2018 Fall

# "Phase Transformation in Materials"

10.16.2018

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### **Contents for previous class**

- Substitution Diffusion
  - 1. Self diffusion in pure material (by radioactive element)



2. Vacancy diffusion



$$D_v = \frac{1}{6} \alpha^2 z v \exp(\Delta S_m / R) \exp(-\Delta H_m / RT)$$

Comparing  $D_v$  with the self-diffusion coefficient of A,  $D_A = D_v - D_A / X_v^e$ 

3. **Diffusion in substitutional alloys** 

$$X_{v} = X_{v}^{e} = exp \frac{-\Delta G_{v}}{RT}$$



$$J'_{A} = J_{A} + J^{\nu}_{A} = -\widetilde{D}\frac{\partial C_{A}}{\partial x} = \widetilde{D}\frac{\partial C_{B}}{\partial x}$$
$$J'_{B} = J_{B} + J^{\nu}_{B} = -\widetilde{D}\frac{\partial C_{B}}{\partial x} = \widetilde{D}\frac{\partial C_{A}}{\partial x}$$

Fick's 1<sup>st</sup> law for substitutional alloy Fick's 2<sup>nd</sup> law for substitutional alloy

 $\widetilde{D} = X_{B}D_{A} + X_{A}D_{B}$ 

 $\frac{\partial C_A}{\partial t} = \frac{\partial}{\partial r} \left( \widetilde{D} \frac{\partial C_A}{\partial r} \right)$ 

The relationship between  $D_{Cu}^*$  Diffusivity of radioactive Cu the various diffusion coefficients in the Cu-Ni system at 1273 K. -13 Atoms with the lower melting point Diffusivity obtained by marker possess a higher D. velocity in diffusion couple Log (diffusion coefficient,  $m^2 s^{-1}$ )  $D_{Cu}$  $D_{Cu}$ ,  $D_{Ni}$ ,  $(\tilde{D})$  are all composition Interdiffusion coefficient dependent, increasing as X<sub>cu</sub> increases. -14 $= D_{\rm Ni}^* (X_{\rm Cu} = 1)$ \* Concentration of A & B at any x after t  $D_{Cu}^{*}(X_{Ni}=1)$ -Impurity  $\frac{\partial C_A}{\partial t} = \frac{\partial}{\partial x} \left( \widetilde{D} \frac{\partial C_A}{\partial x} \right) \quad \text{Eq. (2.53)}$ diffusion coefficient  $D_{\rm Ni}$ -15 $\Rightarrow$  By solving (2.53) with appropriate BCs, 1500 Liquid 1455\*  $\rightarrow$  Possible to obtain C<sub>A</sub> (x, t) and C<sub>B</sub> (x,t) Liquidus lir 1300 Characteristic relaxation time for an Homogenization anneal 1200  $D_{N}$  $\tau = \frac{l^2}{\pi^2 \tilde{D}}$   $\tau$  : relaxation time 1100 1085° C -160.4 0.8 (The range of composition is small enough that Cu 0.2 0.6 any effect of composition on  $\tilde{\rho}$  can be ignored) 1356 K Atomic fraction nickel

Ni

1453 K

### **Contents for previous class**

**Fick's first law:** assume that diffusion eventually stops when the concentration is the same everywhere  $\rightarrow$  never true in practice due to lattice defect

• Atomic Mobility

$$D_B = M_B RTF$$

$$F = (1 + \frac{d \ln \gamma_B}{d \ln X_B})$$

both the concentration gradient and the gradient of the interaction energy

**Thermodynamic factor** 

• Tracer Diffusion in Binary Alloys  $\tilde{D} = X_B D_A + X_A D_B \neq F(X_B D_A^* + X_A D_B^*)$ 

 $D^*_{Au}$  gives the rate at which Au<sup>\*</sup> (or Au) atoms diffuse in a chemically homogeneous alloy, whereas  $D_{Au}$  gives the diffusion rate of Au when concentration gradient is present.

# Contents for today's class I

- Interstitial Diffusion / Substitution Diffusion
- Atomic Mobility
- Tracer Diffusion in Binary Alloys
- High-Diffusivity Paths
  - **1. Diffusion along Grain Boundaries and Free Surface**
  - 2. Diffusion Along Dislocation
- Diffusion in Multiphase Binary Systems

# **Q:** How do the compositions of ternary A and B alloys of diffusion couple change with time?



### How do the compositions of A and B change with time?



# Q: What conditions high-diffusivity paths' (grain boundary, dislocation) diffusion is important?

$$D_s > D_b > D_1 \iff A_l > A_b > A_s$$

### **1. Diffusion along Grain Boundaries and Free Surface**

Grain boundary diffusion makes a significant contribution  $D_{app} = D_l + D_b \frac{\delta}{d}$ 

only when  $D_{b}\delta > D_{l}d.$  (*T* < 0.75~0.8 *T*<sub>m</sub>)

### 2. Diffusion Along Dislocation

At low temperatures,  $(T < \sim 0.5 T_m)$ 

gD<sub>p</sub>/D<sub>1</sub> can become so large that the apparent diffusivity is entirely due to diffusion along dislocation.

# 2.7.1 High-diffusivity paths

#### **Real materials contain <u>defects</u>.**



But area fraction  $\rightarrow$  *lattice* > *grain boundary* > *surface* 

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## **Diffusion along grain boundaries**

Atoms diffusing along the boundary will be able to penetrate much deeper than atoms which only diffuse through the lattice.

In addition, as the concentration of solute builds up in the boundaries, atoms will also diffuse from the boundary into the lattice.



Fig. 2.25. The effect of grain boundary diffusion combined with volume diffusion.

: Rapid diffusion along the grain boundaries

 $\rightarrow$  increase in the apparent diffusivity in the materials as a whole  $^{11}$ 

### **Combined diffusion of grain boundary and lattice** : What conditions grain boundary diffusion is important?

**Assumption:** GBs are perpendicular tot the sheet, steady-state diffusion, Concentration gradients in the lattice and along the GB are identical,



Fig. 2.26 Combined lattice and boundary fluxes during steady-state diffusion through a thin slab of material.



Thus, grain boundary diffusion makes a significant contribution only when  $D_b \delta > D_l d$ .

#### The relative magnitudes of $D_b \delta$ and $D_l d$ are most sensitive to temperature.



#### Therefore, the grain boundary diffusion becomes predominant at temperatures lower than the crossing temperature.

#### $(T < 0.75 \sim 0.8 T_{m})$

The diffusion rate depends on the atomic structure of the individual boundary = orientation of the adjoining crystals and the plane of the boundary. Also, the diffusion coefficient can vary with direction within a given boundary plane.

# 2.7.2 Diffusion along dislocations



**Composite between plastic matrix and Al wires** 





ex) annealed metal ~  $10^5$  disl/mm<sup>2</sup>; one dislocation( $^{\perp}$ ) accommodates 10 atoms in the cross-section; matrix contains  $10^{13}$  atoms/mm<sup>2</sup>.

$$g = \frac{10^5 * 10}{10^{13}} = \frac{10^6}{10^{13}} = 10^{-7}$$

g = cross-sectional area of 'pipe' per unit area of matrix

At high temperatures, diffusion through the lattice is rapid and  $gD_p/D_l$  is very small so that the dislocation contribution to the total flux of atoms is very small.

Due to  $Q_p < Q_l$ , the curves for D<sub>l</sub> and gD<sub>p</sub>/D<sub>l</sub> cross in the coordinate system of InD versus 1/T.

At low temperatures,  $(T < \sim 0.5 T_m)$ gD<sub>p</sub>/D<sub>1</sub> can become so large that the apparent diffusivity is entirely due to diffusion along dislocation.

# **Q:** How can we formulate the interface $(\alpha/\beta, \beta/\gamma)$ velocity in multiphase binary systems?

$$v = \frac{dx}{dt} = \frac{1}{(C_B^\beta - C_B^\alpha)} \{\tilde{D}(\alpha) \frac{\partial C_B^\alpha}{\partial x} - \tilde{D}(\beta) \frac{\partial C_B^\beta}{\partial x}\}$$
(velocity of the  $\alpha/\beta$  interface)

### 2.8 Diffusion in multiphase binary systems (다상 2원계의 확산)



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Complete solution of the diffusion equations for this type of diffusion couple is complex. However an expression for the rate at which the boundaries mover can be obtained as follows.

### How can we formulate the interface ( $\alpha/\beta$ , $\beta/\gamma$ ) velocity?

If unit area of the interface moves a distance dx, a volume (dx·1) will be converted  $C_B^{\alpha} < C_B^{\beta}$ from  $\alpha$  containing C<sub>B</sub><sup> $\alpha$ </sup> atoms/m<sup>3</sup> to  $\beta$  containing C<sub>B</sub><sup> $\beta$ </sup> atoms/m<sup>3</sup>.  $(C^{\beta}_{B} - C^{\alpha}_{B})dx \rightarrow$  shaded area  $\alpha \rightarrow \beta$  transformation  $C_{\rm B}$ dx= dx/dt $\left(C_{B}^{\beta}-C_{B}^{lpha}
ight)$ dx equal to  $C_{\rm B}^{\rm b}$ which quantity? h β α  $C_{\rm R}^{\rm a}$ a Iβ Ια 19 x

Fig. 2.30. Concentration profile across the  $\alpha/\beta$  interface and its associated movement assuming diffusion control.

Local equilibrium is assumed.

a flux of B towards the interface from the  $\beta$  phase

$$J_{B}^{\beta} = -\tilde{D}(\beta)\frac{\partial C_{B}^{\beta}}{\partial x}$$

a flux of B away from the interface into the  $\alpha$  phase

 $J_B^{\alpha} = -\tilde{D}(\alpha) \frac{\partial C_B^{\alpha}}{\partial \alpha}$ 

In a time dt, there will be an accumulation of B atoms given by



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**Contents for today's class** 

- **Atomic Mobility**  $D_B = M_B RTF$   $F = (1 + \frac{d \ln \gamma_B}{d \ln X_B})$
- **Tracer Diffusion in Binary Alloys**  $\tilde{D} = X_B D$

D<sup>\*</sup><sub>Au</sub> gives the rate at which Au<sup>\*</sup> (or Au) atoms diffus homogeneous alloy, whereas D<sub>Au</sub> gives the diffusion rate of Au when concentration gradient is present.

- **High-Diffusivity Paths**  $D_s > D_b > D_1 \iff A_l > A_b > A_s$ 
  - **1. Diffusion along Grain Boundaries and Free Surface**

Grain boundary diffusion makes a significant contribution

only when  $D_{b}\delta > D_{l}d$ . (*T* < 0.75~0.8 *T*<sub>m</sub>)

2. Diffusion Along Dislocation

At low temperatures,  $(T < \sim 0.5 T_m)$ gD<sub>p</sub>/D<sub>l</sub> can become so large that the apparent diffusivity is entirely due to diffusion along dislocation.

**Diffusion in Multiphase Binary Systems** 

$$v = \frac{dx}{dt} = \frac{1}{(C_B^{\beta} - C_B^{\alpha})} \{ \tilde{D}(\alpha) \frac{\partial C_B^{\alpha}}{\partial x} - \tilde{D}(\beta) \frac{\partial C_B^{\beta}}{\partial x} \}$$

(velocity of the  $\alpha/\beta$  interface)



$$A + X_A D_B = F(X_B D_A^* +$$
  
e in a chemically

**Thermodynamic factor** 

$$D_{app} = D_l + D_b \frac{\partial}{d}$$

\* Homework 3 : Exercises 2 (pages 111-114)

until 25 October

Good Luck!!

# **Contents in Phase Transformation**

Background to understand phase transformation

(Ch1) Thermodynamics and Phase Diagrams (Ch2) Diffusion: Kinetics (Ch3) Crystal Interface and Microstructure

(Ch4) Solidification: Liquid  $\rightarrow$  Solid

Representative Phase transformation

(Ch5) Diffusional Transformations in Solid: Solid  $\rightarrow$  Solid

(Ch6) Diffusionless Transformations: Solid → Solid

Contents for today's class II

**Chapter 3 Crystal Interfaces and Microstructure** 

- Interfacial Free Energy
- Solid/Vapor Interfaces

# **Q:** Types of interface in metallic system?

# Types of Interface

Basically three different types of interface are important in metallic system:

- **1. Free surface (solid/vapor interface)** Vapor
   Important in vaporization and condensation transformations

   solid
   solid
   condensation transformations
- 2. Grain boundary ( $\alpha$ /  $\alpha$  interfaces)
  - > same composition, same crystal structure
  - > different orientation

: Important in recrystallization, i.e. the transformation of a highly deformed grain structure into new undeformed grains, and following grain coarsening and grain growth

- 3. inter-phase boundary ( $\alpha/\beta$  interfaces) : "Important role in determining the kinetics of phase transformation/ complex"
  - > different composition &

crystal structure



 $\rightarrow$  First, consider simple interfaces, (1) and (2) in this chapter

**Q:** Interfacial free energy, **γ vs** Surface tension, **F**?

# 3.1. Interfacial free energy

Interfacial energy ( $\gamma$  : J/m<sup>2</sup>)

 $\rightarrow$  Gibbs free energy of a system containing an interface of area A

$$\rightarrow G_{bulk} + G_{interface} \qquad \begin{array}{c} vapor \\ \hline solid \end{array} \qquad \rightarrow \ G = G_0 + \gamma A \qquad (excess free E arising from the fact that some material lies in or close to the interface) \end{array}$$

Melting and Crystallization are Thermodynamic Transitions





# 3.1. Interfacial free energy

Interfacial energy ( $\gamma$  : J/m<sup>2</sup>)  $\rightarrow$  Gibbs free energy of a system containing an interface of area A  $\rightarrow$  G = G<sub>0</sub> +  $\gamma$  A (excess free E arising from the fact that some material lies in or close to the interface) vapor  $\rightarrow$  G<sub>bulk</sub> + G<sub>interface</sub> solid Interfacial energy (γ) vs. surface tension (F: a force per unit length) 1) work done : F dA = dG2)  $dG = \gamma dA + A d\gamma$ Liquid film  $\blacktriangleright F$  $\rightarrow$  **F** =  $\gamma$  + **A** d $\gamma$  /d**A** In case of a liq. film,  $d\gamma/dA = 0$ ,  $F = \gamma$  (N/m = J/m<sup>2</sup>) Ex) liq. :  $d\gamma/dA = 0$  Why? Maintain a constatut surface structure by rearrangement Fig. 3.1 A liquid film on a wire frame. (independent of A) sol. :  $d\gamma / dA \neq 0$ , but, very small value At near melting temperature  $d\gamma/dA = 0 \rightarrow F = \gamma$  (N/m = J/m<sup>2</sup>) 31 (high enough atomic mobility)

# Q: Free surface (solid/vapor interface)?

# (a) E<sub>SV</sub> vs γ ?

Extra energy per atom on surface: 표면 에너지

• The measured  $\gamma$  values for pure metals near the melting temperature

 $E_{sv} = 3 \epsilon/2 = 0.25 L_s / N_a \implies \gamma_{sv} = 0.15 L_s / N_a \quad J / surface atom$ (:: surface free E averaged over many surface plane, S effect at high T)

# (b) Equilibrium shape: Wulff surface

: Polyhedron with the largest facets having the lowest interfacial free energy

# 3.2 Solid / Vapor Interfaces

\* Assumption: S/V interface → Hard sphere model/ uncontaminated surface

(In real systems surfaces will reduce their free energies by the adsorption of impurities.)

- Fcc : density of atoms in these planes decreases as (h<sup>2</sup>+k<sup>2</sup>+l<sup>2</sup>) increases



Fig. 3.2 Atomic configurations on the three closest-packed planes in fcc crystals; (111), (200), and (220).

(notation {200} and {220} plane has been used instead of {100} and {110} because the spacing of equivalent atom planes is than given by  $a/(h^2+k^2+l^2)^{1/2}$  where a is the lattice parameter.)



## # of Broken Bonds per atom at surface? $\rightarrow$ 3 per atom



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### For (111) plane

# of broken bond at surface : 3 broken bonds

Bond Strength:  $\varepsilon \rightarrow$  for each atom :  $\varepsilon/2$ 

Excess internal energy over that of the atoms in the bulk:  $3\epsilon/2$   $\uparrow$ 



# of Broken Bonds per atom at surface? <sup>35</sup>



**# of Broken Bonds per atom at surface?** 

# of broken bond at surface : 4 broken bonds

Bond Strength:  $\varepsilon \rightarrow$  for each atom :  $\varepsilon/2$ 

Excess internal energy over that of the atoms in the bulk:  $4\epsilon/2$   $\uparrow_{36}$ 

(excess internal energy of  $4\epsilon/2$  over that of the atoms in the bulk)

### For (111) plane

# of broken bond at surface : 3 broken bonds Bond Strength:  $\varepsilon \rightarrow$  for each atom :  $\varepsilon/2$ Extra energy per atom on surface:  $3\epsilon/2$ 

Heat of Sublimation (승화) in terms of  $\epsilon$ ?  $\rightarrow L_s = 12 N_a \epsilon/2$ (Latent heat of melting + vaporization)  $(1 \text{ mole of solid} = 12 \text{ N}_2)$ 

Energy per atom of a {111} Surface?

 $E_{SV} = 3 \epsilon/2 = 0.25 L_{S} / N_{a} \quad (\frac{1}{4} \text{ of } L_{s} / N_{a}) \qquad \Longrightarrow \quad E_{SV} \text{ vs } \gamma ?$ 

"Approximated value" due to assumptions, 1) 2<sup>nd</sup> nearest neighbors have been ignored and 2) strengths of the remaining bonds in the surface are unchanged from the bulk values.

 $\gamma$  interfacial energy = surface free energy  $\leftarrow$  Gibb's free energy (J/m<sup>2</sup>)

 $\rightarrow \gamma = \mathbf{G} = \mathbf{H} - \mathbf{TS}$ 

= E + PV – TS (if PV is ignored)  $(\mathsf{E}_{\mathsf{sv}} \uparrow \rightarrow \gamma \uparrow)$ 

 $\rightarrow \gamma = E_{sv} - TS_{sv}$  (S<sub>sv</sub> thermal entropy, configurational entropy) surface>bulk Extra configurational entropy due to vacancies  $\rightarrow \partial \gamma / \partial T = -S$  : surface free energy decreases with increasing T 0 < S < 3 (mJ/m<sup>-2</sup>K<sup>-1</sup>) due to increased contribution of entropy 37

\*  $\textbf{E}_{\textbf{SV}}$  vs  $\gamma$  ?

• The measured  $\gamma$  values for pure metals near the melting temperature

$$E_{sv} = 3 \epsilon/2 = 0.25 L_s / N_a \implies \gamma_{SV} = 0.15 L_s / N_a J / surface atom$$

(: surface free E averaged over many surface plane, S effect at high T)

#### Average Surface Free Energies of Selected Metals

Crystal	<i>T</i> <sub>m</sub> (°C)	$\gamma_{\rm sv}$ (mJ m <sup>-2</sup> )	
Sn	232	680	측정 어려움, near <i>T</i> m
Al	660	1080	
Ag	961	1120	
Au	1063	1390	
Cu	1084	1720	
δ-Fe	1536	2080	
Pt	1769	2280	
W	3407	2650	

 $\gamma$  of Sn : 680 mJ/m<sup>2</sup> ( $T_{\rm m}$  : 232°C)  $\gamma$  of Cu : 1720 mJ/m<sup>2</sup> ( $T_{\rm m}$  : 1083°C) cf) G.B. energy  $\gamma_{gb}$  is about one third of  $\gamma_{sv}$ 

\* Higher  $T_m \rightarrow$  stronger bond (large  $L_s$ )  $\rightarrow$  larger surface free energy ( $\gamma_{sv}$ )

$$high T_m \to high L_s \to high \gamma_{sv}$$

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# Surface energy for high or irrational {hkl} index

Closer surface packing  $\rightarrow$  smaller number of broken bond  $\rightarrow$  lower surface energy # of broken bonds will increase through the series {111} {200} {220}  $\rightarrow \gamma_{SV}$  will increase along the same series (if different entropy term is ignored)

A crystal plane at an angle  $\theta$  to the close-packed plane will contain broken bonds in excess of the close-packed plane due to the atoms at the steps.





(cosθ/a)(1/a) : broken bonds from the atoms on the steps

 $(\sin|\theta|/a)(1/a)$ : additional broken bonds from the atoms on the steps

# Surface energy for high or irrational {hkl} index

 $(\cos\theta/a)(1/a)$ : broken bonds from the atoms on the steps

 $(\sin|\theta|/a)(1/a)$  : additional broken bonds from the atoms on the steps

Attributing  $\varepsilon/2$  energy to each broken bond,

$$E_{SV} = \frac{1}{1 \times a} \frac{\varepsilon}{2} \left( \frac{\cos \theta}{a} + \frac{\sin |\theta|}{a} \right)$$
$$= \frac{\varepsilon (\cos \theta + \sin (|\theta|))}{2a^2}$$



Fig. 3.4 Variation of surface energy as a function of  $\boldsymbol{\theta}$ 

- The close-packed orientation ( $\theta$  = 0) lies at a cusped minimum in the E plot.
- Similar arguments can be applied to any crystal structure for rotations about any axis from any reasonably close-packed plane.
- All low-index planes should therefore be located at low-energy cusps.
- If γ is plotted versus θ similar cusps are found (γ-θ plot), but as a result of <u>entropy effects</u> they are <u>less prominent than in the E-θ plot</u>, and for the higher index planes they can even disappear.

# Q: Free surface (solid/vapor interface)?

Extra energy per atom on surface

• The measured  $\gamma$  values for pure metals near the melting temperature

E<sub>sv</sub> = 3 ε/2 = 0.25 L<sub>s</sub> /N<sub>a</sub>  $\Rightarrow \gamma_{SV} = 0.15 L_s /N_a$  J / surface atom (:: surface free E averaged over many surface plane, S effect at high T)

# (b) Equilibrium shape: Wulff surface

: Polyhedron with the largest facets having the lowest interfacial free energy

# **Equilibrium shape: Wulff surface**

- \* A convenient method for plotting the variation of  $\boldsymbol{\gamma}$  with surface orientation in 3 dimensions
- \* Distance from center :  $\gamma_{sv}$
- $\rightarrow$  Construct the surface using  $\gamma_{sv}$  value as a distance between the surface and the origin when measured along the normal to the plane

Several plane  $A_1, A_2$  etc. with energy  $\gamma_1, \gamma_2$ 

Total surface energy :  $A_1\gamma_1 + A_2\gamma_2$ ....

- $= \sum A_i \gamma_i \rightarrow minimum$
- $\rightarrow$  equilibrium morphology
  - : can predict the equilibrium shape of
  - an isolated single crystal

How is the equilibrium shape determined?

$$\sum_{i=1}^{n} A_{i} \gamma_{j} = Minimum$$



Due to entropy effects the plot are less prominent than in the  $E_{SV}$ - $\theta$  plot, and for the higher index planes they can even disappear

### **Process of Wulff shape intersection for two cubic Wulff shapes** : Polyhedron with the largest facets having the lowest interfacial free energy



# **Equilibrium shape: Wulff surface**

**Equilibrium shape** can be determined experimentally by annealing small single crystals at high temperatures in an inert atmosphere, or by annealing small voids inside a crystal.

Of course when  $\gamma$  is isotropic, as for liquid droplets, both the  $\gamma$ -plots and equilibrium shapes are spheres.



A possible ( $\overline{1}10$ ) section through the  $\gamma$ -plot of an fcc crystal