

# Chapter 6. Data Collection in a Wizard-of-Oz Experiment

in Reinforcement Learning for Adaptive Dialogue Systems  
by: Rieser & Lemon.

**Course: Autonomous Machine Learning**

Hanan Al Rahbi  
hanan.alrahbi@snu.ac.kr

Interdisciplinary Program in Cognitive Science  
Seoul National University

# The Turk

<https://youtu.be/RdT4yG8wczQ>

# Contents

- Introduction
- Experimental Setup
  - Recruited Subjects
  - Experimental Procedure and Task Design
- Noise Simulation
  - Related Work
  - Method
  - Results and Discussion
- Corpus Description
- Analysis
  - Qualitative Measures
  - Subjective Ratings from the User Questionnaires
- Summary and Discussion

# What is a WOZ?

- A research method in which a human being simulates the intelligent behavior of a machine
- Before one is able to build a full working system

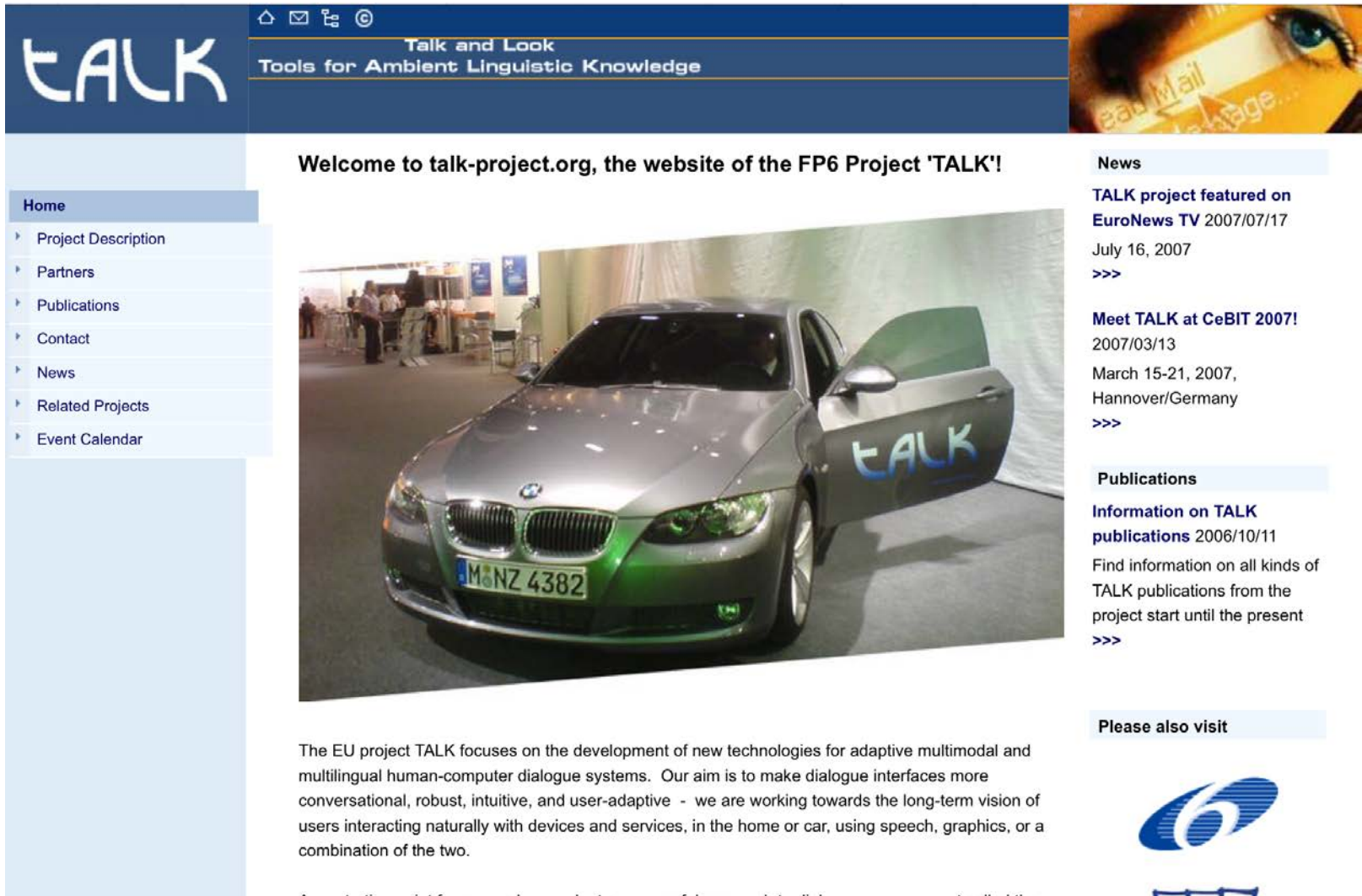
# What is it used for?

- Collecting initial data before a system is designed
- Producing more intelligent behavior by current machines

# Why is it important?

- Allows data-driven development for domains with no available prototypes
- Helps creating effective policies with the highest rewards
- Saves effort and time

# The Experiment



The screenshot shows the homepage of the TALK project website. The header features the 'TALK' logo on the left and navigation icons (home, mail, RSS, copyright) on the right. Below the navigation icons, the text reads 'Talk and Look' and 'Tools for Ambient Linguistic Knowledge'. A large central banner displays the text 'Welcome to talk-project.org, the website of the FP6 Project 'TALK!'' above a photograph of a silver BMW car with 'TALK' written on its side. To the right of the banner, there are three news items: 'TALK project featured on EuroNews TV 2007/07/17', 'Meet TALK at CeBIT 2007!', and 'Information on TALK publications 2006/10/11'. A sidebar on the left contains a 'Home' menu with links to Project Description, Partners, Publications, Contact, News, Related Projects, and Event Calendar. At the bottom right, there is a 'Please also visit' section with a logo for the European Commission.

**TALK**  
Talk and Look  
Tools for Ambient Linguistic Knowledge

Welcome to talk-project.org, the website of the FP6 Project 'TALK!'

**News**


**TALK project featured on EuroNews TV 2007/07/17**  
July 16, 2007  
>>>

**Meet TALK at CeBIT 2007!**  
2007/03/13  
March 15-21, 2007,  
Hannover/Germany  
>>>

**Publications**

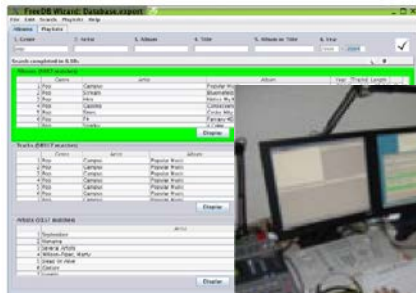
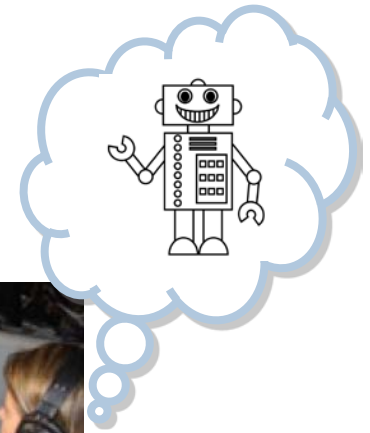
**Information on TALK publications 2006/10/11**  
Find information on all kinds of TALK publications from the project start until the present  
>>>

**Please also visit**



The EU project TALK focuses on the development of new technologies for adaptive multimodal and multilingual human-computer dialogue systems. Our aim is to make dialogue interfaces more conversational, robust, intuitive, and user-adaptive - we are working towards the long-term vision of users interacting naturally with devices and services, in the home or car, using speech, graphics, or a combination of the two.

# Experimental setup



graphics

audio data

audio data

synthesized  
audio data

text



A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789  
A Quick Brown Fox Jumps Over The Lazy Dog 0123456789

Multimodal Wizard-of-Oz data collection setup for an in-car music player application

# Recruited Subjects: Wizards & Users

- This experiment focuses on the behavior of users and wizards

	Quota	Age	Language
Wizards	5 (2F, 1M)	20~35	German: Native English: Good

No  
Experience  
in dialogue  
systems



	Quota	Age	Field of Study
Users	21 (11F, 10M)	20~30	Social Science:23.8% Languages:23.8% Natural Sciences:28.6% Arts:17%



# Experimental Procedure and Task Design

1. Training wizards (database, interaction with users)
2. User and wizard placed in separate rooms
3. User received sheet of instructions upon arrival
4. Introducing the user to the driving simulator (tested)
5. User could solve the tasks in any order they preferred
6. After each task user filled task-specific questionnaire
7. User interviewed by experiment leader

**X-PresentationOptions**

Wizard Screen | User Screens

Es wurden 15 Alben ab 2004 mit Songtitel "Believe" gefunden!

#	Album	Genre	Künstler	Jahr	Tracks
1	20 Historico Del Dance	Dance	Varios	2004	20
2	Eternal Dream Disk2	Eurodance	Dream	2002/0626	21
3	Make Believe Side B	Rock & Roll	University	2004	3
4	The Look Of Love I	Easy/Listening	Dusty/Springfield	2004	25
5	Touch	Pop	Falling You	2004	10
6	You Gotta Believe I	Soul	Tandy, Sharon	2004	26
7	Heart Of The Artist	Christian Rock	Jeremy Camp	2004	4
8	The Best Hits	Alternative	Nickelback	2004	21
9	The Real Trators	Rock	Red Star Belgrade	2004	11
10	Gold: 35Th Anniversary Edition L...	Pop	Carpenters	2004	20
11	Gold: 35Th Anniversary Edition L...	Pop	Carpenters	2004	20
12	Just The Best Vol. 47 / CD1	Pop	Sampler	2004	20
13	Signs And Fiction	Folk	Heidi Marie Vestrh...	2004	11
14	The Chillout Session - Summer C...	Chillout	Ministry Of Sound	2004	19
15	Sardonic	Rock	Iron Rain	2004	15

#	Album	Künstler	Jahr	Selected
1	20 Historico Del Dance	Varios	2004	<input type="checkbox"/>
2	Eternal Dream Disk2	Dream	2002/0626	<input type="checkbox"/>
3	Make Believe Side B	University	2004	<input type="checkbox"/>
4	The Look Of Love I	Dusty/Springfield	2004	<input type="checkbox"/>
5	Touch	Falling You	2004	<input type="checkbox"/>
6	You Gotta Believe I	Tandy, Sharon	2004	<input type="checkbox"/>
7	Heart Of The Artist	Jeremy Camp	2004	<input type="checkbox"/>
8	The Best Hits	Nickelback	2004	<input type="checkbox"/>
9	The Real Trators	Red Star Belgrade	2004	<input type="checkbox"/>
10	Gold: 35Th Anniversary Edition L...	Carpenters	2004	<input type="checkbox"/>
11	Gold: 35Th Anniversary Edition L...	Carpenters	2004	<input type="checkbox"/>
12	Just The Best Vol. 47 / CD1	Sampler	2004	<input type="checkbox"/>
13	Signs And Fiction	Heidi Marie Vestrh...	2004	<input type="checkbox"/>
14	The Chillout Session - Summer C...	Ministry Of Sound	2004	<input type="checkbox"/>
15	Sardonic	Iron Rain	2004	<input type="checkbox"/>

<input type="checkbox"/>	20 Historico Del Dance	1
<input type="checkbox"/>	Eternal Dream Disk2	1
<input type="checkbox"/>	Gold: 35Th Anniversary Edition L...	1
<input type="checkbox"/>	Gold: 35Th Anniversary Edition L...	1
<input type="checkbox"/>	Heart Of The Artist	1
<input type="checkbox"/>	Just The Best Vol. 47 / CD1	1
<input type="checkbox"/>	Make Believe Side B	1
<input type="checkbox"/>	Sardonic	1
<input type="checkbox"/>	Signs And Fiction	1
<input type="checkbox"/>	The Best Hits	1
<input type="checkbox"/>	The Chillout Session - Summer C...	1
<input type="checkbox"/>	The Look Of Love (Disc 2)	1
<input type="checkbox"/>	The Real Trators	1
<input type="checkbox"/>	Touch	1
<input type="checkbox"/>	You Gotta Believe It's...	1

- Simple text-message conveying how many results were found
- Output of a list of just the name (album, song, or artist)
- A table of complete search results
- A table of complete search results but only displaying a subset of of columns.

# Experimental Procedure and Task Design

- Designed 10 task sets
- Every task set was used at least twice
- Each set contains 4 tasks of 2 different types:
  - Search for a specific title/ album
  - Build a playlist

# Noise Simulation

- HCI vs. WOZ
- Related work:
  - Skauntze (2003,2005)
  - Stuttle et al (2004); Williams and Young (2004a)
    - Even with high noise wizards are able to interpret the ASR output well and assimilate contextual knowledge about what user actions are likely to follow

# Noise Simulation

## ■ Method:

- To approximate speech recognition errors, a tool was used to randomly delete parts of the transcribed utterances
- Wizards also build up their own hypotheses about what the user really said (misunderstandings)
- Word deletion rate of the text varied:
  - 20% weakly corrupted = deletion rate of 20%
  - 20% strongly corrupted = deletion rate of 50%
  - In 60% of the cases the wizard saw the transcribed speech uncorrupted

# Noise Simulation

## Example 6.2.1

*uncorrupted:*    *Zu dieser Liste bitte Track 'Tonight' hinzufügen.*

*[ Add track 'Tonight' to this list.]*

*weakly corrupted:*    *Zu dieser Liste bitte Track Tonight ....*

*[... track 'Tonight' to this list.]*

*strongly corrupted:*    *Zu ... Track Tonight ....*

*[... track 'Tonight' to ....]*

# Results and Discussion

- 30% of the corrupted utterances had a noticeable effect on the interaction
- 7% of all user turns lead to a communication error (much lower than the current WER for spoken dialogue systems {around 30%})
- On the other hand, the error rate is higher than for human-human communication

# Results and Discussion

- Shortcomings of the deletion method:
  - Deleting words is a rather crude simulation of real-world acoustic problems (justified)
  - Time delay introduced by transcribing the utterances (both of user and wizard)
- This method is not suitable for studying detailed error, however, it can be sufficient in order to study natural presentation strategies under the presence of noise.



# Corpus Description

- 21 sessions, containing 72 dialogue, with about 1600 turns were gathered
- Data for each session includes video and audio recording, questionnaire data, transcripts, and a log file
- The logging information per session consists of OAA messages in chronological order
- Corpus is marked up and annotated using Nite XML Toolkit (NXT)

# Analysis

- Results of corpus analysis for multimodal presentation strategies
- Qualitative measures:
  - 22.3% of the 793 wizard turns were annotated as presentation strategies, resulting in 177 instances for learning
  - 48% screen output
    - 78.6% the table option
    - 17% the list
    - 0.04% text only
  - Verbal presentation only present 1.6 items on average
    - Where wizard summarized the results by presenting the options for the most distinctive feature to the user.

# Analysis

- Did the Wizards apply significantly different strategies? It is important to compare! (data will be used for learning)
  - Dialogue length is about the same with very slight differences between wizards
  - Most wizards were equally successful in completing tasks, only one was better with 100% task success, where another one scored 78% task success

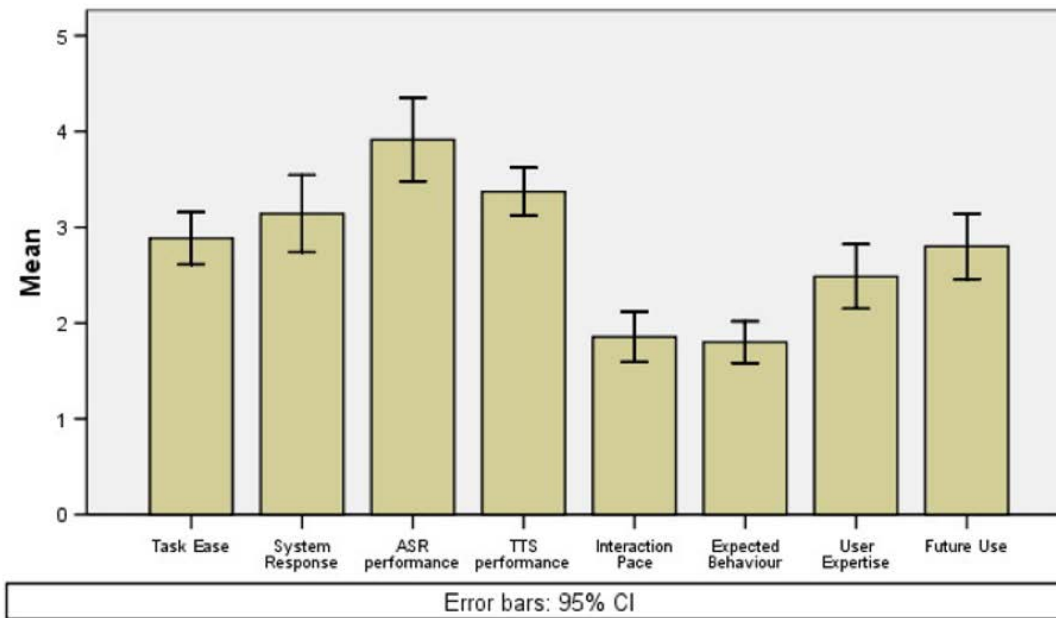
Therefore we can say they applied similar strategies (this doesn't mean they react the same way)

However, multimodal behavior of wizards is very limited

- Only 3 users selected an item by clicking

# Analysis

## ■ Subjective Ratings from the User Questionnaire

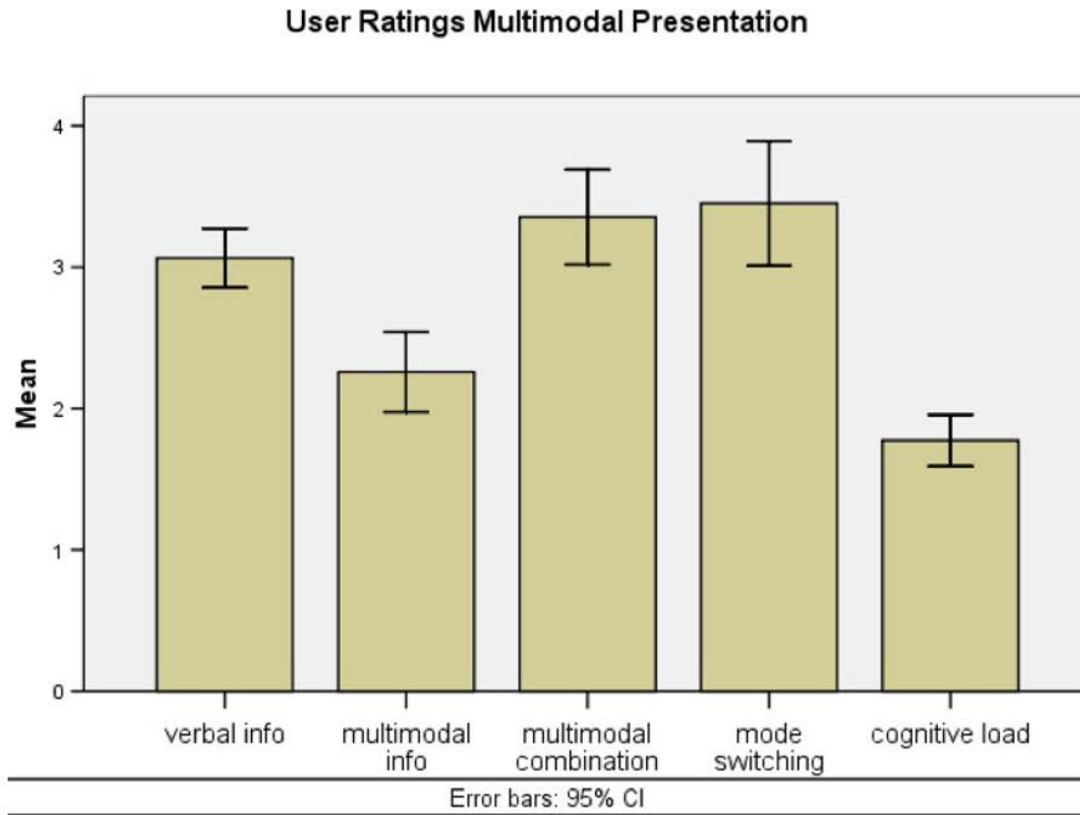


PARADISE
<b>Expected Behaviour:</b> Did the system work the way you expected it to in this conversation?;
<b>ASR performance</b> In this conversation, did the system understand what you said?
<b>Comparable Interface:</b> In this conversation, how did the system's voice interface compare [to other systems]?;
<b>Future Use:</b> From your current experience with using the system [...], do you think you'd use the system regularly [...]
<b>Task Ease:</b> In this conversation, was it easy to find the information you wanted?;
<b>TTS performance:</b> Was the system easy to understand in this conversation?
<b>System Response:</b> How often was the system sluggish and slow to reply to you in this conversation?
<b>User Expertise:</b> In this conversation, did you know what you could say at each point of the dialogue?
<b>Interaction Pace:</b> Was the pace of interaction appropriate in this conversation?

**Fig. 6.6** User Ratings on the PARADISE questions on a 5-point Likert Scale

# Analysis

- Subjective Ratings from the User Questionnaire



**Fig. 6.7** User Ratings on the multimodal presentation questions on a 5-point Likert Scale

# Discussion

## ■ Common mistakes (the wizard either):

- Either wizard displayed too much information On the screen
- Or fail to present results early enough

Screen output should display appropriate amount of information

- There is a need for a strategy which decides how many database search results to present to the user, when, and which modality to use in an adaptive optimal matter
- Also a strategy to help minimize the large lists displayed, cut the length of the dialogue, as well as the noise
- Include information about users driving performance is very important
- There should be a better and more realistic in-car simulation (the screen size)