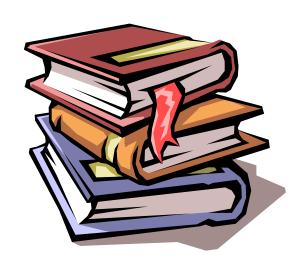
# **Cryogenic Engineering**

2015 Fall Semester Min Soo, Kim



# Chapter 3. Gas – liquefaction system



# 3.1 System performance parameters

### ■ Gas – liquefaction systems

The systems that can produce low temperatures required for liquefaction.

### System performance parameters

• 
$$\frac{Work \ required}{Unit \ mass \ of \ gas \ compressed} = -\frac{\dot{W}}{\dot{m}}$$

• 
$$\frac{Work \ required}{Unit \ mass \ of \ gas \ liquefied} = -\frac{\dot{W}}{\dot{m}_f}$$

• Liquefiecd fraction of the total flow of gas =  $y = -\frac{m_f}{\dot{m}}$ 

• Figure of Merit(FoM) = 
$$\frac{Theoretical\ work}{Actual\ work} = -\frac{\dot{W}_i}{\dot{W}}$$
 (0~1)

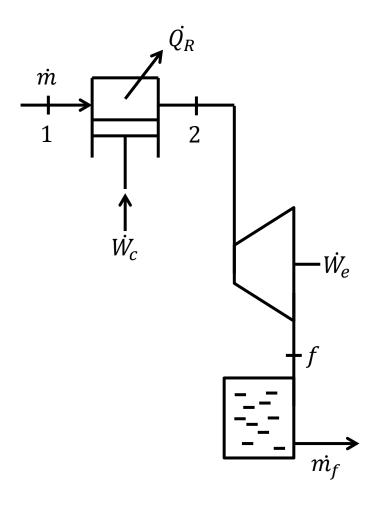


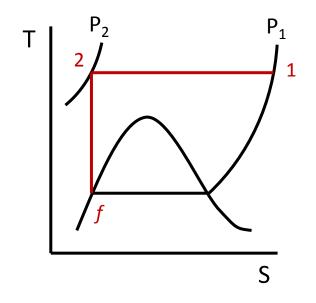
# 3.1 System performance parameters

### + Additional performance parameters

- Compressor adiabatic efficiency
- Expander adiabatic efficiency
- Compressor mechanical efficiency
- Expander mechanical efficiency
- HX effectiveness
- Pressure drop
- Heat transfer to the system







1-2: Isothermal compression

2-f: Isentropic expansion(expander)

In this case,  $P_2 = 70 \sim 80$  Gpa for  $N_2$  (too high!)



### ■ Apply 1<sup>st</sup> and 2<sup>nd</sup> laws of thermodynamics to the system

#### 1st law of thermodynamics:

$$\begin{split} \dot{Q}_{net} - \dot{W}_{net} &= \sum_{outlets} \dot{m} \left( h + \frac{v^2}{2g_c} + \frac{gz}{g_c} \right) - \sum_{inlets} \dot{m} (h + \frac{v^2}{2g_c} + \frac{gz}{g_c}) \\ \rightarrow \dot{Q}_{net} - \dot{W}_{net} &= \sum_{outlets} \dot{m} h - \sum_{inlets} \dot{m} h \\ \rightarrow \dot{Q}_R - \dot{W}_i &= \dot{m} (h_f - h_1) = -\dot{m} (h_1 - h_f) \\ (\dot{W}_i &= W_e - W_c) \end{split}$$



■ Apply 1<sup>st</sup> and 2<sup>nd</sup> laws of thermodynamics to the system

### 2<sup>nd</sup> law of thermodynamics:

$$ds = \frac{\delta q}{T} \bigg)_{rev, ideal}$$

$$\rightarrow \delta q = T \cdot ds$$

$$\rightarrow \dot{Q}_R = \dot{m}T_1(S_2 - S_1) = -\dot{m}T_1(S_1 - S_f)$$



Apply 1<sup>st</sup> and 2<sup>nd</sup> laws of thermodynamics to the system

From 1st and 2nd laws of thermodynamics,

$$\dot{Q}_R - \dot{W}_i = \dot{m}(h_f - h_1) = -\dot{m}(h_1 - h_f)$$
 ... 1st law

$$\dot{Q}_R = \dot{m}T_1(S_2 - S_1) = -\dot{m}T_1(S_1 - S_f) \cdots 2nd \ law$$

$$\rightarrow -\frac{\dot{W}_{i}}{\dot{m}} = T_{1}(S_{1} - S_{f}) - (h_{1} - h_{f}) = -\frac{\dot{W}_{i}}{\dot{m}_{f}}$$

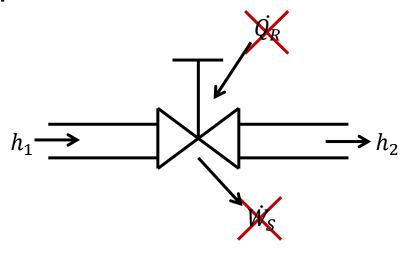
\* 
$$\dot{m} = \dot{m}_f \rightarrow liquid\ yield\ y = \frac{\dot{m}_f}{\dot{m}} = 1$$

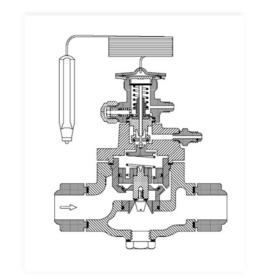


Table 3.1. Ideal-work requirements for liquefaction of gases beginning at 300 K (80°F) and 101.3 kPa (14.7 psia)

	Normal Boiling Point		Ideal Work of Liquefaction, $-\dot{W}_i/\dot{m}_f$	
Gas	K	°R	kJ/kg	Btu/lb <sub>m</sub>
Helium-3	3.19	5.74	8 178	3 516
Helium-4	4.21	7.58	6 819	2 931
Hydrogen, H <sub>2</sub>	20.27	36.5	12 019	5 167
Neon, Ne	27.09	48.8	1 335	574
Nitrogen, N <sub>2</sub>	77.36	139.2	768.1	330.2
Air	78.8	142	738.9	317.7
Carbon monoxide, CO	81.6	146.9	768.6	330.4
Argon, A	87.28	157.1	478.6	205.7
Oxygen, O <sub>2</sub>	90.18	162.3	635.6	273.3
Methane, CH <sub>4</sub>	111.7	201.1	1 091	469
Ethane, C <sub>2</sub> H <sub>6</sub>	184.5	332.1	353.1	151.8
Propane, C <sub>3</sub> H <sub>6</sub>	231.1	416.0	140.4	60.4
Ammonia, NH <sub>3</sub>	239.8	431.6	359.1	154.4

#### Expansion valve





#### 1st law of thermodynamics:

$$\dot{Q}_R - \dot{W}_S = \sum_{outlets} \dot{m} \left( h + \frac{v^2}{2g_c} + \frac{gz}{g_c} \right) - \sum_{inlets} \dot{m} \left( h + \frac{v^2}{2g_c} + \frac{gz}{g_c} \right)$$

$$\rightarrow 0 = \dot{m}(h_2 - h_1)$$

$$\rightarrow h_2 = h_1$$



■ Joule – Thomson coefficient

$$\mu_{JT} = \frac{\partial T}{\partial P}\bigg)_{h}$$

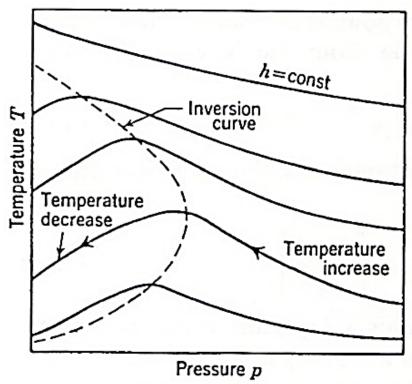
→ Change in temperature due to a change in pressure at constant enthalpy (Slope of isenthalpic line)



#### Joule – Thomson coefficient

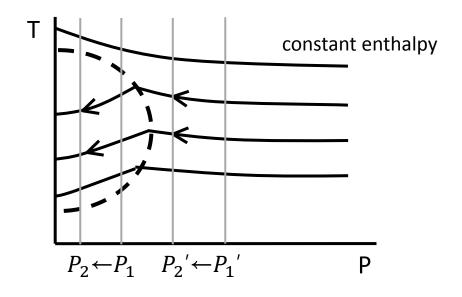
$$\mu_{JT} = \frac{\partial T}{\partial P} \bigg|_{h}$$

→ Slope of isenthalpic line



Isenthalpic expansion of a real gas.





 $P_2 \leftarrow P_1$ : Temperature  $\downarrow$  if Pressure  $\downarrow$   $\rightarrow \mu_{IT} > 0$ 

 $P_2' \leftarrow P_1'$ : Temperature † if Pressure  $\downarrow \rightarrow \mu_{JT} < 0$ 



#### Joule – Thomson coefficient

$$\mu_{JT} = \frac{\partial T}{\partial P} \Big|_{h} = -\frac{\partial T}{\partial h} \Big|_{P} \frac{\partial h}{\partial P} \Big|_{T}$$

from basic thermodynamics (Van Wylen and Sonntag, 1976),

$$dh = \frac{\partial h}{\partial T}\Big|_{P} dT + \frac{\partial h}{\partial P}\Big|_{T} dP = C_{P} dT + \left[v - T\frac{\partial v}{\partial T}\right]_{P} dP$$

$$\rightarrow \frac{\partial h}{\partial T}\bigg|_{P} = C_{P}, \qquad \frac{\partial h}{\partial P}\bigg|_{T} = v - T \frac{\partial v}{\partial T}\bigg|_{P}$$



#### Joule – Thomson coefficient

$$\mu_{JT} = \frac{\partial T}{\partial P} \Big|_{h} = -\frac{\partial T}{\partial h} \Big|_{P} \frac{\partial h}{\partial P} \Big|_{T}$$
$$= \frac{1}{C_{P}} \left[ T \frac{\partial v}{\partial T} \Big|_{P} - v \right]$$

For an ideal gas,

$$\frac{\partial v}{\partial T}\Big|_{P} = \frac{R}{P} = \frac{v}{T}$$

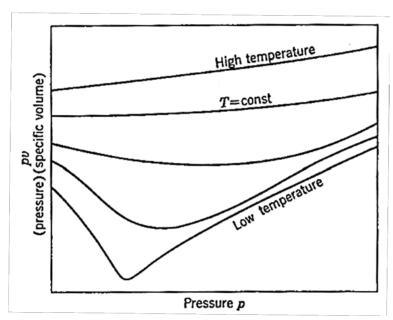
$$\mu_{JT} = \frac{1}{C_{P}} \left[ T \frac{\partial v}{\partial T} \right]_{P} - v = 0$$



#### Joule – Thomson coefficient

from h = u + Pv,

$$\mu_{JT} = \frac{1}{C_P} \left[ T \frac{\partial v}{\partial T} \right]_P - v = -\frac{1}{C_P} \left\{ \frac{\partial u}{\partial P} \right]_T + \frac{\partial (Pv)}{\partial P} \right]_T$$



Variation of the product Pv

with pressure and temperature for a real gas.

#### Van der Waals gas

Equation of state (EOS)

$$\left(P + \frac{a}{v^2}\right)(v - b) = RT$$

Joule – Thomson coefficient for van der Waals gas

$$\mu_{JT} = \frac{(2a/RT)(1 - b/v)^2 - b}{C_P[1 - (2a/vRT)(1 - b/v)^2]}$$

for large value of the specific volume,

$$\mu_{JT} = \frac{1}{C_P} \left( \frac{2a}{RT} - b \right)$$



### Inversion curve for van der Waals gas

The inversion curve is represented by all points at which the Joule-Thomson coefficient is zero.

$$\mu_{JT} = \frac{(2a/RT)(1 - b/v)^2 - b}{C_P[1 - (2a/vRT)(1 - b/v)^2]} = 0 \quad \Rightarrow (2a/RT)(1 - b/v)^2 - b = 0$$

Inversion temperature for van der Waals gas

$$T_i = \frac{2a}{bR} \left( 1 - \frac{b}{v} \right)^2$$



$$T_i = \frac{2a}{bR} \left( 1 - \frac{b}{v} \right)^2$$

$$T_{i,max} = \frac{2a}{bR} < T_{room} : He, H_2, Ne$$

 → Cannot produce low T with expansion valve alone.
 (Require expander, turbine)

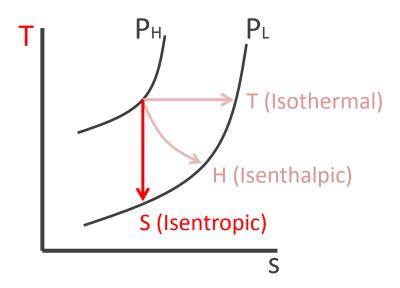
	Maximum Inversion Temperature	
Gas	K	°R
Helium-4	45	81
Hydrogen	205	369
Neon	250	450
Nitrogen	621	1118
Air	603	1085
Carbon monoxide	652	1174
Argon	794	1429
Oxygen	761	1370
Methane	939	1690
Carbon dioxide	1500	2700
Ammonia	1994	3590



Work producing device : expansion engine (turbine)

Adiabatic Expansion : Most effective means of lowering T of the gas (\*\* Adiabatic + Reversible = Isentropic!)

Problem: 2 Phase Mixture in an expander!





Isentropic expansion coefficient, μs

$$\mu_{S} = \left(\frac{\partial T}{\partial P}\right)_{S} = -\left(\frac{\partial T}{\partial S}\right)_{p} \left(\frac{\partial S}{\partial P}\right)_{T} = \frac{T}{C_{p}} \left(\frac{\partial v}{\partial T}\right)_{P}$$

$$C_P = \left(\frac{\partial h}{\partial T}\right)_P = \left(\frac{\partial Q}{\partial T}\right)_p$$
 ,  $Q = TdS$ 

Maxwell's Relation 
$$\left\{-\left(\frac{\partial S}{\partial P}\right)_T = \left(\frac{\partial V}{\partial T}\right)_P\right\}$$

$$\begin{split} \left(\frac{\partial T}{\partial V}\right)_S &= -\left(\frac{\partial p}{\partial S}\right)_V \\ \left(\frac{\partial T}{\partial p}\right)_S &= +\left(\frac{\partial V}{\partial S}\right)_p \\ \left(\frac{\partial S}{\partial V}\right)_T &= +\left(\frac{\partial p}{\partial T}\right)_V \end{split}$$



Isentropic expansion coefficient, μs

$$\mu_{S} = \left(\frac{\partial T}{\partial P}\right)_{S} = -\left(\frac{\partial T}{\partial S}\right)_{p} \left(\frac{\partial S}{\partial P}\right)_{T} = \frac{T}{C_{p}} \left(\frac{\partial v}{\partial T}\right)_{P}$$

Volume expansion coefficient 
$$\beta = \frac{1}{v} \left( \frac{\partial v}{\partial T} \right)_P \implies = \frac{T}{C_p} \beta v$$

For Ideal gas:

$$Pv = RT$$

$$\left(\frac{\partial v}{\partial T}\right)_{P} = \frac{R}{P} = \frac{v}{T} \implies \boxed{=\frac{v}{C_{p}}}$$

For Van der Waals gas:

$$(P + \frac{a}{v^2})(v - b) = RT$$

$$= \frac{v(1-\frac{b}{v})}{C_p[1-\left(\frac{2a}{vRT}\right)\left(1-\frac{b}{v}\right)^2]}$$



### Methods of cooling

- External work method : energy is removed as external work
- Internal work method (Expansion Valve):
   do not remove energy from gas
   but moves molecules farther apart



# Liquefaction systems for gases

= A big purpose for learning cryogenic systems!
(Korea's energy supply is heavily dependent on imports by ship!)

3.5 Linde-Hampson

3.9 **Claude** 3.10 Kapitza 3.11 Heylandt

3.6 Precooled Linde3.8 Cascade3.13 LNG (Cascade)

3.7 Linde **dual-P** 3.12 Claude dual-P

3.14 Comparison of Liquefaction Systems

( For Ne, H<sub>2</sub>, He )
3.15 Linde
3.16 Claude
3.17 He system

3.19 Collins He system

3.18 Ortho-para H<sub>2</sub>
Conversion



(Chapter 3.3)

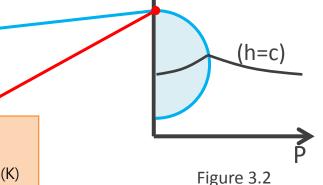
# 3.4 Adiabatic expansion

Maximum

### Why H2, He, Ne is special?

**Inversion Temperature** 

= boundary of semicircle of figure 3.2



Very Low!

Gas	Inversion Temperature (K)
[He] Helium-4	45
[H2] Hydrogen	205
[Ne] Neon	250
[N2] Nitrogen	621
[N2+O2] Air	603
[CO] Carbon monoxide	652
[Ar] Argon	794
[O2] Oxygen	761
[CH4] Methane	939
[CO2] Carbon Dioxide	1500
[NH3] Ammonia	1994



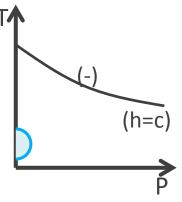
### Why H2, He, Ne is special?

In semicircle, µJT>0

= Isenthalpic expansion (J-T Valve) is cooling!

#### Out of semicircle, µJT<0

- = Isenthalpic expansion (J-T valve) is heating!
- = We cannot make liquid by J-T valve!
- = To make liquid, we must applicate expander or dualpressure or pre-cooling.



Case of H2, He, Ne (Circle is very small)



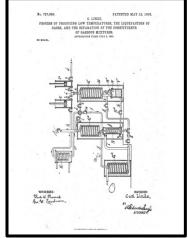
### History

The most simple gas liquifaction/seperation system.

This system is based on the Joule-Thomson effect.

Chapter 3.3. When a (non-ideal) gas expands, it will cold down (below inverseion temperature). This is why our whistle is colder than our body temperature!

William Hampson and Carl von Linde independently filed for patent of the cycle in 1895.

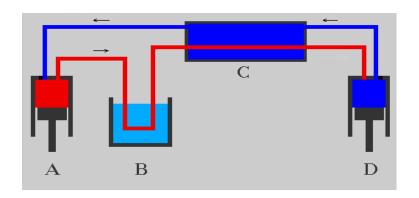


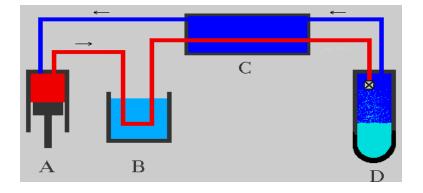
### History





Enhance from Siemens cycle(1857) to Linde-Hampson cycle(1895)





A : Compression

B: Cooling

C: Cooling (HX)

D: Expansion

C: Heating (HX)

A: Compression

B: Cooling

C: Cooling (HX)

D : Joule-Thompson Orfice

(Reservoir + Expansion Valve)

C: Heating (HX)



### History

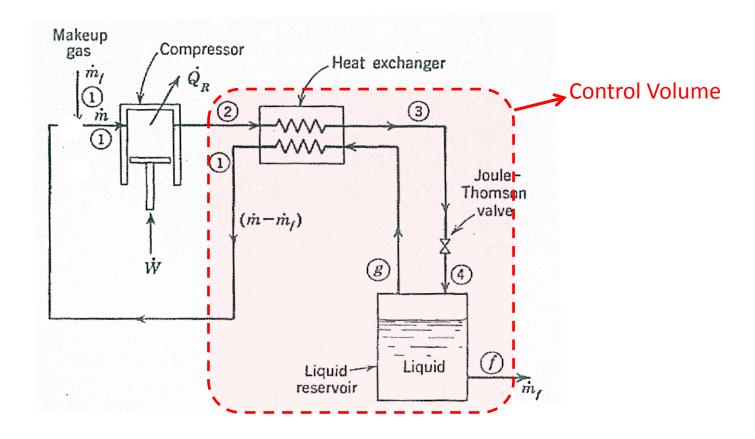
Siemens cycle has poor efficiency and is only efficient for high temperature gases. But Linde-Hampson cycle can easily collect liquid and cool dramatically.

Heike Kamerlingh Onnes made 'liquid helium' (1908) by this Linde-Hampson system and found 'super-conductivity'.

And He got Nobel prize in Physics (1913)!

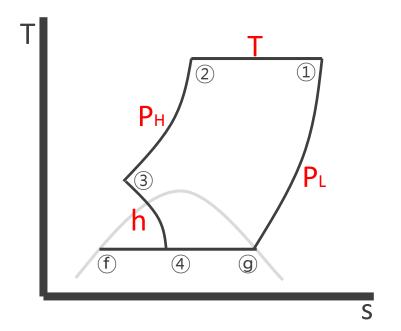


### Diagram





### Diagram



Normal room: T<sub>1</sub>=300K, P<sub>1</sub>=1bar

Liquid N<sub>2</sub> : T<sub>4</sub>=77K

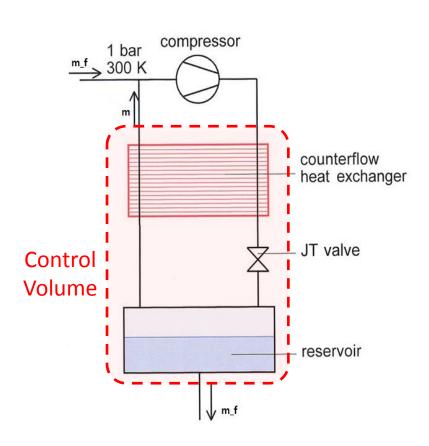
Constant T: Isothermal

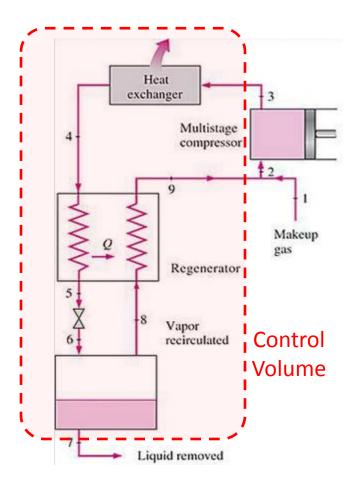
Constant P : Isobaric

Constant h : Isenthalpic



■ **Diagram** Another form of diagrams







### Diagram

#### **Assumption**

- Reversible pressure drop
- No heat inleak (Reversible isothermal process in compressor)
- 100% effective heat exchanger

Quality 
$$x = \frac{(mass\ of\ sat.\ gas)}{(total\ mass)}$$

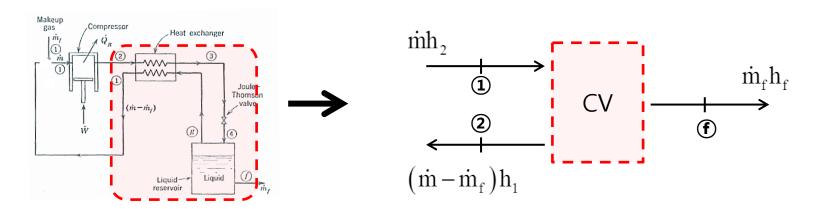
(

★ Volume of gas is very larger than liquid)



#### • Questions

Q1. We can choose P2 in the system. Which is best P2?



At control volume, 
$$\dot{m}h_2 = (\dot{m} - \dot{m}_f)h_1 + \dot{m}h_f$$

Liquid yield 
$$y = \frac{m_f}{m} = \frac{h_1 - h_2}{h_1 - h_f}$$

: Fraction of gas flow that is liquefied



#### • Questions

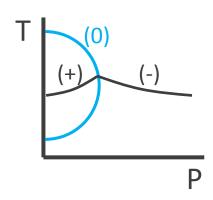
Best P2 is 
$$\frac{\partial y}{\partial P_2} = 0$$

$$\rightarrow$$
 (h<sub>1</sub>, h<sub>f</sub> is fixed value)  $\frac{\partial h_2}{\partial P_2} = 0$ 

$$\rightarrow \frac{\partial h}{\partial P} = -\mu_{JT} C_P$$

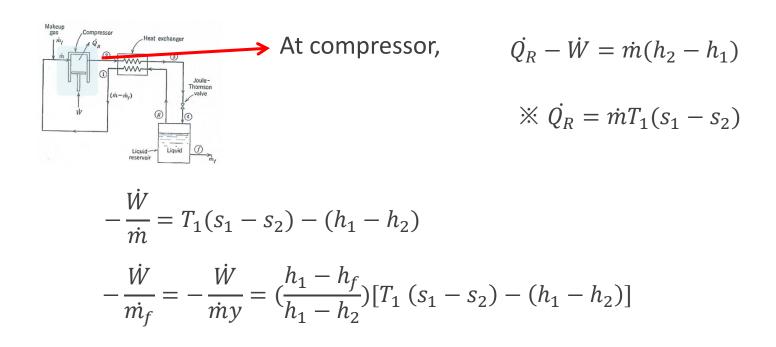
 $\rightarrow$  (CP is positive number)  $\mu_{JT} = 0$ 

μπ is slope at T-P diagram.
So, semicirle in T-P graph means best P2!
(Inversion Curve) (Chapter 3.3)



#### Questions

Q2. How much works do we need for running this system?





#### • Questions

Q3. What will happen in real system without assumption?

Reversible pressure drop

→ P<sub>2</sub> will be lower.

No heat inleak
Reversible isothermal process in compressor

→ Qelec will be added. And y will be lower.

$$\dot{m}h_2 + Q_{elec} = (\dot{m} - \dot{m}_f)h_1 + \dot{m}h_f$$



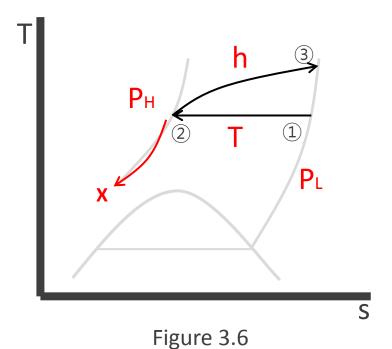
#### • Questions

100% effective heat exchanger

→ Temperature difference at both side will be lower. To keep temperature difference, we need input more pump work. (To enlarge mass flow rate)







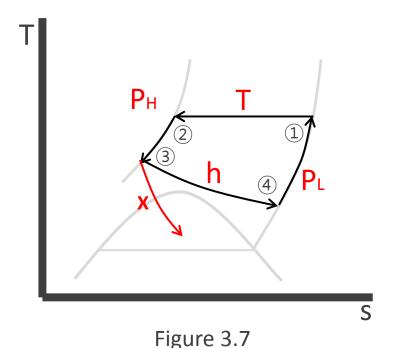
Reason 1.

Gas	M.I.T. (K)
[He] Helium-4	45
[H2] Hydrogen	205
[Ne] Neon	250

Maximum inversion temp. << room temp.

- → Their expansion = heating!
- → Gas in HX warmed rather than cooled!

■ It's not for Ne/H2/He! → We will learn later... (Chapter 3.15~3.19)



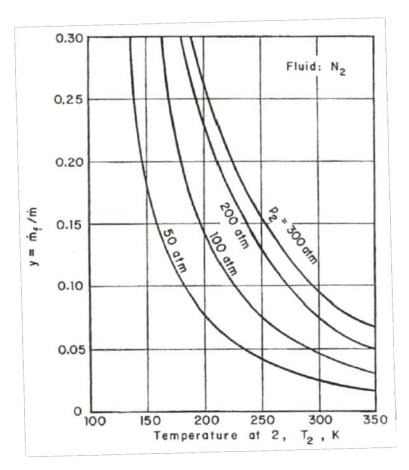
Reason 2.

$$y = \frac{m_f}{m} = \frac{h_1 - h_2}{h_1 - h_f}$$

Liquid yield(y) is negative. (h1 < h2)

→ Even if we could attain low temperature, no gas would be liquefied.

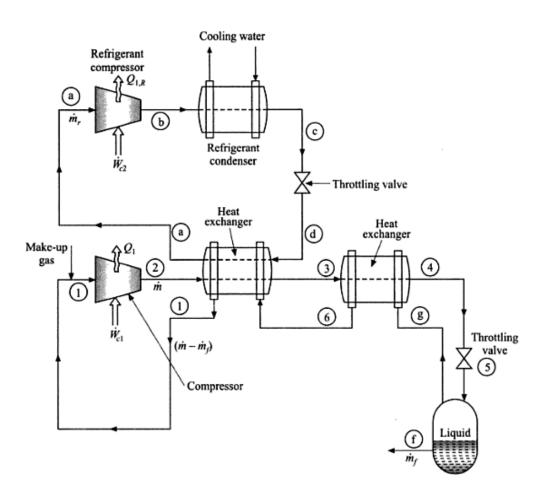




Liquid yield versus compressor temperature for a Linde-Hampson system using nitrogen as the working fluid

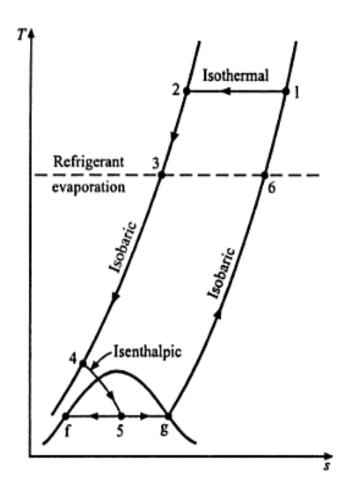
 It is apparent that the performance of a Linde-Hampson system could be improved if the gas entered the heat exchanger at a temperature lower than ambient temperature





Precooled Linde-Hampson system





Precooled Linde-Hampson system T-S diagram



### Liquid yield

Applying the First Law for steady flow to the heat exchanger, the two liquid receivers, and the two expansion valves.

$$\dot{m}h_{2} + \dot{m}_{r}h_{d} = (\dot{m} - \dot{m}_{f})h_{1} + \dot{m}_{r}h_{a} + \dot{m}_{f}h_{f}$$

$$y = \frac{\dot{m}_{f}}{\dot{m}} = \frac{h_{1} - h_{2}}{h_{1} - h_{f}} + r\frac{h_{a} - h_{d}}{h_{1} - h_{f}}$$

$$r = \dot{m}_{r} / \dot{m}$$

r is the refrigerant mass flow rate ratio  $\dot{m_r}$  is the mass flow rate of the auxiliary refrigerant  $\dot{m}$  is the total mass flow rate through the high pressure compressor



#### Liquid yield

The second term of liquid yield represents the improvement in liquid yield that is obtained through the use of precooling.

$$y = \frac{\dot{m}_{f}}{\dot{m}} = \frac{h_{1} - h_{2}}{h_{1} - h_{f}} + r \frac{h_{a} - h_{d}}{h_{1} - h_{f}}$$



Limit of the liquid yield 1

From the Second Law of Thermodynamics,  $T_3$  and  $T_6$  cannot be lower than the boiling point of the auxiliary refrigerant at point d



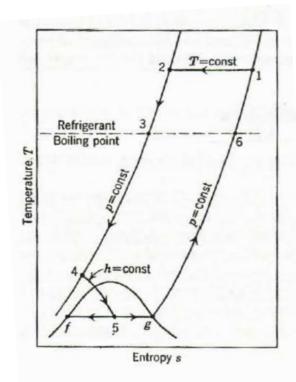
- Limit of the liquid yield 1
  - : The maximum liquid yield

With a suitable value of the refrigerant flow-rate ratio r, liquid yield could have a value of 1, which means 100 percent for the liquid yield.

$$y_{\text{max}} = \frac{h_6 - h_3}{h_6 - h_f}$$



- Limit of the liquid yield 1
  - : The maximum liquid yield



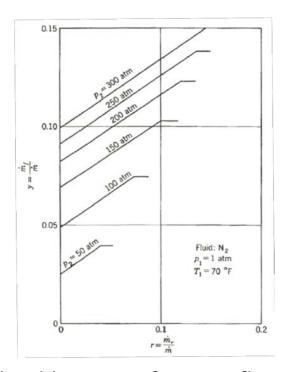
Precooled Linde-Hampson cycle

 $h_3$  and  $h_6$  are taken at the temperature of the boiling refrigerant at point d)

$$y_{\text{max}} = \frac{h_6 - h_3}{h_6 - h_f}$$



#### Limit of the liquid yield 2



Liquid yield versus refrigerant flow rate ratio for the precooled Linde-Hampson system using nitrogen as the working fluid

If the refrigerant flow rate ratio were too large, the liquid at point d would not be completely vaporized, and liquid would enter the refrigerant compressor.



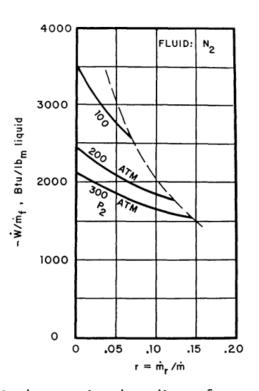
#### ■ The work requirement

- If the main compressor is reversible and isothermal and the auxiliary compressor is reversible and adiabatic.
- The last term represents the additional work requirement for the auxiliary compressor. (usually on the order of 10 percent of the total work)

$$-\frac{\dot{W}}{\dot{m}} = T_1(s_1 - s_2) - (h_1 - h_2) + r(h_b - h_a)$$



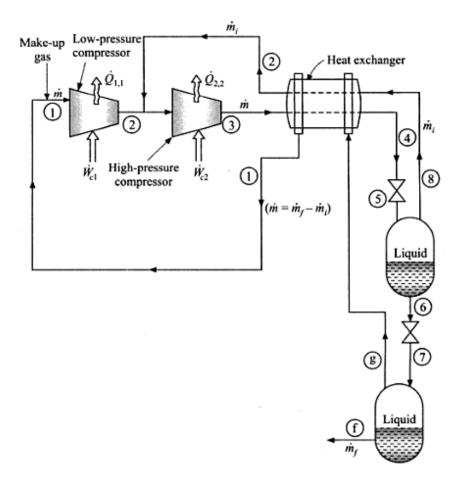
#### ■ The work requirement



The increase in liquid yield more than offsets the additional work requirement, however, so that the work requirement per unit mass of gas liquefied is actually less for the precooled system than for the simple system.

Work required to liquefy a unit mass of nitrogen in a precooled Linde-Hampson system

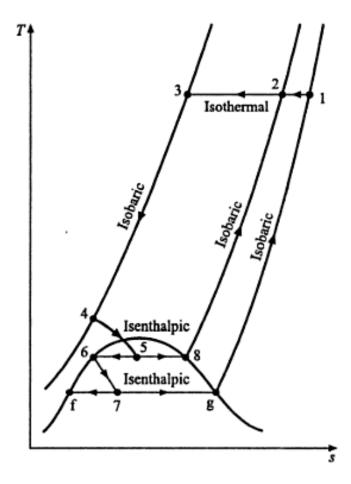




Linde dual-pressure system



## 3.7 Collins helium-liquefaction system



Linde dual-pressure system T-S diagram



#### Liquid yield

Applying the First Law for steady flow to the heat exchanger, the two liquid receivers, and the two expansion valves.

$$\dot{m}h_{3} = \dot{m}_{f}h_{f} + \dot{m}_{i}h_{2} + (\dot{m} - \dot{m}_{i} - \dot{m}_{f})h_{1}$$

$$y = \frac{\dot{m}_{f}}{\dot{m}} = \frac{h_{1} - h_{3}}{h_{1} - h_{f}} - i\frac{h_{1} - h_{2}}{h_{1} - h_{f}}$$

$$i = \dot{m}_{i} / \dot{m}$$

i is the intermediate pressure stream flow rate ratio  $\dot{m}_i$  is the mass flow rate of the intermediate pressure stream at point 8  $\dot{m}$  is the total mass flow rate through the high pressure compressor



### Liquid yield

- This modification reduces the liquid yield somewhat.
- The second term of liquid yield represents the reduction in the liquid yield below that of the simple system because of splitting the flow at the intermediate pressure liquid receiver.

$$y = \frac{\dot{m}_f}{\dot{m}} = \frac{h_1 - h_3}{h_1 - h_f} - i \frac{h_1 - h_2}{h_1 - h_f}$$



### The work requirement

Applying the First Law for steady flow to the two compressors.

$$(\dot{Q}_{R1} - \dot{W}_{C1}) + (\dot{Q}_{R2} - \dot{W}_{C2}) = \dot{m}h_3 - (\dot{m} - \dot{m}_i)h_1 - \dot{m}_ih_2$$

$$\dot{Q}_{R1} = -(\dot{m} - \dot{m}_{i})T_{1}(s_{1} - s_{2})$$

$$\dot{Q}_{R2} = -\dot{m}T_{1}(s_{2} - s_{3})$$

$$-\frac{\dot{W}}{\dot{m}} = \left[T_1(s_1 - s_3) - (h_1 - h_3)\right] - i\left[T_1(s_1 - s_2) - (h_1 - h_2)\right]$$



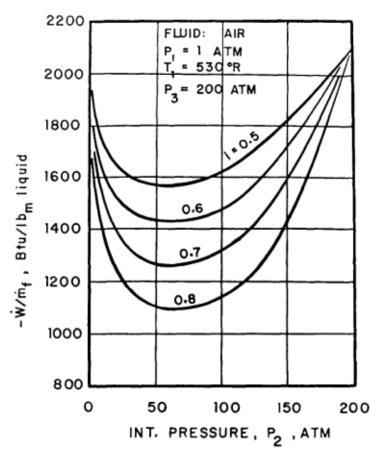
#### The work requirement

This modification reduces the total work required.

The work requirement is reduced below that of the simple system by the amount given by the second bracketed term.

$$-\frac{\dot{W}}{\dot{m}} = \left[T_{1}(s_{1}-s_{3})-(h_{1}-h_{3})\right]-i\left[T_{1}(s_{1}-s_{2})-(h_{1}-h_{2})\right]$$





Work required to liquefy a unit mass of air in the Linde dual-pressre system

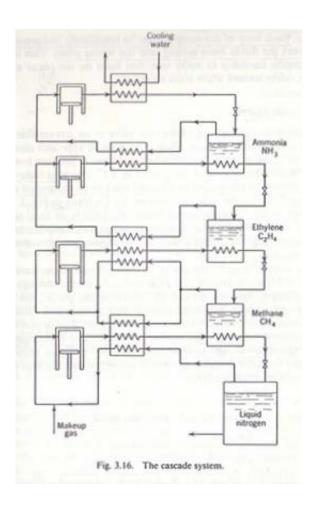


### Optimal intermediate pressure

- ullet As 'Work required to liquefy a unit mass of air in the Linde dual-pressure system' shows, there is an optimum intermediate pressure  $p_2$  for a given intermediate stream mass flow rate ratio, which makes the work requirements a minimum.
- Typical air liquefaction plants operate with i=0.8,  $p_3=200atm$ ,  $p_2$  between 40 and 50 atm.



### 3.8 Cascade system



- The cascade system is an extension of the precooled system
- There are refrigeration system chain of ammonia – ethylene – methane -nitrogen
- From a thermodynamic point of view, the cascade system in very desirable for liquefaction because it approaches the ideal reversible system more closely than any other discussed thus far0



# 3.8 Cascade system

$$\dot{m}_f h_f + (\dot{m} - \dot{m}_f) h_1 - \dot{m} h_2 + \sum_{i=1}^{n_{comp}} \dot{m}_{x,i} (h_{a,i} - h_{b,i}) + \dot{m}_{x,n} (h_{b,n} - h_{c,n}) = 0$$

Liquid yield: 
$$y = \frac{h_1 - h_2}{h_1 - h_f} + \sum_{i=1}^{h_2 comp} x_i \frac{h_{i,i} - h_{e,i}}{h_1 - h_f} + \dot{m}_{x,n} (h_{b,n} - h_{c,n})$$

$$-\dot{W} = \dot{m}[(h_2 - h_1) - T_1(h_2 - h_1)]h_f + \sum_{i=1}^{n\_comp} \dot{m}_{x,i}(h_{e,i} - h_{i,i})$$

Power per mass flow : 
$$-\frac{\dot{W}}{\dot{m}} = [(h_2 - h_1) - T_1(h_2 - h_1)]h_f + \sum_{i=1}^{n\_comp} x_i (h_{e,i} - h_{i,i})$$

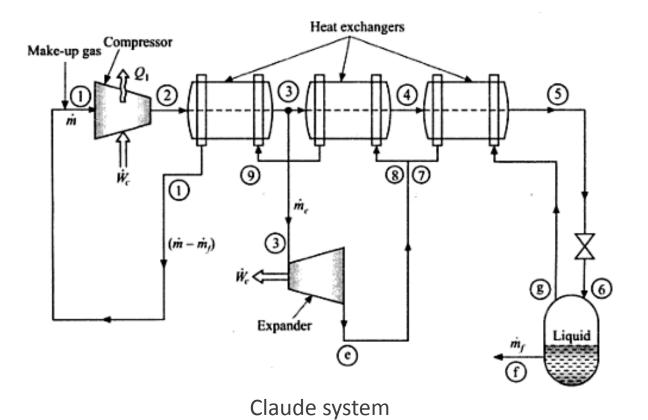




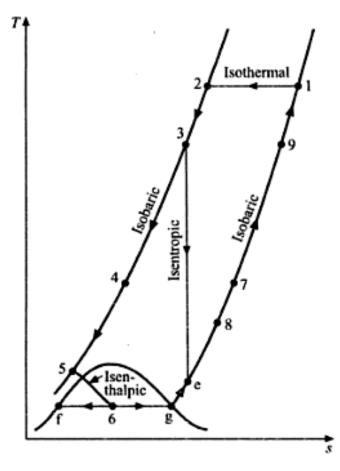
Georges Claude(1870-1960)

In 1902 Claude devised what is now known as the Claude system for liquefying air. The system enabled the production of industrial quantities of liquid nitrogen, oxygen, and argon









Claude system T-S diagram



#### Valve VS Expansion engine or expander

- The expansion through an expansion valve is an irreversible process. Thus if we wish to approach closer to the ideal performance, we must seek a better process.
- If the expansion engine is reversible and adiabatic, the expansion process in isentropic, and a much lower temperature is attained than an isenthalpic expansion.



Why we can not eliminate the expansion valve?

The expansion valve could not be eliminated because of the problem of two-phase flow within the engine cylinder or turbine blade flow passages.





#### Liquid yield

Applying the First Law for steady flow to the heat exchangers, the expansion valve, and the liquid receiver as a unit, for no external heat transfer.

$$\dot{m}h_2 + \dot{m}_e h_e = (\dot{m} - \dot{m}_f)h_1 + \dot{m}_e h_3 + \dot{m}_f h_f$$

$$y = \frac{\dot{m}_f}{\dot{m}} = \frac{h_1 - h_2}{h_1 - h_f} + x \frac{h_3 - h_e}{h_1 - h_f}$$

$$x = \dot{m}_e / \dot{m}$$

x is the fraction of the total flow that passes through the expander  $\dot{m_e}$  is the mass flow rate of fluid through expander  $\dot{m}$  is the total mass flow rate through the high pressure compressor



#### Liquid yield

The second term of liquid yield represents the improvement in performance over the simple Linde Hampson system.

$$y = \frac{\dot{m}_f}{\dot{m}} = \frac{h_1 - h_2}{h_1 - h_f} + x \frac{h_3 - h_e}{h_1 - h_f}$$



#### ■ The work requirement

The work requirement per unit mass compressed is exactly the same as that for the Linde Hampson system if the expander work is not utilized to help in the compression.

$$-\frac{\dot{W}}{\dot{m}} = T_1(s_1 - s_2) - (h_1 - h_2)$$

The work requirement per unit mass compressed for the Linde Hampson system



#### The work requirement

If the expander work is used to aid in compression, then the net work requirement is given by

$$-\frac{\dot{W}}{\dot{m}} = -\frac{\dot{W}_c}{\dot{m}} - \frac{\dot{W}_e}{\dot{m}}$$
$$\frac{\dot{W}_e}{\dot{m}} = x \left( h_3 - h_e \right)$$
$$\frac{\dot{W}_c}{\dot{m}} = \left( h_2 - h_1 \right) + T_1 \left( s_1 - s_2 \right)$$

The net work is given by

$$-\frac{\dot{W}}{\dot{m}} = \left[T_{1}(s_{1} - s_{2}) - (h_{1} - h_{2})\right] - x(h_{3} - h_{e})$$



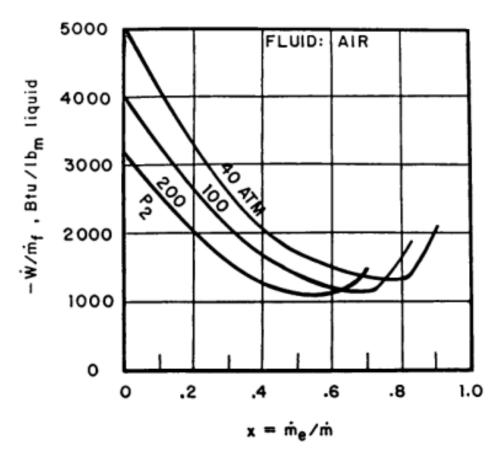
#### ■ The work requirement

The last term is the reduction in energy requirements due to the utilization of the expander work output.

$$-\frac{\dot{W}}{\dot{m}} = \left[T_{1}(s_{1} - s_{2}) - (h_{1} - h_{2})\right] - x(h_{3} - h_{e})$$



## 3.9 Claude system



Work required to liquefy a unit mass of air in the Claude system



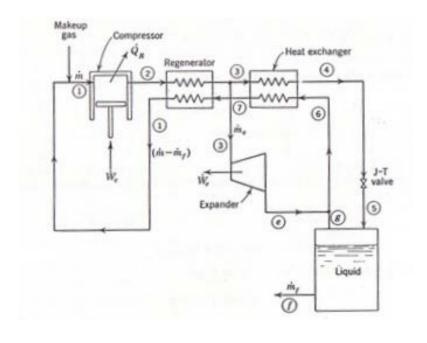
## 3.9 Claude system

Smallest work requirement per unit mass liquefied

- There is a finite temperature at point 3 that will yield the smallest work requirement per unit mass liquefied.
- As the high pressure is increased, the minimum work requirement per unit mass liquefied decreases.



### 3.10 Kapitza system



- Modified Claude system which eliminate the third low temperature heat exchanger
- A rotary expansion engine was used instead of a reciprocating expander
- Kapitza system usually operated at relatively low pressures-on the order of 700kPa



## 3.10 Kapitza system

$$\dot{m}_f h_f + (\dot{m} - \dot{m}_f) h_1 - \dot{m} h_2 + \dot{m}_x (h_3 - h_e) = 0$$

Liquid yield : 
$$y = \frac{h_1 - h_2}{h_1 - h_f} + x \frac{h_3 - h_e}{h_1 - h_f}$$

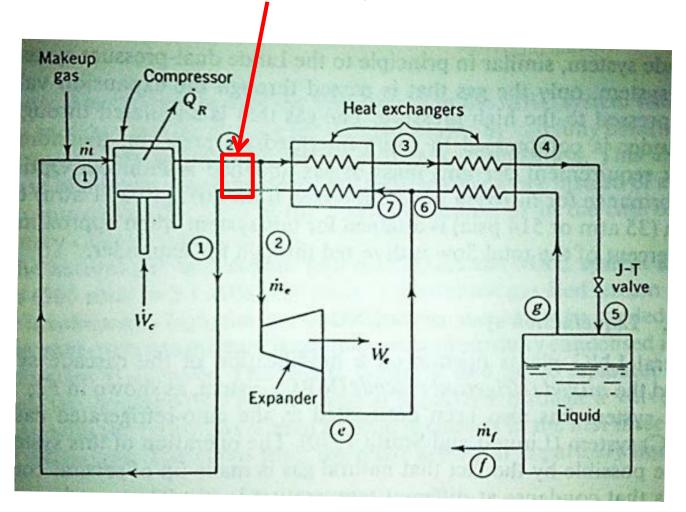
$$-\dot{W} = \dot{m}[(h_2 - h_1) - T_1(h_2 - h_1)]h_f + \dot{m}_x(h_e - h_3)$$

Power per mass flow : 
$$-\frac{\dot{W}}{\dot{m}} = [(h_2 - h_1) - T_1(h_2 - h_1)]h_f + x(h_e - h_3)$$



## 3.11 Heylandt System

(Claude system has one more HX at here)



## 3.11 Heylandt System

For a high pressure (Approximately 20 Mpa = 200 atm) For an expansion-engine (flow-rate ratio of approximately 0.6)

The optimum value of T before expansion = near ambient T

→ So, it can eliminate first HX in the Claude system by compressing!
(∴ Modified Claude system)

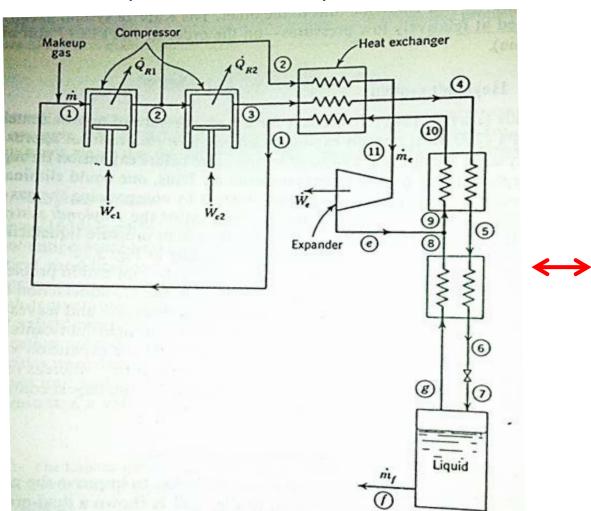
#### Advantage

- → The lubrication problems in the expander are easy to solve! (Because T of expander is very low)
- Contribution of expander and expansion valve is nearly equal.
   (At original Claude system, expander makes more contribution)

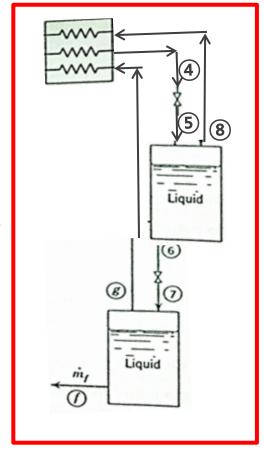


## 3.12 Other liquefaction systems using expanders

Dual-pressure Claude System



Linde Dual-pressure (Chapter 3.7)



## 3.12 Other liquefaction systems using expanders

... It is simillar to the Linde dual-pressure system. (Chapter 3.7) (A reservoir is replaced by expander and two HX)

#### Advantage

Gas through expander is compressed to some intermediate P.

- → Work requirement per unit mass of gas liqefied is reduced.
- ※ If nitrogen compressed from 1 atm to 35 atm, optimum performance is attained when 75 percent of flow diverted through the expander.



# 3.13 Liquefaction systems for LNG





## 3.13 Liquefaction systems for LNG

LNG liquefaction

#### Natural gas

- Made up of several components; methane, ethane, propane, and nitrogen (Condense at different temperature levels.)
- Require mixed refrigerant liquefaction system.



### 3.13 Liquefaction systems for LNG

Mixed refrigerant cascade (MRC) system

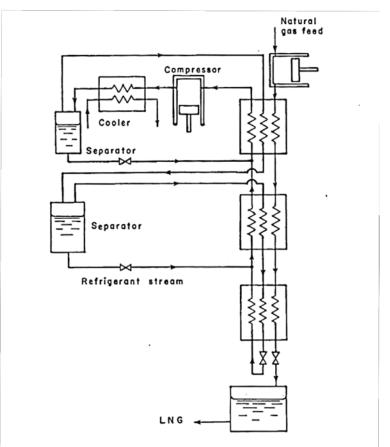


Fig. 3.23. Mixed refrigerant cascade system used for liquefaction of natural gas.

- Single compressor is used for circulating the mixed refrigerant gas
- Natural gas feed to the MRC system at 3.9~5.3 MPa
- Not applicable for liquefaction of pure gases or mixtures such as air (only two components)



## 3.14 Comparison of liquefaction systems

Table 3.4. Comparison of liquefaction systems using air as the working fluid with  $T_1 = 300 \text{ K}$  (80°F) and  $p_1 = 101.3 \text{ kPa}$  (1 atm or 14.7 psia)

	Liquid yield y	Work per unit mass liquefied, - W/m <sub>f</sub>		Figure
•	$=\dot{m}_f/\dot{m}$	kJ/kg	Btu/lb <sub>m</sub>	
1. Ideal reversible system	1.000	738.9	317.7	1.000
2. Linde-Hampson system, $p_2 = 20.27$ MPa (200 atm), $\eta_c = 100\%$ , $\epsilon = 1.0$	0.079	5739	2467	0.129
3. Linde-Hampson system, $p_2 = 20.27$ MPa (200 atm), $\eta_c = 70\%$ , $\epsilon = 0.965$	0.062	10 573	4544	0.070
4. Linde-Hampson system, observed (Ruhemann 1949)	•••	10 327	4440	0.070
5. Precooled Linde-Hampson system, $p_2 = 20.27$ MPa (200 atm), $T_3 = -35$ °C (-31°F), $\eta_c = 100\%$ , $\epsilon = 1.0$	0.158	2928	1259	0.251
6. Precooled Linde-Hampson system, $p_2 = 20.27 \text{ MPa } (200 \text{ atm}), T_3 = -35^{\circ}\text{C}$ $(-31^{\circ}\text{F}), \eta_c = 70\%, \epsilon = 0.965$	0.143	4691	2017	0.158
7. Precooled Linde-Hampson system, observed (Ruhemann 1949)		5582	2400	0.129
Section of the state of the st	0.057	3056	1314	0.242
9. Linde dual-pressure system, $p_2 = 6.08$ MPa (60 atm), $p_3 = 20.27$ MPa (200 atm), $i = 0.80$ , $\eta_c = 70\%$ , $\epsilon = 0.965$	0.039	6535	2809	0.113
10. Linde dual-pressure system, observed (Ruhemann 1949)		6350	2730	0.113
11. Linde dual-pressure system, precooled to -45°C (-50°F), observed (Ruhemann 1949)		3582	1540	0.201
12. Claude system, $p_2 = 4.05$ MPa (40 atm), $x = \dot{m}_e/\dot{m} = 0.70$ , $\eta_c = \eta_e = 100\%$ , $\epsilon = 1.00$	0.262	815	350	0.907
13. Claude system, $p_2 = 4.05$ MPa (40 atm), $x = m_d/m = 0.70$ , $\eta_c = 70\%$ , $\eta_{ad} = 80\%$ , $\eta_{c,m} = 90\%$ , $\epsilon = 0.965$ .	0.198	1906	819	0.388
14. Claude system, observed (Ruhemann 1949)		3582	1540	0.201
15. Heylandt system, $p_2 = 20.27$ MPa (200 atm), $x = 0.60$ , $\eta_c = \eta_c = 100\%$ , $\epsilon = 1.00$	0.377	873	275	0.846
16. Heylandt system, $p_2 = 20.27$ MPa (200 atm), $x = 0.60$ , $\eta_c = 70\%$ , $\eta_{ad} = 80\%$ , $\eta_{e,m} = 90\%$ , $\epsilon = 0.965$	0.305	1839	791	0.402
17. Heylandt system, observed (Ruhemann 1949)	•••	3326	1430	0.216
18. Cascade system, observed (Ball 1954)		3256	1400	0.221

Air liquefaction system	Liquid yield y	Work per unit mass liquefied, - W/m <sub>f</sub>		Fi
	$= m_d/m$	kJ/kg	Btu/lb <sub>m</sub>	of mer
Ideal reversible system	1.000	738.9	317.7	1.000
<ol> <li>Linde-Hampson system, p<sub>2</sub> = 20.27 MPa (200 atm), η<sub>c</sub> = 100%, ε = 1.0</li> </ol>	0.079	5739	2467	0.129
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<ol> <li>Precooled Linde-Hampson system, p<sub>2</sub> = 20.27 MPa (200 atm), T<sub>3</sub> = -35°C (-31°F), η<sub>c</sub> = 70%, ε = 0.965</li> </ol>	0.143	4691	2017	0.158
<ol> <li>Precooled Linde-Hampson system, observed (Ruhemann 1949)</li> </ol>		5582	2400	0.129
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12. Claude system, $p_2 = 4.05$ MPa (40 atm), $p_2 = m_e/m = 0.70$ , $p_2 = p_e = 100\%$ , $\epsilon = 1.0$	0.262	815	350	0.907
13. Claude system, $\rho_2 = 4.05$ MPa (40 atm), $\lambda = m/m = 0.70$ , $\eta_c = 70\%$ , $\eta_{ad} = 80\%$ , $\eta_{c,i} = 90\%$ , $\epsilon = 0.965$ .	0.198	1906	819	0.388
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Table 3.4. Comparison of liquefaction systems using air as the working fluid with  $T_1 = 300 \text{ K}$  (80°F) and  $p_1 = 101.3 \text{ kPa}$  (1 atm or 14.7 psia)

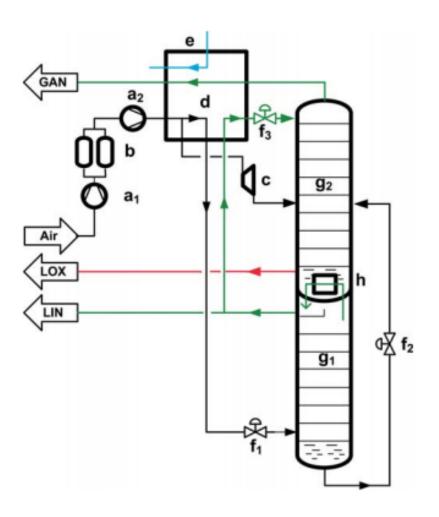


The Linde-Hampson system is quite desirable for small-scale liquefaction plants.

However, the basic Linde-Hampson system with no precooling would not work for neon, hydrogen, or helium

Because the maximum inversion temperature for these gases is below ambient temperature.

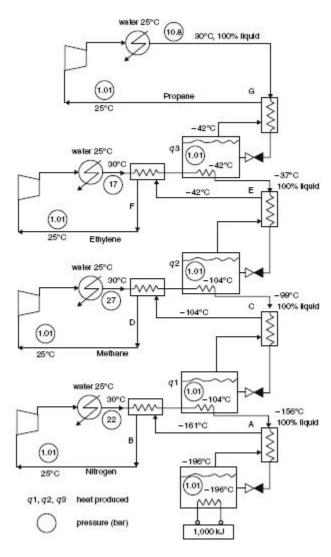




Heylandt air-separation system

- a) Compressor stages,
- b) Carbon dioxide and water removal,
- c) Expander,
- d) Main heat exchanger,
- e) Optional external refrigerant stream,
- f) J-T valves,
- g) Separation columns,
- h) Comdenser-reboiler





Cascade system



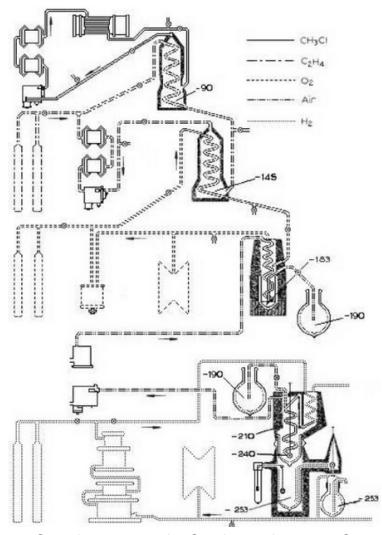
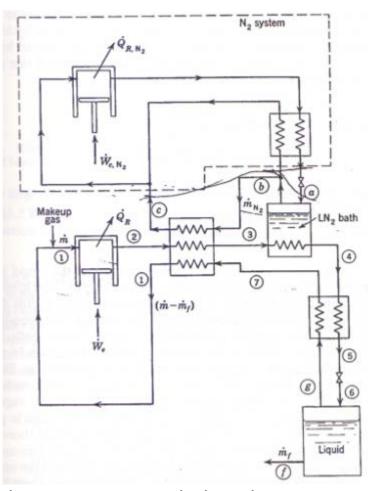


Diagram for the cascade for liquid gases from 1906





Liquid-nitrogen-precooled Linde-Hampson system for neon or Hydrogen



$$y = \frac{h_7 - h_4}{h_7 - h_f}$$

$$0 = \dot{m}_{N_2} h_c + (\dot{m} - \dot{m}_f) h_1 + \dot{m}_f h_f - \dot{m}_{N_2} h_a - \dot{m} h_2$$

 $\dot{m}_{N_2}$  : the mass flow rate of liquid nitrogen boiled away to precool the incoming hydrogen or neon

 $\dot{m}$  : the mass flow rate of hydrogen or neon through the compressor

 $\dot{m}_{\rm f}^{}$  : the mass flow rate of hydrogen or neon which is liquefied



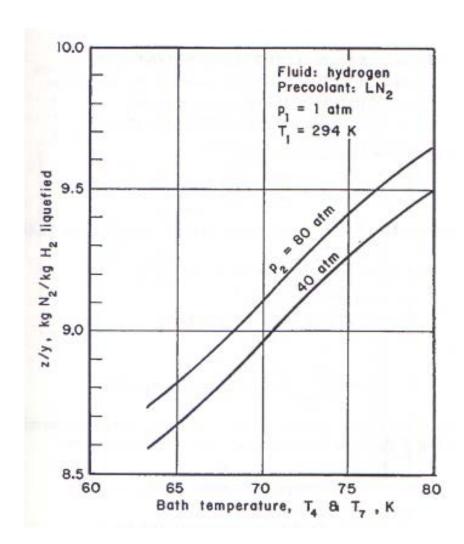
The nitrogen boil-off rate per unit mass of hydrogen or neon compressed

$$z = \frac{\dot{m}_{N_2}}{\dot{m}}$$

$$z = \frac{h_2 - h_1}{h_c - h_a} + y \frac{h_1 - h_f}{h_c - h_a}$$

$$\frac{\dot{m}_{N_2}}{\dot{m}_f} = \frac{\dot{m}_{N_2} / \dot{m}}{\dot{m}_f / \dot{m}} = \frac{z}{y}$$





Nitrogen boil-off per unit mass of hydrogen produced for the liquid-nitrogen-precooled Linde-Hampson system as a function of the liquid-nitrogen bath temperature.



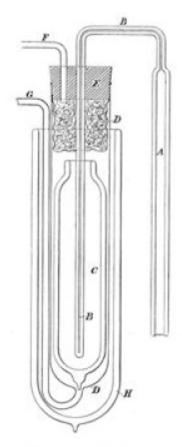


Figura 4 - Hydrogen liquefier used by Travers. Credit: Ref. [27].

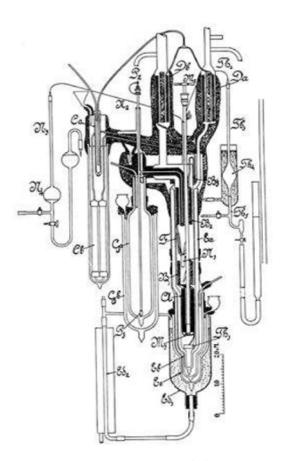
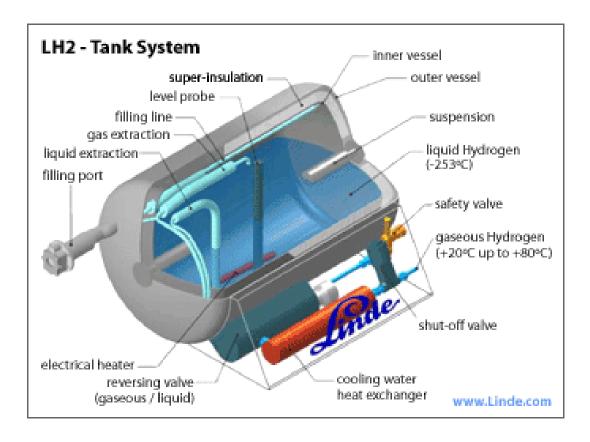


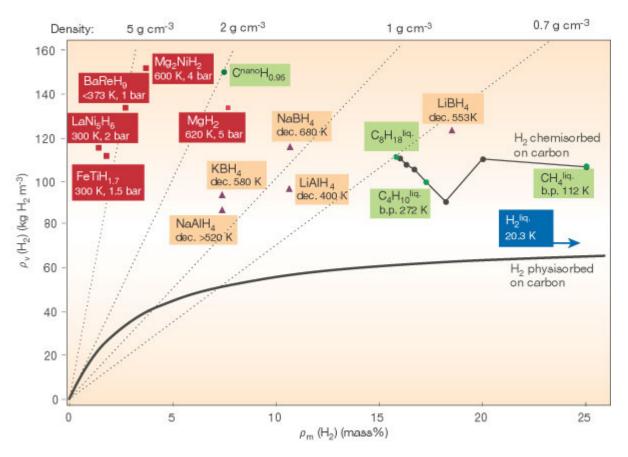
Figura 6 - Helium liquefier. Credit: Ref. [35].





Liquid hydrogen storage tank system, horizontal mounted with double gasket and dual seal





A complete survey plot of hydrogen storage in metal hydrides and carbonebased materials





Created by NPO "GELIYMASH", liquefiers of helium with liquid helium capacity of 700 l/h were delivered to the biggest European helium center - Orenburg Gas Processing Plant.

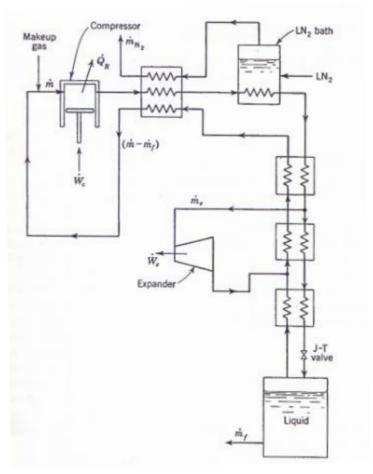




One of the latest cryogenic helium plants created by NPO "GELIYMASH" was delivered to the Corporation "TVEL" in 2007 for testing superconducting elements that were designed for the European international project of a thermonuclear reactor.

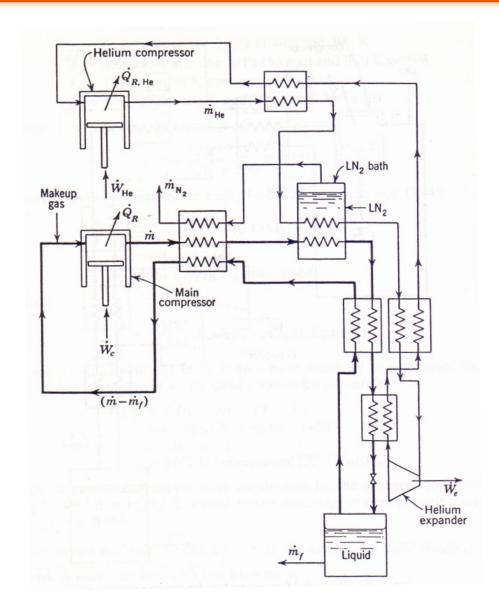


## 3.16 Claude system for hydrogen or neon



Precooled Claude system for hydrogen or neon.







An auxiliary helium-gas refrigerator can be used to condense hydrogen or neon



Liquid neon



#### Advantage

- Relatively low pressures can be used
- The compressor size can be reduced
   (although two compressors are required)
- The pipe thickness can be reduced
- The hydrogen or neon need be compressed only to a pressure high enough to overcome the irreversible pressure drops through the heat exchangers and piping in an actual system



Usually adequate for the hydrogen loop

Pressure:  $300 \, kPa \, to \, 800 \, kPa$ 

The system is relatively insensitive to the pressure level of the helium refrigerator

Helium-gas pressure : 1 MPa

Work requirements to liquefied:  $11000 \, kJ/kg$ 

(approximately)

Figure of Merit: 0.11

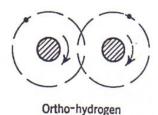


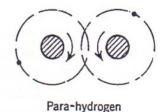
N.B.P. = 20.3K

#### Types of hydrogen molecules

 $ortho - H_2$  (Spins aligned, high energy)

 $para - H_2$  (Spins aligned, high energy)





ortho — 
$$H_2 \rightarrow para$$
 —  $H_2 + \Delta\alpha$  (heat of conversion)   
  $70.3kJ/kg$    
 Latent heat  $44.3kJ/kg$ 

At high temperature is a mixture of 75%  $ortho-H_2$  and 25%  $para-H_2$  As temperature is cooled to the normal boiling point of hydrogen, the  $ortho-H_2$  concentration decreases from 75 to 0.2%



The changeover from ortho- to para-hydrogen involves a heat of conversion that is greater than the heat of vaporization of para-hydrogen

Therefore serious boil-off losses will result unless measures are taken to prevent it.



A catalyst may be used to speed up the conversion process, while the heat of conversion is absorbed in the liquefaction system before the liquid is stored in the liquid receiver.

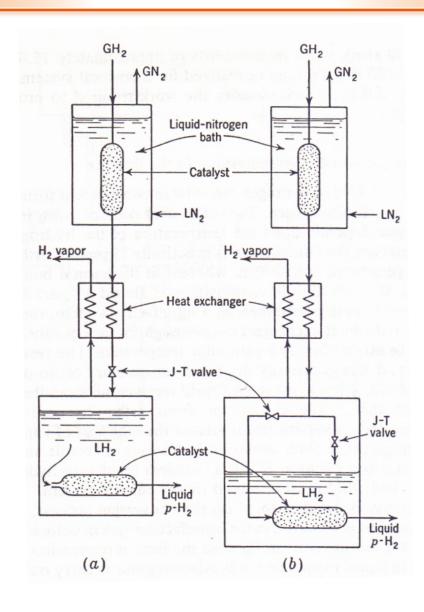
At 70 K: 55 to 60% para - hydrogen



The changeover from ortho — to para — hydrogen involves a heat of conversion that is greater than the heat of vaporization of para — hydrogen

Therefore serious boil-off losses will result unless measures are taken to prevent it.





■ Two possible arrangements for ortho-para conversion

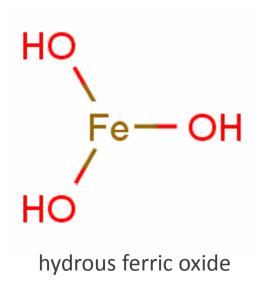
In the first arrangement, the hydrogen is passed through the catalyst in the liquid-nitrogen bath, expanded through the expansion valve into the liquid receiver, and drawn through a catalyst bed before passing into a storage vessel. The hydrogen that is evaporated due to the heat of conversion flows back through the heat exchanger and furnishes additional refrigeration to the incoming stream



The second arrangement is similar to the first one, except that the high-pressure stream is divided into two parts before the expansion valve. One part is expanded through an expansion valve and flows though a catalyst bed immersed in a liquid-hydrogen bath. The other part of the high-pressure stream is expanded through another expansion valve into the liquid receiver to furnish refrigeration for the catalyst bed.



#### Catalysts

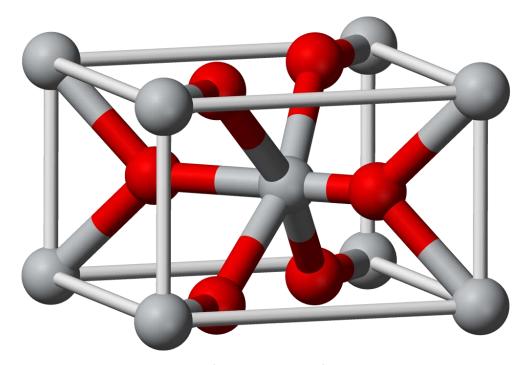




Nickel-based catalyst



#### Catalysts



Chromic oxide



#### Helium? It is one of the most difficult gases to liquefy!

Different type He liquefaction system



Collins type He liquefier (Japan)



Nippon Sanso LHE60 (Japan, 1971)



#### Helium? It is one of the most difficult gases to liquefy!

Different type He liquefaction system



PSI He liquefier(University of Florida)



Linde L70 He liquefier (Portugal)



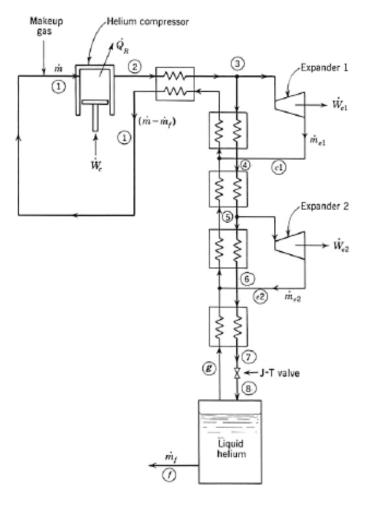


Helium refrigerator-liquefier at MIT

Samuel C. Collins (MIT) designed Helium liquefier (1952)

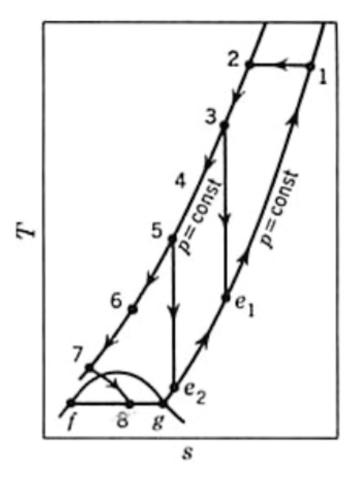
It is an extension of the Claude system





Collins helium-liquefaction system





Collins helium-liquefaction system T-S diagram



#### Liquid yield

Consisting of all components except the helium compressor and the expansion engines

$$y = \frac{h_1 - h_2}{h_1 - h_f} + x_1 \frac{\Delta h_{e_i}}{h_1 - h_f} + x_2 \frac{\Delta h_{e_2}}{h_1 - h_f}$$

$$x_1 = \dot{m}_{e_1}/\dot{m}$$
$$x_2 = \dot{m}_{e_2}/\dot{m}$$

 $\Delta h_{e_i} = \mbox{enthalpy change of fluid passing through expander 1} \\ \Delta h_{e_2} = \mbox{enthalpy change of fluid passing through expander 2} \\ \dot{m}_{e_1}, \dot{m}_{e_2} = \mbox{mass flow rates of fluid through expander 1 and 2, respectively}$ 



Liquid yield

For more than two engines?

→ Additional term similar to the second term for each expander would be added!



• Increase the Collins liquefier performance?

- By using liquid-nitrogen precooling bath
  - → Cool-down time would be reduced from 4h to 2h
  - → Liquid yield can be tripled

But,

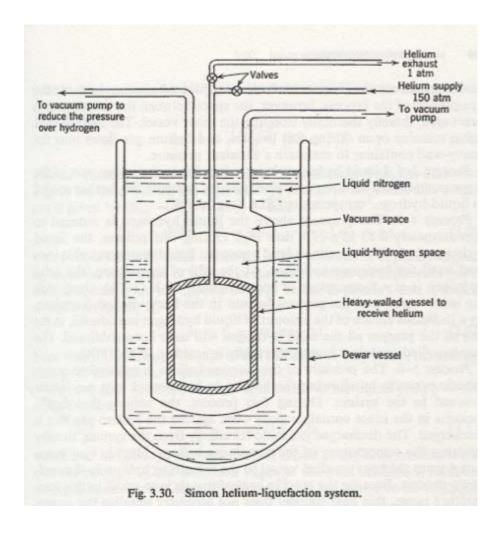
Pre coolant bath is not required because it doesn't depent solely on the Joule-Thomson effect!

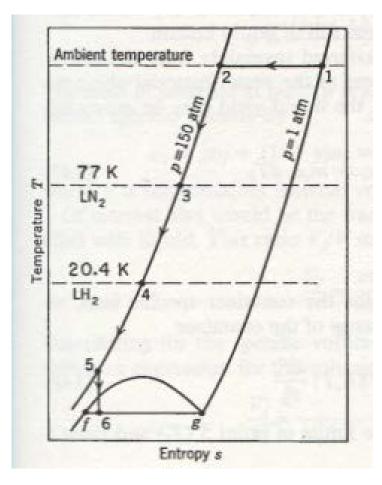


#### System Characteristics

- Liquefy small quantities of helium
- Not operate as a steady-flow system
- It produces helium in a batch process







Simon helium-liquefaction system T-S diagram



Simon helium-liquefaction system process

Process 1-2: Helium gas is introduced into the heavy-wall container. (Pressure: 10~15 Mpa, Ambient temperature)

- Process 2-3: Liquid Nitrogen is introduced into the enclosing bath.
  - Cools the entire container and contents (77K)
  - Vacuum space is filled with helium gas.
     (act as heat transfer medium)
  - At the completion of the process, inner vessel is thermally isolated



#### Simon helium-liquefaction system process

- Process 3-4: Liquid hydrogen is introduced into the upper part of the inner container. Inner container and contents are further cooled to liquid-hydrogen temperature. (20.4K)
- Process 4-5: The pressure above the liquid hydrogen is reduced to approximately 0.23kPa.
  - Liquid hydrogen boils as the pressure is lowered until the hydrogen solidifies.
  - At the end of the process, the solid hydrogen is at approximately 10K.



#### Simon helium-liquefaction system process

- Process 5-6: The pressure of the gaseous helium is reduced to atmosphere pressure by allowing the helium to be released to a gas holder external to the system.
  - The helium that finally remians in the inner container does work against the helium gas that is discharged.
  - The discharged gas removes energy from the system, thereby lowering the temperature of the remaining helium.
  - At the end of this process, 75~100% is liquid helium.



If heat is transferred reversibly,

$$dQ = m_c T_c ds_c = m_c c_c dT_c$$

 $m_c = mass \ of \ container$   $T_c = container \ temperature$  $c_c = container \ specific \ heat$ 



Substituting the Debye expression for the container specific heat,

$$ds_c = 233.78R_c T_c^2 \frac{dT_c}{\theta_D^3}$$

Integration,

$$\Delta s_c = -\frac{77.93R_c}{\theta_D^3} (T_5^3 - T_f^3)$$



There is no external heat transfer from the surroundings to the inner vessel,

$$(m_6 - m_5)s_5 - 0 = (m_6s_6 - m_5s_5) + m_c\Delta s_c$$

 $m_6$  = total mass of helium in the vessel after expansion  $m_5$  = total mass of helium in the vessel before the expansion  $s_5$ ,  $s_6$  = specific entropy values at points 5 and 6, respectively



There is no external heat transfer from the surroundings to the inner vessel,

$$(m_6 - m_5)s_5 - 0 = (m_6s_6 - m_5s_5) + m_c\Delta s_c$$

 $m_6$  = total mass of helium in the vessel after expansion  $m_5$  = total mass of helium in the vessel before the expansion  $s_5, s_6$  = specific entropy values at points 5 and 6, respectively

Solving for  $s_6$ ,

$$s_6 = s_5 - (\frac{m_c}{m_6}) \Delta s_c$$



Write the entropy  $s_6$  in terms of the liquid yield

$$y = m_f/m_6,$$

$$s_6 = ys_f + (1 - y)s_g$$

 $s_f = entropy$  of the saturated liquid at the final condition  $s_g = entropy$  of the saturated vapor at the final condition



We can solve for the liquid yield,

$$y = \frac{\left(s_g - s_5\right) + \left(\frac{m_c}{m_6}\right)\Delta s_c}{s_g - s_f}$$



The helium specific volume,

$$v_6 = yv_f + (1 - y)v_g = v_g - y(v_g - v_f) = V/m_6$$

V = container internal volume



Fraction volume that is filled with liquid helium,

$$\frac{V_f}{V} = \frac{m_f v_f}{m_6 v_6} = \frac{y v_f}{v_6}$$

Substituting for the specific volume  $v_6$  we can obtain,

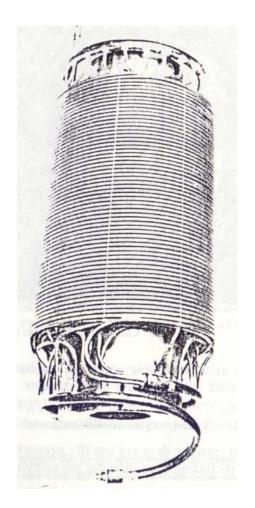
$$\frac{V_f}{V} = \frac{y}{\left(\frac{v_g}{v_f}\right) - y\left[\left(\frac{v_g}{v_f}\right) - 1\right]}$$



Single tube Linde exchanger	High pressure stream for inner circle because of its high density	High-pressure stream Low-pressure stream (a)
Multiple tube Linde exchanger	For multi heat exchanging ex) Linde dual pressure system	H-P stream (b)
Single tube Linde exchanger with a wire spacer	wire spacer causes turbulent flow which increases heat exchange rate while also increases pressure drop	H-P stream L-P stream (c)
Bundle type heat exchanger	bundle of circular tube with an ensure of good thermal contact	H-P stream Solder  (d)



#### Giauque Hampson exchanger



The heat exchanger which consists of helixes of small diameter tubes through which the high pressure stream flows.

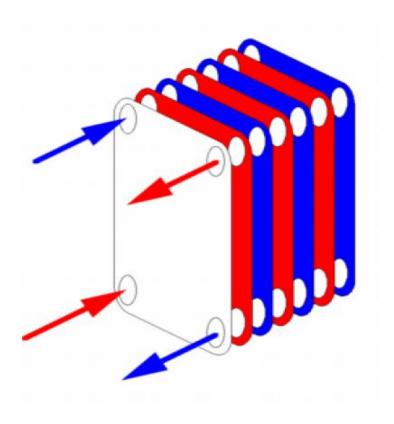


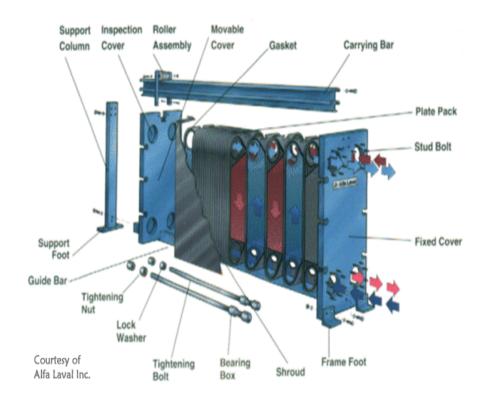
#### Types of Heat Exchangers

- 1. Plate and Frame Exchangers
- 2. Spiral Heat Exchangers
- 3. Plate-Fin Heat Exchangers
- 4. Air coolers
- 5. Double Pipe Exchangers
- 6. Shell and Tube Exchangers



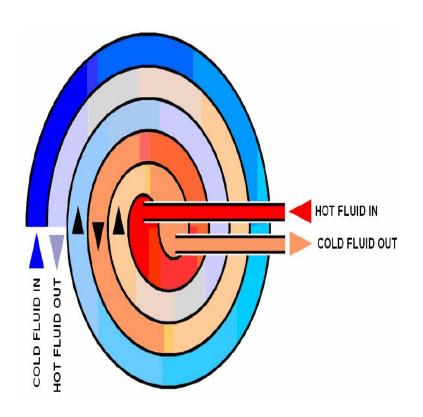
#### 1.Plate and Frame Exchangers







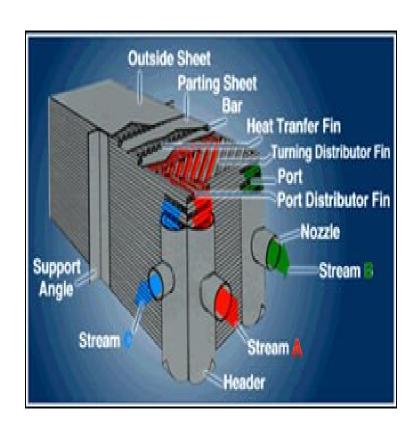
#### 2. Spiral Heat Exchangers

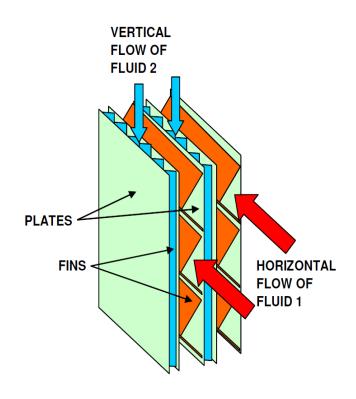






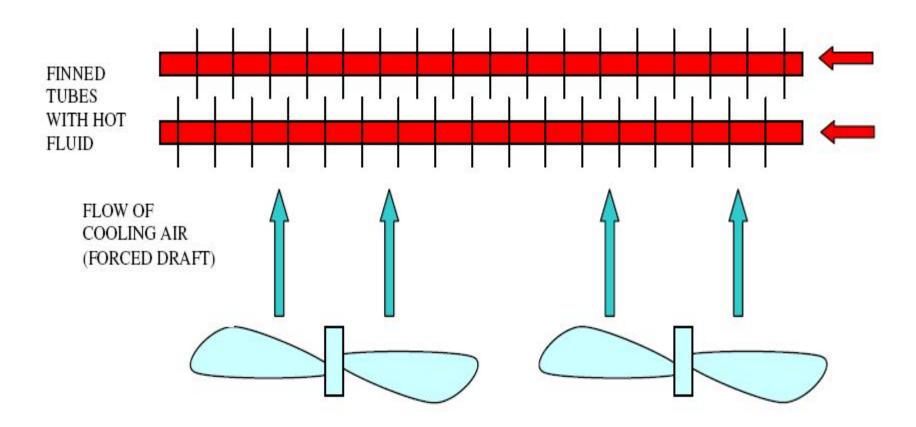
#### 3. Plate-Fin Heat Exchangers





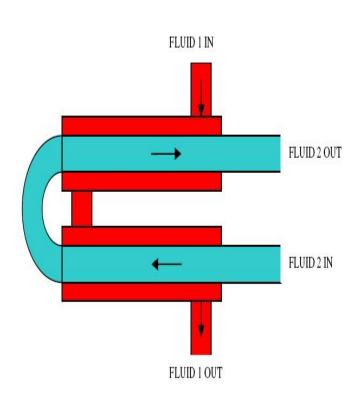


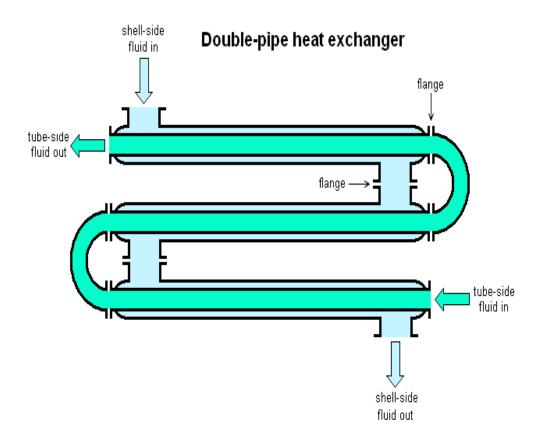
#### 4. Air coolers





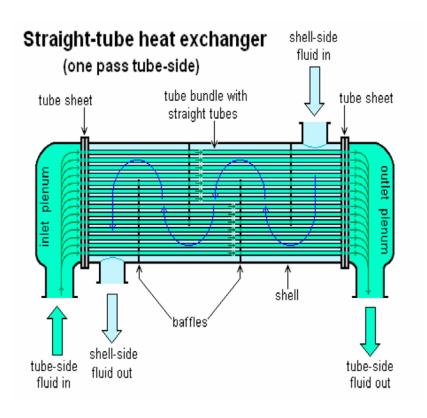
#### 5. Double Pipe Exchangers

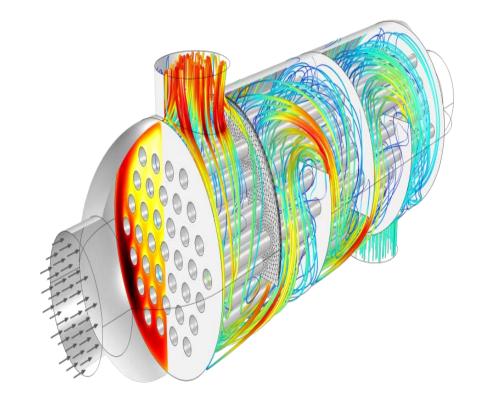






#### 6. Shell and Tube Exchangers







### 3.22 Heat-transfer coefficients and friction factors

• Heat-transfer data are usually presented in terms of dimensionless moduli, including the following parameters.

1. Prandtl number : 
$$N_{Pr} = {^{\mu C_p}/_{k_t}}$$

2. Nusselt number : 
$$N_{Nu} = {^{h_c D_e}/_{k_t}}$$

3. Colburn J-factor : 
$$j_H = (\frac{h_c}{GC_p})N_{Pr}^{2/3}$$

4. Reynolds number : 
$$N_{Re} = \frac{D_e G}{\mu}$$

5. Friction factor : 
$$f = (\frac{\Delta p}{L})(\frac{G^2}{2g\rho D_e})$$



Fin effectiveness

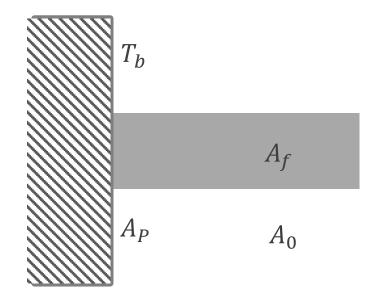
$$\eta_f = \frac{actual \ \dot{Q}_f}{\dot{Q}_f \ when \ fin \ is \ maintained \ at \ T_b}$$



(For plate fin)

$$\eta_f = \frac{\tanh(ML)}{ML}, \qquad M = \left(\frac{h}{k_f \delta}\right)^{1/2}$$





 $A_0 = total \ surface \ area$   $A_f = fin \ surface \ area$   $A_p = primary \ surface \ area$   $\eta_0 = surface \ effectiveness$ 

## 3.23 Surface effectiveness

Surface effectiveness

$$\eta_0 A_0 = (1)A_0 + \eta_f A_f = A_0 - A_f + \eta_f A_f$$

$$\eta_0 = 1 - (A_f/A_0)(1 - \eta_f)$$

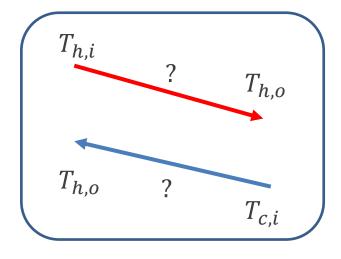


Overall heat transfer coefficient

$$\dot{Q} = UA_0, \qquad \frac{1}{UA} = \frac{1}{A_o h_o} + \frac{1}{A_i h_i} + \frac{t}{kA} + R$$

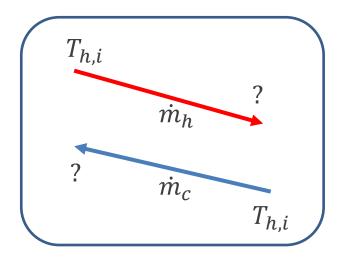


## 3.24 Heat exchanger analysis method



LMTD method

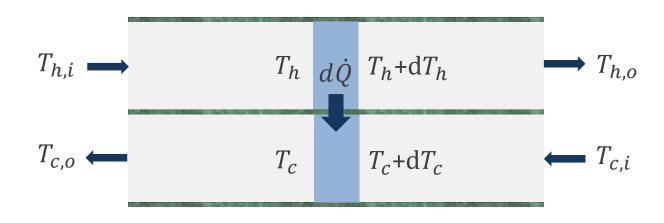
When inlet and outlet temperatures are known



e-NTU method

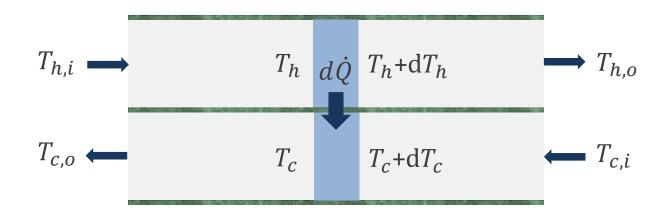
When inlet conditions and mass flows are known





$$\dot{Q} = C_h(T_{h,i} - T_{h,o}) = C_c(T_{c,o} - T_{c,i})$$





$$d\dot{Q} = -C_h dT_h = -C_c dT_c = U dA \Delta T$$



$$d(\Delta T) = dT_h - dT_c = -\left(\frac{UdA}{C_h}\Delta T - \frac{UdA}{C_c}\Delta T\right)$$

$$\frac{d(\Delta T)}{\Delta T} = -(\frac{1}{C_h} - \frac{1}{C_c})UdA$$



$$\to d(\Delta T) = dT_h - dT_c = -\left(\frac{UdA}{C_h}\Delta T - \frac{UdA}{C_c}\Delta T\right)$$

$$\rightarrow \frac{d(\Delta T)}{\Delta T} = -(\frac{1}{C_h} - \frac{1}{C_c})UdA$$

$$\to \ln\left(\frac{(\Delta T)_2}{(\Delta T)_1}\right) = \frac{(\Delta T)_2 - (\Delta T)_1}{\dot{Q}} UA$$



$$\dot{Q} = \frac{ln((\Delta T)_2/(\Delta T)_1)}{(\Delta T)_2 - (\Delta T)_1} UA$$

$$(\Delta T)_1 = T_{h,i} - T_{c,i}$$
  
$$(\Delta T)_2 = T_{h,o} - T_{c,o}$$

co-flow 
$$(\Delta T)_1 = T_{h,i} - T_{c,i}$$
 
$$(\Delta T)_2 = T_{h,o} - T_{c,o}$$
 
$$(\Delta T)_2 = T_{h,o} - T_{c,i}$$
 
$$(\Delta T)_2 = T_{h,o} - T_{c,i}$$



$$\epsilon = \frac{q}{q_{max}} = \frac{C_c(T_{c,o} - T_{c,i})}{C_{min}(T_{h,i} - T_{c,i})} = \frac{C_h(T_{h,i} - T_{h,o})}{C_{min}(T_{h,i} - T_{c,i})}$$

$$NTU = \frac{UA}{C_{min}}$$

 $C_{min} = C_C$  for most cryonic system

$$\epsilon = \frac{(T_{c,o} - T_{c,i})}{(T_{h,i} - T_{c,i})} \qquad NTU = \frac{UA}{C_C}$$



$$\frac{(\Delta T)_2}{(\Delta T)_1} = \frac{UA}{C_C} \left( 1 - \frac{C_C}{C_h} \right) \rightarrow \frac{T_{h,o} - T_{c,i}}{T_{h,i} - T_{c,o}} = \exp\left(\frac{UA}{C_{min}} \left( 1 - \frac{C_{min}}{C_{max}} \right) \right)$$

$$\frac{T_{h,o} - T_{c,i}}{T_{h,i} - T_{c,o}} = \frac{T_{h,o} - T_{c,i}}{T_{h,i} - T_{c,o}} = \frac{T_{h,o} - T_{h,i} + T_{h,i} - T_{c,i}}{T_{h,i} - T_{c,i} + T_{c,i} - T_{c,o}} = \frac{1 - \frac{T_{h,i} - T_{h,o}}{T_{h,i} - T_{c,i}}}{1 - \frac{T_{c,o} - T_{c,i}}{T_{h,i} - T_{c,i}}} = \frac{1 - C_R \epsilon}{1 - \epsilon} = \exp(NTU(1 - C_R))$$

for counter flow when 
$$C_{min} = C_C$$
:  $\epsilon = \frac{1 - \exp[-NTU(1 + C_r)]}{1 - C_r \exp[-NTU(1 - C_r)]}$ 



$$\epsilon = \frac{1 - \exp[-NTU(1 + C_r)]}{1 + C_r}$$

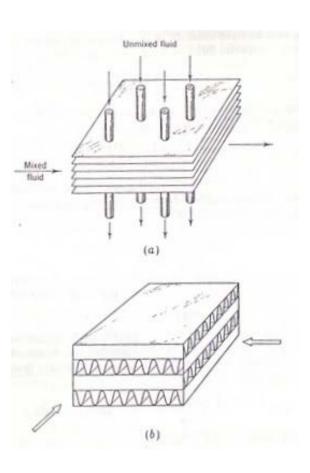
Counter-flow:

$$\epsilon = \frac{1 - \exp[-NTU(1 + C_r)]}{1 - C_r \exp[-NTU(1 - C_r)]}$$

$$\epsilon = \frac{NTU}{1 + NTU} \qquad C_r = 1$$

$$\epsilon = 1 - \exp(-NTU)C_r = 0$$





both unmixed:

$$\epsilon = 1 - \exp\left[\left(\frac{1}{C_r}\right)(NTU)^{0.22} \left\{\exp\left[-C_r(NTU)^{0.78}\right] - 1\right\}\right]$$

 $C_{max}$  mixed:

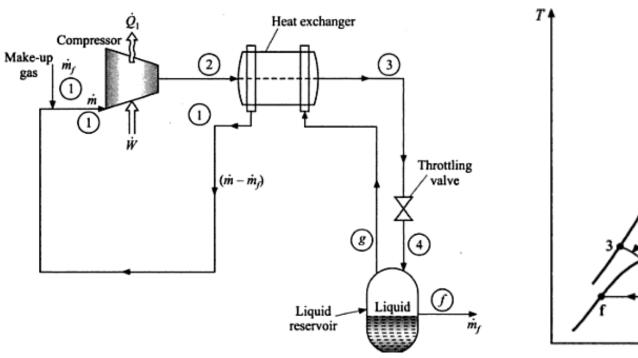
$$\epsilon = \left(\frac{1}{C_r}\right) (1 - \exp(-C_r^{-1}\{1 - \exp[-C_r(NTU)]\}))$$

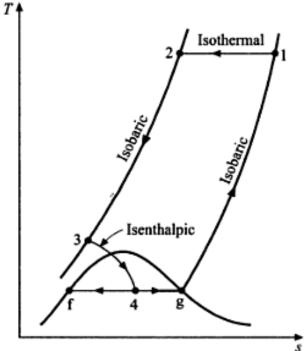
 $C_{in}$  mixed:

$$\epsilon = (1 - \exp(-C_r^{-1}\{1 - \exp[-C_r(NTU)]\})$$

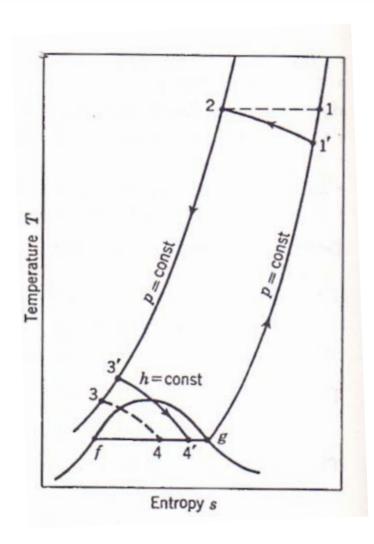


Simple Linde-Hampson System









Linde-Hampson cycle with a heat exchanger with an effectiveness less than 100 percent. Points 1, 2, 3, and 4 are the state points for the ideal Linde-Hampson system. Point 1', 2', 3', and 4' are the state points for the system with the less effective heat exchanger.



Mean specific heat

$$\overline{C}_{p,h} = \frac{h_2 - h_3}{T_2 - T_3} > \overline{C}_{p,c} = \frac{h_1 - h_g}{T_1 - T_g}$$

In Heat Exchanger

$$C_h = \dot{m}\overline{C}_{p,h} > C_c = \dot{m}(1-y)\overline{C}_{p,c}$$

$$C_{min} = C_c$$

**HX Effectiveness** 

$$\varepsilon = \frac{C_c \left(T_1' - T_g\right)}{C_{min} \left(T_1 - T_g\right)} = \frac{h_1' - h_g}{h_1 - h_g}$$



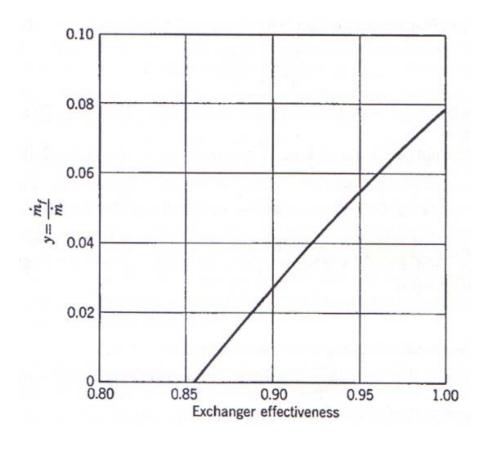
### Liquid yield

$$y = \frac{h_{1}^{'} - h_{2}}{h_{1}^{'} - h_{f}} = \frac{h_{1} - h_{2} + (h_{1}^{'} - h_{1})}{h_{1} - h_{f} + (h_{1}^{'} - h_{1})} \quad (\because h_{1} - h_{1}^{'} = (1 - \varepsilon)(h_{1} - h_{g}))$$

$$= \frac{h_{1} - h_{2} + (1 - \varepsilon)(h_{1} - h_{g})}{h_{1} - h_{f} + (1 - \varepsilon)(h_{1} - h_{g})} \quad < \quad \frac{h_{1} - h_{2}}{h_{1} - h_{f}}$$

→ liquid yield is decreased





The effect of heat-exchanger effectiveness on the liquid yield for a simple Linde-Hampson system using nitrogen as the working fluid.  $P_1 = 101.2$  kPa (1 atm);  $p_2 = 20.27$  MPa (200 atm);  $T_2 = 300$  K (80°F).



If 
$$y = 0$$

$$\varepsilon' = 1 - \frac{h_1 - h_2}{h_1 - h_g} = 0.869$$

HX is very critical in the liquefaction system

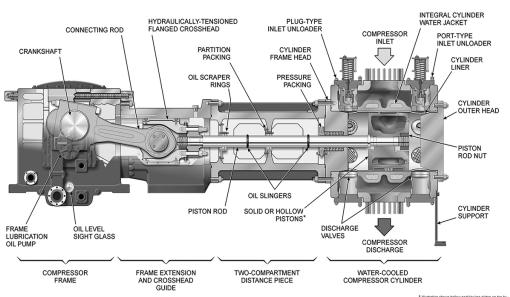
$$\Delta W = \dot{m} \Big( h_1 - h_1^{'} \Big)$$
 
$$= \dot{m} \Big( 1 - \epsilon \Big) \Big( h_1 - h_g^{} \Big) \quad \text{: increased work}$$

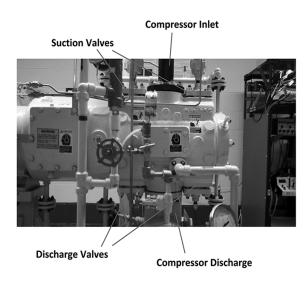


 There are two broad classes of compressors and expanders used in liquefaction systems

#### 1. Reciprocating

#### **Reciprocating Process Compressor Components**

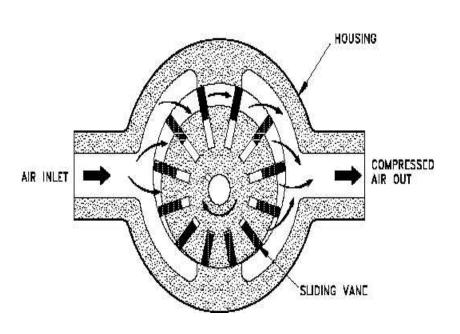


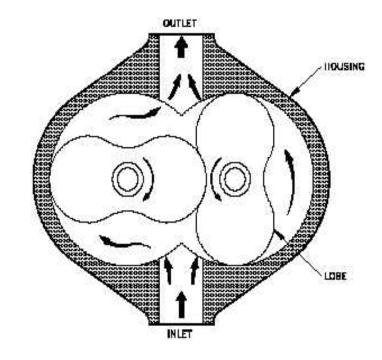


DRESSER-RAND

 Illustration shows hollow nodular iron piston on top and hollow aluminum piston on bottom half. Solid nodular iron pistons and steel pistons, integral with piston rod, are also available.

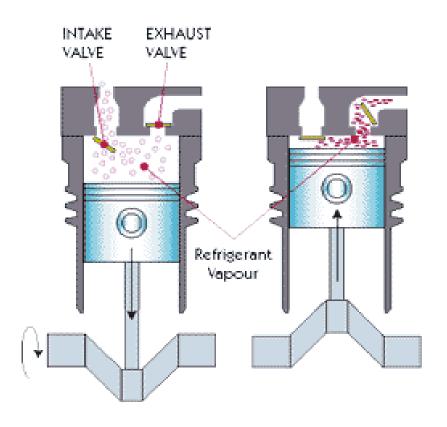
### 2. Rotary

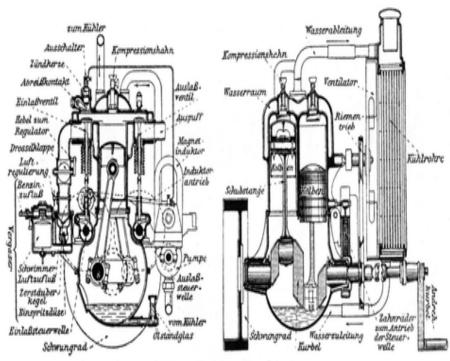






### 1-1. Reciprocating Compressor-IC engine type



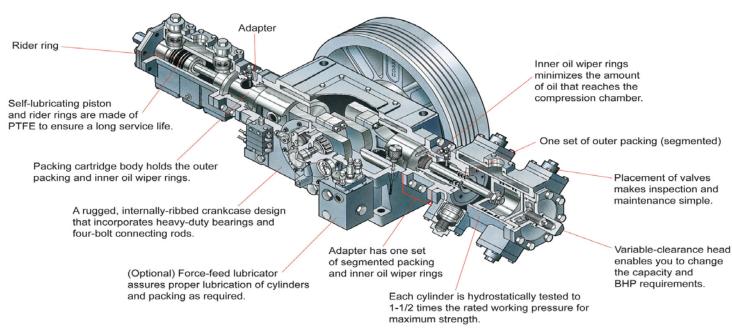


4. Zweizylindriger Benzinmotor.



#### 1-2. Reciprocating Compressor-steam engine type

#### Plain Style Horizontal Gas Compressor (Reciprocating)



NOTE: A plain style compressor has one set of packing and no distance piece.



### 1-2. Reciprocating Expander

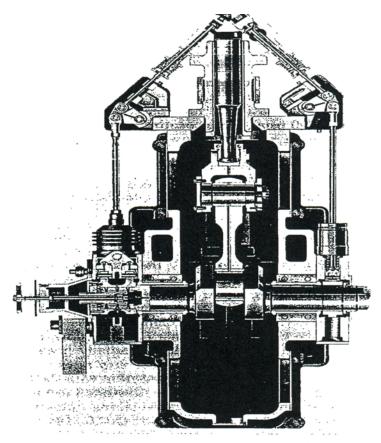
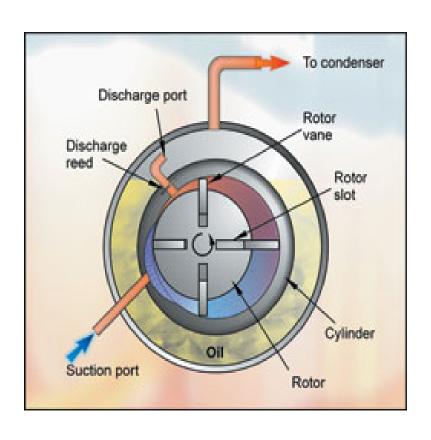
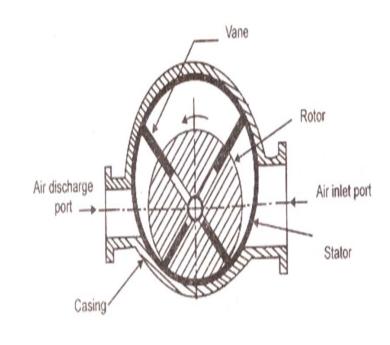


Fig. 3.43. Reciprocating expander (Worthington Corporation).



### 2-1. Rotary Compressor







### 2-2. Rotary Expander

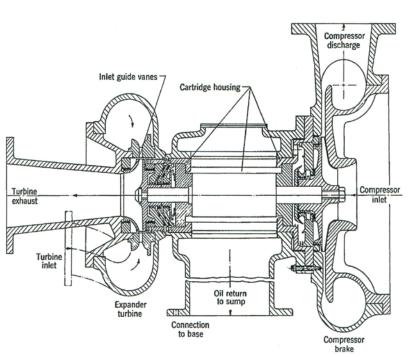
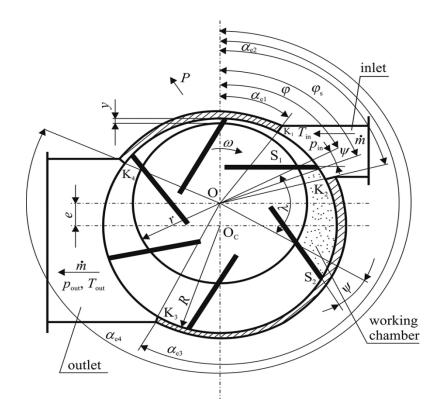


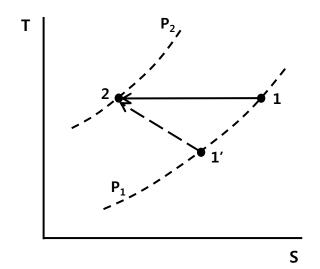
Fig. 3.44. Rotary expander (Worthington Corporation).





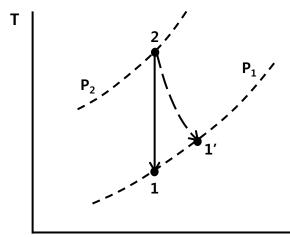
1. Isothermal efficiency of a compressor

$$\eta_{T=c} = \frac{\left(\dot{W} / \dot{m}\right)_{T=c}}{\left(\dot{W} / \dot{m}\right)_{indicated}}$$



2. Adiabatic efficiency of an expander

$$\eta_{ad} = \frac{\left(h_1 - h_2\right)_{indicated}}{\left(h_1 - h_2\right)_{ad}}$$

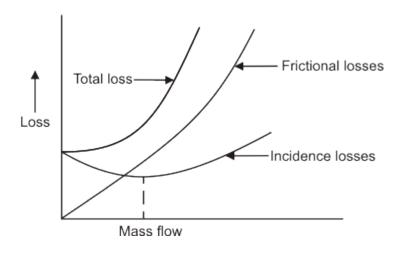


3. Mechanical efficiency of a compressor

$$\eta_{c,m} = \frac{\left(\dot{W} / \dot{m}\right)_{indicated}}{\left(\dot{W} / \dot{m}\right)_{actual}} = 1 - \frac{\dot{W}_{friction}}{\dot{W}_{actual}}$$

4. Mechanical efficiency of an expander

$$\eta_{\mathrm{e,m}} = \frac{\left(\dot{W}/\dot{m}\right)_{\mathrm{actual}}}{\left(h_{1} - h_{2}\right)_{\mathrm{actual}}} = \frac{\dot{W}_{\mathrm{actual}}}{\dot{W}_{\mathrm{actual}} + \dot{W}_{\mathrm{friction}}}$$



Dependence of various losses with mass flow in a centrifugal compressor

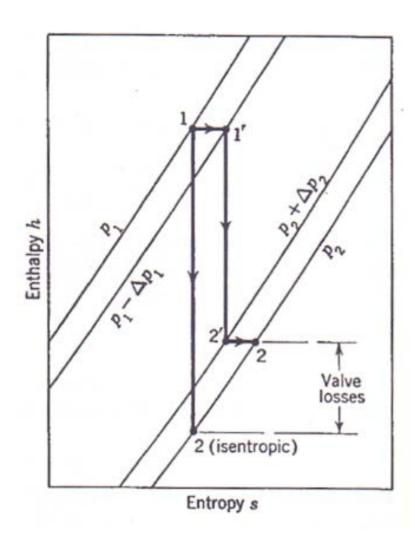


### 5. Overall efficiency

 $\eta_o = \eta_{T=c} \eta_{c,m}$  : compressor

 $\eta_{\rm o} = \eta_{ad} \eta_{e,m} \ \ : \text{expander}$ 





The effect of inlet and exhaust valve losses in an expander.



For **reciprocating** compressors and expanders, the following factors contribute to the efficiency being less than 100 percent:

**1. Inlet-valve and outlet-valve losses.** Pressure drops occur across the inlet and exhaust valves.

$$\frac{\Delta p_1}{p_1} = K_{L_1} \frac{v_1^2}{2g_c R T_1}$$

$$\frac{\Delta p_2}{p_2} = K_{L_2} \frac{v_2^2}{2g_c R T_2}$$

 $\Delta p$ : valve pressure drop

 $K_{\scriptscriptstyle L}$  : loss coefficient

 $\upsilon$  : gas velocity through the valve

T : absolute temperature of the gas at the

valve inlet

1: inlet valve

2 : exhaust valve



 Work for adiabatic expansion (the valve losses were the only irreversible losses)

$$\frac{-\dot{W}}{\dot{m}} = \frac{\gamma R T_{1}}{\gamma - 1} \left\{ \left[ \frac{p_{2} (1 + \Delta p_{2}/p_{2})}{p_{1} (1 + \Delta p_{1}/p_{1})} \right]^{(\gamma - 1)/\gamma} - 1 \right\}$$

 Work for an isothermal compressor (the valve losses were the only irreversible losses)

$$\frac{-\dot{W}}{\dot{m}} = RT_1 \ln \left[ \frac{p_2 \left( 1 + \Delta p_2/p_2 \right)}{p_1 \left( 1 + \Delta p_1/p_1 \right)} \right]$$



2. Incomplete expansion. By shortening the cutoff, the friction losses are reduced at the expense of the small amount of work output at the end of the stroke.

#### 3. Heat transfer.

$$\dot{\mathbf{Q}} - \dot{\mathbf{W}} = \dot{\mathbf{m}} \left( \mathbf{h}_2 - \mathbf{h}_1 \right)_{\text{actual}} \quad \text{or} \quad \left( \mathbf{h}_1 - \mathbf{h}_2 \right)_{\text{actual}} = \left( \dot{\mathbf{W}} / \dot{\mathbf{m}} \right) - \left( \dot{\mathbf{Q}} / \dot{\mathbf{m}} \right)$$

$$\eta_{ad} = 1 - \frac{\dot{Q}/\dot{m}}{\left(h_1 - h_2\right)_{ad}}$$

#### 4. Piston friction



For rotary compressors and expanders, the following factors affect the efficiency:

1. Inlet losses. (Same as reciprocating compressor)

#### 2. Disk friction.

$$\frac{\dot{W}_{df}/\dot{m}}{\Delta h_{ad}} = 0.004 \frac{D}{H_b} \left(\frac{U}{C_0}\right)^3$$

D : the blade-tip diameter (radial-flow unit) or the pitch-line or mean blade diameter (axial-flow unit)

 $H_{\rm b}\,$  : the blade height

 the blade-tip speed (radial-flow unit) or mean blade speed (axial-flow unit)

$$C_0 = \left(2g_c \Delta h_{ad}\right)^{1/2}$$

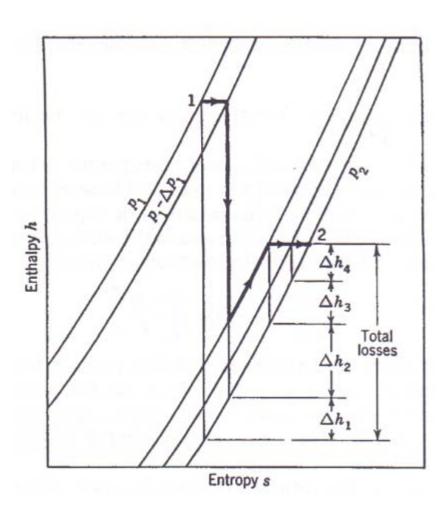


**3. Impellor losses.** A function of the blade angles (inlet and exit), the velocity of the fluid at the inlet and at the exit of the blade, and the type of unit (impulse, reaction, axial-flow, or radial-flow).

**4. Leaving loss.** The leaving loss is the loss in energy due to the kinetic energy of the outlet stream.

$$\Delta h_{exit} = \frac{v^2}{2g_c}$$





#### Rotary-expander losses

 $\Delta h_1$  = inlet loss

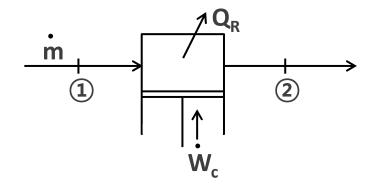
 $\Delta h_2$  = loss due to heat inleak to the gas in the cylinder and the frictional heating effect

 $\Delta h_3$  = exhaust valve losses

 $\Delta h_4$  = leaving loss associated with the kinetic energy of the leaving stream.



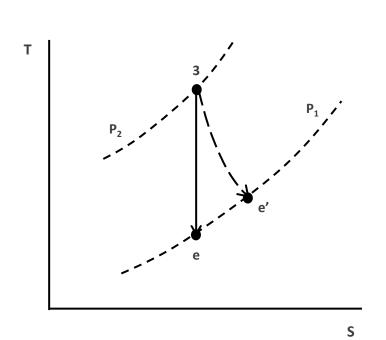
#### For compressor,



$$-\frac{\dot{W}_{c}}{\dot{m}} = \left[T_{1}(s_{1} - s_{2}) - (h_{1} - h_{2})\right] \times \frac{1}{\eta_{c,o}}$$



### For expander,



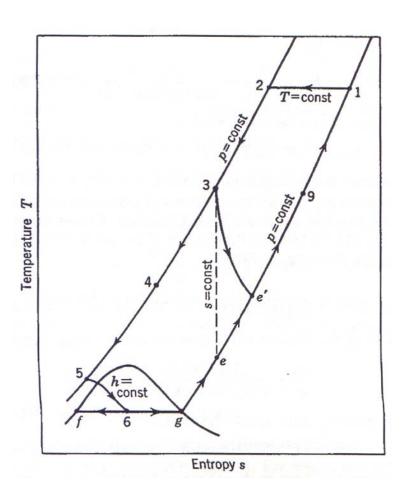
$$h_{3} - h_{e}' = \eta_{ad} (h_{3} - h_{e})$$

$$y = \frac{h_1 - h_2}{h_1 - h_f} + x\eta_{ad} \frac{h_3 - h_e}{h_1 - h_f}$$

$$-\frac{\dot{W}}{\dot{m}} = -\frac{\dot{W}_{c}}{\dot{m}} - x(h_{3} - h_{e}) \times \eta_{e,o}$$

$$= \frac{T_{1}(s_{1} - s_{2}) - (h_{1} - h_{2})}{\eta_{c,o}} - x\eta_{e,o}(h_{3} - h_{e})$$



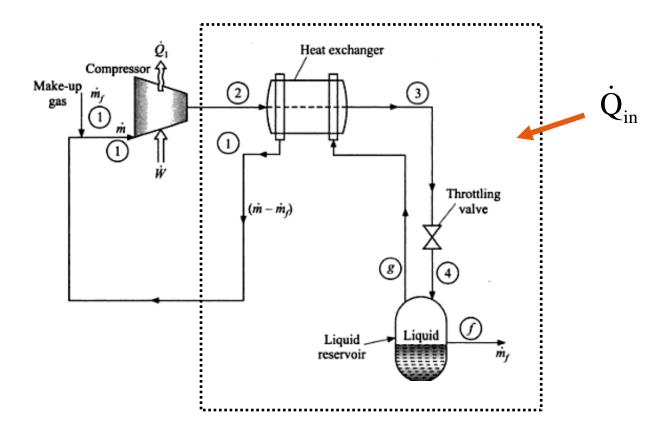


Claude system with an expander having an adiabatic efficiency less than unity. The gas leaves the expander at point e' in this case, instead of point e in the case of a reversible expander. (See Fig.3.18.)



## 3.29 Effect of heat transfer to the system

What happens when heat is coming into system?





## 3.29 Effect of heat transfer to the system

$$\dot{\mathbf{Q}}_{in} = (\dot{\mathbf{m}} - \dot{\mathbf{m}}_f) \mathbf{h}_1 + \dot{\mathbf{m}}_f \mathbf{h}_f - \dot{\mathbf{m}} \mathbf{h}_2$$

$$= \dot{m}(1-y)h_1 + \dot{m}yh_f - \dot{m}h_2$$

$$y = \frac{h_1 - h_2}{h_1 - h_f} - \frac{\dot{Q}_{in}/\dot{m}}{h_1 - h_f}$$

The heat transfer rate per unit mass compressed is the important parameter!

