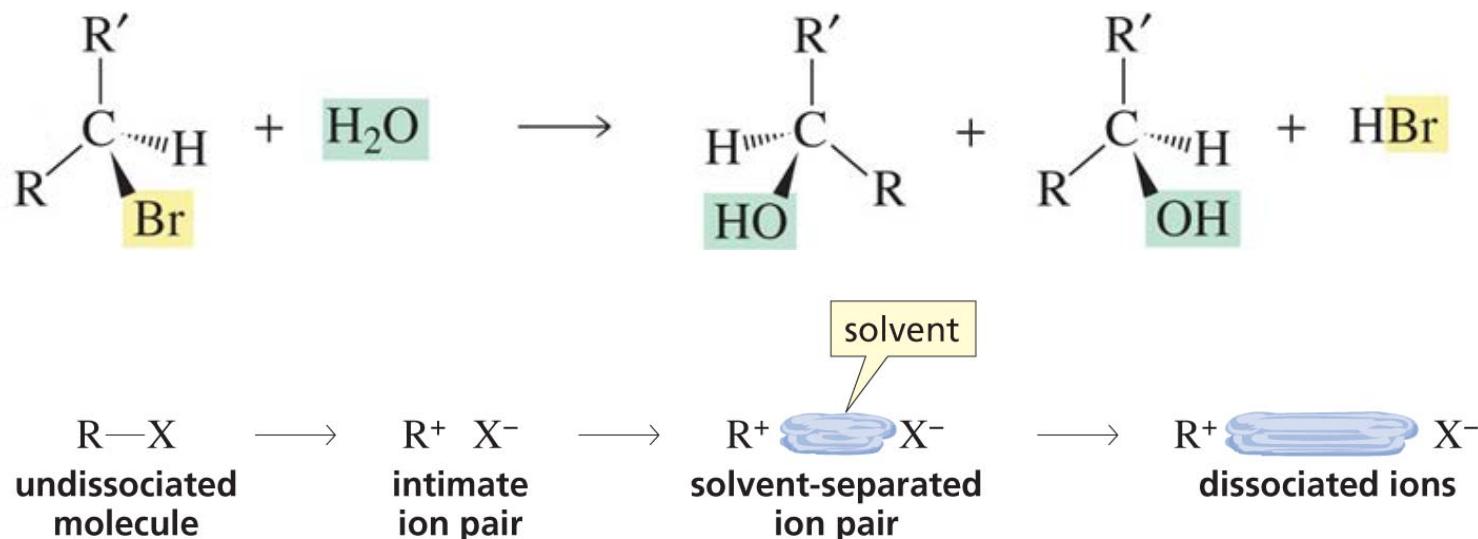
S_N1: stereochemistry

Ch 8 #18

- addition of Nu: on sp² C of intermediate → racemic



- stereochemistry affected by solvent polarity
 - high portion of inverted product in nonpolar solvent
 - typically 50 – 70%



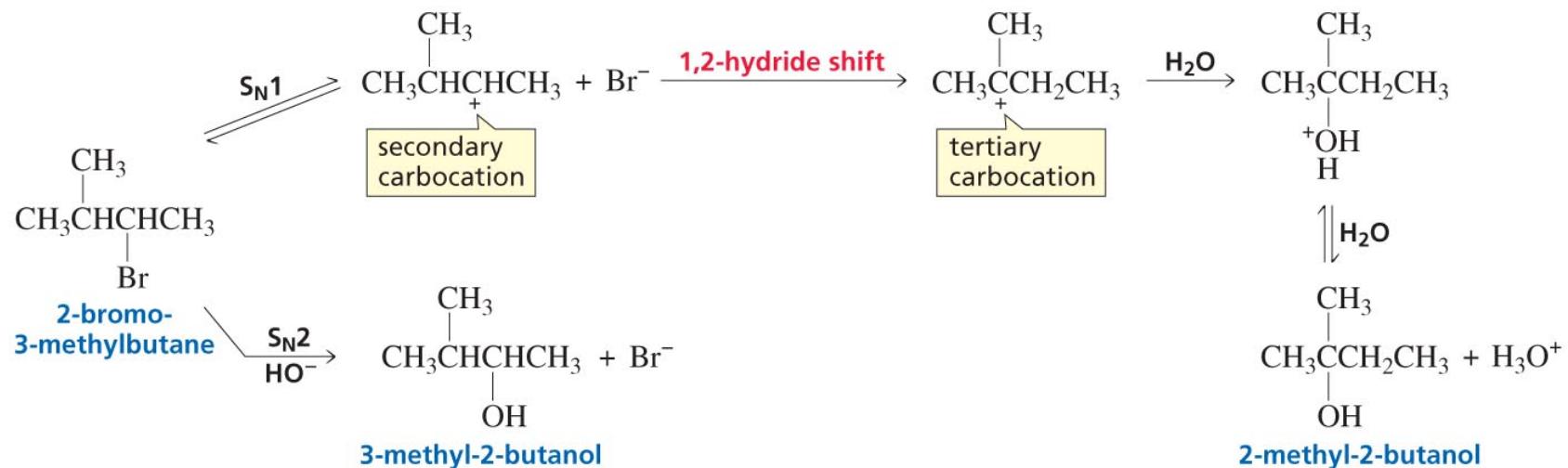
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S_N1: C⁺ rearrangement

Ch 8 #20

- in S_N1, not in S_N2



S_N1 : factors

Ch 8 #21

- effect of leaving group
 - the same as in S_N2

relative reactivities of alkyl halides in an S_N1 reaction



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- effect of Nu:
 - no effect ~ not participate in RDS

- Typically, Nu: in S_N1 is also the solvent.
 - 'solvolytic' in general
 - hydrolysis, alcoholysis

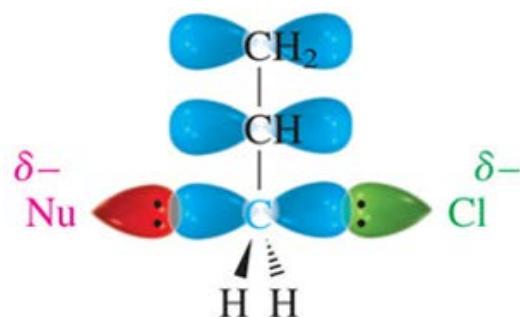
Allylic and benzylic halides

Ch 8 #22

- in S_N2
 - resonance-stabilized TS



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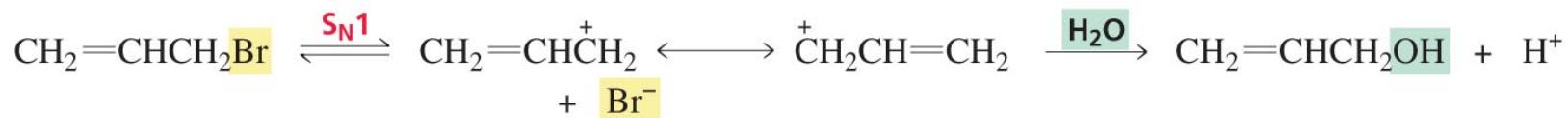
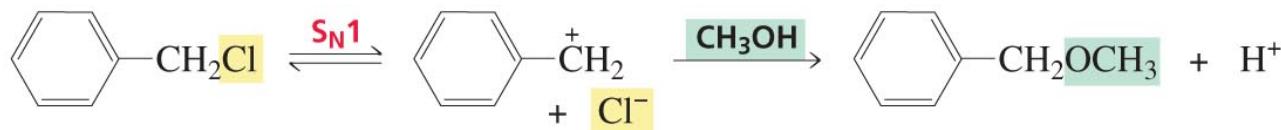


Ethyl chloride	$\text{CH}_3\text{CH}_2\text{Cl}$	1
Allyl chloride	$\text{CH}_2=\text{CHCH}_2\text{Cl}$	40
Benzyl chloride	$\text{C}_6\text{H}_5\text{CH}_2\text{Cl}$	120
Chloroacetone	$\text{CH}_3\text{C}(=\text{O})\text{CH}_2\text{Cl}$	10^5

- 1° and 2°, not 3°

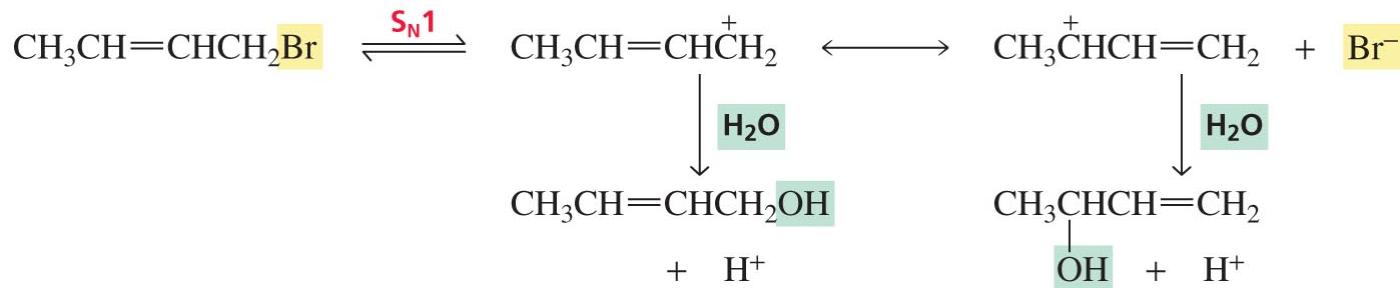
□ in S_N1

- resonance-stabilized C^+
- 3° and 2° , 1° also



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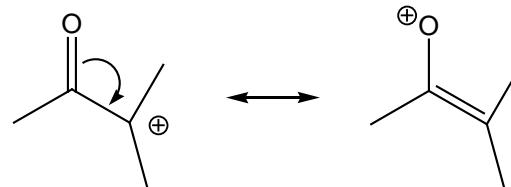
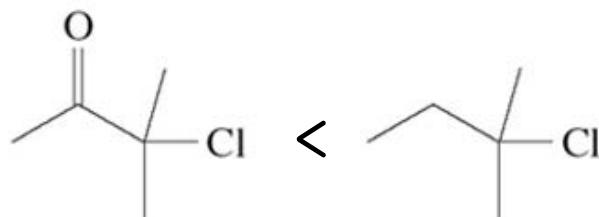
- may give more than 1 product



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Name	Structure	Relative Rate
Isopropyl chloride	$\begin{array}{c} \text{CH}_3 \\ \\ \text{CH}_3\text{C}-\text{Cl} \end{array}$	1
tert-Butyl chloride	$\begin{array}{c} \text{CH}_3 \\ \\ \text{CH}_3\text{C}-\text{Cl} \\ \\ \text{CH}_3 \end{array}$	1×10^5
Allyl chloride	$\text{CH}_2=\text{CHCH}_2-\text{Cl}$	3
Benzyl chloride	PhCH_2-Cl	30
Diphenylmethyl chloride	$\text{Ph}_2\text{CH}-\text{Cl}$	1×10^4
Triphenylmethyl chloride	$\text{Ph}_3\text{C}-\text{Cl}$	1×10^9

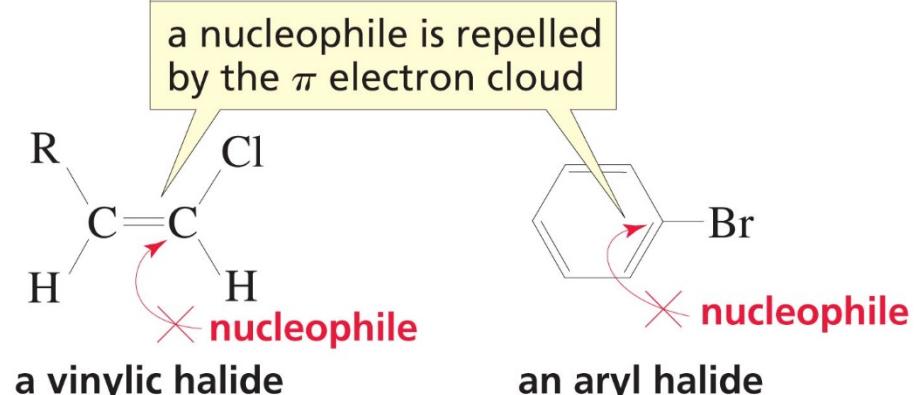
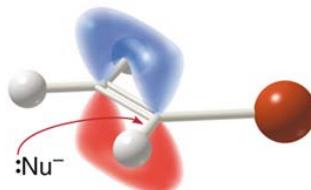
➤ resonance-stabilizing carbonyl?



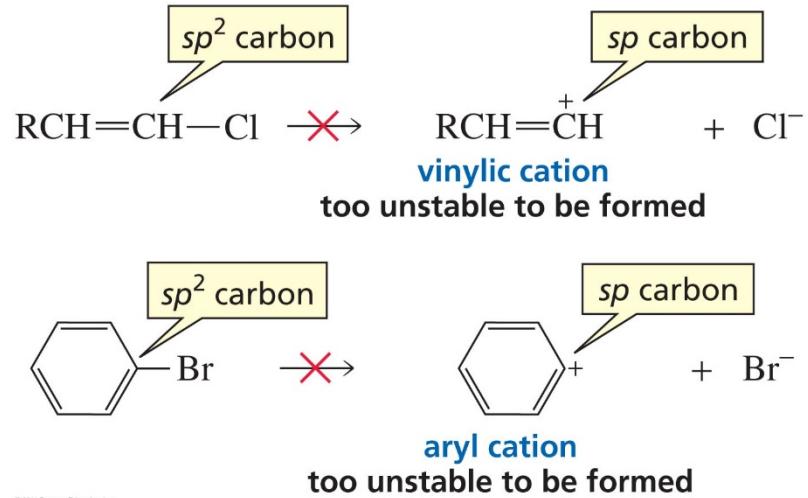
Vinyl and aryl halides

Ch 8 #25

- in S_N2
 - TS sterically hindered
 - no S_N2 reaction



- in S_N1
 - negligible S_N1 reaction
 - 1. unstable sp C⁺
 - 2. strong sp² C – X bond



Competition btwn S_N2 and S_N1

Ch 8 #26

Table 8.5 Comparison of S_N2 and S_N1 Reactions

S _N 2	S _N 1
A one-step mechanism	A stepwise mechanism with a carbocation intermediate
A bimolecular rate-determining step	A unimolecular rate-determining step
No carbocation rearrangements	Carbocation rearrangements
The better the nucleophile, the faster the rate of the reaction.	The strength of the nucleophile does not affect the rate of the reaction.
Reactivity order: methyl > 1° > 2° > 3° (No reaction with tertiary.)	Reactivity order: 3° > 2° > 1° > methyl (No reaction with primary or methyl.)
Product has the inverted configuration relative to that of the reactant.	Products have both the retained and the inverted configurations relative to that of the reactant.
Leaving group: I ⁻ > Br ⁻ > Cl ⁻ > F ⁻	Leaving group: I ⁻ > Br ⁻ > Cl ⁻ > F ⁻

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Table 8.6 Summary of the Reactivity of Alkyl Halides in Nucleophilic Substitution Reactions

Methyl and 1° alkyl halides	S _N 2 only
Vinylic and aryl halides	Neither S _N 1 nor S _N 2
2° alkyl halides	S _N 1 and S _N 2
1° and 2° benzylic and 1° and 2° allylic halides	S _N 1 and S _N 2
3° alkyl halides	S _N 1 only
3° benzylic and 3° allylic halides	S _N 1 only

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Competition: effect of Nu:

Ch 8 #27

- rate of 2°, (1°/2°) allylic, or benzylic halide

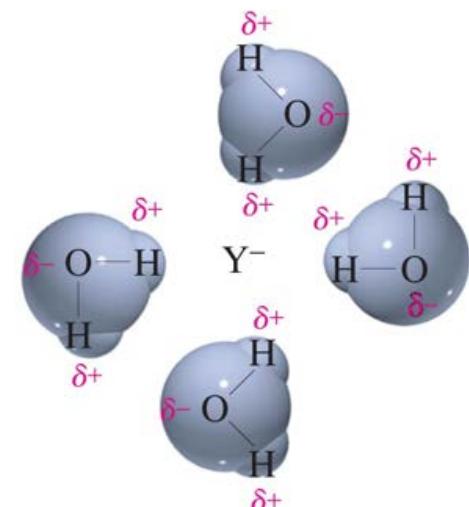
$$\text{rate} = k_2[\text{alkyl halide}][\text{nucleophile}] + k_1[\text{alkyl halide}]$$

- as reactivity and concentration of Nu: up, more S_N2
- absence of good Nu: → S_N1
- high conc'n of good Nu: → S_N2
poor Nu: [absence of good Nu:] → S_N1
 - good Nu: ~ -OH, -OR
 - poor Nu: ~ H₂O, ROH
- Usually, S_N2 more desirable over S_N1
 - gives single product
 - no racemization, no C⁺ rearrangement, no resonance interm

Solvent in organic reactions

Ch 8 #28

- homogenize and control heat of the rxn
 - must not react with the reactants, interm's, and products
 - (must) dissolve the reactants, interm's, and/or products
 - must be chosen considering **polarity**.
- polar vs non-polar solvent
 - water-like [hydrophilic] vs oil-like [hydrophobic]
 - favorable vs not-favorable interaction with ion
 - high vs low ϵ Table 8.7 p361
- protic (polar) vs aprotic (polar) solvent
 - giving vs not-giving H
 - containing H bonded to O or N vs not



Effect of solvent in S_N1 and S_N2

Ch 8 #29

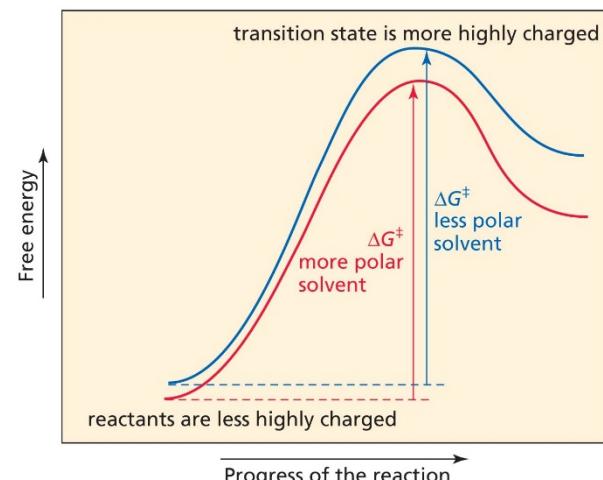
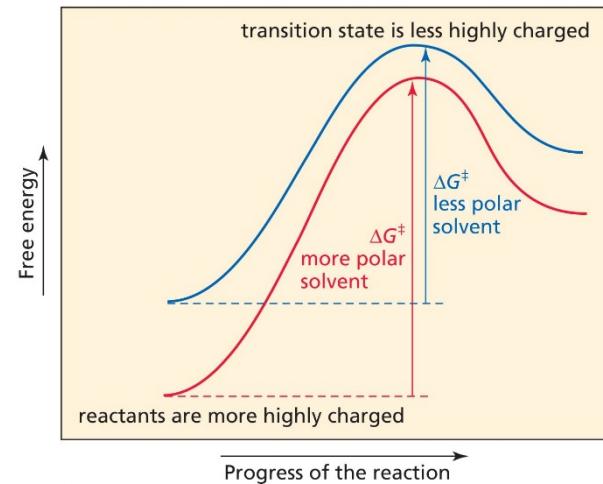
□ relative charge in reactant(s) vs in TS

- When reactant(s) is more highly charged than TS is,

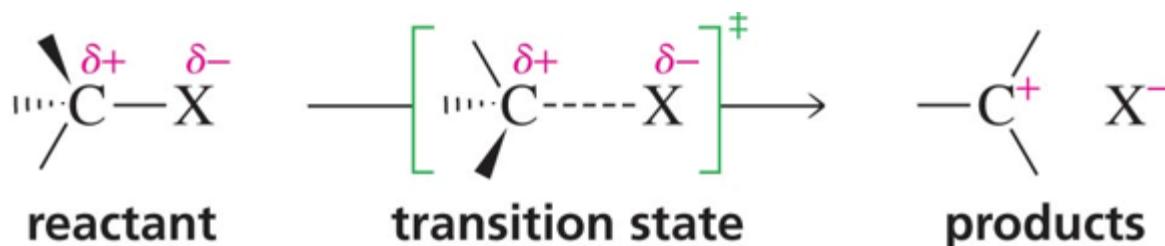
- a polar solvent stabilizes [solvates] reactant(s) more than it solvates TS,
 - and **raises ΔG^\ddagger .**
 - **As ϵ up, rate down.**

- When reactant(s) is less highly charged than TS is,

- a polar solvent stabilizes TS more than it solvates reactants, and enhance ΔG^\ddagger .
 - **As $\epsilon \uparrow$, rate \uparrow .**



□ in S_N1



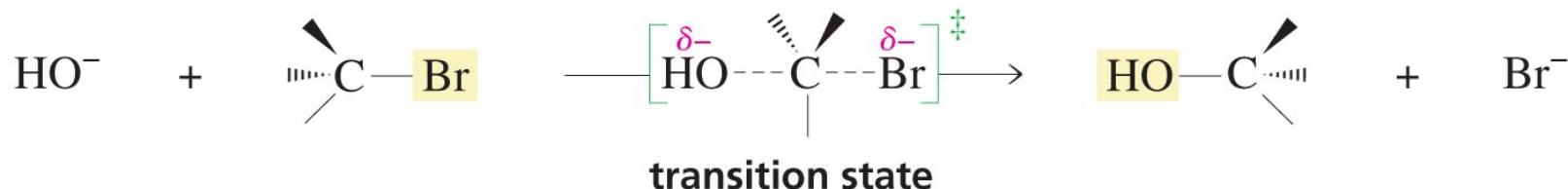
- reactants [RX] neutral; TS more highly charged (close to C⁺)
- S_N1 faster in polar solvent
- If with charged reactant(s), slower in polar solvent.
 - full vs dispersed charge

Actually, ion-dipole interaction energy provides much of the R-X dissociation energy.

Table 8.8 The Effect of the Polarity of the Solvent on the Rate of Reaction of 2-Bromo-2-methylpropane in an S_N1 Reaction

Solvent	Relative rate
100% water	1200
80% water / 20% ethanol	400
50% water / 50% ethanol	60
20% water / 80% ethanol	10
100% ethanol	1

□ in S_N2



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- reactant [-OH] charged; TS less [dispersed] charged
- S_N2 slower in polar solvent
- If with neutral reactant(s), faster in polar solvent.
 - neutral vs partially charged
- Typically, S_N2 in aprotic polar solvent
 - best to use non-polar solvent, but ions not soluble
 - aprotic polar [DMF, DMSO] better than protic polar

S_N2 is favored by good Nu: in aprotic polar solvent.

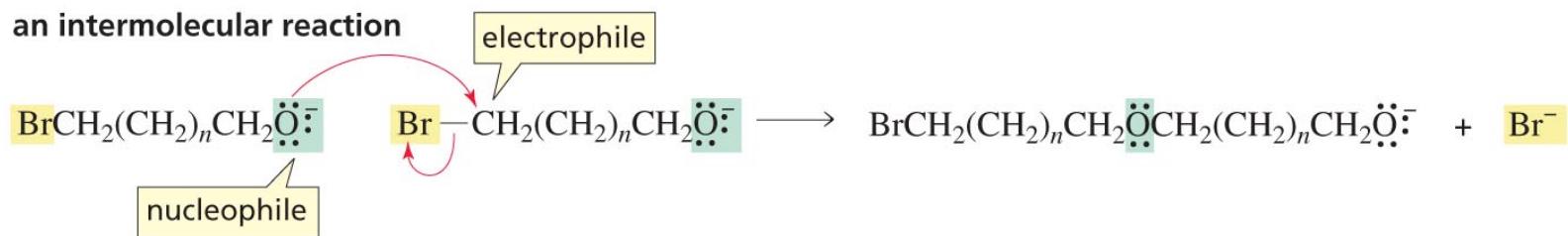
S_N1 is favored by poor Nu: in protic polar solvent.

Inter- vs intramolecular S_N

Ch 8 #32

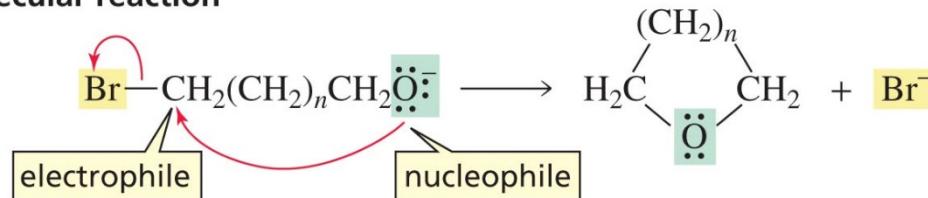
□ bifunctional molecules

- can undergo inter- or intra-molecular substitution reaction



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an intramolecular reaction



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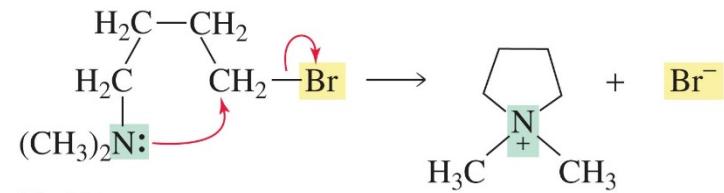
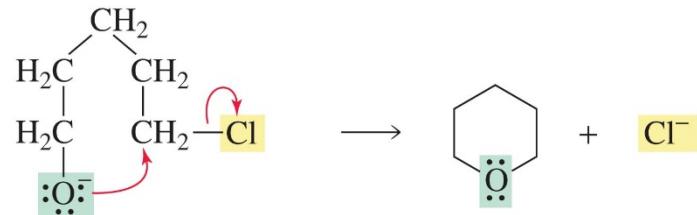
- depending on

- concentration ~ high conc'n favors intermolecular reaction
- size of the ring ~ effect of ring strain and tethering

□ (intramolecular) cyclization substitution rxn

- 5- or 6-membered rings are easily formed

- tethering and low ring strain



- $3- > 4-$

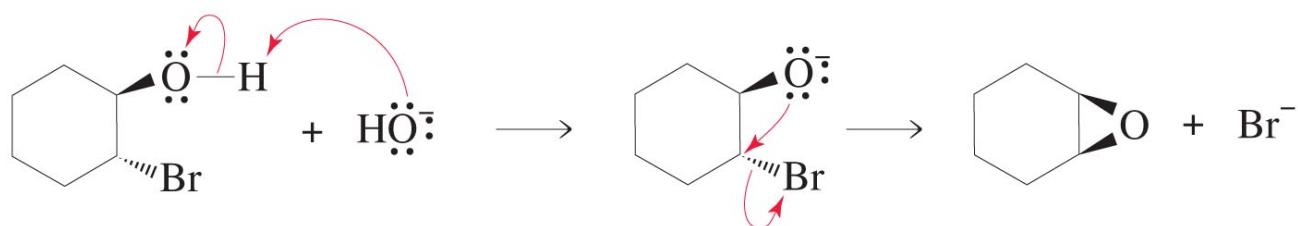
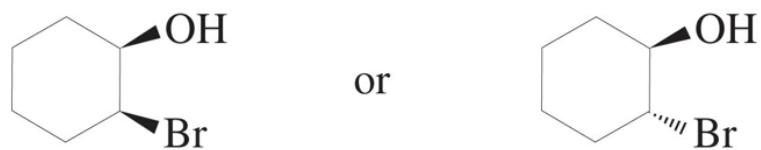
- tethering effect > ring strain effect



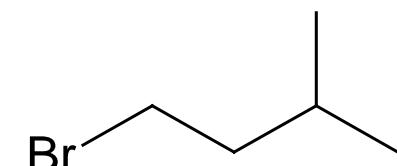
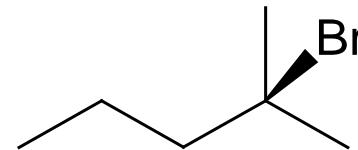
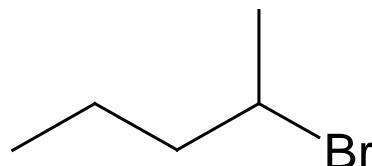
- 7- or higher-membered rings are hardly formed

- One end hard to find the other

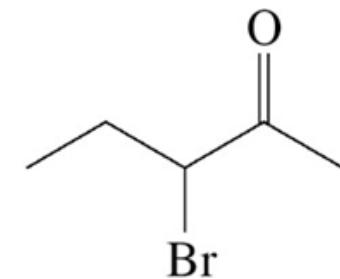
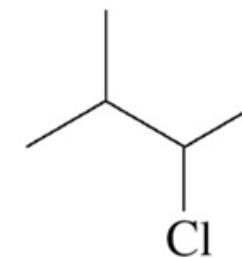
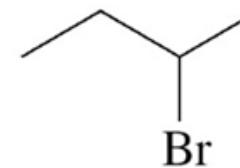
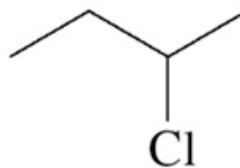
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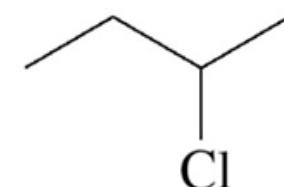
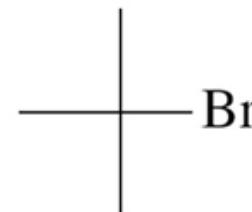
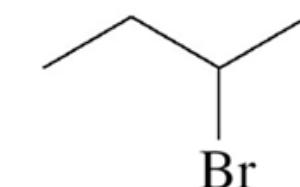
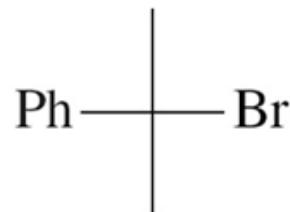
- S_N1 or S_N2?



- rate of S_N2? RO⁻/DMSO



- rate of S_N1? ROH



Biological methylating agent

Ch 8 #36

- CH_3I is a good methylating agent
 - better than CH_3Br or CH_3Cl
 - insoluble in water ~ cannot be used in biological system
 - use SAM instead \leftarrow SAH is a good leaving group

