

## **Chapter 15. Dynamic Behavior of Thermal Systems**

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# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.1 In What Situations is Dynamic Analysis Important?

Steady-state	Dynamic
More frequently than dynamic simulations	Address transient problems
Can be justified in the design	Can be corrected in the field
Ex. Part-load efficiency, Potential operating problems	Ex. System shutdown, Damage the plant, Imprecise control

Dynamic Analysis : with respect to time, on/off, under control, disturbance

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.2 Scope and Approach of This Chapter

### Intention

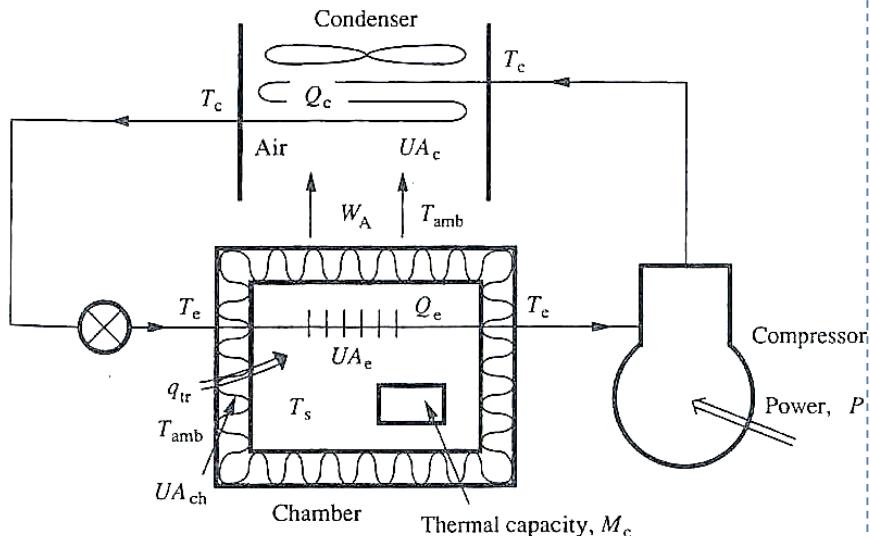
- Concentration on thermal components
- Emphasis of behavior in the time domain
- The translation of physical situations into symbolic or mathematical representation

### Object

- More comfortable in making dynamic analysis
- Representation of the performance in the time domain
- Experience in block diagram

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.3 One Dynamic Element in a Steady-State Simulation



Compressor ref. capacity  $q_e = f_1(T_e, T_c)$

Compressor power  $P = f_2(T_e, T_c)$

Condenser  $q_c = \dot{m}c_{p,a}(T_c - T_{amb})(1 - e^{\frac{UA}{\dot{m}c_{p,a}}})$

Evaporator  $q_e = (T_s - T_e)(UA_e)$

Energy balance  $q_c = P + q_e$

Heat transfer to chamber

$$q_e = q_{tr} = UA_{ch}(T_{amb} - T_s)$$

steady-state

Fig. System with one dynamic element (refrigeration plant serving a cold room)

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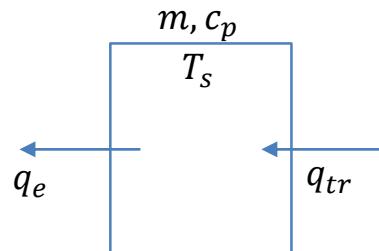
## 15.3 One Dynamic Element in a Steady-State Simulation

Pull-down

$$q_{tr} = UA_{ch}(T_{amb}, -T_s)$$

$$q_{tr} = q_e + mc_p \frac{dT_s}{dt}$$

Dynamic : during pull-down  $q_{tr} \neq q_e$



# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.4 Laplace transform

- Powerful tool in predicting dynamic behavior
- One way to solve ODE

$$L\{F(t)\} = \int_0^{\infty} F(t)e^{-st} dt = f(s)$$

$$\begin{aligned} L\{F'(t)\} &= \int_0^{\infty} F'(t)e^{-st} dt \\ &= e^{-st} F(t)]_0^{\infty} - \int_0^{\infty} F(t)(-s) e^{-st} dt \\ &= -F(0) + sf(s) \end{aligned}$$

$$\begin{aligned} L\{F''(t)\} &= \int_0^{\infty} F''(t)e^{-st} dt \\ &= e^{-st} F'(t)]_0^{\infty} - \int_0^{\infty} F'(t)(-s) e^{-st} dt \\ &= -F'(0) + s[-F(0) + sf(s)] \\ &= -F'(0) - sF(0) + s^2 f(s) \end{aligned}$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.4 Laplace Transforms

**Example 15.1 : What is the Laplace transform of the constant  $c$  ?**

**(Solution)**

$$\mathcal{L}\{c\} = \int_0^{\infty} c e^{-st} dt = -\frac{c}{s} e^{-st}]_0^{\infty} = \frac{c}{s}$$

**Example 15.2 : What is the Laplace transform of  $bt$  ?**

**(Solution)**

$$\mathcal{L}\{bt\} = \int_0^{\infty} bt e^{-st} dt = -b \frac{d}{ds} \int_0^{\infty} e^{-st} dt = -b \frac{d(1/s)}{ds} = b/s^2$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.5 Inversion of Laplace Transforms $L^{-1}\{f(s)\} = F(t)$

**Example 15.4 : Invert**  $\frac{s+10}{(s-2)^2(s+1)}$

**(Solution)** 
$$\frac{s+10}{(s-2)^2(s+1)} = \frac{A}{(s+1)} + \frac{B}{(s-2)^2} + \frac{B'}{s-2}$$

$$constants : 10 = 4A + B - 2B'$$

$$s : \quad 1 = -4A + B - B'$$

$$s^2 : \quad 0 = \quad A \quad + B'$$

$$A = 1, \quad B = 4, \quad B' = -1$$

$$\therefore L^{-1} \left\{ \frac{s+10}{(s-2)^2(s+1)} \right\} = e^{-t} + 4te^{2t} - e^{2t}$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.5 Inversion of Laplace Transforms $L^{-1}\{f(s)\} = F(t)$

(Another Solution of Example 15.4)

- ✓ For non-repeated roots

$$\frac{N(s)}{D(s)} = \frac{A}{s-a} + \frac{B}{s-b} + \dots \quad A = \frac{N(s)(s-a)}{D(s)} \Big|_{s \rightarrow a} \quad B = \frac{N(s)(s-b)}{D(s)} \Big|_{s \rightarrow b}$$

- ✓ For repeated roots

$$\frac{N(s)}{D(s)} = \frac{A}{s-a} + \frac{B}{(s-b)^2} + \frac{B'}{s-b} \quad B = \frac{N(s)(s-b)^2}{D(s)} \Big|_{s \rightarrow b} \quad B' = \frac{d}{ds} \left[ \frac{N(s)(s-b)^2}{D(s)} \right]_{s \rightarrow b}$$

$$\rightarrow A = \frac{s+10}{(s-2)^2} \Big|_{s \rightarrow -1} = 1 \quad B = \frac{s+10}{s+1} \Big|_{s \rightarrow 2} = 4 \quad B' = \left( \frac{s+10}{s+1} \right) \Big|_{s \rightarrow 2} = -1$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.6 Solution of ordinary differential equations

**Example 15.6 : Solve  $Y''(t) + k^2 Y(t) = 0$**

(boundary conditions :  $Y(0) = A$ ,  $Y'(0) = B$ )

**(Solution)**

Transform the differential equation

$$s^2 y(s) - sY(0) - Y'(0) + k^2 y(s) = 0$$

Boundary conditions

$$y(s) = \frac{As}{s^2 + k^2} + \frac{B}{s^2 + k^2}$$

Invert  $y(s)$

$$Y(t) = A\cos(kt) + (B/k)\sin(kt)$$

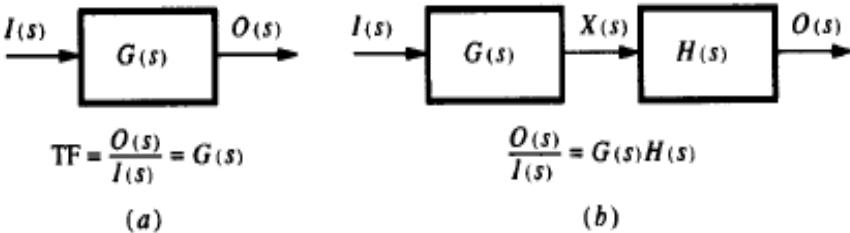
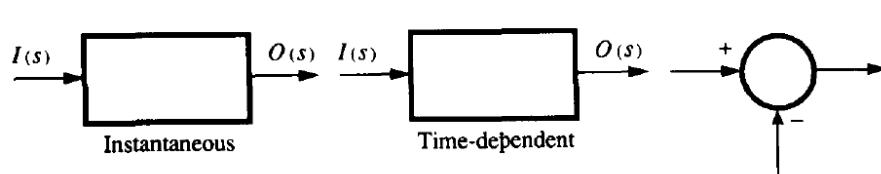
# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.7 Blocks, Block diagrams, and transfer functions

- Variable in S domain  
(not in time domain)

Transfer function :  $TF = \frac{L\{O(t)\}}{L\{I(t)\}} = \frac{O(s)}{I(s)}$

ratio of the output to the input



**Fig.** Symbols used in block diagrams

**Fig.** Transfer function and cascading of blocks

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.8 Feedback Control Loop

Unity feedback  $TF = \frac{G(s)}{1+G(s)}$

Non-unity feedback  $TF = \frac{G(s)}{1+G(s)H(s)}$

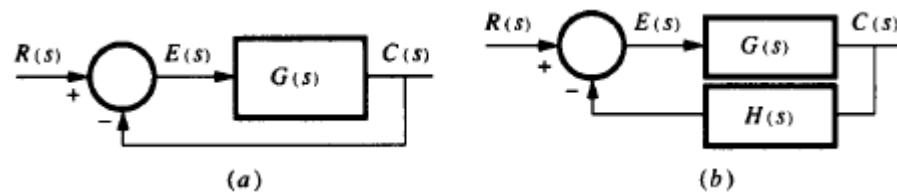
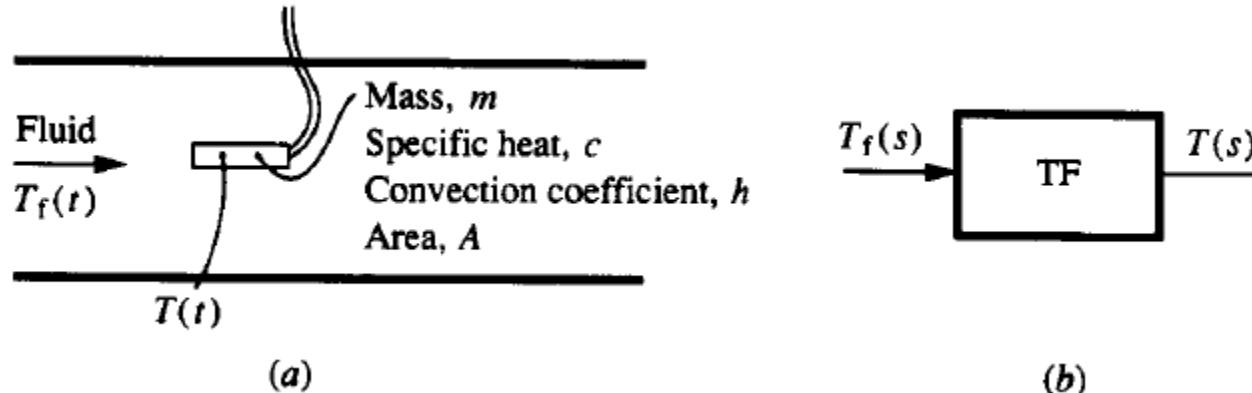


Fig. (a) Unity feedback loop

(b) Nonunity feedback loop

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.9 Time Constant Blocks



**Fig.** (a) Response of a temperature-sensing bulb to a change in fluid temperature  
(b) Transfer function of this time-constant block

Standard technique for developing transfer function

1. Write differential equation

$$mc \frac{dT}{dt} = (T_f - T)hA$$

2. Transform equation

$$\frac{mc}{hA} [sL(T) - T(0)] = L(T_f) - L(T)$$

3. Solve for transfer function ( $L\{O\}/L\{I\}$ )
- $$TF = \frac{T(s)}{T_f(s)} = \frac{\frac{1+T(0)}{B}}{1+Bs} \quad (B = \frac{mc}{hA})$$

For special case  $T(0) = 0 : TF = \frac{1}{1+Bs}$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.9 Time Constant Blocks

$$mc \frac{d(T - T_0)}{dt} = [(T_f - T_0) - (T - T_0)]hA$$

$$TF = \frac{L\{T - T_0\}}{L\{T_f - T_0\}} = \frac{1}{Bs + 1}$$

$T_f$  : unit step increase     $T_f(s) = \frac{\Delta}{s}$

$$\alpha\beta - \beta = 0, \alpha = 1, \beta = B$$

$$L\{T - T_0\} = L\{T_f - T_0\} \frac{1}{(Bs + 1)} = \frac{\Delta}{s(Bs + 1)} = \Delta \left( \frac{\alpha}{s} - \frac{\beta}{Bs + 1} \right) \xrightarrow{\downarrow} \Delta \left( \frac{1}{s} - \frac{B}{Bs + 1} \right)$$

$$T - T_0 = \Delta \left( 1 - e^{-\frac{t}{B}} \right)$$

$\left( B = \frac{mc}{hA} \right)$  : time constant

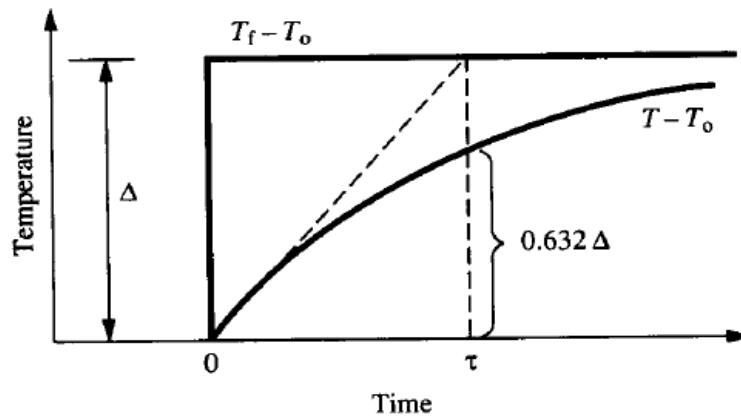
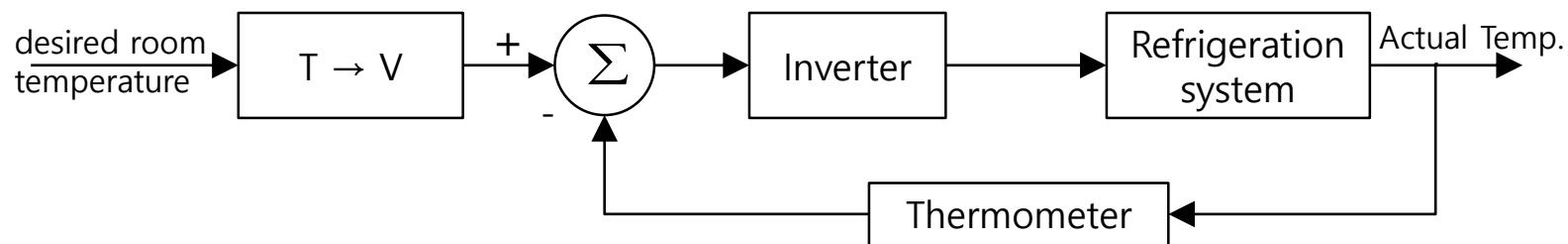
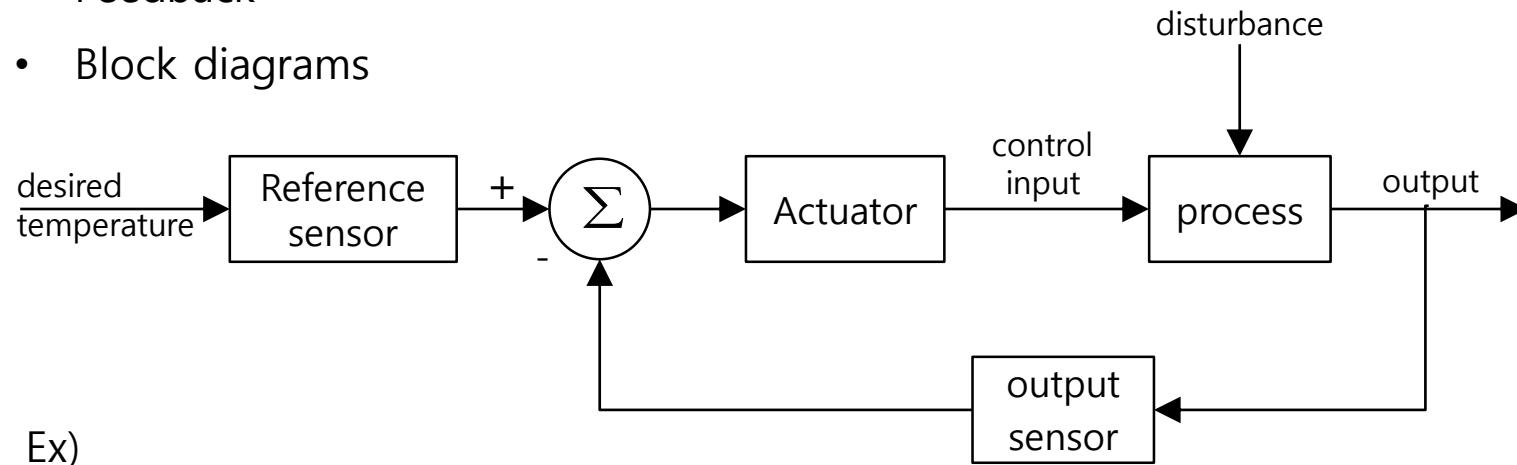


Fig. Step increase in fluid temperature  $T_f$  and response of the bulb temperature  $T - T_0$

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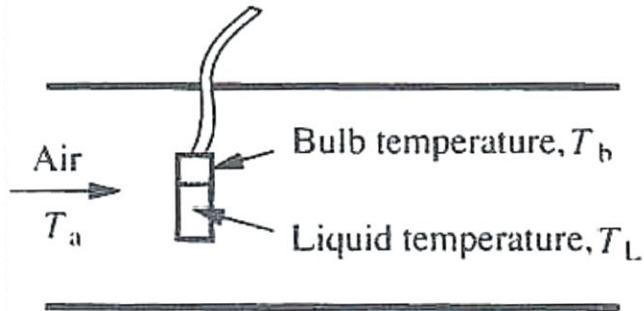
## 15.9 Time Constant Blocks - additional

- Control
- Feedback
- Block diagrams



# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.10 Cascade Time-constant Blocks



Heat balance equation :

$$(T_a - T_b)h_1A_1 = mc \frac{dT_b}{dt} + (T_b - T_L)h_2A_2$$

$$(T_b - T_L)h_2A_2 = mc \frac{dT_L}{dt}$$

$$\text{Let } \tau_1 = \frac{mc}{h_1A_1}, \tau_2 = \frac{mc}{h_2A_2} \quad \begin{matrix} \text{subscript 1 : air to bulb} \\ \text{subscript 2 : bulb to liquid} \end{matrix}$$

Fig. Response of liquid temperature  $T_L$  to a change in air temperature  $T_A$

Suppose that  $T_a$  experiences a step increase of magnitude  $\Delta$  from  $T_0$

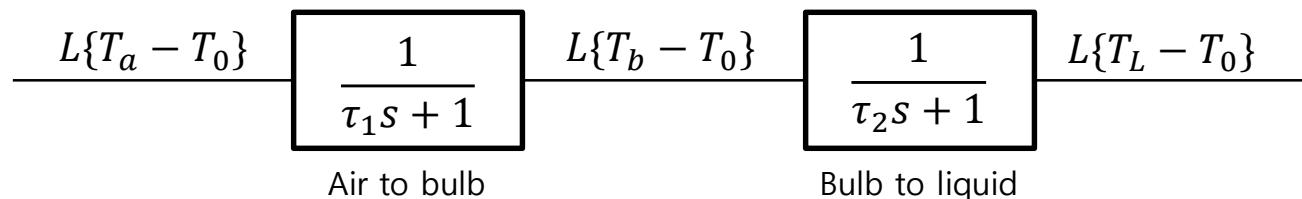


Fig. Cascaded time-constant blocks to represent the dynamic process

Neglect in order for the heat transfer from the air to the bulb to be represented by the time constant

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## 15.10 Cascade Time-constant Blocks

For unit step input

$$L\{T_L - T_0\} = \frac{\Delta}{s} \left( \frac{1}{\tau_1 s + 1} \right) \left( \frac{1}{\tau_2 s + 1} \right)$$

Inversion

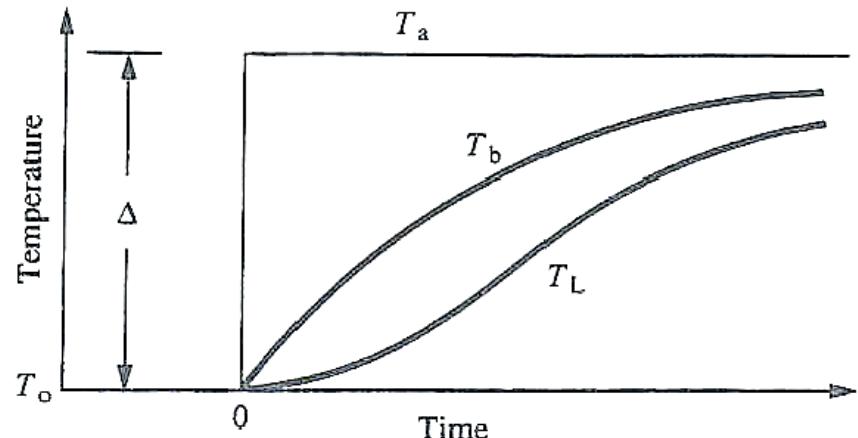
$$\frac{T_L - T_0}{\Delta} = 1 - \frac{\tau_1}{\tau_1 + \tau_2} e^{-\frac{t}{\tau_1}} - \frac{\tau_2}{\tau_2 + \tau_1} e^{-\frac{t}{\tau_2}}$$

①  $t = 0, T_L - T_0 = 0$

②  $\frac{d(T_L - T_0)}{dt} = 0 \text{ at } t = 0$

③ if  $\tau_2 \ll \tau_1$   $T_L - T_0 = \Delta(1 - e^{-t/\tau_1})$

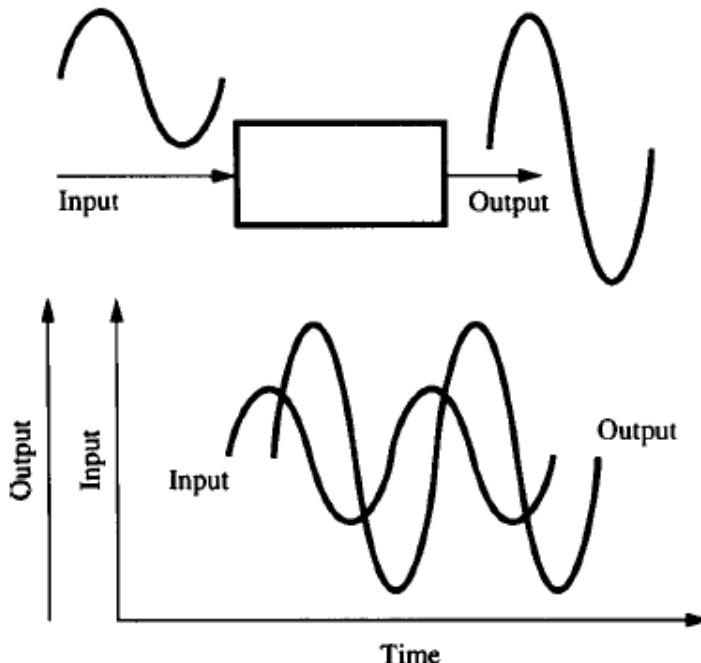
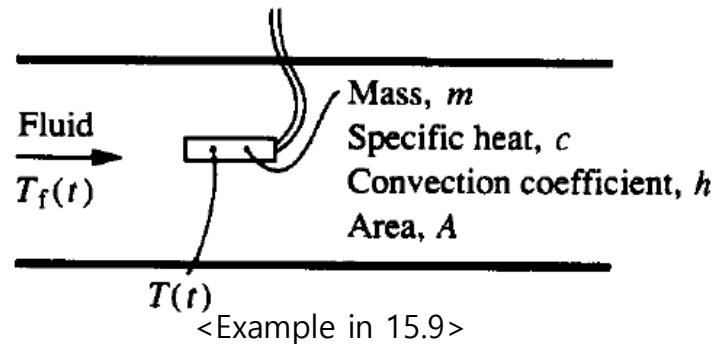
④ if  $\tau_2 = \tau_1$   $\frac{T_L - T_0}{\Delta} = 1 - e^{-t/\tau_1} - \frac{t e^{-t/\tau_1}}{\tau_1}$



# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.11 Stability Analysis

- Frequency response / Bode plot (diagram)
  - Response to sinusoidal input



Sinusoidal input :  $T_f(t) - T_0 = \Delta \sin(2\pi ft)$

$$L\{\Delta \sin(2\pi ft)\} = \Delta \frac{a}{s^2 + a^2}, a = 2\pi f$$

<From example in 15.9>

$$L(T - T_0) = \frac{1}{\tau s + 1} \cdot \frac{\Delta a}{s^2 + a^2} = \frac{A}{\tau s + 1} + \frac{Bs + C}{s^2 + a^2}$$

$$A = \frac{\Delta a}{1/\tau^2 + a^2}, B = \frac{-\Delta a}{\tau a^2 + 1/\tau}, C = \frac{\Delta a}{\tau^2 a^2 + 1}$$

$$L(T - T_0) = \frac{\Delta a \tau^2}{\tau s + 1} + \frac{\frac{-\Delta a}{\tau a^2 + 1/\tau} s + \frac{\Delta a}{\tau^2 a^2 + 1}}{s^2 + a^2}$$

**Fig.** Frequency response in which an sinusoidal input provides an output lagging the input and also exhibiting a different amplitude than the input

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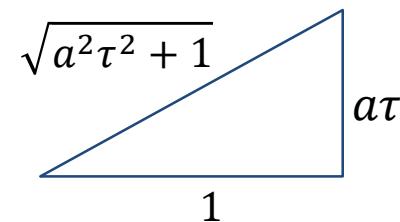
## 15.11 Stability Analysis

$$\begin{aligned}T - T_0 &= \frac{\Delta a}{a^2\tau^2 + 1} L^{-1}\left\{\frac{\tau^2}{\tau s + 1} + \frac{-\tau s + 1}{s^2 + a^2}\right\} \\&= \frac{\Delta a}{a^2\tau^2 + 1}\{\tau e^{-t/\tau} - \tau \cos(at) + \frac{1}{a} \sin(at)\}\end{aligned}$$

as  $t \rightarrow \infty$

$$\begin{aligned}T - T_0 &= \frac{\Delta}{a^2\tau^2 + 1} \{\sin(at) - at \cos(at)\} \\&= \frac{\Delta a}{a^2\tau^2 + 1} \{\sqrt{a^2\tau^2 + 1} \cdot \sin(at + \phi)\} = \frac{\Delta}{a^2\tau^2 + 1} \sin(at - \phi)\end{aligned}$$

$$\phi = \tan^{-1}(at)$$



$$\text{Amplification ratio : } \frac{1}{\sqrt{a^2\tau^2 + 1}} \sin(at - \phi)$$

$$\text{Phase lag : } \phi = \tan^{-1}(2\pi f \tau)$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.11 Stability Analysis

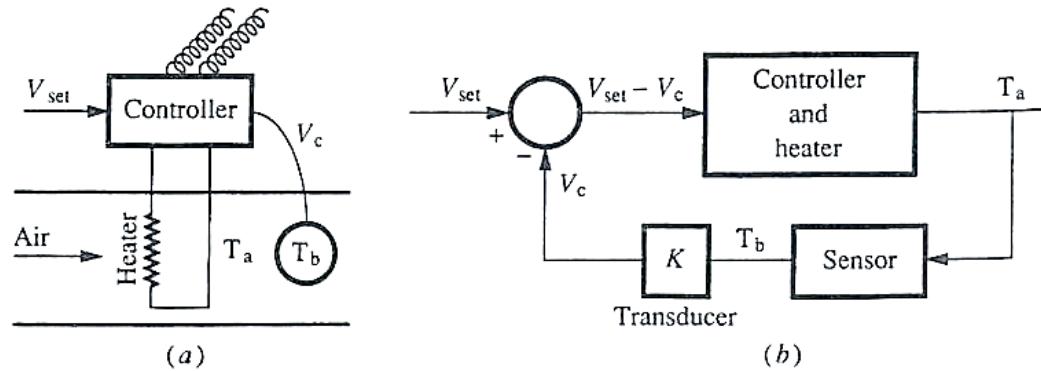


Fig. (a) Air heater  
(b) control block diagram

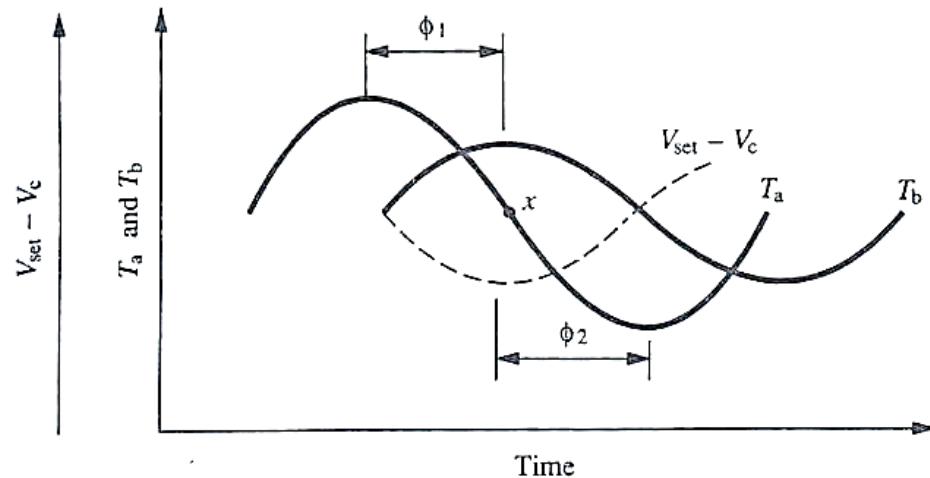


Fig. Perpetuation of sinusoidal disturbances throughout the control loop of the air heater

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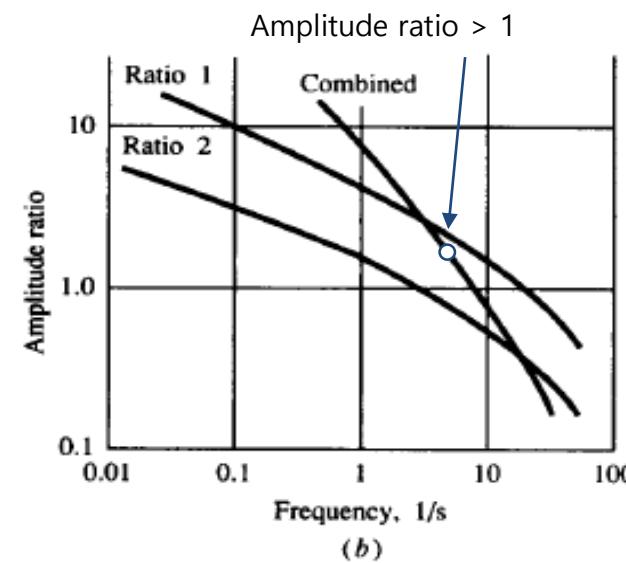
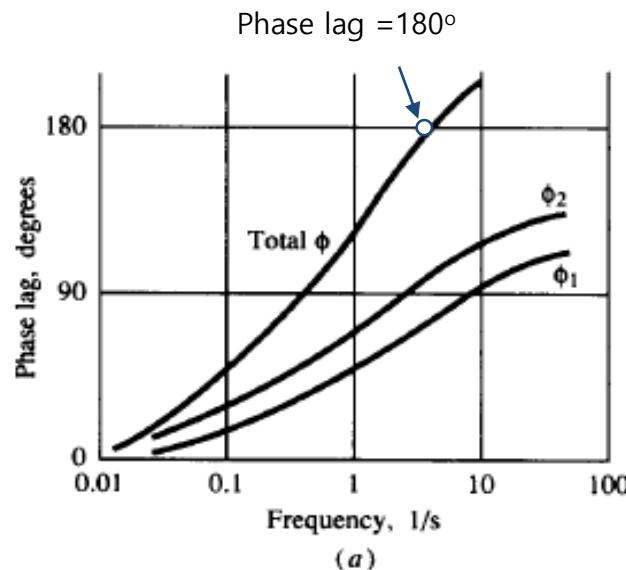
## 15.11 Stability Analysis

- Stability criterion

At the frequency  $f$  where sum of phase lags =  $180^\circ$  Fig.(a)

product of amplitude ratio > 1 Fig.(b)

→ the loop is unstable



**Fig.** (a) Sum of the phase lags in the Bode diagram  
(b) Product of the amplification ration

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.11 Stability Analysis - additional

$$* \frac{Y(s)}{U(s)} = G(s)$$

$u(t) = U_0 \sin \omega t$  : input

$$\begin{aligned} Y(s) &= G(s) \frac{U_0 \omega}{s^2 + \omega^2} \\ &= \frac{\alpha_1}{s-a_1} + \dots + \frac{\alpha_n}{s-a_n} + \frac{\alpha_0}{s+j\omega} + \frac{\alpha_0^*}{s-j\omega} \end{aligned}$$

$$y(t) = \alpha_1 e^{a_1 t} + \dots + \alpha_n e^{a_n t} + 2|\alpha_0| \sin(\omega t + \phi), \quad \phi = \tan^{-1} \frac{\text{Im}(\alpha_0)}{\text{Re}(\alpha_0)}$$

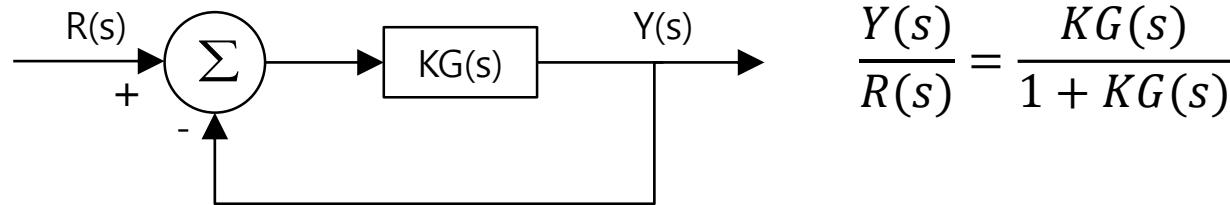
as  $t \rightarrow \infty$ ,  $y(t) = U_0 A \sin(\omega t + \phi)$

$$\text{magnitude } A = |G(j\omega)| = |G(s)| = \sqrt{\{\text{Re}[G(j\omega)]\}^2 + \{\text{Im}[G(j\omega)]\}^2}$$

$$\text{phase } \phi = \angle G(j\omega) = \tan^{-1} \frac{\text{Im}[G(j\omega)]}{\text{Re}[G(j\omega)]}$$

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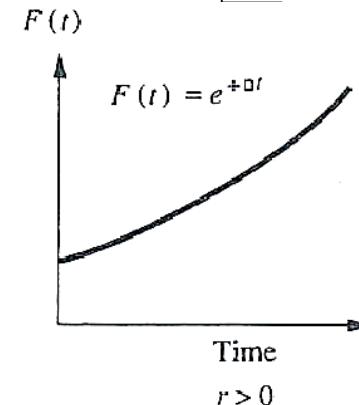
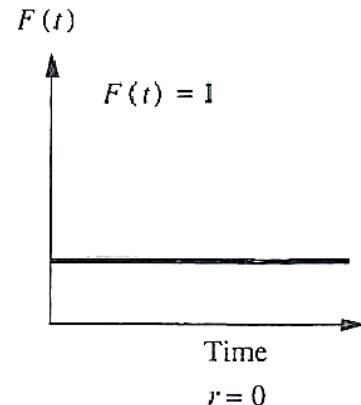
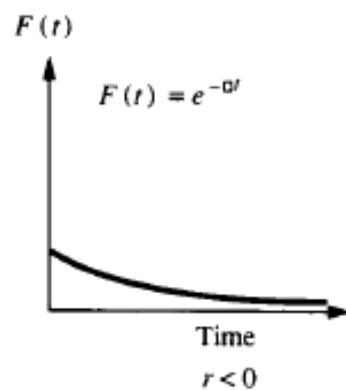
## 15.12 Stability Analysis Using Loop Transfer Function



Roots are the poles of the transfer function  $1+KG(s)$

→ root locus : graph of all possible roots

$\text{root} < 0$	$e^{-at}$
" = 0	$I$
" > 0	$e^{at}$
" = $a+ib$	$e^{(a+ib)t}$



**Fig.** Inverses  $F(t)$  are dependent upon the sign of the roots in  $(\text{const})/(s-r)$  terms.

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.12 Stability Analysis Using Loop Transfer Function

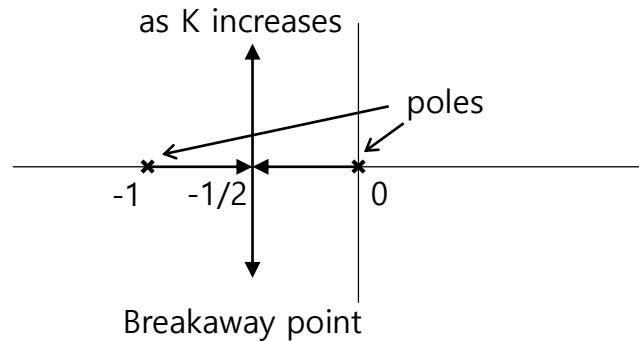
$$KG(s) = \frac{Kb(s)}{a(s)} = \frac{K(s^m + b_1 s^{m-1} + \dots + b_m)}{s^n + a_1 s^{n-1} + \dots + a_n} \quad n > m$$

$$b(s) = (s - z_1)(s - z_2) \dots (s - z_m)$$

$$b(s) = (s - p_1)(s - p_2) \dots (s - p_n)$$

$$\text{Root locus form : } 1 + KG(s) = 1 + K \frac{b(s)}{a(s)} = 0 \rightarrow a(s) + Kb(s) = 0$$

$$\text{Ex) } KG(s) = \frac{K}{s(s+1)} \quad \text{Denominator} = 1 + \frac{K}{s(s+1)} \rightarrow s^2 + s + K = 0$$



Root locus is a graph  
of the roots of the  
quadratic equation

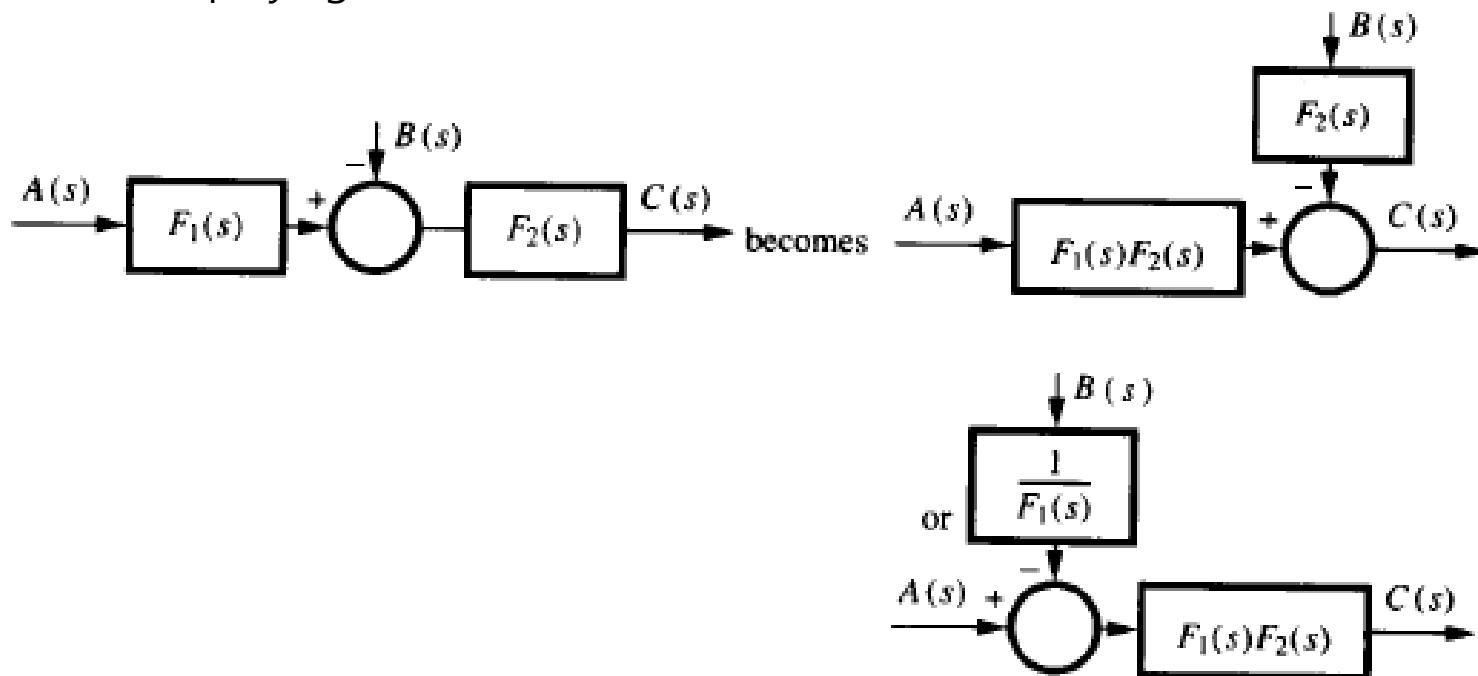
$$r_1, r_2 = -\frac{1}{2} \pm \frac{\sqrt{1 - 4K}}{2}$$
$$0 \leq K \leq \frac{1}{4} \quad -1 < < 0$$

$$K > \frac{1}{4} \quad \text{complex}$$

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## 15.14 Restructuring the Block Diagram

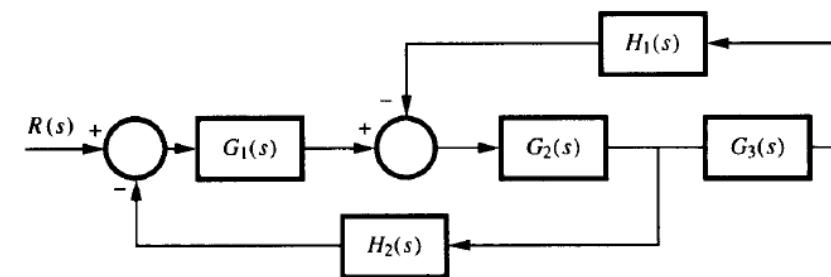
- └ converting complex block diagram → feedback loop
- └ simplifying



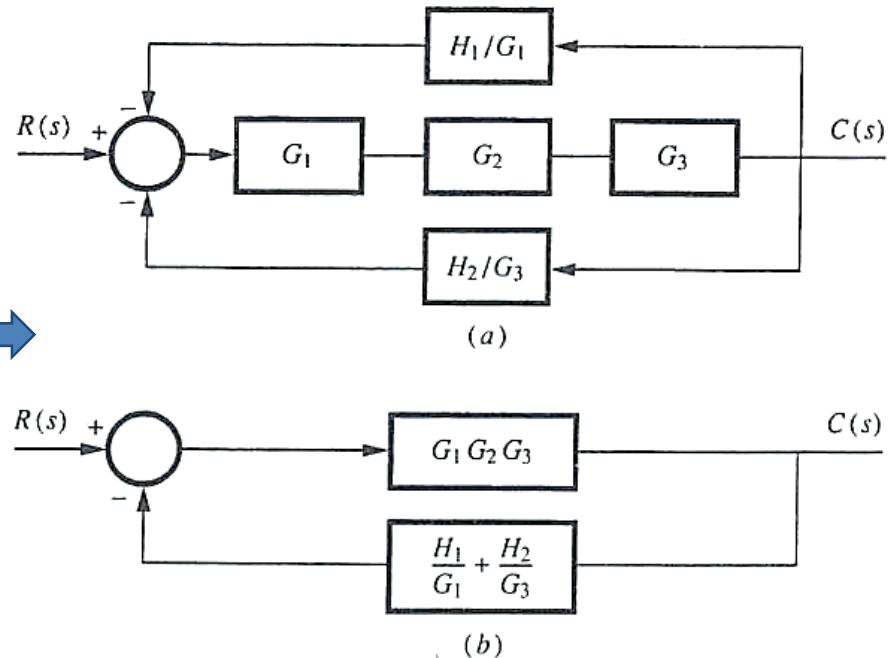
**Fig.** Moving a summing point around a block

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.14 Restructuring the Block Diagram



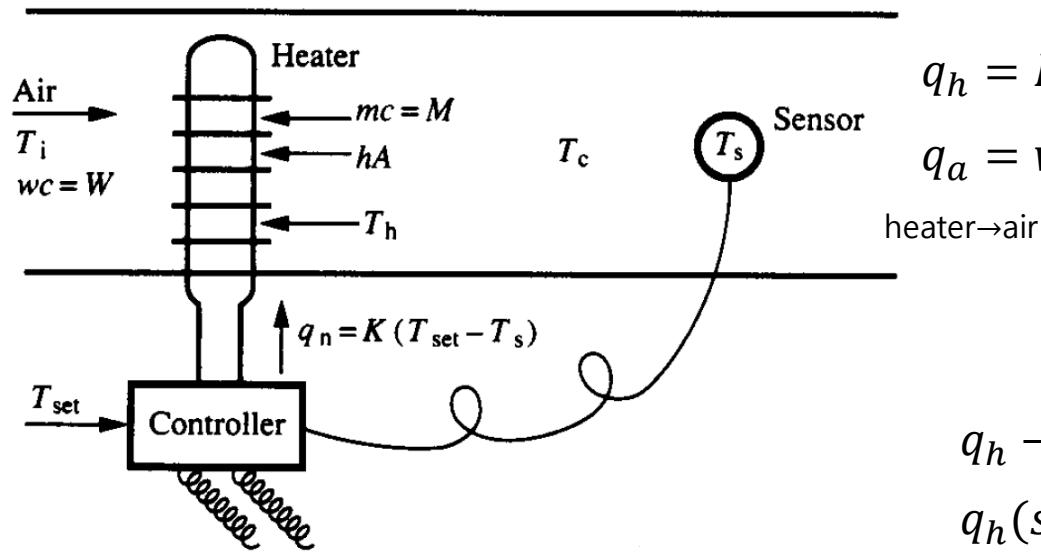
**Fig.** Control block diagram in Example 15. 10



**Fig.** Modification of loop in Example 15. 10.

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.15 Translating the Physical situation into a Block Diagram



$$q_h = K(T_{set} - T_s)$$

$$q_a = wc(T_h - T_i)(1 - e^{-\frac{hA}{wc}}) = W(T_h - T_i)\varepsilon$$

$\uparrow$   
 $wc = W$

$$q_h - q_a = mc \frac{dT_h}{dt}$$

$$q_h(s) - q_a(s) = M[sT_h(s) - T_h(0)]$$

**Fig.** Air heating system and its control

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## 15.15 Translating the Physical situation into a Block Diagram

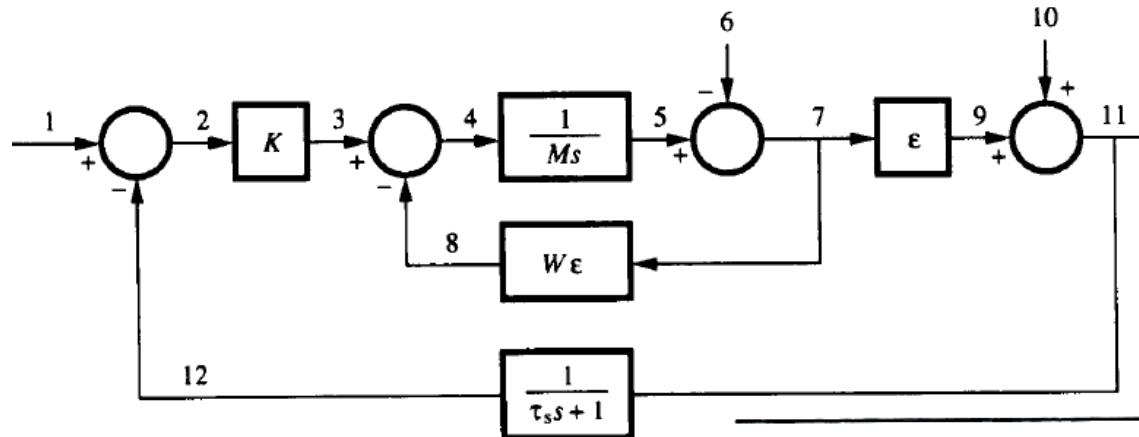


Fig. Air heating system and its control

Position	Nonnormalized	Normalized
1	$T_{set}$	$T_{set} - T_{set,0}$
2	$T_{set} - T_s$	$(T_{set} - T_s) - (T_{set,0} - T_{s,0})$
3	$q_h$	$q_h - q_{h,0}$
4	$q_h - q_a$	$(q_h - q_a) - (q_{h,0} - q_{a,0})$
5	$T_h$	$T_h - T_{h,0}$
6	$T_i$	$T_i - T_{i,0}$
7	$T_h - T_i$	$(T_h - T_i) - (T_{h,0} - T_{i,0})$
8	$q_a$	$q_a - q_{a,0}$
9	$T_c - T_i$	$(T_c - T_i) - (T_{c,0} - T_{i,0})$
10	$T_i$	$T_i - T_{i,0}$
11	$T_c$	$T_c - T_{c,0}$
12	$T_s$	$T_s - T_{s,0}$

**Table.** Designations of variables in block diagram of Fig.

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## 15.15 Translating the Physical situation into a Block Diagram

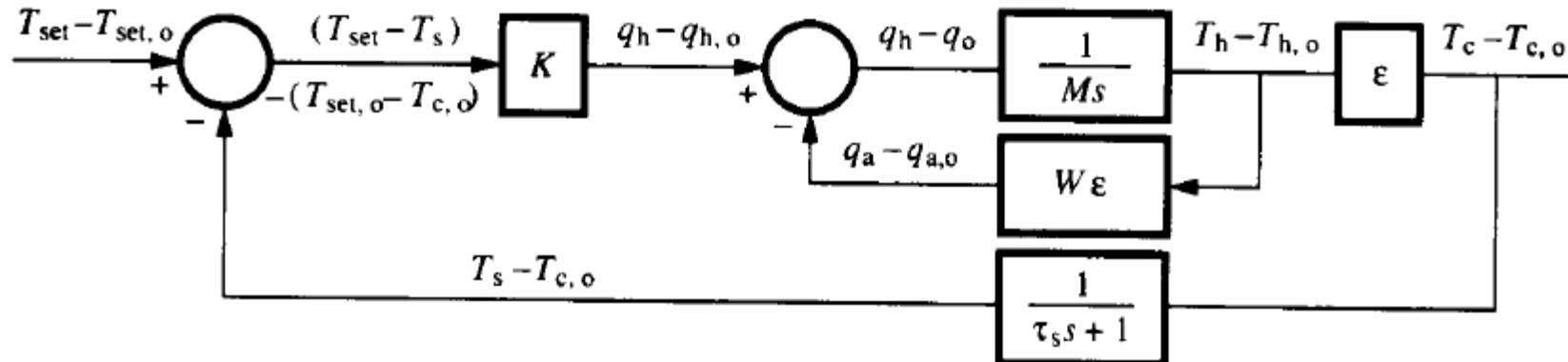


Fig. Diagram after elimination of two summing points

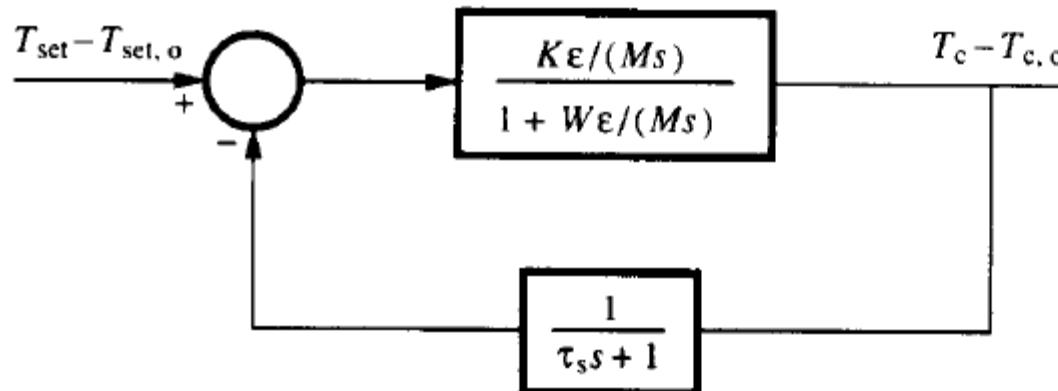


Fig. Simplified nonunity feedback loop for air heater controller

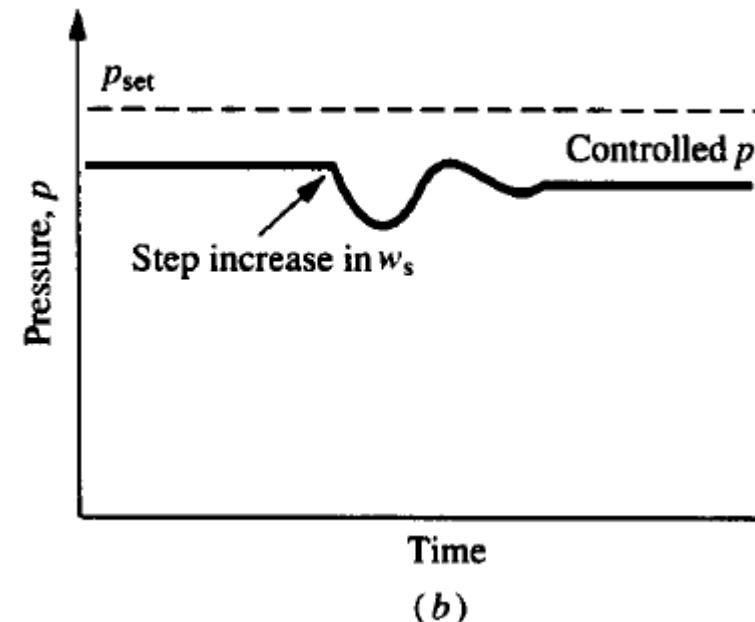
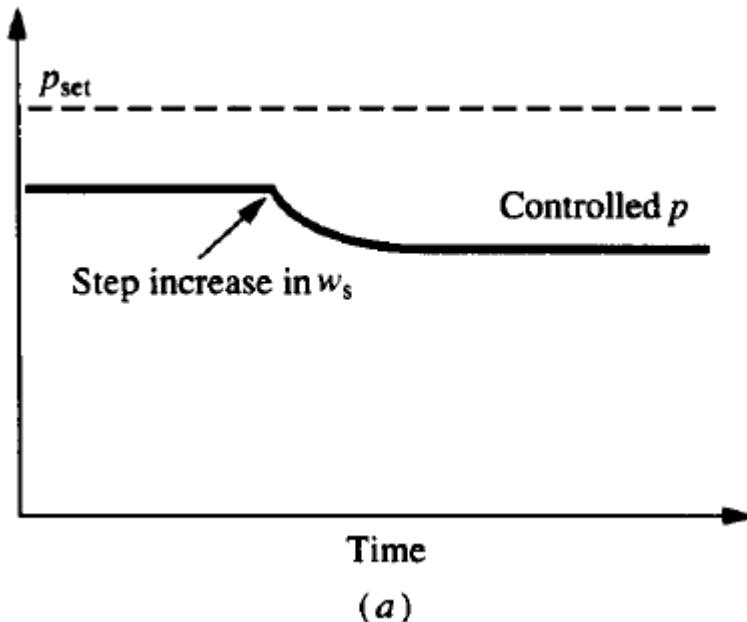
# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.16 Proportional Control

$$q_h = K_p \underbrace{(T_{set} - T_s)}_{\text{error}}$$

$K \uparrow$  unstable

$K \downarrow$  offset



**Fig.** Pressure controller (a) with low gain (b) with high gain

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.17 Proportional – Integral (PI) Control

- to eliminate the offset

$$K_I \int (error) dt$$
$$TF = \frac{K_I}{s} \leftarrow \frac{K_I \Delta / s^2}{\Delta / s}$$

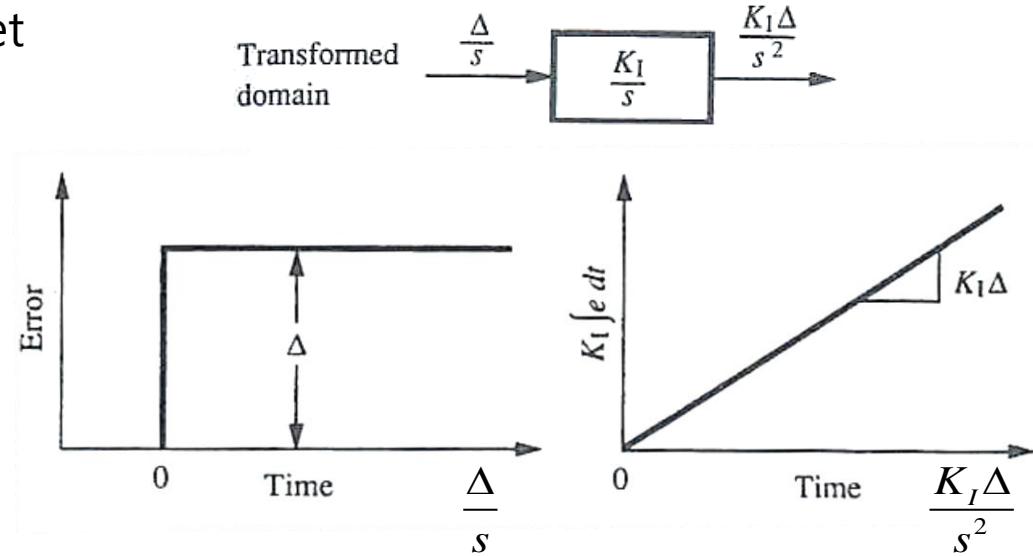


Fig. Transfer function of the I-mode

- PI control

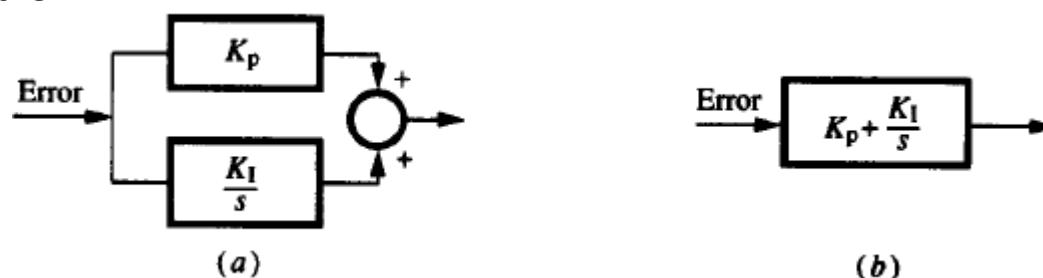
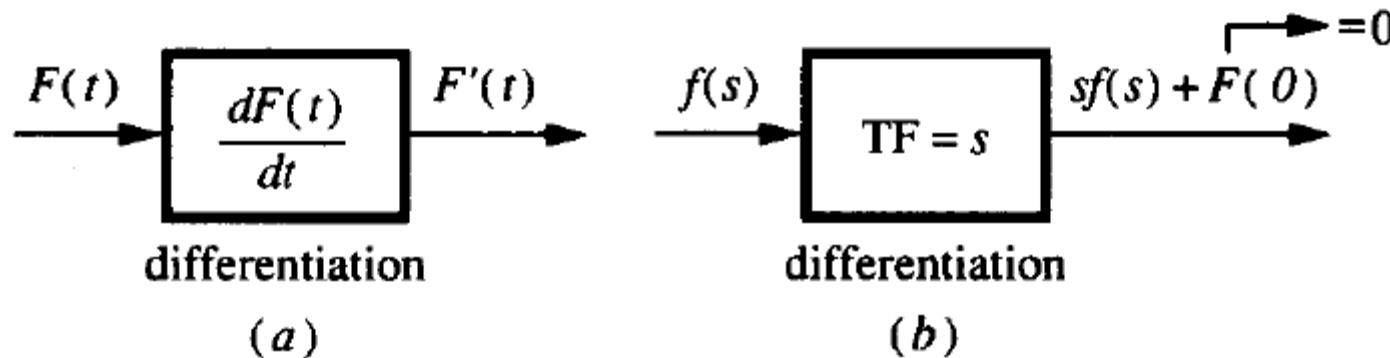


Fig. Block diagram symbols of the PI control

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.18 Proportional-Integral-Derivative(PID) Control

$$K_p + \frac{K_I}{s} + K_D s$$

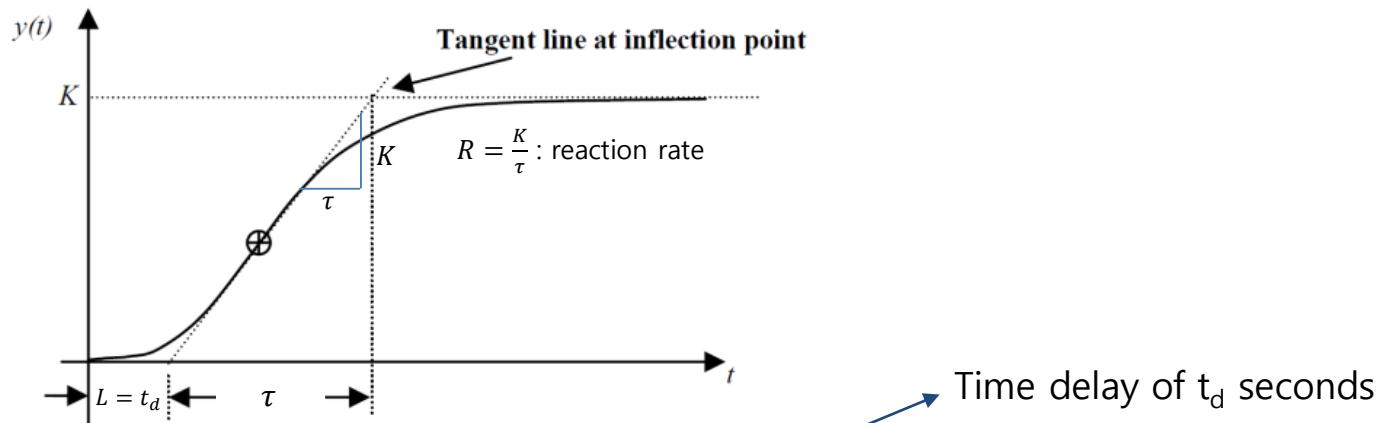


**Fig.** The differentiation process in (a) the time domain,  
(b) the transformed domain

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.18 Proportional-Integral-Derivative(PID) Control

※ Ziegler-Nichols Tuning of PID controller(1942)



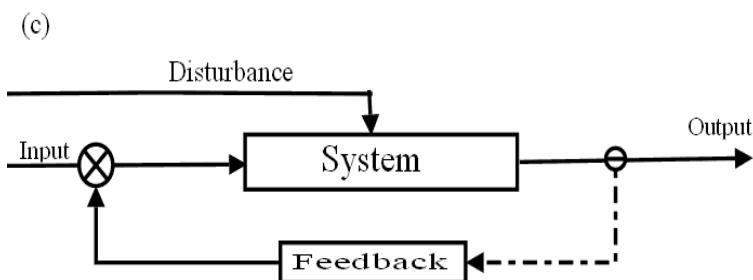
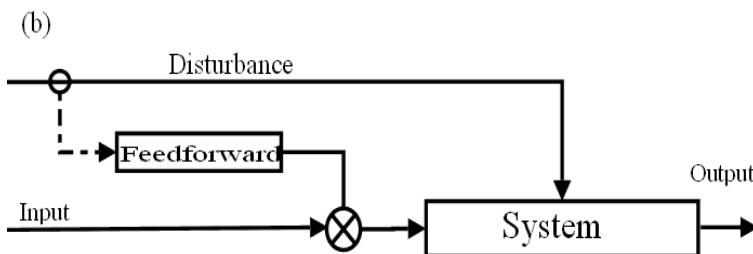
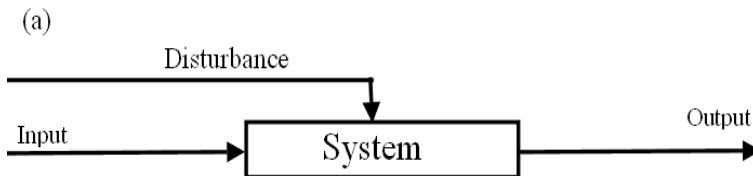
TF may be approximated by  $TF = \frac{Ke^{-tds}}{\tau s + 1} \rightarrow e^{-t/\tau}$

$$\begin{aligned} D(s) &= K \left( 1 + \frac{1}{T_1 s} + T_D s \right) \\ &= K + \frac{K}{T_I s} + K T_D s = K_p + \frac{K_I}{s} + K_D s \end{aligned}$$

# Chapter 15. Dynamic Behavior of Thermal Systems

## 15.19 Feedforward control

└ open loop control



- (a) No control
- (b) Feed forward control
- (c) Feedback control