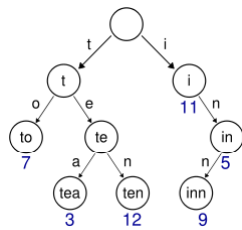


## A Compact B-tree

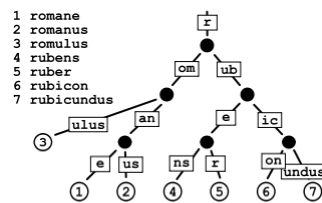
ACM SIGMOD, 2002  
 Peter Bumbulis, Ivan T. Bowman  
 Presented by Kyuseok Shim

## Patricia Tree(trie)

- ◆ Specialized set data structure based on the trie that is used to store a set of strings
- ◆ In contrast with a regular trie, the edges of a patricia trie are labelled with sequences of characters rather than with single character



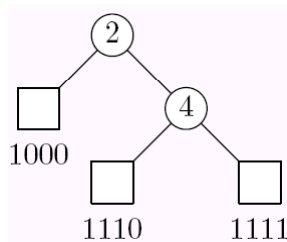
A trie for key {t,to,te,tea,ten,I,in,inn}



A patricia trie

## Patricia Tree in Binary

- ◆ Leaf nodes contain keys
- ◆ Internal nodes contain offset, left-pointer and right-pointer
  - Offset : bit offset, all leaves descended from a node must agree on the first  $d-1$  bits
  - Left-pointer : all leaves descended from the left child must have a '0' as the offset-th bit
  - Right-pointer : all leaves descended from the right child must have a '1' as the offset-th bit



$N(d,l,r)$   
 $d$  : offset  
 $l,r$  : left, right child pointer

A patricia trie for 1000,1110 and 1111

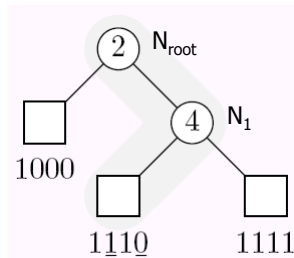
## Observation

- ◆ The bit offsets in the nodes must increase as we follow a path from the root to a leaf
- ◆ The number of internal nodes in a patricia tree is always one less than the number of leaves
- ◆ A leaf **a** is to the left of a leaf **b** iff the key in the **a** is less than the key in **b**
- ◆ The offset of the first bit at which two keys differ is the bit offset found in their lowest common ancestor

## Elementary Operations

### ◆ Blind search

- Given the key  $k_j$  stored in a leaf  $L$  doing a blind search from the root
- For encountered node  $N(d,l,r)$  and search key  $k$ , if  $k[d] = 0$ , we continue on to left-child  $l$ , otherwise right-child  $r$



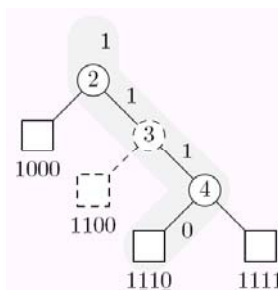
Blind search for 1110

1.  $N_{root}$  : offset 2  
k's 2nd bit is 1, go right-child  $N_1$
2.  $N_1$  : offset 4  
k's 4th bit is 0, go left-child
3. Check the key stored in leaf node we found is the search key(1110 = 1110)

## Elementary Operations

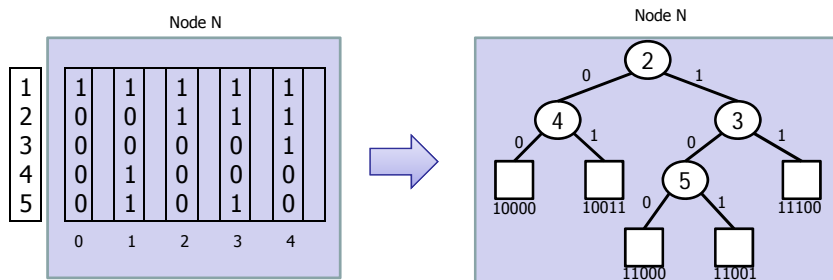
### ◆ Insert

- To insert a value  $k$ , do a blind search and find a key  $k_j$  in a leaf node
- $K$  must differ from  $k_j$  at some bit position, let  $d$  be the first differing bit position
- Encountered a node  $N(d',l',r')$  during the blind search with  $d' > d$ , then let the subtree  $s$  be the first such node, otherwise  $s$  be the leaf node found with blind search
- If  $k[d] = 0$ , insert a new node  $N(d,L(k),s)$   
If  $k[d] = 1$ , insert a new node  $N(d,s,L(k))$



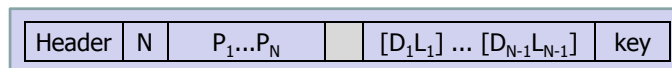
## Compact B-Tree

- ◆ Basic structure : B-tree structure
- ◆ Patricia tree within each node



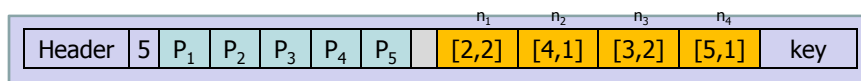
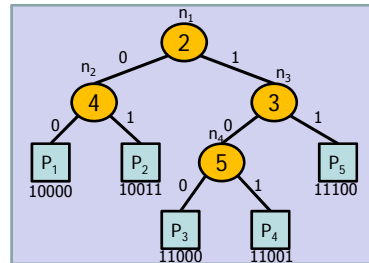
## Node Structure in Compact B-Tree

- ◆ Header : node information
- ◆ N : total number of leave
- ◆ Pointers
- ◆ Node information (internal only)
  - D : bit offset
  - L : number of leaves in the left subtree
  - Pre-order traversal
- ◆ Key : value associated with the pointer to this page in the parent page



## Node Structure in Compact B-Tree

### ◆ Example



## Node operations

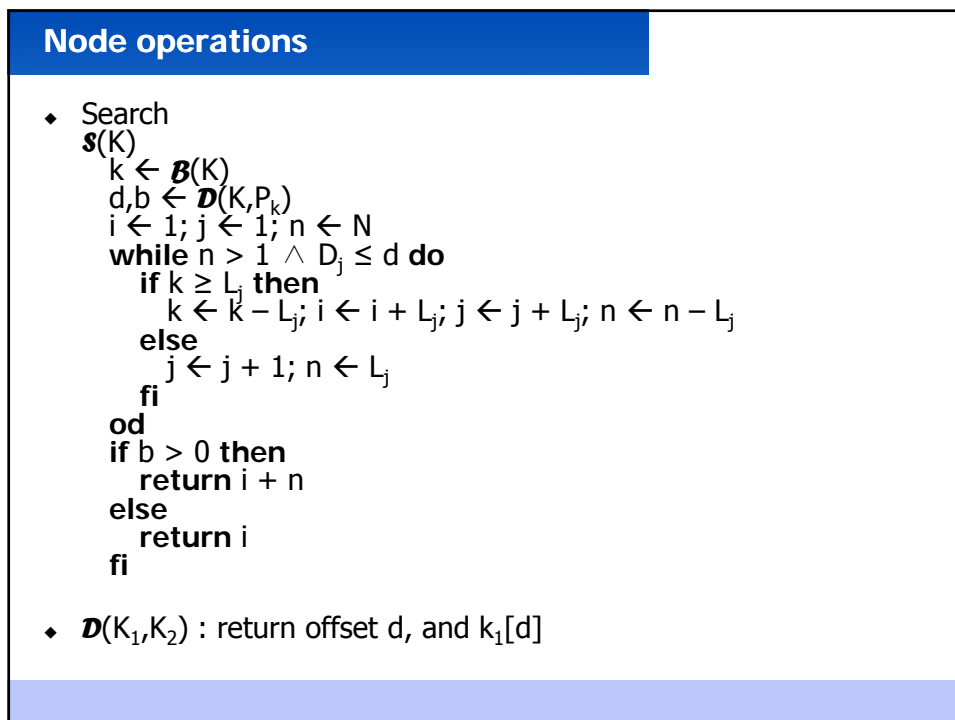
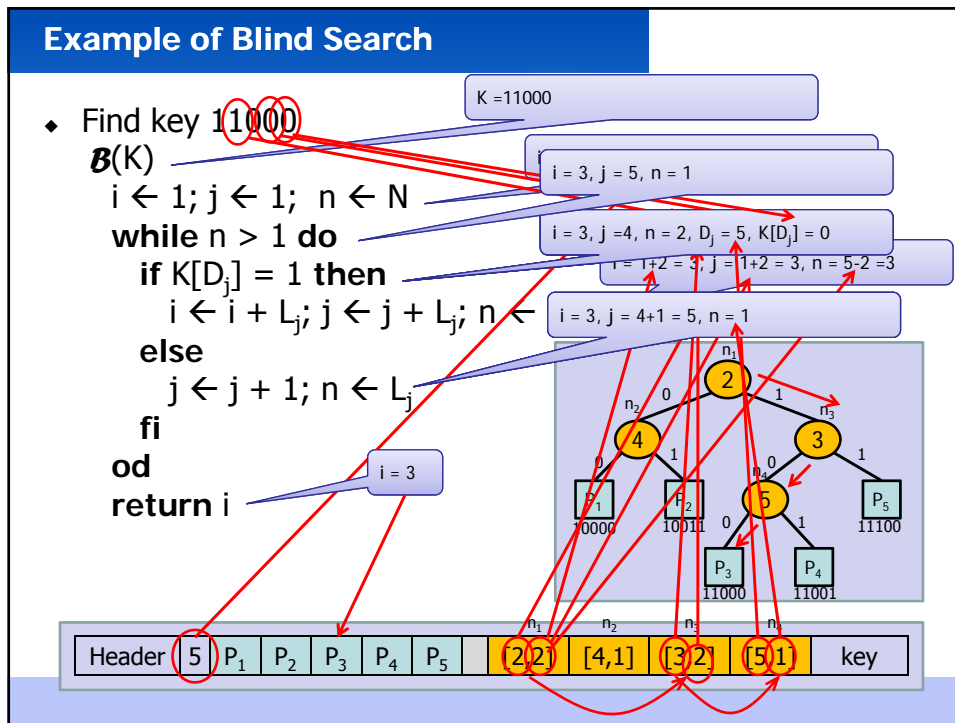
### ◆ Blind search

$\mathcal{B}(K)$

```

i ← 1; j ← 1; n ← N
while n > 1 do
  if  $K[D_j] = 1$  then
    i ← i + Lj; j ← j + Lj; n ← n - Lj
  else
    j ← j + 1; n ← Lj
  fi
od
return i
  
```

- ◆  $i$  : index of leftmost leaf
- ◆  $j$  : current visited node
- ◆  $n$  : number of leaves it contains



### Example of Search

♦ Find Key 10101

```

s(K)
k ←  $\mathcal{B}(K)$ 
d, b ←  $\mathcal{D}(K, P_k)$ 
i ← 1; j ← 1; n ← N
while n > 1 ∧  $D_j \leq d$  do
  if k ≥ Lj then
    k ← k - Lj; i ← i + Lj; j ← j + 1
  else
    j ← j + 1; n ← Lj
  fi
od
if b > 0 then
  return i + n
else
  return i
fi
  
```

K = 11000, k = 1

K = 11000, k = 1, P<sub>1</sub> = 10000, d = 3, b = 1

k = 1, d = 3, i = 1, j = 2, n = 2, D<sub>j</sub> = 4

k = 1, d = 3, i = 1, j = 1+1 = 2, n = 2, L<sub>j</sub> = 1

k b = 1, i = 1, j = 2, n = 2

**return** 3

Header

5

P<sub>1</sub>

P<sub>2</sub>

P<sub>3</sub>

P<sub>4</sub>

P<sub>5</sub>

[2,2]

[4,1]

[3,2]

[5,1]

key