

# Chapter 3. Stability and Reactivity

- Thermodynamic stability:  $\Delta H$ ; feasibility of reactions
  - ◆ stability of hydrocarbons: [📖 256 Table 3.1](#) & [📖 257 top](#)
- How to estimate  $\Delta H$ :  $\Delta H < 0$ , exothermic
  - ◆ bond dissociation energy (BDE) of the reactants & products
    - $\Delta H = \Delta H_R - \Delta H_P \cong \sum \text{BDE}_{(\text{formed})} - \sum \text{BDE}_{(\text{broken})}$ ; [📖 259 top](#)
  - ◆  $\text{BDE}_{(\text{product})}$  from electronegativity ( $\chi$ ): L. Pauling; [📖 259](#)
    - stabilization energy (SE): [📖 260 Table 3.3](#)
    - $\text{CH}_3\text{-X} < \text{pri-C-X} < \text{sec-C-X} < \text{tert-C-X}$ : [📖 261 top](#)
  - ◆ group equivalent methods:  $\sum \Delta H_{(\text{componet group})}$ ; [📖 262 top](#)
  - ◆ computational methods: MM4, ab initio & DFT; relavance
  - ◆ no information on solvation effects & rate of reactions

## ❖ Chemical Kinetics

### □ Quantitative relationship of reactivity & structure

◆ rate: detailed insight into the reaction mechanisms

### □ Transition-state theory: $k_{r(\text{decomp.})} \cong 6 \cdot 10^{12}/\text{sec}$

◆ an activated complex going to product at very fast rate

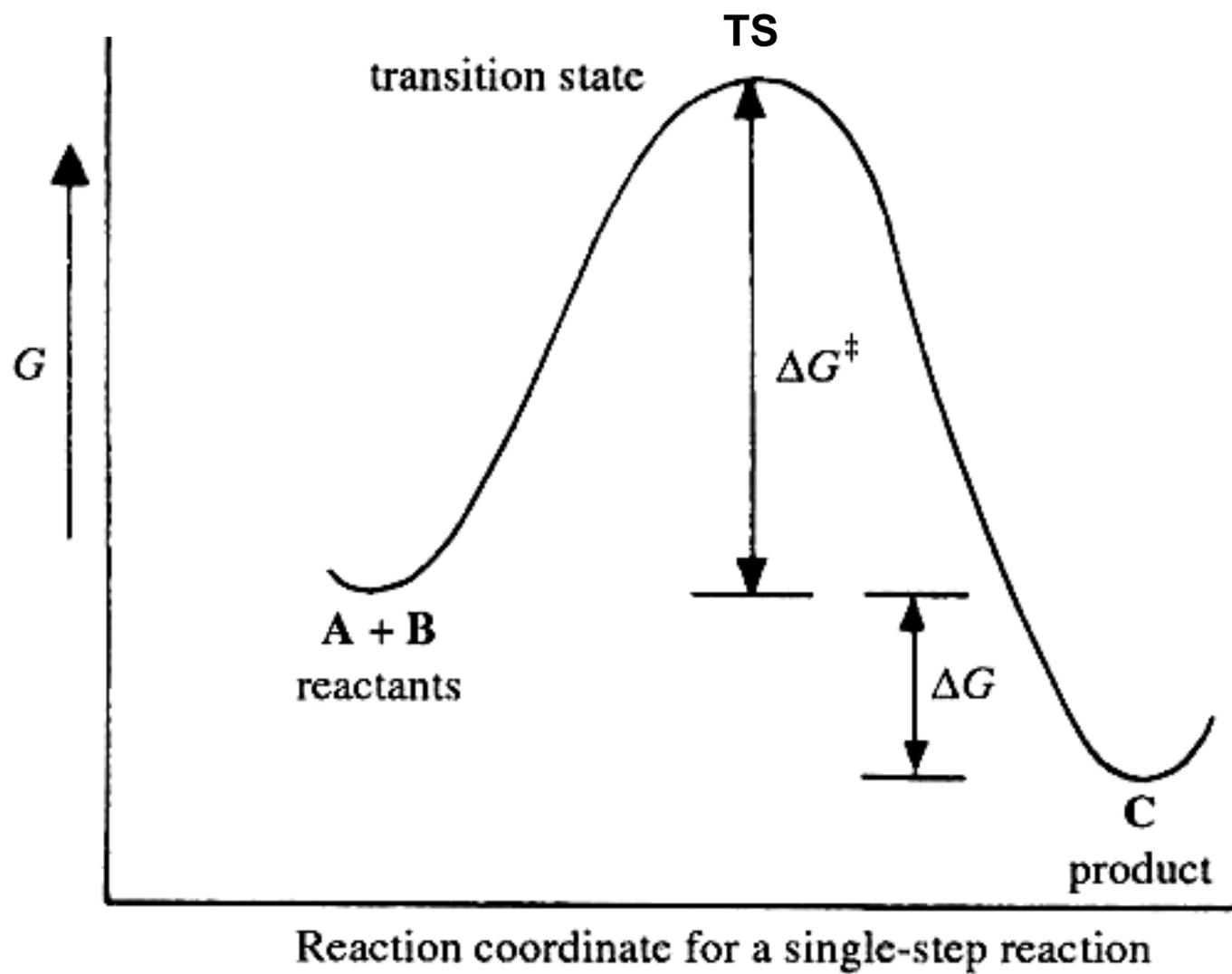
◆  $A + B \rightleftharpoons \text{TS} \rightarrow C$ : rate =  $k_r[A][B]$ ,  $k_r \propto \Delta G^\ddagger$ ;  [271](#) & [272](#)

○ solvent effect for  $\Delta S^\ddagger$ : -6.6 cal/mol·°C for solvolysis of *t*-butyl chloride; greater ordering of solvent

### □ Potential energy changes in reactions

◆ reaction energy profiles: TS vs intermediate with time

○ one/two/three-step reactions: rate-determining step;  [275](#)



# ❖ Potential Energy Changes in Reactions

## □ Principle of microscopic reversibility

- ◆ a reaction follows the lower energy pathway: [\(de\)bromination](#)
  - the same pathway in the forward direction will be followed in the reverse reaction: the lowest energy barrier for either process

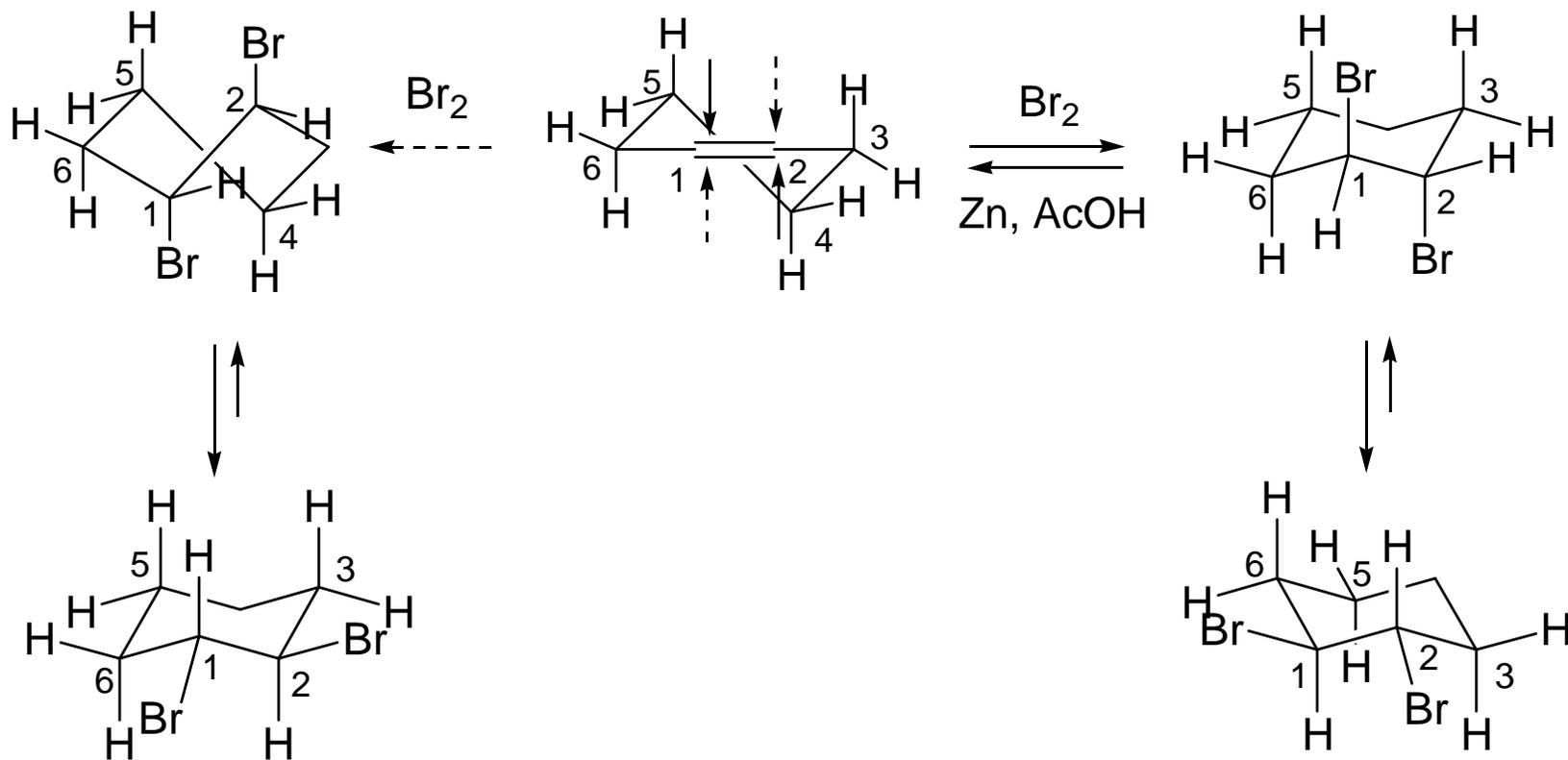
## □ 2/3-D Reaction energy diagrams

- ◆ 2-D: change in two bonds; [📖 276 bot.](#) & [📖 277 Fig. 3.3](#)
  - Albery-More O'Ferrall-Jencks: energy in 3-D: [📖 278 Fig. 3.4](#)
- ◆ reaction cube: changes in 3 bonds; [📖 279 Fig. 3.6](#)
  - more bonding changes: cubes with cubes

## □ Computation of energy surfaces: $E_{TS}$ = minimum

- ◆ evaluation of energy as a function of structural changes

# ❖ Microscopic Reversibility: Di(de)bromination



# ❖ Kinetics and Mechanisms

- Rate: detailed insight into the reaction mechanisms
- How to determine the reaction mechanism
  1. Postulate all the plausible mechanisms
  2. Eliminate those mechanisms from the postulated mechanisms incompatible with the observed kinetics
    - steady-state approximation: reactive intermediates; [📖 282](#)
      - the rate of consumption  $\cong$  the rate of formation: [📖 283](#)
    - base-catalyzed aldol condensation: [📖 284](#)
- ◆ information only about the RDS & the preceding steps
- ◆ composition but no structure about the transition state

## ❖ Thermodynamic Stability and Rate (I)

□ Kinetic vs thermodynamic control:  286 [Fig. 3.8](#)

◆ kinetic enolates: bulky strong base, aprotic solvent;  [287](#)

○ thermodynamic control: equilibration via an enol form

□ Correlations between  $\Delta G^\circ$  and  $\Delta G^\ddagger$

◆ Are more exothermic reactions faster?  $\equiv \Delta G^\ddagger \propto \Delta G^\circ$  ?

◆ Hammond's postulate:  [290 Fig. 3.11](#)

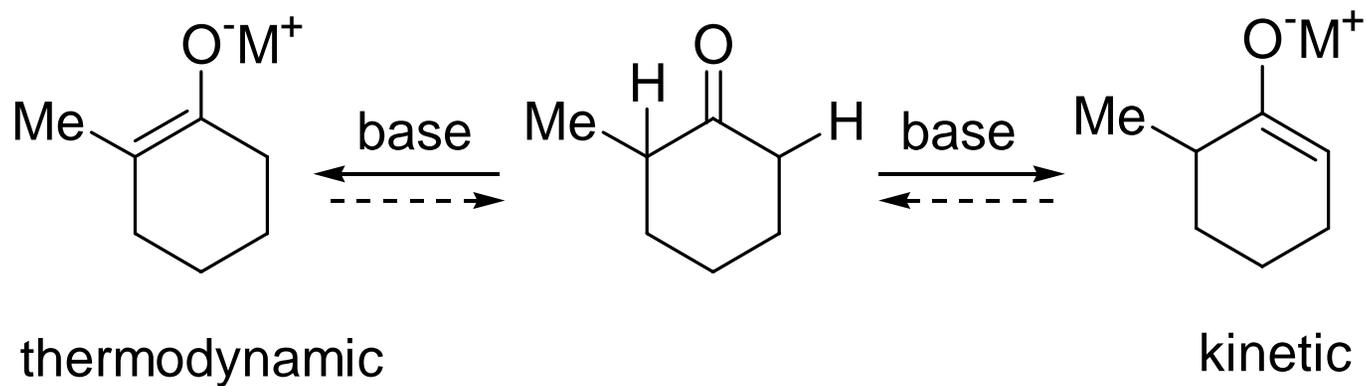
○ indirect information about the structure of transition states

– two states occurring consecutively with nearly the same energy closely resembles each other in structure

– resemblance of TS structures to reactants or products

○ electrophilic aromatic substitution: bromination;  [290-292](#)

## ❖ Kinetic vs Thermodynamic Control: Example



## ❖ Thermodynamic Stability and Rate (II)

### □ Correlations between $\Delta G^\circ$ and $\Delta G^\ddagger$

◆ Bell-Evans-Polyani relationship:  $E_a \propto \Delta H$ ; [📖 288-9](#)

◆ [Home Study] 3.3.2.3 The Marcus Equation: [📖 293-6](#)

### □ Curtin-Hammett principle: [📖 296 Fig. 3.17](#)

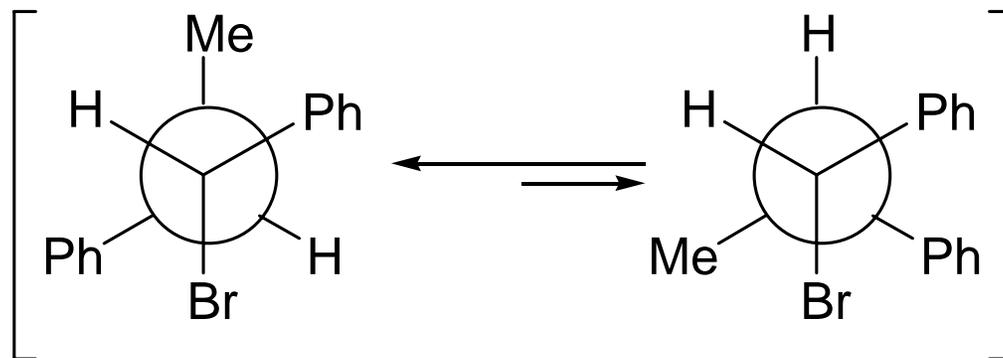
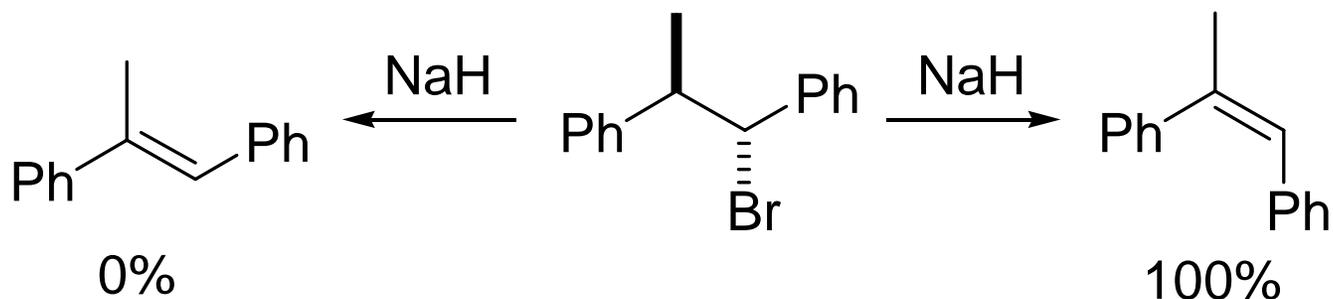
◆ effect of conformational equilibria on a chemical reaction

○ “the product ratio is not determined by relative stability of the products ( $\Delta G_c$ ) but primarily by the relative energy of the two TS ( $\Delta\Delta G^\ddagger$ )”

○ ‘the ratio of conformers (or tautomers) of reactants can not be deduced from the product ratio’

◆ example: [elimination reactions](#); antiperiplanar conformation

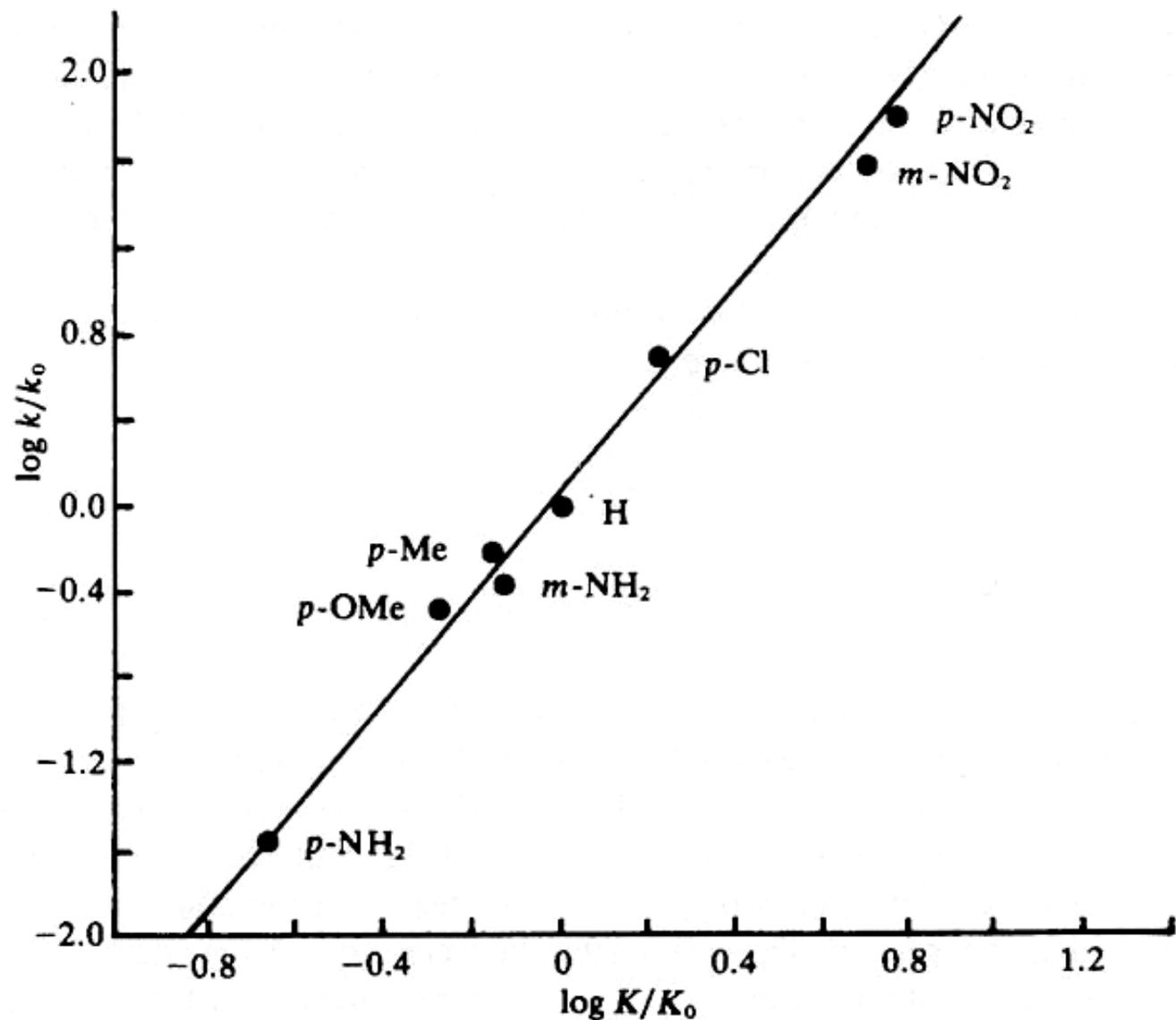
## ❖ An Extreme Case



## ❖ Linear Free-Energy Relationships (I)

- Hammett equation: rates & equilibria; [📖 336 Fig. 3.25](#)
  - ◆ hydrolysis of ethyl benzoates & acidity of benzoic acids
  - ◆  $\log k/k_o = m \log K/K_o \rightarrow \Delta(\Delta G^\ddagger) = m \Delta(\Delta G)$ ; [📖 336-7 \(3.42-3\)](#)
  - ◆  $\log K/K_o = \sigma \rho$ ,  $\log k/k_o = \sigma \rho$ :  $m = \rho$ ; [📖 337 \(3.44-5\)](#)
    - $\sigma$ , substituent constant (*m-* or *p-*): ability to donate or withdraw electron density from a reaction site; [📖 339 Table 3.36](#)
    - $\rho$ , reaction constant (1 for PhCO<sub>2</sub>H in water): sensitivity of a particular reaction to the substituent effects; [📖 340 Table 3.27](#)
  - ◆ saponification of ArCO<sub>2</sub>Me:  $\rho = + 2.38$ ; [📖 339 bottom](#)
    - (+): EWG substituents facilitate the reaction
    - 2.38: more sensitive to substituent effects than those of PhCO<sub>2</sub>H

# ❖ Linear Relationship between Rates & Equilibria



## ❖ Linear Free-Energy Relationships (II)

### □ Correlation with the reaction mechanism:

◆ solvolysis rates of  $\text{ArCH}_2\text{Cl}$ :  $\rho = -1.31$ ; [📖 340 bottom](#)

○ (-): EDG substituents facilitate the reaction

○ 1.31: a bit more sensitive to substituent effects

◆  $\sigma$  from  $\text{p}K_{\text{a}}$  of the substituted benzoic acid: [📖 339 bottom](#)

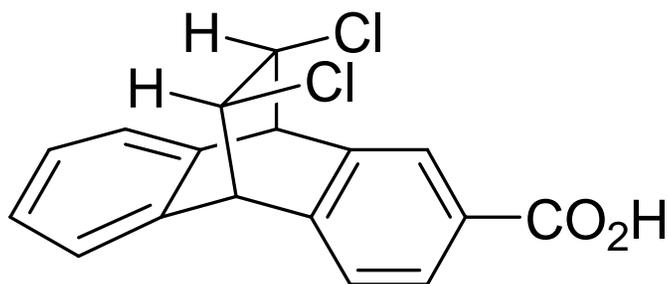
### □ $\sigma$ : combination of 3 factors; [📖 338 Fig. 3.26](#)

◆ resonance effect:  $\pi$ -electron delocalization

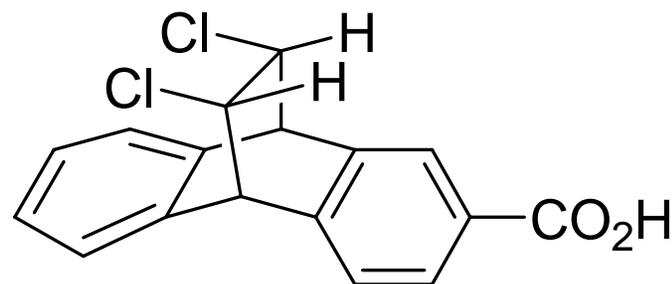
◆ inductive effect: polarization through  $\sigma$  bonds; **polar effects**

◆ field effect: polarization through space; [📖 geometry of molecules](#)

## ❖ Field Effect: Through-space Electrostatic Interaction



$pK_a = 6.07$



$pK_a = 5.67$

## ❖ Linear Free-Energy Relationships (III)

- Modifications of the Hammett equation:  $\sigma_m$  &  $\sigma_p$ 
  - ◆ correction for the additional resonance contribution
    - Hammett values: a mixture of resonance and polar effects
    - Taft values: direct resonance;  $\sigma^+$  &  $\sigma^-$ , [📖 341 top](#)
    - Yukawa-Tsuno equation: [📖 341 eqn. 3.48](#)
    - dual-substituent-parameter equation:  $\sigma = \sigma_I + \sigma_R$ , polar effects ( $\sigma_I$ ) & resonance effect ( $\sigma_R$ ); [📖 341 eqn. 3.49](#)
    - addition of electronegativity & polarizability: [📖 342 eqn. 3.50](#)
  - ◆ classification of substituent groups: [📖 343 Scheme 3.5](#)

## ❖ Linear Free-Energy Relationships (IV)

### □ Application to the reaction mechanisms

◆ basic hydrolysis of aryl esters:  $\rho = +2.38$ ; [📖 339 bottom](#)

○ negatively charged intermediate  $\cong$  TS: RDS; [📖 343 bottom](#)

○ the more electrophilic carbonyl of the esters due to EWG

◆ solvolysis of diaryl chlorides in EtOH:  $\rho = -4.2$ ; [📖 340 bot.](#)

○ positively charged intermediate  $\cong$  TS: RDS; [📖 344 top](#)

### □ Nonlinear Hammett plots

◆ change in the mechanism: TS or RDS; [📖 344 bottom](#)

○ semicarbazone:  $\rho = +3.5$  for ERGs &  $\rho = -0.25$  for EWGs

◆ aliphatics: additional steric and conformational factors

# ❖ Electronic Substituent Effects (I)

## □ Substituent effects: classification

- ◆ electronic, steric and structure-specific effects
- ◆ electronic: resonance & polar effects (inductive & field)
  - unrelated resonance and polar effects:  299 [Scheme 3.1](#)

## □ Carbocations: positive empty orbitals; [300 mid.](#)

- ◆ (+)-charge in a less electronegative  $p$  orbital
- ◆  $\text{CH}_3^+ < \text{CH}_2^+ < \text{CH}^+ < \text{C}^+$ : polar & delocalization;  [301 bot.](#)
- ◆ stabilization of conjugated ions:  [302-3 Table 3.9 & 3.10](#)
- ◆ stabilization by substituents:  [305 Table 3.12](#)
  - better  $\beta$ -stabilization: Si, Sn, Hg;  [307 middle](#)

## ❖ Electronic Substituent Effects (II)

- Carbanions: negative filled orbitals; [📖 307 bottom](#)
  - ◆ (-)-charge in a more electronegative orbital:  $sp^3 < sp^2 < sp$
  - ◆ stability of  $sp^3$  carbanions: polarizability; [📖 309 Table 3.14](#)
    - much smaller substituent effects than those with carbocations
  - ◆ stabilization by substituents: [📖 309 Table 3.15](#)
    - delocalization ( $BH_2$ ,  $CH=CH_2$ ,  $NO_2$ ) & polarizability (Cl to  $SiH_3$ )
- Radicals: half-filled nonbonding orbital; [📖 311 mid.](#)
  - ◆ planar  $CH_3\bullet$  ( $p/sp^2$ ) & trigonal  $=CH\bullet$  ( $sp^2/sp^2$ )
  - ◆ weak  $\beta$ -C-H: disproportionation of radicals; [📖 311 bottom](#)
  - ◆ stability of hydrocarbon  $C\bullet$ : BDE of C-H; [📖 258 Table 3.2](#)

## ❖ Electronic Substituent Effects (III)

### □ Radicals (continued)

◆ stabilized by EWGs or ERGs:  [318 Table 3.20](#)

○ delocalization of unpaired e<sup>-</sup>:  [313 middle](#) &  [314 Fig. 3.19](#)

◆ captodative radicals: very weak C-H;  [316 Table 3.18](#)

### □ Addition to carbonyls: reactivity; [319 middle](#)

◆ reinforcing polar and resonance effects:  [319 bottom](#)

○  $C^- < HN^- < O^- < H_2N < RO < F$

◆ charge density & bond orders:  [321 Fig. 3.20](#)

◆ addition and elimination mechanism:  [331 Scheme 3.3](#)

## ❖ Kinetic Isotope Effect

### □ Replacement by an isotope: D(T) vs H; 332 [Fig. 3.24](#)

◆ no effect on chemical reactivity but some effects on rate

◆ primary kinetic isotope effect:  $BE_{C-D} > BE_{C-H}$  at RDS ( $> 2$ )

○ maximum effect: H equally bound to at the TS;  $k_H/k_D \cong 7$

○ minimum effect: very reactant- or product-like TS;  $k_H/k_D \cong 1$

◆ information on a reaction mechanism:  334 [Scheme 3.4](#)

○ position of the TS: high  $k_H/k_D \rightarrow$  close to the midpoint along the reaction pathway

◆ secondary kinetic isotope effect:  334 [Scheme 3.4](#)

○ when the C-H bond is not directly involved in the reaction

○  $\alpha$ :  $k_H/k_D > 1$ : normal ( $sp^3 \rightarrow sp^2$ : C-H loosening);  $k_H/k_D < 1$ : inverse ( $sp^2 \rightarrow sp^3$ : C-H tightening);  $\beta$ :  334 [bottom](#) (normal)

## ❖ Catalysis by Acids & Bases (I)

□ Catalysts: not affect the equilibrium but the rate

◆ when the conjugate acid/base is more reactive: [📖 345 bottom](#)

□ Quantitative kinetic study

◆ **specific acid catalysis**: depends on equilibrium of protonation of the reactant; independent of conc. & identity of acid

○ formation of hemiacetal of benzaldehyde in MeOH: [📖 347 top](#);



◆ **general acid catalysis**: depends on conc. & the nature of acid

○ RDS: H<sup>+</sup> transfer; [📖 348 Fig. 3.27 \(Brønsted catalysis law\)](#)

◆ solvent isotope effect: D<sub>2</sub>O vs H<sub>2</sub>O (acidity: D<sub>3</sub>O<sup>+</sup> > H<sub>3</sub>O<sup>+</sup>)

○ inverse kinetic isotope effect: specific acid catalysis

○ normal kinetic isotope effect: general acid catalysis

## ❖ Catalysis by Acids & Bases (II)

□ Acidity functions ( $H_0$ ):  350 [Table 3.28](#)

◆ 'pH' of very concentrated acidic solutions: relative  $H^+$  activity

□ Lewis acids:  $Li^+$ ,  $Zn^{2+}$ ,  $BF_3$ ,  $La(OR)_3$

◆ formation of a donor-acceptor complex:  355

○ structure:  $EtO-CO-CH(Me)-O-CO-CH=CH_2$  &  $TiCl_4$ ;  [355 bottom](#)

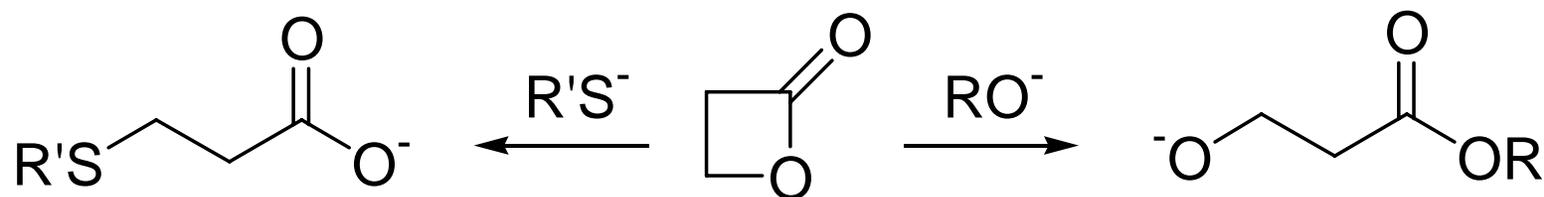
○ donors become more electronegative: **carbonyl** – more reactive to Nu, **ether/sulfide** – better leaving group, **hydroxyl** – more acidic

◆ strength of complexation: [the HSAB principle](#)

○ the better matched, the stronger:  356 [Scheme 4.3](#)

◆ relative acidity: steric & stereoelectronic;  356 [Table 3.29](#)

## ❖ The Hard-Soft Acid-Base Principle: an example



## ❖ Solvent Effects

- Classification of solvents:  360 Table 3.33
  - ◆ polar vs nonpolar; protic vs aprotic
- Ionization power:  $Y$  values;  362 Table 3.34
  - ◆ polarity & structure: ionization of *t*-BuCl;  361 Fig. 3.33
- Effect on the charged TS:  360 [Scheme 3.7](#) & [Fig. 4.12](#)
  - ◆ polar & protic: effective for the larger charge separation
  - ◆ nonpolar & aprotic: effective for the less charge separation
- Effect on the nucleophilicity of anions
  - ◆ reactivity: more reactive in aprotic solvents
  - ◆ solubility: loose ion pair; crown ethers