#### 운영체제의 기초: CPU Scheduling

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#### Agenda

- Basic Concepts
- II. Scheduling Policies
- III. Fair Share Scheduling of Linux
- IV. Summary



#### I. Basic Concepts



#### Until now...

- You have heard about processes
  - Process implementation
  - Process dispatching



# **Resource Scheduling in General (1)**

From now on, you'll hear a lot about resources

- Resources are things used or operated upon by processes
- Example: CPU time, disk space, network channel time
- Resources fall into two classes
  - $\rightarrow$  Distinction is a little arbitrary, like (non-)breakable, though
  - Preemptible
    - Can take resource away, use it for something else, then give it back later
    - Examples: Processor or disk
  - Non-preemptible
    - Once given, it can't be reused until process gives it back
    - Examples: File space, terminal



## **Resource Scheduling in General (2)**

- OS makes two related kinds of decisions about resources
  - Who gets it next?
  - How long can they keep it?
- Resource #1 to examine:
  - The processor



6

### **Entities Involved in Scheduling**

#### Multiprogramming

- OS allows more than one process to be loaded into memory
- Such processes share CPU thru time-multiplexing



# **CPU Burst (1)**

- In multiprogramming, OS alternates code execution and I/O operations to maximize CPU utilization
  - CPU-I/O burst cycle
    - Process execution consists of a cycle of CPU execution and I/O wait
    - CPU burst distribution varies significantly

*CPU burst* is the entity participating in CPU scheduling in most modern operating systems



# CPU Burst (2)

#### Alternating CPU and I/O bursts





### **CPU Burst (3)**

Histogram of CPU burst times



Burst Duration (milliseconds)



# **CPU Scheduler (1)**

- Selects one among the processes in memory that are ready to execute and allocates the CPU to the selected one
- CPU scheduling decisions may take place when a process:
  - 1. Switches from running to waiting state
  - 2. Switches from running to ready state
  - 3. Switches from waiting to ready
  - 4. Terminates
  - Scheduling under the 1<sup>st</sup> and 4<sup>th</sup> is nonpreemptive
  - All other scheduling is preemptive



# **CPU Scheduler (2)**

Processes may be in any of three scheduling states

- Running
  - Has the CPU
- Ready
  - Wants the CPU
- Waiting (Blocked)
  - Waiting for some event (disk I/O, message, semaphore, etc.)



### **CPU Scheduler (3)**

Process scheduling = Process state transition



13

#### Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves
  - Switching context
  - Switching to user mode
  - Jumping to the proper location in the user program to restart that program
- Dispatch latency
  - Time it takes for the dispatcher to stop one process and start another running



#### **II. Scheduling Policies**



## **Scheduling Objectives**

- Maximize resource utilization
  - Keep the CPU and I/O devices as busy as possible
- Minimize overhead
- Minimize context switches
- Distribute CPU cycles equitably



### **Optimization Metrics**

- Throughput
  - # of processes that complete their execution per time unit
- Turnaround time
  - Amount of time to execute a particular process
- Waiting time
  - Amount of time a process has been waiting in the ready queue
- 💠 Response time
  - Amount of time it takes from when a request was submitted until the first response is produced, not output (for time sharing environment)



## **Scheduling Policies**

- Policies used by the CPU scheduler
- Scheduling disciplines
  - FIFO (FCFS), RR, SJF, MLFQ (EQ), etc.



# 1. First In First Out (1)

#### Key ideas

- Let the first one run until finish
- Also called First Come Fist Served (FCFS)
- In the simplest case, this means uniprogramming
- Usually, "finished" means "blocked"
  - One process can use CPU while another waits on a semaphore
  - Go to the back of run queue when ready
- Problem
  - One process can monopolize CPU
- Solution
  - Limit maximum amount of time that a process can run without a context switch
  - This time is called a "time slice"



# 1. First In First Out (2)

Process	Burst Time
P <sub>1</sub>	24
P <sub>2</sub>	3
P <sub>3</sub>	3

- Suppose processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ 
  - Gantt Chart for the schedule is:



- Waiting time for  $P_1 = 0$ ;  $P_2 = 24$ ;  $P_3 = 27$
- Average waiting time: (0 + 24 + 27) / 3 = 17



# **1. First In First Out (3)**

- Suppose processes arrive in the order:  $P_2$ ,  $P_3$ ,  $P_1$ 
  - Gantt Chart for the schedule is:



- Waiting time for  $P_1 = 6; P_2 = 0; P_3 = 3$
- Average waiting time: (6 + 0 + 3) / 3 = 3
- Much better than previous case
- Convoy effect: short process behind long process



### 2. Shortest Job First (1)

- Key operations
  - Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time
- SJF is optimal
  - Gives the minimum average waiting time for a given set of processes



## 2. Shortest Job First (2)

#### Two variations

- Nonpreemptive
  - Once CPU is given to a process, it cannot be preempted until it completes its CPU burst
- Preemptive
  - If a new process arrives with CPU burst length less than remaining time of current executing process, preempt it
  - This scheme is know as the Shortest Remaining Time First (SRTF) or Shortest Time to Completion First (STCF)



### 2. Shortest Job First (3)

Process	Arrival Time	Burst Time
P <sub>1</sub>	0	7
P <sub>2</sub>	2	4
P <sub>3</sub>	4	1
P <sub>4</sub>	5	4

#### SJF (nonpreemptive)



• Average waiting time = (0 + 6 + 3 + 7) / 4 = 4



### 2. Shortest Job First (4)

Process	Arrival Time	Burst Time
P <sub>1</sub>	0	7
P <sub>2</sub>	2	4
P <sub>3</sub>	4	1
P <sub>4</sub>	5	4

#### SJF (preemptive)



• Average waiting time = (9 + 1 + 0 + 2) / 4 = 3



### 2. Shortest Job First (5)

- Challenge: Predicting the next CPU burst size
  - One can only estimate the length
    - Done by using the length of previous CPU bursts via exponential smoothing using exponential moving average
  - Exponential smoothing
    - First suggested by Robert Goodell Brown in 1956
  - Exponential moving average
    - Define  $\tau_{n+1} = \alpha t_n + (1 \alpha) \tau_n$  where
      - $\tau_{n+1}$  = predicted value for the next CPU burst
      - $t_n$  = actual length of  $n^{th}$  CPU burst
      - $\alpha$ ,  $0 \le \alpha \le 1$ : called "smoothing factor"



### 2. Shortest Job First (6)

- Exponential moving average (cont'd)
  - α = 0
    - $\quad \tau_{n+1} = \tau_n$
    - Recent history does not count
  - α = 1

$$- \quad \tau_{n+1} = t_n$$

- Only the actual last CPU burst counts
- If we expand the formula, we get

$$τ_{n+1} = \alpha t_n + (1 - \alpha) \alpha t_{n-1} + ... + (1 - \alpha)^j \alpha t_{n-j} + ... + (1 - \alpha)^n t_0$$

• Since both  $\alpha$  and (1 -  $\alpha$ ) are less than or equal to 1, each successive term has less weight than its predecessor



### 2. Shortest Job First (7)

Exponential moving average (cont'd)



"guess" (*T<sub>i</sub>*) 10 8 6 6 5 9 11 12 …



# 3. Round Robin (1)

#### ∻ Key ideas

- Run a process for one time slice
- Then move it to the back of the runqueue
- Each process gets equal share of the CPU
- Most systems use some variant of this
- Often implemented with priorities
  - Run highest-priority processes first
  - Round robin among processes of equal priority
  - Re-insert process into the runqueue behind all processes of greater or equal priority



#### **II.** Scheduling Policies

# 3. Round Robin (2)

- Key question
  - What happens if the time slice isn't chosen carefully?
    - Too long:
      - A process can monopolize the CPU
    - Too short:
      - Too much context switch overhead
- Time slice selection
  - Originally, Unix had 1 second time slices
    - Too long
  - Current systems have time slices of around 1~10 ms



# 3. Round Robin (3)

#### Comparing RR with FIFO

Process	Burst Time
<b>P</b> <sub>1</sub>	10
P <sub>2</sub>	1

- Gantt chart with FIFO
  - Waiting time for  $P_1 = 0$ ;  $P_2 = 10$  (average waiting time = 5)



- Gantt chart with RR
  - Waiting time for  $P_1 = 1$ ;  $P_2 = 1$  (average waiting time = 1)



# 3. Round Robin (4)

#### Comparing RR with FIFO

Process	Burst Time
P <sub>1</sub>	5
P <sub>2</sub>	5

- Gantt chart with FIFO
  - Waiting time for  $P_1 = 0$ ;  $P_2 = 5$  (average waiting time = 2.5)



- Gantt chart with RR
  - Waiting time for  $P_1 = 4$ ;  $P_2 = 5$  (average waiting time = 4.5)



# 3. Round Robin (5)

#### Question: "Is FIFO distinct from RR?"

- Answer: NO
- We can unify FIFO with RR





# 3. Round Robin (6)

- Can we find the right size for the time slice?
  - Consider two processes
    - P<sub>1</sub>: runs for 1 ms then waits for I/O for 10 ms
      - Represents I/O-intensive workload
    - $P_2$ : no waiting, runs continuously
      - Represents CPU-intensive workload
  - Consider two execution scenarios
    - 1. Round robin with a 100 ms time slice
    - 2. Round robin with a 1 ms time slice



# 3. Round Robin (7)

- Scenario 1
  - Round robin with a 100 ms time slice



- $U_{CPU} = 100\%$
- $U_{I0} = 10/101 \approx 10\%$



# 3. Round Robin (8)

- Scenario 2
  - Round robin with a 1 ms time slice



- $U_{CPU} = 100\%$
- $U_{I0} = 10/11 \approx 91\%$


## 3. Round Robin (9)

- Analyzing the two execution scenarios
  - 1. Round robin with a 100 ms time slice
    - I/O process *runs at 1/10<sup>th</sup> speed*
    - I/O devices are only 10% utilized
  - 2. Round robin with a 1 ms time slice
    - I/O process runs at full speed
    - CPU process suffers from 9 unwanted interrupts out of 10
- Revisit the question
  - Can we find the right size for the time slice?
    - It depends on the type of process



### 4. Multi-level Feedback Queue (1)

#### Idea development behind MLFQ

- STCF works quite nicely
- Unfortunately, STCF requires knowledge of the future
  - Must use past behavior to predict future behavior
  - Example:
    - Long running process will probably take a long time more often
- Use the dispatcher's priority mechanisms to disfavor long running processes



#### 4. Multi-level Feedback Queue (2)

Idea development behind MLFQ (cont'd)

- Classify I/O processes and CPU processes
- Assign higher priority to I/O processes
- Give longer time slices to CPU processes



### 4. Multi-level Feedback Queue (3)

- Multi-level feedback queue scheduling
  - AKA exponential queues scheduling
  - Gives newly runnable processes a high priority and a very short time slice
    - Assumes new processes are I/O-intensive
  - If a process uses up the time slice without blocking
    - Decreases its priority by 1
    - Doubles time slice for the next round



#### 4. Multi-level Feedback Queue (4)

Runqueue structure of MLFQ





#### 4. Multi-level Feedback Queue (5)

- Example:
  - P<sub>1</sub> runs for 1 ms and blocks
  - P<sub>2</sub> runs for 1 ms and doesn't block
    - $P_2$  gets priority 1, time slice 2
  - $P_2$  runs for 2 ms and doesn't block
    - $P_2$  gets priority 2, time slice 4
  - $P_2$  runs for 4 ms and doesn't block
    - $P_2$  gets priority 3, time slice 8
  - $P_2$  runs for 3 ms and gets preempted
  - $P_1$  runs for 1 ms and blocks
  - $P_2$  runs for 8 ms
  - .....
  - $P_1$  runs for 1 ms and blocks
  - $P_2$  runs until  $P_1$  is ready and preempts it



#### 4. Multi-level Feedback Queue (6)

- Techniques like this one are called adaptive
  - Common in interactive systems.
- The CTSS system (MIT around 1962) was the first to use exponential queues



### 5. Fair Share Scheduling

- Fair share scheduling (similar to what's implemented in Unix)
  - Keep history of recent CPU usage for each process
  - Give highest priority to process that has used the least CPU time recently
    - Highly interactive jobs, like editors, will use little CPU and get high priority
    - CPU-bound jobs, like compilers, will get lower priority
  - Can adjust priorities by changing "billing factors" for processes
    - E.g., to make high-priority process, only use half its recent CPU usage in computing history



#### **III. Fair Share Scheduling of Linux**



#### **III.** Fair Share Scheduling of Linux

#### What is Fair Share Scheduling? The Simpsons



46

### Why Fair Share Scheduling?

#### Many application domains need fairness guarantees



## Formulation (1)

#### Terminology

N	The number of tasks in the system
Ф	Set of tasks $\Phi = \{\tau_1, \tau_2, \tau_3, \dots, \tau_N\}$
$W(\tau_i)$	Weight (share) of task $\tau_i$ Numerical value which denotes a task $\tau_i$ 's relative importance
$S_{\Phi}$	Weight sum of tasks in $\Phi$
$T_{\tau_i}(t_1,t_2)$	Time slice (=share) of task $\tau_i$ Amount of CPU time for which task $\tau_i$ is allowed to occupy CPU in a given interval (t <sub>1</sub> , t <sub>2</sub> )
$C_{\tau_i}(t_1, t_2)$	The amount of CPU time received by task $\tau_i$ during the time interval ( $t_1$ , $t_2$ )



### Formulation (2)

#### Goal of fair share scheduling

- Given a set of tasks with associated weights, a fair share scheduler should allocate resources to each task in proportion to its respective weight
  - Scheduler is perfectly fair if the following equation holds





#### **III.** Fair Share Scheduling of Linux

## 1. Generalized Processor Sharing (GPS) (1)

- Idealized scheduling algorithm that achieves perfect fairness
  - For any interval  $[t_1, t_2]$  and for any task  $\tau_i \in \Phi$ , GPS always satisfies an equation (1)
  - Serve CPU to tasks in a round robin fashion
  - Schedule with infinitesimally small time quanta
    - Impossible to implement it since it assumes fluid-flow system

	W(τ <sub>i</sub> )	Arrival time(ms)	Service time(ms)
$ au_1$	4	0	48
$ au_2$	2	0	48
$ au_3$	1	0	36
$ au_4$	1	24	24





#### **III.** Fair Share Scheduling of Linux

## 1. Generalized Processor Sharing (GPS) (2)



#### **Fairness Measurement**

#### CPU time lag

- Assume that task τ<sub>i</sub> has a fixed weight W(τ<sub>i</sub>) in the time interval [t<sub>1</sub>, t<sub>2</sub>]
- The lag of task  $\tau_i$  at time  $t \in [t_1, t_2]$  is

$$lag_{\tau_i}(t) = C_{\tau_i}(t_1, t) - \frac{W(\tau_i)}{S_{\Phi}} \times (t - t_1)$$

Actual CPU time

CPU time under GPS

- Positive lag:  $\tau_i$  has received more service than under GPS
- Negative lag:  $\tau_i$  has received less service than under GPS
- The goal of fair share scheduling is to minimize lag over all time intervals



#### **II. Introduction to Fair-share Scheduler**

#### **Fair Share Scheduling Disciplines**



53

## WRR (Weighted Round Robin) (1)

#### Key for fair share scheduling

- Time slice
  - Time interval for which the task is allowed to run without being preempted
    - Each task is assigned a time slice proportional to its weight

$$TS_{\tau_i} = \frac{W(\tau_i)}{S_{\phi}} \times \text{round\_robin\_interval\_period}$$

System-wide constant



#### WRR (Weighted Round Robin) (2)

- Approximation of GPS using time slice
- Assigns weighted time slice to each task
- Schedules tasks in round robin manner
  - A task executes for one unit of time slice

	weight	Arrival time(ms)	Service time(ms)	Time slice (ms)
$ au_1$	4	0	48	16
$ au_2$	2	0	48	8
$ au_3$	1	0	36	345
$ au_4$	1	24	24	3 <del>.</del> 5

#### round robin interval period=28ms





#### **II. Introduction to Fair-share Scheduler**

## WRR (Weighted Round Robin) (3)



## WRR (Weighted Round Robin) (4)

#### Evaluation

- Low scheduling overhead : O(1)
- Weak fairness guarantee
  - Lag can be quite large, especially when the weights are large



## WFQ (Weighted Fair Queuing) (1)

#### Key for fair share scheduling

- Virtual CPU time (VCT)
  - Measure of the degree to which a task has received its proportional allocation, relative to others
  - The grow rate of a task's VT is inversely proportional to the task's weight

$$VCT_{\tau_i}(t) = \frac{C_{\tau_i}(0, t)}{W(\tau_i)}$$



#### **II. Introduction to Fair-share Scheduler**

## WFQ (Weighted Fair Queuing) (2)

- Preemption tick period
  - Time interval for which the scheduler checks for preemption



- Virtual finish time (VFT)
  - VCT that the task would have after executing for one preemption tick period *T*

$$VFT_{\tau_i}(t) = VCT_{\tau_i}(t+T) = VCT_{\tau_i}(t) + \frac{T}{W(\tau_i)}$$



## WFQ (Weighted Fair Queuing) (2)

- Approximation of GPS using virtual time
- Computes virtual finish time on every preemption tick
- Schedules tasks in increasing order of virtual finish time

preemption	tick=4ms
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	weight	Arrival time(ms)	Service time(ms)	Virtual finish time
$ au_1$	4	0	48	-
$ au_2$	2	0	48	
$ au_3$	1	0	36	8
$ au_4$	1	24	24	6





#### **II. Introduction to Fair-share Scheduler**

### WFQ (Weighted Fair Queuing) (3)



## WFQ (Weighted Fair Queuing) (4)

#### Evaluation

- Quite high scheduling overhead : O(N) or O(log N)
  - Might incur too much context switching overhead
- Strong fairness guarantee
  - Independent from weight set



#### **II. Introduction to Fair-share Scheduler**

#### **Completely Fair Scheduler: RR or VT?**



63

#### **III.** Fair Share Scheduling of Linux

#### 2. Rotating Staircase Deadline Scheduler (RSDL)



**Con Kolivas** (Australian anaesthetist, known for his programming work on the Linux kernel in his spare time)



#### **III.** Fair Share Scheduling of Linux

#### 3. Completely Fair Scheduler (CFS) (1)



# **Ingo Molnár** (Hungarian Linux hacker, employed by Red Hat)

Secul National University 65

## 3. Completely Fair Scheduler (CFS) (2)

- Primary task scheduler of the mainline Linux kernel since its 2.6.23 release
  - Designed and developed by Ingo Molnár
    - Inspired by Con Kolivas's work
  - The first Implementation of fair share scheduling widely used in a general-purpose OS (Linux)



## 3. Completely Fair Scheduler (CFS) (3)

- 1. Providing fair share scheduling by giving each task CPU time proportional to its weight
  - For a given time interval [ $t_1$ ,  $t_2$ ], CFS attempts to provide the following amount of CPU time for a task  $\tau_i$



2. Efficiently utilizing CPU resource in multicore system



## (1) Virtual Runtime

- Definition
  - The task's cumulative execution time inversely scaled by its weight

$$VR(\tau_i, t) = \frac{W_0}{W(\tau_i)} \times PR(\tau_i, t)$$

- $W_0$ : the weight of nice value 0
- $PR(\tau_i, t)$ : Actual runtime of task  $\tau_i$  in time interval [0, t]
- Used to approximate the GPS (perfect fair share scheduling)
  - CFS assigns each task virtual runtime to account for how long a task has run and thus how much longer it ought to run



## (2) Time Slice

- Definition
  - Time interval for which the task is allowed to run without being preempted
    - The length of task  $\tau_i$ 's time slice is proportional to its weight

$$TS_{\tau_i} = \frac{W(\tau_i)}{S_{\Phi}} \times P$$





69

## (3) Task Priority and Weight

#### Linux priority range

- Nice value (-20~19, default of 0)
  - Standard priority range used in all Unix systems
  - Priority for normal (time-sharing) tasks
  - Larger nice value corresponds to a lower priority
- Real-time priority (0~99)
  - Priority for real-time tasks
  - Higher real-time priority value corresponds to greater priority
  - Real-time tasks have priority over normal tasks



## (3) Task Priority and Weight

- Calculating Linux priority (PR)
  - Ranges from –100 to 39
    - The lower the **PR**, the higher the priority of the task is
  - Real-time tasks: -100~-1
    - PR = -1 real\_time\_priority
  - Normal tasks: 0~39
    - PR = 20 + NI



## (3) Task Priority and Weight

#### Nice-to-weight mapping

- Recycle nice values to represent the weight values of tasks
- "10% effect" mapping rule: 55% vs. 45%
  - From any nice level,
    - If you go up one level, it's -10% CPU usage
    - If you go down 1 level, it's +10% CPU usage

kernel/sched.c

<pre>static const int prio_to_weight[40] = {</pre>							
/*	-20	*/	88761,	71755 <b>,</b>	56483,	46273,	36291,
/*	-15	*/	29154,	23254,	18705,	14949,	11916,
/*	-10	*/	9548,	7620,	6100,	4904,	3906,
/*	-5	*/	3121,	2501,	1991,	1586,	1277,
/*	0	*/	1024,	820,	655,	526,	423,
/*	5	*/	335,	272,	215,	172,	137,
/*	10	*/	110,	87,	70,	56,	45,
/*	15	*/	36,	29,	23,	18,	15,
};							


# (3) Task Priority and Weight

#### Each task stores weight value

```
include/linux/sched.h
```

struct load\_weight {
 unsigned long weight, inv\_weight;
};



## (4) Run Queue Structure

#### Each CPU owns its run queues

Red-black tree for the normal tasks, array for the RT tasks



74

## (4) Run Queue Structure

- *Red-black tree*" is the time-ordered run queue structure of CFS for storing normal tasks
  - No path in the tree will ever be more than twice as long as any other (self-balancing)
  - Operations on the tree occur in O(log n) time
    - · Inserting or deleting a task is quick and efficient



#### **III.** Fair Share Scheduling of Linux

# (5) Running Example



Scheduling tick: 1<sup>ms</sup> (HZ = 1000)

#### Task description

	nice	$W(\tau_i)$	$\frac{W(\tau_i)}{S_{\Phi}}$	time slice
$ au_1$	-10	9548	0.6753	4.0518
$ au_2$	-5	3121	0.2208	1.3248
$ au_3$	0	1024	0.0724	0.4344
$ au_4$	5	335	0.0237	0.1422
$ au_5$	10	110	0.0078	0.0468
total		14138	1.000	6





#### **III.** Fair Share Scheduling of Linux

## (6) Load Balancing





#### **IV. Summary**



# **Evolution of Scheduling Policies**

