

Chapter 3. Health Sensing for PHM

Prognostics and Health Management (PHM)

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1. Introduction

Objective of Health Sensing

- To acquire the health-relevant signal in a cost-effective and highly detectable manner
- Sensor Selection
 - To select proper sensors capturing health-relevant signal



- Data Acquisition
 - Amount of data, sampling rate/duration/period, logging capability, optimal DAQ system
- Sensor Networks Design
 - Optimizing sensor position, minimizing the number of sensors





Confusion (or Detectability) Matrix





1. Introduction

Various Sensors for PHM

Thermal Sensor	Acoustic Sensor	Strain Sensor	Current Voltage Sensor	Vibration Sensor	Oil Sensor	Load Sensor	Flow Sensor
Electric components hot spots	Component excitation	Stress level	Cabling isolation faults	Acceleration, velocity, displacement	Components with excessive wear	Stress	Hydrometer Flux
Electronics, Bearings	Bearings, Gearboxes, Engines	Blades, Bearings	Engine, Switches, Cables	Bearings, Gearboxes, Shafts	Bearings, Gearboxes, Engines	Rotor, Dams, Bridges	Hydraulic Components



Failure Modes, Effects and Criticality Analysis (FMECA)

- FMECA is a disciplined method to identify and analyze :
 - All potential failure modes of the various parts of a system
 - Effects of the failures may have on the system
 - How to avoid the failures, and/or mitigate the effects of the failures on the system
- Purposes in System Design Process
 - Assist in selecting design alternatives with high reliability and high safety for avoiding the failure modes
 - Provide an effective method for evaluating the effect of proposed changes to the design and/or operational procedures on mission success and safety
- Purposes in Prognostics and Health Management (PHM)
 - Provide information of proper physical quantities to be acquired for fault diagnosis and prognosis
 - Develop early criteria for test planning and requirements for test equipment
 - Decide priorities of maintenance after some potential failures may happen





Failure Modes, Effects and Criticality Analysis (FMECA)

- Bottom-Up Approach
 - Each component on the lowest level of indenture is studied one-by-one
 - The analysis is complete since all components are considered
- Top-Down Approach
 - The analysis starts with the main system functions and how these may fail
 - It will not complete, but it focuses on problem areas
- Procedure



Example: FMECA on Solenoid Valve in Urban Railway Vehicle

- Step 1: Define the system to be analyzed
 - Consider schematics and operational detail of the system
 - Clarify the function of the system and identify the system indenture levels

- Step 2: Understand operation principles of the system using diagram
 - A functional diagram representing the operation, interrelationship and interdependencies of functional entities of the system should considered
 - It provides the ability to trace the failure mode effects through each level of indenture





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2. Failure Identification

Example : FMECA on Solenoid Valve in Urban Railway Vehicle

- Step 3: Identify failure frequency and modes in unit level
 - It provides a rationale to select the particular unit from the perspective of failure frequencies and modes

Unit	Air Compressor	Solenoid Valve		
Failure Frequency	522 times for 13 years	1757 times for 13 years		
Failure Mode	Oil deteriorationRust by moisture	Burnout of coilsRubber damageDebris accumulation		

Step 4: Select the main unit and identify failure modes, effects and criticalities of it

 Based on the information obtained from this FEMCA and failure cause, some of
 physical quantities for detecting each failure mode can be considered

Item	Failure Mode	Failure Effect	Failure Criticality	Failure Cause	Signals
Solenoid coil	Burnout of coils	Abnormal valve bar behavior	20%	Interruption of coils	Current
Valve	Rubber damage	Valve bar jamming	10%	Fatigue failure	Pressure
seat	Debris accumulation	Air leakage	70%	Impurities in air	Pressure



Accelerated Life Test (ALT)

- Purpose
 - The product life test would require a long-time test (e.g., $10^4 \sim 10^5$ hours) under normal stress condition
 - These cause practical difficulty when planning the tests and analyzing failure data
- ALT Applications
 - Materials testing and evaluation : concrete, metals, ceramics, fibers, plastics, etc.
 - Parts testing and evaluation : Semiconductors, bearings, motors, generators, etc.
 - Testing and evaluation of engineered systems : aircraft, automobiles, etc.

Acceleration Method (1/2)

- Compressed-Time Testing
 - Many products experience on-off operation cycles instead of continuous operation.
 (e.g. appliance doors are more frequently opened and closed)
 - The tests are frequently used, but the loads and environmental stresses are maintained at the level expected in normal use



Acceleration Method (2/2)

- Advanced-Stress Testing (or Physical Acceleration Testing)
 - Advanced-stress testing may be employed to accelerate failures, since as increased loads or harsher environments are applied to a device, an increased failure rate may be observed
 - Some engineering instances include :
 - 1) Electronics industry components are tested at elevated temperatures to increase the incidence of random failure
 - 2) Nuclear industry pressure vessel steels are exposed to extreme levels of neutron irradiation to increase the rate of failure

Conditions for ALT (1/2)

- Condition 1 : Determination of the rate stage
 - Faults rarely occur in response to a single physical or chemical reaction.
 - Ex) Bearing rolling contact fatigue and degradation stage



Sub-surface crack: Acoustic emission from crack



Spall initiation: Metal particle detached, Plastic deformation



Spall propagation: Friction, temperature ↑ Lubricant viscosity ↓



Extend spall & fatigue: Excessive heat from contact, Lubricant burn



Conditions for ALT (2/2)

- Condition 2 : Consistency of the failure mechanism
 - Even if the same stress is applied, different failure mechanisms (e.g. physical, chemical, etc.) can occur depending on the stress level
 - Therefore, the applied stress level should be set appropriately within the operating limit

Ex) Weibull Distribution: same failure mechanism \rightarrow the same shape factor of the life distribution

- Condition 3 : Necessary condition of ALT for validity
 - Should satisfy $t_d = AF \times t_a$
- t_d : lifetime under normal use condition AF: acceleration factor

 t_a : lifetime under accelerated condition





Framework of Measuring Systems of Three Stages

- Stage 1. Sensor-transducer stage
 - The primary function of the first stage is to detect or to sense the measurands
 - Unwanted sensitivity is a measuring error, called noise
- Stage 2. Signal conditioning stage
 - To modify the transduced information so that it is acceptable to the third stage.
 - It may performs many operations, such as amplification, filtering to remove noise, analog-to-digital convertering, integration, differentiation, as may be required
- Stage 3. Readout-recording stage
 - The third stage provides the information sought in a form comprehensible to one of the human senses or to a controller





Types of Input Quantities

- Classification of mechanical quantities by time dependence
 - Static : constant in time, the most easily measured
 - Dynamic : varying in time, can be classified as 1) steady state periodic and 2) nonrepetitive or transient
- Analog and Digital Signals
 - Analog signal: A signal whose magnitude is continuously changed with respect to time. The physical quantities that we commonly know and the values measured by analog sensors are analog signals
 - Digital signal: A signal whose magnitude is discontinuously changed with respect to time. Mechanical quantities normally behave in an analog manner. Distinct advantages are often obtained in converting an analog signal to an equivalent digital signal for the purposes of signal conditioning and/or readout





Sensor Dimensionality

• Sensors measure through physical processes that span a multidimensional space-time environment. In most cases, these processes occur over single, multiple dimensions.

	Point sensors (0D)	1D sensors	2D sensors	3D sensors
	accelerometer	TDR	2D imaging	Radiography
Examples				NUMBER OF

Precision and Accuracy

- Precision : The size of the smallest quantity that can be distinguished by a system
- Accuracy : The quality and/or confidence in a given measurement





Calibration

- Definition : The process to accurately measure the value of the physical quantities before using the sensor
- Single Point Calibration
 - If the output is exactly proportional to the input, a single simultaneous observation of input and output will suffice to fix the constant of proportionality



• Multipoint Point Calibration

- output = slope × input + intercept
- Intercept ideally has zero value, but is varied by circumstance (e.g. temperature, humidity, etc.)
- Slope and intercept values are normally provided by manufacturer.
- Slope usually has fixed value, but is varied in long-time usage
- Multipoint calibration works when the output is not simply proportional, and, more generally, improves the accuracy of the calibration

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3. Fundamentals of Sensing System

Sensitivity

- Definition : The change of sensors output per unit change in the measured quantity
- A more sensitive sensor's reading changes significantly in response to smaller changes in the measured quantity

- Sensitivity = $\frac{\text{Change in ouput}}{\text{Change in input}}$

• Sensitivity can be expressed as V/cm, V/g, V/(m/s²), etc.

Resolution

- Definition : The smallest change it can detect in the quantity that it is measuring.
- In general, the number of bits represent the degree of resolution
- Higher bits, higher resolution (e.g. n bit device present analog signal with 2ⁿ values)





Common Types of Error

- Bias Errors (also referred to as systematic errors)
 - Bias errors occur the same way each time a measurement is made
 - Example : If the scale on an sensor consistently reads 5% high, then the entire set of measurements will be biased by +5% above the true value
- Precision Errors (also referred to as random errors)
 - Precision errors are different for each successive measurement having an average value of zero
 - Example : Mechanical vibration may cause the reading of a measuring value to fluctuate about the true value, sometimes reading high and sometimes reading low



Measurands

- Definition
 - Physical properties that are directly measurable with sensors
- Geometric Configuration : Displacement, Tilt and Flatness
 - Human eyesight and simple sensors can quickly measure geometric properties
 - All geometric measurements require an established reference frame with an associated coordinate system
- Kinematics : Displacement, Strain, Velocity, and Acceleration
 - Structural stiffness calculations and models often make use of displacement
 - Accelerations measurement are common due to low cost for measuring than velocities or displacements
- Kinetics: Force, Stress, Torque, Pressure
 - Indirect measurements (typically based on deformation) are more common
 - Example : Load cell for measuring a force actually measure the deformation of an internal elastic element and infers it from elastic force-deformation model



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3. Fundamentals of Sensing System

Measurands

- Electromagnetic Properties: Impedance, Voltage, Current, Magnetic Flux
 - Direct measurements (typically based on voltage) are more common
 - Example : LCR meter measures impedances (resistance, capacitance, inductance) using frequency responses of voltage and current across specimen
- Chemical State: Corrosion, Dissolved Gas
 - Many experimental techniques (e.g. chromatography) can be used to measure or separate the target chemicals.
 - Example 1 : Hydrogen sulfide corrosively damage concrete sewer pipe
 - Example 2 : Dissolved gases in transformer oil are closely related to internal faults
- Others : Temperature, Moisture, etc.
 - A variety of sensors (e.g. thermometer: mercury, alcohol, bimetal, thermistor, infrared) can measure and transduce the information into an easily measured property, such as voltage, optical intensity, or direct digital readout.



Dimensional Units of Sensing : International System of Units (SI System)

- Establishment of the SI System
 - Seven base units of SI System are listed in below left table
 - Other dimensions can be derived from these base unis by multiplication and division shown
 - A few such derived units are assigned special name shown in below right table

Orrentiter	Unit		Orrentites	Unit		Other	
Quantity	Name	Symbol		Quantity	Name	Symbol	Unit
Length	Meter	m		Angle	Radian	rad	m•m ⁻¹
Mass	Kilogram	kg]	Frequency	Hertz	Hz	s ⁻¹
Time	Second	S		Force	Newton	Ν	kg·m·s ⁻²
Electric current	Ampere	А	Pre	essure, Stress	Pascal	Р	N/m ²
Temperature	Kelvin	K		Power	Watt	W	N•m•s⁻¹
Amount of substance	Mole	mol		Charge	Coulomb	С	A·s
Luminous intensity	Candela	cd		Voltage	Volt	V	W/A



Dimensional Units of Sensing : International System of Units (SI System)

- Conversions between system of units
 - There are five systems listed in below table
 - System of units differs in their use of defined and derived units
 - In any case, whichever system is used, a consistent, compatible balance of units must be maintained

Quantity			Unit		
Quantity	SI	CGS	English Engineering	Absolute English	Technical English
Length	Meter (m)	Centimeter (cm)	Foot (ft)	Foot (ft)	Foot (ft)
Time	Second (s)	Second (s)	Second (s)	Second (s)	Second (s)
Mass	Kilogram (kg)	Gram (g)	Pound-mass (lbm)	Pound-mass (lbm)	slug
Force	Newton (N)	Dyne	Pound-force (lbf)	Poundal	Pound-force (lbf)

- Example : Determine the conversion factor between pounds-force and newtons
 - $1 \text{ lbf} = 32.147 \text{ lbm} \cdot \text{ft/s}^2$

 $= 32.147 (0.453 \text{ kg}) (12 \cdot 0.0254 \text{m})/\text{s}^2$

 $= 4.448 \text{ kg} \cdot \text{m/s}^2$

= 4.448 N



Overview of Sensors

- Definition
 - A sensor is a device that detects or measures physical quantities and records, indicates or otherwise responds to these
- Classification of Sensors
 - Point sensor : measure a region that is sufficiently small to be approximated as a point
 - Distributed sensor : measure over larger regions with an effective dimension greater



Point Senor - Mechanical Sensors

- Accelerometer
 - Purpose : measure acceleration relative to an inertial reference frame
 - Principle : measure the relative displacement of a spring-mounted proof mass w.r.t moving base



- Dial Indicator and Demec Gage
 - Dial indicator : measure relative displacement between two solid surfaces
 - Demec gage : measure two reference points that are fixed onto a structural element



Point Sensor - Mechanical Sensors

- Gyroscope Sensor and Microphone
 - Gyroscope sensor : measure rotation and orientation using gravity
 - Microphone : measure acoustic pressure



- Schmidt Hammer and Portable Indenter
 - Schmidt hammer : estimate the strength of a brittle material by measuring the amount of energy absorbed during a standardized hammer impact
 - Portable indenter: measure the hardness of a material from indentation (e.g. Brinell hardness testing, Vickers hardness testing)





Point Sensor - Electrical Sensors

- Strain Gage
 - Purpose : measure mechanical strain on the structure
 - Principle : measure electrical resistance change of the strain gage in response to deformation (e.g., elongation, compression) called piezoelectric effect



- Load Cell and Pressure Sensor
 - Load cell : measure forces and loads using piezoresistive strain gage
 - Pressure sensor : measure pressure using piezoresistive strain gage



Point Sensor - Electrical Sensors

- Thermocouple
 - Purpose : measure the temperature difference between two positions
 - Principle : produce a temperature-dependent voltage as a result of Seeback effect and this voltage can be interpreted to temperature



- Capacitance Sensor and Water Absorption Detector
 - Capacitance sensor : measure capacitance of conductive or dielectric material
 - Water absorption detector : measure the moisture of insulators by capacitance change



Point Sensor – Optic Sensors

- Optical Microphones
 - Purpose : measure the acoustic (sound) pressure
 - Principle : measure changes in light intensity reflected from an vibrating membrane fluctuating sound waves and transform these into sound signal





- Light Sensor and Laser-based Gas Sensor
 - Light sensor : measure the intensity or brightness of light
 - Laser-based gas sensor : defect and quantify gases from absorption of energy of light



Distributed Sensor – 1D

- Time Domain Reflectometry (TDR)
 - Purpose : characterize faults and these location in metallic cables
 - Principle : transmit an incident signal onto the conductor and measure the condition of reflected signal considering the impedance mismatch



- Fiber Bragg Grating (FBG) Sensor and Fiber Optic Interferometer
 - FBG sensor : measure the vibration using the reflected wavelength of light caused by bragg scattering and deformed cable (raman scattering)
 - Fiber optic interferometer : measure temperature from optical phase difference (Rayleigh)



Distributed Sensor -2D and 3D

- Laser Doppler Vibrometer (LDV)
 - Purpose : measure the acceleration, velocity and displacement and image these
 - Principle : the laser from the LDV is directed and the information of vibration is extracted from the Doppler shift of the reflected laser beam frequency





- Thermographic Camera and Acoustic Camera
 - Thermographic camera : form images using radiation for finding overheating part
 - Acoustic camera : visualize the pressure field for characterizing the location and amplitude of sound sources







Distributed Sensor -2D and 3D

- Acoustic Emission (AE) Testing and Sensor
 - Purpose : detect faintest surface movements of elastic waves released from fractures and find the location of these
 - Principle : piezoelectric effect and triangulation



- Metal Particle Counter and Ground Penetrating Radar Testing
 - Metal particle counter : detect and count metal particles from wear
 - Ground penetrating radar testing : image the subsurface using electromagnetic radar





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4. Sensor Classification

Distributed Sensor – 2D and 3D

- Eddy Current Testing (ECT) and Proximity Sensor
 - ECT : detect the crack measuring disturbances in the magnetic fields by eddy current
 - Proximity sensor : detect the presence of nearby objects by emitting the magnetic field





- Electric Impedance Tomography (EIT) and Magnetic Particle Imaging (MPI)
 - EIT : form tomographic image the conductivity, permittivity and impedance of objects
 - MPI : identify cracks and other defects in ferromagenetic material









Data Acquisition (DAQ)

- Definition
 - The process of measuring electrical or physical phenomena such as voltage, current, temperature, pressure, or noise.
- DAQ system
 - DAQ system consists of sensor, signal conditioner, DAQ hardware, computer & software
 - Sensors : transform measured physical quantities into voltage or current
 - Signal conditioner : amplify the sensor output voltage or to filter the noise
 - DAQ hardware : convert the voltage output from a sensor or conditioner into a computer-readable digital signal





Data Acquisition (DAQ)

- Functions of DAQ Hardware
 - Analog input : measure analog signals (e.g. oscilloscope)
 - Analog output : analog signal generation and output (e.g. function generator)
 - Digital input : measure digital signals
 - Digital output : digital signal generation and output
 - Counter input : measure frequency, cycle, number of digital pulse
 - Counter output : digital pulse generation and output function
- Analog-to-Digital Converter (ADC)
 - Since computers recognize digital signals, analog signals must be converted to digital signals.
 - Resolution of ADC : A measure of how precisely an analog value is decomposed when the ADC converts an analog signal to a digital signal
 - The higher the number of bits, the better the resolution
 - The better the resolution, the more accurately converted to a digital value
 - Ex) If analog input range 0 ~ 10V,
 1 bit ADC: divide into two values (e.g. 0V or 5V)
 n bit ADC: divide into 2ⁿ values



Data Acquisition (DAQ)

- Analog-to-Digital Converter (ADC)
 - To collect the signal with the maximum resolution, the ADC's range of use is properly set according to the range of the input signal.
 - Ex) Resolution difference according to input range of ADC case1: 0 ~ 10 V analog input, 3 bit ADC case2: -10 ~ 10 V analog input, 3 bit ADC



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Sensor Networks

- Definition
 - Distributed networks of small and lightweight sensors to monitor engineered system
- Components in Sensor Networks
 - Assembly of distributed or localized sensors
 - Central point of information clustering
 - Set of computing resources at the central point to handle data correlation and data mining
- Networked vs Individual Sensors
 - Extended range of sensing : Cover a wider area of operation
 - Redundancy : Multiple nodes close to each other increase fault tolerance
 - Improved accuracy : Sensor nodes collaborate and combine their data to increase the accuracy
 - Extended functionality : Sensor nodes can not only perform sensing functionality, but also provide forwarding service



Sensor Networks

- Sensor Placement
 - Placement of sensors to monitor fault indicators and signatures accurately and robustly in a critical military or industrial system constitutes an essential function of PHM
 - A good sensor placement should consider both coverage and connectivity (C&C)



- How to place the least number of sensors in a field to achieve desired C&C properties?





- Wireless Sensor Networks (WSN)
- Definition
 - Wireless network consisting of spatially distributed autonomous device using sensors to monitor physical or environmental conditions
- Characteristics of WSN
 - Robust in harsh and hostile environments where wired sensors can't be deployed
 - Scalable and dense WSN construction for PHM
 - Low cost and computational power
 - Ability to cope with sensor nodes failure (\rightarrow Resilience)
 - Power consumption constraints for sensor nodes (→Energy Harvesting)
- Applications of WSN
 - Structural health monitoring
 - Internet of Things (IoT)
 - Cyber Physics System (CPS)
 - Smart factory





6. Case Study: Wind Turbine Main Bearing & Gearbox

Sensor Type – Accelerometer, Tachometer, Thermometer, Particle sensor, ...

- Characteristics
 - Need to consider load transfer paths for locating sensors
- Standard
 - IEC 61400-25, ISO 10816-21, ...
 - Specify the measurement target, location, type, method, ...





Target	Location	Туре	
	Input bearing axial, radial	Acceleration	
Gearbox	Output bearing axial, radial	Acceleration	
	Oil cleanliness	Particle count	
Main	Axial, radial	Acceleration	
bearing	Rotational	RPM	



6. Case Study: Overhead Transport (OHT)

Sensor Type - Current sensor and Encoder embedded in a servo drive

- Characteristics
 - Hard to install health-relevant sensors (e.g., accelerometer)
 - Complicated operating conditions (e.g., straight, stepped, branch, ...)
- Acquire control signals originally measured for feedback control purpose
 - Command torque calculated by current
 - Speed calculated by encoder
 - One wireless DAQ per OHT vehicle



6. Case Study: Power Generator

Sensor Type – Wet Bar Detector (Water Absorption Detector)

- Measuring the capacitance of the ground wall insulation
- Estimating the extent of water penetration indirectly; WET insulation ⇒ HIGH capacitance
- Non-destructive to the stator bar
- Measurement procedure:
 - Clean the bars to assure proper contact before the test
 - Attach the probe to bars within three-inch of the bar armor
 - Measure the capacitance on given points



<u>Output</u>



Capacitance [pF]

 ε_r : Relative static permittivity ε_{water} : 80.4 ε_{mica} : 5.6 - 6.0 ε_0 : Electric constant (~ 8.854x10-12 F/m) A: Area of tester t : Thickness of insulation

• Irregular measurement: Once every 2 or 3 years;



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6. Case Study: Steam Turbine Rotor

- Sensor Type Proximitor Sensor
- Characteristics
 - Gap measurement sensor using eddy current variation
 - 0.1 μ m unit resolution & high accuracy
 - AC & DC measurement
- Data Acquiring Method
 - 2 sensors in 90 deg. to sense each axis behavior
 - Max / Min value limited by linear correlation of voltage and displacement
- Acquired Data
 - Two raw signals from a position









6. Case Study: Smart LNG Plants

Sensor Type – OTDR Test Equipment



OTDR Test Setting

- OTDR : MTS8000 (JDS Uniphase Corporation)
- Optical Fiber : Single mode bare (Helically Installed)
- Fiber Length : 1134m
- Point Sensors : 3 pressure gauges, 5 RTDs
- Inner flow : Dry ice (approx. -45°C)



Test bed for OTDR verification test



6. Case Study: Power Transformer (1)

Sensor Type – Accelerometer



- Power Transformer
 - Single phase
 - Shell type
 - About 1000 MVA
 - Oil-filled
- Accelerometer
 - Charge-type
 - Magnet mount



Data Acquisition



- Impossible to install sensors inside
- Detecting propagated vibration through oil
- 36~112 sensors/transformer
- Signals at every 1.25Hz (0~2kHz)



6. Case Study: Power Transformer (2)

Health diagnostics and prognostics of power transformers against mechanical faults



Optimal sensor positioning, Health grade system, Remaining useful life prediction



THANK YOU FOR LISTENING



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