9. The kinematics and stability of removable blocks

1) Introduction

- Analysis
 - Mode analysis: to distinguish keys + p. keys from stable blocks (R.F.)
 - Stability analysis: to distinguish key blocks from potential key blocks (S.F.)

• Failure mode

- Lifting: move to free space without sliding
- Single face sliding: move to free space with sliding on a plane (joint)
- Double face sliding: move to free space with sliding on two adjacent planes

• Nomenclature

- \vec{r} : resultant force
- \vec{d} : driving force $(\hat{d} = \vec{d} / |\vec{d}|)$
- \hat{n}_i : upward normal vector of plane *i*
- \hat{v}_i : normal vector of plane *i* pointing the inside of a block

2) Failure mode and driving force

- If $\vec{r} \cdot \hat{v}_l > 0$ for all planes, the block is lifting
 - $\rightarrow \vec{d} = \vec{r}$, no supporting plane

- If $\vec{r} \cdot \hat{v}_l \leq 0$ only for the plane l = i, the block is of single face sliding on the plane *i*

$$\rightarrow \hat{d} = \frac{(\hat{v}_i \times \vec{r}) \times \hat{v}_i}{|\hat{v}_i \times \vec{r}|} \quad (=\hat{s}_i)$$
$$\vec{d} = (|\hat{v}_i \times \vec{r}| + (\hat{v}_i \cdot \vec{r}) \tan \phi_i) \hat{d}$$

- If $\vec{r} \cdot \hat{v}_i \le 0$ only for the plane *i* and *j*, the block may be of double face sliding on the plane *i* and *j*

$$\rightarrow \hat{s}_i = \frac{(\hat{v}_i \times \vec{r}) \times \hat{v}_i}{|\hat{v}_i \times \vec{r}|}, \quad \hat{s}_j = \frac{(\hat{v}_j \times \vec{r}) \times \hat{v}_j}{|\hat{v}_j \times \vec{r}|}$$

$$\text{If } \hat{s}_i \cdot \hat{v}_j > 0 \text{ and } \hat{s}_j \cdot \hat{v}_i < 0 \quad \rightarrow \quad i \text{ th plane is a supporting plane (single face sliding)}$$

$$\text{If } \hat{s}_i \cdot \hat{v}_j < 0 \text{ and } \hat{s}_j \cdot \hat{v}_i > 0 \quad \rightarrow \quad j \text{ th plane is a supporting plane (single face sliding)}$$

$$\text{If } \hat{s}_i \cdot \hat{v}_j < 0 \text{ and } \hat{s}_j \cdot \hat{v}_i < 0 \quad \rightarrow \quad i \text{ th and } i \text{ th planes are supporting planes (double face sliding)}$$

2) Failure mode and driving force

$$\rightarrow \hat{d} = \frac{\left(\hat{v}_{i} \times \hat{v}_{j}\right)}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|} \operatorname{sign}\left[\hat{v}_{i} \times \hat{v}_{j} \cdot \vec{r}\right] \quad \left(=\hat{s}_{ij}\right)$$

$$\vec{d} = \left(\vec{r} \cdot \hat{s}_{ij} - N_{i} \tan \phi_{i} - N_{j} \tan \phi_{j}\right) \hat{s}_{ij}$$

$$\text{where } N_{i} = \frac{\left|\left(\vec{r} \times \hat{v}_{j}\right) \cdot \left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}} \quad \text{and} \quad N_{j} = \frac{\left|\left(\vec{r} \times \hat{v}_{i}\right) \cdot \left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}}$$

3) JP of each failure mode for a given resultant force

- Lifting

 $\hat{v}_i = \operatorname{sign}\left[\vec{r}\cdot\hat{n}_i\right]\hat{n}_i \text{ for all } i \quad \left(\rightarrow \vec{r}\cdot\hat{v}_i \ge 0\right)$

- Single face sliding (sliding plane *i*)

$$\hat{v}_{i} = -\operatorname{sign}\left[\vec{r} \cdot \hat{n}_{i}\right]\hat{n}_{i}$$
$$\hat{v}_{l} = \operatorname{sign}\left[\hat{s} \cdot \hat{n}_{l}\right]\hat{n}_{l} \text{ for } l \neq i$$

- Double face sliding (adjacent sliding planes i, j)

$$\hat{v}_{i} = -\operatorname{sign}\left[\hat{s}_{j} \cdot \hat{n}_{i}\right]\hat{n}_{i}$$
$$\hat{v}_{j} = -\operatorname{sign}\left[\hat{s}_{i} \cdot \hat{n}_{j}\right]\hat{n}_{j}$$
$$\hat{v}_{l} = \operatorname{sign}\left[\hat{s}_{ij} \cdot \hat{n}_{l}\right]\hat{n}_{l} \text{ for } l \neq i, j$$

- Failure mode of JPs with a given \hat{r}

1) Locate \hat{r} , \hat{s}_i , and \hat{s}_{ij}

 \hat{s}_i : one of two intersections made by intersection of the great circle *i*

and $\hat{n}_i - \pm \hat{r}$ passing great circle (closer to \hat{r} between the two points)

- \hat{s}_{ii} : intersection of the ith one of the *i* th and *j* th great circles (closer to \hat{r})
- 2) Analyze the failure mode of each JP

Lifting: JP including \hat{r}

Single face sliding: JP including \hat{s}_i and excluding \hat{r} ($\hat{r} \cdot \hat{v}_i < 0$)

Double face sliding: JP including \hat{s}_{ij} and not including \hat{s}_i in the half space of the *j* th plane

as well as not including \hat{s}_i in the half space of the *i* th plane, which satisfies

 $\hat{s}_i \cdot \hat{v}_j < 0$ and $\hat{s}_j \cdot \hat{v}_i < 0$

Stables: JPs not belonging to above mentioned modes (there is no sliding vector in it)

(refer to p.309 of the textbook)



- Failure mode of a JP with variable \hat{r}

Equilibrium region: location of \hat{r} where the same failure mode is applied

A JP consisting of a plane: Fig.9.9

- A JP consisting of two or more planes: Fig.9.11
- 1) \hat{w}_i is defined as a normal vector of the *i* th plane pointing outside of a block. ex) JP = 1100 $\rightarrow \hat{w}_1 = \hat{n}_1, \hat{w}_2 = \hat{n}_2, \hat{w}_3 = -\hat{n}_3, \hat{w}_4 = -\hat{n}_4$
- 2) Draw the JP and locate its \hat{c}_{ij} and \hat{w}_i .
- 3) Draw the boundary lines of equilibrium regions.

 $\hat{w}_i \times \hat{c}_{ij}$: upwards - $\hat{w}_i \rightarrow \hat{c}_{ij}$ in ccw downwards - $\hat{w}_i \rightarrow \hat{c}_{ij}$ in cw $\hat{w}_1 - \hat{w}_2, \quad \hat{w}_2 - \hat{w}_4 \dots$: draw great circles connecting \hat{w}_i and \hat{w}_i in the same order of JP forming planes.

4) Failure mode labelling (Fig.9.11)

Lifting: inside of JP Single face sliding: $\hat{c}_{ij} - \hat{c}_{ik} - \hat{w}_i$ Double face sliding: $\hat{w}_i - \hat{w}_j - \hat{c}_{ij}$ Stable: $\hat{w}_i - \hat{w}_j \dots \hat{w}_i$

5) Drawing friction angle lines

 $\hat{\mathbf{t}}_{ij} = \cos(\phi_i)\hat{w}_i + \sin(\phi_i)\hat{c}_{ij}$

