

# Gaussian Beam

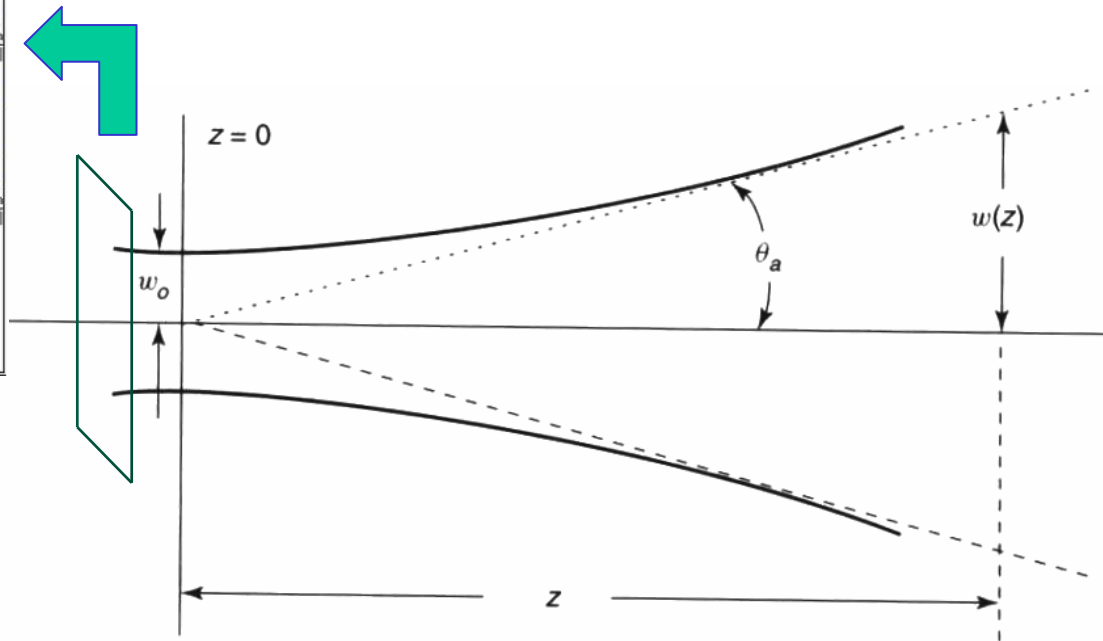
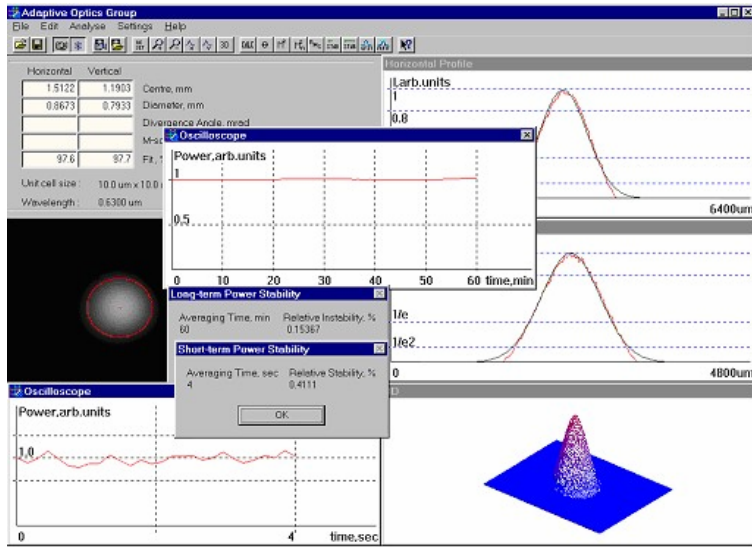
이 병 호

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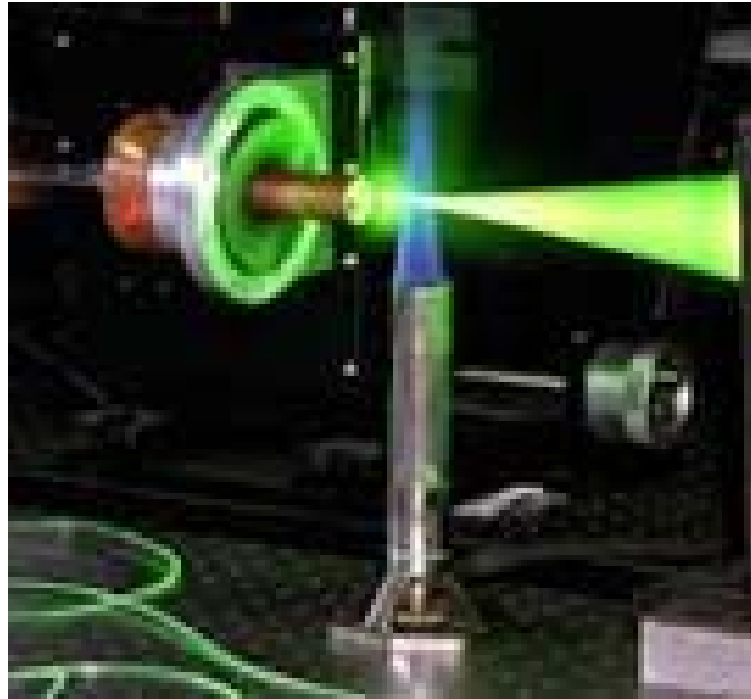
# Gaussian Beam



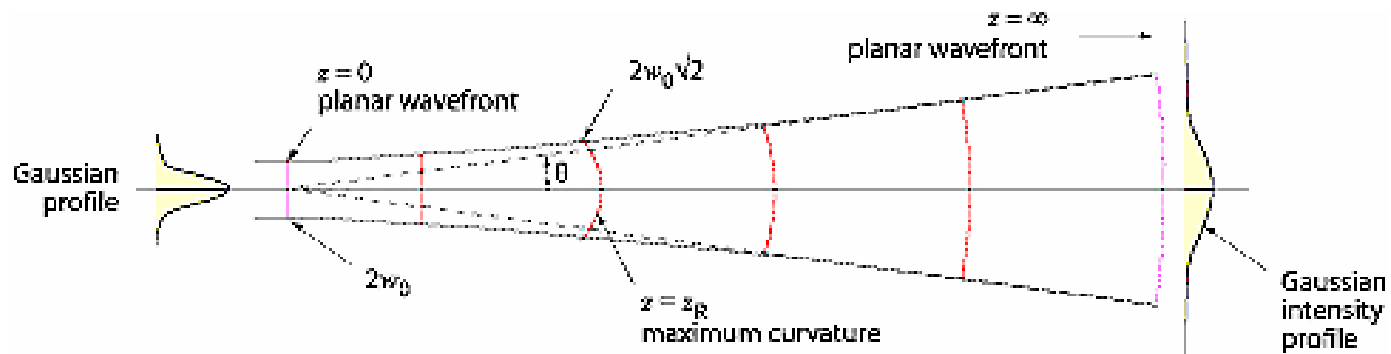
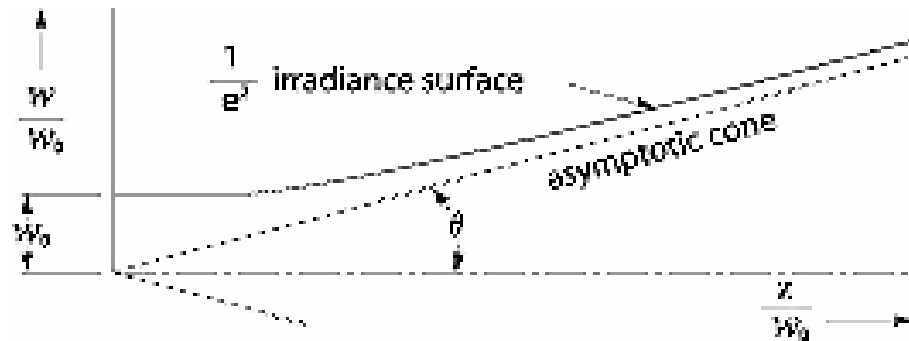
Major characteristics of the Gaussian beam waist  $w_0(z)$



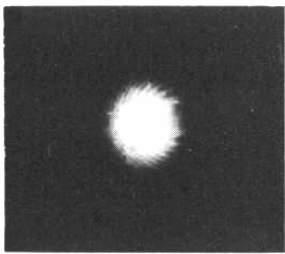
# Example of Gaussian Beam



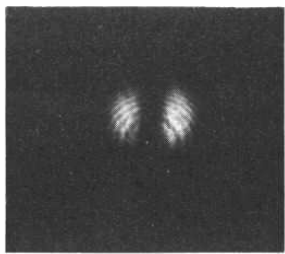
# Asymptotic Behavior



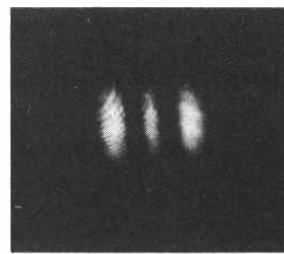
# Fundamental and Higher Order Gaussian Beams



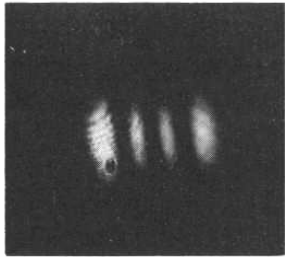
TEM<sub>00</sub>



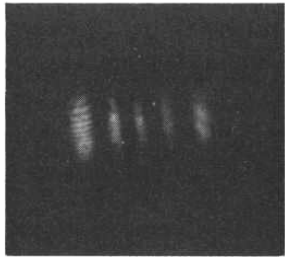
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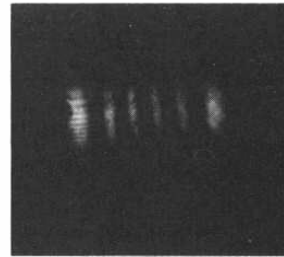
TEM<sub>20</sub>



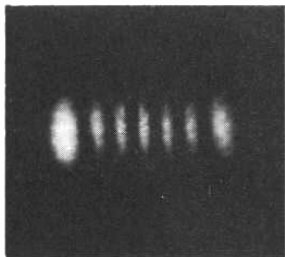
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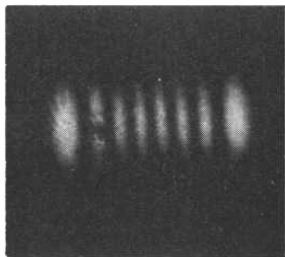
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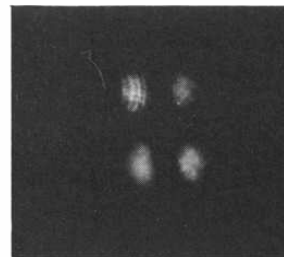
TEM<sub>50</sub>



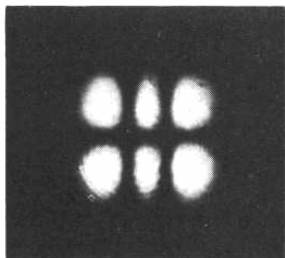
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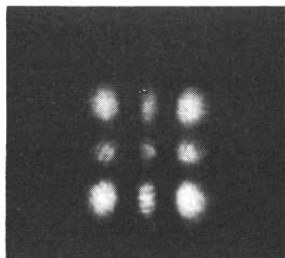
TEM<sub>70</sub>



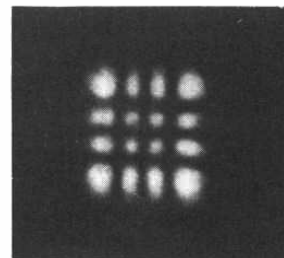
TEM<sub>11</sub>



TEM<sub>21</sub>



TEM<sub>22</sub>



TEM<sub>33</sub>

Intensity photographs of some low-order Gaussian beam modes.



# Gaussian Beam Equation

$$\psi(r, z) = \psi_o \exp\left(-j\left[kz - \tan^{-1}\left(\frac{\lambda_0 z}{\pi n w_0^2}\right)\right]\right) \frac{w_o}{w(z)} \exp\left(\frac{-r^2}{w^2(z)}\right) \exp\left(-j\left(\frac{kr^2}{2R(z)}\right)\right)$$

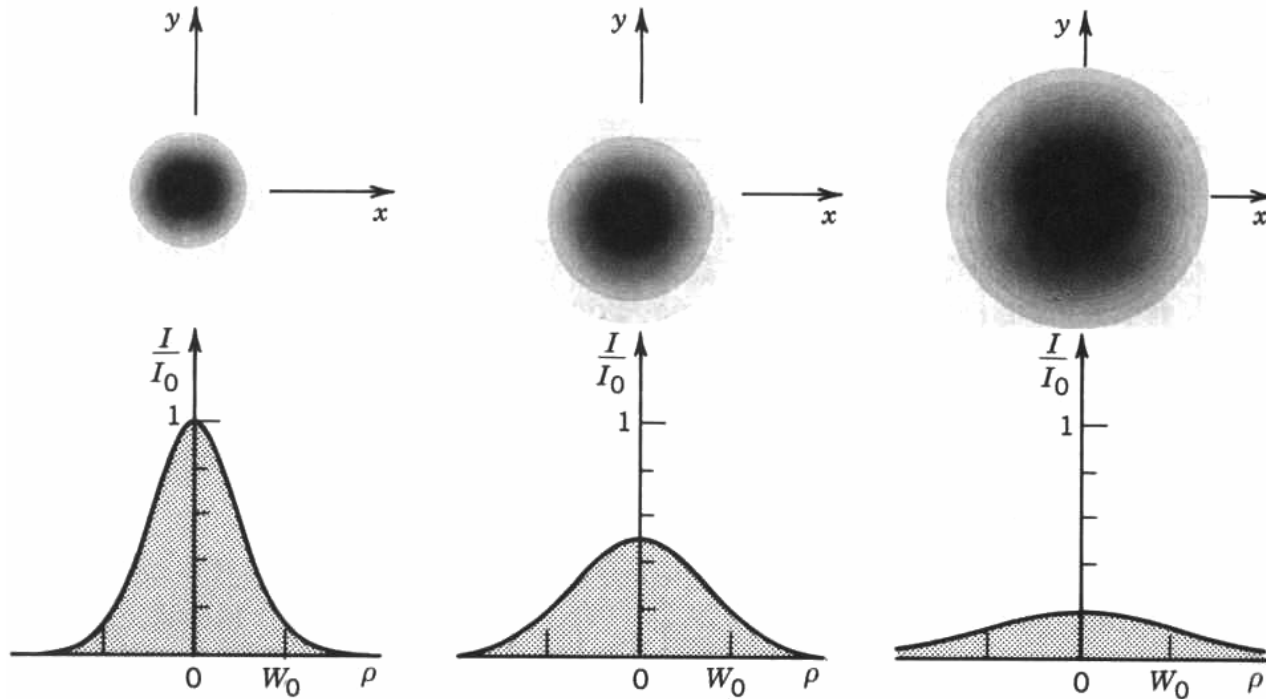
$$w^2(z) = w_0^2 \left(1 + \left(\frac{\lambda_0 z}{\pi n w_0^2}\right)^2\right) = w_0^2 \left(1 + \left(\frac{z}{z_R}\right)^2\right)$$

$$\theta_a = \frac{\lambda_0}{\pi n w_0} = \frac{2}{\pi} \frac{\lambda}{2 w_0} \quad z_R = \frac{\pi n w_0^2}{\lambda_0} = \frac{\pi w_0^2}{\lambda}$$

$$R(z) = z \left(1 + \left(\frac{\pi n w_0^2}{\lambda_0 z}\right)^2\right) = z \left(1 + \left(\frac{z_R}{z}\right)^2\right)$$



# Gaussian Beam Profile

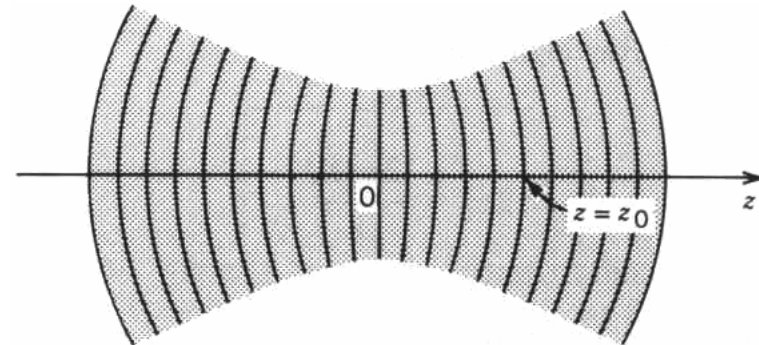
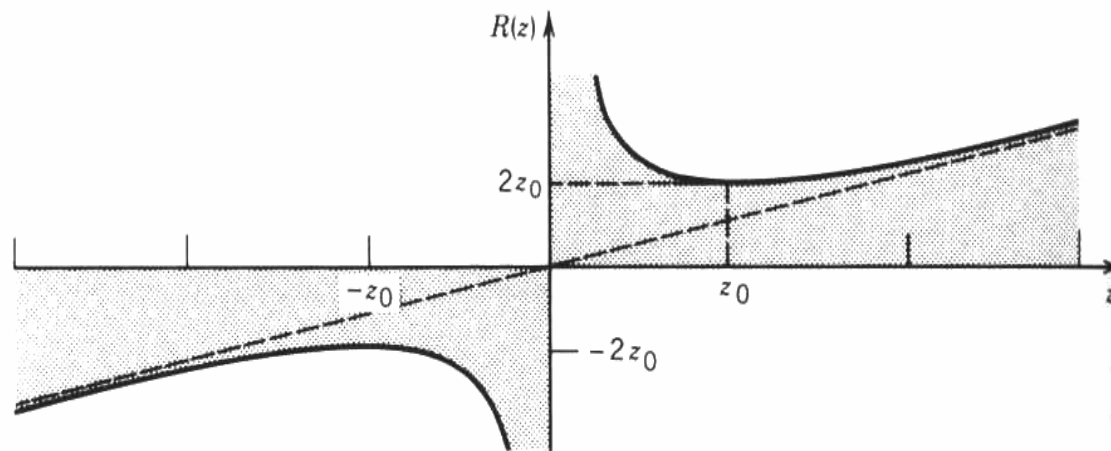
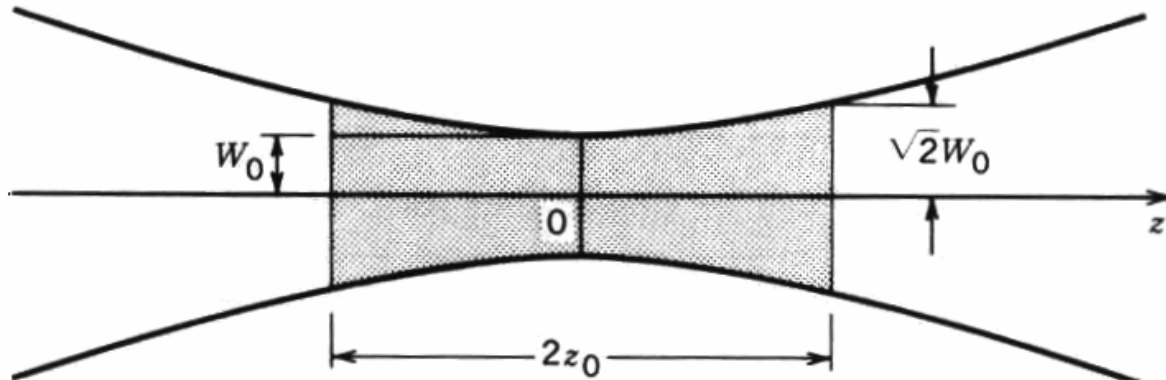


The normalized beam intensity  $I/I_0$  as a function of the radial distance  $\rho$  ( $r$ ) at different axial distances: (a)  $z = 0$ ; (b)  $z = z_0$ ; (c)  $z = 2 z_0$ .

$z_0$  means  $z_R$ .

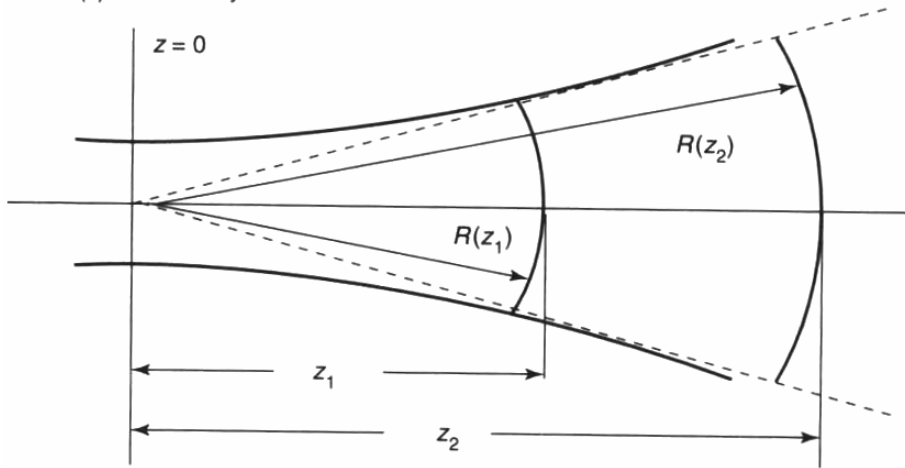


# Gaussian Beam Characteristics

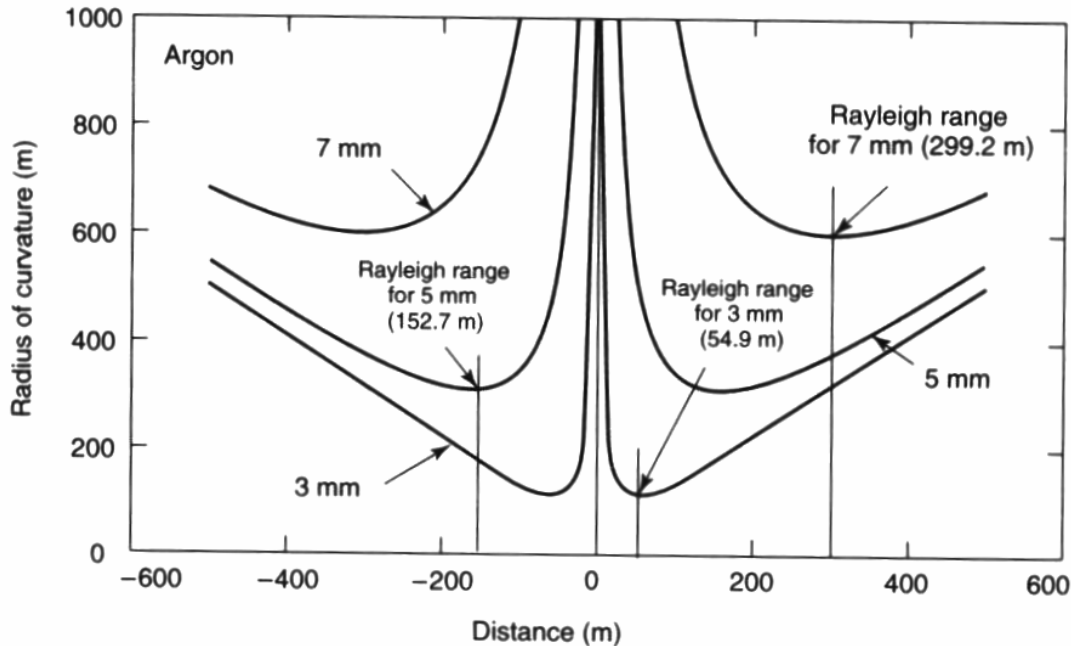




$R(z) \rightarrow$  infinity



Major characteristics of the Gaussian beam radius of curvature  $R(z)$

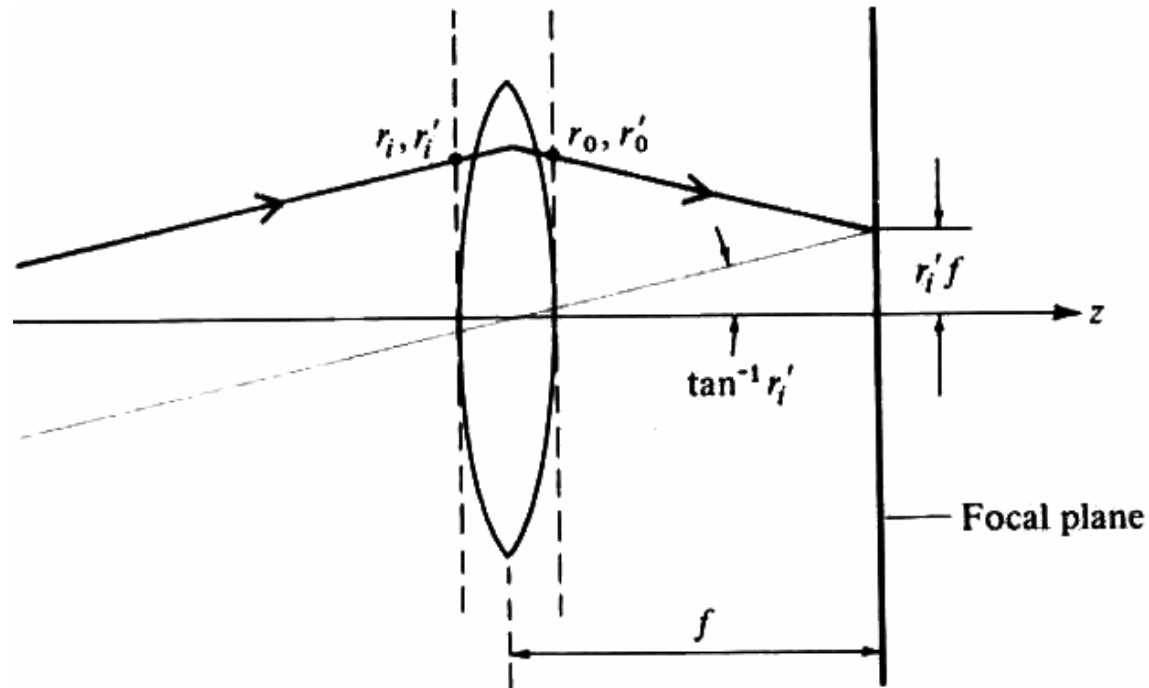


The Gaussian beam radius of curvature  $R(z)$  plotted for an argon-ion laser running at  $\lambda=514.5\text{nm}$  with three starting beam waists. The beam with a starting waist of  $\omega_0 = 3\text{ mm}$  has a Rayleigh range of 54.955 m, the beam with a starting waist of  $\omega_0 = 5\text{ mm}$  has a Rayleigh range of 152.653 m and the beam with a starting waist of  $\omega_0 = 7\text{ mm}$  has a Rayleigh range of 299.199 m. Notice that the inflection point of the beam radius of curvature  $R(z)$  corresponds to the Rayleigh range.



# Propagation of Rays

$$\begin{pmatrix} r(z) \\ r'(z) \end{pmatrix}$$

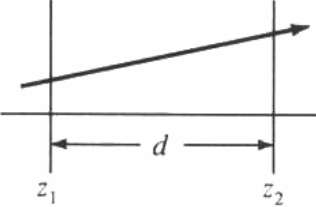
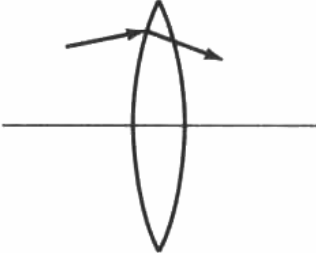
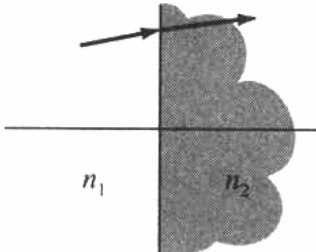


$$\begin{pmatrix} r_{\text{out}} \\ r'_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \begin{pmatrix} r_{\text{in}} \\ r'_{\text{in}} \end{pmatrix}$$

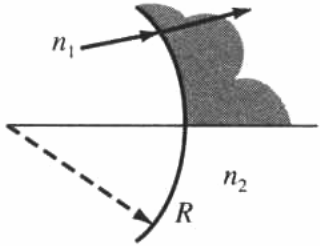
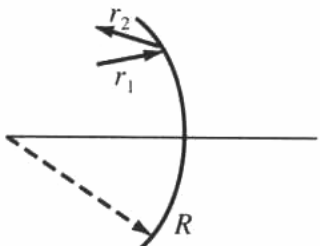
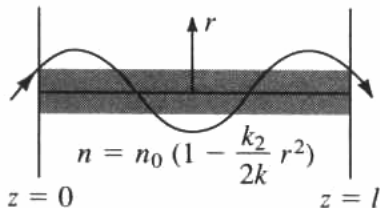
$$\begin{pmatrix} r_{\text{out}} \\ r'_{\text{out}} \end{pmatrix} = \begin{pmatrix} \left(1 - \frac{d}{f}\right) & d \\ -\frac{1}{f} & 1 \end{pmatrix} \begin{pmatrix} r_{\text{in}} \\ r'_{\text{in}} \end{pmatrix}$$



# Ray Matrices (I)

<p>(1) Straight Section: Length <math>d</math></p>		$\begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix}$
<p>(2) Thin Lens: Focal length <math>f</math> (<math>f &gt; 0</math>, converging; <math>f &lt; 0</math>, diverging)</p>		$\begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix}$
<p>(3) Dielectric Interface: Refractive indices <math>n_1, n_2</math></p>		$\begin{bmatrix} 1 & 0 \\ 0 & \frac{n_1}{n_2} \end{bmatrix}$

# Ray Matrices (II)

(4) Spherical Dielectric Interface: Radius $R$	 <p>A diagram showing a spherical dielectric interface of radius <math>R</math>. A ray is incident from medium <math>n_1</math> on the left and refracts into medium <math>n_2</math> on the right. The interface is a convex surface relative to the incident ray.</p>	$\begin{bmatrix} 1 & 0 \\ \frac{n_2 - n_1}{n_2 R} & \frac{n_1}{n_2} \end{bmatrix}$
(5) Spherical Mirror: Radius of curvature $R$	 <p>A diagram of a spherical mirror with radius of curvature <math>R</math>. A ray is incident from the left and reflects off the concave surface. The center of curvature is at a distance <math>R</math> from the vertex.</p>	$\begin{bmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{bmatrix}$
(6) A medium with a quadratic index profile	 <p>A diagram showing a medium with a quadratic index profile between <math>z = 0</math> and <math>z = l</math>. The index profile is <math>n = n_0 \left(1 - \frac{k_2}{2k} r^2\right)</math>. A ray is shown propagating through the medium.</p>	$\begin{bmatrix} \cos\left(\sqrt{\frac{k_2}{k}} l\right) & \sqrt{\frac{k}{k_2}} \sin\left(\sqrt{\frac{k_2}{k}} l\right) \\ -\sqrt{\frac{k_2}{k}} \sin\left(\sqrt{\frac{k_2}{k}} l\right) & \cos\left(\sqrt{\frac{k_2}{k}} l\right) \end{bmatrix}$

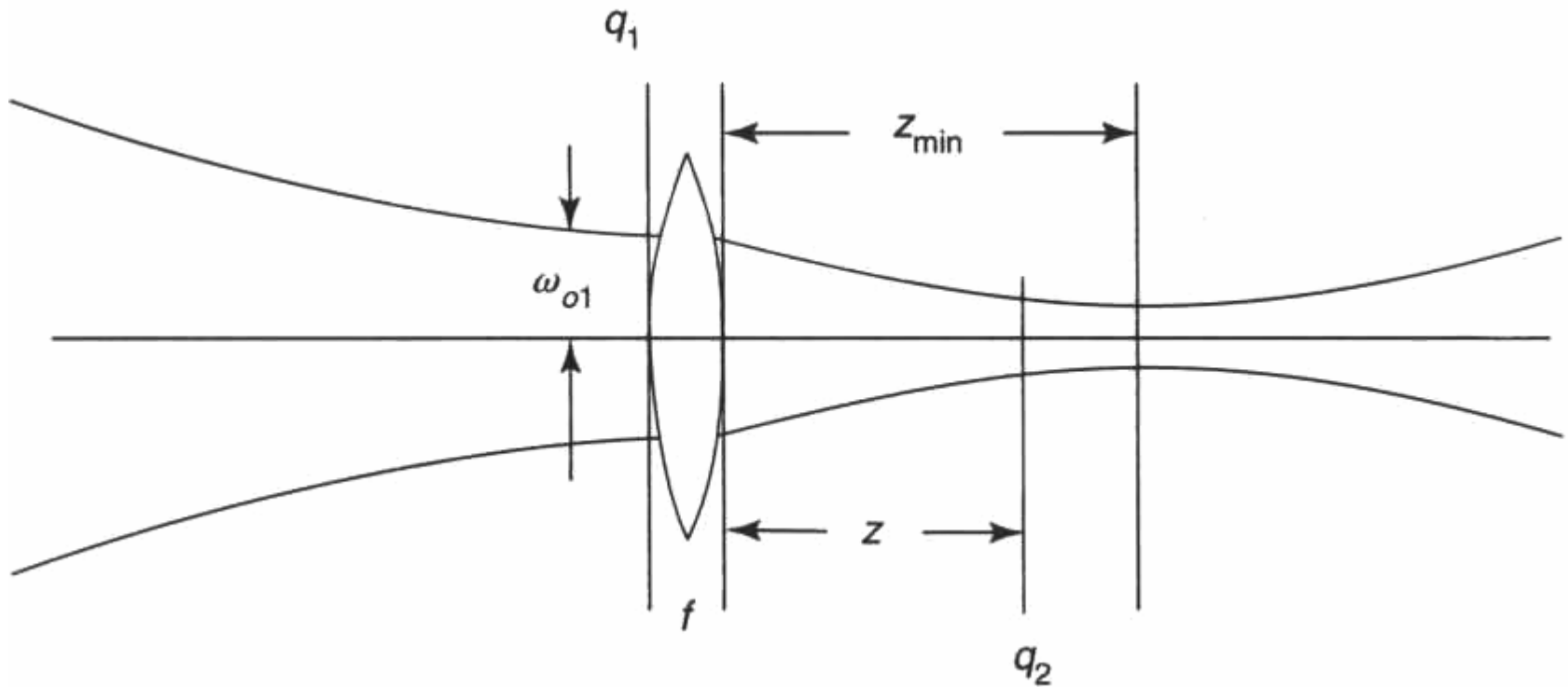
# ABCD Law

$$\frac{1}{q(z)} = \frac{1}{R(z)} - j \frac{\lambda}{\pi w^2(z)}$$

$$q_2(z) = \frac{Aq_1(z) + B}{Cq_1(z) + D}$$



# Example (I)



A simple lens system composed of a single thin lens. A Gaussian laser beam with a beam waist  $\omega_{o1}$  is incident on the lens. The incident beam has a perfectly planar wavefront upon incidence on the lens (in other words,  $R \rightarrow \infty$  at the input surface of the lens).



# Example (II)

$$\frac{1}{q_1(z)} = \frac{1}{R(z)} - j \frac{\lambda}{\pi \omega^2(z)} = \frac{1}{\infty} - j \frac{\lambda_0}{\pi \omega_{o1}^2 n} = -j \frac{\lambda_0}{\pi \omega_{o1}^2 n}$$

$$q_2(z) = \frac{Aq_1(z) + B}{Cq_1(z) + D} = \frac{\left(1 - \frac{z}{f}\right) \cdot q_1(z) + z}{\frac{-1}{f} \cdot q_1(z) + 1}$$

$$\frac{1}{R(z)} = \frac{\frac{-1}{f} + z \left( \frac{1}{f^2} + \frac{1}{z_{o1}^2} \right)}{\left(1 - \frac{z}{f}\right)^2 + \left(\frac{z}{z_{o1}}\right)^2}$$



# Example (III)

$$\frac{\lambda_0}{\pi\omega^2(z)n} = \frac{1}{z_{01} \left( \left(1 - \frac{z}{f}\right)^2 + \left(\frac{z}{z_{01}}\right)^2 \right)}$$

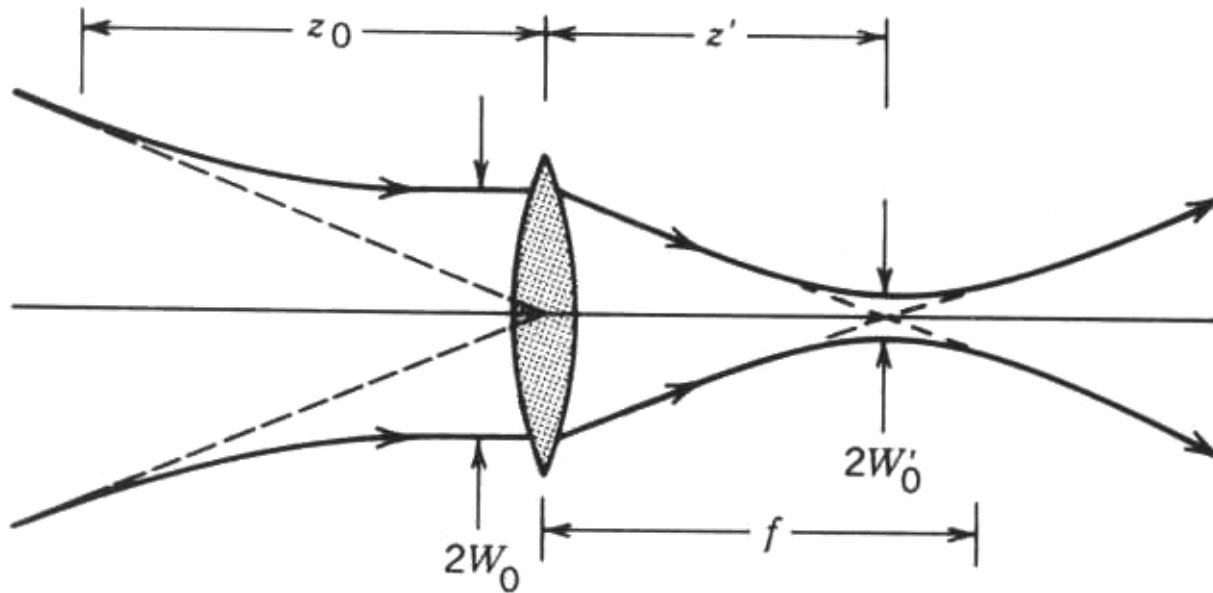
$$z_{01} = \frac{\pi\omega_{01}^2 n}{\lambda_0}$$

$$z_{\min} = \frac{f}{1 + \left(\frac{f}{z_{01}}\right)^2}$$





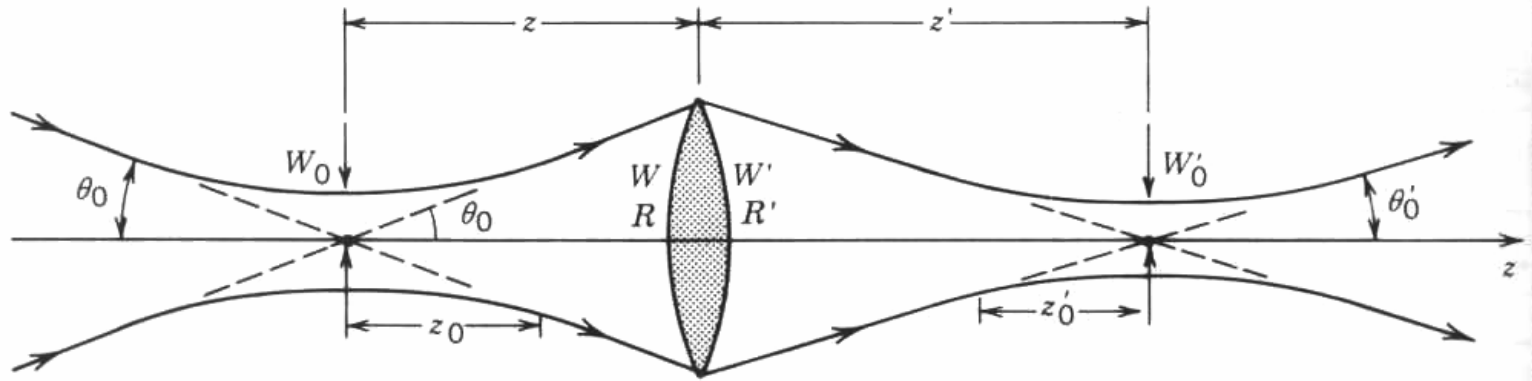
# Beam Focusing



$$W'_0 = \frac{W_0}{\left[1 + (z_0/f)^2\right]^{1/2}}$$

$$z' = \frac{f}{1 + (f/z_0)^2}$$

# Transmission through a Thin Lens



Waist radius  $W'_0 = MW_0$

Waist location  $(z' - f) = M^2(z - f)$

Depth of focus  $2z'_0 = M^2(2z_0)$

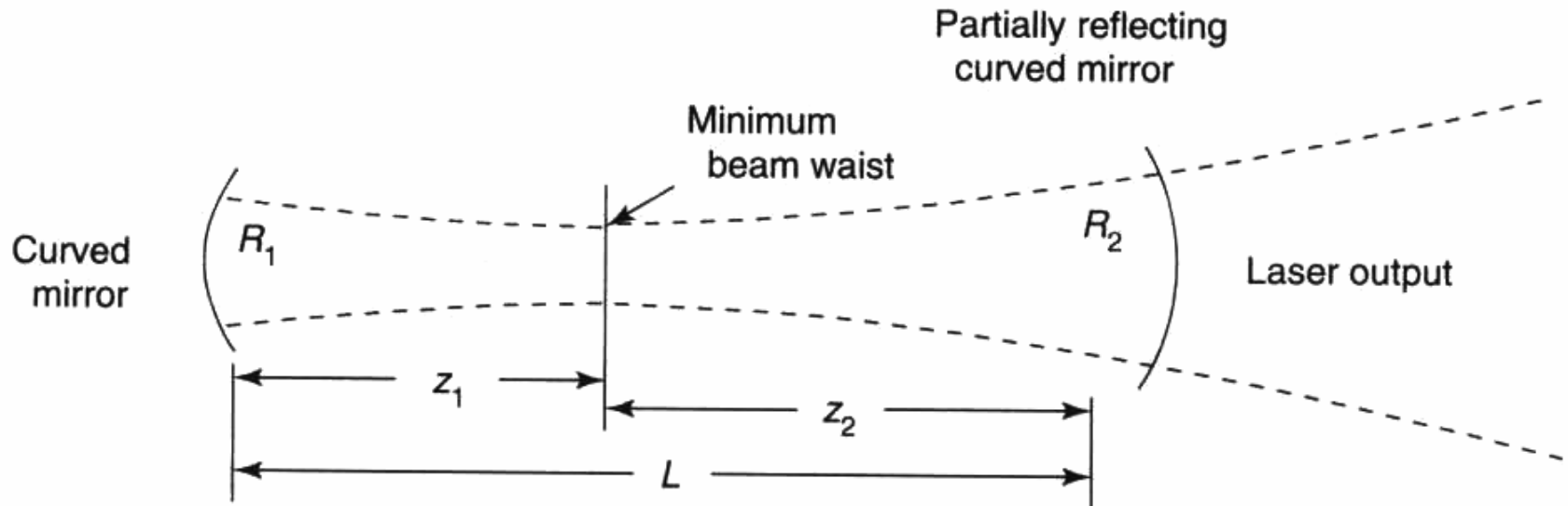
Divergence  $2\theta'_0 = \frac{2\theta_0}{M}$

Magnification  $M = \frac{M_r}{(1+r^2)^{1/2}}$

$$r = \frac{z_0}{z - f}, \quad M_r = \left| \frac{f}{z - f} \right|$$



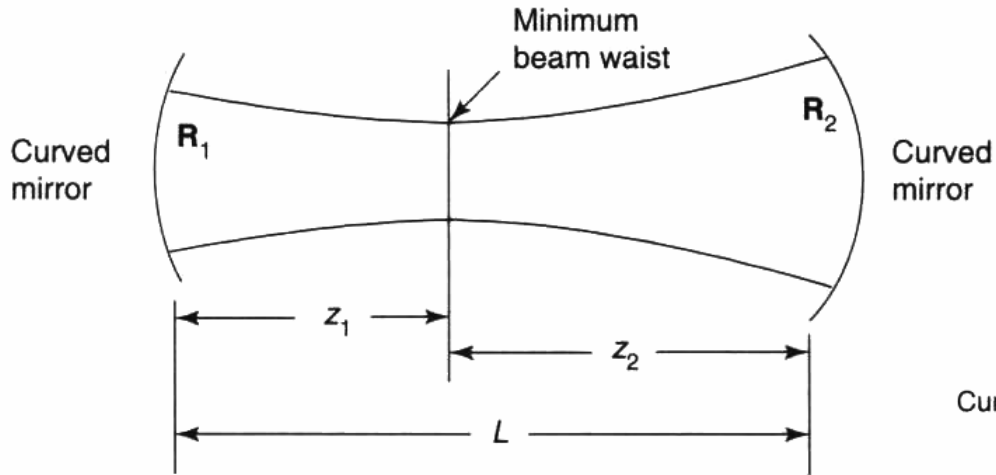
# Laser Cavity



Energy can be extracted from a stable resonator by fabricating one of the end mirrors as a partial reflector.

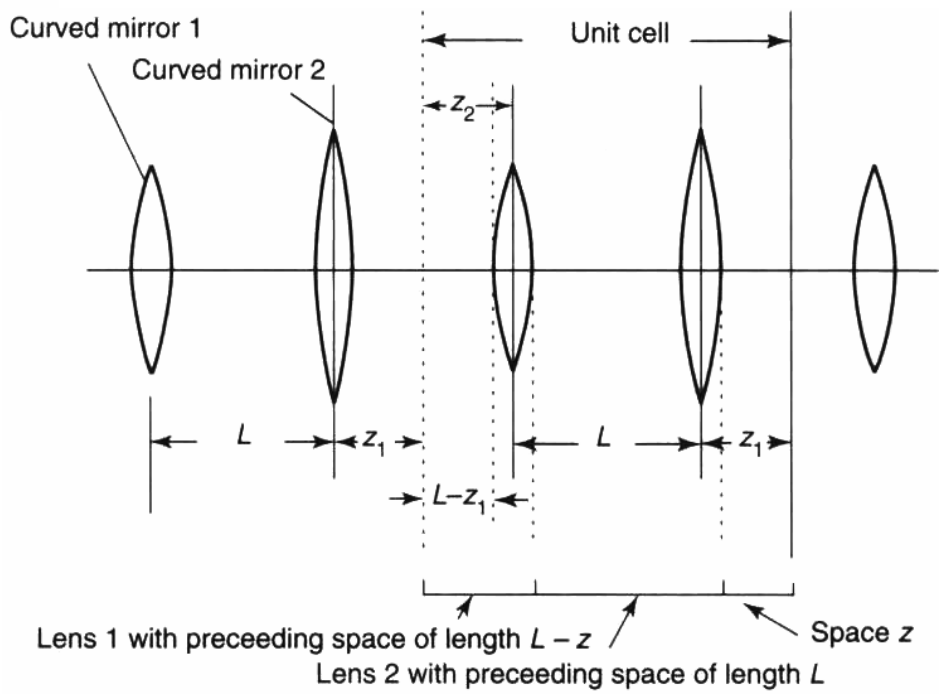


# Periodic Optical Structures

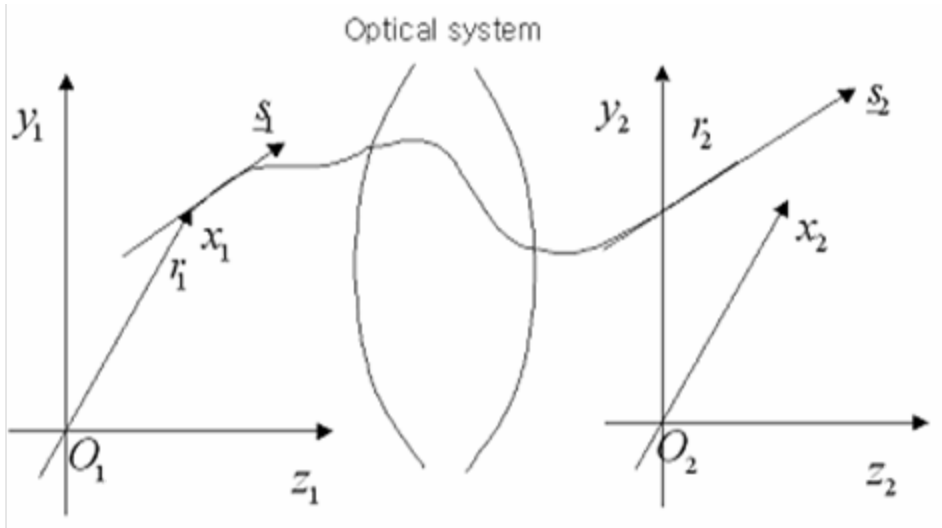


A typical resonator with two curved mirrors. The minimum beam waist will fall somewhere in the middle of the cavity.

A lens equivalent to the resonator with two curved mirrors.



## Wave propagation through the first order optical systems



Hamilton's point characteristic function

$$V(x_1, y_1, z_1, x_2, y_2, z_2)$$

Eikonal function      ray-direction vector

$$S(x_1, y_1, z_1) \quad \underline{s}_i = (p_i, q_i, m_i)$$

**Relationship**

**between V-function and Eikonal function**

$$\begin{aligned} V(x_1, y_1, z_1, x_2, y_2, z_2) \\ = \int_{r_1}^{r_2} n ds = S(x_2, y_2, z_2) - S(x_1, y_1, z_1) \end{aligned}$$

**Functional relationship**

**between ray-direction and V-function**

$$\begin{aligned} p_1 &= -\frac{\partial V}{\partial x_1} & q_1 &= -\frac{\partial V}{\partial y_1} & m_1 &= -\frac{\partial V}{\partial z_1} \\ p_2 &= \frac{\partial V}{\partial x_2} & q_2 &= \frac{\partial V}{\partial y_2} & m_2 &= \frac{\partial V}{\partial z_2} \end{aligned}$$

$$p_1^2 + q_1^2 + m_1^2 = n_1^2$$

$$p_2^2 + q_2^2 + m_2^2 = n_2^2$$

## Wave propagation through the first order optical systems

When the first order approximation is validated in calculating the V-function, the optical system is called the first order optical system. It is equivalent to the paraxial optical system. Then the transform of a ray by the first order optical system can be described by the  $4 \times 4$  matrix equation.

$$\begin{pmatrix} x_2 \\ y_2 \\ p_2 \\ q_2 \end{pmatrix} = \begin{bmatrix} a_{11} & a_{12} & b_{11} & b_{12} \\ a_{21} & a_{22} & b_{21} & b_{22} \\ c_{11} & c_{12} & d_{11} & d_{12} \\ c_{21} & c_{22} & d_{21} & d_{22} \end{bmatrix} \begin{pmatrix} x_1 \\ y_1 \\ p_1 \\ q_1 \end{pmatrix}$$

# Differential equation of the point characteristic function

$$\begin{pmatrix} x_2 \\ y_2 \\ p_2 \\ q_2 \end{pmatrix} = \begin{bmatrix} a_{11} & a_{12} & b_{11} & b_{12} \\ a_{21} & a_{22} & b_{21} & b_{22} \\ c_{11} & c_{12} & d_{11} & d_{12} \\ c_{21} & c_{22} & d_{21} & d_{22} \end{bmatrix} \begin{pmatrix} x_1 \\ y_1 \\ p_1 \\ q_1 \end{pmatrix}$$

$$\begin{pmatrix} p_1 \\ q_1 \end{pmatrix} = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}^{-1} \left\{ \begin{pmatrix} x_2 \\ y_2 \end{pmatrix} - \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} \right\}$$

$$\begin{pmatrix} p_2 \\ q_2 \end{pmatrix} = \left\{ \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} - \begin{bmatrix} d_{11} & d_{12} \\ d_{21} & d_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}^{-1} \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \right\} \begin{pmatrix} x_1 \\ y_1 \end{pmatrix}$$

$$+ \begin{bmatrix} d_{11} & d_{12} \\ d_{21} & d_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}^{-1} \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}$$

$$p_1 = -\frac{\partial V}{\partial x_1} \quad q_1 = -\frac{\partial V}{\partial y_1} \quad m_1 = -\frac{\partial V}{\partial z_1}$$

$$p_2 = \frac{\partial V}{\partial x_2} \quad q_2 = \frac{\partial V}{\partial y_2} \quad m_2 = \frac{\partial V}{\partial z_2}$$

The first order approximation

$$\begin{pmatrix} \frac{\partial V}{\partial x_1} \\ \frac{\partial V}{\partial y_1} \end{pmatrix} = B^{-1} A \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} - B^{-1} \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}$$

$$\begin{pmatrix} \frac{\partial V}{\partial x_2} \\ \frac{\partial V}{\partial y_2} \end{pmatrix} = (C - DB^{-1}A) \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} + DB^{-1} \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}$$



# Integral transformation of the first order optical system

$$\begin{pmatrix} \frac{dV}{dx_2} \\ \frac{dV}{dy_2} \\ \frac{dV}{dx_1} \\ \frac{dV}{dy_1} \end{pmatrix} = \begin{bmatrix} DB^{-1} & C - DB^{-1}A \\ -B^{-1} & B^{-1}A \end{bmatrix} \begin{pmatrix} x_2 \\ y_2 \\ x_1 \\ y_1 \end{pmatrix} \quad \Rightarrow \quad V = \frac{1}{2} \begin{pmatrix} x_2 \\ y_2 \\ x_1 \\ y_1 \end{pmatrix}^T \begin{bmatrix} DB^{-1} & C - DB^{-1}A \\ -B^{-1} & B^{-1}A \end{bmatrix} \begin{pmatrix} x_2 \\ y_2 \\ x_1 \\ y_1 \end{pmatrix} + const.$$

The integral transformation of the first order optical system

$$\text{Output field } \underline{g(x_2, y_2)} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} h(x_2, y_2, x_1, y_1) \underline{f(x_1, y_1)} dx_1 dy_1 \quad \text{Input field}$$

$$h(x_2, y_2, x_1, y_1) = |h(x_2, y_2, x_1, y_1)| e^{jk_0 V}$$



## Integral transformation of the separable (orthogonal) optical system

Separable optical system

$$h(x_2, y_2, x_1, y_1) = -j \sqrt{\frac{1}{\det|B|}} \exp \left[ j\pi (r_2, r_1) \begin{bmatrix} D_s B_s^{-1} & -B_s^{-1} \\ -B_s^{-1} & B_s^{-1} A_s \end{bmatrix} \begin{pmatrix} r_2 \\ r_1 \end{pmatrix} \right] = -j \sqrt{\frac{1}{B_x B_y}} \exp \left[ j\pi (x_2, y_2, x_1, y_1) \begin{bmatrix} \begin{bmatrix} \frac{D_x}{B_x} & 0 & \frac{-1}{B_x} & 0 \\ 0 & \frac{D_y}{B_y} & 0 & \frac{-1}{B_x} \\ \frac{-1}{B_x} & 0 & \frac{A_x}{B_x} & 0 \\ 0 & \frac{-1}{B_x} & 0 & \frac{A_x}{B_x} \end{bmatrix} \begin{pmatrix} x_2 \\ y_2 \\ x_1 \\ y_1 \end{pmatrix} \right]$$

Free space of length  $d$

Cylindrical lens  
with  $x$ -focal length

Cylindrical lens  
with  $y$ -focal length

$$D(d) = \begin{bmatrix} 1 & 0 & \lambda d & 0 \\ 0 & 1 & 0 & \lambda d \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$L(\infty, f_y) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -\frac{1}{\lambda f_y} & 0 & 1 \end{bmatrix}$$

$$L(f_x, \infty) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -\frac{1}{\lambda f_x} & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



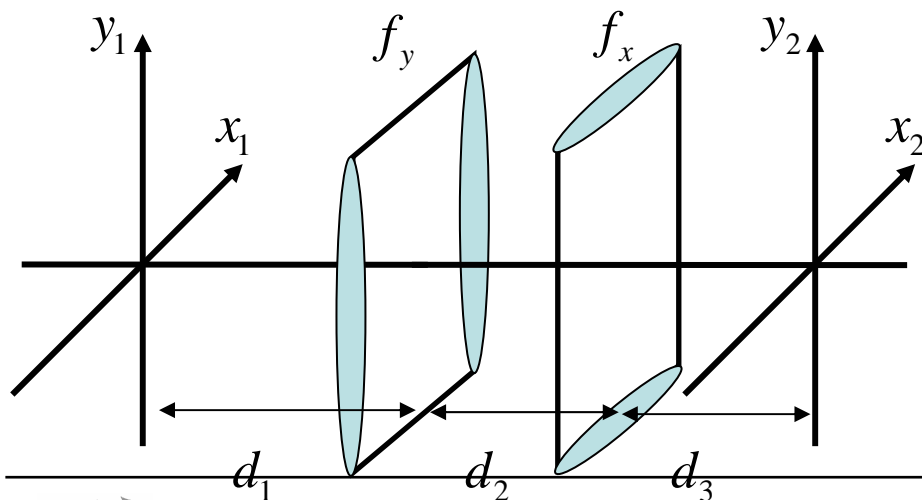
## Example

### Total transfer matrix

$$T = D(d_3)L(f_x, \infty)D(d_2)L(\infty, f_y)D(d_1) = \begin{bmatrix} 1 - d_3/f_x & 0 & \lambda(d_1 + d_2 + d_3) - \lambda(d_1 + d_2)d_3/f_x & 0 \\ 0 & 1 - (d_2 + d_3)/f_y & 0 & \lambda(d_1 + d_2 + d_3) - \lambda(d_2 + d_3)d_1/f_y \\ -1/(\lambda f_x) & 0 & 1 - (d_1 + d_2)/f_x & 0 \\ 0 & -1/(\lambda f_y) & 0 & 1 - d_1/f_y \end{bmatrix}$$

### Integral transform kernel

$$h(x_2, y_2, x_1, y_1) = -j \frac{1}{\lambda \sqrt{[(d_1 + d_2 + d_3) - (d_1 + d_2)d_3/f_x][(d_1 + d_2 + d_3) - (d_2 + d_3)d_1/f_y]}} \times \exp \left[ j \frac{\pi}{[\lambda(d_1 + d_2 + d_3) - \lambda(d_1 + d_2)d_3/f_x]} \left[ (1 - (d_1 + d_2)/f_x)x_2^2 - 2x_2x_1 + (1 - d_3/f_x)x_1^2 \right] \right] \\ \times \exp \left[ j \frac{\pi}{[\lambda(d_1 + d_2 + d_3) - \lambda(d_2 + d_3)d_1/f_y]} \left[ (1 - d_1/f_y)y_2^2 - 2y_2y_1 + (1 - (d_2 + d_3)/f_y)y_1^2 \right] \right]$$



When  $f_x$  and  $f_y$  are infinite, the integral transform kernel becomes that of the Fresnel diffraction integral.

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