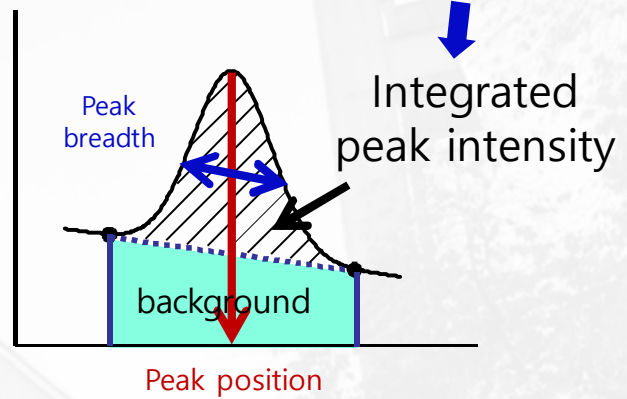


# Intensity of XRD peak



Cullity, Chapter 4-1, 4-2, 4-3, 4-4, 4-5, 4-6

Hammond Chapter 9.1, 9.2

Sherwood & Cooper, Chapter 4.13

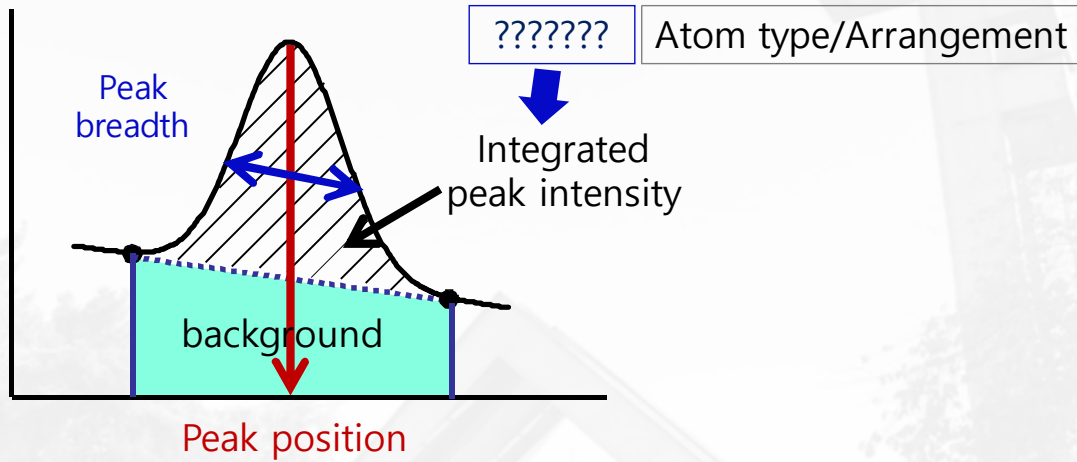
Sherwood & Cooper, Chapter 4.11~4.12

Krawitz Chapter 5, p132 ~ p143

## Reading recommendations

### Read

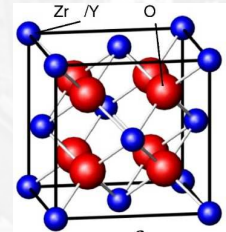
- Sherwood & Cooper
  - ✓ Chap 5 Fourier Transform & convolutions
  - ✓ Chap 6 Diffraction
  - ✓ Chap 7 Diffraction by one-dimensional obstacles
  - ✓ Chap 8 Diffraction by 3-dimensional obstacles
  - ✓ Chap 9 The contents of unit cell
- Hammond, Chap 7 The diffraction of light



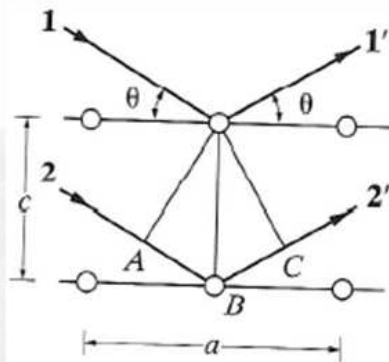
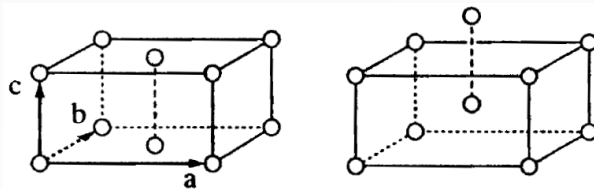
Crystal Structure of "cubic" "ZrO2"

Space Group  $Fm\bar{3}m$  (225)  
 → cubic  
 Lattice Parameter  $a=5.11$

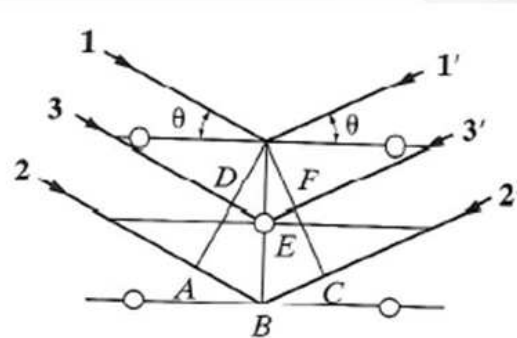
Atom	x	y	z	$B_{iso}$	occupancy
Zr	0	0	0	1.14	1
O	0.25	0.25	0.25	2.4	1



Diffraction from (001) planes of scatterers

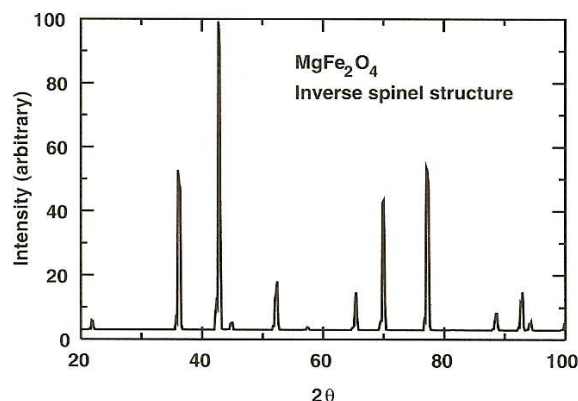
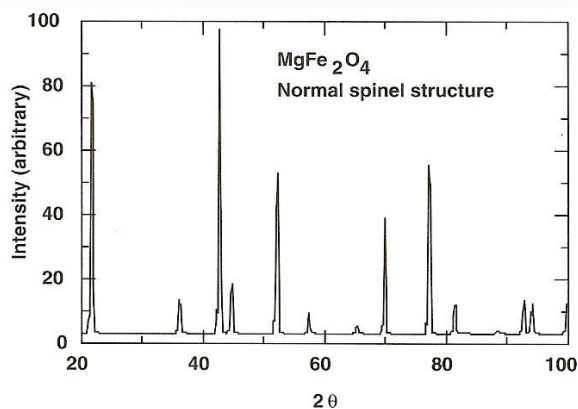


(a) base-centered orthorhombic lattice

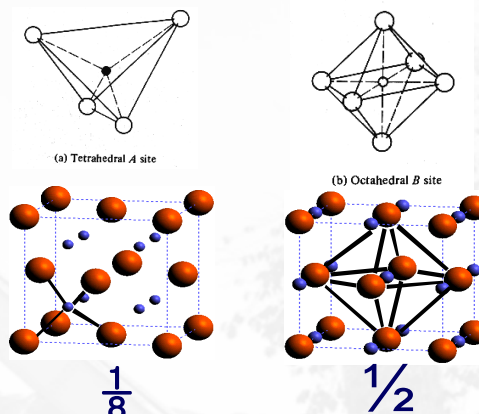


(b) body-centered orthorhombic lattice





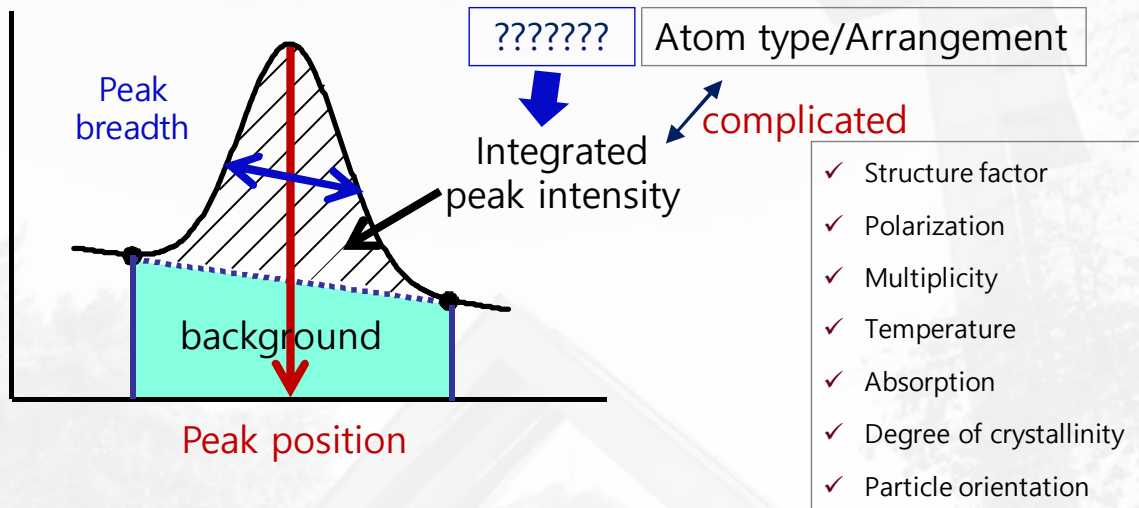
Spinel AB<sub>2</sub>O<sub>4</sub>  
Oxygen; cubic close packing



Kind of site	Number available	Number occupied	Occupants	
			Normal spinel	Inverse spinel
Tetrahedral (A)	64	8	8 M <sup>2+</sup>	8 Fe <sup>3+</sup>
Octahedral (B)	32	16	16 Fe <sup>3+</sup>	8 Fe <sup>3+</sup> 8 M <sup>2+</sup>

## Factors affecting line intensities of XRPD peaks

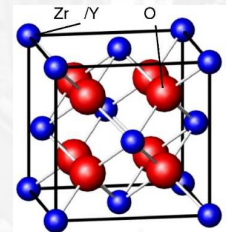
factor	parameter	factor	parameter
Structure-sensitive	Atomic scattering factor	Sample-sensitive	Microabsorption
	<b>Structure factor</b>		Crystallite size
	Polarization		Degree of crystallinity
	Multiplicity		Residual stress
	Temperature		Degree of peak overlap
Instrument-sensitive (Absolute intensity)	Source intensity	Measurement-sensitive	<b>Preferred orientation</b>
	Diffractometer efficiency		Method of peak area measurement
	Voltage drift		Degree of peak overlap
	Takeoff angle of tube		Method of background subtraction
	Receiving slit width		Kα2 stripping or not
	Axial divergence allowed		Degree of data smoothing used
Instrument-sensitive (Relative intensity)	Divergence slit aperture		
	Detector dead time		



Crystal Structure of "cubic" "ZrO2"

Space Group  $Fm\bar{3}m$  (225)  
 → cubic  
 Lattice Parameter  $a=5.11$

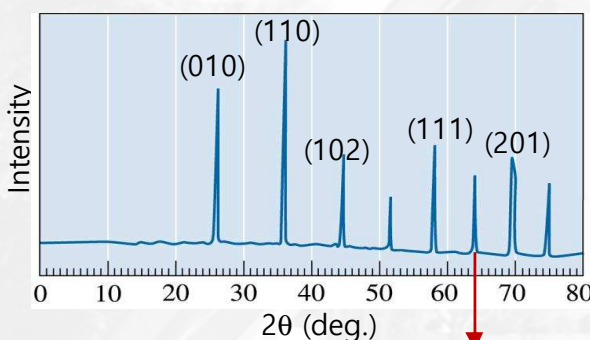
Atom	x	y	z	$B_{iso}$	occupancy
Zr	0	0	0	1.14	1
O	0.25	0.25	0.25	2.4	1



Intensity diffracted by a single phase powder specimen in a diffractometer

$$I(hkl) = \left( \frac{I_0 A \lambda^3}{32 \pi r} \right) \left[ \left( \frac{\mu_o}{4\pi} \right)^2 \frac{e^4}{m^2} \right] \left( \frac{1}{v^2} \right) \left[ |F(hkl)|^2 p \left( \frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta} \right) \right] \left( \frac{e^{-2M}}{2\mu} \right)$$

$I(hkl)$  = integrated intensity per unit length of diffraction line  
 $I_0$  = intensity of incident beam  $A$  = cross-sectional area of incident beam  
 $r$  = radius of diffractometer circle  $V$  = volume of unit cell  
 $F(hkl)$  = structure factor  $p$  = multiplicity factor  
 $e^{-2M}$  = temperature factor  $\mu$  = linear absorption coefficient



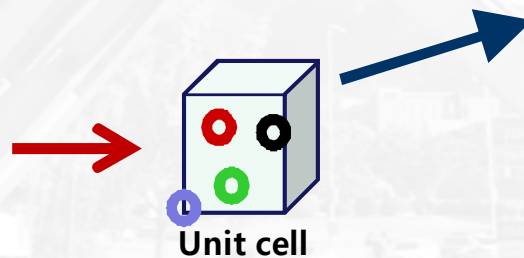
Relative intensity

d(Å)	Int-f	h	k	l
3.2600	13	1	1	1
2.8210	100	2	0	0
1.9940	55	2	2	0
1.7010	2	3	1	1
1.6280	15	2	2	2
1.4100	6	4	0	0

Peak position ← Bragg's law  $\lambda = 2d \sin \theta$



- How much Intensity a single electron will coherently scatter?
- Interference effects due to electrons being distributed in space around atoms
- Atoms are not stationary --- vibrate
- Intereference effects caused by scattering from atoms in different regions of the unit cell



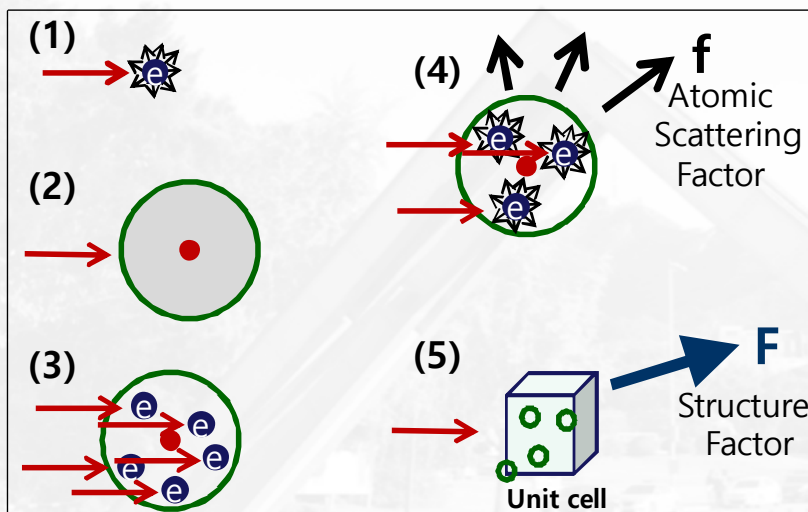
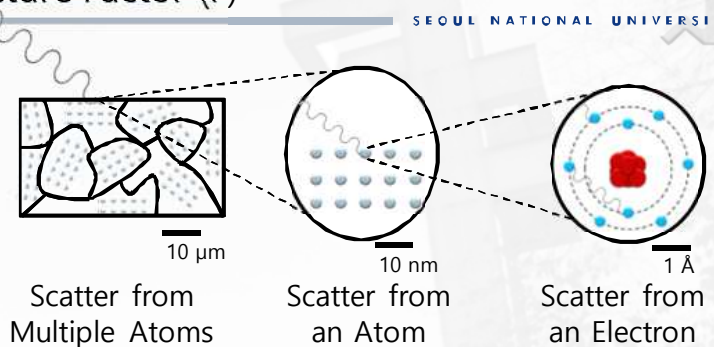
## Scattering & diffraction

- Coherent scattering (unmodified scattering)
  - ✓ Tightly bound electrons
- Incoherent scattering (modified scattering)
  - ✓ loosely bound electrons
  - background
- Diffraction = reinforced coherent scattering

- Structure factor
- Polarization factor
- Multiplicity factor
- Lorentz factor
- Absorption factor
- Temperature factor

## Atomic Scattering Factor ( $f$ ), Structure Factor ( $F$ )

- Scattering from a single electron
- Scattering from a single atom  $\rightarrow f$
- Scattering from a unit cell  $\rightarrow F$



- Scattering amplitude of a single electron
  - ✓ How much Intensity a single e' will coherently scatter?
- Scattering amplitude of a single atom → **f** (atomic scattering factor)
  - ✓ Interference effects due to coherent scatter of all the e's in an atom?
- Scattering amplitude of a unit cell → **F** (structure factor)
  - ✓ Interference effects caused by scattering from all the atoms in different regions of the unit cell

$$\mathbf{f} = \frac{\text{amplitude scattered by an atom}}{\text{amplitude scattered by a single } \underline{e}'}$$

$$\mathbf{F} = \frac{\text{amplitude scattered by all the atoms of a unit cell}}{\text{amplitude scattered by a single } \underline{e}'}$$

## How much Intensity a single e' will coherently scatter?

### → polarization factor

- X-ray is electromagnetic radiation which can be seen, from a fixed point in space, as an oscillating electric field.
- This field can cause an e' to oscillate (accelerate & decelerate). → cause an e' to re-radiate the energy as a spherical wave.
- J.J. Thomson --- Intensity scattered from an e'

$$I = \frac{I_0}{r^2} \left[ \frac{e^2}{m_e c^2} \right]^2 \frac{1 + \cos^2(2\theta)}{2}$$

- Incident X-ray is unpolarized.
- Process of scattering polarizes X-ray. → polarization factor

$$\frac{1 + \cos^2(2\theta)}{2}$$

# Scattering by a single electron

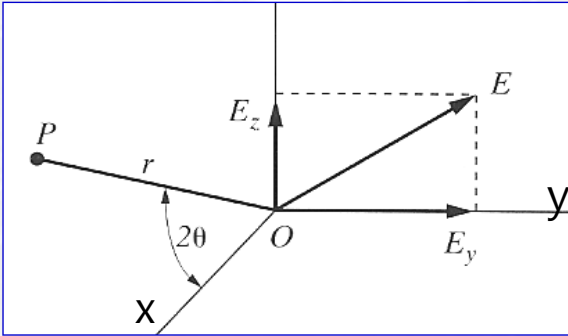
J.J. Thomson

$$I = I_0 \left( \frac{\mu_0}{4\pi} \right)^2 \left( \frac{e^4}{m^2 r^2} \right) \sin^2 \alpha = I_0 \frac{K}{r^2} \sin^2 \alpha$$

$$I_{Py} = I_{Oy} \frac{K}{r^2} \quad \alpha = \angle yOP = \pi/2.$$

$$I_{Pz} = I_{Oz} \frac{K}{r^2} \cos^2 2\theta, \quad \alpha = \pi/2 - 2\theta$$

$\alpha$  = angle b/w scattering direction & acceleration direction of  $e'$



$$E^2 = E_y^2 + E_z^2$$

$$E_y^2 = E_z^2 = \frac{1}{2} E^2$$

$$I_{Oy} = I_{Oz} = \frac{1}{2} I_0$$

Read Cullity page 123 ~ 144

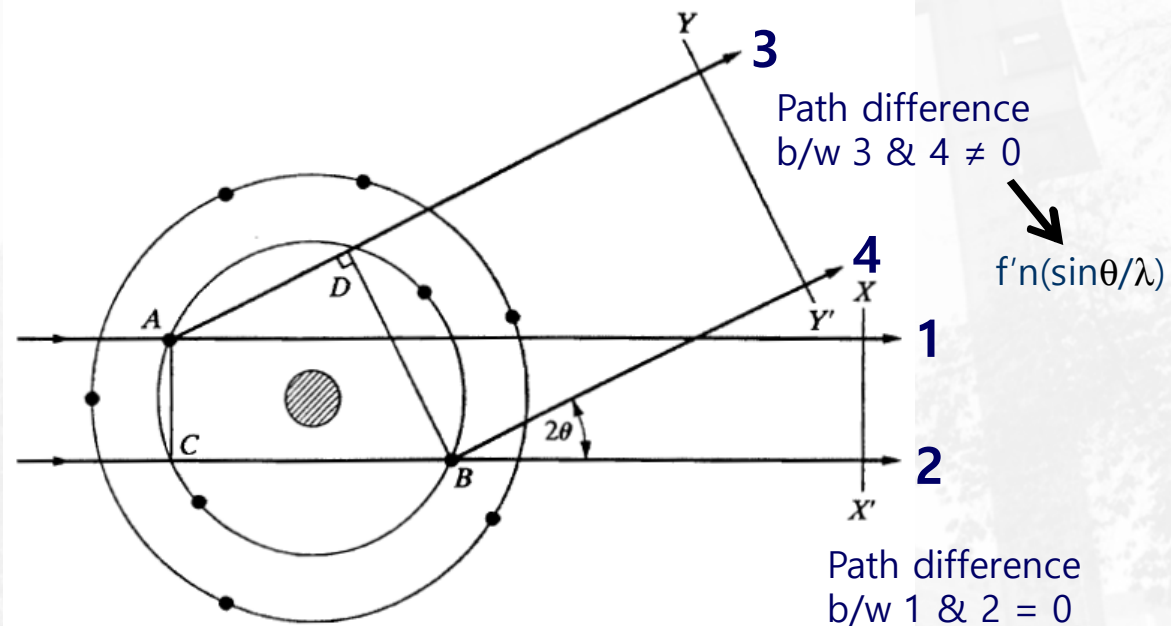
Total scattered intensity @ P

$$\begin{aligned} I_P &= I_{Py} + I_{Pz} \\ &= \frac{K}{r^2} (I_{Oy} + I_{Oz} \cos^2 2\theta) \\ &= \frac{K}{r^2} \left( \frac{I_0}{2} + \frac{I_0}{2} \cos^2 2\theta \right) \\ &= I_0 \frac{K}{r^2} \left( \frac{1 + \cos^2 2\theta}{2} \right) \end{aligned}$$

Thomson equation for the scattering of X-ray beam by a single electron

Polarization factor

# X-ray scattering by an atom → f (atomic scattering factor)



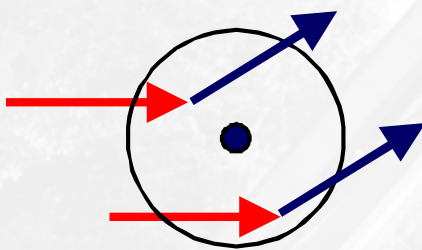
f (atomic scattering factor)  
= efficiency of scattering of an atom in a given direction



# Interference effect due to the e's distributed in space around atoms

→ atomic scattering factor

- Interference ← scattering from different regions of the e' cloud
- Takes into account the influence of the atom specific e' shell on the scattering of X-rays.
- Normalized in units of the amount of scattering occurring from a single e'.

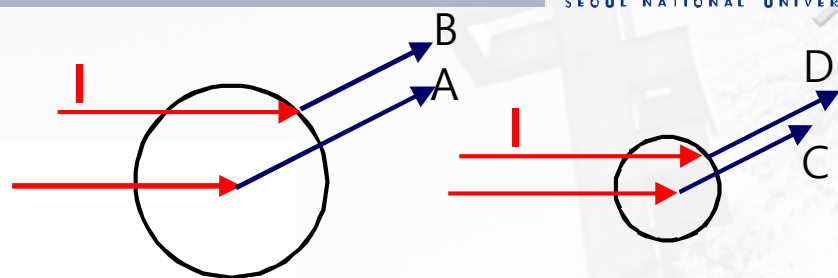
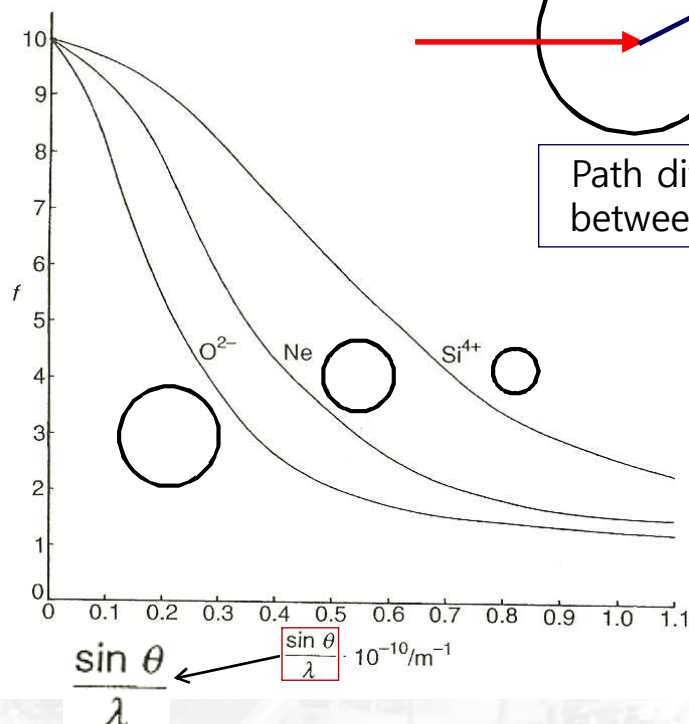


$$f = \frac{\text{amplitude scattered by an atom}}{\text{amplitude scattered by a single electron}}$$

$$f = F(\vartheta) \quad f(0^\circ) = Z$$

$$f' n(\sin\theta/\lambda)$$

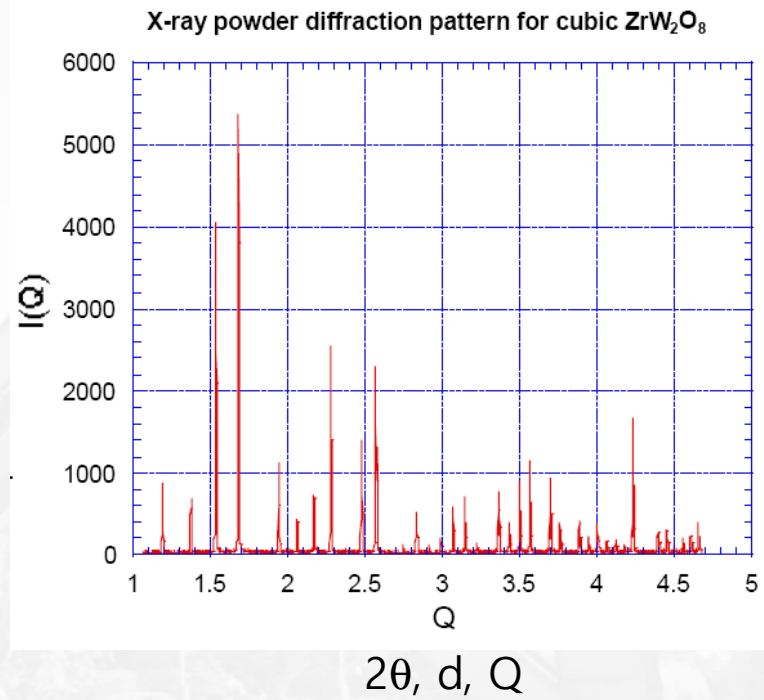
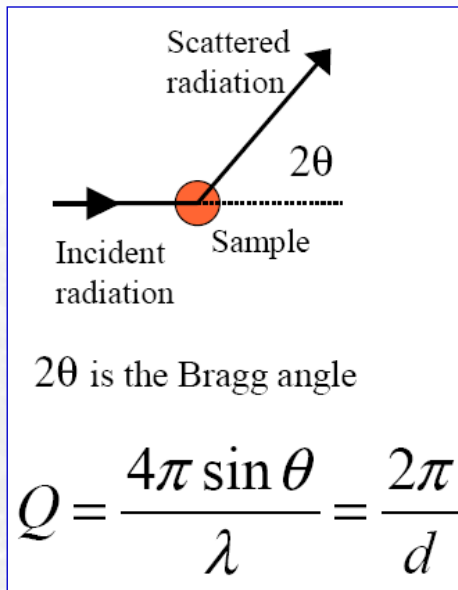
# Atomic scattering factor (form factor)



Path difference between A & B

Path difference between C & D

$$\phi = \frac{\delta}{\lambda} (2\pi)$$



## Atomic scattering factor

	$\frac{\sin \theta}{\lambda} (\text{\AA}^{-1})$	0.0	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.1	1.2
H	1	0.81	0.48	0.25	0.13	0.07	0.04	0.03	0.02	0.01	0.00	0.00		
He	2	1.88	1.46	1.05	0.75	0.52	0.35	0.24	0.18	0.14	0.11	0.09		
Li <sup>+</sup>	2	1.96	1.8	1.5	1.3	1.0	0.8	0.6	0.5	0.4	0.3	0.3		
Li	3	2.2	1.8	1.5	1.3	1.0	0.8	0.6	0.5	0.4	0.3	0.3		
Be <sup>12</sup>	2	2.0	1.9	1.7	1.6	1.4	1.2	1.0	0.9	0.7	0.6	0.5		
Be	4	2.9	1.9	1.7	1.6	1.4	1.2	1.0	0.9	0.7	0.6	0.5		
B <sup>13</sup>	2	1.99	1.9	1.8	1.7	1.6	1.4	1.3	1.2	1.0	0.9	0.7		
B	5	3.5	2.4	1.9	1.7	1.5	1.4	1.2	1.2	1.0	0.9	0.7		
C	6	4.6	3.0	2.2	1.9	1.7	1.6	1.4	1.3	1.16	1.0	0.9		
N <sup>15</sup>	2	2.0	2.0	1.9	1.9	1.8	1.7	1.6	1.5	1.4	1.3	1.16		
N <sup>13</sup>	4	3.7	3.0	2.4	2.0	1.8	1.66	1.56	1.49	1.39	1.28	1.17		
N	7	5.8	4.2	3.0	2.3	1.9	1.65	1.54	1.49	1.39	1.29	1.17		
O	8	7.1	5.3	3.9	2.9	2.2	1.8	1.6	1.5	1.4	1.35	1.26		
O <sup>-2</sup>	10	8.0	5.5	3.8	2.7	2.1	1.8	1.5	1.5	1.4	1.35	1.26		
F	9	7.8	6.2	4.45	3.35	2.65	2.15	1.9	1.7	1.6	1.5	1.35		
F <sup>-</sup>	10	8.7	6.7	4.8	3.5	2.8	2.2	1.9	1.7	1.55	1.5	1.35		
Ne	10	9.3	7.5	5.8	4.4	3.4	2.65	2.2	1.9	1.65	1.55	1.5		
Na <sup>+</sup>	10	9.5	8.2	6.7	5.25	4.05	3.2	2.65	2.25	1.95	1.75	1.6		
Na	11	9.65	8.2	6.7	5.25	4.05	3.2	2.65	2.25	1.95	1.75	1.6		
Mg <sup>12</sup>	10	9.75	8.6	7.25	5.95	4.8	3.85	3.15	2.55	2.2	2.0	1.8		
Mg	12	10.5	8.6	7.25	5.95	4.8	3.85	3.15	2.55	2.2	2.0	1.8		
Al <sup>13</sup>	10	9.7	8.9	7.8	6.65	5.5	4.45	3.65	3.1	2.65	2.3	2.0		
Al	13	11.0	8.95	7.75	6.6	5.5	4.5	3.7	3.1	2.65	2.3	2.0		
Si <sup>14</sup>	10	9.75	9.15	8.25	7.15	6.05	5.05	4.2	3.4	2.95	2.6	2.3		
Si	14	11.35	9.4	8.2	7.15	6.1	5.1	4.2	3.4	2.95	2.6	2.3		
P <sup>15</sup>	10	9.8	9.25	8.45	7.5	6.55	5.65	4.8	4.05	3.4	3.0	2.6		
P	15	12.4	10.0	8.45	7.45	6.5	5.65	4.8	4.05	3.4	3.0	2.6		
P <sup>-3</sup>	18	12.7	9.8	8.4	7.45	6.5	5.65	4.85	4.05	3.4	3.0	2.6		
S <sup>-6</sup>	10	9.85	9.4	8.7	7.85	6.85	6.05	5.25	4.5	3.9	3.35	2.9		
S	16	13.6	10.7	8.95	7.85	6.85	6.0	5.25	4.5	3.9	3.35	2.9		
S <sup>-2</sup>	18	14.3	10.7	8.9	7.85	6.85	6.0	5.25	4.5	3.9	3.35	2.9		
Cl	17	14.6	11.3	9.25	8.05	7.25	6.5	5.75	5.05	4.4	3.85	3.35		
Cl <sup>-</sup>	18	15.2	11.5	9.3	8.05	7.25	6.5	5.75	5.05	4.4	3.85	3.35		
A	18	15.9	12.6	10.4	8.7	7.8	7.0	6.2	5.4	4.7	4.1	3.6		
K <sup>+</sup>	18	16.5	13.3	10.8	8.85	7.75	7.05	6.44	5.9	5.3	4.8	4.2		
K	19	16.5	13.3	10.8	9.2	7.9	6.7	5.9	5.2	4.6	4.2	3.7	3.3	
Ca <sup>12</sup>	18	16.8	14.0	11.5	9.3	8.1	7.35	6.7	6.2	5.7	5.1	4.6		
Ca	20	17.5	14.1	11.4	9.7	8.4	7.3	6.3	5.6	4.9	4.5	4.0	3.6	
Sc <sup>-3</sup>	18	16.7	14.0	11.4	9.4	8.3	7.6	6.9	6.4	5.8	5.35	4.85		
Sc	21	18.4	14.9	12.1	10.3	8.9	7.7	6.7	5.9	5.3	4.7	4.3	3.9	
Ti <sup>14</sup>	18	17.0	14.4	11.9	9.9	8.5	7.85	7.3	6.7	6.15	5.65	5.05		
Ti	22	19.3	15.7	12.8	10.9	9.5	8.2	7.2	6.3	5.6	5.0	4.6	4.2	
V	23	20.2	16.6	13.5	11.5	10.1	8.7	7.6	6.7	5.9	5.3	4.9	4.4	
Cr	24	21.1	17.4	14.2	12.1	10.6	9.2	8.0	7.1	6.3	5.7	5.1	4.6	
Mn	25	22.1	18.2	14.9	12.7	11.1	9.7	8.4	7.5	6.6	6.0	5.4	4.9	

## Atomic scattering factor

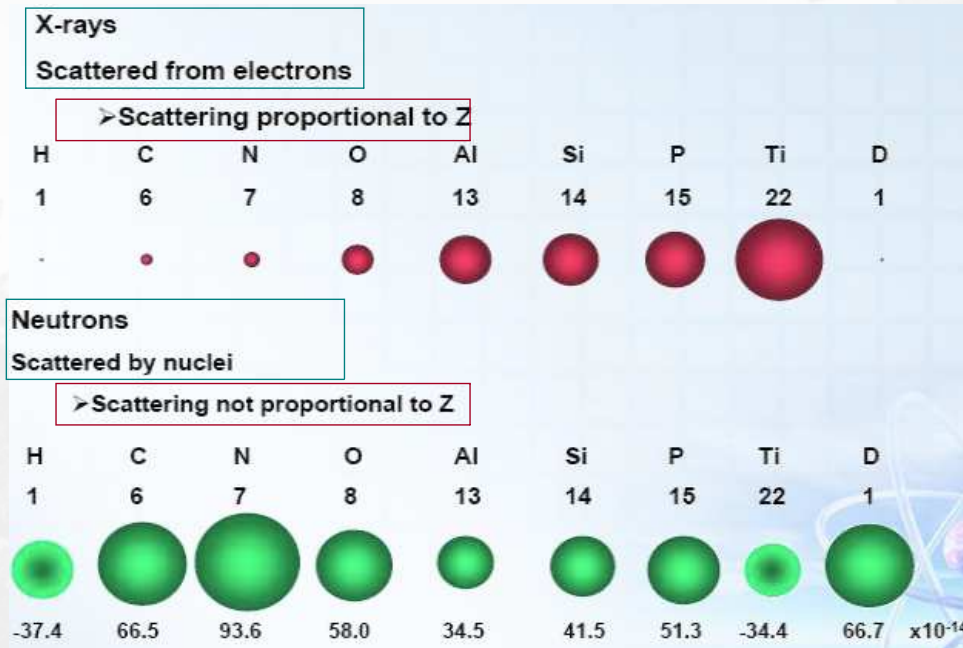
$\frac{\sin\theta}{\lambda} (\text{\AA}^{-1})$	0.0	0.1	0.2	0.3	0.4
H	1	0.81	0.48	0.25	0.13
He	2	1.88	1.46	1.05	0.75
Li <sup>+</sup>	2	1.96	1.8	1.5	1.3
Li	3	2.2	1.8	1.5	1.3
Be <sup>+2</sup>	2	2.0	1.9	1.7	1.6
Be	4	2.9	1.9	1.7	1.6
B <sup>+3</sup>	2	1.99	1.9	1.8	1.7
B	5	3.5	2.4	1.9	1.7
C	6	4.6	3.0	2.2	1.9
N <sup>+5</sup>	2	2.0	2.0	1.9	1.9
N <sup>+3</sup>	4	3.7	3.0	2.4	2.0
N	7	5.8	4.2	3.0	2.3
O	8	7.1	5.3	3.9	2.9
O <sup>-2</sup>	10	8.0	5.5	3.8	2.7
F	9	7.8	6.2	4.45	3.35

Cullity page 634, Appendix 10

## Atomic scattering factor

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$\frac{\sin\theta}{\lambda} (\text{\AA}^{-1})$	0.0	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.1	1.2
Fe	26	23.1	18.9	15.6	13.3	11.6	10.2	8.9	7.9	7.0	6.3	5.7	5.2
Co	27	24.1	19.8	16.4	14.0	12.1	10.7	9.3	8.3	7.3	6.7	6.0	5.5
Ni	28	25.0	20.7	17.2	14.6	12.7	11.2	9.8	8.7	7.7	7.0	6.3	5.8
Cu	29	25.9	21.6	17.9	15.2	13.3	11.7	10.2	9.1	8.1	7.3	6.6	6.0
Zn	30	26.8	22.4	18.6	15.8	13.9	12.2	10.7	9.6	8.5	7.6	6.9	6.3
Ga	31	27.8	23.3	19.3	16.5	14.5	12.7	11.2	10.0	8.9	7.9	7.3	6.7
Ge	32	28.8	24.1	20.0	17.1	15.0	13.2	11.6	10.4	9.3	8.3	7.6	7.0
As	33	29.7	25.0	20.8	17.7	15.6	13.8	12.1	10.8	9.7	8.7	7.9	7.3
Se	34	30.6	25.8	21.5	18.3	16.1	14.3	12.6	11.2	10.0	9.0	8.2	7.5
Br	35	31.6	26.6	22.3	18.9	16.7	14.8	13.1	11.7	10.4	9.4	8.6	7.8
Kr	36	32.5	27.4	23.0	19.5	17.3	15.3	13.6	12.1	10.8	9.8	8.9	8.1
Rb <sup>+</sup>	36	33.6	28.7	24.6	21.4	18.9	16.7	14.6	12.8	11.2	9.9	8.9	
Rb	37	33.5	28.2	23.8	20.2	17.9	15.9	14.1	12.5	11.2	10.2	9.2	8.4
Sr	38	34.4	29.0	24.5	20.8	18.4	16.4	14.6	12.9	11.6	10.5	9.5	8.7
Y	39	35.4	29.9	25.3	21.5	19.0	17.0	15.1	13.4	12.0	10.9	9.9	9.0
Zr	40	36.3	30.8	26.0	22.1	19.7	17.5	15.6	13.8	12.4	11.2	10.2	9.3
Nb	41	37.3	31.7	26.8	22.8	20.2	18.1	16.0	14.3	12.8	11.6	10.6	9.7
Mo	42	38.2	32.6	27.6	23.5	20.8	18.6	16.5	14.8	13.2	12.0	10.9	10.0
Tc	43	39.1	33.4	28.3	24.1	21.3	19.1	17.0	15.2	13.6	12.3	11.3	10.3
Ru	44	40.0	34.3	29.1	24.7	21.9	19.6	17.5	15.6	14.1	12.7	11.6	10.6



## Factors affecting the f (intensity of the scattering from an atom)

- Anomalous scattering (anomalous dispersion)

$$|f|^2 = (f_0 + \Delta f')^2 + (\Delta f'')^2.$$

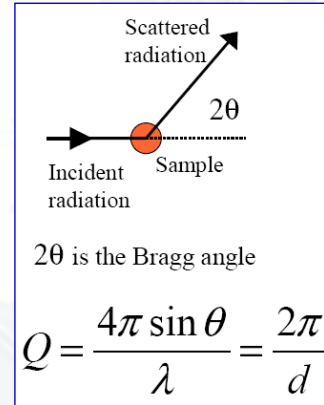
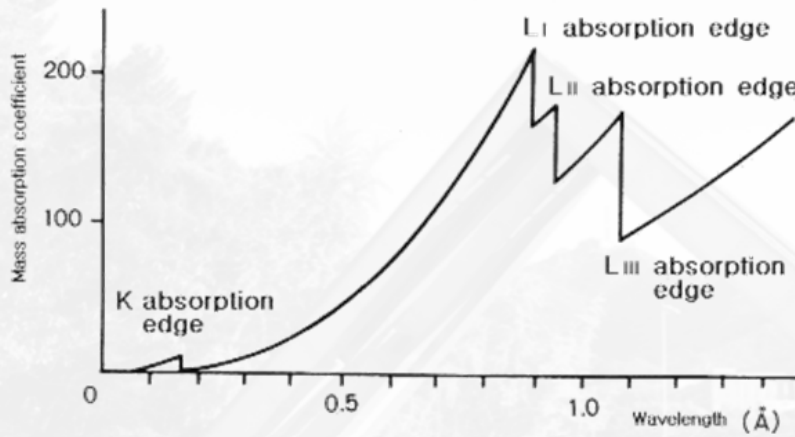
- Thermal motion

$$f = f_0 \exp \left[ -\frac{B \sin^2 \theta}{\lambda^2} \right]$$

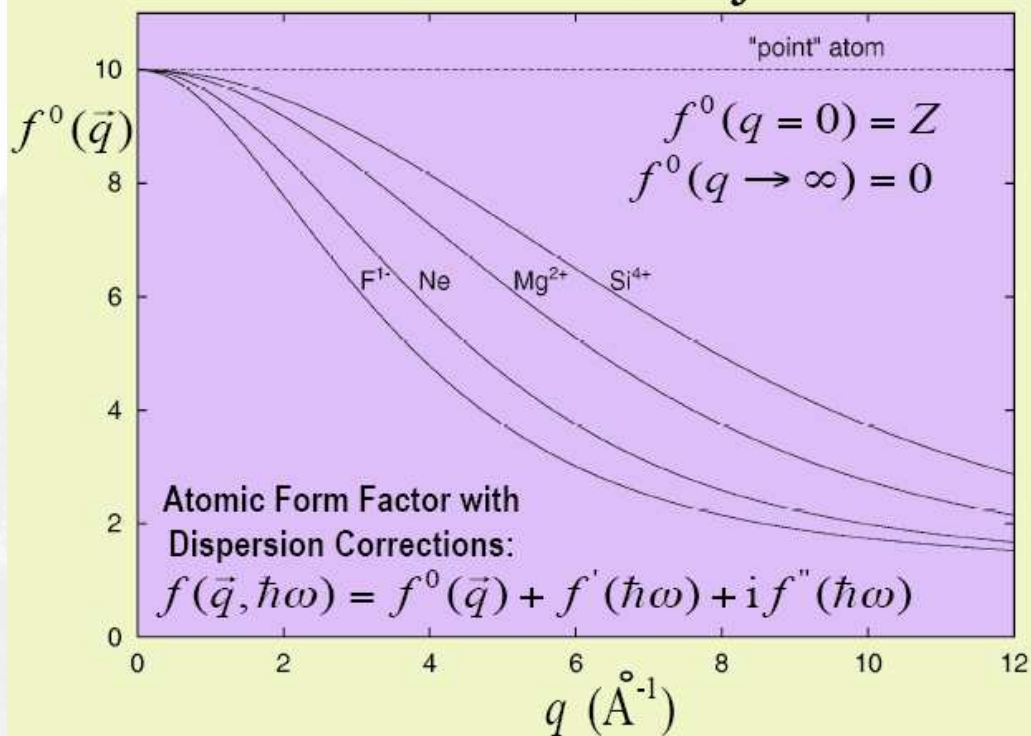


$$|f|^2 = (f_0 + \Delta f')^2 + (\Delta f'')^2$$

$$f(E, Q) = f_0(Q) + f'(E) + if''(E)$$

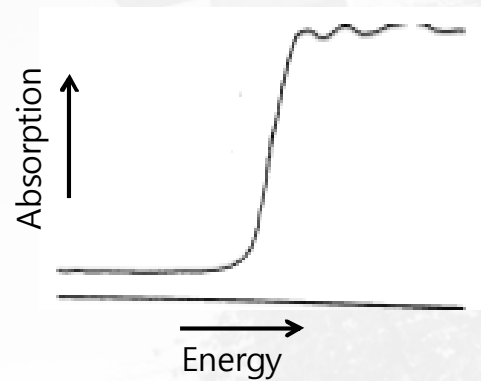


**Atomic Form Factor:**  $f^0(\vec{q}) = \int \rho(\vec{r}) e^{i\vec{q}\cdot\vec{r}} dV$



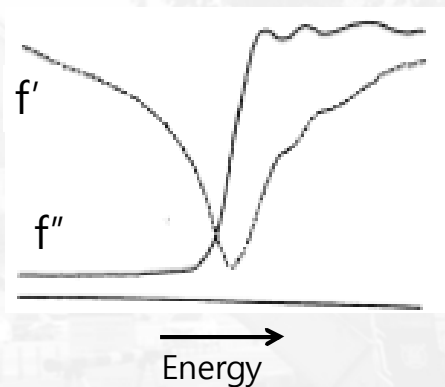
$f'$  is intimately related to the absorption coefficient.

$$f'(E) = \left( \frac{2}{\pi} \right) \int_0^{\infty} \frac{E f''(E)}{(E_0^2 - E^2)} dE$$



$f''$  "mirrors" the absorption coefficient.

$$f''(E) = \left( \frac{2\pi mc \epsilon_0}{e^2 h} \right) E \mu_a$$



## Factors affecting the relative intensity of Bragg reflections

- Structure factor
- Polarization factor
- Multiplicity factor
- Lorentz factor
- Absorption factor
- **Temperature factor**

# Temperature factor

## Thermal motion

- Thermal vibration of atoms →
  - ✓ Unit cell expands → Interplanar spacing ( $d$ ) changes →  $2\theta$  position changes.
  - ✓ Intensity of diffraction lines decreases.
    - Degrades the periodicity of the lattice.
  - ✓ Intensity of background scattering increases.
  
- Effective size of atom is larger. → Destructive interference increases with increasing  $2\theta$ .

- Atomic vibration ↑ → effective size of atom ↑ → interference effect ↑

Debye-Waller temperature factor B

Mean-square displacement of atom vibration  $U^2$

Mean displacement = 0

$$B = 8\pi^2 U^2$$

$$f = f_0 \exp \left[ -\frac{B \sin^2 \theta}{\lambda^2} \right]$$

$$f = f_0 \exp \left[ -\frac{B d^{*2}}{4} \right];$$

# Thermal motion

$$B = 8\pi^2 U^2$$

Isotropic temp factor B,  $U_{iso}$

Anisotropic temp factor  $B_{ij}$  &  $U_{ij}$

$$f = f_0 \exp \left[ -\frac{B \sin^2 \theta}{\lambda^2} \right]$$

$$f = f_0 \exp \left[ -\frac{B d^{*2}}{4} \right];$$

$$f = f_0 \exp -\frac{1}{4}(B_{11}h^2\mathbf{a}^{*2} + B_{22}k^2\mathbf{b}^{*2} + B_{33}l^2\mathbf{c}^{*2} + 2B_{12}hka^*\mathbf{b}^* + 2B_{13}hla^*\mathbf{c}^* + 2B_{23}klb^*\mathbf{c}^*).$$

$$f = f_0 \exp -2\pi^2(U_{11}h^2\mathbf{a}^{*2} + U_{22}k^2\mathbf{b}^{*2} + U_{33}l^2\mathbf{c}^{*2} + 2U_{12}hka^*\mathbf{b}^* + 2U_{13}hla^*\mathbf{c}^* + 2U_{23}klb^*\mathbf{c}^*).$$

$$2\pi^2 U_{ij} = \beta_{ij}$$

Temp factor; B,  $U_{iso}$ ,  $B_{ij}$ ,  $U_{ij}$ ,  $\beta_{ij}$



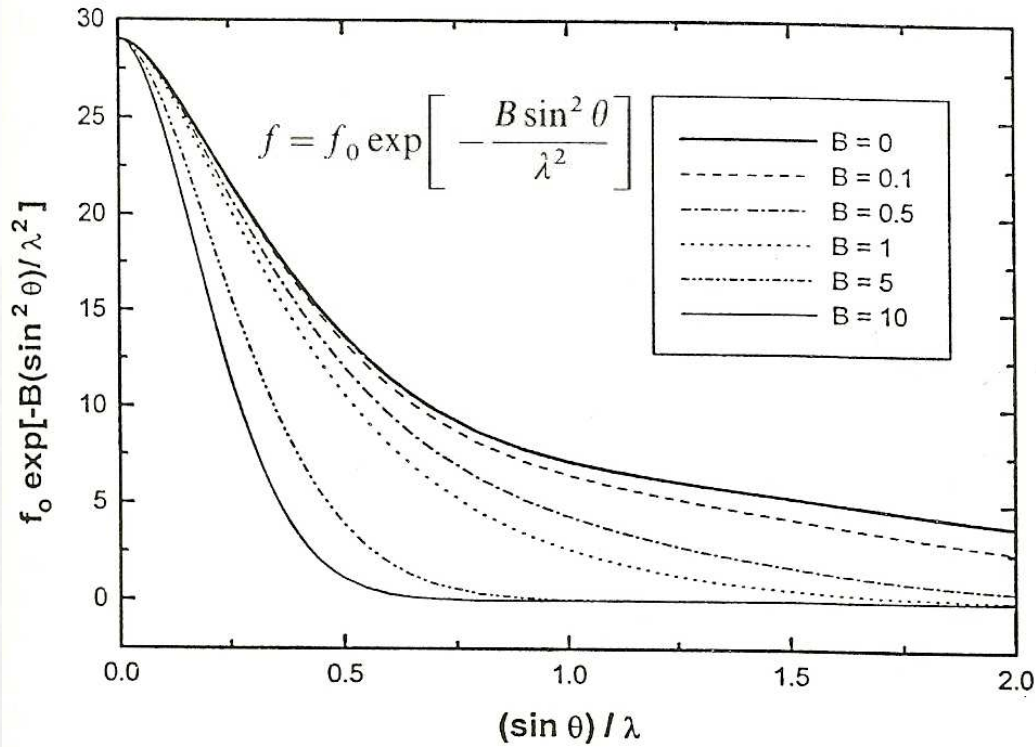
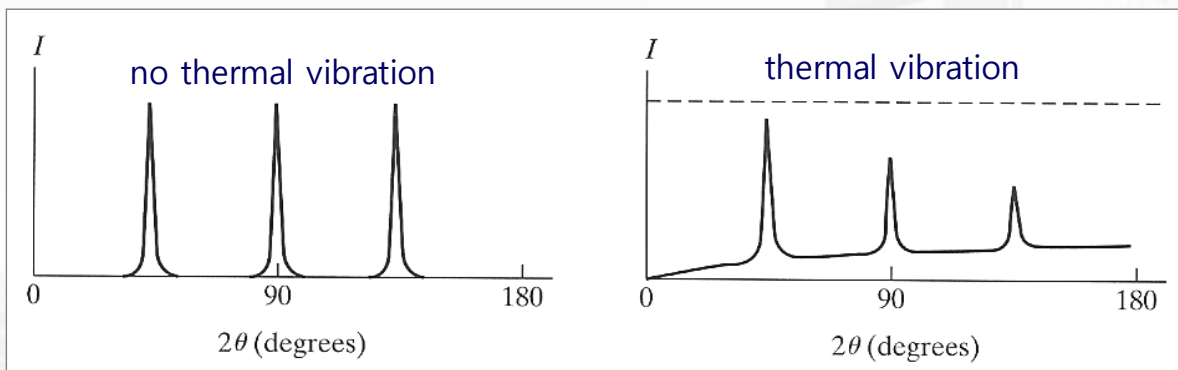


Figure 3.14. The effect of atomic thermal motion on the copper scattering factor.



➤ Thermal vibration of atoms

➔ Decrease of intensity of diffraction lines

✓ Effect increases with  $2\theta$ .

➔ Thermal diffuse scattering

✓ Thermal vibration causes general coherent scattering in all directions.

✓ Contributes to background (BKG).

✓ BKG intensity increases with  $2\theta$ .

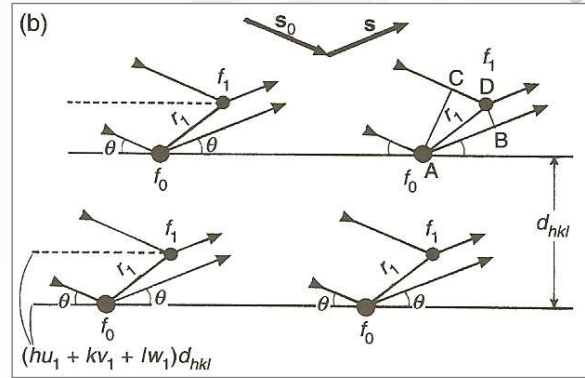
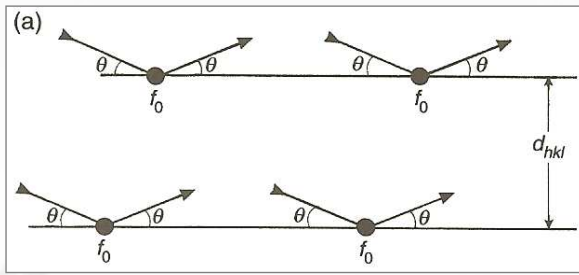
- **Structure factor**
- Polarization factor
- Multiplicity factor
- Lorentz factor
- Absorption factor
- Temperature factor

# Structure factor

Unpolarized incident X-ray becomes polarized after diffraction.

Every time a ray is diffracted, it undergoes a phase shift of  $\pi/2$  relative to the incident beam.

# Structure factor



Path difference

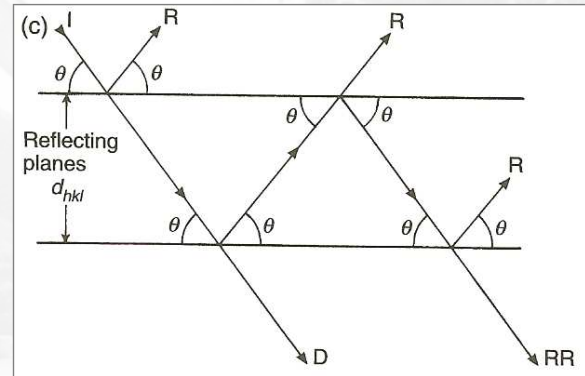
$$\text{P.D.} = AB - CD = \mathbf{r}_1 \cdot \mathbf{s} - \mathbf{r}_1 \cdot \mathbf{s}_0 = \mathbf{r}_1 \cdot (\mathbf{s} - \mathbf{s}_0)$$

$$(\mathbf{s} - \mathbf{s}_0) = \lambda \mathbf{d}_{hkl}^* = \lambda(h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*)$$

$$\text{P.D.} = \lambda(u_1\mathbf{a} + v_1\mathbf{b} + w_1\mathbf{c}) \cdot (h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*)$$

$$\text{P.D.} = \lambda(hu_1 + kv_1 + lw_1)$$

$$\phi_1 = 2\pi(hu_1 + kv_1 + lw_1)$$



# Structure factor

What is the path difference b/w waves scattered by an atom @ origin (atom A) and another atom (atom B) @ x (in 1 dimension)?

Path difference b/w 2' & 1'

$$\delta_{2'1'} = MCN = 2d_{h00} \sin \theta = \lambda$$

$$d_{h00} = AC = \frac{a}{h}$$

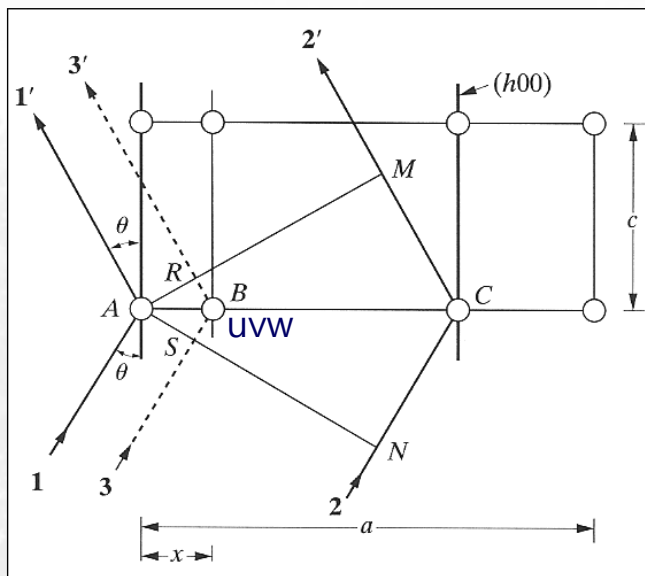
The effect of atom B (@ uvw) on the intensity in that direction (1', 2')?

Path difference b/w 3' & 1'

$$\delta_{3'1'} = RBS = \frac{AB}{AC} (\lambda) = \frac{x}{a/h} (\lambda)$$

Phase difference  $\phi$   $\phi = \frac{\delta}{\lambda} (2\pi)$

$$\phi_{3'1'} = \frac{\delta_{3'1'}}{\lambda} (2\pi) = \frac{2\pi hx}{a}$$



Position of atom B,  $u = x/a \rightarrow \phi_{3'1'} = 2\pi hu$

Position of atom B,  $uvw \rightarrow \phi = 2\pi(hu + kv + lw)$

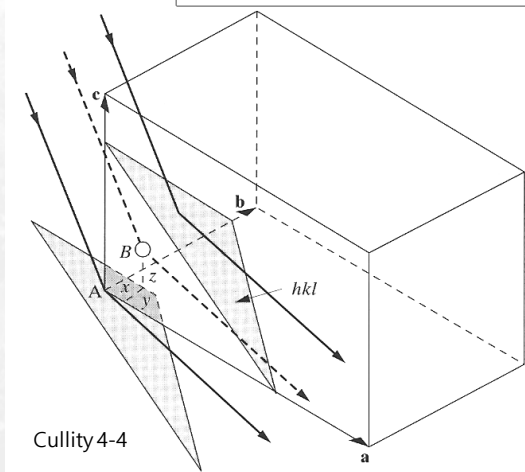
phase difference b/w waves scattered by B and that by A at origin, for the hkl reflection

## Structure factor

Phase difference b/w waves scattered by B and that scattered by A at origin for hkl reflection

$$\phi = 2\pi(hu + kv + lw)$$

Add all the waves scattered by each atom in the unit cell. → addition of complex numbers representing amplitudes and phase of each wave



Any scattered wave from hkl reflection & atom in uvw

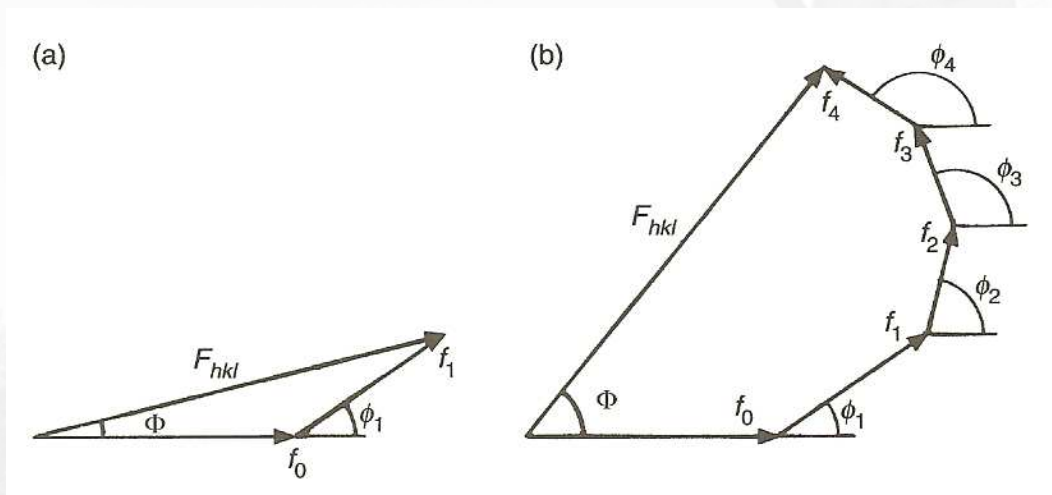
$$Ae^{i\phi} = fe^{2\pi i(hu + kv + lw)}$$

$$F_{hkl} = \sum_1^N f_n e^{2\pi i(hu_n + kv_n + lw_n)}$$

F = resultant wave scattered by all the atoms in the unit cell

F contains info on both amplitude and phase of the resultant wave.

## Vector phase diagram for obtaining $F_{hkl}$



Atomic scattering factors are represented with phase angles with respect to a wave scattered from the origin.



$$|F| = \frac{\text{amplitude scattered by all the atoms of a unit cell}}{\text{amplitude scattered by a single electron}}$$

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n) \quad I_{hkl} \sim |F_{hkl}|^2$$

intensity of any  
hkl reflection



atomic positions

$u_n, v_n, w_n, f_n \rightarrow F_{hkl}$  can be obtained  $\rightarrow$  can get  $I_{hkl}$

Positions of atoms in unit cell, atomic scattering factors

$\rightarrow F \rightarrow I$

Read Hammond Chap 9.1, 9.2, 9.3  
Read Cullity Chap 4.4

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n)$$

➤ Positions of atoms in unit cell, atomic scattering factors  $\rightarrow F_{hkl}$  &  $I_{hkl}$

$$I_{hkl} \sim |F_{hkl}|^2$$

➤  $I_{hkl}$  from several sets of planes  $\rightarrow$  atom positions ; crystal structure determination

➤  $F \rightarrow I$  vs.  $I \rightarrow F$

✓  $F \rightarrow I$  (structure  $\rightarrow$  Diff pattern);  $I \rightarrow F$  (D pattern  $\rightarrow$  structure)

➤ Phase info is lost in going from  $F_{hkl}$  to  $I_{hkl}$ .  $\rightarrow$  phase problem

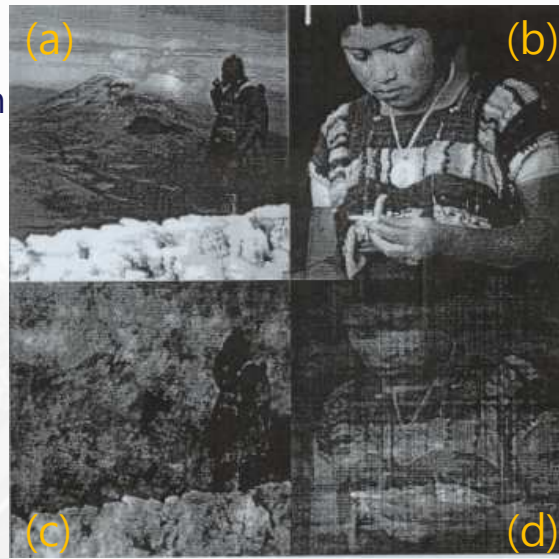
✓ We do not know in which direction the vector  $F_{hkl}$  points.

We would be better off if diffraction measured phase of scattering rather than amplitude! Unfortunately, nature did not oblige us.

Source unknown

A graphic illustration of the phase problem

(a) (b) original images



Fourier reconstruction with phase of (a) and amplitude of (b)

Fourier reconstruction with phase of (b) and amplitude of (a)

Fourier transform

$$F_{hkl} = \int_V \rho_{xyz} \exp[2\pi i(hx + ky + lz)] dV$$

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n)$$

$$\rho_{xyz} = \frac{1}{V} \sum_h \sum_k \sum_l |F_{hkl}| \exp[-2\pi i(hx + ky + lz)]$$

- e' density ← Fourier transform → diffraction pattern
  - ✓ I is related to e' density through Fourier transform
- Xtal structure ← Fourier transform → diffraction pattern
  - ✓ EXAFS pattern ← Fourier transform → radial distribution function
- ρ space = real space                      diffraction space = reciprocal space = k space
- Reciprocal space always has centrosymmetry even though there is no centrosymmetry in real space. → 11 Laue groups (Hammond page 221)
- Diffraction pattern always gives Laue group pattern.

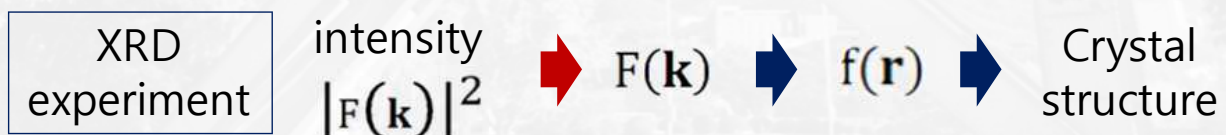
Diffraction pattern 
$$F(\mathbf{k}) = \int_{\text{all } \mathbf{r}} f(\mathbf{r})e^{i\mathbf{k}\cdot\mathbf{r}} d\mathbf{r}$$

$F(\mathbf{k})$  is Fourier transform of  $f(\mathbf{r})$ .

Inverse transform 
$$f(\mathbf{r}) = \int_{\text{all } \mathbf{k}} F(\mathbf{k})e^{-i\mathbf{k}\cdot\mathbf{r}} d\mathbf{k}$$

$F(\mathbf{k})$  ; contains info on the spatial distribution of diffraction pattern.

$f(\mathbf{r})$  ; contains info on the structure of obstacle.



## $f(\mathbf{r})$ vs. $F(\mathbf{k})$

If the structure is known

- $f(\mathbf{r})$  is known.
- diffraction pattern  $F(\mathbf{k})$  can be computed.

If the diffraction pattern is known

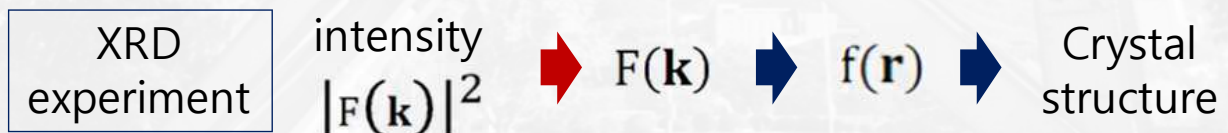
- $F(\mathbf{k})$  is known.
- $f(\mathbf{r})$  can be computed.

The act of diffraction = taking Fourier transform of the obstacle

Diffraction pattern of an obstacle described by  $f(\mathbf{r})$  is the Fourier transform of  $f(\mathbf{r})$ , which is  $F(\mathbf{k})$ .

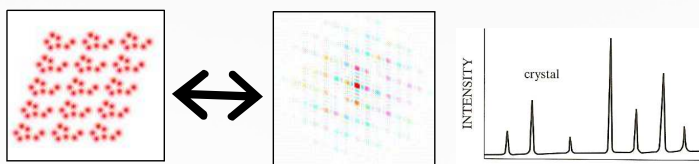
- Information is contained in all space.
- It is impossible to scan all space to collect all the information.
  - some info is lost.
  - reconstruction of the obstacle from the diffraction data will be incomplete.

➤ PHASE PROBLEM



Real space vs. Reciprocal space

Friedel's law



real space ↔ reciprocal space

Table 9.1 The eleven Laue point groups or crystal classes

Crystal system	Laue point group and centrosymmetric point group	Non-centrosymmetric point groups belonging to the Laue point group
Cubic (two Laue point groups)	$m\bar{3}m$ $m\bar{3}$	432 $\bar{4}3m$ 23
Tetragonal (two Laue point groups)	$4/mmm$ $4/m$	422 $4mm$ $\bar{4}2m$ 4 $\bar{4}$
Orthorhombic	$mmm$	222 $mm2$
Trigonal (two Laue point groups)	$\bar{3}m$ $\bar{3}$	32 $3m$ 3
Hexagonal (two Laue point groups)	$6/mmm$ $6/m$	622 $6mm$ $\bar{6}m2$ 6 $\bar{6}$
Monoclinic	$2/m$	2 $m$
Triclinic	$\bar{1}$	1

- Diffraction pattern from a centrosymmetric crystal is centrosymmetric.
- Diffraction pattern from a non-centrosymmetric crystal is centrosymmetric. → Friedel's law



## Structure factor

$$F_{hkl} = \sum_1^N f_n e^{2\pi i(hu_n + kv_n + lw_n)}$$

Unit cell with only one atom at 000

$$F = fe^{2\pi i(0)} = f$$

$$F^2 = f^2.$$

Base-centered cell  
000 &  $\frac{1}{2}\frac{1}{2}0$

$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2 + k/2)}$$

$$= f[1 + e^{\pi i(h+k)}].$$

$$F = 2f \quad \text{for } h \text{ and } k \text{ unmixed;}$$

$$F^2 = 4f^2.$$

$$F = 0 \quad \text{for } h \text{ and } k \text{ mixed;}$$

$$F^2 = 0.$$

Body-centered cell  
000 &  $\frac{1}{2}\frac{1}{2}\frac{1}{2}$

$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2 + k/2 + l/2)}$$

$$= f[1 + e^{\pi i(h+k+l)}].$$

$$F = 2f \quad \text{when } (h + k + l) \text{ is even;}$$

$$F = 4f^2.$$

$$F = 0 \quad \text{when } (h + k + l) \text{ is odd;}$$

$$F^2 = 0.$$

Read Cullity Chap 4.6

Cullity page 138

49

## Structure factor of fcc

$$F_{hkl} = \sum_1^N f_n e^{2\pi i(hu_n + kv_n + lw_n)},$$

face-centered cell  
000,  $\frac{1}{2}\frac{1}{2}0$ ,  $\frac{1}{2}0\frac{1}{2}$  &  $0\frac{1}{2}\frac{1}{2}$

$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2 + k/2)} + fe^{2\pi i(k/2 + l/2)} + fe^{2\pi i(h/2 + l/2)}$$

$$= f[1 + e^{\pi i(h+k)} + e^{\pi i(k+l)} + e^{\pi i(h+l)}].$$

$$F = 4f \quad \text{for unmixed indices;}$$

$$F^2 = 16f^2$$

$$F = 0 \quad \text{for mixed indices;}$$

$$F^2 = 0$$

unmixed  
mixed  
mixed  
unmixed

h	k	l	h+k	k+l	h+l	h+k+l
e	e	e	e	e	e	e
e	e	o	e	o	o	o
e	o	o	o	e	o	e
o	o	o	e	e	e	o

Structure factor is independent of size & shape of the unit cell

# Structure factor of NaCl

NaCl  
 Na 000, 1/2 1/2 0, 1/2 0 1/2 & 0 1/2 1/2  
 Cl 1/2 1/2 1/2, 00 1/2, 0 1/2 0, 1/2 00

$$F_{hkl} = \sum_1^N f_n e^{2\pi i(hu_n + kv_n + lw_n)}$$

$$F = f_{Na} e^{2\pi i(0)} + f_{Na} e^{2\pi i(h/2 + k/2)} + f_{Na} e^{2\pi i(h/2 + l/2)} + f_{Na} e^{2\pi i(k/2 + l/2)} \\ + f_{Cl} e^{2\pi i(h/2 + k/2 + l/2)} + f_{Cl} e^{2\pi i(l/2)} + f_{Cl} e^{2\pi i(k/2)} + f_{Cl} e^{2\pi i(h/2)}$$

$$F = f_{Na} [1 + e^{\pi i(h+k)} + e^{\pi i(h+l)} + e^{\pi i(k+l)}] \\ + f_{Cl} [e^{\pi i(h+k+l)} + e^{\pi i l} + e^{\pi i k} + e^{\pi i h}]$$

$$F = f_{Na} [1 + e^{\pi i(h+k)} + e^{\pi i(h+l)} + e^{\pi i(k+l)}] \\ + f_{Cl} e^{\pi i(h+k+l)} [1 + e^{\pi i(-h-k)} + e^{\pi i(-h-l)} + e^{\pi i(-k-l)}]$$

$$F = [1 + e^{\pi i(h+k)} + e^{\pi i(h+l)} + e^{\pi i(k+l)}] [f_{Na} + f_{Cl} e^{\pi i(h+k+l)}]$$

$F = 0$  for mixed indices;

$$F^2 = 0.$$

For unmixed indices

$$F = 4[f_{Na} + f_{Cl} e^{\pi i(h+k+l)}].$$

$F = 4(f_{Na} + f_{Cl})$  if  $(h + k + l)$  is even;

$$F^2 = 16(f_{Na} + f_{Cl})^2.$$

$F = 4(f_{Na} - f_{Cl})$  if  $(h + k + l)$  is odd;

$$F^2 = 16(f_{Na} - f_{Cl})^2.$$

FCC	for unmixed indices	for mixed indices
	$F = 4f$ $F^2 = 16f^2$	$F = 0$ $F^2 = 0$

# Structure Factor - CsCl

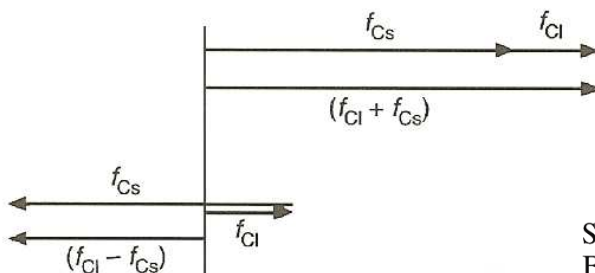
(1/2 1/2 1/2) for Cs (000) for Cl

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n)$$

$$F_{hkl} = f_{Cl} \exp 2\pi i(h0 + k0 + l0) + f_{Cs} \exp 2\pi i(h\frac{1}{2} + k\frac{1}{2} + l\frac{1}{2}) \\ = f_{Cl} + f_{Cs} \exp \pi i(h + k + l).$$

$$F_{hkl} = f_{Cl} + f_{Cs} \quad (h + k + l) = \text{even}$$

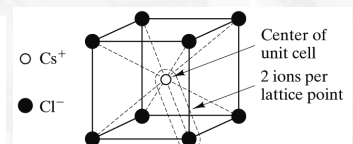
$$F_{hkl} = f_{Cl} - f_{Cs} \quad (h + k + l) = \text{odd}$$



➤ caesium iodide (CsI)

✓ basis I: 0,0,0 Cs<sup>+</sup>: 1/2, 1/2, 1/2

Structure: CsCl type  
 Bravais lattice: **simple cubic**  
 Ions/unit cell: 1Cs<sup>+</sup> + 1Cl<sup>-</sup>



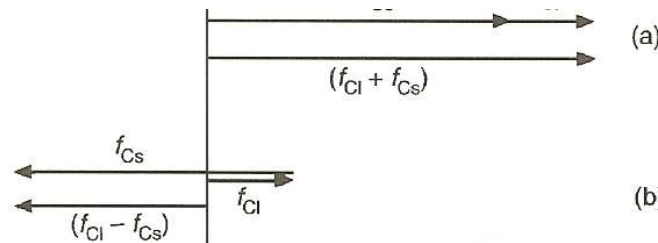
## Structure Factor - CsCl

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n)$$

*Example 1:* CsCl structure (Fig. 1.12). The  $(u_n v_n w_n)$  values are (000) for Cl, atomic scattering factor  $f_{\text{Cl}}$  and  $(\frac{1}{2} \frac{1}{2} \frac{1}{2})$  for Cs, atomic scattering factor  $f_{\text{Cs}}$ . Substituting these two terms in the equation:

$$\begin{aligned} F_{hkl} &= f_{\text{Cl}} \exp 2\pi i(h0 + k0 + l0) + f_{\text{Cs}} \exp 2\pi i(h\frac{1}{2} + k\frac{1}{2} + l\frac{1}{2}) \\ &= f_{\text{Cl}} + f_{\text{Cs}} \exp \pi i(h + k + l). \end{aligned}$$

Two situations may be identified: when  $(h + k + l) = \text{even integer}$ ,  $\exp \pi i$  (even integer) = 1, hence  $F_{hkl} = f_{\text{Cl}} + f_{\text{Cs}}$  and when  $(h + k + l) = \text{odd integer}$ ,  $\exp \pi i$  (odd integer) = -1, hence  $F_{hkl} = f_{\text{Cl}} - f_{\text{Cs}}$ . These two situations may be simply represented on the Argand diagram as shown in Fig. 9.4. Note that in both cases  $F_{hkl}$  is a real number; the imaginary component is zero. This arises because CsCl has a centre of symmetry at the origin, as explained below.



## Structure Factor - hcp

$$(000) \left( \frac{1}{3} \frac{2}{3} \frac{1}{2} \right)$$

$$\begin{aligned} F_{hkl} &= f \exp 2\pi i(h0 + k0 + l0) + f \exp 2\pi i(h\frac{1}{3} + k\frac{2}{3} + l\frac{1}{2}) \\ &= f(1 + \exp 2\pi i(h\frac{1}{3} + k\frac{2}{3} + l\frac{1}{2})). \end{aligned}$$

$$[(h + 2k)/3 + l/2] = g \quad F = f(1 + e^{2\pi ig})$$

$$|F|^2 = f^2(1 + e^{2\pi ig})(1 + e^{-2\pi ig})$$

$$= f^2(2 + e^{2\pi ig} + e^{-2\pi ig}).$$

$$|F|^2 = f^2(2 + 2 \cos 2\pi g)$$

$$= f^2[2 + 2(2 \cos^2 \pi g - 1)]$$

$$= f^2(4 \cos^2 \pi g)$$

$$= 4f^2 \cos^2 \pi \left( \frac{h + 2k}{3} + \frac{l}{2} \right)$$

$$= 0 \text{ when } (h + 2k) \text{ is a multiple of 3 and } l \text{ is odd.}$$

$$F_{hkl} = \sum_{n=0}^{n=N} f_n \exp 2\pi i(hu_n + kv_n + lw_n)$$

When  $(h + 2k)$  is a multiple of 3 and  $l$  is even

$$\left( \frac{h + 2k}{3} + \frac{l}{2} \right) = n, \text{ where } n \text{ is an integer;}$$

$$\cos \pi n = \pm 1,$$

$$\cos^2 \pi n = 1,$$

$$|F|^2 = 4f^2.$$

$h + 2k$	$l$	$ F ^2$
3 m	odd	0
3 m	even	$4f^2$
$3 m \pm 1$	odd	$3f^2$
$3 m \pm 1$	even	$f^2$

*Example 2:* hcp metal structure. We have a choice of unit cells (Fig. 5.8); it is best to refer to the primitive hexagonal cell, Fig. 5.8(a), which contains two identical atoms, atomic scattering factor  $f$ , with fractional coordinates (000) (the A layer atoms) and  $(\frac{1}{3}\frac{2}{3}\frac{1}{2})$  (the B layer atoms). Substituting these two terms in the equation:

## Structure Factor hcp

$$F_{hkl} = f \exp 2\pi i(h0 + k0 + l0) + f \exp 2\pi i(h\frac{1}{3} + k\frac{2}{3} + l\frac{1}{2})$$

$$= f(1 + \exp 2\pi i(h\frac{1}{3} + k\frac{2}{3} + l\frac{1}{2})).$$

Now let us apply this to some particular ( $hkl$ ) planes, e.g. (002)  $\equiv$  (0002); (100)  $\equiv$  (10 $\bar{1}$ 0) and (101)  $\equiv$  (10 $\bar{1}$ 1).

$$F_{002} = f(1 + \exp 2\pi i) = 2f$$

$$F_{100} = f(1 + \exp \frac{2}{3}\pi i) = f(1 + \cos \frac{2}{3}\pi + i \sin \frac{2}{3}\pi) = f(0.5 + i0.866)$$

$$F_{101} = f(1 + \exp 2\pi i(\frac{1}{3} + \frac{1}{2})) = f(1 + \cos \frac{5}{3}\pi + i \sin \frac{5}{3}\pi) = f(1.5 - i0.866).$$

These results are shown graphically in Fig. 9.5. Note that  $F_{100}$  and  $F_{101}$  are complex numbers.

The intensities  $I_{hkl}$  of X-ray beams are proportional to their amplitudes squared, or  $F_{hkl}$  multiplied by its complex conjugate  $F_{hkl}^*$  (see Appendix 5). For the hcp metal examples above:

$$I_{002} = 2f \cdot 2f = 4f^2$$

$$I_{100} = f(0.5 + i0.866)f(0.5 - i0.866) = f^2$$

$$I_{101} = f(1.5 - i0.866)f(1.5 + i0.866) = 3f^2.$$

Read Cullity Chap 4.6

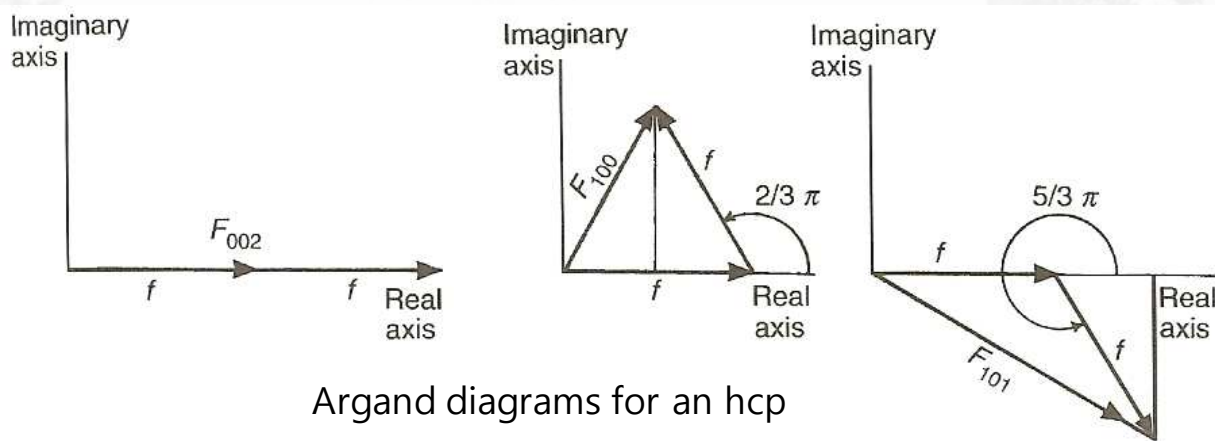
Hammond Chap 9

## Structure Factor - hcp

$$F_{002} = f(1 + \exp 2\pi i) = 2f$$

$$F_{100} = f(1 + \exp \frac{2}{3}\pi i) = f(1 + \cos \frac{2}{3}\pi + i \sin \frac{2}{3}\pi) = f(0.5 + i0.866)$$

$$F_{101} = f(1 + \exp 2\pi i(\frac{1}{3} + \frac{1}{2})) = f(1 + \cos \frac{5}{3}\pi + i \sin \frac{5}{3}\pi) = f(1.5 - i0.866).$$



Argand diagrams for an hcp



**Example 3:** A crystal with a centre of symmetry at the origin. This is an important case because the structure factor for all reflections is real. For every atom with fractional coordinates  $(uvw)$  and phase angle  $+\phi$  there will be an identical one on the *opposite* side of the origin with fractional coordinates  $(\bar{u}\bar{v}\bar{w})$  and phase angle  $-\phi$ . For these two atoms:

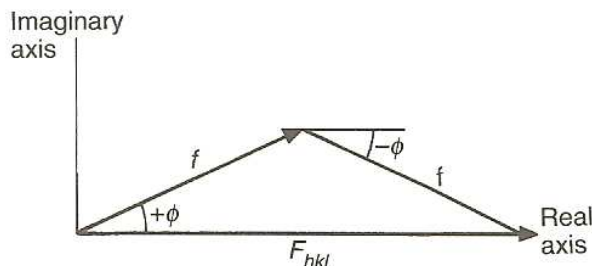
$$F_{hkl} = f \exp 2\pi i(hu + kv + lw) + f \exp 2\pi i(\bar{h}\bar{u} + \bar{k}\bar{v} + \bar{l}\bar{w})$$

$$= f \exp 2\pi i(hu + kv + lw) + f \exp -2\pi i(hu + kv + lw).$$

The second term is the complex conjugate of the first, hence the sine terms cancel and

$$F_{hkl} = 2f \cos 2\pi(hu + kv + lw)$$

as shown graphically in Fig. 9.6.



**Fig. 9.6.** The Argand diagram for a centrosymmetric crystal. The phase angle  $+\phi$  for the atom at  $(uvw)$  is equal and opposite to the phase angle  $-\phi$  for the atom at  $(\bar{u}\bar{v}\bar{w})$ , hence  $F_{hkl}$  is real.

## Friedel's law

- Diffraction pattern from a centrosymmetric crystal is centrosymmetric.
- Diffraction pattern from a non-centrosymmetric crystal is centrosymmetric.  
→ Friedel's law
- Laue group (Laue class)

$$I_{hkl} = F_{hkl} \cdot F_{hkl}^* = f \exp 2\pi i(hu + kv + lw) f \exp -2\pi i(hu + kv + lw)$$

$$= f \exp 2\pi i(hu + kv + lw) f \exp 2\pi i(\bar{h}\bar{u} + \bar{k}\bar{v} + \bar{l}\bar{w})$$

$$I_{\bar{h}\bar{k}\bar{l}} = F_{\bar{h}\bar{k}\bar{l}} \cdot F_{\bar{h}\bar{k}\bar{l}}^* = f \exp 2\pi i(\bar{h}\bar{u} + \bar{k}\bar{v} + \bar{l}\bar{w}) f \exp -2\pi i(\bar{h}\bar{u} + \bar{k}\bar{v} + \bar{l}\bar{w})$$

$$= f \exp 2\pi i(\bar{h}\bar{u} + \bar{k}\bar{v} + \bar{l}\bar{w}) f \exp 2\pi i(hu + kv + lw).$$

$$F_{hkl} = F_{\bar{h}\bar{k}\bar{l}}^* \text{ and } F_{hkl}^* = F_{\bar{h}\bar{k}\bar{l}}, \text{ hence } \boxed{I_{hkl} = I_{\bar{h}\bar{k}\bar{l}}} \text{ Friedel's law}$$

- Reciprocal space always has centrosymmetry even though there is no centrosymmetry in real space. → 11 Laue groups (Hammond page 221)
- Diffraction pattern always gives Laue group pattern.

# 11 Laue group

- The presence of a center of symmetry in the diffraction pattern means that "non-centrosymmetric crystals cannot be distinguished from those with a center of symmetry".
- There are 11 centrosymmetric point groups and hence 11 symmetries which diffraction patterns can possess. These are called 11 Laue groups.

**Table 9.1** The eleven Laue point groups or crystal classes

Crystal system	Laue point group and centrosymmetric point group	Non-centrosymmetric point groups belonging to the Laue point group
Cubic	$m\bar{3}m$	432 $\bar{4}3m$
(two Laue point groups)	$m\bar{3}$	23
Tetragonal	$4/mmm$	422 $4mm$ $\bar{4}2m$
(two Laue point groups)	$4/m$	4 $\bar{4}$
Orthorhombic	$mmm$	222 $mm2$
Trigonal	$\bar{3}m$	32 $3m$
(two Laue point groups)	$\bar{3}$	3
Hexagonal	$6/mmm$	622 $6mm$ $\bar{6}m2$
(two Laue point groups)	$6/m$	6 $\bar{6}$
Monoclinic	$2/m$	2 $m$
Triclinic	$\bar{1}$	1

Meaning of Laue index, Laue class, Laue group???

Hammond Table 9.1

Read Hammond Chap 9.1, 9.2, 9.3

# 11 Laue groups

**Table 9.1** The eleven Laue point groups or crystal classes

Crystal system	Laue point group and centrosymmetric point group	Non-centrosymmetric point groups belonging to the Laue point group
Cubic	$m\bar{3}m$	432 $\bar{4}3m$
(two Laue point groups)	$m\bar{3}$	23
Tetragonal	$4/mmm$	422 $4mm$ $\bar{4}2m$
(two Laue point groups)	$4/m$	4 $\bar{4}$
Orthorhombic	$mmm$	222 $mm2$
Trigonal	$\bar{3}m$	32 $3m$
(two Laue point groups)	$\bar{3}$	3
Hexagonal	$6/mmm$	622 $6mm$ $\bar{6}m2$
(two Laue point groups)	$6/m$	6 $\bar{6}$
Monoclinic	$2/m$	2 $m$
Triclinic	$\bar{1}$	1

- Non-centrosymmetric point groups cannot be distinguished from centrosymmetric groups from diffraction.
- 11 centrosymmetric point groups (Laue group) → diffraction pattern can have 11 symmetries.

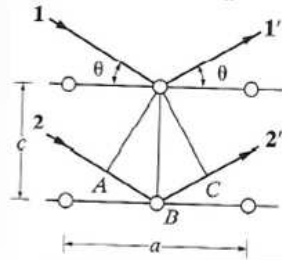
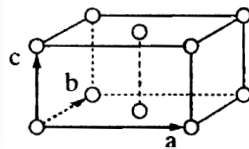
# Systematic absence (extinction)

## Systematic extinction (absence)

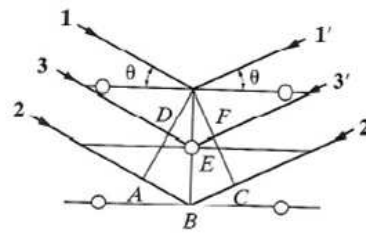
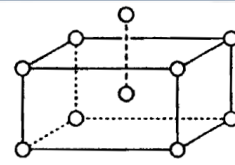
- The condition that structure factor becomes zero due to a systematic symmetry condition
- Presence of reflections with zero intensity caused by the space group (symmetry) of unit cell
- Arise from centering of unit cell and/or the presence of translational symmetry elements – screw axes, glide planes.
  - ✓ Can get info on these elements from the extinction of peaks.
  - ✓ Translational symmetry inside unit cell, screw axes, glide planes can introduce systematic extinctions.

# Diffraction from (001) planes

base-centered orthorhombic lattice



body-centered orthorhombic lattice

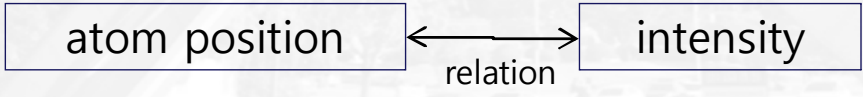


$$F = 2f \quad \text{for } h \text{ and } k \text{ unmixed;}$$

$$F^2 = 4f^2.$$

$$F = 0 \quad \text{when } (h + k + l) \text{ is odd;}$$

$$F^2 = 0.$$



# Structure factor & Extinction conditions

$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2 + k/2)}$$

$$= f[1 + e^{\pi i(h+k)}].$$

$F = 2f$  for  $h$  and  $k$  unmixed;

$$F^2 = 4f^2.$$

$F = 0$  for  $h$  and  $k$  mixed;

$$F^2 = 0.$$

$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2 + k/2 + l/2)}$$

$$= f[1 + e^{\pi i(h+k+l)}].$$

$F = 2f$  when  $(h + k + l)$  is even

$$F^2 = 4f^2.$$

$F = 0$  when  $(h + k + l)$  is odd;

$$F^2 = 0.$$

**Table 3.2. Example of Conditions for the Extinction of Reflections Due to Translational Symmetries**

Symmetry	Extinction Conditions
$P$	none
$C$	$hkl; h + k = \text{odd}$
$I$	$hkl; h + k + l = \text{odd}$
$F$	$hkl; h, k, l$ mixed even and odd
$2_1 \parallel b$	$0k0; k = \text{odd}$
$c \perp b$	$h0l; l = \text{odd}$



**Generators selected** (1);  $t(1,0,0)$ ;  $t(0,1,0)$ ;  $t(0,0,1)$ ; (2); (3)

**Positions**

Multiplicity,  
Wyckoff letter,  
Site symmetry

Coordinates

Reflection conditions

4 *i* 1 (1)  $x,y,z$  (2)  $\bar{x},\bar{y},z$  (3)  $x,\bar{y},z$  (4)  $\bar{x},y,z$

General position

General:  
no conditions

Special: no extra conditions

2 *h* *m*..  $\frac{1}{2},y,z$   $\frac{1}{2},\bar{y},z$

2 *g* *m*..  $0,y,z$   $0,\bar{y},z$

2 *f* .*m*.  $x,\frac{1}{2},z$   $\bar{x},\frac{1}{2},z$

2 *e* .*m*.  $x,0,z$   $\bar{x},0,z$

1 *d* *m**m*2  $\frac{1}{2},\frac{1}{2},z$

1 *c* *m**m*2  $\frac{1}{2},0,z$

1 *b* *m**m*2  $0,\frac{1}{2},z$

1 *a* *m**m*2  $0,0,z$

Special position

Table 3.2. Example of Conditions for the Extinction of Reflections Due to Translational Symmetries

Symmetry	Extinction Conditions
<i>P</i>	none
<i>C</i>	$hkl$ ; $h+k = \text{odd}$
<i>I</i>	$hkl$ ; $h+k+l = \text{odd}$
<i>F</i>	$hkl$ ; $h, k, l$ mixed even and odd
$2_1 \parallel b$	$0k0$ ; $k = \text{odd}$
$c \perp b$	$h0l$ ; $l = \text{odd}$

② **Generators selected** (1);  $t(1,0,0)$ ;  $t(0,1,0)$ ;  $t(0,0,1)$ ;  $t(\frac{1}{2},\frac{1}{2},0)$ ; (2); (3)

③ **Positions**

Multiplicity,  
Wyckoff letter,  
Site symmetry

Coordinates

$(0,0,0)+ (\frac{1}{2},\frac{1}{2},0)+$

8 *f* 1 (1)  $x,y,z$  (2)  $\bar{x},\bar{y},z$  (3)  $x,\bar{y},z$  (4)  $\bar{x},y,z$

Reflection conditions

General:

$hkl$  :  $h+k = 2n$   
 $Ok0$  :  $k = 2n$   
 $h0l$  :  $h = 2n$   
 $hk0$  :  $h+k = 2n$   
 $h00$  :  $h = 2n$   
 $0k0$  :  $k = 2n$

Table 3.2. Example of Conditions for the Extinction of Reflections Due to Translational Symmetries

Symmetry	Extinction Conditions
<i>P</i>	none
<i>C</i>	$hkl$ ; $h+k = \text{odd}$
<i>I</i>	$hkl$ ; $h+k+l = \text{odd}$
<i>F</i>	$hkl$ ; $h, k, l$ mixed even and odd
$2_1 \parallel b$	$0k0$ ; $k = \text{odd}$
$c \perp b$	$h0l$ ; $l = \text{odd}$

Special: as above, plus

no extra conditions  
 no extra conditions  
 $hkl$  :  $h = 2n$   
 no extra conditions  
 no extra conditions

④ **Symmetry of special projections**

Along  $[001]$  *c*2*mm*  
 $a' = a$   $b' = b$   
 Origin at  $0,0,z$

Along  $[100]$  *p*1*m*1  
 $a' = \frac{1}{2}b$   $b' = c$   
 Origin at  $x,0,0$

Along  $[010]$  *p*11*m*  
 $a' = c$   $b' = \frac{1}{2}a$   
 Origin at  $0,y,0$

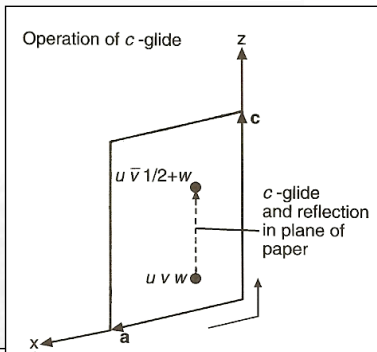
⑤ **Maximal non-isomorphic subgroups**

*I* [2] *C*1*m*1 (*Cm*, 8) (1; 3)+  
 [2] *C**m*11 (*Cm*, 8) (1; 4)+  
 [2] *C*112 (*P*2, 3) (1; 2)+

CONTINUED		No. 14		$P2_1/c$	
<b>Generators selected</b> (1); $r(1,0,0)$ ; $r(0,1,0)$ ; $r(0,0,1)$ ; (2); (3)					
<b>Positions</b>					
Multiplicity:		Coordinates			
Wyckoff letter:					
Site symmetry:					
4 e 1	(1) $x,y,z$	(2) $\bar{x},y+\frac{1}{2},z+\frac{1}{2}$	(3) $\bar{x},y,\bar{z}$	(4) $x,\bar{y}+\frac{1}{2},z+\frac{1}{2}$	
2 d $\bar{1}$	$\frac{1}{2},0,\frac{1}{2}$	$\frac{1}{2},\frac{1}{2},0$			
2 c $\bar{1}$	$0,0,\frac{1}{2}$	$0,\frac{1}{2},0$			
2 b $\bar{1}$	$\frac{1}{2},0,0$	$\frac{1}{2},\frac{1}{2},\frac{1}{2}$			
2 a $\bar{1}$	$0,0,0$	$0,\frac{1}{2},\frac{1}{2}$			
<b>Symmetry of special projections</b>					
Along [001] $p2gm$	$a = a, b = b$	Along [100] $p2gg$	$a = b, b = c,$	Along [010] $p2$	$a = \frac{1}{2}c, b = a$
Origin at 0,0,z		Origin at x,0,0		Origin at 0,y,0	
<b>Reflection conditions</b>					
<b>General:</b>					
$h0l : l = 2n$					
$0k0 : k = 2n$					
$00l : l = 2n$					
<b>Special: as above, plus</b>					
$hkl : k + l = 2n$					
$hkl : k + l = 2n$					
$hkl : k + l = 2n$					
$hkl : k + l = 2n$					
<b>Maximal non-isomorphic subgroups</b>					
I	[2] $P1c1 (Pc, 7)$	1; 4			
	[2] $P12_1 (P2_1, 4)$	1; 2			
	[2] $P\bar{1} (2)$	1; 3			
IIa	none				
IIb	none				
<b>Maximal isomorphic subgroups of lowest index</b>					
IIc	[2] $P12_1/c1 (a = 2a \text{ or } a = 2a, c = 2a + c) (P2_1/c, 14)$		[3] $P12_1/c1 (b = 3b) (P2_1/c, 14)$		
<b>Minimal non-isomorphic supergroups</b>					
I	[2] $Pnna (52)$ ; [2] $Pmna (53)$ ; [2] $Pcca (54)$ ; [2] $Pbam (55)$ ; [2] $Pccn (56)$ ; [2] $Pbcm (57)$ ; [2] $Pnmm (58)$ ; [2] $Pbcn (60)$ ;				
	[2] $Pbca (61)$ ; [2] $Pnma (62)$ ; [2] $Cmca (64)$				
II	[2] $A12/m1 (C2/m, 12)$ ; [2] $C12/c1 (C2/c, 15)$ ; [2] $I12/c1 (C2/c, 15)$ ; [2] $P12_1/m1 (C = \frac{1}{2}c) (P2_1/m, 11)$ ;				
	[2] $P12/c1 (b = \frac{1}{2}b) (P2_1/c, 13)$				

## Systematic absence (extinction)

monoclinic  $c \perp b$   $h0l: l = \text{odd}$



$$F_{hkl} = f \exp 2\pi i(hu + kv + lw) + f \exp 2\pi i(hu + k\bar{v} + l(\frac{1}{2} + w)).$$

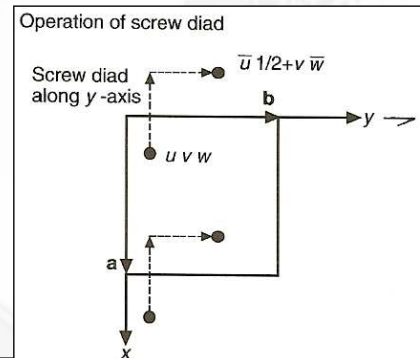
Consider the  $h0l$  reflections ( $k = 0$ ):

$$F_{h0l} = f \exp 2\pi i(hu + lw) + f \exp 2\pi i(hu + lw) \exp 2\pi i \frac{1}{2} l$$

$$= f \exp 2\pi i(hu + lw) [1 + \exp \pi i l].$$

$F_{h0l} = 0$  when  $l = \text{odd}$  ;  
systematic absence for  $h0l$  planes

monoclinic  $2_1 \parallel b$   $0k0: k = \text{odd}$



$$F_{hkl} = f \exp 2\pi i(hu + kv + lw) + f \exp 2\pi i(\bar{h}u + k(\frac{1}{2} + v) + l\bar{w})$$

consider the  $0k0$  reflections ( $h = l = 0$ )

$$F_{0k0} = f \exp 2\pi i(kv) + f \exp 2\pi i(k\frac{1}{2} + kv)$$

$$= f \exp 2\pi i(kv) [1 + \exp \pi i k].$$

$F_{0k0} = 0$  when  $k = \text{odd}$  ;  
systematic absence for  $0k0$  planes

## Systematic absence (extinction)

Table A6.1 Extinction criteria for lattices and symmetry elements

Lattice or symmetry element type	Symbol	Class of reflections	Condition for presence
Lattice type:		<i>hkl</i>	
primitive	<i>P</i>		none
body-centred	<i>I</i>		$h + k + l = 2n$
centred on the <i>C</i> face	<i>C</i>		$h + k = 2n$
centred on the <i>A</i> face	<i>A</i>		$k + l = 2n$
centred on the <i>B</i> face	<i>B</i>		$h + l = 2n$
			$h, k, l$
centred on all faces	<i>F</i>		all = $n$ (odd) or all = $2n$ (even)
rhombohedral, obverse	<i>R</i>		$-h + k + l = 3n$
rhombohedral, reverse	<i>R</i>		$h - k + l = 3n$
Glide plane    (001)	<i>a</i> <i>b</i> <i>n</i> <i>d</i>	<i>hk0</i>	$h = 2n$ $k = 2n$ $h + k = 2n$ $h + k = 4n$
Glide plane    (100)	<i>b</i> <i>c</i> <i>n</i> <i>d</i>	<i>0kl</i>	$k = 2n$ $l = 2n$ $k + l = 2n$ $k + l = 4n$
Glide plane    (010)	<i>a</i> <i>c</i> <i>d</i> <i>n</i>	<i>h0l</i>	$h = 2n$ $l = 2n$ $h + l = 2n$ $h + l = 4n$
Glide plane    (110)	<i>c</i> <i>n</i> <i>d</i>	<i>hhl</i>	$l = 2n$ $h + k = 2n$ $2h + l = 4n$
Screw axis    <i>c</i>	$2_1, 4_2, 6_3$ $3_1, 3_2, 6_2, 6_4$ $4_1, 4_2$ $6_1, 6_5$	<i>00l</i>	$l = 2n$ $l = 3n$ $l = 4n$ $l = 6n$
Screw axis    <i>a</i>	$2_1, 4_2$ $4_1, 4_3$	<i>h00</i>	$h = 2n$ $h = 4n$
Screw axis    <i>b</i>	$2_1, 4_2$ $4_1, 4_3$	<i>0k0</i>	$k = 2n$ $k = 4n$
Screw axis    [110]	$2_1$	<i>hh0</i>	$h = 2n$

( $n$  = odd integer,  $2n$  = even integer etc.)

Hammond Appendix 6

69

## Factors affecting the relative intensity of Bragg reflections

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- Structure factor
- Polarization factor
- Multiplicity factor
- Lorentz factor
- Absorption factor
- Temperature factor

# Multiplicity

## Multiplicity

- # of permutations of position and sign of  $\pm h, \pm k, \pm l$  for planes having same  $d$  and  $F^2$  (Cullity)
- # of equivalent planes cutting a unit cell in a particular  $hkl$  family (Jenkins & Snyder)
- # of equivalent orientations that a unit cell can have for a given crystallographic direction (Krawitz)
- Cubic
  - ✓  $(100), (010), (001), (-100), (0-10), (00-1) \rightarrow 6$
  - ✓  $(111), (-111), (1-11), (11-1), (1-1-1), (-11-1), (-1-11), (-1-1-1) \rightarrow 8$
  - ✓ (Intensity of 111)  $\times 3 =$  (Intensity of 100)  $\times 4$ , when other things are equal
- Tetragonal
  - ✓  $(100), (010), (-100), (0-10) \rightarrow 4$
  - ✓  $(001), (00-1) \rightarrow 2$
  - ✓  $(111), (-111), (1-11), (11-1), (1-1-1), (-11-1), (-1-11), (-1-1-1) \rightarrow 8$
  - ✓ (Intensity of 111) = (Intensity of 100)  $\times 2$ , when other things are equal
  - ✓ (Intensity of 111) = (Intensity of 001)  $\times 4$ , when other things are equal



Table 6.1. Multiplicities for the Seven Crystal Systems

Index	Triclinic	Monoclinic	Orthorhombic	Hexagonal Trigonal	Tetragonal	Cubic
hkl	2	4	8	24*	16*	48*
hhl	2	4	8	12*	8	24
hh0	2	4	8	6	4	12
0kk	2	4	8	12	8	12
hhh	2	4	8	12	8	8
hk0	2	4	8	12*	8*	24*
h01	2	2	4	12*	8	24*
0kl	2	4	4	12*	8	24*
h00	2	2	2	6	4	6
0k0	2	2	2	6	4	6
001	2	2	2	2	2	6

Note: For some space groups within the crystal systems, indexes marked with \* are half the indicated values. A complete listing may be found in Table 3.5.1 in the *International Tables for X-Ray Crystallography*, Vol. 1, pp. 32–33.

CONTINUED

No. 14

$P2_1/c$

Generators selected (1);  $t(1,0,0)$ ;  $t(0,1,0)$ ;  $t(0,0,1)$ ; (2); (3)

Positions

Multiplicity,  
Wyckoff letter,  
Site symmetry

Coordinates

Reflection conditions

	Multiplicity	Wyckoff letter	Site symmetry	Coordinates	Reflection conditions
4 e	4	e	1	(1) $x, y, z$	(2) $\bar{x}, y + \frac{1}{2}, \bar{z} + \frac{1}{2}$
2 d	2	d	$\bar{1}$	$\frac{1}{2}, 0, \frac{1}{2}$	$\frac{1}{2}, \frac{1}{2}, 0$
2 c	2	c	$\bar{1}$	$0, 0, \frac{1}{2}$	$0, \frac{1}{2}, 0$
2 b	2	b	$\bar{1}$	$\frac{1}{2}, 0, 0$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$
2 a	2	a	$\bar{1}$	$0, 0, 0$	$0, \frac{1}{2}, \frac{1}{2}$

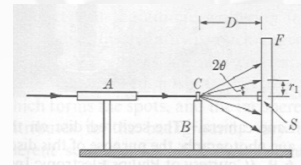
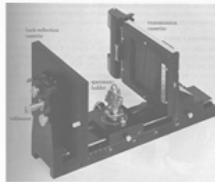
Multiplicity  
Number of equivalent points in a unit cell

- Structure factor
- Polarization factor
- Multiplicity factor
- Lorentz factor
- **Absorption factor**
- Temperature factor

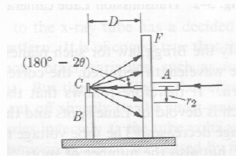
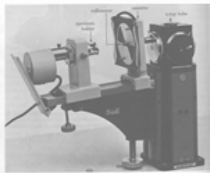
# Absorption

# Absorption factor > Diffractometer, Camera

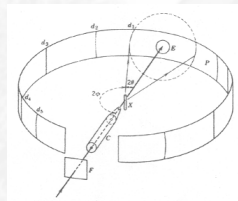
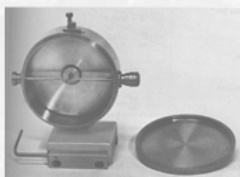
Transmission & back-reflection (Laue camera)



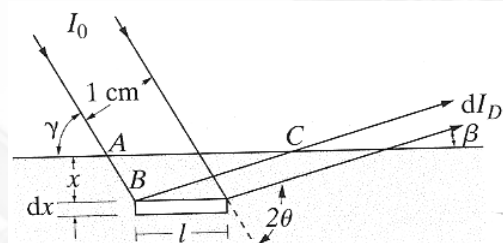
Transmission (Laue camera)



back-reflection (Laue camera)

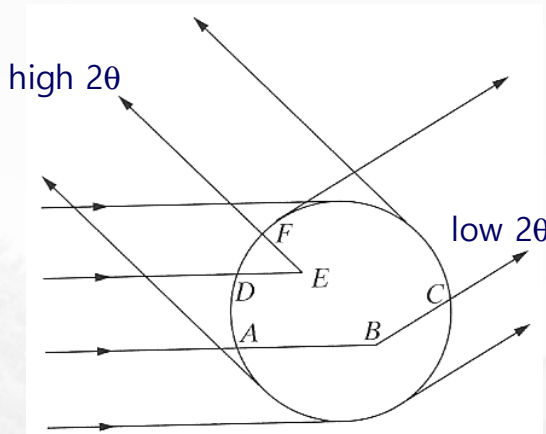


Hull/Debye-Scherrer camera

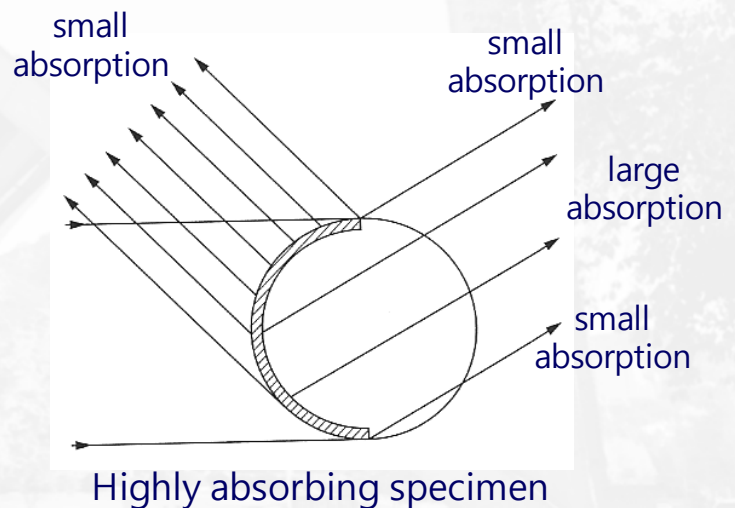


- Absorption is independent of  $\theta$ . ← irradiated volume constant (fixed slit)
- No effect on the relative intensity ← decreases the intensities of all diffracted beams by the same factor.

# Absorption factor > Hull/Debye-Scherrer



- Absorption is larger for low  $\theta$  reflection.



Highly absorbing specimen

# Extinction

## Extinction

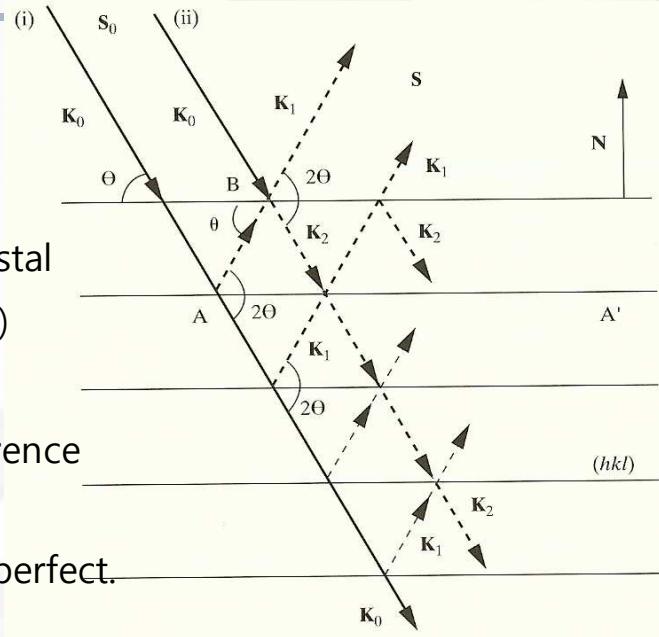
- Diffracted intensity; perfect xtal  $\ll$  ideally imperfect xtal
- Decrease in intensity as the crystal becomes more perfect (large mosaic blocks, oriented).
- Ideally imperfect crystal consists of very small mosaic blocks, uniformly disoriented; no extinction.
- Kinematical theory/dynamical theory
- Powder specimens should be ground as fine as possible.
- Grinding  $\rightarrow$  reduce crystal size, increase # of diffraction cones, decrease mosaic block size, disorient mosaic blocks, strain the crystals non-uniformly.

See Cullity 5-4 for mosaic structure



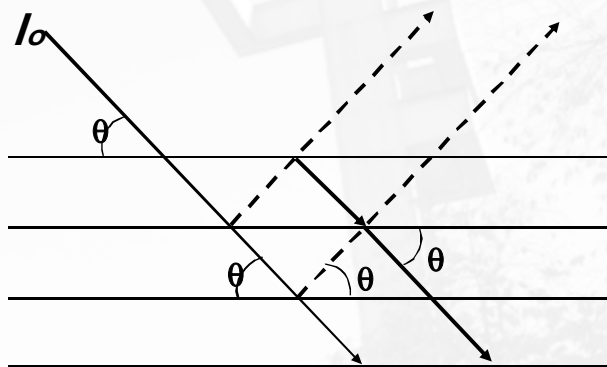
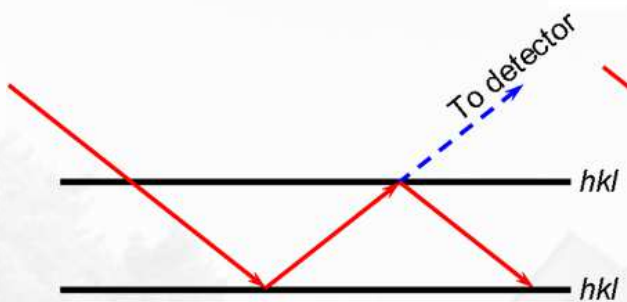
# Primary Extinction

- Diffraction from highly perfect crystal
- $I$  (perfect xtal)  $\ll I$  (imperfect xtal)
- $\phi(K_0/K_1) = \pi/2$
- $\phi(K_0/K_2) = \pi \rightarrow$  destructive interference  $\rightarrow$  lower intensity
- Depends on the degree of being perfect.

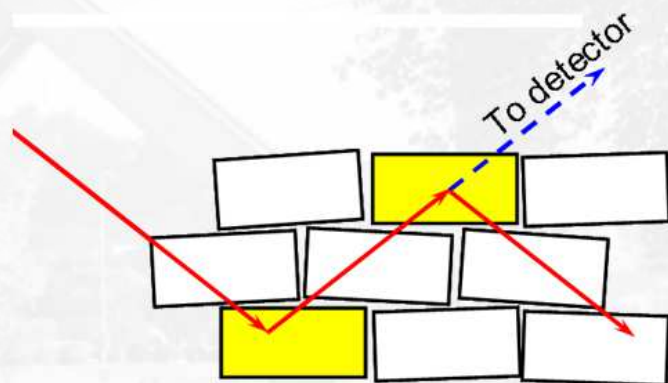


- Does not kill the reflection but lower intensity.
- How to avoid? – give some stress (increase mosaicity by e.g. LN2 quenching, heat & quenching, etc.).

## Primary Extinction

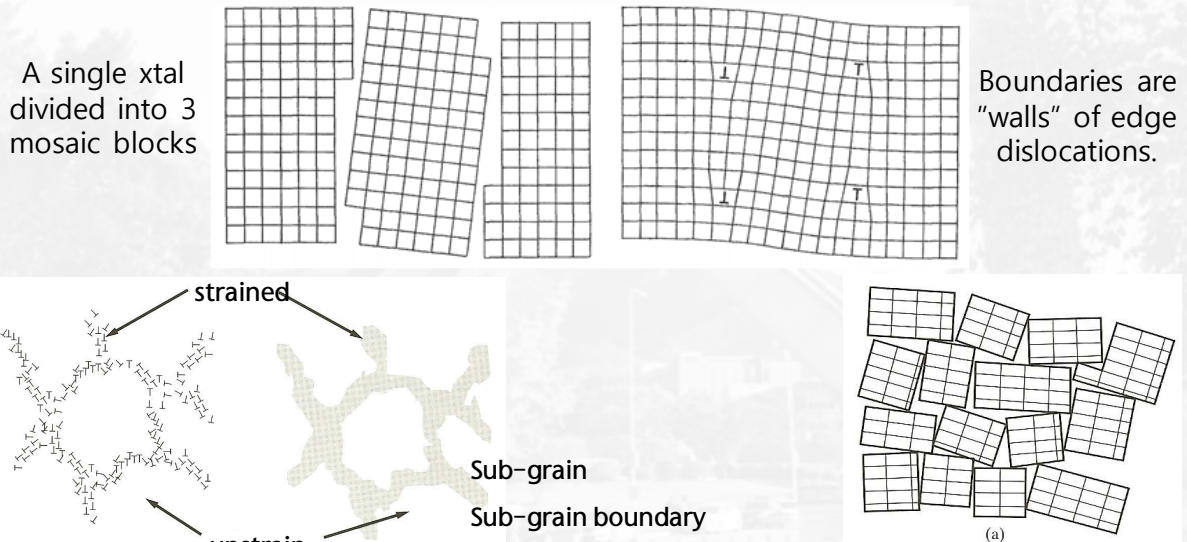


## Secondary extinction



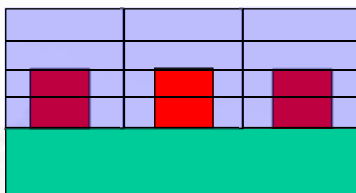
## Mosaic structure, mosaic blocks

- Angle of disorientation between the tiny blocks is  $\epsilon$ . → diffraction occurs at all angles between  $\theta_B$  and  $\theta_B \pm \epsilon$ .
- Increases the integrated intensity relative to that obtained (or calculated) for an ideally perfect crystal. ← strains & strain gradients associated with the groups of dislocations

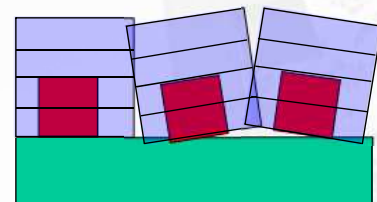


## Mosaic spread

- Mosaicity is created by slight misorientations of different crystals as they nucleate and grow on the substrate. When the crystals join, they form boundaries.

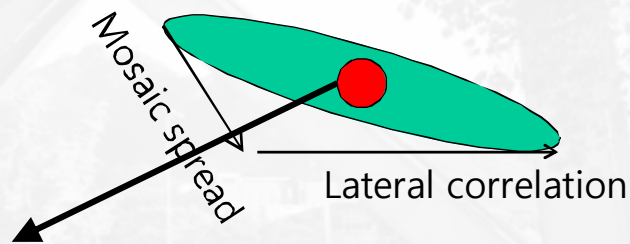


In an ideal case, each nuclei (red) is perfectly oriented. When the crystals grow and meet, there is perfect bonding between the crystallites → no boundary.



If the nuclei (red) are slightly misaligned, then boundaries will be formed.

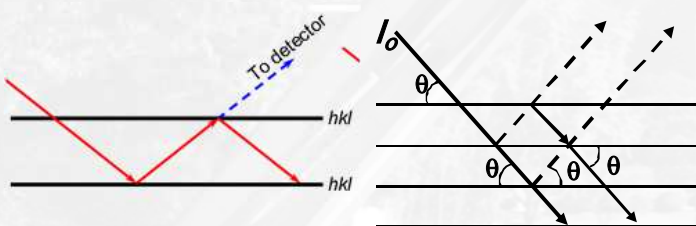
- Mosaic spread can be quantified by measuring the broadening of the lattice point in reciprocal space.
- The amount of broadening of the reciprocal lattice point that is perpendicular to the reflecting plane normal can be attributed to mosaic spread.
- The peak broadening parallel to the interface can be attributed to lateral correlation length.



# Ideally imperfect crystal

- Diffracted intensity; perfect xtal < < ideally imperfect xtal
- Intensity is decreased as the crystal becomes more perfect (large mosaic blocks).
- Ideally imperfect crystal consists of very small mosaic blocks, uniformly disoriented. → no extinction
- Kinematical theory vs. dynamical theory
- Powder specimens should be ground as fine as possible.
- Grinding → reduce crystal size, increase # of diffraction cones, decrease mosaic block size, disorient mosaic blocks, strain the crystals non-uniformly.

### Primary Extinction



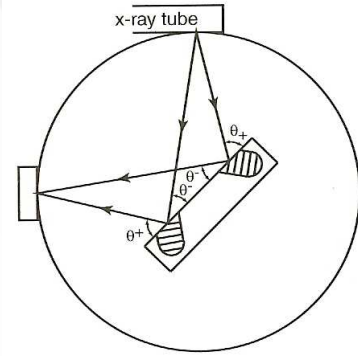
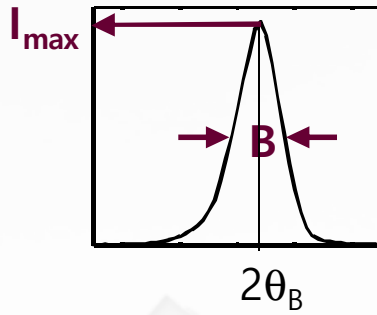
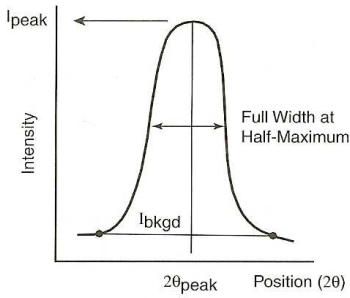
- Does not kill the reflection but lower intensity.
- How to avoid? – give some stress (increase mosaicity by e.g. LN2 quenching, heat & quenching, etc.)

- Structure factor
- Polarization factor
- Multiplicity factor
- Lorentz factor
- Absorption factor
- Temperature factor

# Lorentz Factor

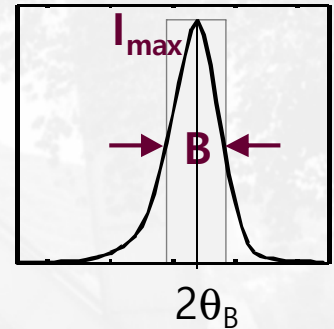
A measure of the amount of time that a point of the reciprocal lattice remains on the reflection sphere during the measuring process



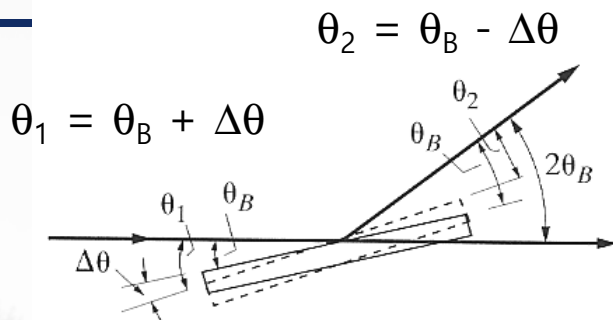


➤ Diffraction occurs over a range of angles.

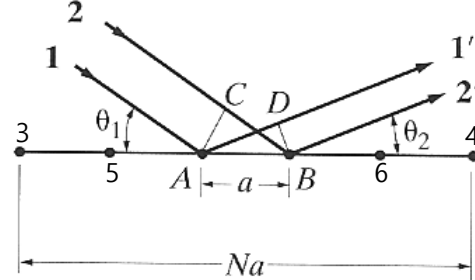
- ✓ Incident X-ray is not perfectly collimated.
  - Angular divergence of the incident x-ray leads to diffraction from particles with slightly different orientations.
- ✓ Incident X-ray is not purely monochromatic.
- ✓ Crystals can have mosaic structure.



$I_{max}$  &  $B \rightarrow$  integrated intensity



Geometrical factor - 1



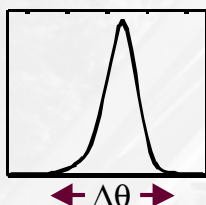
Total length of the plane

$$\delta_{1'2'} = AD - CB = a \cos \theta_2 - a \cos \theta_1$$

$$= a[\cos(\theta_B - \Delta\theta) - \cos(\theta_B + \Delta\theta)].$$

$$\delta_{1'2'} = 2a\Delta\theta \sin \theta_B \rightarrow 2Na \Delta\theta \sin \theta_B \text{ Path difference b/w rays scattered by atoms at either end of the plane (3 \& 4)}$$

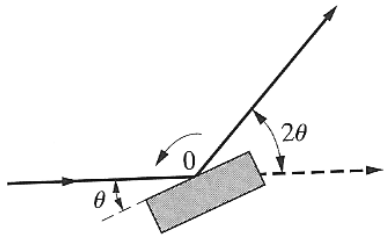
Diffracted intensity = zero when  $2Na \Delta\theta \sin \theta_B = \lambda$



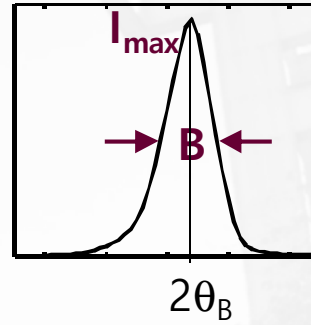
$$\Delta\theta = \frac{\lambda}{2Na \sin \theta_B}$$

Max angular range of the peak

$$I_{max} \propto 1/\sin \theta$$



$$I_{\max} \propto 1/\sin \theta_B$$



$$B \propto 1/\cos \theta_B$$

Size & strain broadening

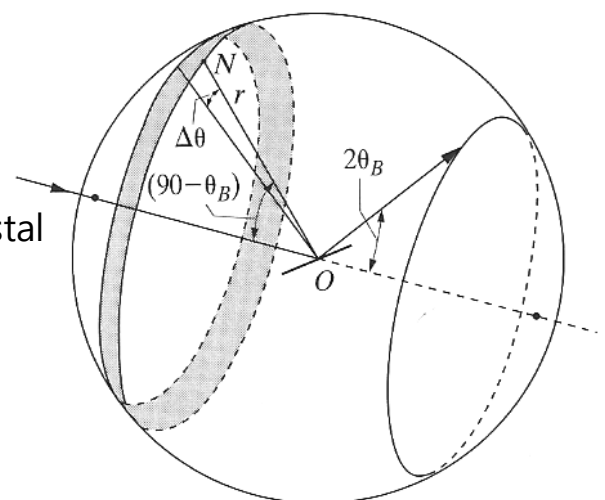
Integrated Intensity

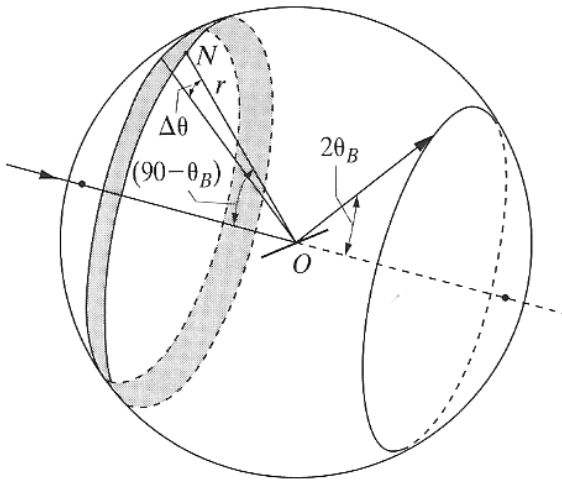
$$\propto I_{\max} B \propto (1/\sin \theta_B) (1/\cos \theta_B) \propto \frac{1}{\sin 2\theta} \quad (1)$$

Geometrical factor - 2

- Intensity of a reflection at  $\theta_B$  depends on the # of crystals oriented at or near  $\theta_B$ .
- This # is not constant even when the crystals are oriented completely at random.

- Powder specimen at O
- ON; normal to hkl plane in one crystal of the powder
- $\Delta\theta$ ; range of  $\theta$  near  $\theta_B$  over which diffraction is appreciable





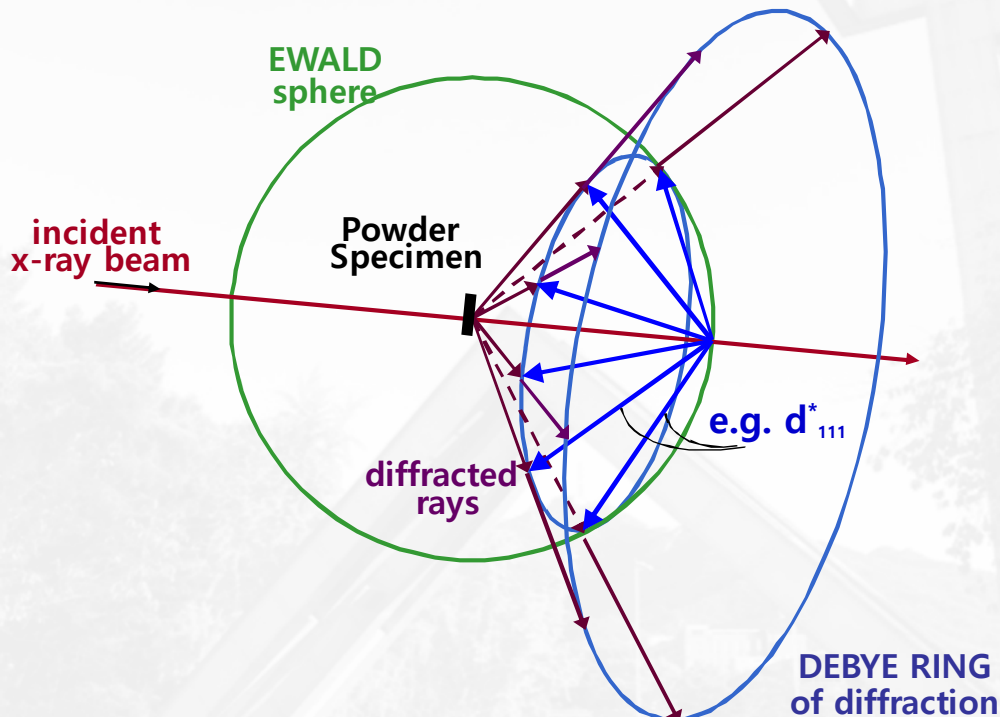
- ▶ Planes with ends of their normals lying in this band on the surface of the sphere, are diffracting.
- ▶ Fraction of crystals favorably oriented for reflection = ratio of strip area to area of the sphere.

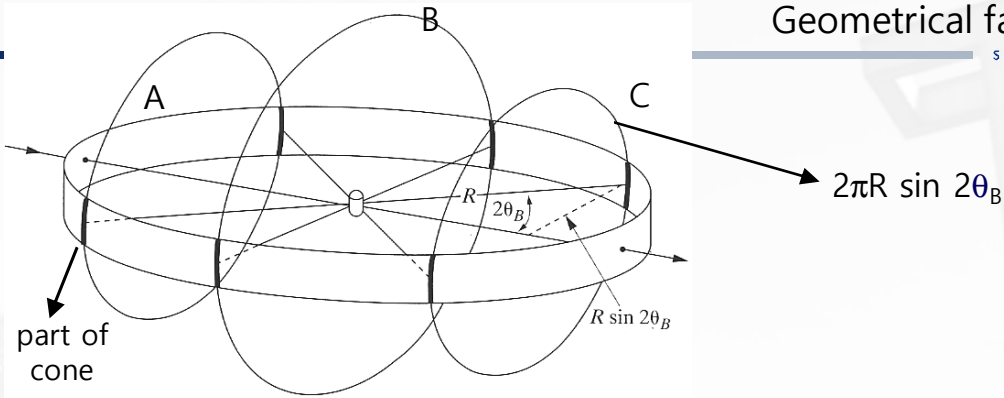
$$\frac{\Delta N}{N} = \frac{r \Delta \theta \cdot 2\pi r \sin(90^\circ - \theta_B)}{4\pi r^2} = \frac{\Delta \theta \cos \theta_B}{2}$$

- ▶ Number of crystals favorably oriented for diffraction

$$\Delta N \propto \cos \theta_B \quad (2)$$

## Origin of powder diffraction pattern





$$\frac{\text{Part of cone A}}{\text{Circumference A}} > \frac{\text{Part of cone B}}{\text{Circumference B}}$$

A & C has larger proportion of diffraction cone than B on the film.

- Relative intensity → integrated intensity per unit line length of one diffraction line is compared with that of another.
- Can get larger proportion of diffraction cone when reflection is forward or backward direction ( $2\theta \ll 90^\circ$  or  $2\theta \gg 90^\circ$ ).
- Smaller proportion of cone when  $2\theta \sim 90^\circ$ .

$$\text{Relative intensity per unit length} \propto \frac{1}{\sin 2\theta} \quad (3)$$

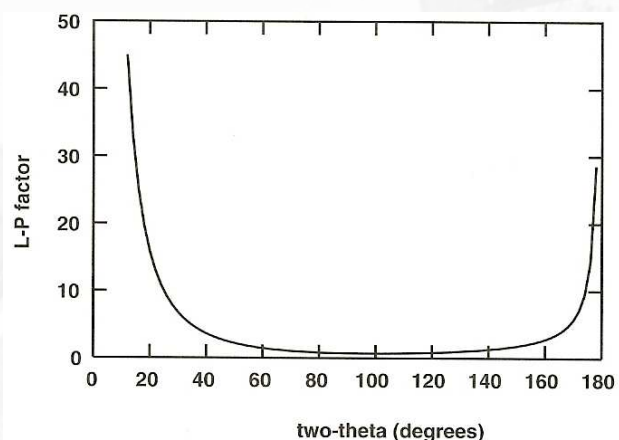
### Lorentz-polarization factor (Lp factor)

$$\left(\frac{1}{\sin 2\theta}\right) (\cos \theta) \left(\frac{1}{\sin 2\theta}\right) = \frac{\cos \theta}{\sin^2 2\theta} = \frac{1}{4 \sin^2 \theta \cos \theta}$$

(1)      (2)      (3)      Lorentz factor

polarization factor  $\frac{1}{2}(1 + \cos^2 2\theta)$

Lp factor  $\frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta}$



- Geometrical factors decrease intensity of reflections @ intermediate angles compared to those in forward or backward directions.
- Lp factor decreases the intensity at intermediate  $2\theta$  angles.

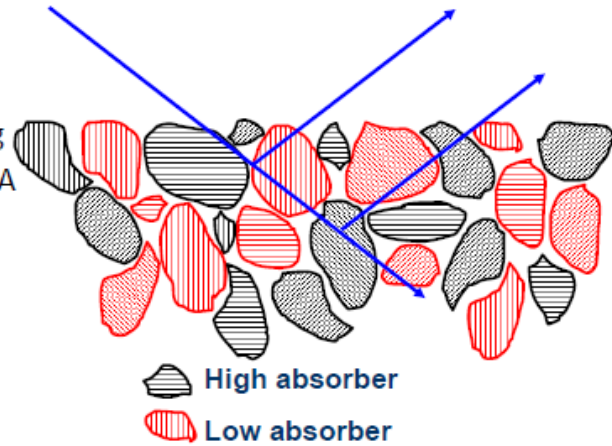


- In powder technique, accurate sampling & homogeneous mixing are critical.
- Factors affecting observed intensity to depart from the theoretical one (important in quantitative phase analysis)
  - ✓ Preferred orientation (texture)
  - ✓ Microabsorption
  - ✓ Extinction

## Microabsorption

- Mixture of  $\alpha$  &  $\beta$
  - When  $\mu_{\alpha} \approx \mu_{\beta}$ , and particle size of  $\alpha$  &  $\beta$  is different. → microabsorption can make  $I(\text{calculated})$  deviate from  $I(\text{observed})$ .
  - If  $\mu_{\alpha} \gg \mu_{\beta}$ , or particle size of  $\alpha \gg$  size of  $\beta$  → Intensity diffracted from a crystals will different from the calculated intensity.
  - Can be negligible when (1)  $\mu_{\alpha} \approx \mu_{\beta}$ , and particle size of  $\alpha$  &  $\beta$  is same, or (2) particle size of  $\alpha$  &  $\beta$  is very small.
- Powder samples should be finely ground.

- Largest source of residual error in QPA by XRD due to microabsorption
- Occurs when sample contains a mix of low & highly absorbing phases
  - A disproportionate amount (more or less) of observed intensity comes from individual grains relative to what would be expected for the average absorption of the sample
- High absorbers
  - Beam absorbed in surface of grain
    - Only a fraction of the grain diffracting
  - Intensity under-estimated – low QPA
- Low absorbers
  - Beam penetrates further into grain
    - Greater likelihood of ‘volume diffraction’ occurring
  - Intensity over-estimated – high QPA



# Microabsorption

- When dealing with a new sample, it is difficult to determine whether a correction for microabsorption is required without first obtaining additional information.
- The Brindley model is most frequently applied correction.
  - ✓ Requires knowledge of absorption contrast and particle sizes.
    - The latter is not easily achieved in ‘real’ samples.
- The Brindley model assumes spherical particles of uniform size.
  - ✓ Assumption is unrealistic in real samples.
  - ✓ Even when particle size is measured by e.g. laser-sizing or SEM, the best form of correction can still be unclear.
- Many applications suffer from unnecessary and/or excessive correction.
  - ✓ Largely overcorrected when addressed.
- **Better results achieved through care in sample preparation than in application of correction**
- Microabsorption is virtually absent for neutrons.
  - ✓ Neutron diffraction based results can act as a ‘benchmark’ for X-ray studies.

## Sample Related Factors Affecting Accuracy Microabsorption (cont'd)

- Brindley devised criteria for assessing whether microabsorption is likely to present a problem
    - $\mu D = (\text{linear absorption coefficient} \times \text{particle diameter})$
- **Fine powders**
    - $\mu D < 0.01$  – negligible micro-absorption, no correction necessary
- **Medium powders**
    - $0.01 < \mu D < 0.1$  – micro-absorption present, normal Brindley model applies
  - **Coarse powders**
    - $0.1 < \mu D < 1.0$  – larger micro-absorption effect, use Brindley model to estimate effect – **provided  $\mu D$  is closer to the lower limit**
  - **Very coarse powders**
    - $\mu D > 1.0$  – severe micro-absorption effect, beyond limits of model, concept of micro-absorption loses meaning

## Intensities of diffraction peaks

- RELATIVE intensity (no absolute intensity)
- Factors constant for all lines are omitted.

$I$ ; relative integrated intensity  $F$ ; structure factor  
 $p$ ; multiplicity factor  $A$ ; absorption factor  
 $e^{-2M}$ ; temperature factor

Hull/Debye-Scherrer camera

$$I = |F|^2 p \left( \frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta} \right) A(\theta) e^{-2M}$$

Diffractometer

$$I = |F|^2 p \left( \frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta} \right) e^{-2M}$$

$$I(hkl) = \left( \frac{I_o A \lambda^3}{32 \pi r} \right) \left[ \left( \frac{\mu_o}{4\pi} \right)^2 \frac{e^4}{m^2} \right] \left( \frac{1}{v^2} \right) \left[ |F(hkl)|^2 p \left( \frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta} \right) \right] \left( \frac{e^{-2M}}{2\mu} \right)$$



# Intensity

Intensity diffracted by a single phase powder specimen in a diffractometer

$$I(hkl) = \left( \frac{I_0 A \lambda^3}{32 \pi r} \right) \left[ \left( \frac{\mu_o}{4\pi} \right)^2 \frac{e^4}{m^2} \right] \left( \frac{1}{v^2} \right) \left[ |F(hkl)|^2 p \left( \frac{1 + \cos^2 2\theta}{\sin^2 \theta \cos \theta} \right) \right] \left( \frac{e^{-2M}}{2\mu} \right)$$

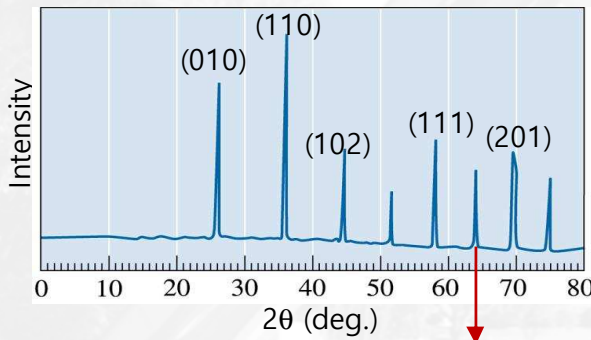
$I(hkl)$  = integrated intensity per unit length of diffraction line

$I_0$  = intensity of incident beam  $A$  = cross-sectional area of incident beam

$r$  = radius of diffractometer circle  $V$  = volume of unit cell

$F(hkl)$  = structure factor  $p$  = multiplicity factor

$e^{-2M}$  = temperature factor  $\mu$  = linear absorption coefficient

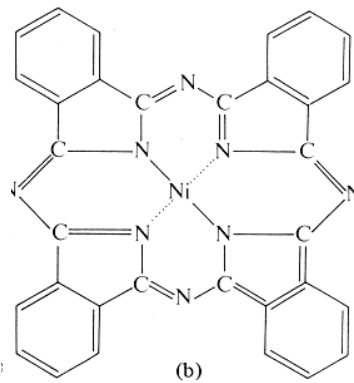
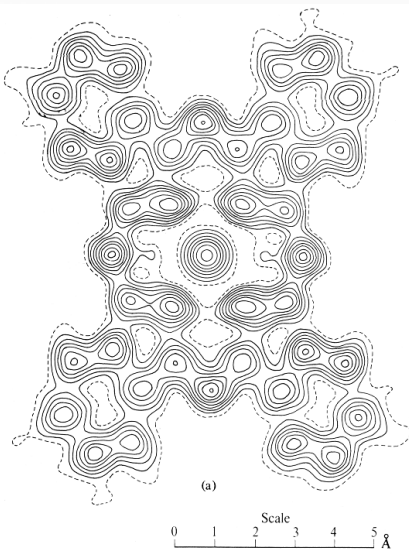


## Relative intensity

d(Å)	Int-f	h	k	l
3.2600	13	1	1	1
2.8210	100	2	0	0
1.9940	55	2	2	0
1.7010	2	3	1	1
1.6280	15	2	2	2
1.4100	6	4	0	0

Peak position ← Bragg's law  $\lambda = 2d \sin \theta$

# Electron density distribution



nickel phthalocyanine

Protein myoglobin

