446.305A MANUFACTURING PROCESSES

Chapter 6. Bulk Deformation Processes

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Classification of Deformation Processes

Temperature

- Hot working(열간가공) *T* > 0.6*T*_m
- Warm working(온간가공) T = 0.3 ~ 0.5T_m
- Cold working(냉간가공) T < 0.3T_m

Type of operation

- Primary working(1차가공): solid piece of metal(금속과) → slabs(슬래브), plates(후판), billets(빌랫).
- Secondary working(2차가공): products from primary working(1차가공품) → bolts(볼트), sheet metal parts(금속판재품), wire(선재).

Size and shape of the workpiece

- Bulk deformation(부피성형가공): thickness or cross section of the workpiece changes(forging(단조), rolling(압연), extrusion(압출), drawing(인발)).
- Sheet forming(판재성형가공): generally shape changes, thickness changes are undesirable.

Forging (단조) (1)





Forging (단조) (2)



- Plastic deformation of the workpiece is carried out by compressive forces.
- Crankshafts, connecting rods, turbine disks, gears, wheels, bolt heads, hand tools, etc.
- The recrystallization temperature(재결정온도) for metals : $T/T_m \sim 0.5$
- Basic categories of forging
 - Open die(자유단조)
 - Impression die(형단조)
 - Closed die(폐쇄단조)

Open-die forging (자유단조)

 Reducing the height of workpiece between two flat dies by compressing it.

FIGURE 6.1 (a) Ideal deformation of a solid cylindrical specimen compressed between flat frictionless dies. This process is known as *upsetting*. (b) Deformation in upsetting with friction at the die-workpiece interfaces.



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S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Ref.

Forces and work of deformation



 $(Y_f : Flow stress, \overline{Y} : Average flow stress)$

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Methods of analysis - Slab method (1)



Horizontal forces $(\sigma_x + d\sigma_x)h + 2\mu\sigma_y dx - \sigma_x h = 0$



 $d\sigma_{v} = d\sigma_{x}$



(b)

Using distortion-energy criterion for plane strain

$$\sigma_{y} - \sigma_{x} = \frac{2}{\sqrt{3}}Y = Y'$$
: Average flow stress

Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Methods of analysis - Slab method (2)

(a) & (b) give $d\sigma_{y} + \frac{2\mu\sigma_{y}}{h}dx = 0$ $\frac{d\sigma_{y}}{\sigma_{y}} = -\frac{2\mu}{h}dx \xrightarrow{\text{O.D.E}} \sigma_{y} = Ce^{-2\mu x/h}$

Boundary conditions : x = a, $\sigma_x = 0 \longrightarrow \sigma_y = Y'$ at the edges of the specimen

$$C = Y' e^{2\mu a/h}$$

$$p = \sigma_y = Y' e^{2\mu(a-x)/h}$$

$$\sigma_x = \sigma_y - Y' = Y' [e^{2\mu(a-x)/h} - 1]$$

$$p_{av} \approx Y' \left(1 + \frac{\mu a}{h}\right) : \text{Average pressure}$$

$$F = (p_{av})(2a)(width) : \text{Forging force}$$

Methods of analysis - Slab method (3)



Question : What should be the shape before deformation to make a rectangular part?

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Methods of analysis - others



- Slip-line analysis(미끄럼선 해석)
- Upper-bound technique(상계해법)
- Finite element method(유한요소법)





Impression-die/closed-die forging(형단조)(1)

- Impression-die forging : the workpiece acquires the shape of the die cavities (impressions) while it is being upset between the closing dies.
 - Flash(플래쉬): significant role in the flow of material.
 - Forces in impression-die forging : depending on its position, F=K_PY_fA.
 - Land(랜드부): controlling the forging load not to be excessive.



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Impression-die/closed-die forging(형단조)(2)

- Closed-die forging(폐쇄단조) : no flash is formed and the workpiece is completely surrounded by the dies.
 - Precision forging(정밀단조), flashless forging(밀폐단조) : the part formed is close to the final dimensions, high forging load & capacity equipment needed.
 - Isothermal forging(등온단조), hot-die forging(가열금형단조) : the dies are heated to the same temperature as the hot blank.



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Closed-die forging (폐쇄단조)

■ Orbital forging(궤도단조)



Miscellaneous forging operations (1)

- Coining(코이닝)
 - an example of closed-die forging
 - minting of coins.
- Heading(헤딩) Fig 6.17
- Piercing(천공) Fig 6.18
- Hubbing(허빙)
- Cogging(코깅)
- Fullering and edging(풀러링, 에징)
- Role forging(압연단조)
- Skew-rolling(강구전조작업)



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Miscellaneous forging operations (2)



FIGURE 6.19 Schematic illustration of a cogging operation on a rectangular bar. With simple tools, the thickness and cross-section of a bar can be reduced by multiple cogging operations. Note the barreling after cogging. Blacksmiths use a similar procedure to reduce the thickness of parts in small increments by heating the workpiece and hammering it numerous times.

Roll forging(Cross rolling)

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Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Miscellaneous forging operations (3)





Defects in forging (1)



- Caused by the material flow patterns in the die cavity.
- Cold shut.
- Grain flow pattern, end grain.



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley



Defects in forging (2)



98

20.0

17.7

18.6 16.2

14.0

Ref.



FIGURE 6.26 Mechanical properties of five tensiletest specimens taken at various locations and directions in an AZ61 magnesium alloy forging. Note the anisotropy of properties caused by inhomogeneous deformation during forging. Source: After S. M. Jablonski, Modern Metals, vol. 16, 1963, pp. 62-70.



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Weslev

Die design (1)

- The most important rule in die design : the workpiece material flow in the direction of least resistance.
- Considerations
 - Strength and ductility of the workpiece material.
 - Strain rate and temperature.
 - Frictional characteristics.
 - Die distortion under high forging loads.
- Die design parameters
 - Flash clearance between the dies : 3% of the max. thickness.
 - Length of the land : 5 times of the flash clearance.
 - Proper radii for corners and fillets.



 Tool and die steel(공구강 및 다이 강) containing Cr, Ni, Mo and V.



3. Blocked in closed dies



FIGURE 6.28 Intermediate stages in forging a crankshaft. These intermediate stages are important for distributing the material and filling the die cavities properly.

Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", $3^{\rm rd}/4^{\rm th}$ ed. Addison Wesley

Die design (2)



Draft angles : Internal angles(7~10°) > External angles(3~5°)



Forging equipment



- Hydraulic presses(유압프레스)
- Mechanical presses(기계프레스)
- Screw presses(나사프레스): for parts requiring precision(turbine blades) and control of ram speed.
- Hammers(히 田) : potential energy of the ram is converted to kinetic energy.
- Counterblow hammers(카운터블로해머)





Rolling (압연)



- The process of reducing the thickness or changing the cross-section of a long workpiece by compressive forces applied through a set of rolls.
- Plate(후판):t≥6mm/sheet(박판):t≤6mm





Changes in the grain structure



Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Mechanics of flat rolling

- The velocity of strip must increase as it moves through the roll gap.
- Sliding occurs between the roll and the strip due to the surface speed of the roll is constant.
- Neutral point or no slip point(중립점)
 - Left of neutral point : workpiece velocity < roll velocity</p>
 - Right of neutral point : workpiece velocity > roll velocity
- The frictional forces oppose each other at the neutral point. (F_{friction_left} > F_{friction_right})
 - \rightarrow yielding a net frictional force to the right.



FIGURE 6.32 Relative velocity distribution between roll and strip surfaces. Note the difference in the direction of frictional forces. The arrows represent the frictional forces acting on the strip

Forward slip = $\frac{V_f - V_r}{V_r}$

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley



Roll pressure distribution (1)



Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Roll pressure distribution (2)

$$(\sigma_x + d\sigma_x)(h + dh) - 2pRd\phi \sin\phi - \sigma_x h \pm 2\mu pRd\phi \cos\phi = 0$$

$$\frac{d(\sigma_x h)}{d\phi} = 2pR(\sin\phi \mp \mu \cos\phi)$$

$$\frac{d(\sigma_x h)}{d\phi} = 2pR(\phi \mp \mu) , \ (\because \sin\phi \approx \phi, \cos\phi \approx 1)$$

$$p - \sigma_x = \frac{2}{\sqrt{3}}Y_f = Y'_f$$

$$\frac{d[(p - Y'_f)h]}{d\phi} = 2pR(\phi \mp \mu)$$

$$\frac{d}{d\phi} \left[Y'_f \left(\frac{p}{Y'_f} - 1\right)h\right] = 2pR(\phi \mp \mu)$$

$$Y'_f h \frac{d}{d\phi} \left(\frac{p}{Y'_f}\right) + \left(\frac{p}{Y'_f} - 1\right)\frac{d}{d\phi}Y'_f h = 2pR(\phi \mp \mu)$$

$$\frac{\frac{d}{d\phi} \left(\frac{p}{Y'_f}\right)}{p/Y'_f} = \frac{2R}{h}(\phi \mp \mu)$$

$$h = h_{f} + 2R(1 - \cos \phi)$$

$$h = h_{f} + R\phi^{2}$$

$$\ln \frac{p}{Y'_{f}} = \ln \frac{h}{R} \mp 2\mu \sqrt{\frac{R}{h_{f}}} \tan^{-1} \sqrt{\frac{R}{h_{f}}} \phi + \ln C$$

$$p = CY'_{f} \frac{h}{R} e^{\mp \mu H}$$

$$H = 2\sqrt{\frac{R}{h_{f}}} \tan^{-1} \left(\sqrt{\frac{R}{h_{f}}}\phi\right)$$
In the entry zone : $C = \frac{R}{h_{f}} e^{\mu H_{i}}$

$$p = Y'_{f} \frac{h}{h_{o}} e^{\mu (H_{o} - H)}$$
In the exit zone : $C = \frac{R}{h_{f}}$

$$p = Y'_{f} \frac{h}{h_{f}} e^{\mu H}$$

Neutral point / front and back tension



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FIGURE 6.36 Pressure distribution as a function of front and back tension. Note the shifting of the neutral point and the reduction in the area under the curves with increasing tension.



$$\frac{h_o}{h_f} = \frac{e^{\mu H_o}}{e^{2\mu H_n}} = e^{\mu (H_o - 2H_n)}$$
$$H_n = \frac{1}{2} \left(H_o - \frac{1}{\mu} \ln \frac{h_o}{h_f} \right)$$
$$\phi_n = \sqrt{\frac{h_f}{R}} \tan\left(\sqrt{\frac{h_f}{R}} \cdot \frac{H_n}{2}\right)$$

Entry zone:
$$p = (Y'_f - \sigma_b) \frac{h}{h_o} e^{\mu(H_o - H)}$$

Exit zone: $p = (Y'_f - \sigma_f) \frac{h}{h_f} e^{\mu H}$

Exmple 6.3 Back tension required to cause roll slip

$$p_{\phi=0} = (Y'_f - \sigma_b) \frac{h_f}{h_o} e^{\mu H_o}$$
$$\sigma_b = Y'_f \left[1 - \left(\frac{h_o}{h_f}\right) (e^{-\mu H_o}) \right]$$



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Defects in rolling

- Surface defects
- Structural defects
- Residual stresses
 - In the case of small-diameter rolls or small reductions, plastic deformation occurs on the surfaces.
 - \rightarrow compressive stresses(surfaces), tensile stresses(bulk).
 - In the case of large-diameter rolls or high reduction, plastic deformation of the bulk is greater than the surfaces.





- Hot rolling : converts the coarse-grained, brittle and porous cast structure to wrought structure(finer grains and enhanced ductility).
- Products of the first hot-rolling operation
 - Bloom : square cross-section(정사각형), at least 150mm side, structural shapes by shape rolling(e.g. I-beam and railroad rails).
 - Slab : rectangular in cross-section(직사각형), plates and sheet.
 - Billet : square cross-section(정사각형) smaller than bloom, various shapes (e.g. round rods(환봉강) and bars)

Rolling equipment

 Small-diameter rolls are preferable, because the smaller the roll radius the lower the roll force. However, small rolls deflect under roll forces and have to be supported by other rolls. The cluster mill, or Sendzimir(다단압연기), is particularly suitable for cold rolling thin strips of high-strength metals.

Rolling equipment





FIGURE 6.42 Schematic illustration of various roll arrangements: (a) two high; (b) three high; (c) four high; (d) cluster; (e) tandem rolling with three stands; (f) planetary.







Miscellaneous rolling operations (1)

- Shape rolling(형상압연): H-section part, I-Beam and channels.
- Ring rolling(링압연): rings for rocket, turbines and gearwheel rims.
- Thread and gear rolling(나사 및 기어전조) : high production rates, great strength, improved fatigue life.
- Rotary tube piercing(회전천공) : hot-working process to make long, thick-walled seamless tubing.





Miscellaneous rolling operations (2)

Ring rolling



FIGURE 6.45 (a) Schematic illustration of a ring-rolling operation. Reducing the thickness results in an increase in the part's diameter. (b) Examples of cross-sections that can be formed by ring rolling.

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(b)

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Miscellaneous rolling operations (3)

Thread and gear rolling







(b)

FIGURE 6.47 (a) Schematic illustration of threads. (b) Grain-flow lines in machined and rolled threads. Unlike machined threads, which are cut through the grains of the metal, rolled threads follow the grains and are stronger, because of the cold working involved.

Grain flow lines (단류선)

Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Miscellaneous rolling operations (4)

Rotary tube piercing

Thick-walled seamless tube.



FIGURE 6.48 Cavity formation by secondary tensile stresses in a solid round bar and its use in the rotary-tube-piercing process. This is the principle of the Mannesmann mill for seamless tube making. The mandrel is held in place by the long rod, although techniques have been developed in which the mandrel remains in place without the rod.

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Extrusion (압출)



Courainer liner Tool sxm Extension (b)

Direct extrusion(직접압출) : high friction between billet and wall



Indirect extrusion(간접압출) : no friction between billet and wall, die moves to the billet.



Impact extrusion(충격압출) : form of indirect extrusion, suitable for hollow shapes.

Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", $3^{\rm rd}/4^{\rm th}$ ed. Addison Wesley





Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Mechanics of extrusion (2)

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When friction is included

$$p = Y \left(1 + \frac{\tan \alpha}{\mu} \right) [(R)^{\mu \cot \alpha} - 1]$$

$$P_{total} = P_{plastic} + P_{friction}$$

$$P_{total} = p V_o (\pi D_0^2 / 4)$$

$$P_{plastic} = V_o (\pi D_0^2 / 4) (Y) [\ln(D_o / D_f)^2]$$

$$P_{friction} = (V_o) (\pi D_0^2 / \sqrt{2}) (Y / 2) \ln(D_o / D_f)$$

$$p/Y = 3.41 \ln(D_o/D_f) = 1.7[\ln(D_o/D_f)^2]$$

$$p = 1.7Y \ln R$$

$$(p_{friction}) \left(\frac{\pi D_0^2}{4}\right) = \pi D_o kL$$

$$p_{friction} = k \frac{4L}{D_o} = Y \frac{2L}{D_o}$$

$$p = Y \left(1.7 \ln R + \frac{2L}{D_o}\right)$$



Ref.

S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Defects in extrusion



- Too high extrusion temperature, friction, or extrusion speed.
- Periodic sticking of the extruded product along the die land.
- Extrusion defect(파이프결함)
 - Surface oxides and impurities drawn toward the center of the billet.
 - Reduced by modifying the flow pattern, machining the surface of the billet or using a dummy block.
- Internal cracking(내부균열): due to hydrostatic tensile stress at the center line.





(b) Chevron cracking



Drawing (인발)



- The cross-sectional area of a bar or tube is reduced by pulling it through a converging die.
- Rod and wire drawing are usually finishing process.



$$\sigma_d = Y \ln\left(\frac{A_o}{A_f}\right)$$

$$\sigma = K\varepsilon^n$$



$$F = \overline{Y}A_f \ln\left(\frac{A_o}{A_f}\right)$$



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Defects in drawing

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- Similar to defects in extrusion.
- Seams(솔기결함): longitudinal scratches or folds in the material.



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Swaging (스웨이징) (1)

- The metal flows in to the dies which apply compressive force or impact to the metal at room temperature.
- Rotary swaging(회전 스웨이징): a solid rod or a tube is reduced in diameter by reciprocating radial movement of dies.
- The Internal diameter and thickness or shape of the tube can be controlled with or without mandrels(맨드릴).





S. Kalpakjian, "Manufacturing Processes for Engineering Materials", $3^{\rm rd}/4^{\rm th}$ ed. Addison Wesley

Swaging (스웨이징) (2)



FIGURE 6.73 (a) Typical cross-sections produced by swaging tube blanks with a constant wall thickness on shaped mandrels. Rifling of small gun barrels can also be made by swaging, using a specially shaped mandrel. The formed tube is then removed by slipping it out of the mandrel. (b) These parts can also be made by swaging.



S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Case study (Space shuttle)



FIGURE 6.74 The Space Shuttle *Atlantis* is launched by two strap-on solid rocket boosters. *Source*: NASA.

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Solid rocket casing





FIGURE 6.75 Assembly of steel case segments to form a solid rocket booster. Note that most of the rocket casing is below the platform level. *Source*: NASA.

Ref. S. Kalpakjian, "Manufacturing Processes for Engineering Materials", 3rd/4th ed. Addison Wesley

Forging processes for solid rocket casing



FIGURE 6.76 The forming processes involved in the manufacture of solid rocket casings for the U.S. Space Shuttle.