

M2794.007700 Smart Materials and Design

# Piezoelectric materials / Pneumatic soft actuator

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# Outline

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**Introduction to piezoelectricity**

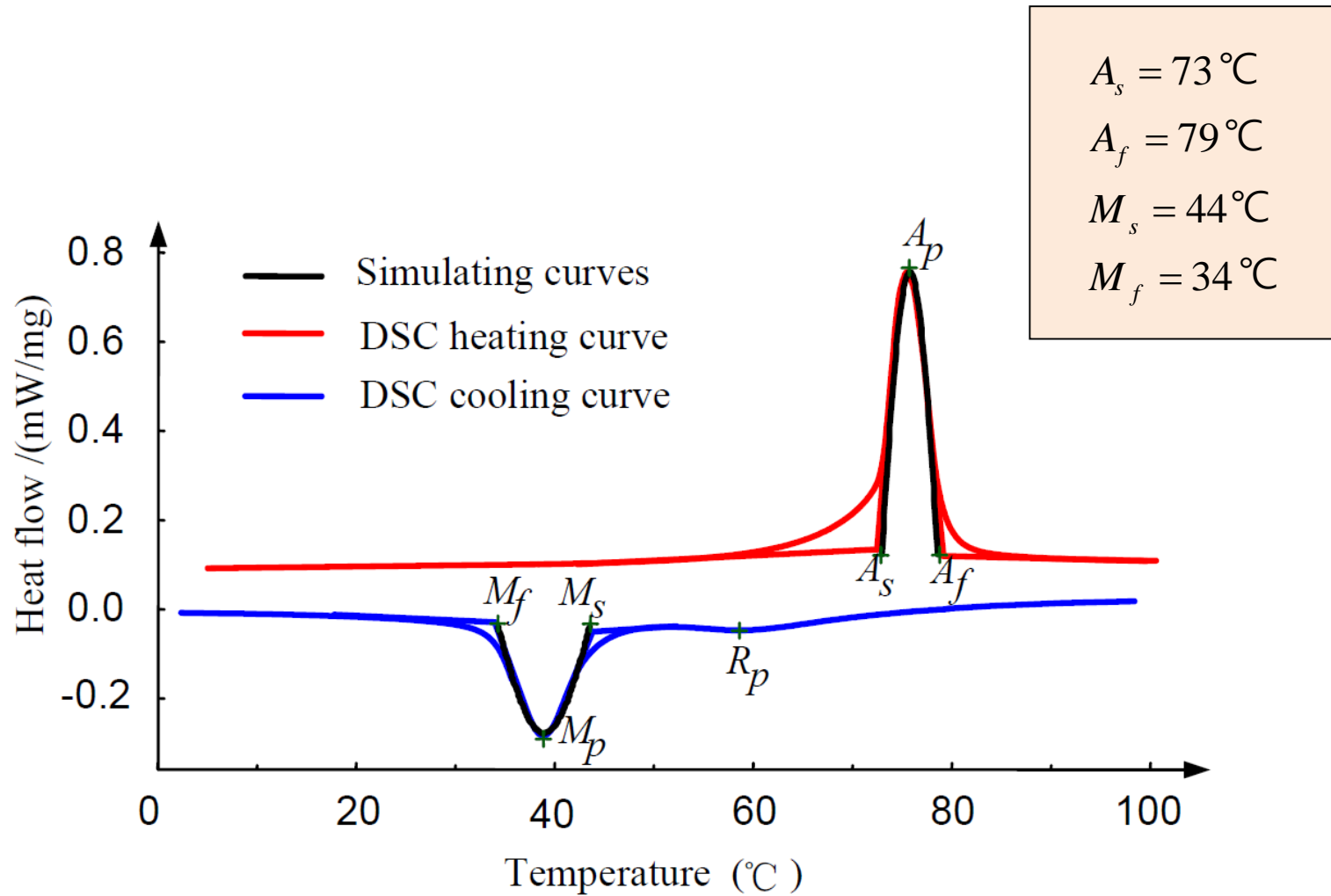
**Piezoelectric materials**

**Applications of piezoelectric material**

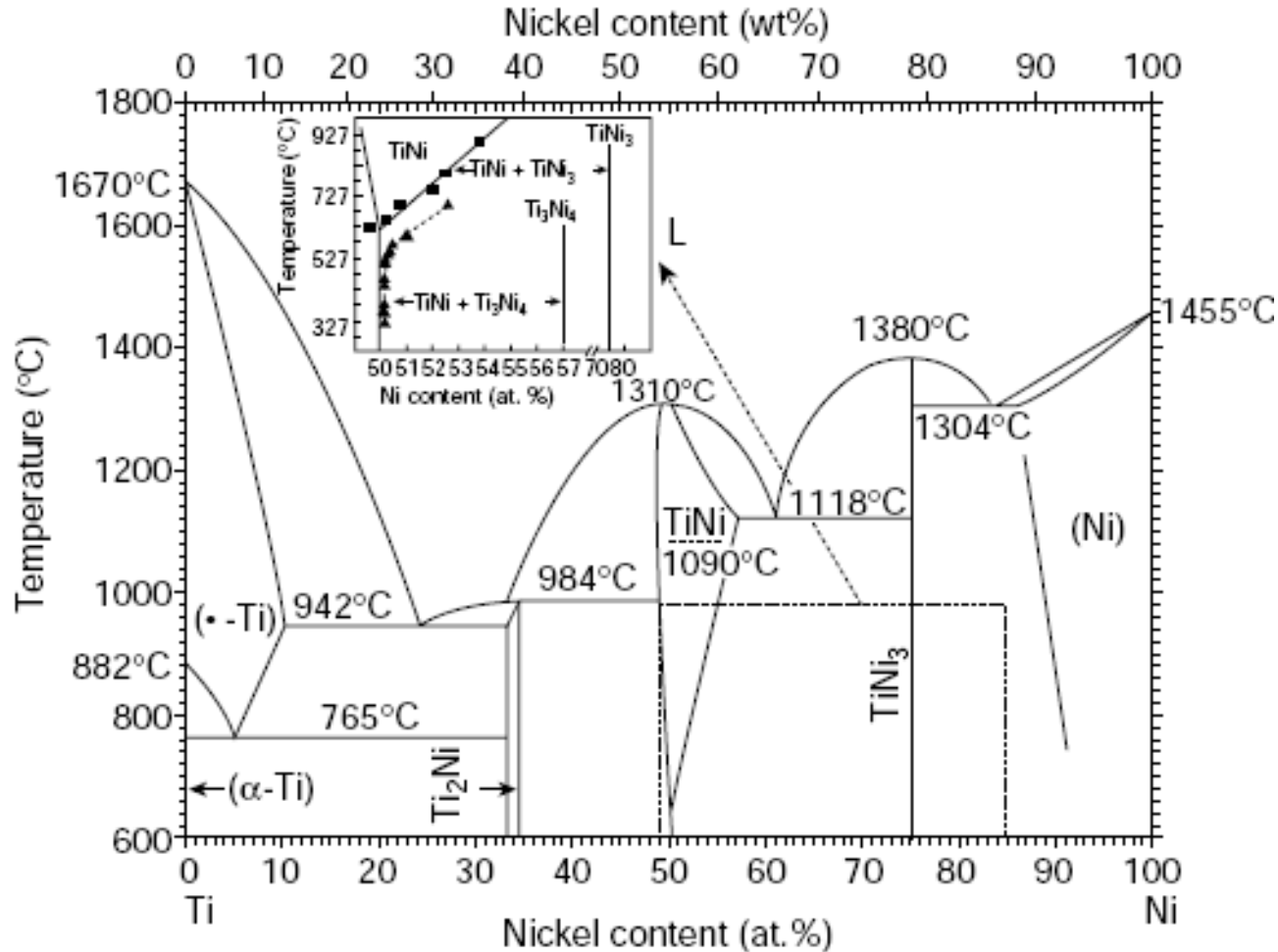
**Pneumatic actuator**

**Application of Pneumatic actuator**

# DSC curve of SMA



# Phase Diagram of SMA



Phase diagram of a Ti-Ni alloy and details of the TiNi and TiNi<sub>3</sub> phases

# ***Crystallography of Smart Materials***

***Prof. Versha Khare***

***Innovative Design and Integrated Manufacturing Lab  
Institute of Advanced Materials and Design  
Seoul National University***

# Crystallography

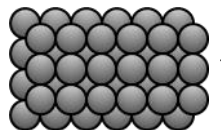


**Crystallography** : Science dealing with the understanding of arrangements of atoms in solid

**Crystal Structure:** A regular atomic arrangements in a solid

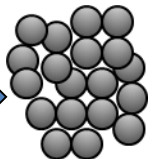


## Crystalline



- Long Range order
- Defined arrangement of atoms
- **Crystal Structure**

## Amorphous



- Short Range order
- No Defined arrangement of atoms
- **No Crystal Structure**

# Essentials of Crystal Structure



## Essentials of Crystal Structure

### Crystal Structure

#### 14 Bravais Lattice

Miller indices

Lattice Planes

#### 7-Crystal Systems

Inter-axial Angle

Crystal Axis

#### Symmetry Elements

Translation

Rotation

Reflection

Inversion



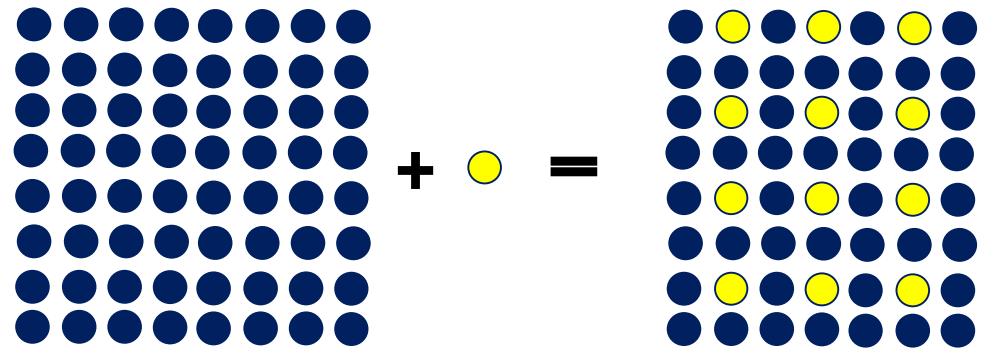
# Lattices



Auguste Bravais  
(1811-1863)

**Lattices + Basis = Crystal Structure**

- *An infinite array of discrete points with identical environment*
- *In 3-dimensional system there are fourteen possible ways to get identical environment*
- *Lattices are characterized by translation symmetry*

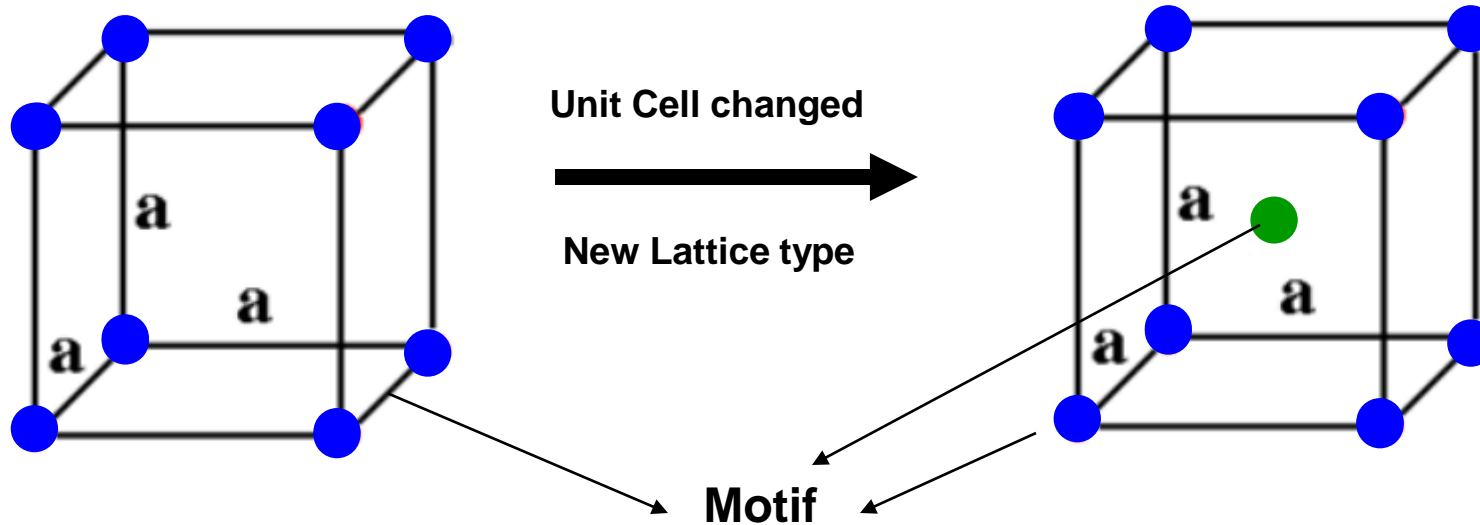




# Lattice : Involvement of Geometry and Mathematics

Crystal System has array of atoms arranged systematically

Translation, removal or insertion of these atoms??????????????



**Lattice + Basis/Motif = Unit cell → Crystal structure**

# Essentials of Crystal Structure



## Essentials of Crystal Structure

### Crystal Structure

#### 14 Bravais Lattice

Lattice Planes

Miller indices

#### 7-Crystal Systems

Inter-axial Angle

Crystal Axis

#### Symmetry Elements

Translation

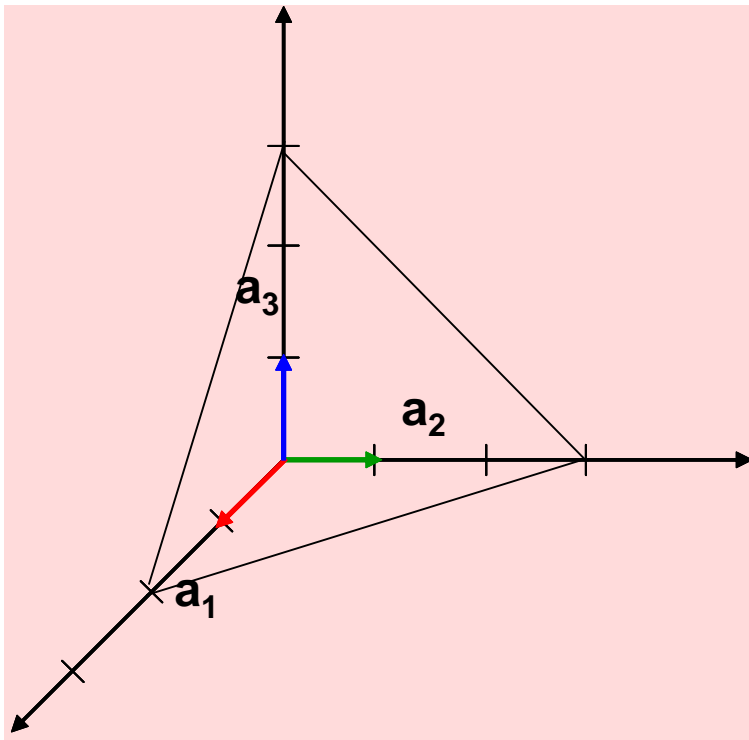
Rotation

Reflection

Inversion

# Miller Indices

Miller Indices are the notation system for Crystallographic Planes



## Step 1: Determine the Intercept

- $a_1$  intercepts at 2
- $a_2$  intercepts at 3
- $a_3$  intercepts at 3

## Step 2: Calculate the reciprocal of intercepts

→  $1/2$   
→  $1/3$   
→  $1/3$

## Step 3: Reduced them to smallest integers having the same ratio

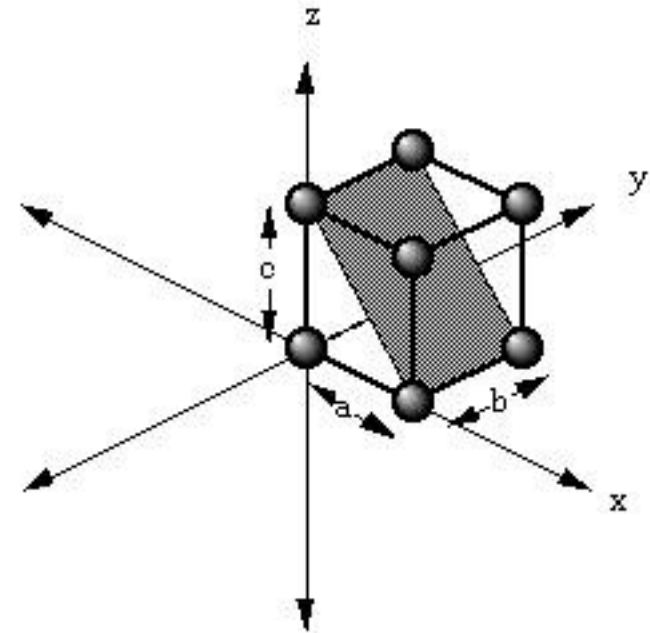
## Step 3: Bracket the values

Miller Indices – (3,2,2)

Another example:

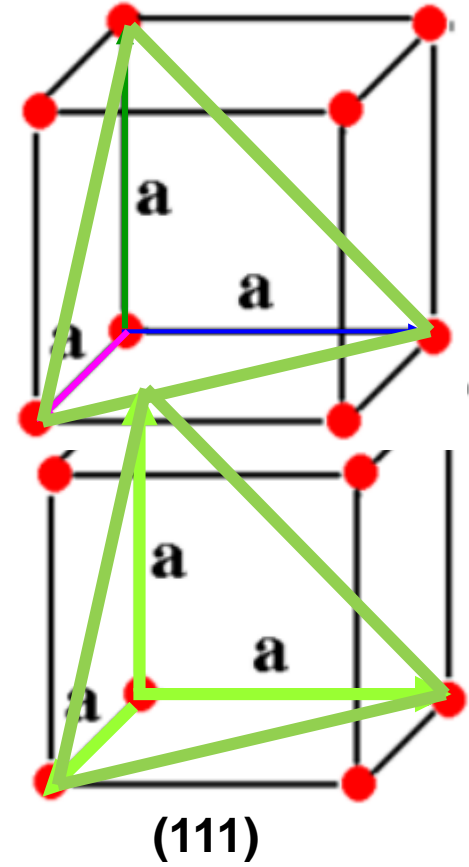
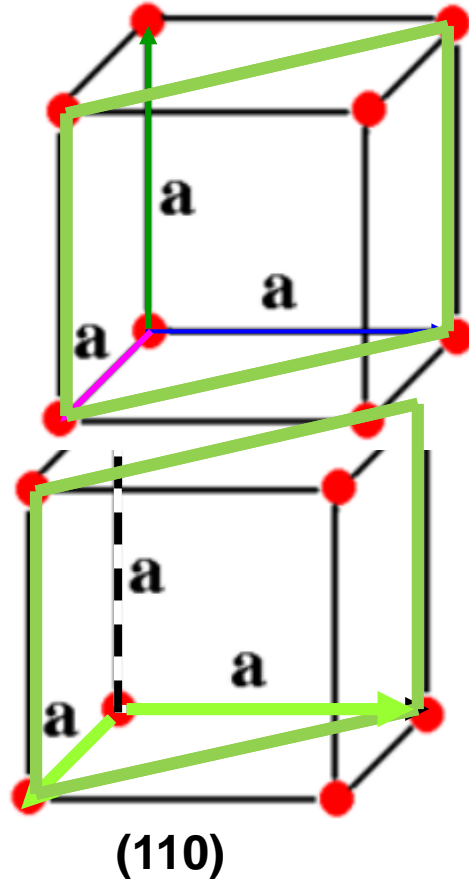
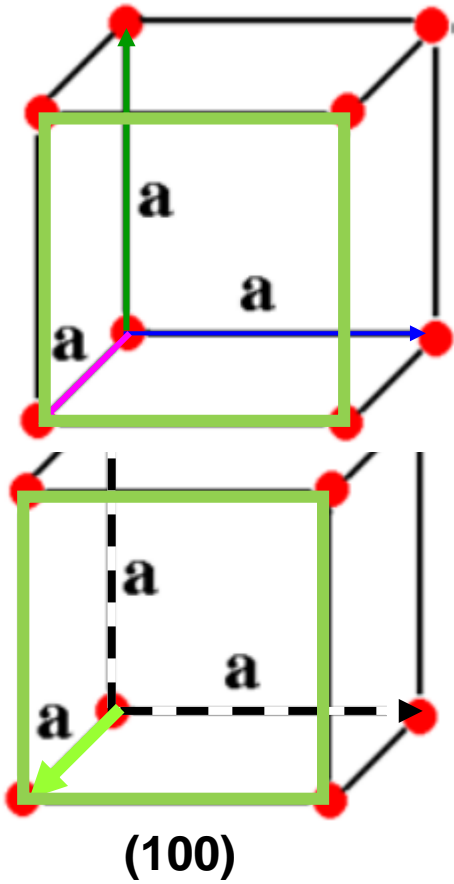
**Rules for determining Miller Indices:**

- 1. Determine the intercepts of the face along the crystallographic axes, *in terms of unit cell dimensions.***
- 2. Take the reciprocals**
- 3. Clear fractions**
- 4. Reduce to lowest terms**



	a	b	c
intercept length	1	$\infty$	1
reciprocal	$\frac{1}{1}$	$\frac{1}{\infty}$	$\frac{1}{1}$
cleared fraction	1	0	1
Miller indice	(101)		

# Crystal Planes : Family of Planes



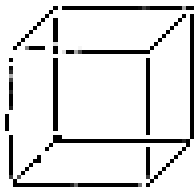
# Crystal Systems



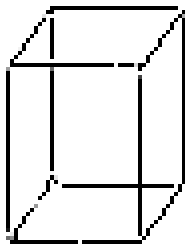
Important to define the unit cell shape

Possible shapes which must fill the 3d-space  
using symmetry operations

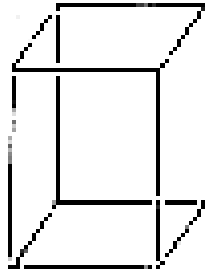
**cubic**



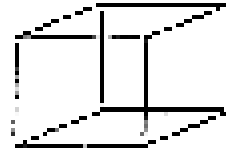
**monoclinic**



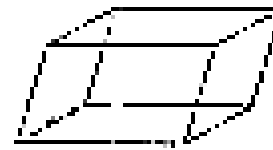
**orthorhombic**



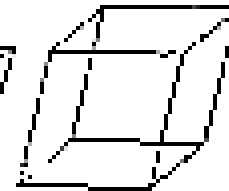
**tetragonal**



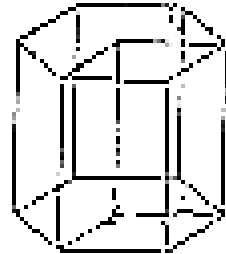
**triclinic**



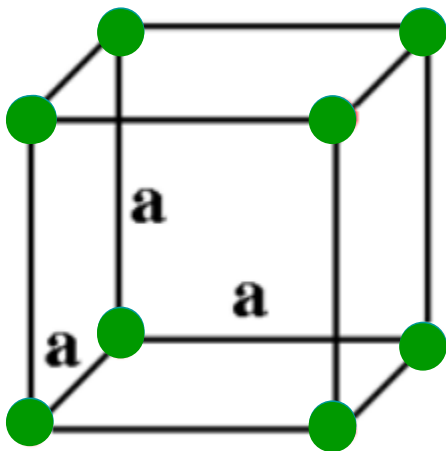
**trigonal**



**hexagonal**

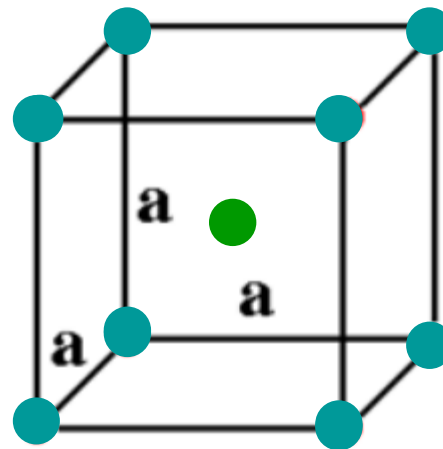


**P-Type**



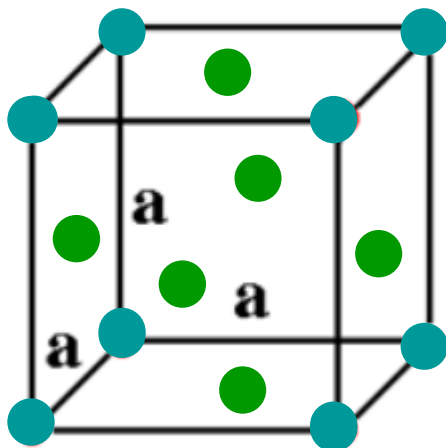
**PRIMITIVE**

**I-Type**



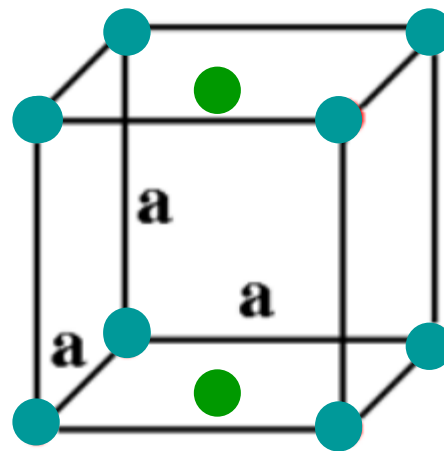
**BODY-CENTERED**

**F-Type**



**FACE-CENTERED**

**C-Type**



**BACE-CENTERED**

# What is Symmetry



symmetry is the operation that leaves the entire crystal unchanged.

A state in which parts on opposite sides of a plane, line, or point display arrangements that are related to one another via a symmetry operation such as translation, rotation, reflection or inversion.

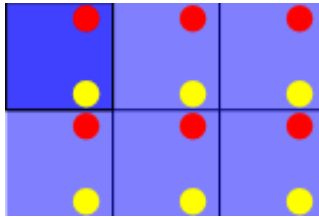


# Symmetry Elements: Macroscopic



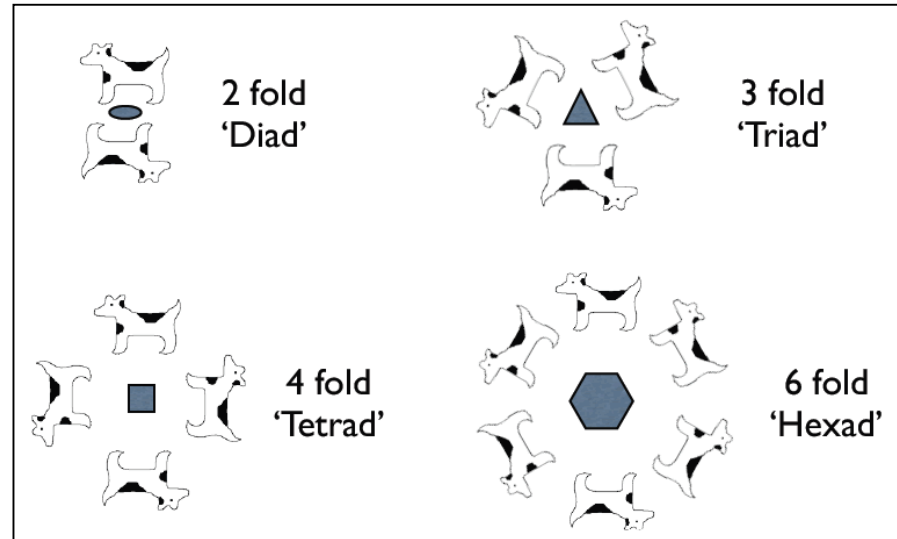
## Translation

moves all the points in the asymmetric unit the same distance in the same direction



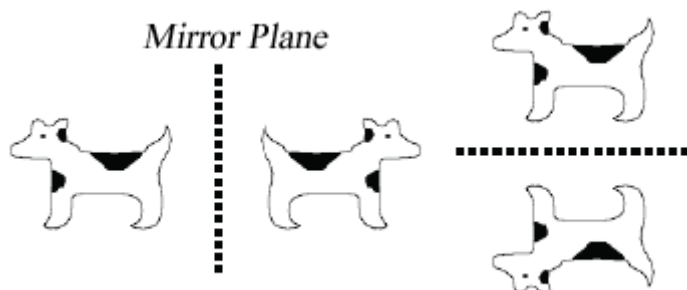
The center of rotation is the only invariant point

## Rotation



## Reflection or Mirror

flips all points in the asymmetric unit over a line, which is called the mirror



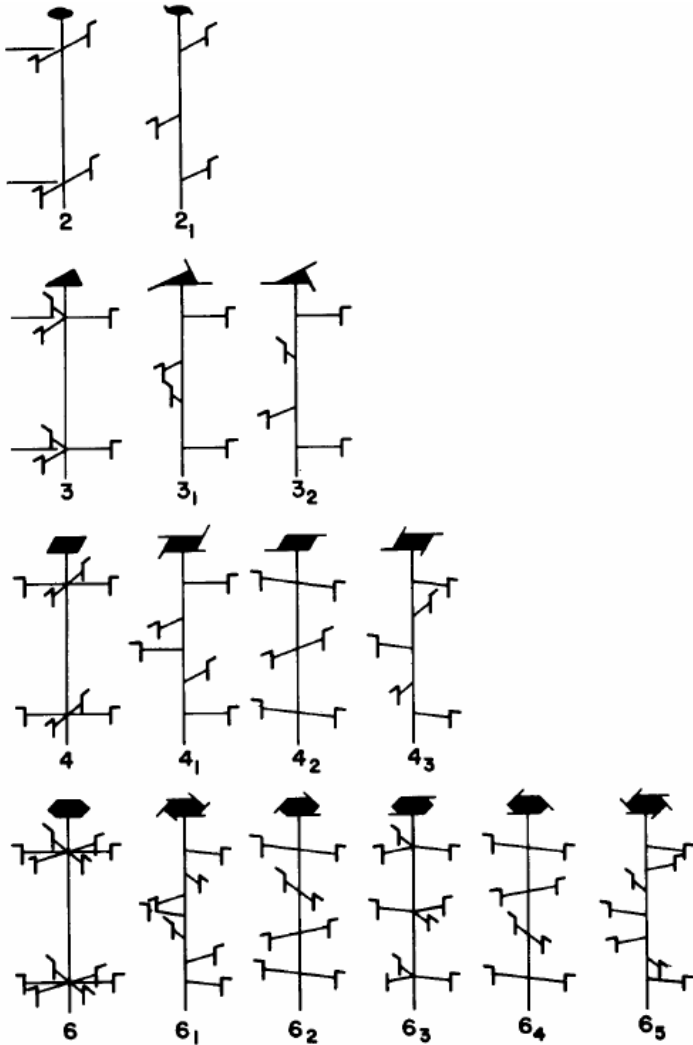
## Inversion or centre of Symmetry



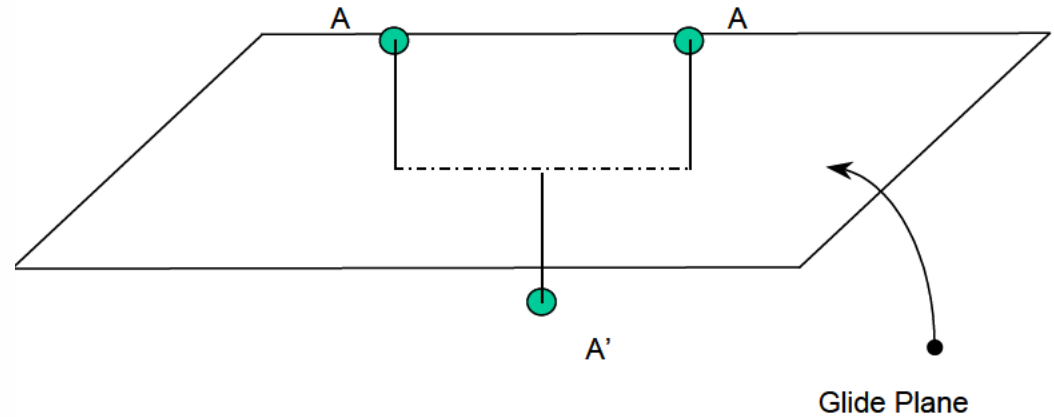
every point on one side of a center of symmetry has a similar point at an equal distance on the opposite side of the center of symmetry.

# Symmetry Elements: Microscopic

## Screw Axis – Rotation + Translation



## Glide plane – Reflection + Translation



# Symmetry Classes



## Point Group – 32 Point Groups

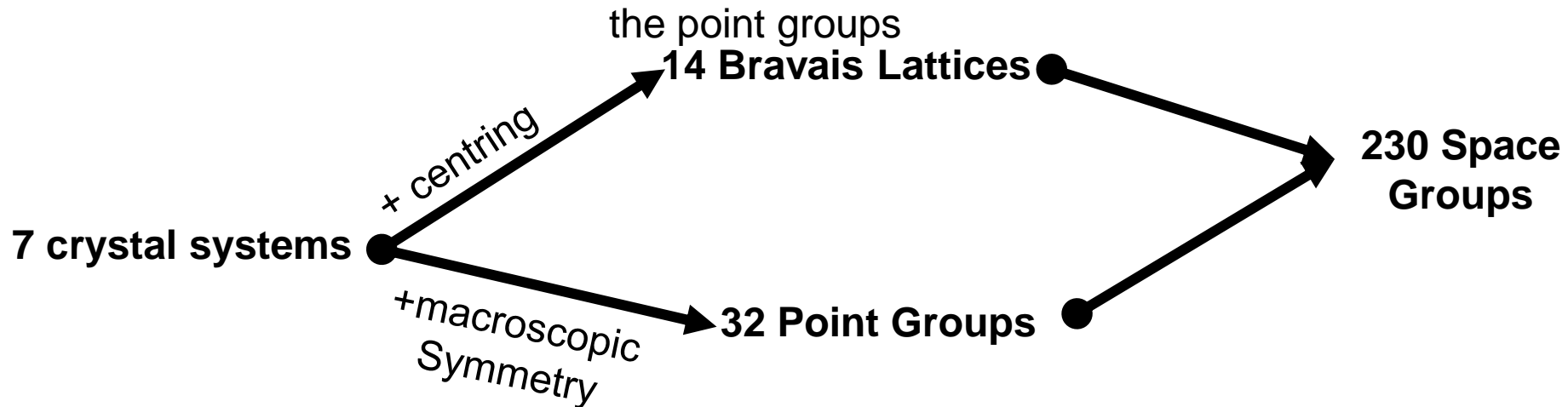
Point group symmetry does not consider translation/centering.

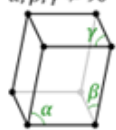
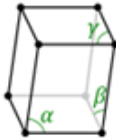
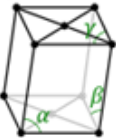
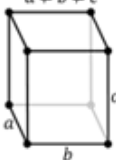
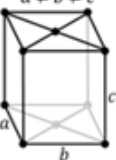
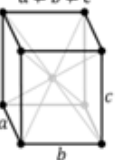
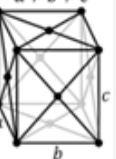
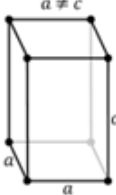
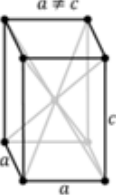
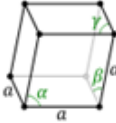
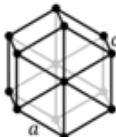
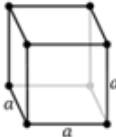
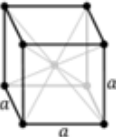
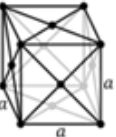
Included symmetry elements are rotation, mirror plane, center of symmetry, rotary inversion.

## Space Group – 230 Space Groups

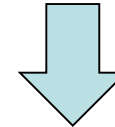
A space group is a representation of the ways that the macroscopic and microscopic symmetry elements (operations) can be self-consistently arranged in space. There are 230 unique manners in which this can be done and, thus, 230 space groups..

Space groups add the centring information and microscopic elements to the point groups

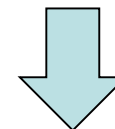


The 7 lattice systems	The 14 Bravais Lattices			
tridinic (parallelepiped)	$\alpha, \beta, \gamma \neq 90^\circ$ 			
monodinic (right prism with parallelogram base; here seen from above)	simple	centered		
	$\alpha \neq 90^\circ$ $\beta, \gamma = 90^\circ$ 	$\alpha \neq 90^\circ$ $\beta, \gamma = 90^\circ$ 		
orthorhombic (cuboid)	simple	base-centered	body-centered	face-centered
	$a \neq b \neq c$ 	$a \neq b \neq c$ 	$a \neq b \neq c$ 	$a \neq b \neq c$ 
tetragonal (square cuboid)	simple	body-centered		
	$a \neq c$ 	$a \neq c$ 		
rhombohedral (trigonal trapezohedron)	$\alpha = \beta = \gamma \neq 90^\circ$ 			
hexagonal (centered regular hexagon)				
cubic (isometric; cube)	simple	body-centered	face-centered	
				

Point groups in 3D  
Infinite series



In crystallography  
Restricted to be compatible  
with the discrete translation  
symmetries of a crystal lattice



32 Crystallographic  
Point Group

Table 3.1. Graphic Symbols for Symmetry Elements<sup>a</sup>

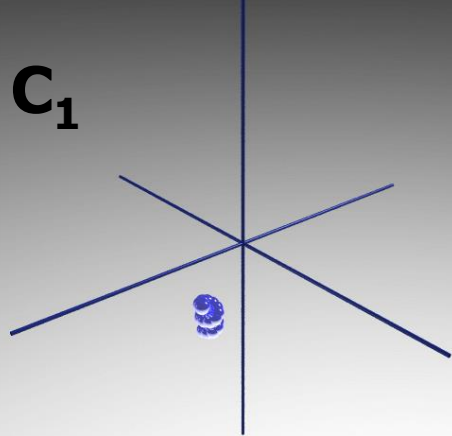
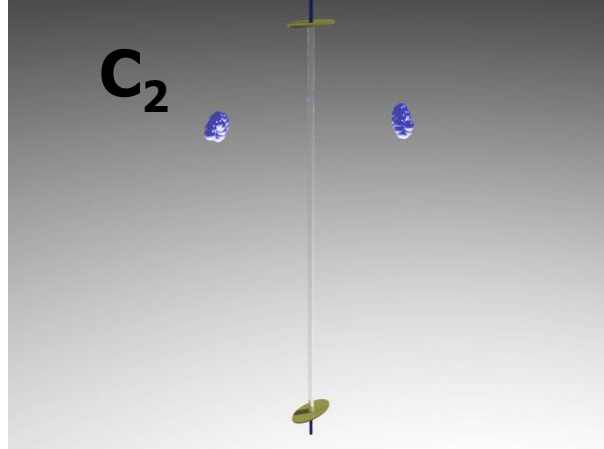
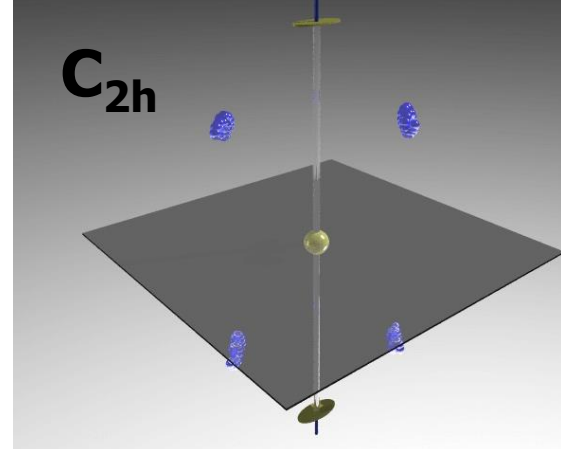
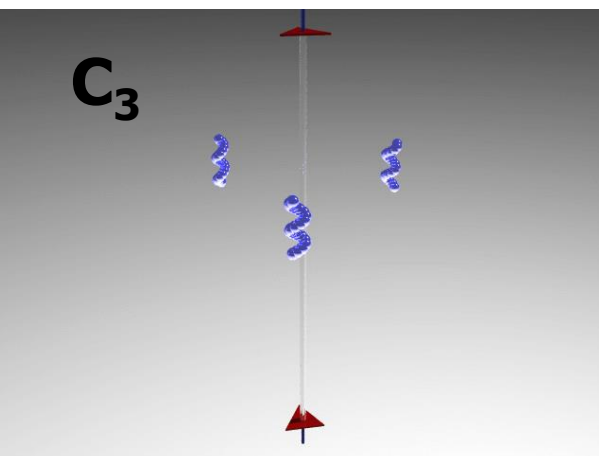
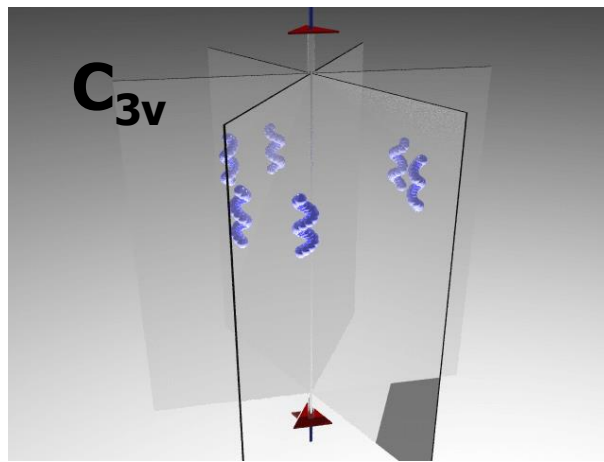
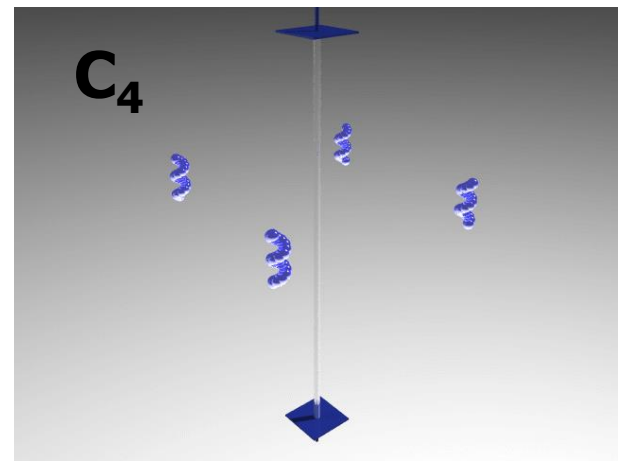
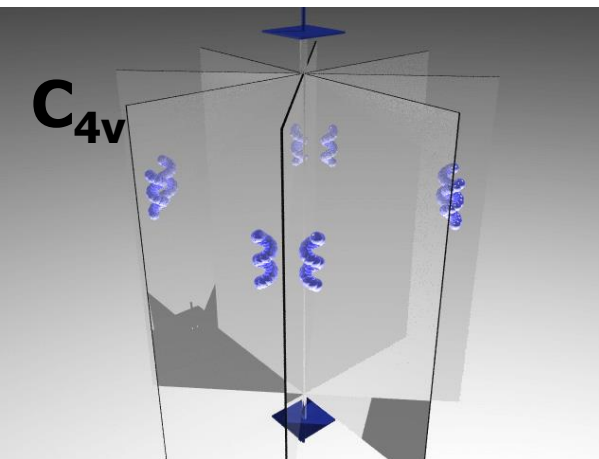
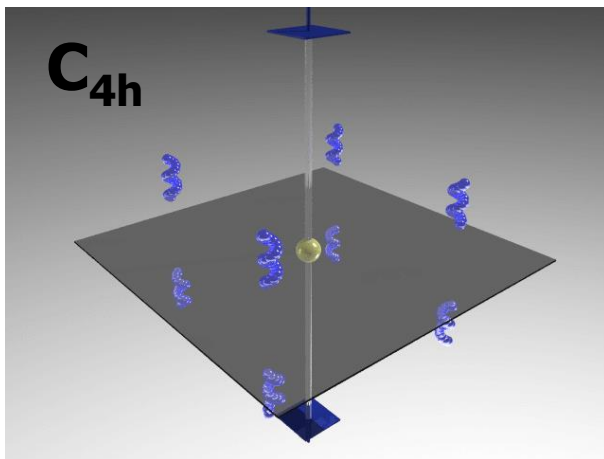
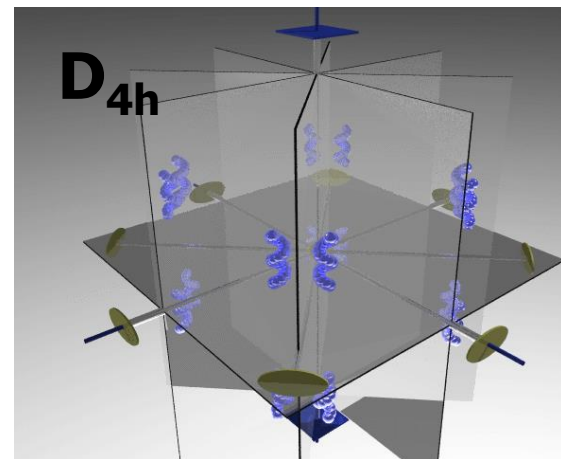
Symmetry axis or symmetry point	Graphic symbol	Screw vector of a right-handed screw rotation in units of the shortest lattice translation vector parallel to the axis	Printed symbol
<b>Symmetry axes normal to the plane of projection (three dimensions) and symmetry points in the plane of the figure (two dimensions)</b>			
Identity	None	None	1
Twofold rotation axis } Twofold rotation point } (two dimensions)	◦	None	2
Twofold screw axis: "2 sub 1"	◡	$\frac{1}{2}$	2 <sub>1</sub>
Threefold rotation axis } Threefold rotation point } (two dimensions)	▲	None	3
Threefold screw axis: "3 sub 1"	◡▲	$\frac{1}{3}$	3 <sub>1</sub>
Three fold screw axis: "3 sub 2"	◡▲	$\frac{2}{3}$	3 <sub>2</sub>
Fourfold rotation axis } Fourfold rotation point } (two dimensions)	◆	None	4
Fourfold screw axis: "4 sub 1"	◡◆	$\frac{1}{4}$	4 <sub>1</sub>
Fourfold screw axis: "4 sub 2"	◆	$\frac{1}{2}$	4 <sub>2</sub>
Fourfold screw axis: "4 sub 3"	◡◆	$\frac{3}{4}$	4 <sub>3</sub>
Sixfold rotation axis } Sixfold rotation point } (two dimensions)	●	None	6

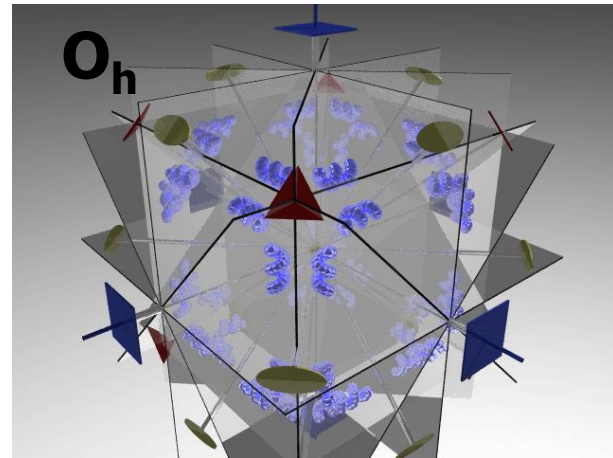
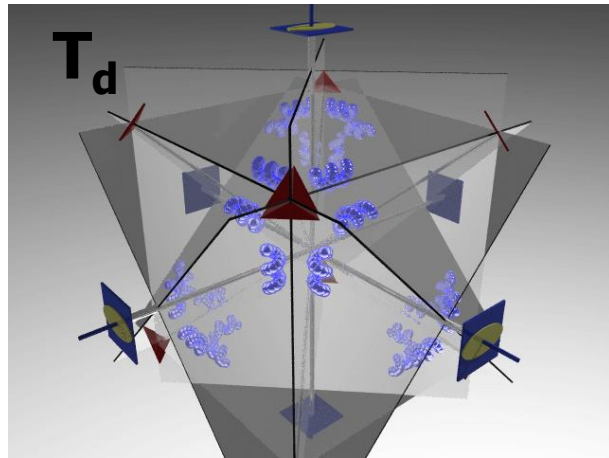
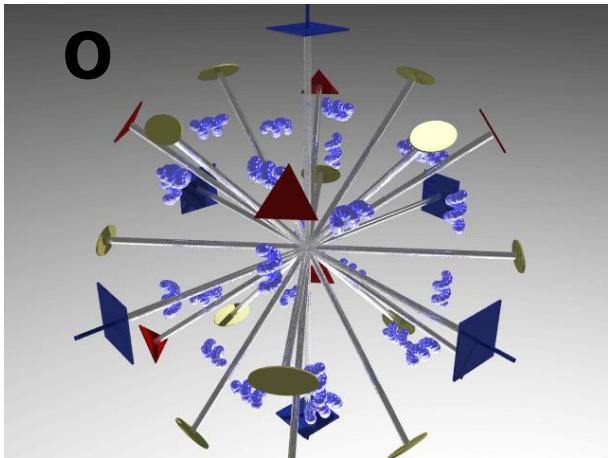
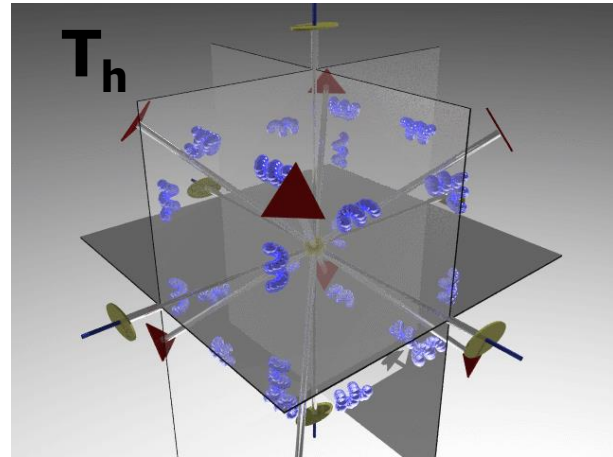
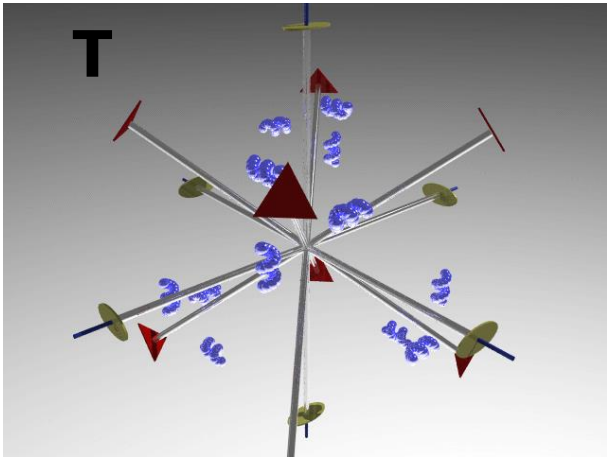
(continued)

# Crystallographic Point Group



- A set of **symmetry operations** (such as **rotations or reflections**)
- **Infinitely many three dimensional point groups**
- **But in crystallography, they are restricted to be compatible with the discrete translation symmetries of a crystal lattice → Finite 32 crystallographic point groups**
- **In Schönflies notation**
  - **$C_n$  (for cyclic)**
    - The group has an n-fold rotation axis
      - $C_{nh}$  includes a mirror (reflection) plane perpendicular to the axis of rotation
      - $C_{nv}$  includes a mirror plane parallel to the axis of rotation
  - **$O$  (for octahedron)**
    - The group has the symmetry of an octahedron (or cube)
      - $O_h$  includes improper operations
      - $O$  excludes improper operations
  - **$T$  (for tetrahedron)**
    - The group has the symmetry of a tetrahedron
      - $T_d$  includes improper operations
      - $T$  excludes improper operations
      - $T_h$  includes an inversion to  $T$
  - **$S_n$  (for Spiegel, German for mirror)**
    - The group that contains only an n-fold rotation-reflection axis
  - **$D_n$  (for dihedral, or two-sided)**
    - The group has an n-fold rotation axis plus a two-fold axis perpendicular to that axis
    - $D_{nh}$  includes a mirror plane perpendicular to the n-fold axis
    - $D_{nv}$  includes mirror planes parallel to the n-fold axis

$C_1$  $C_2$  $C_{2h}$  $C_3$  $C_{3v}$  $C_4$  $C_{4v}$  $C_{4h}$  $D_{4h}$ 



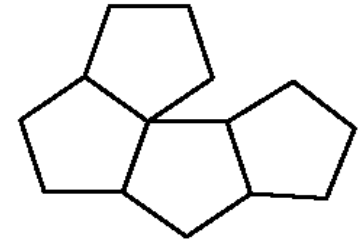


# Crystallographic Point Group



- By the **crystallographic restriction theorem**
  - $n = 1, 2, 3, 4, \text{ or } 6$  in 2 or 3 dimension space

**Not possible**



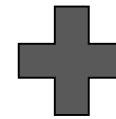
n	1	2	3	4	6
$C_n$	$C_1$	$C_2$	$C_3$	$C_4$	$C_6$
$C_{nv}$	$C_{1v}=C_{1h}$	$C_{2v}$	$C_{3v}$	$C_{4v}$	$C_{6v}$
$C_{nh}$	$C_{1h}$	$C_{2h}$	$C_{3h}$	$C_{4h}$	$C_{6h}$
$D_n$	$D_1=C_2$	$D_2$	$D_3$	$D_4$	$D_6$
$D_{nh}$	$D_{1h}=C_{2v}$	$D_{2h}$	$D_{3h}$	$D_{4h}$	$D_{6h}$
$D_{nd}$	$D_{1d}=C_{2h}$	$D_{2d}$	$D_{3d}$	$D_{4d}$	$D_{6d}$
$S_n$	$S_1=C_{1h}$	$S_2$	$S_3=C_{3h}$	$S_4$	$S_6$

Fill the 2D Space by Pentagon using any symmetry operation



27 point groups

Note :  $D_{4d}$  and  $D_{6d}$  are actually forbidden because they contain improper rotations with  $n = 8$  and  $12$



$T, T_d, T_h, O, O_h$   
(5 additional point groups)



Total 32 Crystallographic Point Group

# Smart Materials



**A Smart System** → Predictivity + Adaptivity + Repetivity

**Smart Materials:** Respond to a stimulus with a predictable action in a systematic pattern

Response Stimuli	Mechanical	Thermal	Electrical	Optical	Magnetic
Mechanical	Negative Poisson Ratio		Piezoelectric Electrostrictive	Mechanochromic	Magnetostrictive
Thermal	Shape Memory	Thermoelectric		Thermochromic Thermoluminescent	
Electrical	Piezoelectric Electrostrictive ER Fluids			Electrochromic Electroluminescent Electro-optic	
Optical			Photoconductor	Photochromic	
Magnetic	MR Fluids			Magneto-optic	

# Smart Materials : Pervoskite



**General Formula-**  $ABX_3$

A – Larger Cation

B – Smaller Cation

X – Anion (In most cases O)

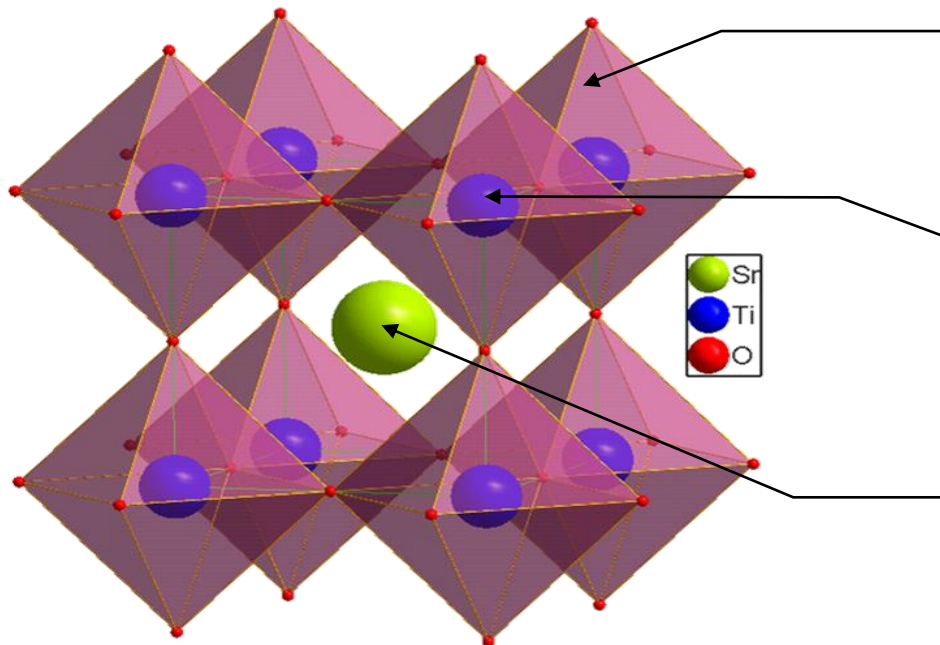
**Atomic Cordination (CN)-**

A – 12

B – 6

X – Anion (In most cases O)

Ideal Pervoskite Structure ( $SrTiO_3$ )



$Pm\bar{3}m$

Anion (O)  
Octahedra

Corner Sharing Octahedral  
site B cations :

Nb, Ta, Ti, Zr, Fe, Mn, ....

Twelve-fold Cavities

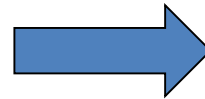
Dodecaedral site A cations :  
K, Na, Ca, Sr, Ba, Pb, Bi, Y, La,

...

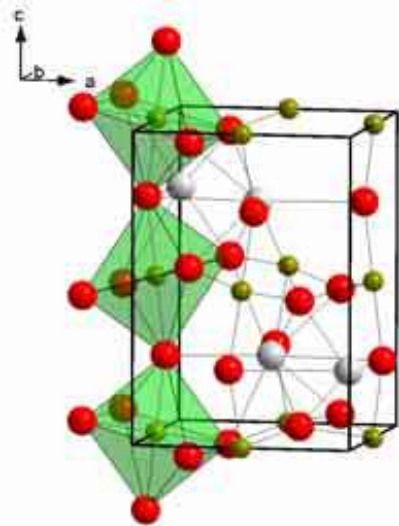
# Deformation in Perovskite : Size factor

**Stability Range of Perovskite :**  
**Goldschmidt's Tolerance factor**

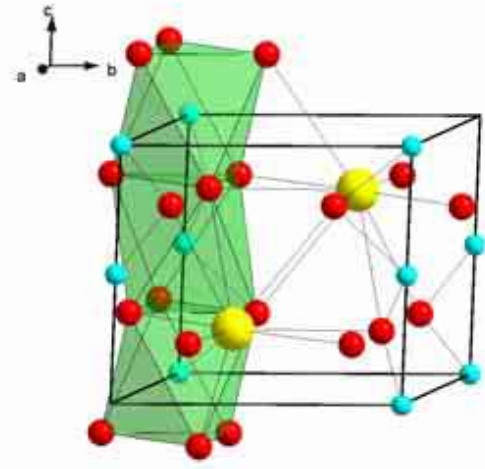
$$t = \frac{(r_A + r_X)}{\sqrt{2}(r_B + r_X)}$$



- The perovskite structure is stable when  $0.89 \leq t \leq 1.06$  (taking  $r_X = 0.14$  nm)
- Low value of  $t$  will lower the symmetry



Orthorhombic  $\text{GdFeO}_3$   
( $t=0.81$ )

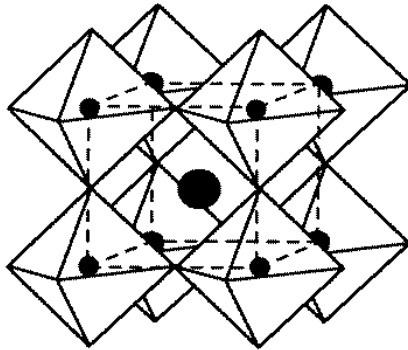


Hexagonal  $\text{BaNiO}_3$  ( $t=1.13$ )

# Deformation in Pervoskite : Rotation or tilting effect

Ideal Pervoskite

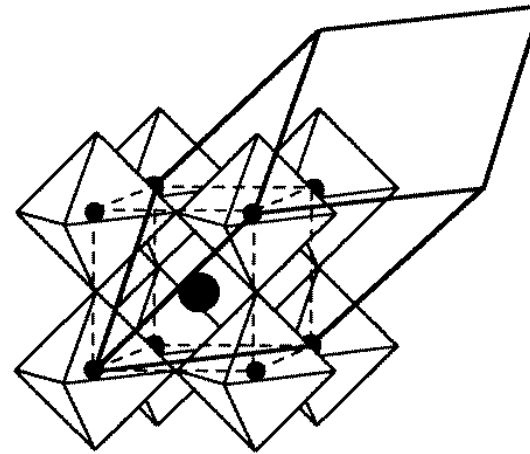
● Ni      ● R



a)

Cubic  $Pm\bar{3}m$

Rotation effect

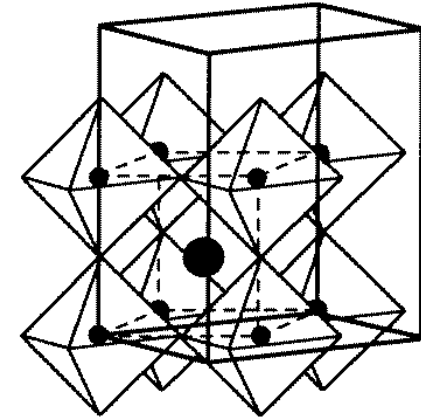


b)

Rhombohedral ( $R\bar{3}c$ )

Rotation along [111] cubic axis

Tilting effect

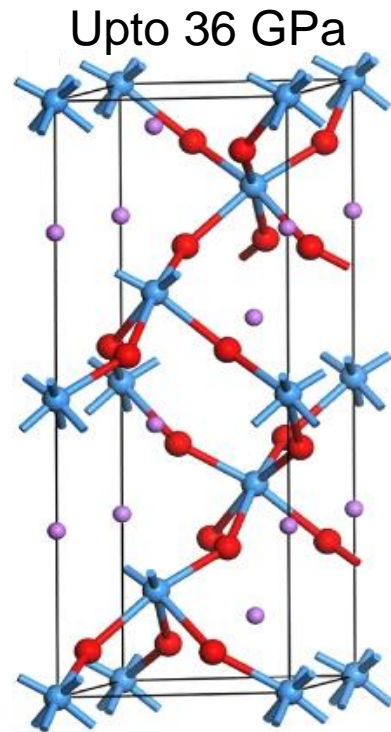


c)

Orthorhombic ( $Pbnm$ )

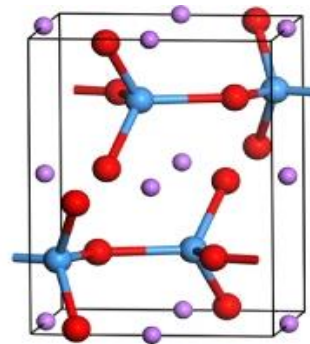
Tilt along [110] and [001]  
cubic axis

# Pressure induced Deformation in Pervoskite



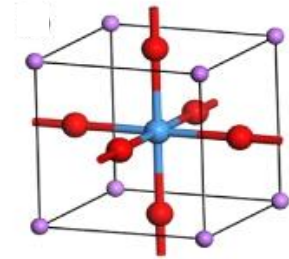
Rhombohedral ( $R3c$ )

at 56.1 GPa



Orthorhombic ( $Pnma$ )

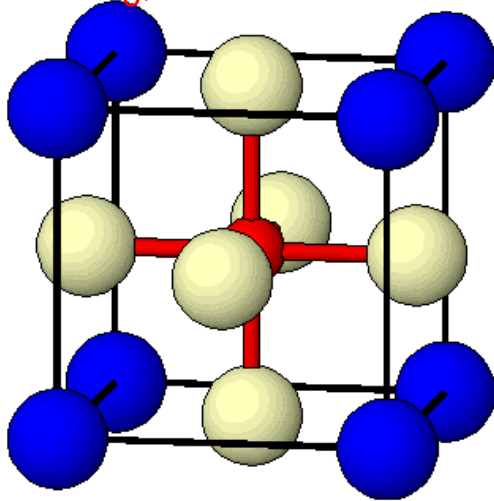
Ideal



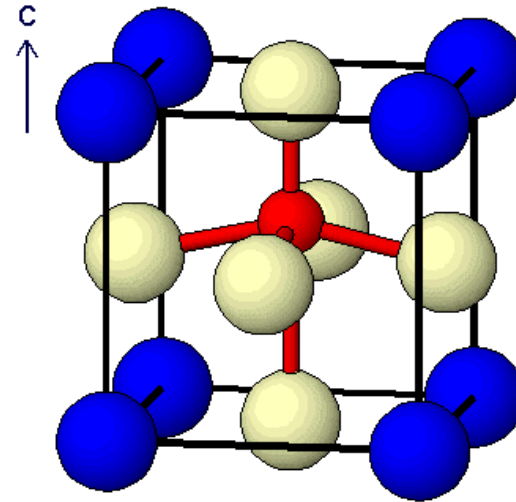
Cubic  $Pm3m$

# Polarisation effect in Pervoskite

$\text{SrTiO}_3$ , Ti-O  $\sim 1.95 \text{ \AA}$



$\text{BaTiO}_3$ , Ti-O is stretched,  $> 2.0 \text{ \AA}$



Ti position (tetr.)



$\delta+$

Ti position (cubic)



$\delta-$



$\sim 0.1 - 0.2 \text{ \AA}$

Displacement by 5-10% Ti-O bond length

Random dipole orientations - Paraelectric

Aligned dipole orientations - Ferroelectric

# Deformation in Pervoskite



- Deformation will lead to Structural transformation
- Mainly  $BX_6$  Octahedra is responsible for the Structural transformation
- Size variation in cation is also responsible for the structural variation
- Adaptability of various size ions is key for categories pervoskite as smart materials
- Pressure also have effect on structural transformation



crystal structure	crystal system	point group / crystal class	Schönflies	Hermann-Mauguin	orbifold	Type	order	structure	
tridinic		tridinio-pedial	C <sub>1</sub>	1	11	enantiomorphic polar	1	trivial	
		tridinio-pinacoidal	C <sub>1</sub>	$\bar{1}$	1x	centrosymmetric	2	cyclic	
		monodinio-sphenoidal	C <sub>2</sub>	2	22	enantiomorphic polar	2	cyclic	
monodinic		monodinio-domatic	C <sub>s</sub>	m	1*	polar	2	cyclic	
		monodinio-prismatic	C <sub>2h</sub>	2/m	2*	centrosymmetric	4	2xcyclic	
		orthorhombio-sphenoidal	D <sub>2</sub>	222	222	enantiomorphic	4	dihedral	
orthorhombic						polar	4	dihedral	
						centrosymmetric	8	2xdihedral	
		tetragonal-pyramidal	C <sub>4</sub>	4	44	enantiomorphic polar	4	Cyclic	
tetragon		tetragona-disphenoidal	S <sub>4</sub>	$\bar{4}$	2x		4	cyclic	
		tetragonal-dipyramidal	C <sub>4h</sub>	4/m	4x	centrosymmetric	8	2xcyclic	
			D <sub>4</sub>				8	dihedral	
			C <sub>4v</sub>				8	dihedral	
		tetragonal-scalenoidal	D <sub>2d</sub>	42m or 4m2	2*2		8	dihedral	
		ditetragonal-dipyramidal	D <sub>4h</sub>	4/mmm	*422	centrosymmetric	16	2xdihedral	
							3	enantiomorphic polar	3
hex							22		
			C <sub>3v</sub>	3m or 3m1 or 31m	*33	polar	6	dihedral	
		ditrigonal-scalahedral	D <sub>3d</sub>	$\bar{3}m$ or $\bar{3}m1$ or $\bar{3}1m$	2*3	centrosymmetric	12	dihedral	
		hexagonal-pyramidal	C <sub>6</sub>	6	66	enantiomorphic polar	6	cyclic	
		amidal					3*		
hexagonal		pyramidal				6*			
		hexagonal-trapezoidal	D <sub>6</sub>	622	622	enantiomorphic	12	dihedral	
		dihexagonal-pyramidal	C <sub>6v</sub>	6mm	*66	polar	12	dihedral	
		ditrigonal-dipyramidal					12	dihedral	
		dihexagonal-dipyramidal					12	dihedral	
cubic		tetrahedral					3		
		diploidal					3		
		gyroidal	O	432	432	enantiomorphic	24	symmetric	
		tetrahedral	T <sub>d</sub>	$\bar{4}3m$	*332		24	symmetric	
	hexoctahedral	O <sub>h</sub>	m $\bar{3}m$	*432	centrosymmetric	48	2xsymmetric		

**32 Crystallographic Point Group**

**21 non-centrosymmetric**

**11 centrosymmetric**

**1 non-piezoelectric (group 432)**

**20 piezoelectric**

**(Polarized under stress)**

**10 non-pyroelectric**

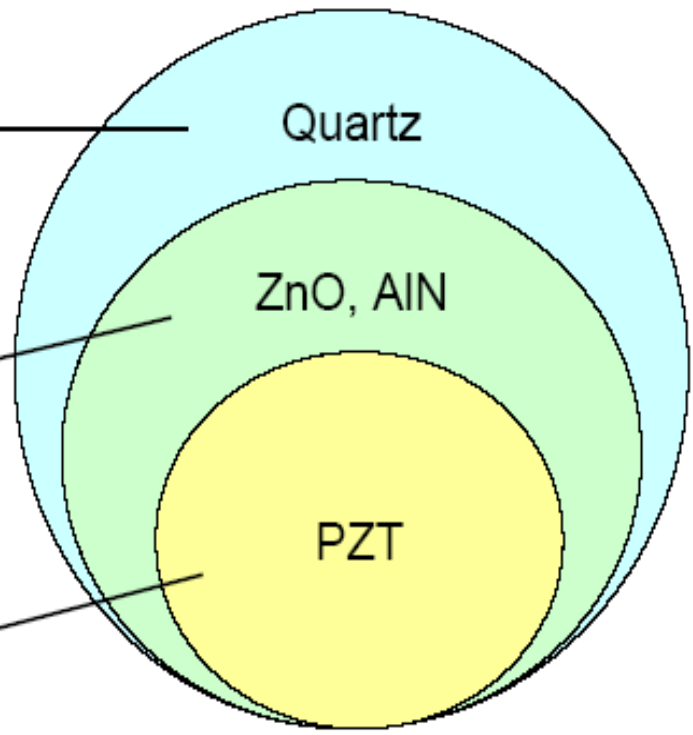
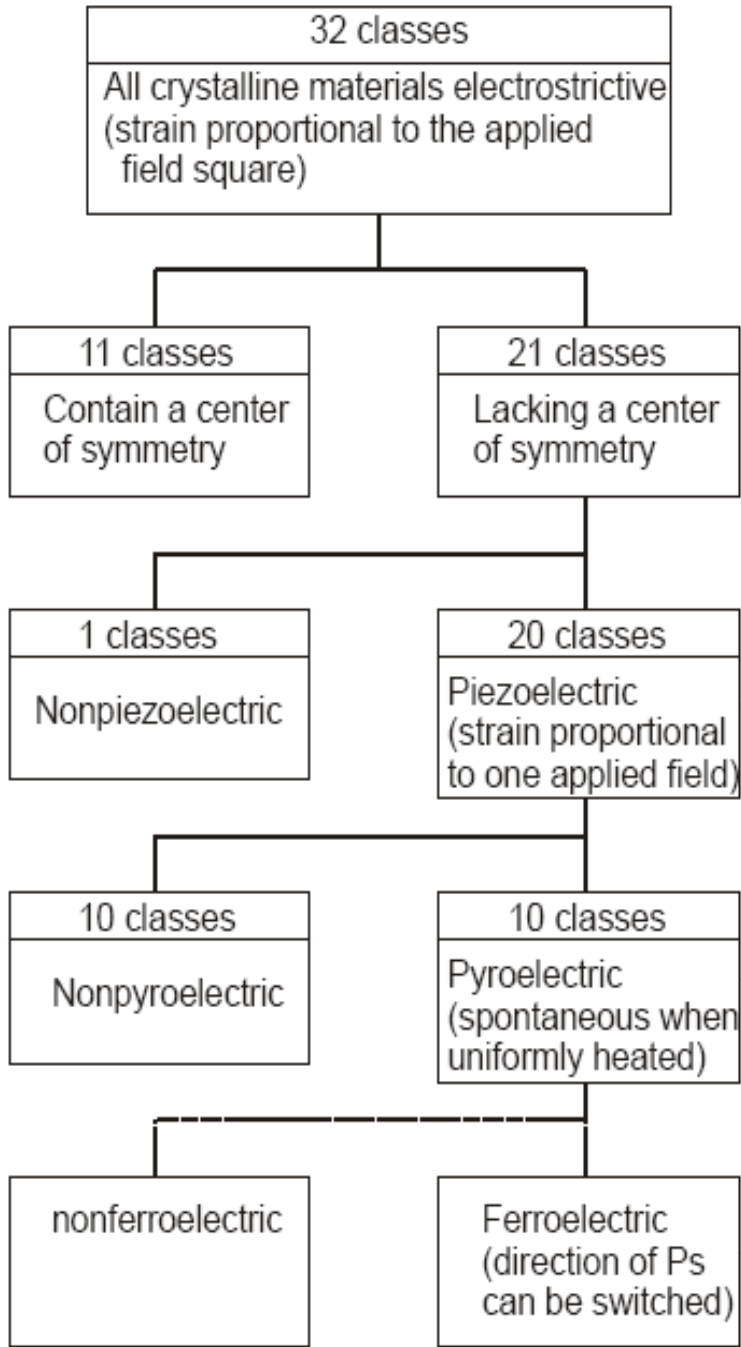
**10 pyroelectric**

**(spontaneously polarized)**

**Sub group ferroelectric**

**(spontaneously polarized, reversible polarization)**

# Crystal structure scheme



# Piezoelectric materials



- Single crystals

- quartz
- $\text{LiTaO}_3$
- $\text{GaPO}_4$
- $\text{La}_3\text{Ga}_5\text{SiO}_{14}$  (Langasite)

$d = 2\text{-}20 \text{ pC/N}$

- Used mostly as resonant and high frequency devices and mechanical sensors (pressure, force and acceleration sensors)

- Ceramics

- PZT
- modified  $\text{PbTiO}_3$
- $\text{Bi}_4\text{Ti}_3\text{O}_{12}$  based materials
- PMN-PT (relaxor composition)
- $\text{PbTiO}_3\text{-Pb}(\text{Zn},\text{Nb})\text{O}_3$

$d = 20\text{-}2000 \text{ pC/N}$

- Used as sensors, actuators, resonant devices and transducers;
- Relatively easy and inexpensive to fabricate;
- Easy to modify and to achieve a wide range of properties

# Piezoelectric materials



- Polymers

- PVDF(polyvinylidene fluoride)

$$d = 30 \text{ pC/N}$$

- Limited use where flexibility and low acoustic impedance are important  
(headphones, speakers, hydrophones, vibration and pressure sensors)

- Composites

- polymer-ceramic (PZT-polymer)
- metal-ceramic (PZT-metal)

- polymer-ceramics




Special applications where a good acoustical impedance matching is essential

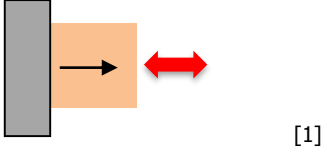
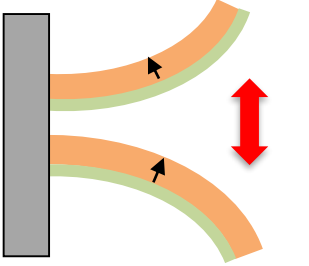
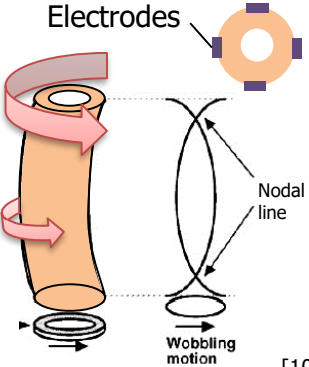
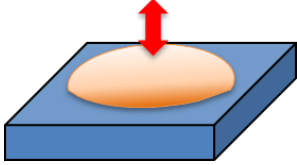
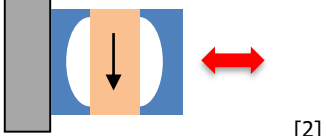
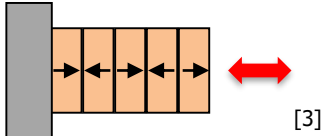
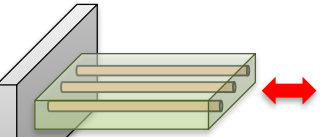
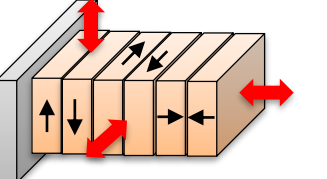
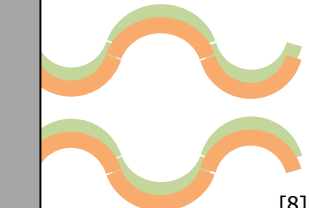
(hydrophones, medical ultrasonic imaging)

- metal-ceramics

For very large displacement, but low force devices

# Outline – PZT actuator

→ Poling direction  
 PZT material  
 Soft polymer  
 Rigid structure

		Linear	Bending	Twisting	Rotation	Diaphragm
Bi-direction	Single material	 [1]	 [6]		 [10]	 [12]
	Multiple materials	 [2]				
Multi-direction	 [3]	 [4]	 [5]	 [8]		

# Outline – PZT actuator

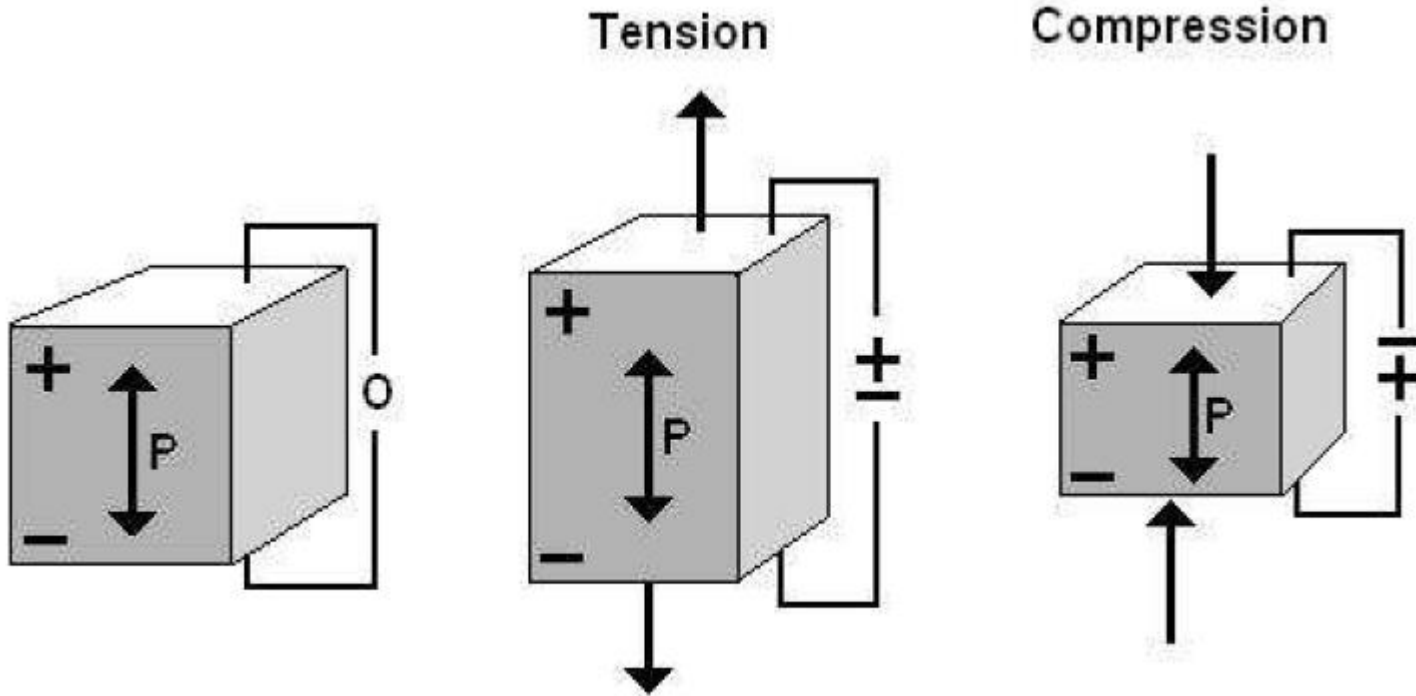


- [1] K. Uchino and S. Takahashi, "Multilayer ceramic actuators," *Current Opinion in Solid State and Materials Science*, vol. 1, pp. 698-705, 1996.
- [2] R. Newnham, A. Dogan, Q. Xu, K. Onitsuka, J. Tressler, and S. Yoshikawa, "Flextensional "moonie" actuators," in *Ultrasonics Symposium*, 1993. *Proceedings.*, IEEE 1993, 1993, pp. 509-513.
- [3] Q.-M. Wang and L. E. Cross, "Performance analysis of piezoelectric cantilever bending actuators," *Ferroelectrics*, vol. 215, pp. 187-213, 1998..
- [4] G. A. Rossetti Jr, A. Pizzochero, and A. A. Bent, "Recent advances in active fiber composites technology," in *Applications of Ferroelectrics*, 2000. ISAF 2000. *Proceedings of the 2000 12th IEEE International Symposium on*, 2000, pp. 753-756.
- [5] K. Uchino and S. Takahashi, "Multilayer ceramic actuators," *Current Opinion in Solid State and Materials Science*, vol. 1, pp. 698-705, 1996.
- [6] M. Y. Yasin, N. Ahmad, and M. N. Alam, "Finite element analysis of actively controlled smart plate with patched actuators and sensors," *Latin American Journal of Solids and Structures*, vol. 7, pp. 227-247, 2010.
- [7] Q.-M. Wang and L. E. Cross, "Performance analysis of piezoelectric cantilever bending actuators," *Ferroelectrics*, vol. 215, pp. 187-213, 1998.
- [8] I. Chopra, "Review of state of art of smart structures and integrated systems," *AIAA journal*, vol. 40, pp. 2145-2187, 2002.
- [9] D. Cadogan, T. Smith, F. Uhelsky, and M. MacKusick, "Morphing inflatable wing development for compact package unmanned aerial vehicles," *AIAA Paper*, vol. 1807, p. 2004, 2004.
- [10] I. Chopra, "Review of state of art of smart structures and integrated systems," *AIAA journal*, vol. 40, pp. 2145-2187, 2002.
- [11] T. King, M. Preston, B. Murphy, and D. Cannell, "Piezoelectric ceramic actuators: A review of machinery applications," *Precision Engineering*, vol. 12, pp. 131-136, 1990.
- [12] P. Murali, A. Kholkin, M. Kohli, and T. Maeder, "Piezoelectric actuation of PZT thin-film diaphragms at static and resonant conditions," *Sensors and Actuators A: Physical*, vol. 53, pp. 398-404, 1996.

# Piezoelectric effect

- **Direct piezo effect**

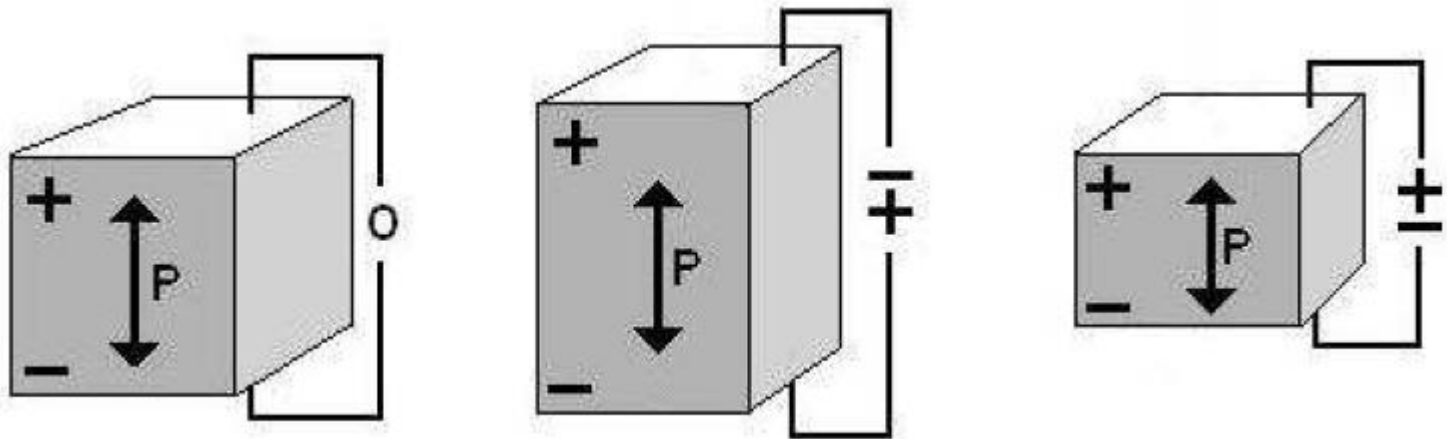
- A mechanical stress on a material produces an electrical polarization



# Piezoelectric effect

- **Converse (inverse) piezo effect**

- An applied electric field in a material produces dimensional changes and stresses within a material



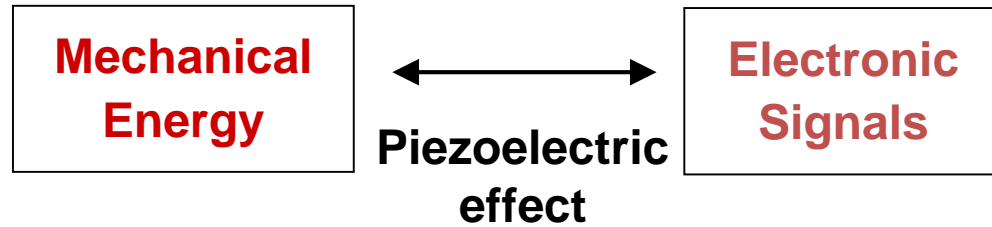


# Piezoelectric actuator



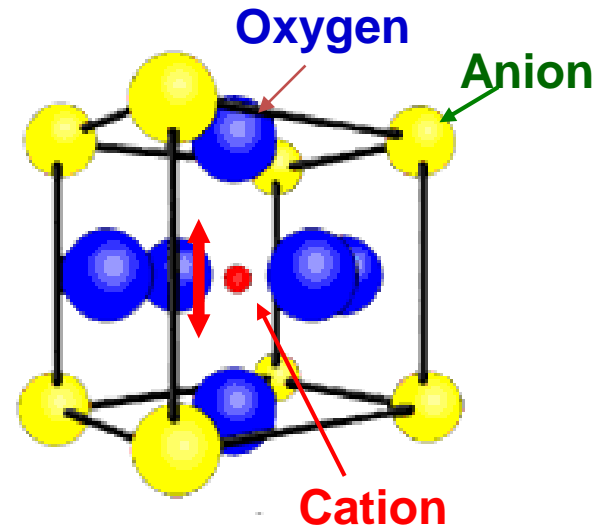
$$S = s^E T + d E$$

compliance      piezoelectric strain coefficients  
 strain      stress      electric field



$$D = d^{Tr} T + \epsilon^T E$$

piezoelectric strain coefficients (transpose)      dielectric permittivity  
 electric displacement      stress      electric field



# Piezoelectric actuator

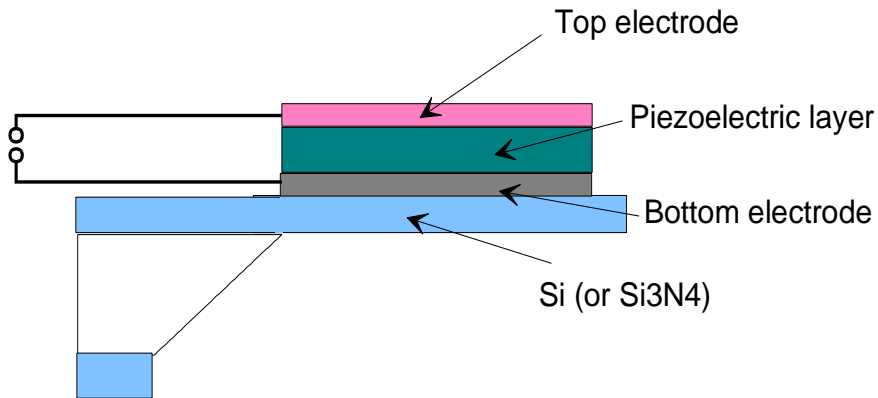


Piezoelectric ?

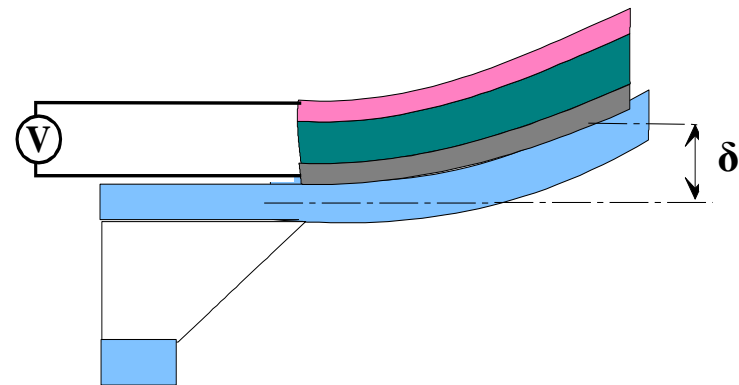
Electric Field  $\Rightarrow$  Displacement ( $d_{31}$  : m/V)

Stress  $\Rightarrow$  Charge ( $d_{31}$  : C/N)

$$\delta \text{ (displacement)} \propto d_{31} * V$$



Free standing



After applying voltage

# Mechanical effect of Piezoelectric



## Typical Materials for Piezoelectric MEMS

### □ PZT

- High piezoelectric (pyroelectric) constant, Low leakage current
- Process issues (deposition, etching)
- Operational frequency :  $< \sim 700$  MHz

### □ ZnO

- Low piezoelectric constant, High leakage current
- Easy Process (deposition, etching)
- Operational frequency : High (PCS, IMT2000) ( $> GHz$ )

### □ AlN

- Low piezoelectric constant, Low leakage current, Low dielectric loss
- Process : High vacuum
- Operational frequency : High (PCS, IMT2000) ( $> GHz$ )

### □ PVDF

- Low piezoelectric constant, Low dielectric constant
- Easy to fabricate large area → ex) Speaker, Sensor

# Piezoelectric materials



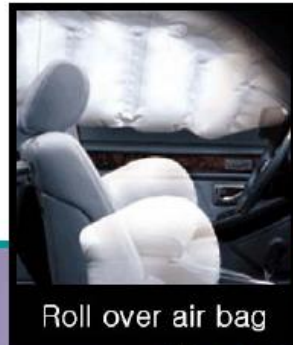
	Stiffness ( $10^{10}$ N / m <sup>2</sup> )	Strain Coefficient ( $10^{-12}$ C / N)	Relative Permittivity	Coupling Coefficient K <sup>2</sup> (%)	Velocity (m / s)	Density (kg / m <sup>3</sup> )
Aluminum Nitride (AlN)	33.0	5.6 (d <sub>33</sub> )	8.6	6.0	11,300	3.26
Barium Titanate (BaTiO <sub>3</sub> ) <sup>*</sup>	11.0 - 27.5	82-145 (d <sub>33</sub> )	625-1350	39 - 46	4460	5.85
Lithium Niobate (LiNbO <sub>3</sub> )	24.5	19.2 (d <sub>33</sub> )	44	17.2 †	4379 †	4.64
Lithium Tantalate (LiTaO <sub>3</sub> )	23.3	8.0 (d <sub>33</sub> )	41	4.7 †	4112 †	7.64
P(VDF-TrFE)	0.3	-12.0 (d <sub>31</sub> )	13	0.18	2400	1.88
Quartz (SiO <sub>2</sub> )	10.7	2.3 (d <sub>11</sub> )	4.5	0.11 †	3948 †	2.65
PZT (PbZrTiO <sub>3</sub> ) <sup>*</sup>	4.8 - 13.5	240-550 (d <sub>33</sub> )	1100-3200	66 - 73	4600	7.55
Zinc Oxide (ZnO)	21.0	10-12 (d <sub>33</sub> )	8.5	7.5	6,080	5.60

$$k = \sqrt{\frac{\text{mechanical energy stored}}{\text{electrical energy applied}}}$$

# Inertial sensors for Car



Air bag



Roll over air bag



Navigation

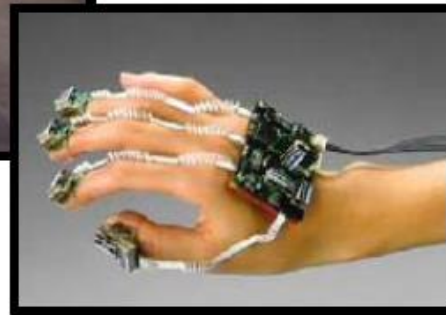


ESP or VDC

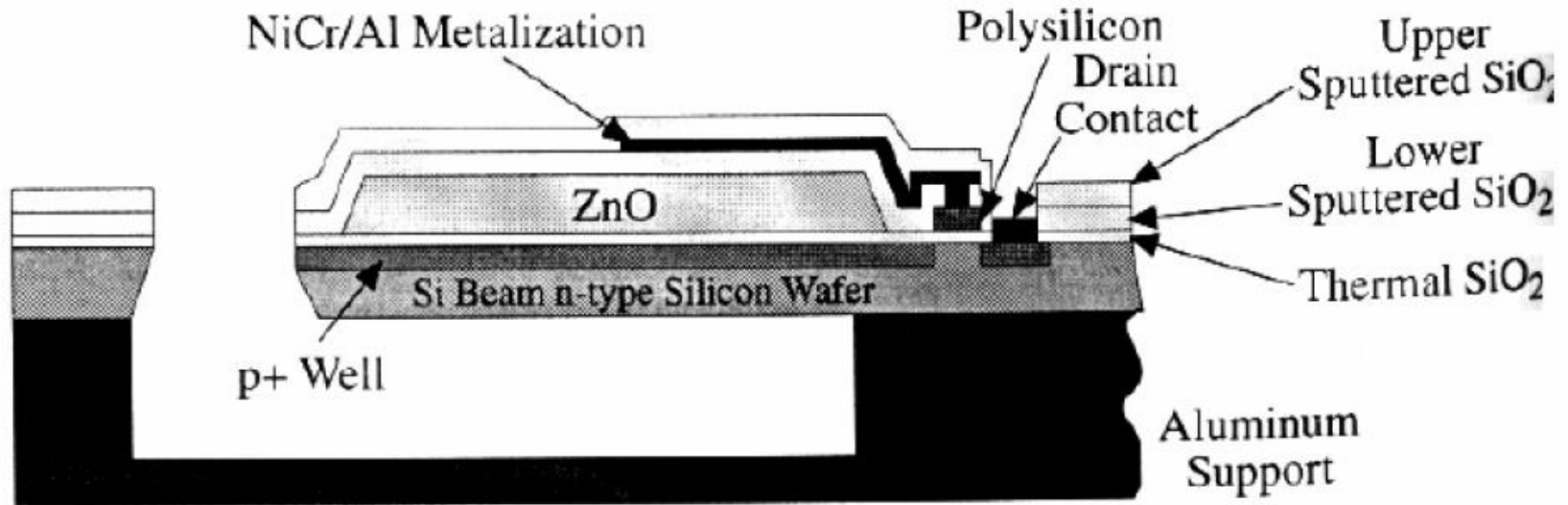


Active head lighter

# Inertial sensors for Motion sensing

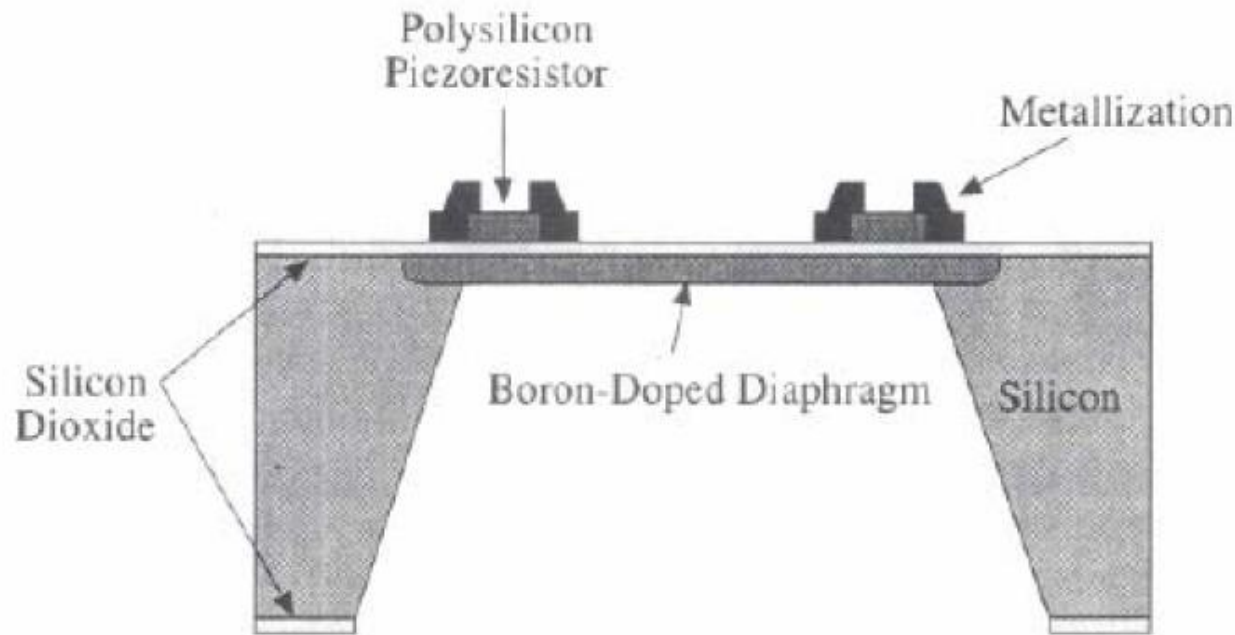


# Accelerometer with Piezoelectric sensing



Side view piezoelectric accelerometer

# Piezoresistive Microphones



- Compensation with Wheatstone bridge configuration
- Low sensitivity, but piezoresistive transduction has relatively low output impedance



# Brief history of piezoelectricity



- **Greek ancient:**  
Greek term “piezin” means “to press”
- **1880 :**  
The Curie brothers found that Rochelle salt crystals produce electricity when pressure is applied in certain crystallographic directions (piezoelectric effect)
- **1881 :**  
Lippman predicted that an applied electric potential would produce a mechanical deformation (reverse piezoelectric effect)
- **1881 :**  
Lord Rayleigh published “On waves propagating along the plane of an elastic solid”
- **1917 :**  
Langevin – quartz crystals used as transducers / receivers of ultrasound in water (SONAR)
- **1919 :**  
First demonstrations of loud speakers, microphones...
- **1921:**  
Cady : quartz resonator for stabilizing electronic oscillations
- **1946 :**  
Cady: “Piezoelectricity”  
→Electric polarization produced by mechanical strain in crystals belonging to certain classes, the polarization being proportional to the strain and changing sign with it”
- **1959 :**  
Sauerbrey – the frequency shift of a quartz crystal resonator is directly proportional to the added mass
- **1965 :**  
White, Voltmer – “Direct piezoelectric coupling to surface acoustic waves”
- **Today:**  
Piezoelectric devices in watches, TVs, radios, radar, communication satellites, mobile phones, car sensors, ...biosensors !!

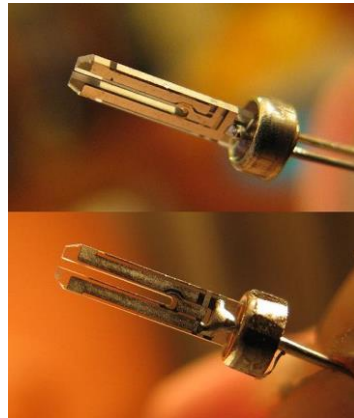
# Quartz resonator

- **Quartz resonator for timing standard**

- The frequency of the quartz oscillator is determined by the cut and shape of the quartz crystal.
- miniature encapsulated tuning forks which vibrate 32,768 times per second

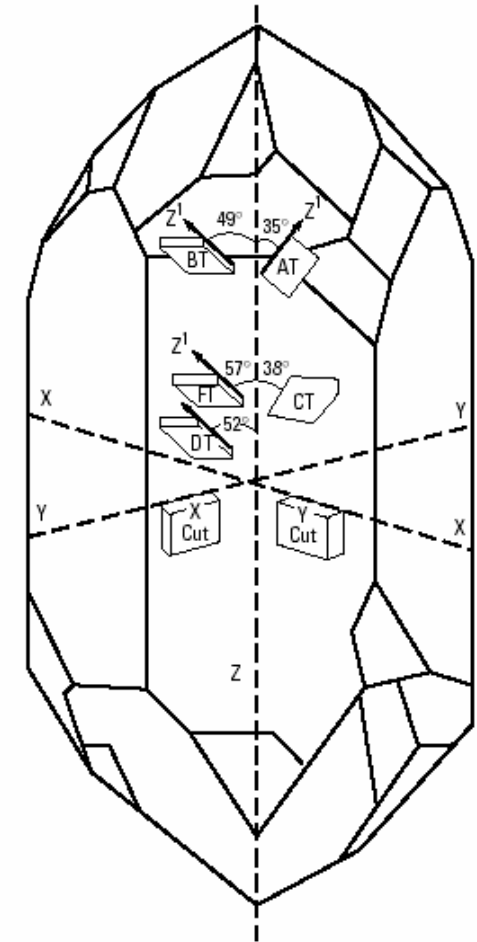
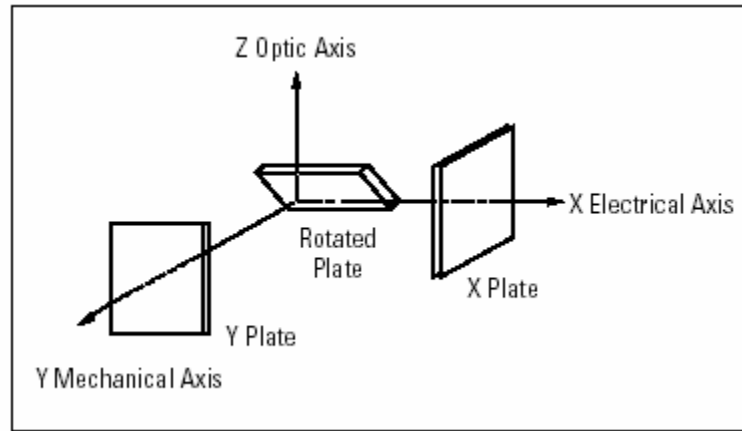
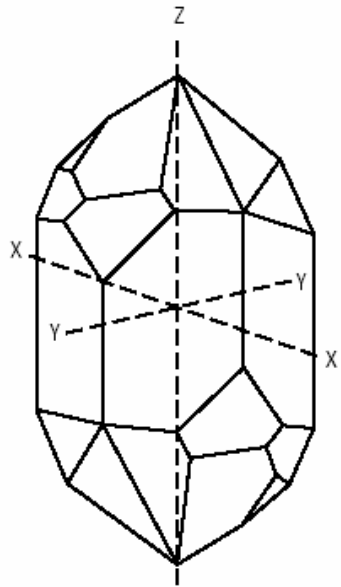


**Tuning fork  
quartz resonator**



**Walter Cady (1874-1973)  
Inventor of quartz resonator**

# Piezoelectricity: quartz crystal structure

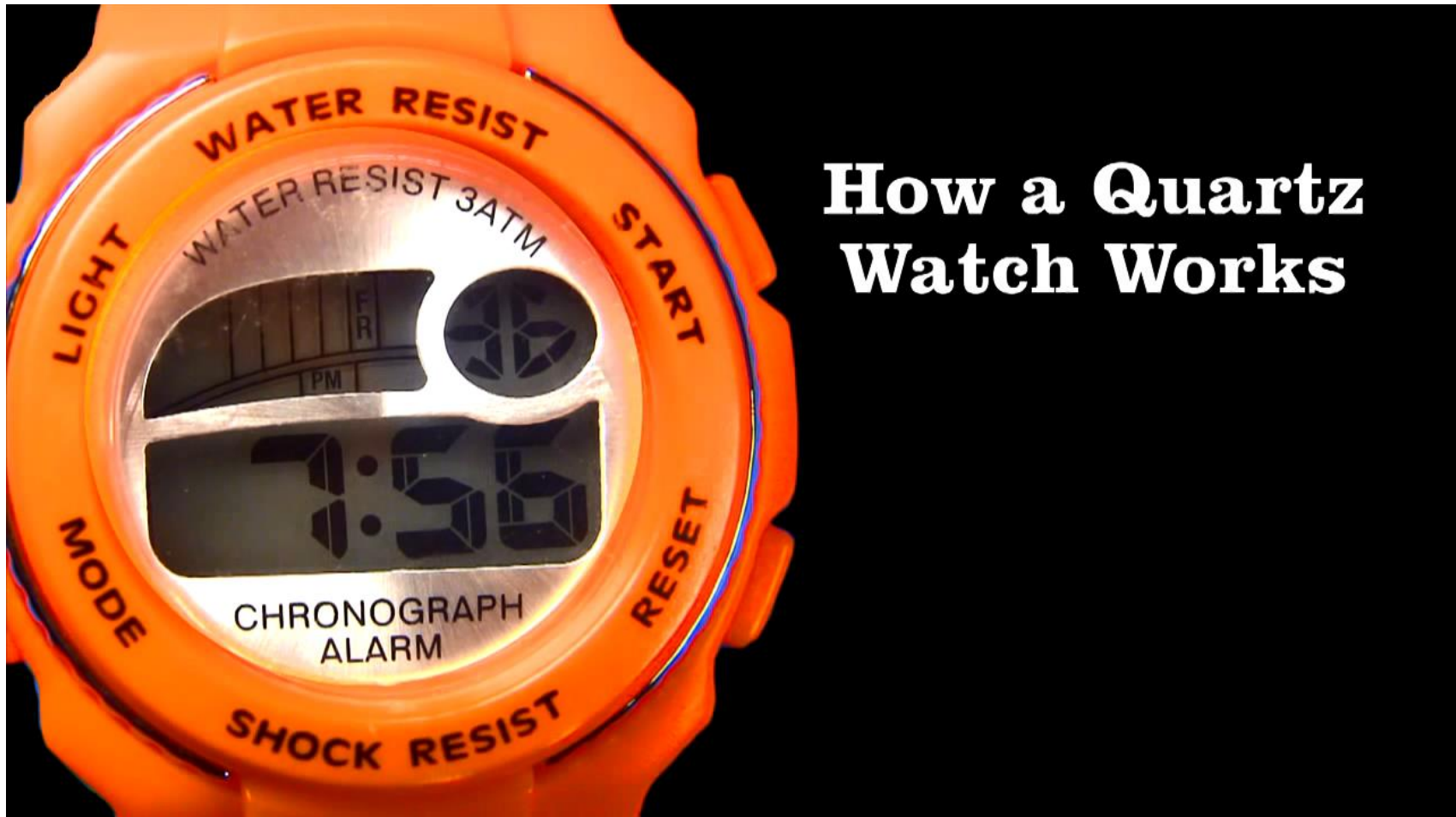


- X plate crystals: large voltage generated when compressed and decrease in frequency with T increases
- Y plate crystals: large voltage generated by shear stress and increase in frequency with T increases
- X cuts exhibits an extensional vibration mode with AC voltage
- AT cuts (35 degrees off the Y axis) vibrates in the thickness shear mode

# Quartz resonator



- Quartz watch



# Piezoelectric materials

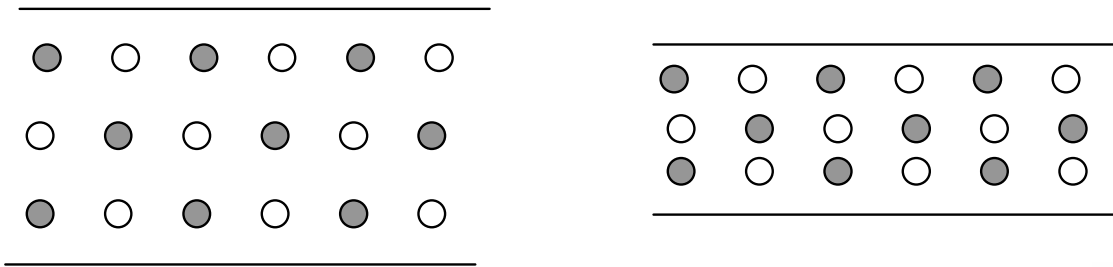


- **What material can show piezoelectric effect??**

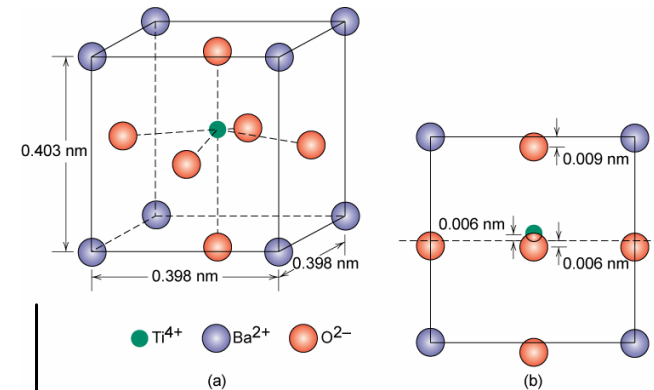
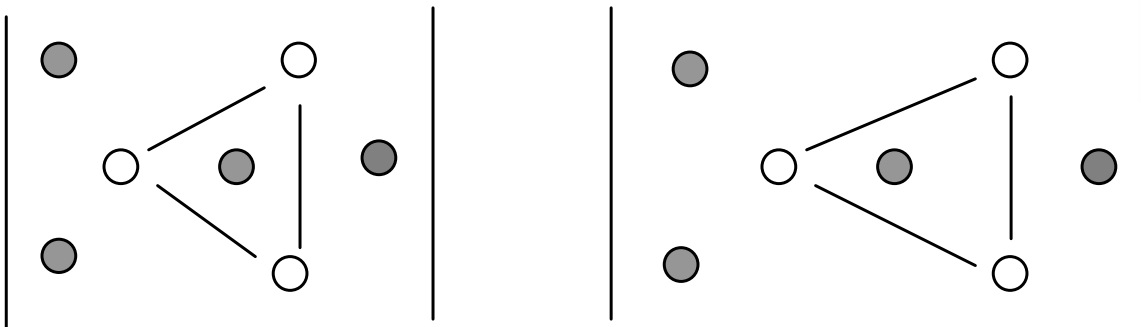
- Symmetry requirements:
  - Noncentrosymmetric materials can be piezoelectric.
- Polycrystalline materials with randomly oriented grains must be ferroelectric to exhibit piezoelectric effect
- Ferroelectricity : direction of the spontaneous polarization within grains may be re-oriented by external electric field
- Texture materials behave differently.

# Asymmetric Crystal Produces Piezoelectric Effect

- **Symmetric (centrosymmetric) lattice structure does not produce piezoelectricity when deformed.**



- **Asymmetric lattice structures do!**



# Constitutive equations of piezoelectric materials

$$\{D\} = [d]\{T\} + [\varepsilon^T]\{E\}$$

$$\{S\} = [s^E]\{T\} + [d^t]\{E\}$$

- D is the electric displacement,  $\varepsilon$  is permittivity and E is electric field strength
- S is strain, s is compliance and T is stress
- [d] is the matrix for the **direct piezoelectric** effect and [d<sup>t</sup>] is the matrix for the **converse piezoelectric** effect. The superscript t stands for transposition of a matrix.

$$\begin{bmatrix} D_1 \\ D_2 \\ D_3 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{24} & 0 & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \\ T_6 \end{bmatrix} + \begin{bmatrix} \varepsilon_{11} & 0 & 0 \\ 0 & \varepsilon_{22} & 0 \\ 0 & 0 & \varepsilon_{33} \end{bmatrix} \begin{bmatrix} E_1 \\ E_2 \\ E_3 \end{bmatrix}$$

$$\begin{bmatrix} S_1 \\ S_2 \\ S_3 \\ S_4 \\ S_5 \\ S_6 \end{bmatrix} = \begin{bmatrix} s_{11}^E & s_{12}^E & s_{13}^E & 0 & 0 & 0 \\ s_{21}^E & s_{22}^E & s_{23}^E & 0 & 0 & 0 \\ s_{31}^E & s_{32}^E & s_{33}^E & 0 & 0 & 0 \\ 0 & 0 & 0 & s_{44}^E & 0 & 0 \\ 0 & 0 & 0 & 0 & s_{55}^E & 0 \\ 0 & 0 & 0 & 0 & 0 & s_{66}^E = 2(s_{11}^E - s_{12}^E) \end{bmatrix} \begin{bmatrix} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \\ T_6 \end{bmatrix} + \begin{bmatrix} 0 & 0 & d_{31} \\ 0 & 0 & d_{32} \\ 0 & 0 & d_{33} \\ 0 & d_{24} & 0 \\ d_{15} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} E_1 \\ E_2 \\ E_3 \end{bmatrix}$$

# Unit of piezoelectric coefficient

- Unit of  $d_{33}$  is the unit of electric displacement over the unit of the stress. Thus

$$[d_{33}] = \frac{[D]}{[T]} = \frac{[\varepsilon][E]}{[T]} = \frac{\frac{F}{m} \frac{V}{m}}{\frac{N}{m^2}} = \frac{\text{Columb}}{N}$$



# Reverse piezoelectricity



- Strain as a function of applied field is governed by

$$\begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \varepsilon_3 \\ \varepsilon_4 \\ \varepsilon_5 \\ \varepsilon_6 \end{pmatrix} = \begin{pmatrix} 0 & 0 & d_{31} \\ 0 & 0 & d_{31} \\ 0 & 0 & d_{33} \\ 0 & d_{15} & 0 \\ d_{15} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} E_1 \\ E_2 \\ E_3 \end{pmatrix}$$

- Verify the unit  $[d] = \frac{[\varepsilon]}{[E]} = \frac{1}{\frac{V}{m}} = \frac{c}{c \cdot \left(\frac{V}{m}\right)} = \frac{C}{N}$

- charge multiplied by electric field is force.



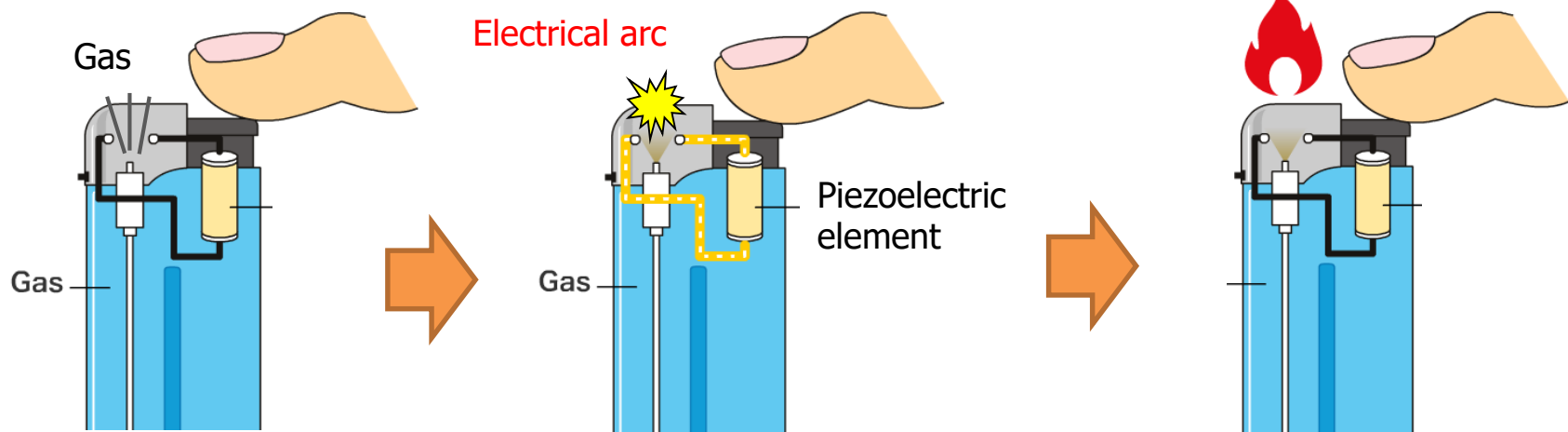
# PZT APPLICATIONS

# Piezo Ignition

- **Convert mechanical shock into electrical signals**
  - Sudden forceful deformation in piezo material produces a high voltage and subsequent electrical discharge, which ignites the gas

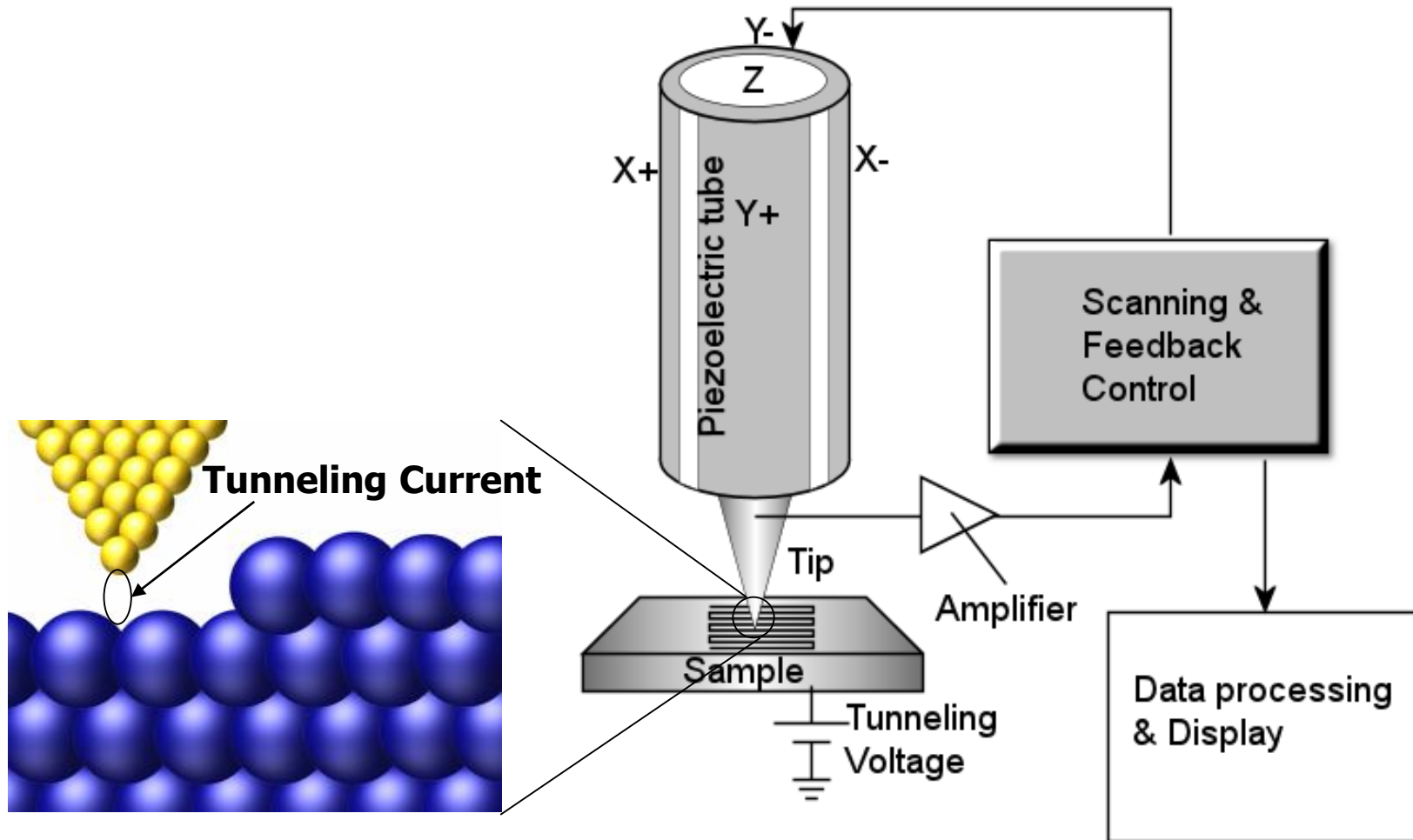


**Mechanical shock is converted to electricity**

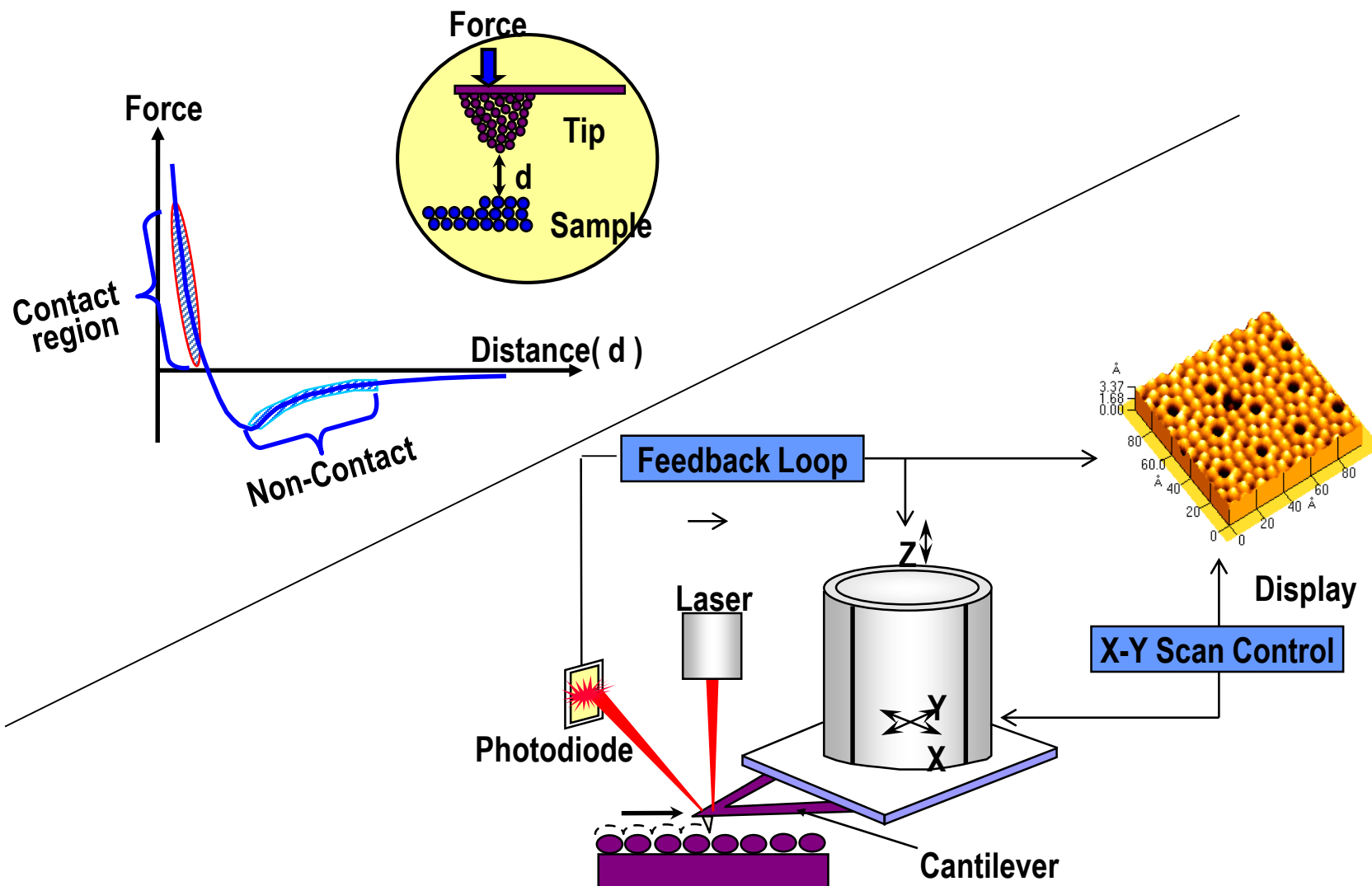


# Scanner for scanning probe microscopy

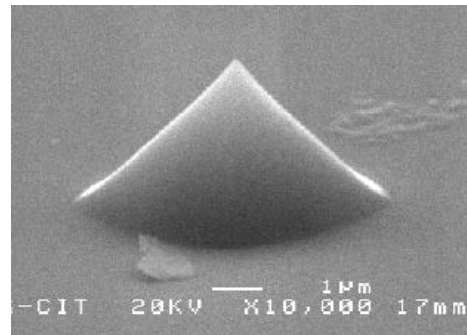
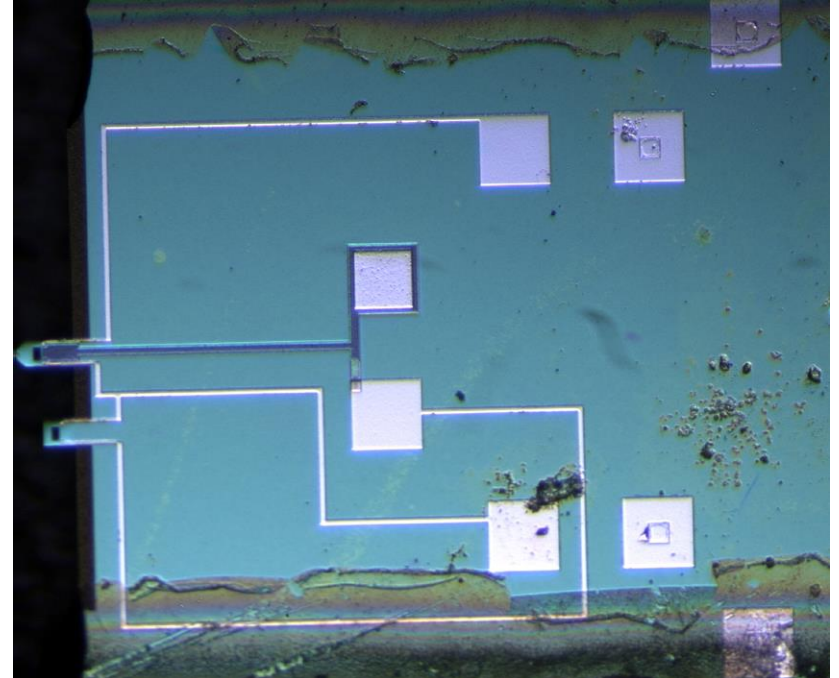
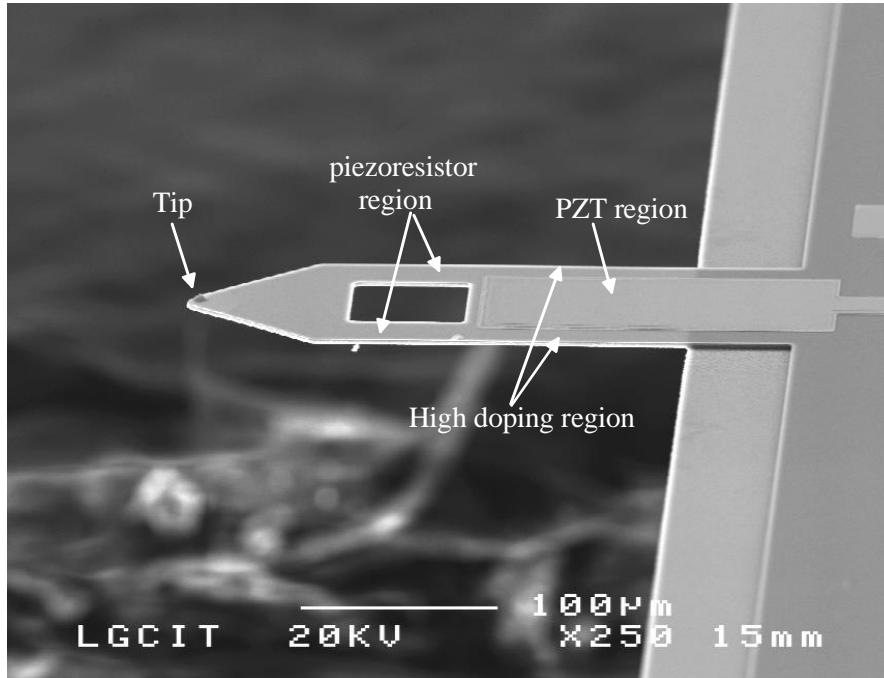
## Basic configuration of tube scanner for SPM applications



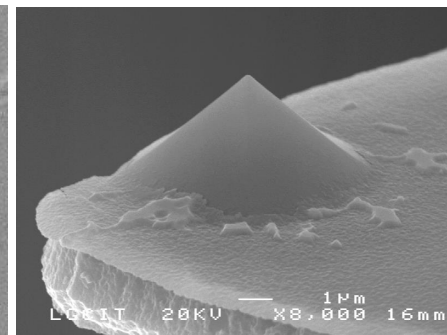
# Atomic Force Microscope (AFM)



# AFM Tip



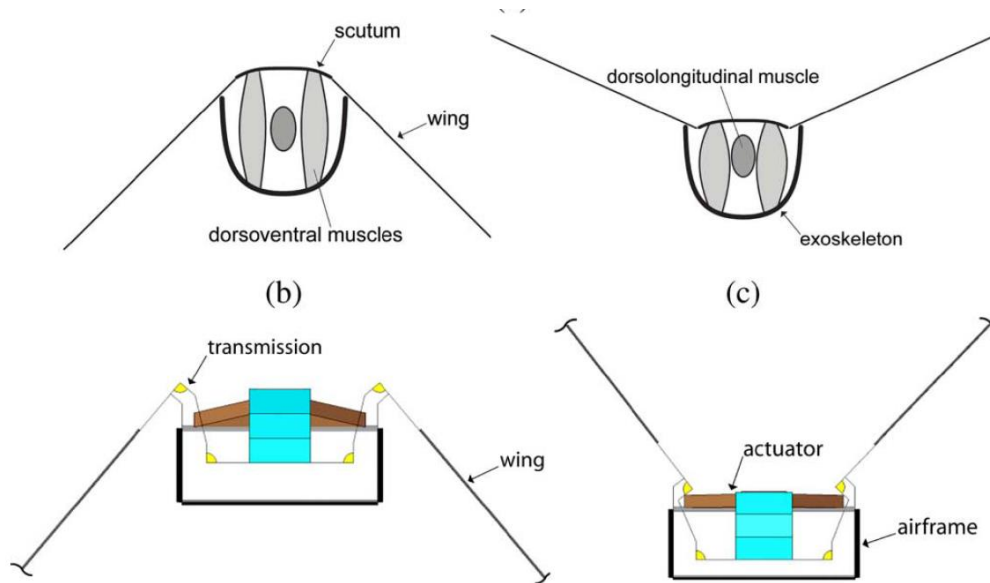
Before PZT process



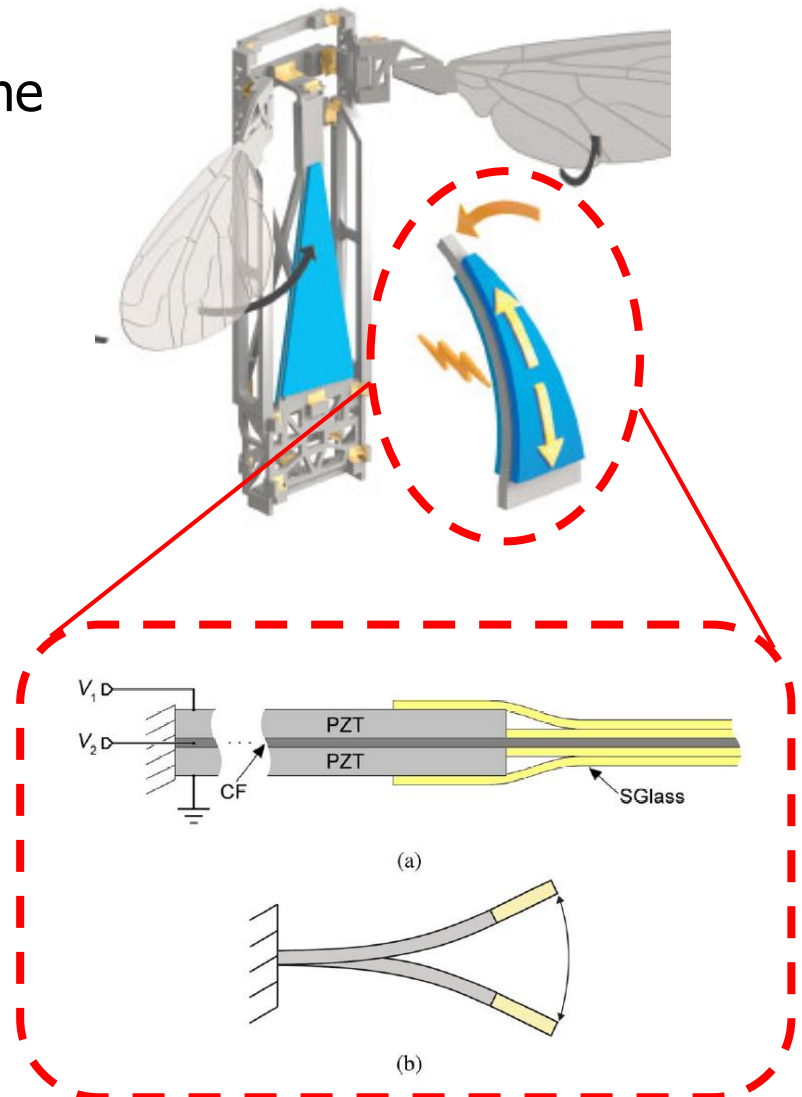
After PZT process

# Flying robot

- Harvard Microrobotic fly (Robobee)
  - The wings are driven open loop at the flapping resonance to maximize the stroke amplitude.



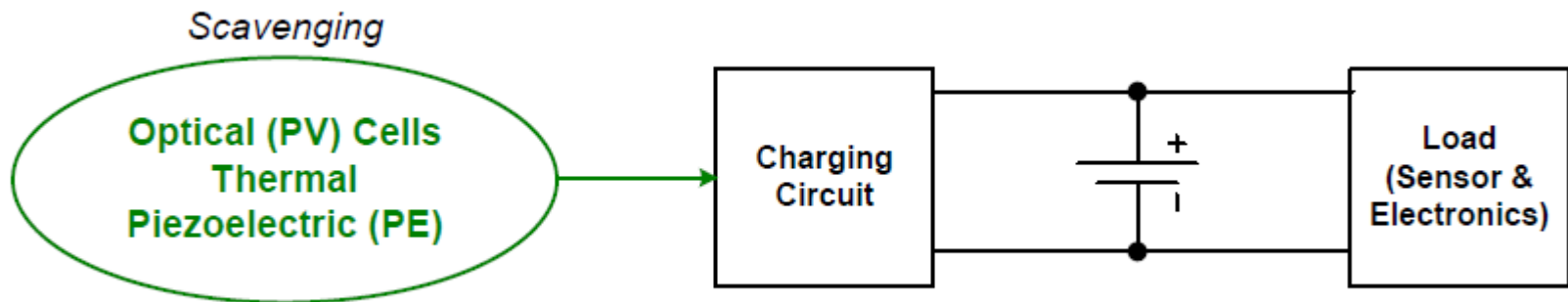
Actuating mechanism



# Energy Scavenging

## ▪ PE Energy Scavenging – Applications

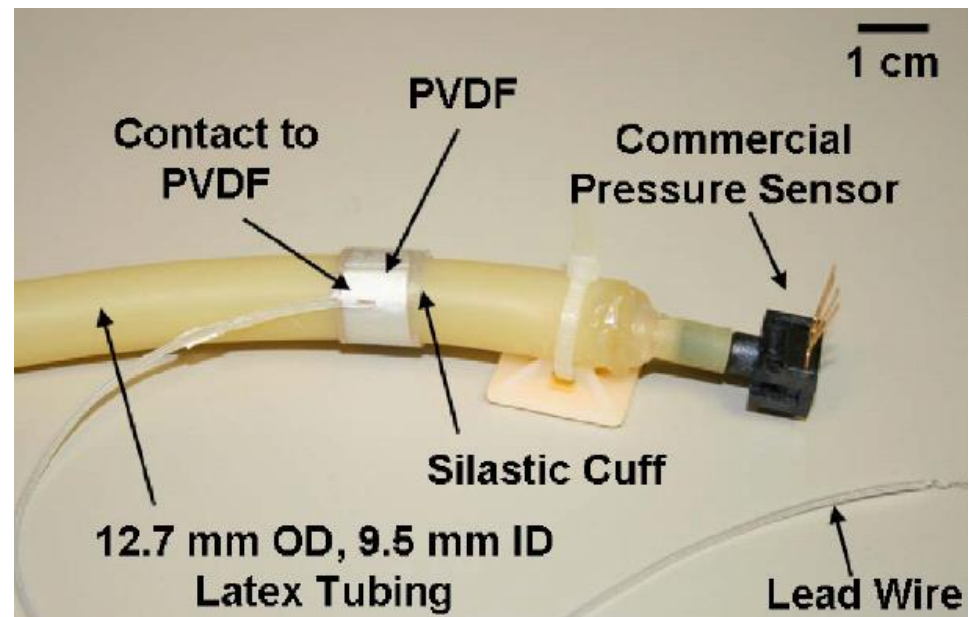
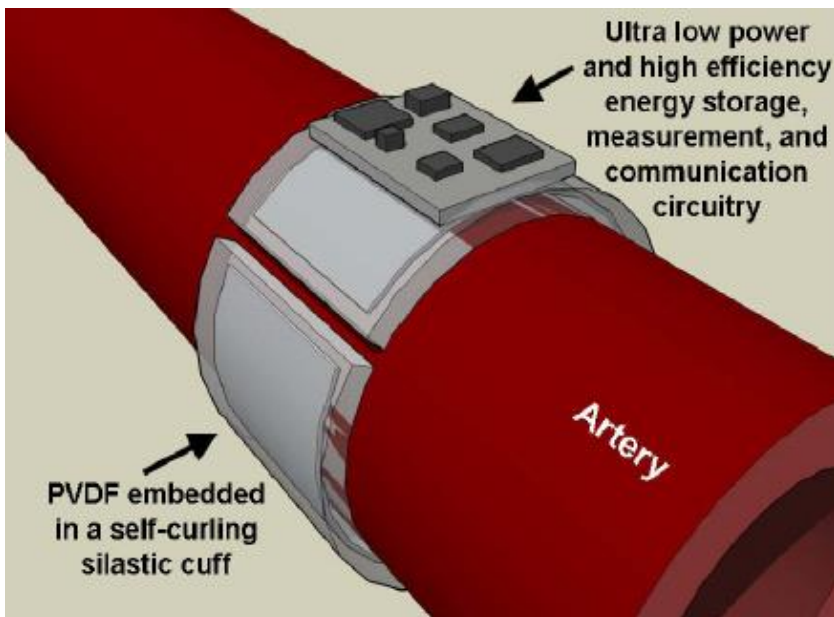
- Must match energy scavenging method to appropriate application/environment
- Thermal – heat source and sink
- PV – Light source
- PE – source of mechanical strain – vibration, pulsing flow, joint etc.
  - OR environments in which you can use PE as sensor AND energy source





# Arterial Cuff Energy Scavenging (ACES)

1. Blood vessel causes expansion of the artery
2. Artery expansion creates strain in the arterial wall ACES
3. Strain converted into power by piezoelectric



Concept of implanted arterial cuff power source integrated into a self-powered blood pressure sensing system

# Arterial Cuff Energy Scavenging (ACES)

## Open-ended thick-walled cylinder model for artery wall and cuff

$$\Delta P = \frac{E \left[ (r_i + t)^2 - r_i^2 \right]}{2r_i^3 \left[ (1 + \nu) + (1 + \nu) \frac{(r_i + t)^2}{r_i^2} \right]} \Delta D$$

where

$\Delta P$  is the change in the blood vessel

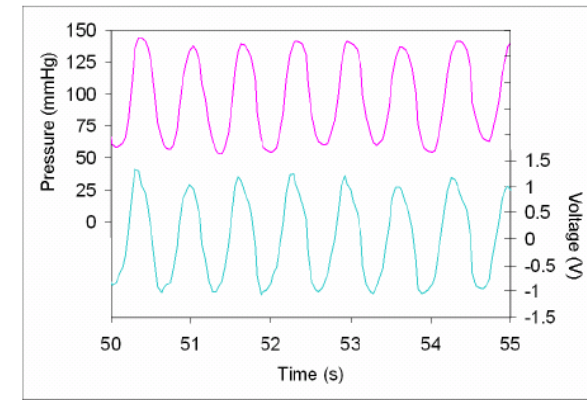
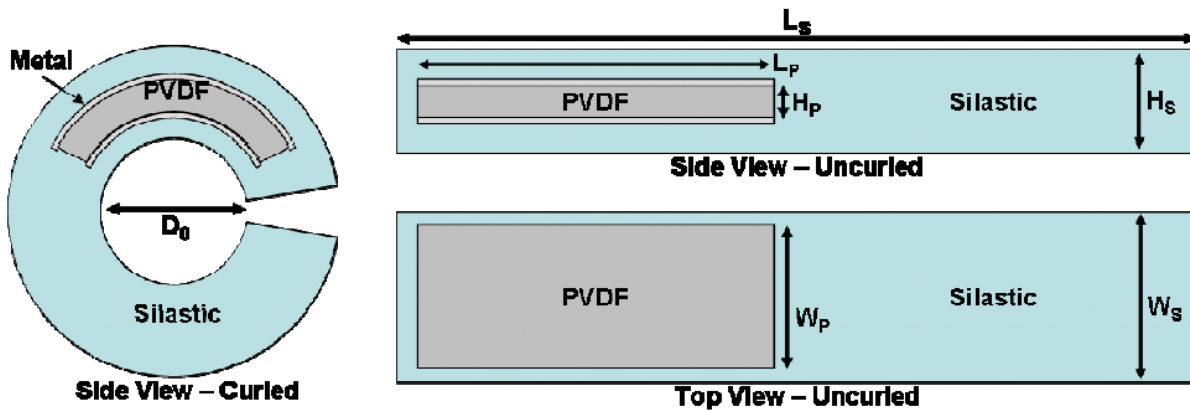
$\Delta D$  is the change in diameter

$E$  is the elastic modulus of the material

$\nu$  is the Poisson's ratio

$r_i$  is the initial radius

$t$  is the thickness of the layer



# Artificial disc energy scavenging

## ▪ Background

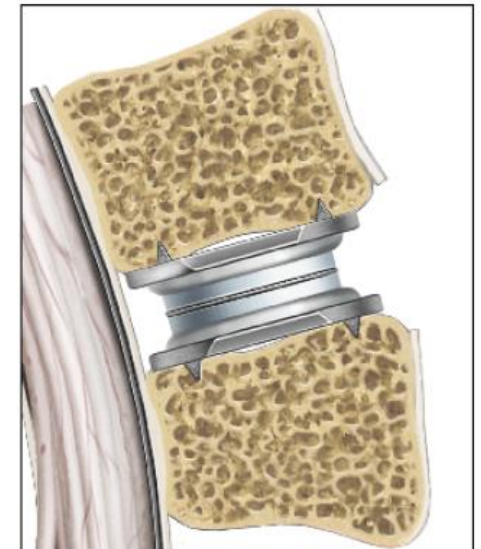
- Incentive for patients to avoid exposure to WBV (Whole Body Vibration), and learn what environments cause their pain

## ▪ Idea

- Include PE sensor/scavenger to record data on alignment, vibration intensity and frequency
- Doctors could examine data and compare to patient's notes on when back pain was present

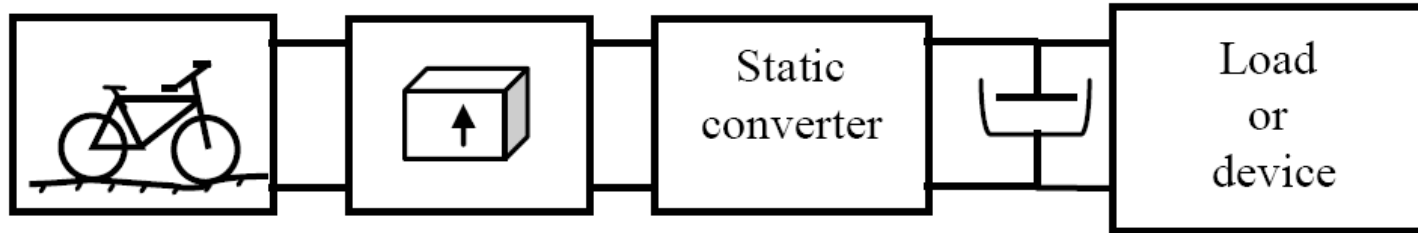
## ▪ Design

- Between the two metal plates that anchor to the bone is a rudder core made up of polyethylene that allows for motion



# Piezoelectric generator using vibration

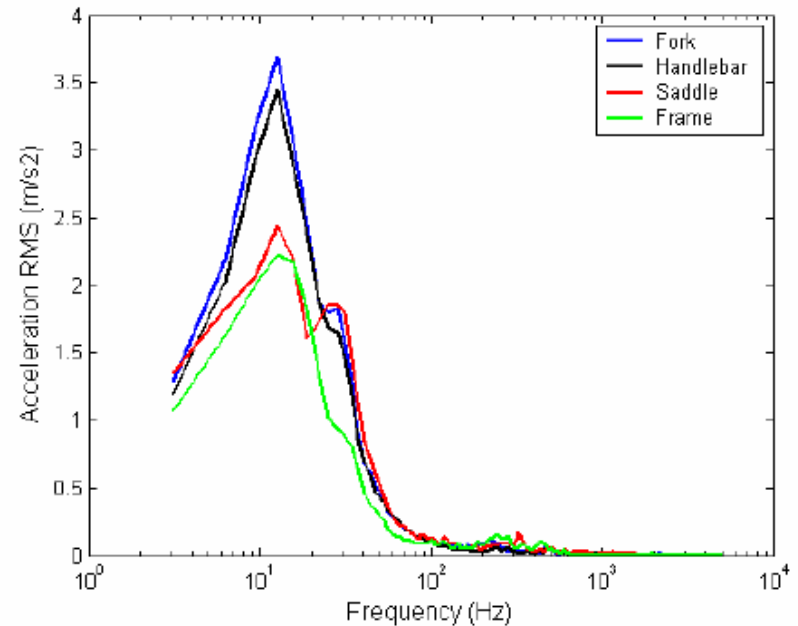
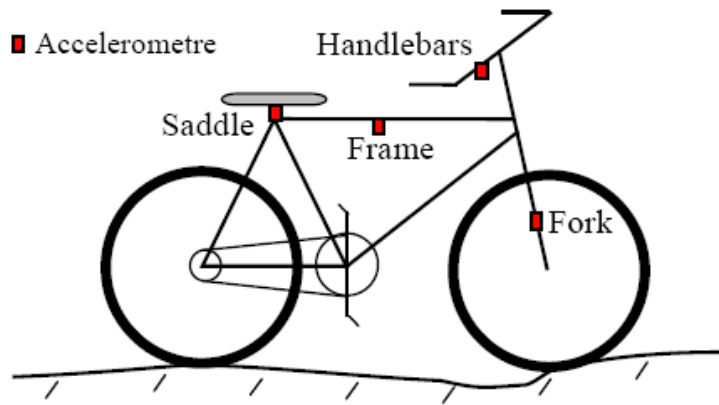
- Power generation using vibration energy harvesting



Mechanical vibrations

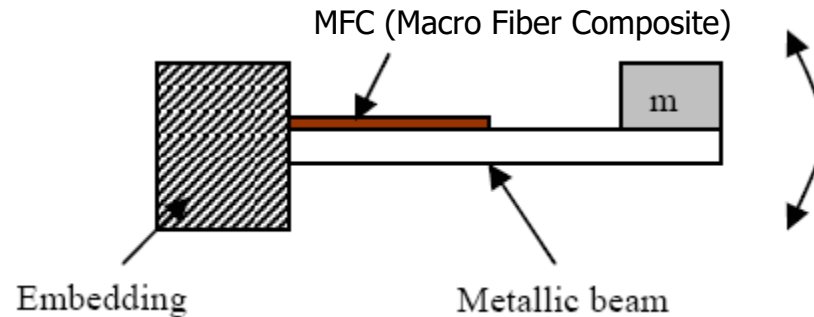
Piezoelectric material

Storage

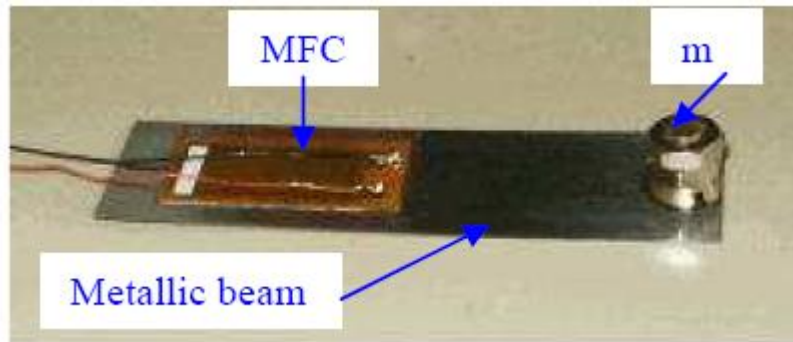


# Piezoelectric generator using vibration

- **Fabrication of piezoelectric power generator**



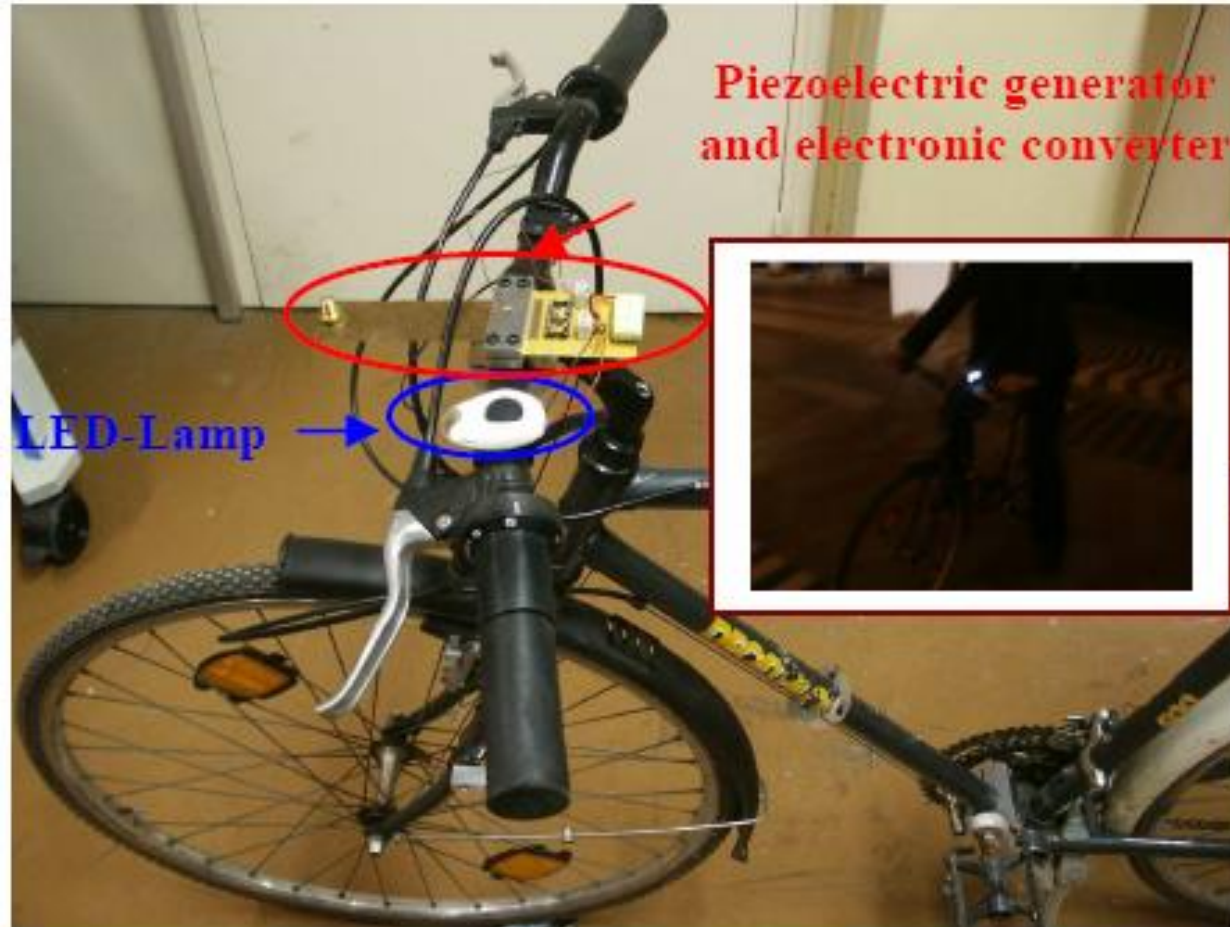
Metallic beam with piezoelectric element



Beam piezoelectric transducer using a MFC

# Piezoelectric generator using vibration

- Power up the lamp of bike





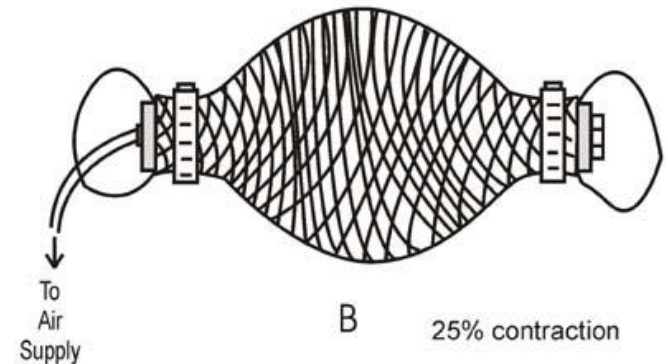
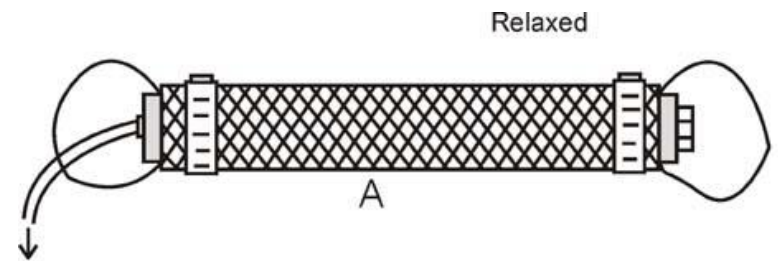
# **PNEUMATIC SOFT ACTUATOR**

# Pneumatic Artificial Muscle



- **McKibben air muscles (1950s)**

- Lightweight, easy to fabricate, are self limiting (have a maximum contraction) and have load-length curves similar to human muscle



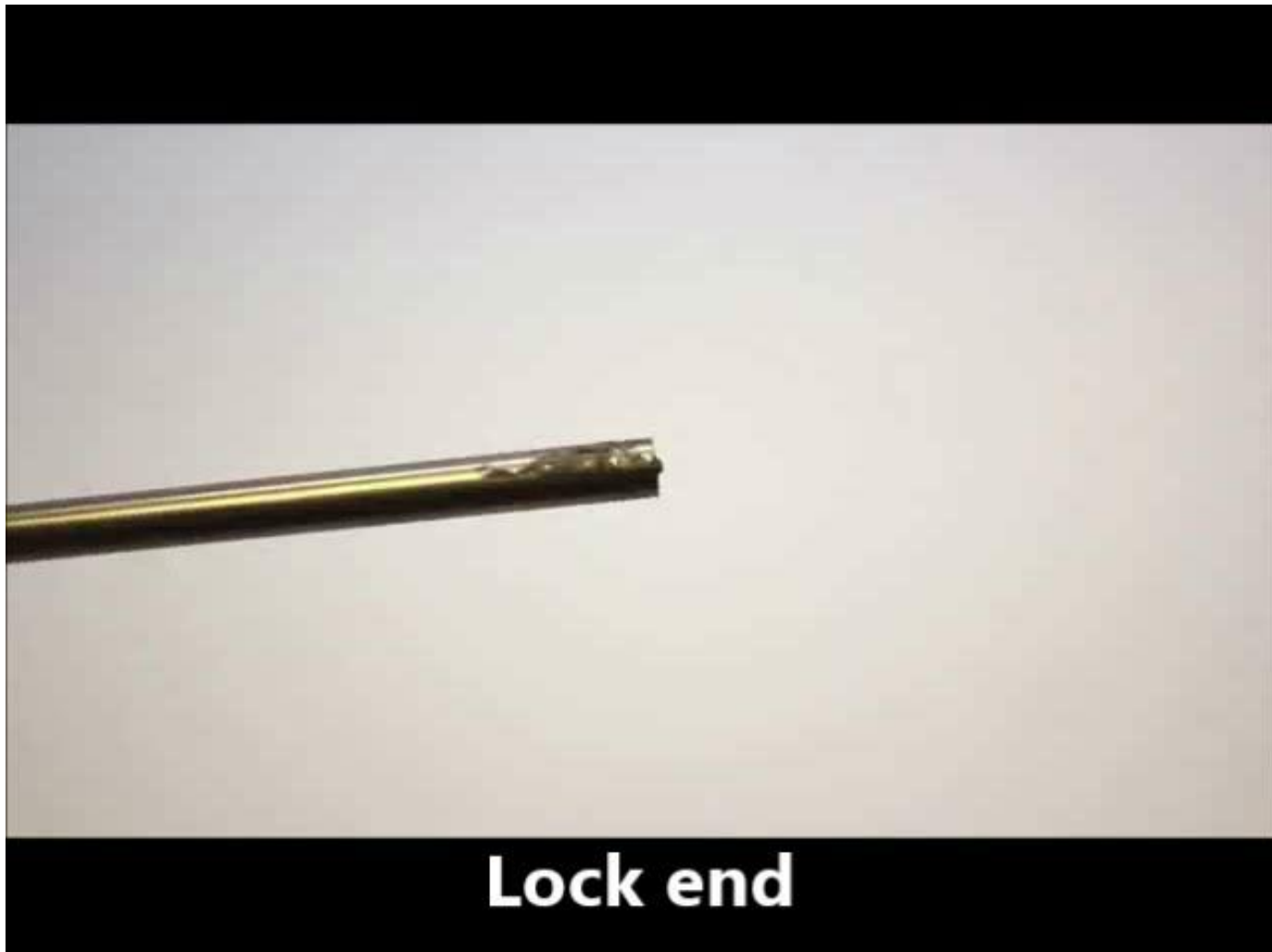
When muscle is pressurized (B), it can contract up to about 75 % of it's relaxed length



# Pneumatic Artificial Muscle



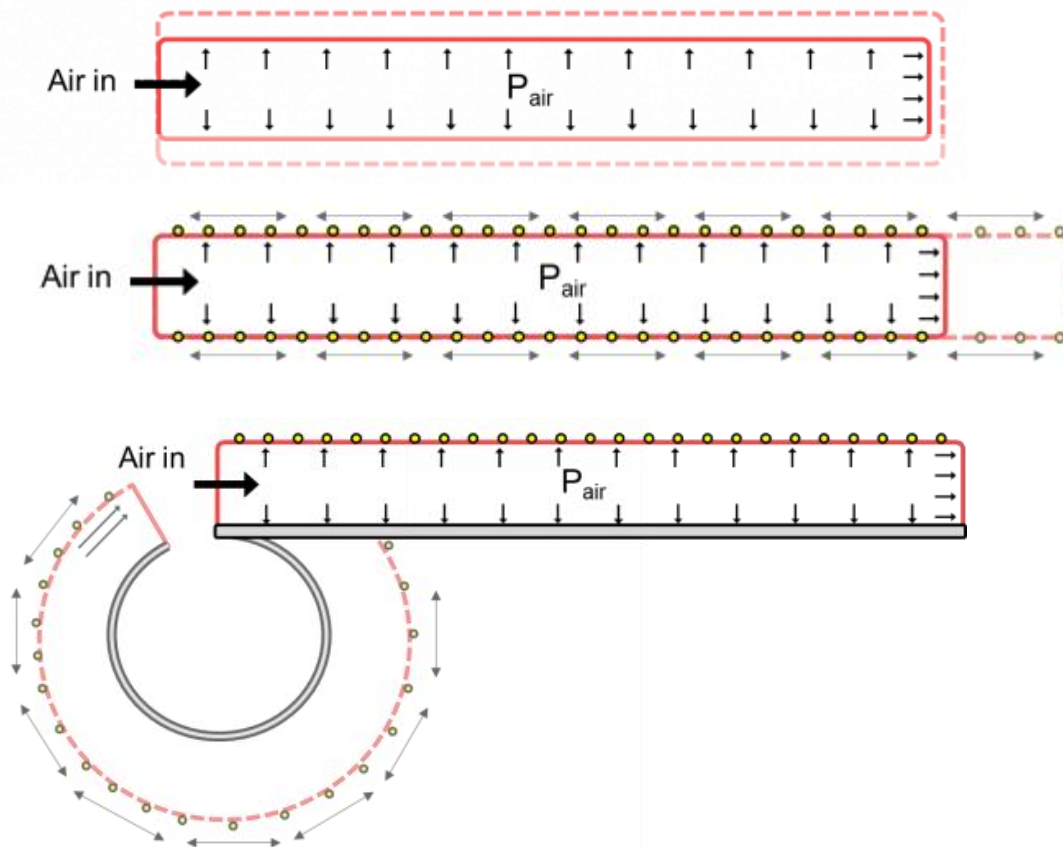
- **Fabrication**



**Lock end**

# Fiber-Reinforced Actuator

- **Elastomer bladder wrapped with inextensible reinforcements**



Actuating mechanism

Wrapping the bladder with inextensible fibers constrains it from expanding radially - expand in the axial direction

Adding a sheet of inextensible material makes bending

# Fiber-Reinforced Actuator



- **Fabrication**



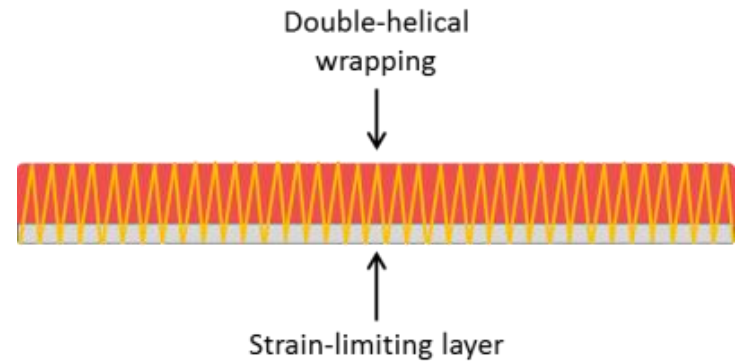
# Fiber-Reinforced Actuators



- **Motion design**

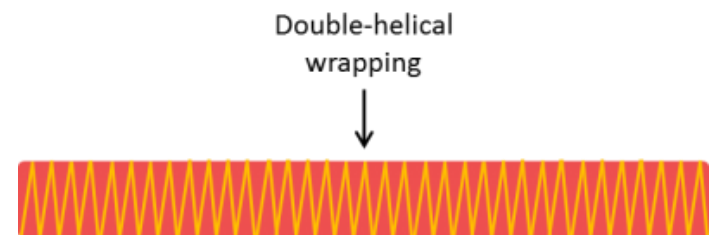
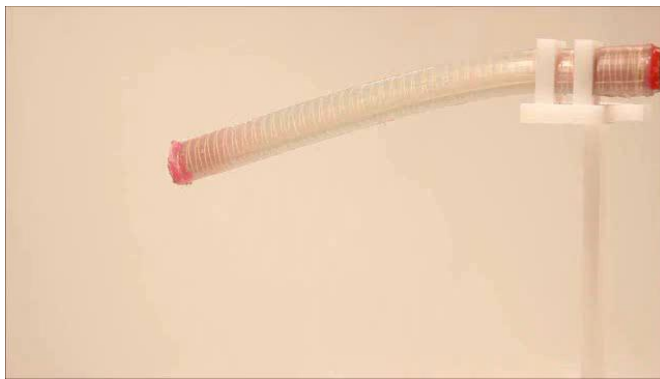
- Bending motion

- Wrapping the fiber reinforcement in a symmetrical, double-helix configuration



- Extending

- Symmetrical, double-helical fiber wrapping without inextensible layer



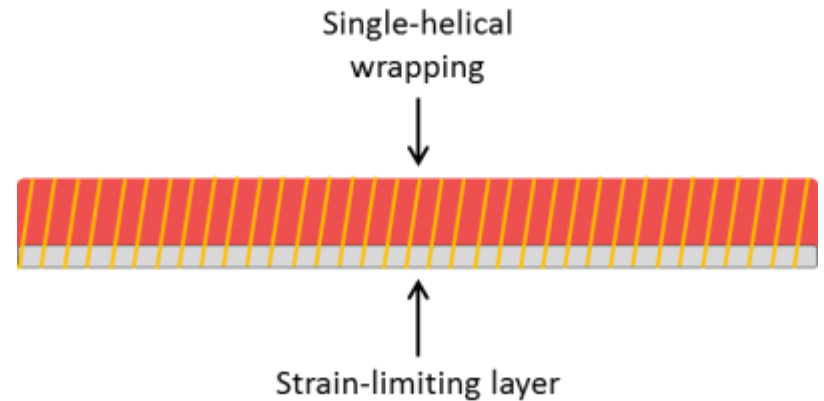
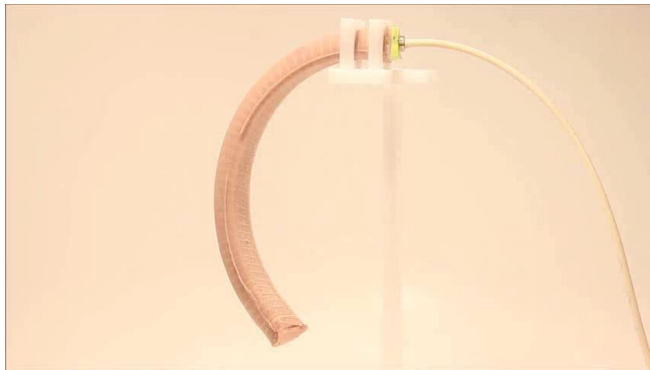
# Fiber-Reinforced Actuators



## ▪ Motion design

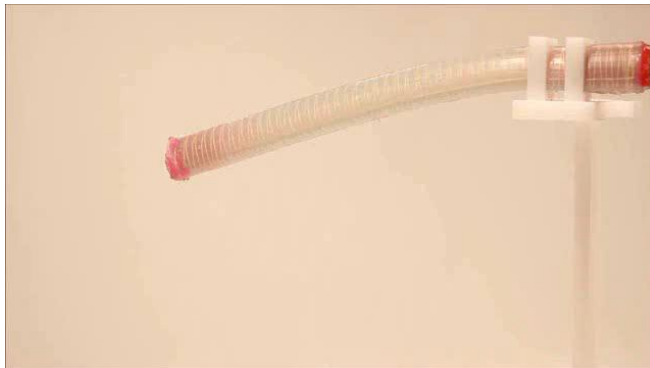
### ▪ Twisting and Bending

- Single-helical fiber wrapping combined with a strain-limiting layer



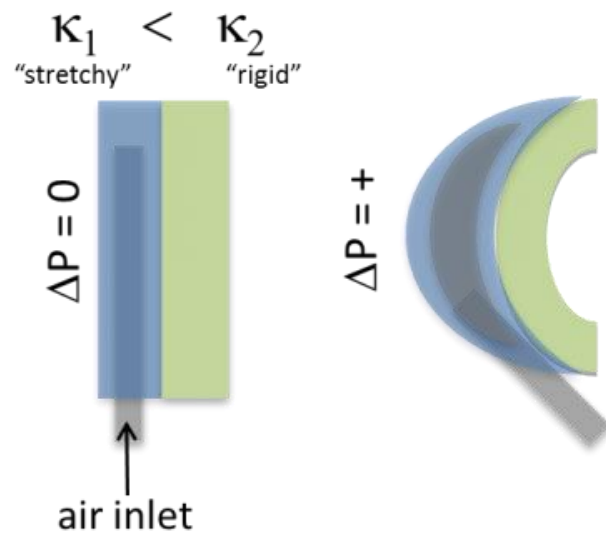
### ▪ Twisting and Extending

- Single-helical fiber wrapping with no strain-limiting layer

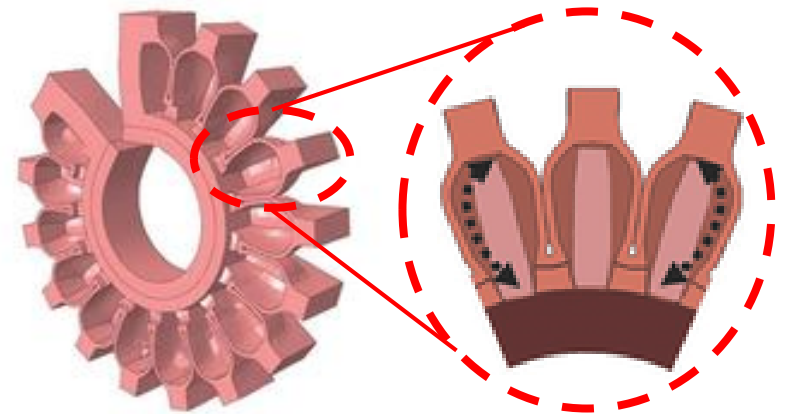


# PneuNets Bending actuator

- **A series of channels and chambers inside an elastomer**
  - Inflate when pressurized, creating motion
  - actuator is pressurized, expansion occurs in the most compliant (least stiff) regions
  - Different materials can be used in combination to enable further control over actuator behavior



Actuating mechanism of PneuNets actuator

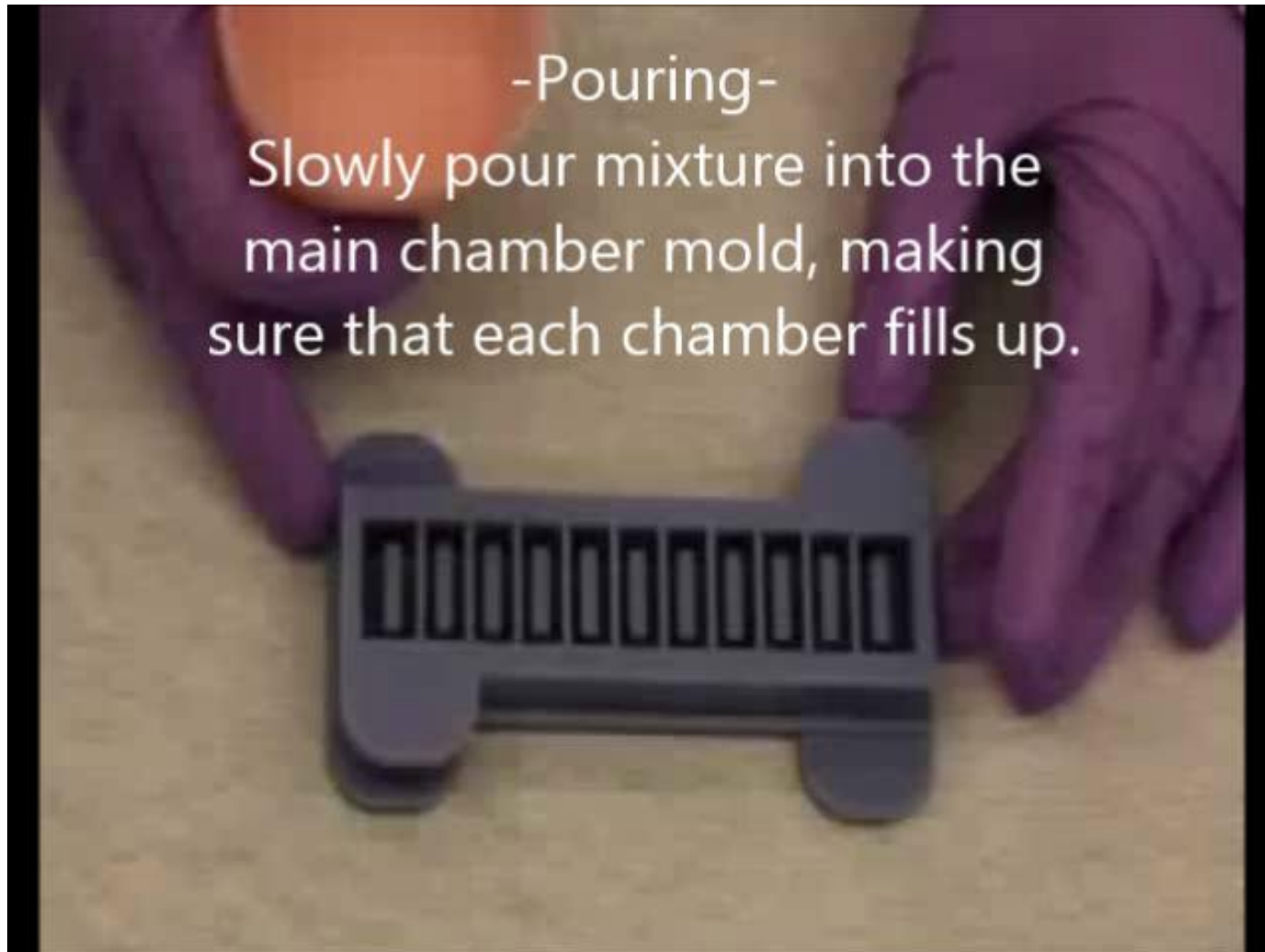


Example of actuating motion

# PneuNets Bending actuator



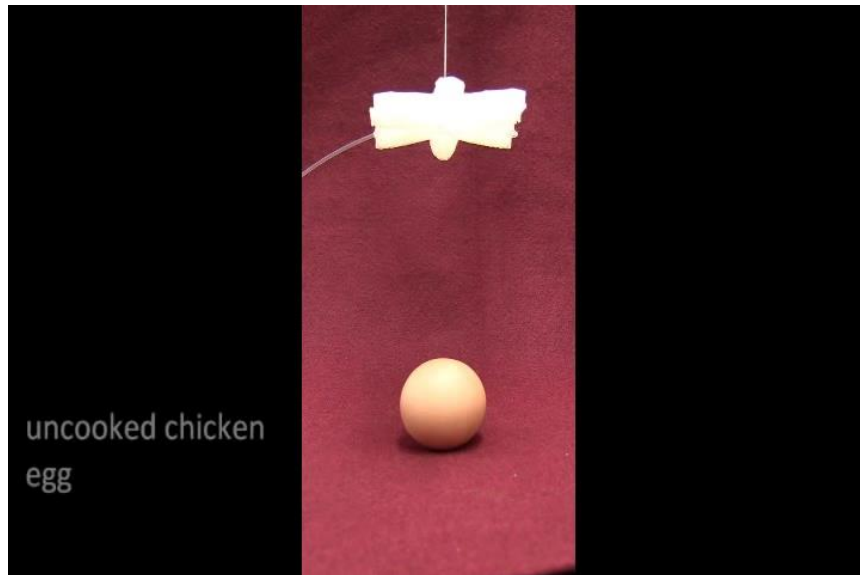
- **Fabrication**



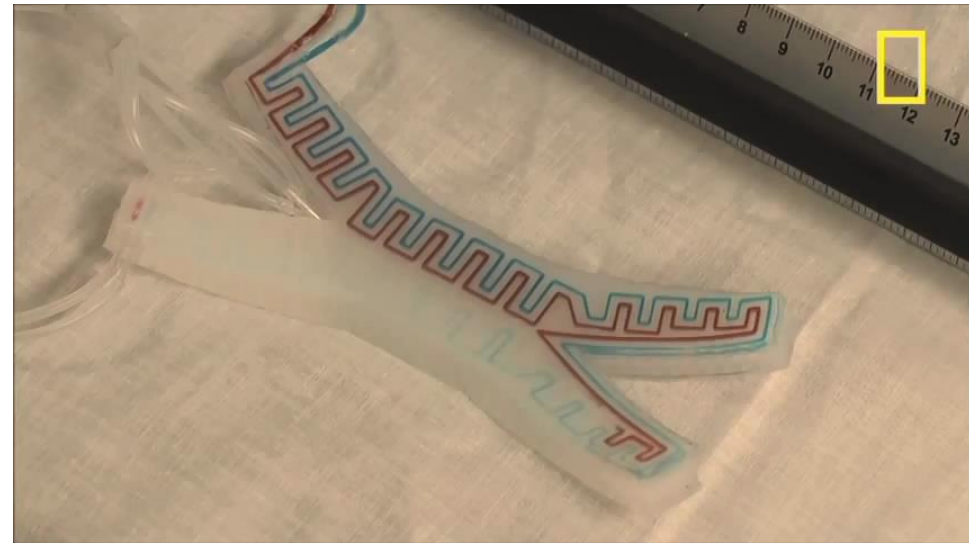
# PneuNets Bending actuator



- Application of PneuNets actuator



Soft gripper



Soft robot