



# Data Structure

## Lecture#3: Mathematical Preliminaries

**U Kang**  
**Seoul National University**



# In This Lecture

- **Set concepts and notation**
- **Logarithms**
- **Summations**
- **Recurrence Relations**
- **Recursion**
- **Induction Proofs**



# Set (1)

$\{1, 4\}$

$\{x \mid x \text{ is a positive integer}\}$

$x \in P$

$x \notin P$

$\emptyset$

$|P|$

$P \subseteq Q, Q \supseteq P$

$P \cup Q$

$P \cap Q$

$P - Q$

A set composed of the members 1 and 4

A set definition using a **set former**

Example: the set of all positive integers

$x$  is a member of set **P**

$x$  is not a member of set **P**

The null or empty set

Cardinality: size of set **P**

or number of members for set **P**

Set **P** is included in set **Q**,

set **P** is a subset of set **Q**,

set **Q** is a superset of set **P**

Set Union:

all elements appearing in **P** OR **Q**

Set Intersection:

all elements appearing in **P** AND **Q**

Set difference:

all elements of set **P** NOT in set **Q**

Figure 2.1 Set notation.



# Set (2)

- Powerset  $2^S$  of a set  $S$  : set of all possible subsets for  $S$ .
  - E.g., powerset of  $S = \{a, b, c\}$  is  
 $\{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a,b,c\}\}$
- Let  $|S| = n$ .  $|\text{powerset of } S| = ?$
- A set with duplicates is called multiset (or bag or multilist)



# Relation (1)

- A relation  $R$  over a set  $S$  is a set of ordered pairs from  $S$ .
- Example:  $< > =$
- Common Properties:
  - $R$  is **reflexive** if  $aRa$  for all  $a \in S$ .
  - $R$  is **symmetric** if whenever  $aRb$ , then  $bRa$ , for all  $a, b \in S$ .
  - $R$  is **antisymmetric** if whenever  $aRb$  and  $bRa$ , then  $a = b$ , for all  $a, b \in S$ .
  - $R$  is **transitive** if whenever  $aRb$  and  $bRc$ , then  $aRc$ , for all  $a, b, c \in S$ .



# Relation (2)

	$<$	$\Leftarrow$	$=$	“Friend of”
Reflexive				
Symmetric				
Antisymmetric				
Transitive				

- $R$  is **reflexive** if  $aRa$  for all  $a \in S$ .
- $R$  is **symmetric** if whenever  $aRb$ , then  $bRa$ , for all  $a, b \in S$ .
- $R$  is **antisymmetric** if whenever  $aRb$  and  $bRa$ , then  $a = b$ , for all  $a, b \in S$ .
- $R$  is **transitive** if whenever  $aRb$  and  $bRc$ , then  $aRc$ , for all  $a, b, c \in S$ .



# Relation (3)

	$<$	$\Leftarrow$	$=$	“Friend of”
Reflexive	X	O	O	X
Symmetric	X	X	O	O
Antisymmetric	O	O	O	X
Transitive	O	O	O	X

- $R$  is **reflexive** if  $aRa$  for all  $a \in S$ .
- $R$  is **symmetric** if whenever  $aRb$ , then  $bRa$ , for all  $a, b \in S$ .
- $R$  is **antisymmetric** if whenever  $aRb$  and  $bRa$ , then  $a = b$ , for all  $a, b \in S$ .
- $R$  is **transitive** if whenever  $aRb$  and  $bRc$ , then  $aRc$ , for all  $a, b, c \in S$ .



# Relation (4)

- Equivalence relation: reflexive, symmetric, and transitive
  - E.g. 1) =
  - E.g. 2) = under modulo operation
    - Def) If  $n = qm + r$ , then  $n = r \pmod{m}$ .
    - $x = x \pmod{m}$
    - If  $x = y \pmod{m}$ , then  $y = x \pmod{m}$
    - If  $x = y \pmod{m}$  and  $y = z \pmod{m}$ , then  $x = z \pmod{m}$
- An equivalence relation partitions a set into equivalence classes
  - $1 = 6 = 11 = \dots \pmod{5}$
  - $2 = 7 = 12 = \dots \pmod{5}$





# Relation (5)

- Partial order: antisymmetric and transitive
  - E.g. 1)  $<$ ,  $\leq$
  - E.g. 2) “heavier and taller” relation
  
- Total order: partial order where all pairs are comparable
  - E.g.,  $<$ ,  $\leq$



# Notation for Numbers

- b: bit, B: byte
- KB: kilobyte (1000 byte ~  $2^{10}$  byte)
- MB: megabyte ( $10^6$  byte ~  $2^{20}$  byte)
  - 1 million byte
- GB: gigabyte ( $10^9$  byte ~  $2^{30}$  byte)
  - 1 billion byte
- TB: terabyte ( $10^{12}$  byte ~  $2^{40}$  byte)
  - 1 trillion byte
- PB: petabyte ( $10^{15}$  byte ~  $2^{50}$  byte)
  - 1 quadrillion byte
- $2^{32}$  byte ~ 4 GB



# Notation - Exercises

- Assume you want to assign unique numerical ids to 50 million people. How many bits are required to express the ids?
- What is the amount of memory required to load 10,000 images at once?
- How much disk storage is required to save 20 images for each of 50 million people.
- Train yourself to answer each of the above questions in 5~10 seconds (w/o pencil and paper)



# Miscellaneous Notation

- ms: millisecond
- Factorial  $n! = n * (n-1) * \dots * 1$
- Permutation of a sequence S: S in some order
  - Random permutation: permutation in a random order

```
/** Randomly permute the values in array A */  
static <E> void permute(E[] A) {  
    for (int i = A.length; i > 0; i--) // for each i  
        swap(A, i-1, DSutil.random(i)); // swap A[i-1] with  
} // a random element
```



# Miscellaneous Notation

## ■ Logic Notation

- $A \Rightarrow B$  : A implies B, or If A then B
- $A \Leftrightarrow B$  : A if and only if B (= A iff B)
- $A \wedge B$
- $A \vee B$
- $\sim A, \bar{A}$ : not A

## ■ Flooring and Ceiling

- Flooring(x): greatest integer  $\leq x$ . E.g.,  $\lfloor 1.4 \rfloor = 1$
- Ceiling(x): least integer  $\geq x$ . E.g.,  $\lceil 1.4 \rceil = 2$



# Logarithm

## ■ Definition:

Logarithms have the following properties, for any positive values of  $m$ ,  $n$ , and  $r$ , and any positive integers  $a$  and  $b$ .

1.  $\log(nm) = \log n + \log m.$

2.  $\log(n/m) = \log n - \log m.$

3.  $\log(n^r) = r \log n.$

4.  $\log_a n = \log_b n / \log_b a.$

---

=> No need to worry about the base of the algorithm

5.  $2^{\log n} = n$



# Logarithm

- Application of Logarithm
  - What is the minimum # of bits to represent  $n$  distinct items?
  - Binary search – given an array with  $n$  sorted items, how quickly can we find an item of interest, in the worst case?
- Notation
  - $\log \log n = \log (\log n)$
  - $\log^2 n = (\log n)^2$
  - $\log^* n$  : # of times to take  $\log_2$  before the value  $\leq 1$ 
    - E.g.  $\log^* 1024 = 4$  ( $1024 \Rightarrow 10 \Rightarrow 3.33 \Rightarrow 1.74 \Rightarrow 0.8$ )



# Summation

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}.$$

$$\sum_{i=1}^n i^2 = \frac{2n^3 + 3n^2 + n}{6} = \frac{n(2n+1)(n+1)}{6}.$$

$$\sum_{i=1}^{\log n} n = n \log n.$$

$$\sum_{i=0}^{\infty} a^i = \frac{1}{1-a} \text{ for } 0 < a < 1.$$

$$\sum_{i=0}^n a^i = \frac{a^{n+1} - 1}{a - 1} \text{ for } a \neq 1.$$

$$\sum_{i=1}^n \frac{i}{2^i} = 2 - \frac{n+2}{2^n}.$$





# Summation

## ■ Harmonic Series

- $\sum_{i=1}^n \frac{1}{i} \sim \log(n)$

- Pf?



# Questions?