



# Data Structure

## Lecture#18: Internal Sorting 3 (Chapter 7)

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# In This Lecture

- Learn the main idea and advantage of Heapsort
- Learn the main idea and advantage/disadvantage of Binsort
- Learn the main idea, efficient algorithm, and cost of radix sort



# Heapsort (1)

- Use Heap data structure
- Given an input array of size  $n$ 
  - Build a max-heap from the array
  - Remove max element  $n$  times



# Heapsort (2)

```
static <E extends Comparable<? super E>>
void heapsort(E[] A) { // Heapsort
    MaxHeap<E> H = new MaxHeap<E>(A, A.length,
                                  A.length);
    for (int i=0; i<A.length; i++) // Now sort
        H.removemax(); // Put max at end of heap
}
```

# of items  
max size

*Reminder: removemax() of MaxHeap class*

```
public E removemax() {
    assert n > 0 : "Removing from empty heap";
    DSutil.swap(Heap, 0, --n);
    if (n != 0) siftdown(0);
    return Heap[n];
}
```



# Heapsort (3)

```
static <E extends Comparable<? super E>>
void heapsort(E[] A) { // Heapsort
    MaxHeap<E> H = new MaxHeap<E>(A, A.length,
                                  A.length);
    for (int i=0; i<A.length; i++) // Now sort
        H.removemax(); // Put max at end of heap
}
```

- Use a max-heap, so that elements end up sorted within the array.
- Cost of heapsort:
- Cost of finding  $k$  largest elements:



# Heapsort (4)

```
static <E extends Comparable<? super E>>
void heapsort(E[] A) { // Heapsort
    MaxHeap<E> H = new MaxHeap<E>(A, A.length,
                                   A.length);
    for (int i=0; i<A.length; i++) // Now sort
        H.removemax(); // Put max at end of heap
}
```

- Use a max-heap, so that elements end up sorted within the array.
- Cost of heapsort:  $\Theta(n + n \log n) = \Theta(n \log n)$
- Cost of finding  $k$  largest elements:  $\Theta(n + k \log n)$

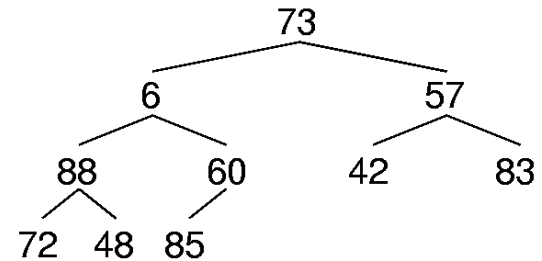
*If  $k$  is small, Heapsort is very fast*



# Heapsort Example (1)

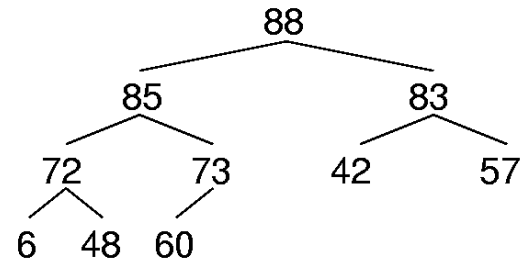
Original Numbers

73	6	57	88	60	42	83	72	48	85
----	---	----	----	----	----	----	----	----	----



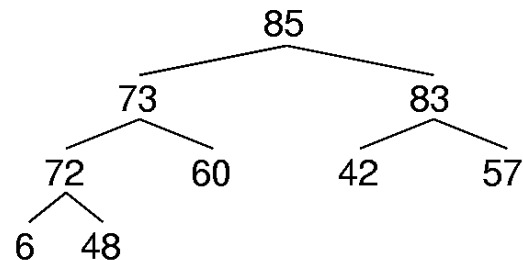
Build Heap

88	85	83	72	73	42	57	6	48	60
----	----	----	----	----	----	----	---	----	----



Remove 88

85	73	83	72	60	42	57	6	48	88
----	----	----	----	----	----	----	---	----	----

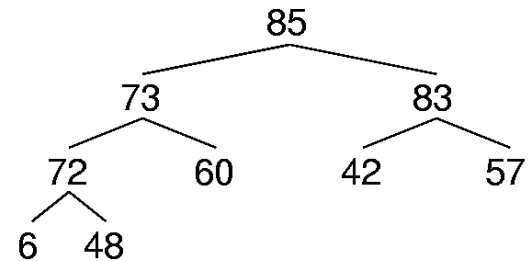




# Heapsort Example (2)

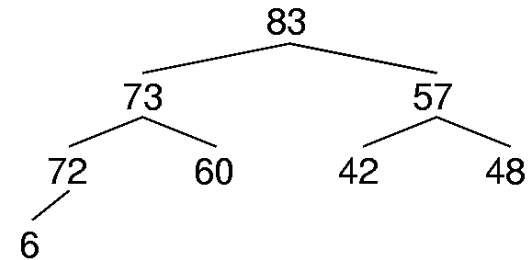
Remove 88

85	73	83	72	60	42	57	6	48	88
----	----	----	----	----	----	----	---	----	----



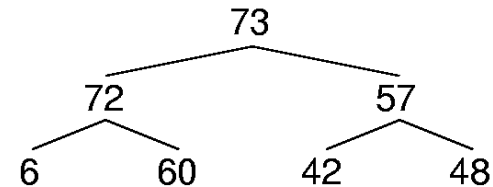
Remove 85

83	73	57	72	60	42	48	6	85	88
----	----	----	----	----	----	----	---	----	----



Remove 83

73	72	57	6	60	42	48	83	85	88
----	----	----	---	----	----	----	----	----	----







# Binsort (1)

- A simple and efficient algorithm for sorting a permutation of the numbers from  $0 \sim n-1$ :

```
for (i=0; i<n; i++)  
    B[A[i]] = A[i];
```

- How can we extend it to
  - allow duplicate values, and
  - allow more keys than records ?



# Binsort (2)

- A simple and efficient algorithm for sorting a permutation of the numbers from  $0 \sim n-1$ :

```
for (i=0; i<n; i++)  
    B[A[i]] = A[i];
```

- How can we extend it to
  - allow duplicate values, and
  - allow more keys than records ?
- Main Idea:
  - Use array of linked lists (each bin position contains a linked list)
  - Use larger array for B (proportional to MaxKeyValue)  
*max key value from the input*



# Binsort (3)

```
static void binsort(Integer A[]) {  
    List<Integer>[] B =  
        (List<Integer>[])new List[MaxKey];  
    Integer item;  
    for (int i=0; i<MaxKey; i++)  
        B[i] = new List<Integer>();  
    for (int i=0; i<A.length; i++)  
        B[A[i]].append(A[i]);  
    for (int i=0; i<MaxKey; i++)  
        for (B[i].moveToStart();  
            (item = B[i].getValue()) != null;  
            B[i].next())  
            output(item);  
}
```

Cost:  $\Theta(n + \text{MaxKeyValue})$



# Binsort (4)

- Strength of Binsort
  - If MaxKeyValue is small, then the running time is fast, and the space requirement is small
- Weakness of Binsort
  - If MaxKeyValue is large, then the running time is slow, and the space requirement is large



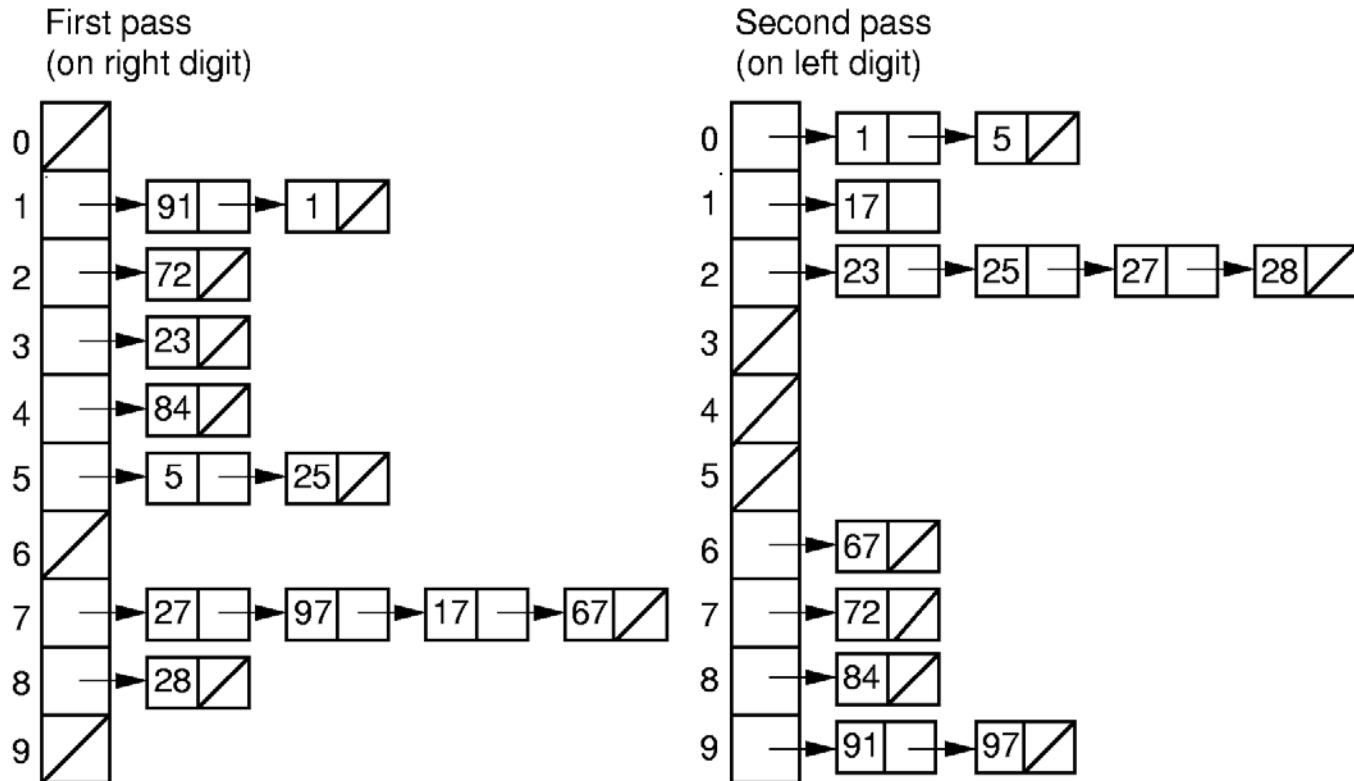
# Radix Sort (1)

- Motivated from Binsort
  - But, radix sort allocates less space than Binsort
- Works only for sorting numbers.
- Requires  $k$  passes for sorting  $k$ -digit numbers
  - $i$ -th pass performs bin-sort based on  $i$ -th digit of each number (1st pass: least significant digit)
  - At each pass, access each element from the bins *in order*



# Radix Sort (2)

Initial List: 27 91 1 97 17 23 84 28 72 5 67 25



Result of first pass: 91 1 72 23 84 5 25 27 97 17 67 28

Result of second pass: 1 5 17 23 25 27 28 67 72 84 91 97



# Radix Sort (3)

- The previous slide requires a data structure using *array* and *linked list*
  
- Can we implement radix sort using an *array* of size  $n$ ?
  - With some additional arrays
  
- Yes!
  - Main idea: 2-pass algorithm on the input data
    - Pass 1: count the number of items for each bin
    - Pass 2: put each input element in a correct position using the counts from pass 1



# Radix Sort (4)

```
static void radix(Integer[] A, Integer[] B,  
                  int k, int r, int[] count) {  
    int i, j, rtok;  
  
    for (i=0, rtok=1; i<k; i++, rtok*=r) {  
        for (j=0; j<r; j++) count[j] = 0;  
        // Count # of recs for each bin on this pass  
        for (j=0; j<A.length; j++)  
            count[(A[j]/rtok)%r]++;  
        // count[j] is index in B for last slot of j  
        for (j=1; j<r; j++)  
            count[j] = count[j-1] + count[j];  
        for (j=A.length-1; j>=0; j--)  
            B[--count[(A[j]/rtok)%r]] = A[j];  
        for (j=0; j<A.length; j++) A[j] = B[j];  
    }  
}
```





# Radix Sort Example

Initial Input: Array A

27	91	1	97	17	23	84	28	72	5	67	25
----	----	---	----	----	----	----	----	----	---	----	----

First pass values for Count.  
rtok = 1.

0	1	2	3	4	5	6	7	8	9
0	2	1	1	1	2	0	4	1	0

Count array:  
Index positions for Array B.

0	1	2	3	4	5	6	7	8	9
0	2	3	4	5	7	7	11	12	12

91	1	72	23	84	5	25	27	97	17	67	28
----	---	----	----	----	---	----	----	----	----	----	----

End of Pass 1: Array A.

Second pass values for Count.  
rtok = 10.

0	1	2	3	4	5	6	7	8	9
2	1	4	0	0	0	1	1	1	2

Count array:  
Index positions for Array B.

0	1	2	3	4	5	6	7	8	9
2	3	7	7	7	7	8	9	10	12

1	5	17	23	25	27	28	67	72	84	91	97
---	---	----	----	----	----	----	----	----	----	----	----

End of Pass 2: Array A.



# Radix Sort Cost

- Time complexity of Radix Sort
  - $\Theta(nk + rk)$
- If there are  $n$  distinct keys, what is the minimum length  $k$  of a key?
  - At least  $\log_r n$ .
  - Thus, Radix Sort is  $\Theta(n \log n)$  in general case



# What you need to know

- Heapsort: main idea and advantage
  - Useful for finding k largest(smallest) items
- Binsort: main idea and advantage/disadvantage
  - Depends on MaxKeyValue
- Radix sort: main idea, efficient algorithm, and cost
  - How to implement it with array



# Questions?