

# **Ocean Environment Information System**

**Course: 414.311A**

**- Fall, 2007 -**

**Yonghwan Kim**

NAOE

Seoul National University

# Chapter 0. Introduction:

## Fundamentals of Fluid Flows

### 1. Concept of Fluid

► What is Fluid ?

A fluid may be defined as a substance that deforms when subjected to a shear stress, no matter how small that stress may be.

► Two Types of Fluid: Liquid and Gas

- Concerning the effect of cohesive forces

(1) Liquid

- Close-packed molecules with strong cohesive force
- Tends to retain its volume

(2) Gas

- Molecules are widely spaced with negligible cohesive forces.
- Free to expand until it is retained by wall

- Liquids form free surface.

► Fluids are considered as *continuum material* in classical engineering.

## 2. Physical Parameters and Units

- SI Unit: International System of Units

- BG Unit: British Gravitational Units

Parameter	Dimension	SI Unit	BG Unit	Conversion
Mass	M	Kilogram (kg)	Slug Pound	1 slug = 14.5939 kg 1 pound = 0.454 kg
Length	L	Meter (m)	Foot (ft) (=12 in)	1 ft = 0.3048 m
Time	T	Second (s)	Second (s)	1 s = 1 s
Temperature	$\Theta$	Kelvin (K)	Rankine ( $^{\circ}$ R)	1 K = 1.8 $^{\circ}$ R
Density	$ML^{-3}$	Kg / m <sup>3</sup>	Slug / ft <sup>3</sup>	1 Slug/ft <sup>3</sup> = 515.4 kg/m <sup>3</sup>
Area	M <sup>2</sup>	m <sup>2</sup>	ft <sup>2</sup>	1 m <sup>2</sup> = 10.764 ft <sup>2</sup>
Volume	M <sup>3</sup>	m <sup>3</sup>	ft <sup>3</sup>	1 m <sup>3</sup> = 35.315 ft <sup>3</sup>
Velocity	$MT^{-1}$	m/s	ft/s	1 ft/s = 0.3048 m/s
Angular Velocity	$T^{-1}$	1/s	1/s	1 /s = 1 /s
Acceleration	$MT^{-2}$	m/s <sup>2</sup>	t/s <sup>2</sup>	1 ft/s <sup>2</sup> = 0.3048 m/s <sup>2</sup>
Pressure / Stress	$ML^{-1} T^{-2}$	N/m <sup>2</sup> (Pa)	lbf/ft <sup>2</sup> lbf/in <sup>2</sup> (psi)	1 lbf/ft <sup>2</sup> = 47.88 Pa 1 psi = 6895 Pa
Force (Weight)	$MLT^{-2}$	kg-m/s <sup>2</sup> (N)	lbf=slug-ft/s <sup>2</sup>	1 lbf = 4.4482 N
Energy / Heat / Work	$ML^2L^{-2}$	J=N-m	ft-lbf	1 ft-lbf = 1.3558 J
Power	$ML^2L^{-3}$	W = J/s	Ft-lbf/s	1 ft-lbf/s = 1.3558 W
Viscosity	$ML^{-1}T^{-1}$	kg/(m-s)	slug/(ft-s)	1 slug/(ft-s) = 47.88 kg/(m-s)

### Misc.

1 knots = 0.515 m/s

1 mile = 1.609344 km

1 pound = 12 ounces

1 gallon (US) = 0.00379 m<sup>3</sup>

1 nautical mile = 1.852 km

$^{\circ}$ C = (5/9)\*( $^{\circ}$ F -32) (C: Celsius, F:Fahrenheit)

### 3. Methods of Describing Fluid Motion

Two ways to describe the fluid motion

- (i) **Lagrangian** description: follows all fluid particles and describes the variation around each fluid particles along its trajectory
- (ii) **Eulerian** description: the variations are described at all fixed stations as a function of time

#### 3.1 Lagrangian description

Let's specify a fluid particle, say  $k$ -th particle. A certain physical property  $q(\vec{x}_k, t)$  (e.g. position, velocity, density,...) can be written as

$$q(\vec{x}_k, t),$$

where  $\vec{x}_k$  is the  $(x,y,z)$  coordinate of the particle.  $\vec{x}_k$  should be traced all through the fluid motion, i.e.

$$\vec{x}_k = \vec{x}_k(\vec{x}_{o,k}, t)$$

where the subscript  $o$  indicates the quantity at  $t=0$ .

For instance, if  $q(\vec{x}_k, t)$  is the coordinate of the particle, the velocity at time  $t$  becomes

$$\vec{v}_k = \left. \frac{d\vec{x}}{dt} \right|_{o,k}.$$

#### 3.2 Eulerian description

Let's specify a fluid volume. A certain physical property in the fluid volume is written as

$$q(\vec{x}, t),$$

i.e. defined in the fixed coordinate system,  $(x,y,z)$ , and time. We can define a variation with respect to space or time, s.t.

$$\left. \frac{\partial q}{\partial t} \right|_{\text{fixed } \bar{x}} \equiv \frac{\partial q}{\partial t}, \quad \left. \frac{\partial q}{\partial \bar{x}} \right|_{\text{fixed } \bar{x}} \equiv \frac{\partial q}{\partial \bar{x}}$$

### 3.3 Conversion of Variations between Lagrangian and Eulerian

Let's defined a certain function (or quantity)  $F(\bar{x}, t)$  in Eulerian frame. After a short time  $\Delta t$ ,  $F(\bar{x}, t)$  becomes  $F(\bar{x} + \bar{v}\Delta t, t + \Delta t)$ . Then

$$\begin{aligned} \Delta F &= F(\bar{x} + \bar{v}\Delta t, t + \Delta t) - F(\bar{x}, t) \\ &= F(\bar{x}, t) + \left( \bar{v} \cdot \nabla F + \frac{\partial F}{\partial t} \right) \Delta t - F(\bar{x}, t) + O(\Delta t^2) \end{aligned}$$

Therefore,

$$\lim_{\Delta t \rightarrow \infty} \frac{\Delta F}{\Delta t} = \frac{\partial F}{\partial t} + \bar{v} \cdot \nabla F$$

Lagrangian
Eulerian

**Total Derivative:** Conversion between two frames

$$\frac{D}{Dt} ( ) = \frac{\partial}{\partial t} ( ) + \bar{v} \cdot \nabla ( )$$

Steady Flow: no difference in time  $\Rightarrow \frac{D}{Dt} ( ) = \bar{v} \cdot \nabla ( )$

### 3.4 Velocity Field

Velocity:  $\bar{v} = (u(x, y, z, t), v(x, y, z, t), w(x, y, z, t))$

Acceleration:  $\frac{D}{Dt} \vec{v} = \frac{\partial}{\partial t} \vec{v} + \underbrace{\vec{v} \cdot \nabla \vec{v}}_{\text{Convection}}$

### 3.5 Continuous Flow Field

For a fluid flow to be continuous, we require that the velocity  $\vec{v}$  is a finite and continuous function of space  $\vec{x}$  and time  $t$ . That is,  $\frac{\partial}{\partial t} \vec{v}$  and  $\nabla \cdot \vec{v}$  are finite but not necessary continuous. If  $\frac{\partial}{\partial t} \vec{v}$  and  $\nabla \cdot \vec{v}$  are not finite, it is non-physical as long as any singularity does not exist.

- (i) Material volume remains material. No segment of fluid can be joined or broken apart.
- (ii) Material surface remains material. The interface between two material volumes always exists.
- (iii) Material line remains material. The interface of two material surfaces always exists.

### 3.6 Flow Lines

- Streamline: A line everywhere tangent to the fluid velocity  $\vec{v}$  at a given time. In an Eulerian description, it would be a 'snapshot' of the flow.
- Pathline: The trajectory of a given particle P in time. The photograph analogy would be a long time exposure of a given particle.
- Streakline: Instantaneous locus of all particles that pass a given point. In an Eulerian description, it would be a 'snapshot's of certain particles.
- Timeline: a set of adjacent fluid particles that were marked at the same (earlier) instant in time

# Chapter 1. Basic Equations of Fluid Flows

- Einstein's Notation: Repeated indices are summed by implication over all values of the index  $i$ .

$$\begin{aligned}\vec{v} &= u\hat{i} + v\hat{j} + w\hat{k} \\ &= u_1\hat{x}_1 + u_2\hat{x}_2 + u_3\hat{x}_3 \\ &= \sum_i^3 u_i\vec{x}_i \\ &= u_i\vec{x}_i\end{aligned}$$

In this example, the summation is over  $i = 1, 2, 3$ .

## 1. Kinematics of Fluid Motion in the Euler Frame

$$\frac{\partial q_i}{\partial x_j} = \underbrace{\frac{1}{2} \left( \frac{\partial q_i}{\partial x_j} + \frac{\partial q_j}{\partial x_i} \right)}_{= E_{ij}} + \underbrace{\frac{1}{2} \left( \frac{\partial q_i}{\partial x_j} - \frac{\partial q_j}{\partial x_i} \right)}_{= \Omega_{ij}}$$

Rate-of-Strain Tensor    Vorticity Tensor

$$\Rightarrow \delta q_i = \delta x_j E_{ij} + \delta x_j \Omega_{ij}$$

### 1.1 Rate-of-Strain Tensor

In matrix form,

$$E_{ij} = \begin{bmatrix} e_{11} & e_{12} & e_{13} \\ e_{21} & e_{22} & e_{23} \\ e_{31} & e_{32} & e_{33} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 2 \frac{\partial q_1}{\partial x_1} & \frac{\partial q_1}{\partial x_2} + \frac{\partial q_2}{\partial x_1} & \frac{\partial q_1}{\partial x_3} + \frac{\partial q_3}{\partial x_1} \\ \frac{\partial q_2}{\partial x_1} + \frac{\partial q_1}{\partial x_2} & 2 \frac{\partial q_2}{\partial x_2} & \frac{\partial q_2}{\partial x_3} + \frac{\partial q_3}{\partial x_2} \\ \frac{\partial q_3}{\partial x_1} + \frac{\partial q_1}{\partial x_3} & \frac{\partial q_3}{\partial x_2} + \frac{\partial q_2}{\partial x_3} & 2 \frac{\partial q_3}{\partial x_3} \end{bmatrix}$$

### Diagonal terms

These indicate the rate of stretch per unit length in the direction of  $(x,y,z)$

In particular, when  $q$  is the velocity of fluid flow,

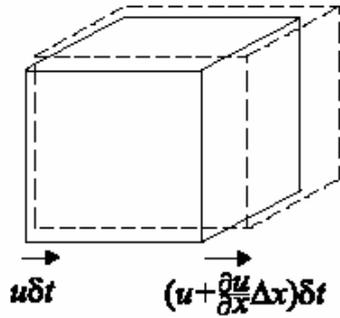
$$\begin{aligned} e_{ii} &= e_{11} + e_{22} + e_{33} \\ &= \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \quad : \quad \text{rate of volume dilatation} \end{aligned}$$

Proof: Consider a small volume  $V(t) = \Delta x \Delta y \Delta z$ . At  $t + \delta t$ , the expansion volume becomes

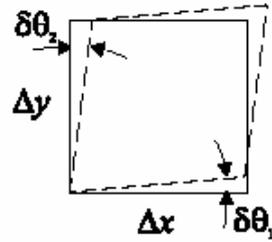
$$\begin{aligned} V(t + \delta t) &= \Delta x \left( 1 + \frac{\partial u}{\partial x} \delta t \right) \Delta y \left( 1 + \frac{\partial v}{\partial y} \delta t \right) \Delta z \left( 1 + \frac{\partial w}{\partial z} \delta t \right) \\ &= \Delta x \Delta y \Delta z \left[ 1 + \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right) \delta t + O(\delta t^2) \right] \\ &= V(t) \left[ 1 + \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right) \delta t + O(\delta t^2) \right] \end{aligned}$$

Then, the rate of volume change becomes

$$\frac{1}{V(t)} \frac{dV}{dt} = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = \nabla \cdot \vec{u}$$



(a) Diagonal component



(b) Off-diagonal component

### Off-diagonal terms

These indicate the rate of angular deformation. As above figure shows,

$$\delta\theta_1 = \frac{\delta(\Delta y)}{\Delta x} = \frac{\Delta v \delta t}{\Delta x} = \frac{\partial v}{\partial x} \delta t \quad \text{and} \quad -\delta\theta_2 = \frac{\delta(\Delta x)}{\Delta y} = \frac{\Delta u \delta t}{\Delta y} = \frac{\partial u}{\partial y} \delta t \quad (\text{note the direction of angle}).$$

Then,

$$\frac{\delta\theta_1}{\delta t} - \frac{\delta\theta_2}{\delta t} = \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y}$$

This is called the rate of shear strain.

Note that the rate-of-strain tensor is symmetry, i.e.

$$e_{ij} = e_{ji}$$

### 1.2 Vorticity Tensor

In matrix form,

$$\Omega_{ij} = \begin{bmatrix} \varpi_{11} & \varpi_{12} & \varpi_{13} \\ \varpi_{21} & \varpi_{22} & \varpi_{23} \\ \varpi_{31} & \varpi_{32} & \varpi_{33} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 0 & \frac{\partial q_1}{\partial x_2} - \frac{\partial q_2}{\partial x_1} & \frac{\partial q_1}{\partial x_3} - \frac{\partial q_3}{\partial x_1} \\ \frac{\partial q_2}{\partial x_1} - \frac{\partial q_1}{\partial x_2} & 0 & \frac{\partial q_2}{\partial x_3} - \frac{\partial q_3}{\partial x_2} \\ \frac{\partial q_3}{\partial x_1} - \frac{\partial q_1}{\partial x_3} & \frac{\partial q_3}{\partial x_2} - \frac{\partial q_2}{\partial x_3} & 0 \end{bmatrix}$$

Note that the vorticity tensor is anti-symmetry, i.e.

$$\varpi_{ij} = -\varpi_{ji}$$

There are only component, and sometimes these are written as

$$\nabla \times \vec{q} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ q_1 & q_2 & q_3 \end{vmatrix}$$

when  $q$  is the velocity, this tensor indicates the rate of rotation of velocity.

(Line integral of this tensor becomes circulation component.)

## 2. Surface Forces and Stresses

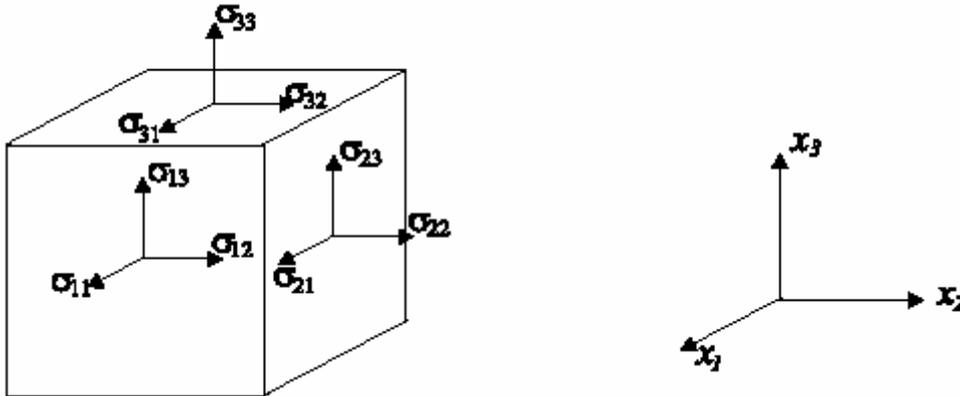
Let's consider a fluid volume (as shown in figure). Then we can define a stress tensor of surface force and stress,

$$\{\sigma_{ij}\} = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix}$$

where the first subscript indicates the index of surface and the second means the direction of acting force/stress. The diagonal terms are the normal stress components,

while the off-diagonal terms are the shear stress components. Based on the conservation of angular momentum, we can find that

$$\sigma_{ij} = \sigma_{ji}$$



### 3. Stress Tensor and Rate-of-Strain Tensor

When we assume a small volume of fluid (not in macroscopic scale), the stress that the volume experiences is written as

$$\sigma_{ij} = -p\delta_{ji} + \tau_{ij}$$

where  $p$  is the normal pressure and  $\tau_{ij}$  is the viscous stress which depends on gradients of velocity.

#### 3.1 Newtonian Fluid

Newtonian fluid is the fluid which satisfies with

$$\begin{aligned} \tau_{ij} &\propto \frac{\partial u_l}{\partial x_m} \\ &= \alpha_{ijlm} \frac{\partial u_l}{\partial x_m} \end{aligned}$$

where  $\alpha_{ijlm}$  is a coefficient tensor. In principle, there are  $3^4=81$   $\alpha_{ijlm}$  coefficients.

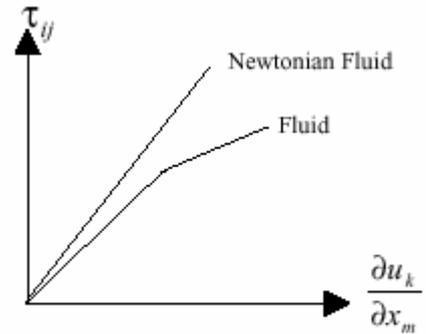
For an isotropic fluid (no change in direction), this reduces to

$$\tau_{ij} = \mu \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) + \lambda \frac{\partial u_m}{\partial x_m} \delta_{ij}$$

where

$\mu$  : dynamic viscosity

$\lambda$  : bulk elasticity, 'second' coefficient of viscosity



In particular case of *incompressible Newtonian fluid*,

$$\tau_{ij} = \mu \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$$

### 3.2 Non-Newtonian Fluid

Non-Newtonian fluids are the fluids that viscous stress shows nonlinear behavior w.r.t.

$$\frac{\partial u_l}{\partial x_m}$$

Many fluids, e.g. toothpaste, honey, heavy oil, flow like a fluid if the shear stress is above a critical value. In this case, we can use a popular non-Newtonian fluid modeling, the Bingham plastic model. This model is written as

$$\frac{\partial u}{\partial y} = \begin{cases} 0 & \tau \leq \tau_c \\ \frac{1}{\mu}(\tau - \tau_c) & \tau > \tau_c \end{cases}$$

where  $\tau_c$  is yield stress and  $\mu$  is the Bingham viscosity.

## 4. Kinematic Transport Theorem

### Theorem 1

Let  $G(\bar{x}, t)$  be the a certain fluid property per unit volume, then

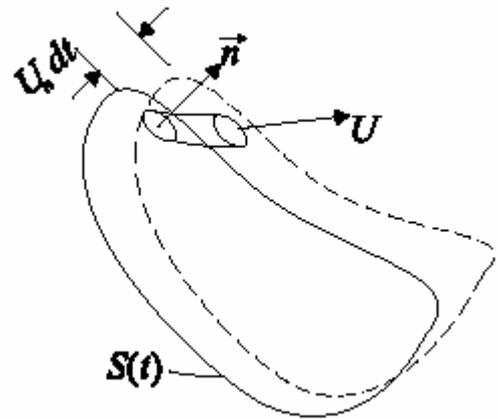
$$\frac{d}{dt} \iiint_V G dV = \iiint_V \frac{\partial}{\partial t} G dV + \iint_S G U_n dS$$

where  $U_n$  is the normal component of the velocity of a point on surface S.

### Theorem 2

If  $V(t)$  is a material volume containing the same moving fluid particles, then

$$\frac{D}{Dt} \iiint_V G dV = \iiint_V \frac{\partial}{\partial t} G dV + \iint_S G \bar{u} \cdot \bar{n} dS$$



## 5. Mass Conservation: Continuity Equation

Let  $G(\bar{x}, t)$  be the fluid density,  $\rho$ . Then, as long as we stay in a material volume in which there is no mass source or sink, we know that

$$\frac{D}{Dt} \iiint_V \rho dV = 0$$

by mass conservation. Using the Theorem 2, we can get

$$\iiint_V \frac{\partial \rho}{\partial t} dV + \iint_S \rho \bar{u} \cdot \bar{n} dS = \iiint_V \frac{\partial \rho}{\partial t} dV + \iiint_V \nabla \cdot (\rho \bar{u}) dV = 0 \quad (\text{by divergence theorem})$$

or

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \vec{u}) = 0 \quad \Rightarrow \text{Differential form of mass conservation}$$

Alternative form:

$$\frac{\partial \rho}{\partial t} + \vec{u} \cdot \nabla \rho + \rho \nabla \cdot \vec{u} = \frac{D\rho}{Dt} + u \cdot \nabla \rho = 0$$

In the case of an incompressible fluid, we can get the continuity equation:

$$\nabla \cdot \vec{u} = 0$$

## 6. Momentum Conservation: Euler & Navier-Stokes Equations

By Newton's second law, the force acting on a certain fluid volume should be in an equilibrium condition. This can be expressed as

$$\frac{d}{dt} (\text{momentum of fluid}) = \iint_S (\text{surface force}) dS + \iiint_V (\text{body force on fluid}) dV$$

$$(1) \quad \frac{d}{dt} (\text{momentum of fluid})$$

$$\frac{d}{dt} (\text{momentum of fluid in } i\text{-th direction})$$

$$\begin{aligned} &= \frac{D}{Dt} \iiint_V \rho u_i dV = \iiint_V \frac{\partial}{\partial t} (\rho u_i) dV + \iint_S (\rho u_i) \vec{u} \cdot \vec{n} dS \\ &= \iiint_V \left[ \frac{\partial}{\partial t} (\rho u_i) + \nabla \cdot (\rho u_i \vec{u}) \right] dV \\ &= \iiint_V \left[ \rho \frac{\partial u_i}{\partial t} + u_i \frac{\partial \rho}{\partial t} + u_i \nabla \cdot (\rho \vec{u}) + \rho \vec{u} \cdot \nabla \cdot (u_i) \right] dV \end{aligned}$$

From mass conservation, the second and third term inside above integral becomes zero. Hence,

$$\iiint_V \left[ \rho \frac{\partial u_i}{\partial t} + \rho \vec{u} \cdot \nabla \cdot (u_i) \right] dV = \iiint_V \rho \frac{Du_i}{Dt} dV$$

(2)  $\iint_S$  (surface force)  $dS$

$$\begin{aligned} \iint_S (\text{surface force in } i\text{-th direction}) dS &= \iint_S \sigma_{ij} n_j dS \\ &= \iiint_V \frac{\partial \sigma_{ij}}{\partial x_j} dV \quad (\text{by divergence theorem}) \end{aligned}$$

(3)  $\iiint_V$  (body force on fluid)  $dV$

$$\iiint_V (\text{body force on fluid in } i\text{-th direction}) dV = \iiint_V \rho f_i dV$$

where  $f_i$  is defined as a body force component.

From (1), (2), (3), we can get

$$\rho \frac{Du_i}{Dt} = \frac{\partial \sigma_{ij}}{\partial x_j} + \rho f_i \quad i=1,2,3$$

For incompressible Newtonian fluid, we can have the Navier-Stokes equation such that

$\frac{Du_i}{Dt} = \frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu \frac{\partial^2 u_i}{\partial x_j \partial x_j} + \frac{1}{\rho} f_i$	Tensor form
$\frac{D\vec{u}}{Dt} = \frac{\partial \vec{u}}{\partial t} + \vec{u} \cdot \nabla \vec{u} = -\frac{1}{\rho} \nabla p + \nu \nabla^2 \vec{u} + \frac{1}{\rho} \vec{f}$	Vector form

where

$$\nu = \frac{\mu}{\rho} : \text{kinematic viscosity [L}^2\text{/T]}$$

When there is no viscosity, we will get the Euler Equation,

$$\frac{Du_i}{Dt} = \frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \frac{1}{\rho} f_i \quad \text{Tensor form}$$

$$\frac{D\vec{u}}{Dt} = \frac{\partial \vec{u}}{\partial t} + \vec{u} \cdot \nabla \vec{u} = -\frac{1}{\rho} \nabla p + \frac{1}{\rho} \vec{f} \quad \text{Vector form}$$

- Unknowns:  $u, v, w, p \Rightarrow 4$  unknowns
- Equations: Continuity + Navier-Stokes equation 3  $(x,y,z) \Rightarrow 4$  equations
- Knowns: material parameter, body force

## 7. Boundary Conditions

### 7.1 Kinematic Boundary Condition

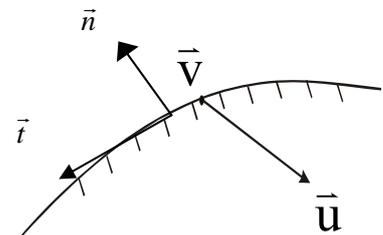
The kinematic boundary condition specifies the position, velocity, or their behaviors on fluid boundary.

- On rigid body

*No-flux condition*

$$\vec{u} \cdot \vec{n} = \vec{V} \cdot \vec{n}$$

where  $\vec{V}$  is the velocity of moving boundary



*No-slip condition* (viscous flow)

$$\vec{u} \cdot \vec{t} = \vec{V} \cdot \vec{t}$$

where  $\vec{t}$  is the tangential vector on fluid boundary

- On free surface

The water particles on free surface stay on free surface.

$$\frac{D\bar{x}}{Dt} = \frac{D\bar{x}_{f.s.}}{Dt}$$

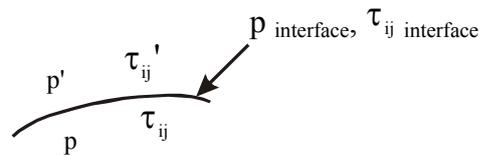
where  $\bar{x}_{f.s.}$  indicates the position of free surface.

## 7.2 Dynamic Boundary Condition

The dynamic boundary condition specifies the pressure, stress, or their behaviors on fluid boundary.

$$p = p' + P_{interface}$$

$$\tau_{ij} = \tau_{ij} + \tau_{ij,interface}$$



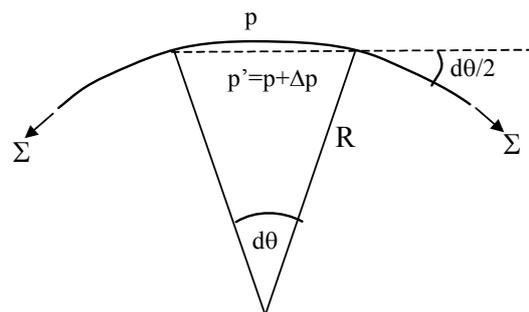
For instance, on a free surface boundary in the absence of surface tension, the dynamic boundary conditions become  $p = P_{air}$  and  $\tau_{ij} = 0$  where  $P_{air}$  is the pressure above free surface.

When surface tension is not ignorable, we have to consider the stress across boundary.

Define  $\Sigma$  is a tension force on surface. Then, for the 2-D case as shown in figure, the force equilibrium says

$$\underbrace{\cos \frac{d\theta}{2}}_{\approx 1} \cdot \Delta p \cdot R d\theta = 2 \underbrace{\Sigma \sin \frac{d\theta}{2}}_{\approx \frac{d\theta}{2}} \approx 2 \Sigma \frac{d\theta}{2}$$

$$\therefore \Delta p = \frac{\Sigma}{R}$$



In the case of 3-D case,

$$\Delta p = \Sigma \left( \frac{1}{R_x} + \frac{1}{R_y} \right).$$

If the boundary profile is  $z = \eta(x, y)$ ,

$$\frac{1}{R_x} + \frac{1}{R_y} = \frac{\frac{\partial^2 \eta}{\partial x^2} + \frac{\partial^2 \eta}{\partial y^2}}{\left[ 1 + \left( \frac{\partial \eta}{\partial x} \right)^2 + \left( \frac{\partial \eta}{\partial y} \right)^2 \right]}$$

# Chapter 2. Similarity

## 2.1 Why Similarity is important?

- To carry out model test
- To understand the physical parameters involved
- To check the sensitivity to each parameters

## 2.2 Three similarities

- (i) Geometric similarity  
-Shape
- (ii) Kinematic similarity  
-Velocity, flow pattern
- (iii) Dynamic similarity  
- Force, Pressure

↓

In experiment, we assume that if

(i) & (ii) are satisfied, (iii) is satisfied

⇒This is the fundamental assumption for model test.

## 2.3 Non-dimensional parameters

$$(i) \quad \frac{\vec{u}}{\sqrt{gL}} = Fr \quad : \text{Froude number} \sim \frac{F_{inertia}}{F_{gravity}}$$

$$(ii) \quad \frac{\vec{u}L}{\nu} = Re \quad : \text{Reynolds number} \sim \frac{F_{inertia}}{F_{viscous}}$$

$$(iii) \quad \frac{P}{\frac{1}{2}\rho u^2} = Eu \quad : \text{Euler number} \sim \frac{F_{pressure}}{F_{inertia}}$$

$$(iv) \quad \frac{L}{\vec{u}T} = S \quad : \text{Strouhal number} \sim \frac{\text{Eulerian inertia} \quad \frac{\partial \vec{u}}{\partial t}}{\text{convection inertia} \quad (\vec{v} \cdot \nabla)\vec{v}}$$

↓

## 4 key parameters

### Variation

- $\frac{P - P_v}{\frac{1}{2}\rho u^2} = \sigma$  : cavitation number (where  $P_v$  : vapor pressure)

- $\frac{uT}{L} = Kc$  : Keulegan-Carpenter Number

- When surface tension is involved,

$$\frac{u^3 L}{\Sigma / \rho} = We \quad : \text{Weber number} \sim \frac{\text{inertia force}}{\text{Surface tension force}}$$

### 2.4 Buckingham's $\pi$ theorem

- Total number of parameters involved in the physical problem : m
  - Total number of independent parameters : n
- $\Rightarrow$  Total number of non-dimensional parameters  
= m-n

### 2.5 For continuity eq. & Navier-Stokes eq.

- Parameters involved

- Length : L
- Time : T
- Velocity : M/L
- Pressure : M/LT<sup>2</sup>
- Density : M/L<sup>3</sup>
- Viscosity : M/LT
- Body force  $\Rightarrow$  gravity : L/T<sup>2</sup>

..... m = 7

- Independent Parameters : L, T, M : n = 3

Number of non-dimensional parameters = 4

# Chapter 3. Ideal Fluid flow

## 3.1 Ideal fluid

- ① inviscid ( $\nu = 0$ )
- ② incompressible ( $\frac{\partial \rho}{\partial t} = 0$ )

This is a good approximation when viscous effect  $\ll$  inertia effect

## 3.2 Governing equations

- Continuity equation

$$\nabla \cdot \vec{u} = 0$$

- momentum equation : Euler equation

$$\frac{\partial \vec{u}}{\partial t} + \vec{u} \cdot \nabla \vec{u} = -\frac{1}{\rho} \nabla p - \vec{f}^1 \quad (\text{where } f = \text{body force})$$

- Boundary Condition

- (i) Kinematic boundary condition

$$\vec{u} \cdot \vec{n} = \vec{V} \cdot \vec{n} \quad : \text{No-flux condition}$$

Where  $\vec{V}$  : given on boundary

- (ii) Dynamic boundary condition

p = specified

※ shear stress  $\tau = 0$  since  $\nu = 0$

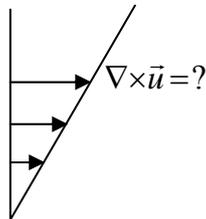
## 3.3 Irrotational flow

- Vorticity :  $\nabla \times \vec{u} = \vec{\omega}$

- Irrotational flow

$$\nabla \times \vec{u} = 0$$

(frictionless flow)



$$\vec{\omega} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ u & v & w \end{vmatrix}$$

## 3.4 Velocity Potential

<sup>1</sup> First order P.D.E. (and N-S eq. is 2<sup>nd</sup> order P.D.E.)

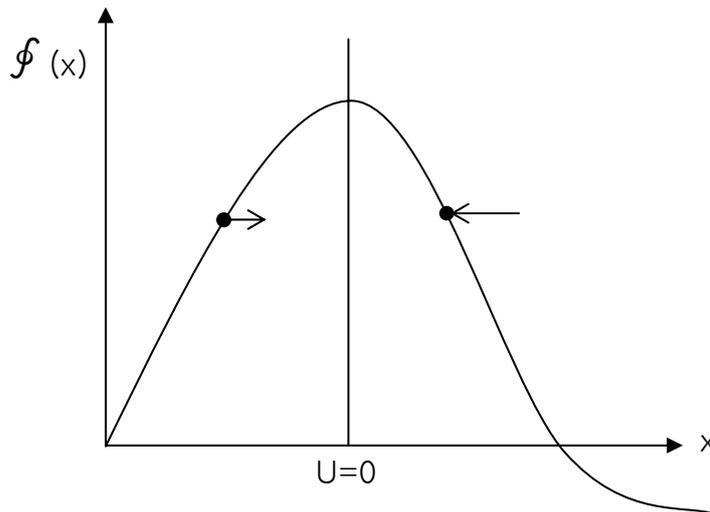
If (i) ideal fluid, in  $\nu = 0, \frac{\partial \rho}{\partial t} = \text{const.}$

(ii) irrotational flow,

We can define the velocity potential  $\Phi$

$$\vec{u} = \nabla \Phi$$

- $\Phi$  is a scalar quantity
- The velocity vector  $\vec{v}$  always points towards higher value of the velocity potential



- Continuity equation

$$\nabla \cdot \vec{u} = \nabla \cdot (\nabla \Phi) = \nabla^2 \Phi = 0 \Rightarrow \text{Laplace Equation}$$

### 3.5 Laplace equation

$$\nabla^2 \Phi = 0$$

Indicates the conservation of

- (1) mass,
- (2) momentum,
- (3) energy

Unknown :  $\Phi$

Condition :  $\nabla^2\Phi = 0$

$\Rightarrow$  We can solve the problem

Pressure,  $p$ , is not involved in Laplace equation

### 3.6 Bernoulli's equation

- Euler equation

$$\frac{\partial \vec{u}}{\partial t} + \vec{u} \cdot \nabla \vec{u} = -\frac{1}{\rho} \nabla p - g \vec{k}$$

Substituting  $\vec{u} = \nabla\Phi$ , we can get

$$\frac{\partial \Phi}{\partial t} + \frac{1}{2} |\nabla\Phi|^2 + \frac{p}{\rho} + gz = f(t) = \text{const.} \Rightarrow \text{Bernoulli's equation}$$

- Steady flow

$$\begin{aligned} p &= -\rho \left( \frac{1}{2} \vec{v}^2 + g\eta \right) \\ &= -\rho \left( \frac{1}{2} |\nabla\Phi|^2 + g\eta \right) \end{aligned}$$

- Hydrostatics ( $\vec{u} = 0, \frac{\partial}{\partial t} = 0$ )

### 3.7 stream function

$$\vec{u} = \nabla \times \Psi$$

### 3.8 Simple Potential Flows

- (1) uniform stream

$$\Phi = \vec{u} \cdot \vec{x} + \text{const}$$

- (2) source

A. 2D :  $\Phi \sim \ln r \Rightarrow \Phi = \frac{m}{2\pi} \ln r$

B. 3D :  $\Phi \sim \frac{4}{r} \Rightarrow \Phi = -\frac{m}{4\pi r}$

(3) Vortex

$$\Phi = -\frac{\Gamma}{2\pi}\theta$$

(4) Dipole(doublet)

A. 2D :  $\Phi = \frac{m \cos\theta}{2\pi r}$

B. 3D :  $\Phi = \frac{m \cos\theta}{4\pi r^2}$

### 3.9 Superposition

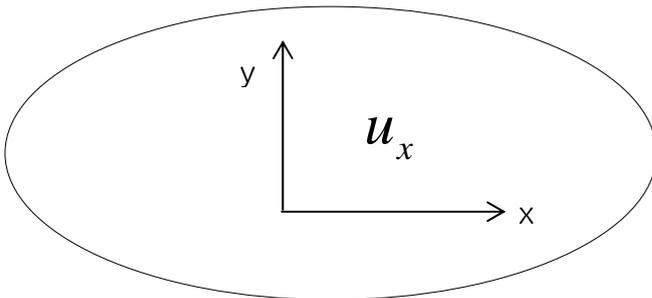
$$\Phi = \sum_i \Phi_i \quad \text{if } \nabla^2 \Phi_i = 0$$

### 3.10 Added Mass

•Artificial mass

•Total Momentum due to the body in motion

$$L_x = mU_x + \iiint_{V_c} \rho u_x dV$$



$$L_x \equiv (m + m_{ax})U_x$$

$m_{ax}$  : added mass

$m + m_{ax}$  : virtual (or total) mass

$$m_a = \iiint_V \rho \frac{u_x}{U_x} dV \quad \text{where } u_x = \frac{\partial \phi}{\partial x}$$

$$= \iint_S \rho \frac{1}{U} \frac{\partial \phi}{\partial x} n_x dS$$

$$= \iint_S \rho \frac{\partial \Phi}{\partial x} n_x dS$$

Where  $\Phi$  is velocity potential due to "UNIT" velocity

•In a general form

$$m_{aij} = \iint_S \rho \frac{\partial \Phi_i}{\partial x} n_j dS$$

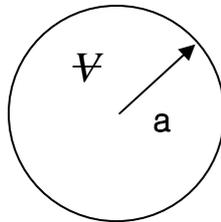
Where  $\Phi_i$  : velocity potential due to "UNIT" velocity in i-direction.

$n_j$  : normal vector component of j-direction

$m_{aij}$  : added mass for j-direction due to the body motion to i-th direction

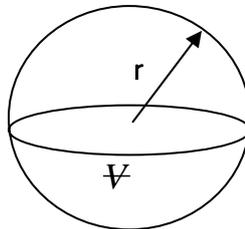
•Some examples

2D cylinder,  
radius : a  
Volume :  $V$



$$\Rightarrow m_a = \rho V$$

3D sphere  
Radius : r  
Volume :  $V$



$$\Rightarrow m_a = \frac{1}{2} \rho V$$

### 3.11 Other important concepts in Fluid Dynamics

(viscous Flow)

- Laminar Flow
- Turbulent Flow (and From Laminar flow/Turbulent flow, Boundary Layer)
- Boundary Layer
- Separation
- Instability, Transition

### 3.12 Summary

Viscous Fluid	Ideal Fluid	
		Potential Flow
Navier-Stokes Equation	Euler's Equation	$\nabla^2\Phi = 0$
Unknown : $u, v, \omega, \rho$	Unknown : $u, v, \omega, \rho$	$\Phi$
Number of equations : 4	Number of equations : 4	Number of equations : 1
Boundary Condition No-slip / No-flux	No-flux	No-flux

# Chapter 4. Linear Waves: Introduction

## 4.1 Primary Mechanisms involved

- Source of wave generation

- Wind : primary source
- Earthquake : especially for tsunami
- Moving bodies : ship waves
- Meteorite

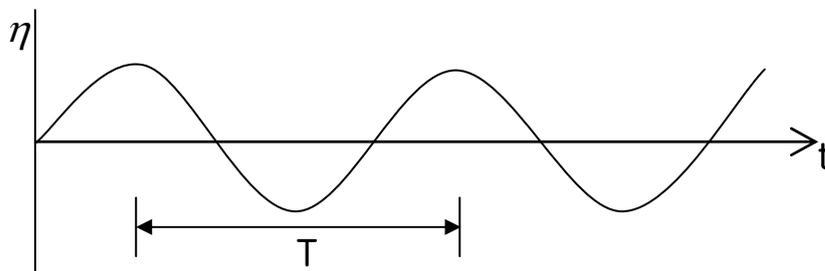
- Source of restoring to create oscillatory motion : Gravity

⇒(Ocean) Waves are gravity waves (mostly)

Exception : capillary wave

## 4.2 Two characteristics of waves

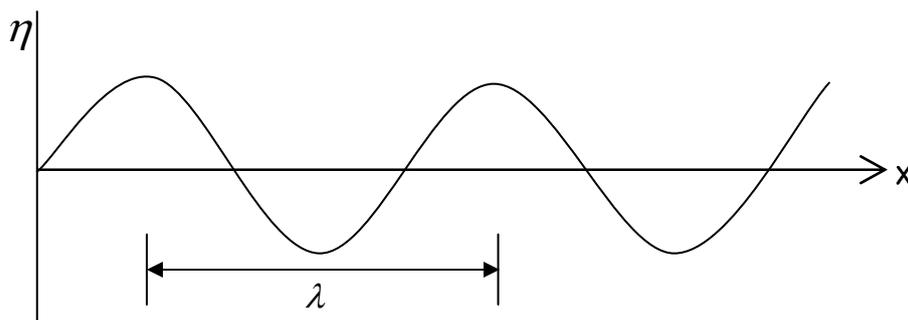
### (1) Time characteristics



$$\omega = \frac{2\pi}{T} : \text{wave frequency}$$

⇒Change to frequency-domain quantity

### (2) Space characteristics



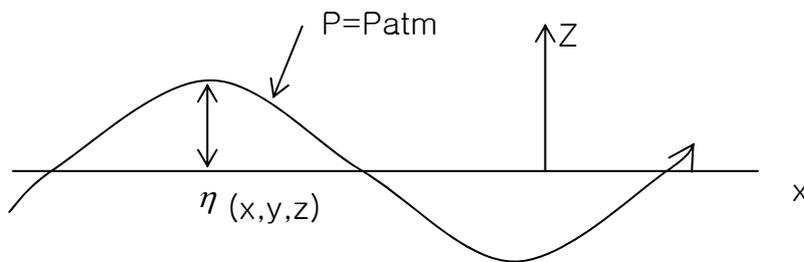
•  $k = \frac{2\pi}{\lambda}$  : wave number

• wave slope :  $\frac{A}{\lambda}$  or  $kA$

• depth effect :  $\frac{\lambda}{h}, \frac{A}{h}$

(1) ↔ (2) : Dispersion relation

### 4.3 Free Surface Boundary Condition



(1) Kinematic FSBC

If a geometric surface is written to

$$F(x, y, z, t) = 0, \text{ (e.g. } x^2 + y^2 + z^2 - a^2 = 0 \text{)}$$

On the moving surface

$$\frac{dF}{dt} = 0 \quad \text{all the time}$$

$$\Rightarrow \frac{\partial F}{\partial t} + \vec{u} \cdot \nabla \vec{F} = 0 \quad \text{(where } \vec{u} \text{ is moving speed)}$$

On free surface

$$F = z - \eta = 0$$

$$\begin{aligned} \Rightarrow \frac{DF}{Dt} &= \frac{d}{dt}(z - \eta) = 0 \\ &= \frac{\partial}{\partial t}(z - \eta) + \vec{u} \cdot \nabla(z - \eta) = 0 \end{aligned}$$

$$\frac{\partial z}{\partial t} = 0, \frac{\partial z}{\partial x} = \frac{\partial z}{\partial y} = 0, \frac{\partial \eta}{\partial z} = 0 (\because \eta = f(x, y, t), \frac{\partial z}{\partial z} = 1)$$

$$\text{Thus, } \frac{\partial \eta}{\partial t} + \frac{\partial \phi}{\partial x} \frac{\partial \eta}{\partial x} + \frac{\partial \phi}{\partial y} \frac{\partial \eta}{\partial y} - \frac{\partial \phi}{\partial z} = 0$$

## (2) Dynamic FSBC

Bernoulli's Equation

$$\frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + \frac{P_{atm}}{\rho} + g\eta = C(t)$$

$$\frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + g\eta = C(t) - \frac{P_{atm}}{\rho}$$

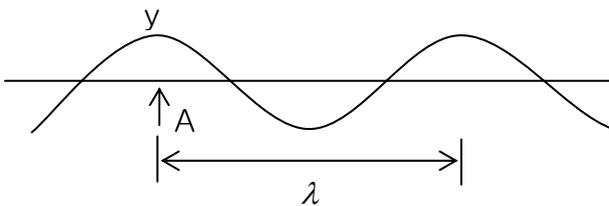
When  $\phi = 0 \Rightarrow \eta = 0$ , then

$$C(t) - \frac{P_{atm}}{\rho} = 0$$

$$\frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + g\eta = 0$$

## 4.4 Small Amplitude Waves

• Assumption :  $kA \ll 1$  : small slope



$$\frac{A}{\lambda} \ll 1$$

$\Rightarrow$  Small disturbance

• Linearization

Taylor Series Expansion

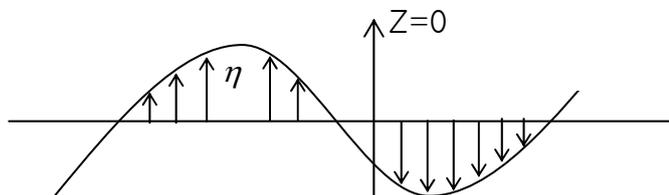
$$f(x) = f(x_0) + (x-x_0) \frac{\partial}{\partial x} f(x_0) + \frac{(x-x_0)^2}{2} \frac{\partial^2}{\partial x^2} f(x_0) + \dots$$

(1) Kinematic F.S.B.C.

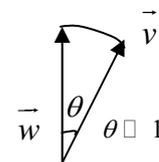
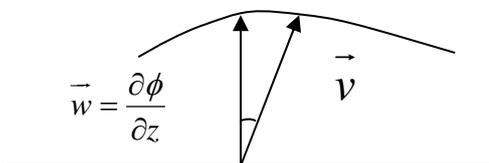
$$\begin{aligned} & \left( \frac{\partial \eta}{\partial t} + \frac{\partial \phi}{\partial x} \frac{\partial \eta}{\partial x} + \frac{\partial \phi}{\partial y} \frac{\partial \eta}{\partial y} - \frac{\partial \phi}{\partial z} \right)_{z=0} \\ & + \eta \bullet \frac{\partial}{\partial z} \left( \frac{\partial \eta}{\partial t} + \frac{\partial \phi}{\partial x} \frac{\partial \eta}{\partial x} + \frac{\partial \phi}{\partial y} \frac{\partial \eta}{\partial y} - \frac{\partial \phi}{\partial z} \right)_{z=0} \\ & + \frac{\eta^2}{2} \bullet \frac{\partial^2}{\partial z^2} \left( \frac{\partial \eta}{\partial t} + \frac{\partial \phi}{\partial x} \frac{\partial \eta}{\partial x} + \frac{\partial \phi}{\partial y} \frac{\partial \eta}{\partial y} - \frac{\partial \phi}{\partial z} \right)_{z=0} = 0 \end{aligned}$$

$$O(\varepsilon): \frac{\partial \eta}{\partial t} - \frac{\partial \phi}{\partial z} = 0 \quad \text{on } z=0$$

Physical interpretation



The velocity of wave elevation is equal to the vertical velocity at  $z=0$



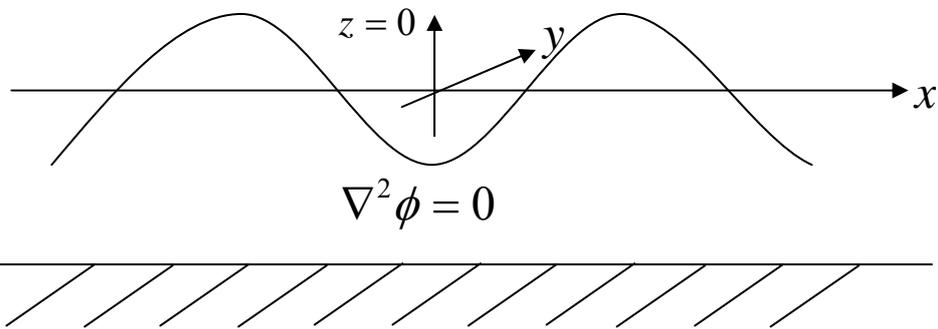
Small slope

(2) Dynamic F.S.B.C.

$$\left( \frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + g \eta \right)_{z=0} + \eta \frac{\partial}{\partial z} \left( \frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + g \eta \right)_{z=0} + \eta^2 \dots = 0$$

$$O(\varepsilon): \frac{\partial \phi}{\partial t} + g \eta = 0 \quad \text{at } z=0$$

•Boundary Value Problem



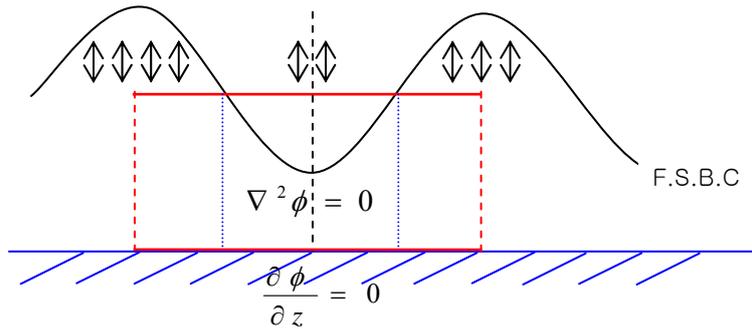
$$\frac{\partial \phi}{\partial t} + g \eta = 0 \quad \text{or} \quad \eta = -\frac{1}{g} \frac{\partial \phi}{\partial t}$$

$$\frac{\partial \eta}{\partial t} - \frac{\partial \phi}{\partial z} = 0 \quad \text{or} \quad \frac{\partial \eta}{\partial t} = \frac{\partial \phi}{\partial z}$$

# Chapter 5. Linear Waves

## 5.1 2-D standing Wave

- Boundary Value Problem



- Periodicity

-Time :  $\eta(x,t) = \eta(x,t+T), \phi(x,t) = \phi(x,t+T)$

-Space :  $\eta(x,t) = \eta(x+\lambda,t), \phi(x,t) = \phi(x+\lambda,t)$

- Separation of Variables

$$\phi = X(x)Z(z)T(t)$$

- Periodicity in Time

$$T(t) = \sin \omega t \quad \text{where} \quad \omega = \frac{2\pi}{T}$$

(1) Laplace Eq.

$$\frac{\partial X^2}{\partial x^2} ZT + \frac{\partial Z^2}{\partial z^2} XT = 0 \quad \text{or} \quad \frac{\partial X^2}{\partial x^2} \frac{\partial Z^2}{Z} = 0$$

$$\text{Put} \quad \frac{\partial Z^2}{\partial z^2} = k^2, \quad \frac{\partial X^2}{\partial x^2} = -k^2 \quad \Rightarrow \quad \begin{aligned} Z_{zz} - k^2 Z &= 0 \\ X_{xx} + k^2 X &= 0 \end{aligned}$$

Three possible cases for k is

- real

- 0

0 imaginary

(Reference : Dean & Dalrymple. pp.55)

※ for ODE,  $F_{xx} + c^2 F = 0$

If c : real, F is oscillatory

imaginary : F is exponentially decrease or increase

• Periodicity in space  $\Rightarrow$  k is real THEN,

$$\begin{aligned} Z_{zz} - k^2 Z = 0 & \Rightarrow X = A \cos kx + B \sin kx \\ X_{xx} + k^2 X = 0 & \Rightarrow Z = C e^{kz} + D e^{-kz} \end{aligned}$$

We will consider  $X = A \cos kx$  (we will return the other case)

$$\phi = A \cos kx (C e^{kz} + D e^{-kz}) \sin \omega t$$

(2) Bottom Boundary Condition

$$\frac{\partial \phi}{\partial z} = 0 \quad \text{on } z = -h \quad (\text{Free Surface})$$

$$k \{ C e^{kz} - D e^{-kz} \} = 0 \quad \text{thus, } C e^{kz} - D e^{-kz} = 0$$

$$\text{Or } C = D e^{2kh}$$

$$\begin{aligned} \phi &= A \cos kx D (e^{2kh+kz} + e^{-kz}) \sin \omega t \\ &= A \cos kx D e^{kh} (e^{k(z+h)} + e^{-k(z+h)}) \sin \omega t \\ &= 2AD \cos kx e^{kh} \cosh k(z+h) \sin \omega t \end{aligned}$$

(3) Dynamic F.S.B.C.

$$\eta = -\frac{1}{g} \frac{\partial \phi}{\partial t} \quad \text{when } z=0$$

$$-\frac{1}{g} \frac{\partial \phi}{\partial t} = -\frac{\omega}{g} \left\{ 2AD \cos kx e^{kh} \cosh k(z+h) \right\}_{z=0} \cos \omega t$$

$$\eta = -\frac{\omega}{g} \left\{ 2AD e^{kh} \cosh k(z+h) \right\} \cos kx \cos \omega t$$

$$-\frac{\omega}{g} \left\{ 2AD e^{kh} \cosh k(z+h) \right\} = \eta_0 \quad \text{wave amplitude}$$

$$-2AD e^{kh} = \frac{\eta_0}{\cosh kh} \frac{g}{\omega}$$

$$\phi = -\frac{g \eta_0}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \cos kx \sin \omega t$$

Velocity potential of 2-D standing waves.

(4) Kinematic F.S.B.C.

$$\frac{\partial \eta}{\partial t} = \frac{\partial \phi}{\partial z} \quad \text{on } z=0$$

$$\frac{\partial \eta}{\partial t} = -\omega \eta_0 \cos kx \sin \omega t = \frac{\partial \phi}{\partial z} = -\frac{g \eta_0}{\omega} k \frac{\sinh kh}{\cosh kh} \cos kx \sin \omega t$$

$$\omega^2 = gk \tanh kh \quad : \text{Dispersion Relation}$$

### Summary

$$\phi = -\frac{g \eta_0}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \cos kx \sin \omega t$$

$$\eta = A \cos kx \cos \omega t$$

$$\omega^2 = gk \tanh kh$$

$$\frac{\partial \phi}{\partial x} = u = \frac{gAk}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \sin kx \sin \omega t$$

$$\frac{\partial \phi}{\partial z} = w = -\frac{gAk}{\omega} \frac{\sinh k(z+h)}{\cosh kh} \cos kx \sin \omega t$$

$$\frac{P}{\rho} = -\left( \frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi \right)$$

$$\text{Linear pressure } \frac{P}{\rho} = -\frac{\partial \phi}{\partial t} = -\frac{gA_0}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \cos kx \cos \omega t$$

## 5.2 2D Progressive Waves

### 5.2.1 Velocity Potential

• Now consider another standing waves s.t.

$$\phi = -\frac{g\eta_0}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \sin kx \cos \omega t$$

$$\eta = A \sin kx \sin \omega t$$

• Add two standing waves

$$\begin{aligned} \phi &= \frac{gA}{\omega} \frac{\cosh k(z+h)}{\cosh kh} (\sin kx \cos \omega t - \cos kx \sin \omega t) \\ &= \frac{gA}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \sin(kx - \omega t) \end{aligned}$$

$$\eta = A \{ \cos kx \cos \omega t - \sin kx \sin \omega t \}$$

$$= A \cos(kx - \omega t)$$

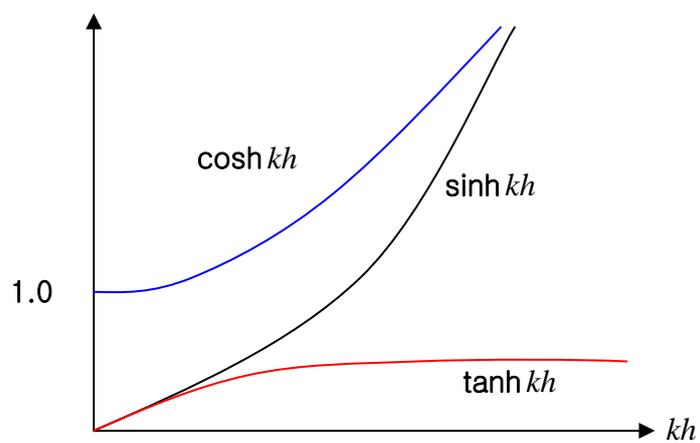
Becomes a progressive wave

$$\phi = \frac{gA}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \sin(kx - \omega t)$$

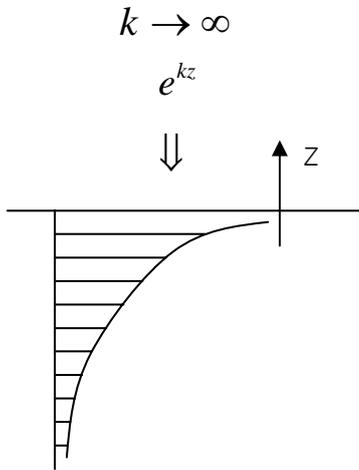
$$\eta = A \cos(kx - \omega t)$$

Put  $K(z) = \frac{\cosh k(z+h)}{\cosh kh}$

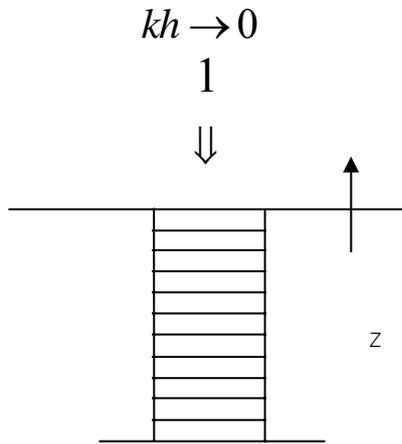
	$k \rightarrow \infty$	$k \rightarrow 0$
$\cosh kh$	$\frac{e^{kh}}{2}$	1
$\sinh kh$	$\frac{e^{kh}}{2}$	$kh$
$\tanh kh$	1	$kh$
	Deep water	shallow water



$K(Z)$



Exponentially decay



constant

Same trend in  $\phi$  since

$$\phi = \frac{gA}{\omega} K(z) \sin(kx - \omega t)$$

### 5.2.2 velocity component

$$u = \frac{\partial \phi}{\partial x} = \frac{gAk}{\omega} \frac{\cosh k(z+h)}{\cosh kh} \cos(kx - \omega t)$$

$$w = \frac{\partial \phi}{\partial z} = \frac{gAk}{\omega} \frac{\sinh k(z+h)}{\cosh kh} \sin(kx - \omega t)$$

using dispersion relation  $\omega^2 = gk \tanh kh$

$$u = A\omega \frac{\cosh k(z+h)}{\cosh kh} \cos(kx - \omega t)$$

$$w = A\omega \frac{\sinh k(z+h)}{\cosh kh} \sin(kx - \omega t)$$

• On  $z=0$

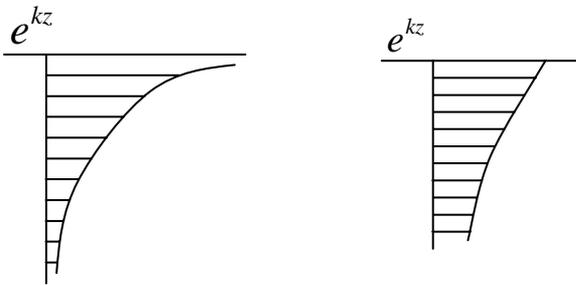
$$u = u_0 = A\omega \coth kh \cos(kx - \omega t)$$

$$w = w_0 = A\omega \sin(kx - \omega t) \quad \left( = \frac{\partial \eta}{\partial t} \right)$$

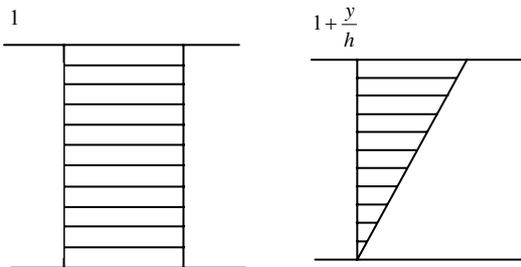
$$\frac{u}{u_0} = \frac{\cosh k(y+h)}{\cosh kh}$$

$$\frac{w}{w_0} = \frac{\sinh k(y+h)}{\cosh kh}$$

$k \rightarrow \infty$  의 경우, exponentially decay



$k \rightarrow 0$  의 경우, constant



### 5.2.3 Pressure : Bernoulli's equation

$$P = -\rho \frac{\partial \phi}{\partial t} - \rho g z$$

$$-\rho \frac{\partial \phi}{\partial t} : \text{dynamic pressure } P_d$$

$$P_d = \rho g A \frac{\cosh k(z+h)}{\cosh kh} \cos(kx - \omega t)$$

In Deep water...  $k \rightarrow \infty$

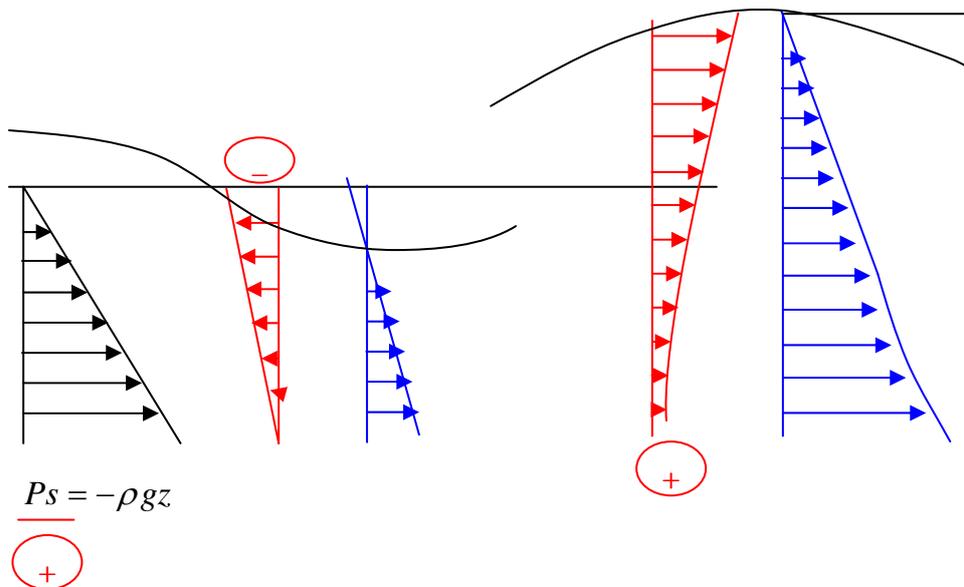
$$P_d = \rho g A e^{kz} \cos(kx - \omega t) = \rho g e^{kz} \eta$$

$$P_{total} \approx \rho g [\eta e^{kz} - z]$$

Shallow water...  $k \rightarrow 0$

$$P_d = \rho g A \cos(kx - \omega t) = \rho g \eta$$

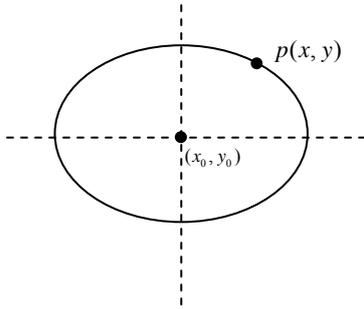
$$P_{total} \approx \rho g [\eta - z]$$



#### 5.2.4 Particle Orbit (Lagrangian)

$$\bar{x} = \int_0^t u dt$$

$$\text{e.g. } u = \frac{dx}{dt} = u(x_0, t) + (x - x_0) \frac{\partial u}{\partial x}(x_0, t) + \frac{(x - x_0)^2}{2} \frac{\partial^2 u}{\partial x^2}(x_0, t) + \dots$$



In linear theory,  $u \approx u(x_0, t)$

$$\vec{x} = \int_0^t u(x_0, t) dt$$

$$\bullet x_p = -A \frac{\cosh k(z_0 + h)}{\sinh kh} \sin(kx_0 - \omega t)$$

$$\bullet z_p = A \frac{\sinh k(z_0 + h)}{\sinh kh} \cos(kx_0 - \omega t)$$

$x_0, z_0$  is mean position of particle

$$\bullet \frac{(x_p - x_0)^2}{a^2} + \frac{(z_p - z_0)^2}{b^2} = 1$$

Where

$$a = A \frac{\cosh k(z_0 + h)}{\sinh kh}, b = A \frac{\sinh k(z_0 + h)}{\sinh kh}$$

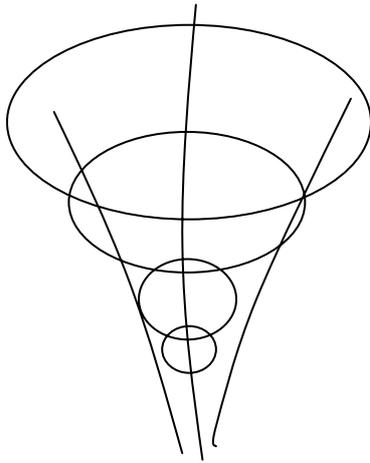
• In deep water...

$$\frac{\cosh k(z_0 + h)}{\sinh k(z_0 + h)} \Rightarrow \frac{e^{k(z_0+h)}}{2} \quad \text{as } kh \rightarrow \infty$$

This means.....

$$a \approx b$$

Therefore, the orbit becomes circle, decaying exponentially

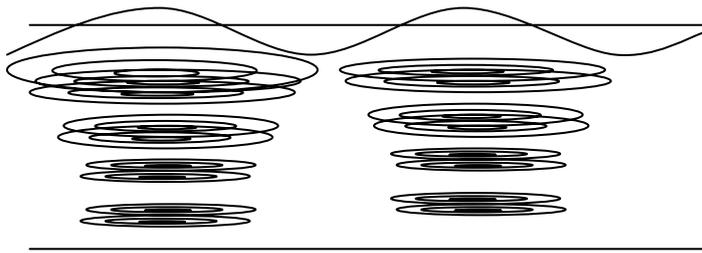


decaying  $e^{kz}$

•In shallow water...

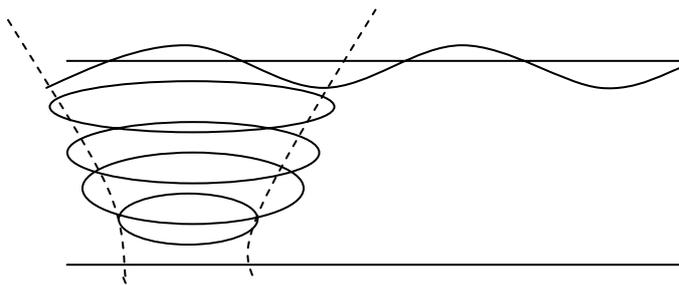
$$\cosh k(z_0 + h) \rightarrow 1$$

$$\sinh k(z_0 + h) \rightarrow 0$$



Almost flat...

•In finite depth.... Elliptic orbit



Elliptic orbit

### 5.2.5. Dispersion relation

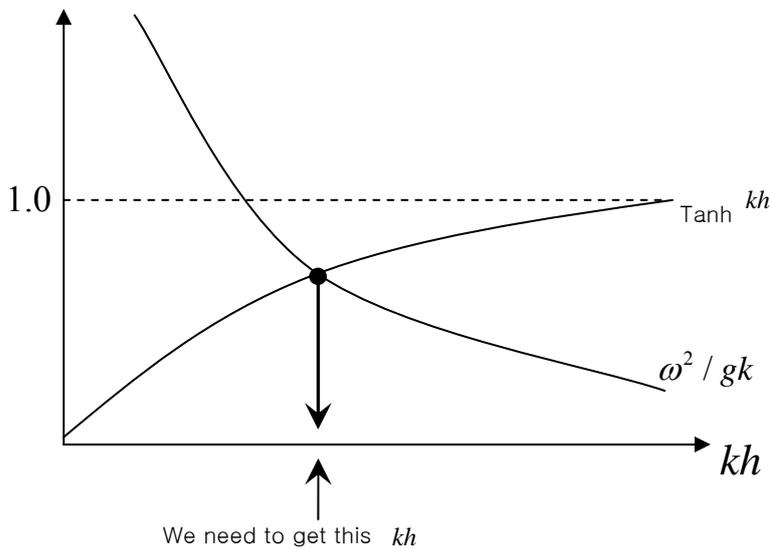
•  $\omega^2 = gk \tanh kh$

$$\omega^2 = gk \quad \text{in deep water}$$

$$\omega^2 = gk^2 h \quad \text{in shallow water}$$

- $\omega \uparrow \Rightarrow k \uparrow$   
 $T \uparrow \Rightarrow \lambda \uparrow$   
 $\Rightarrow$  Longer waves are faster...
- When  $k$  is known, straight forward to compute  $\omega$
- When  $\omega$  is known, maybe complicated to get  $k$

In general...



• Approximation of  $k$

(i)  $kh > 3 \Rightarrow \lambda < 2h$  : Deep water

$$\omega^2 = gh \Rightarrow k = \frac{\omega^2}{g}$$

(ii)  $kh \ll 1 \Rightarrow$  typically,  $\lambda > 20h$

$$\omega^2 \cong gk^2 h \Rightarrow k \cong \frac{\omega}{\sqrt{gh}}$$

(iii) Otherwise, Put  $C = \frac{\omega^2 h}{g}$

A. If  $c > 2$ ,  $kh \approx C(1 + 2e^{-2c} - 12e^{-c} + \dots)$

B. If  $c < 2$ ,  $kh \approx \sqrt{C}(1 + 0.169C + 0.031C^2 + \dots)$

### 5.2.5. Wave speed : phase velocity

- $V_P = \frac{\lambda}{T} = \frac{\omega}{k}$

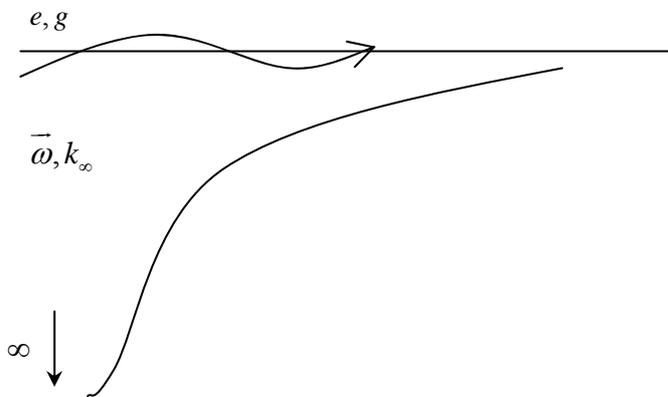
Using dispersion relation  $\omega^2 = gk \tanh kh$

$$V_P = \frac{\omega}{k} = \sqrt{\frac{g}{k} \tanh kh}$$

- $V_P = \sqrt{\frac{\omega}{k}}$  in deep water

$\sqrt{gh}$  in shallow water <- not a function of  $k$ . i.e.  $\omega$

- When  $\omega$  is constant....



$$\omega^2 = gk_\infty = gk \tanh kh \quad \text{or}$$

$$\frac{k_\infty}{k} = \tanh kh$$

Notice that

$$\frac{k_\infty}{k} < 1$$

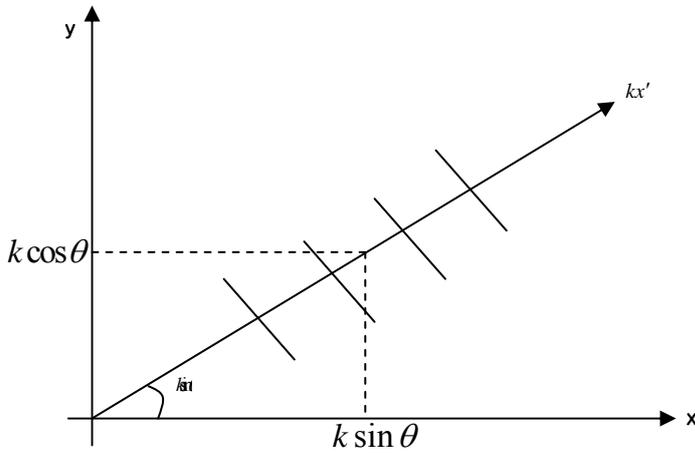
$$\Rightarrow \frac{V_P}{V_{P,\infty}} = \frac{\omega/k}{\omega/k_\infty} = \frac{k_\infty}{k} \left( = \frac{\lambda}{\lambda_\infty} \right) = \tanh kh$$

- Wave speed in deep water is faster than that in finite depth

- Wavelength in deep water > wave length in finite depth

### 5.3 3-D Plane waves

### 5.3.1. 3-D Plane Progressive Waves (PPW)



$$\phi = \frac{gA}{\omega} K(z) \sin(kx' - \omega t)$$

$$= \frac{gA}{\omega} K(z) \sin(kx \cos \theta + ky \sin \theta - \omega t)$$

$$k_x = k \cos \theta$$

$$k_y = k \sin \theta$$

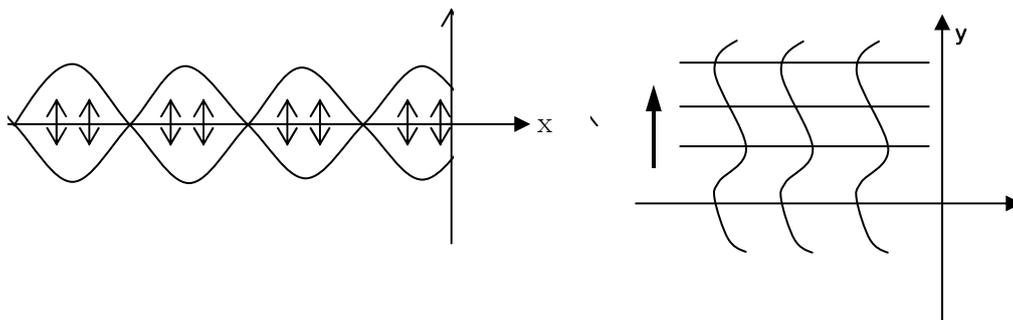
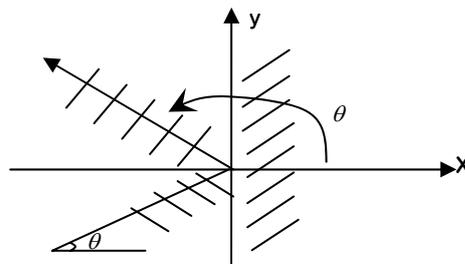
### 5.3.2 3-D Standing waves (Oblique Standing Waves)

$$\eta_1 = A \cos(kx \cos \theta + ky \sin \theta - \omega t)$$

$$\eta_2 = A \cos(kx \cos(\pi - \theta) + ky \sin(\pi - \theta) - \omega t)$$

$$\eta_1 + \eta_2 = 2A \cos(kx \cos \theta) \times \cos(ky \sin \theta - \omega t)$$

Standing  $\times$  Progressive



### 5.3.3 General Form of Superposition...

$$2D : \eta = \int_{-\infty}^{\infty} A(\omega) \cos(kx - \omega t) d\omega$$

$$3D : \eta = \int_0^{2\pi} \int_{-\infty}^{\infty} A(\omega) \cos(kx \cos \theta + ky \sin \theta - \omega t) d\omega$$

In discrete forms...

$$\eta = \sum A_i \cos(k_i x - \omega_i t)$$

$$\eta = \sum_i \sum_j A_{ij} \cos(k_j x \cos \theta_i + k_j y \sin \theta_i - \omega_j t)$$

### 5.4 Wave energy & Group Velocity

#### 5.4.1 Sectional Wave Energy

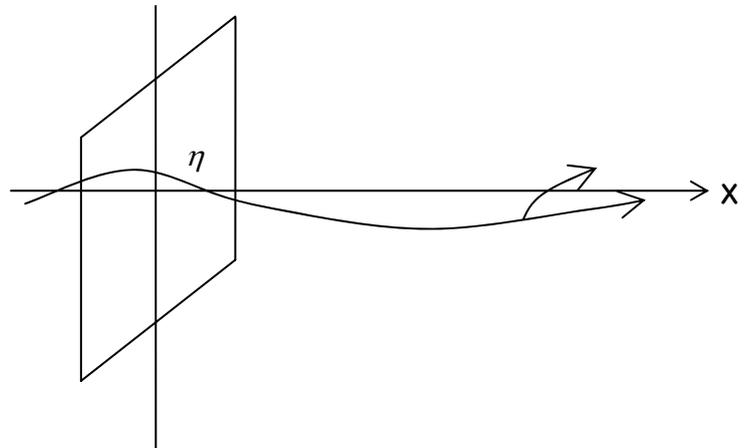
•Kinetic energy

$$\text{Kinetic Energy} = \int_{-h}^{\eta} \frac{1}{2} |\nabla \phi|^2 dz$$

$$= \int_{-h}^0 \frac{1}{2} |\nabla \phi|^2 dz + \int_0^{\eta} \frac{1}{2} |\nabla \phi|^2 dz$$

$$O(\varepsilon^2) \quad O(\varepsilon^3)$$

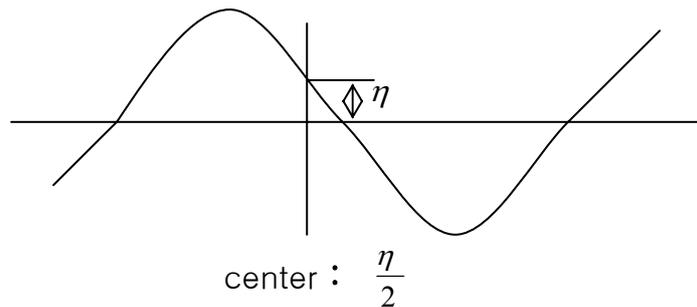
$$\cong \frac{1}{4} \rho g A^2$$



•Potential Energy

$$\text{P.E.} = (\rho g \eta) \left( \frac{\eta}{2} \right)$$

$$= \frac{1}{2} \rho g \eta^2$$



### 5.4.2 Mean Energy Density

$$\bar{E} \equiv \frac{1}{\lambda T} \int_0^\lambda \int_0^T (K.E. + P.E.) dt dx = \frac{1}{2} \rho g A^2 \rightarrow \text{for both deep \& finite depth}$$

Thus, Wave energy  $\propto A^2$

### 5.4.3 Energy flux across a vertical plane

Rate of work done by wave flow passing a vertical plane  
= Energy flux across the plane

$$\bar{P} = F \cdot v$$

For our case...

$$\bar{P} = \int_{-h}^{\eta} P \cdot u_n dz$$

Where P : pressure

$u_n$  : Normal velocity (= u)

$$\begin{aligned} \bar{P} &= \int_{-h}^{\eta} \left( -\rho \frac{\partial \phi}{\partial t} \right) \left( \frac{\partial \phi}{\partial x} \right) dz \\ &\cong \int_{-h}^0 \left( -\rho \frac{\partial \phi}{\partial t} \right) \left( \frac{\partial \phi}{\partial x} \right) dz && \text{for linear problem} \\ &= \frac{1}{2} \rho g A^2 \cdot \left( \frac{1}{2} + \frac{kh}{\sinh 2kh} \right) \frac{\omega}{k} \end{aligned}$$

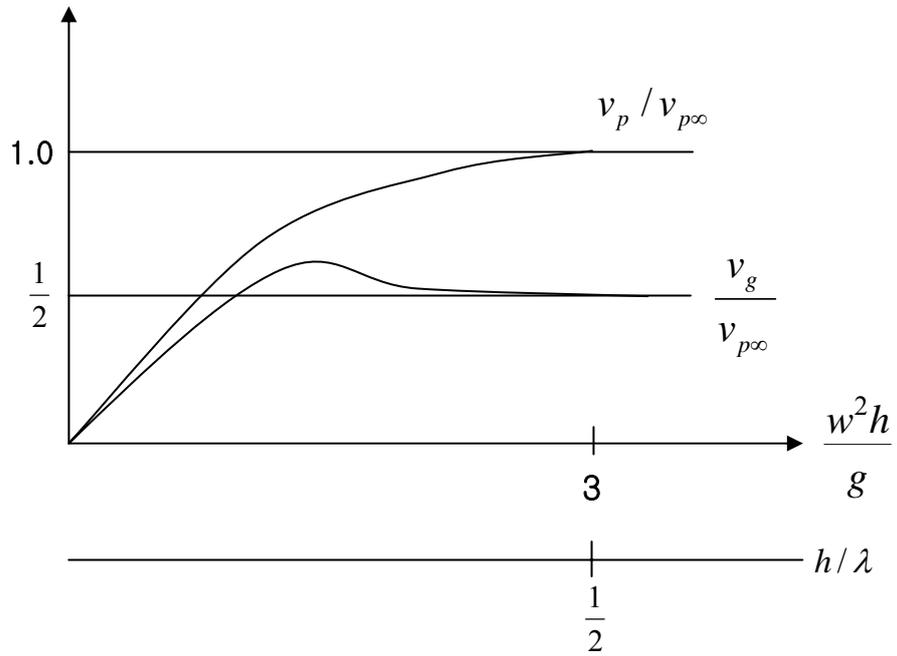
### 5.4.4 Group velocity

$$\bar{P} = \bar{E} V_g$$

Where  $V_g = \left( \frac{1}{2} + \frac{kh}{\sinh 2kh} \right) V_p$  :  $V_p$  is phase velocity

$$kh \rightarrow \infty \quad kh \rightarrow 0$$

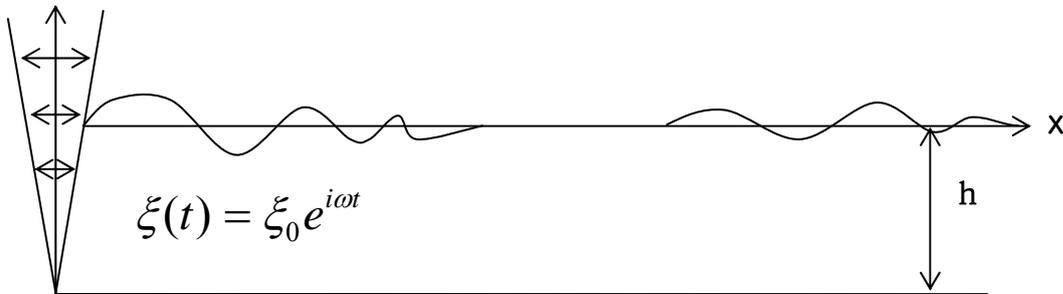
$$V_g = \frac{1}{2}V_p \quad V_g \approx V_p$$



•The other way go derive  $V_g$

$$\frac{d\omega}{dk} = \frac{\omega}{k} \left( \frac{1}{2} + \frac{kh}{\tanh kh} \right)$$

# Chapter 6. Wave-Maker problem



## 6.1 Boundary Value Problem

At far,

$$\phi \rightarrow \text{Re}\{\varphi e^{i\omega t}\} : \text{Free wave}$$

2D or 3D plane waves

At near,

$$\phi = \text{Re}\{\varphi e^{i\omega t}\} + \text{Re}\{\psi e^{i\omega t}\}$$

- ① Laplace equation

$$\nabla^2 \phi = 0 \Rightarrow \nabla^2 \varphi = 0, \nabla^2 \psi = 0$$

- ② F.S.B.C. (Free Surface Boundary Condition)

$$\phi_{tt} + g\phi_z \quad \text{on} \quad z = 0$$

$$\psi_z - \frac{\omega^2}{g}\psi = 0 \quad \text{on} \quad z = 0$$

- ③ Bottom Boundary Condition

$$\psi_z = 0 \quad \text{on} \quad z = -h$$

- ④ Body Boundary Condition

$$\frac{\partial \psi}{\partial x} V_n \quad \text{on } x=0$$

⑤ Radiation condition

As  $x \rightarrow \infty, \psi = 0$

Why?  $\varphi$  already satisfies

## 6.2 Velocity potentials of local waves

$$\textcircled{1} \Rightarrow \frac{X_{xx}}{X} = -\frac{Z_{zz}}{Z} = -k^2 \quad (\text{similar to 2-D plane waves})$$

$$X_{xx} + k^2 X = 0$$

$$\textcircled{5} \Rightarrow X = Ae^{\alpha x} + Be^{-\alpha x}$$

$$\Rightarrow X = Be^{-\alpha x}$$

$$Z = Ce^{i\sigma z} + De^{-i\sigma z}$$

$$\textcircled{3} \frac{\partial Z}{\partial z} = i\sigma \{Ce^{-i\sigma h} - De^{i\sigma h}\} = 0$$

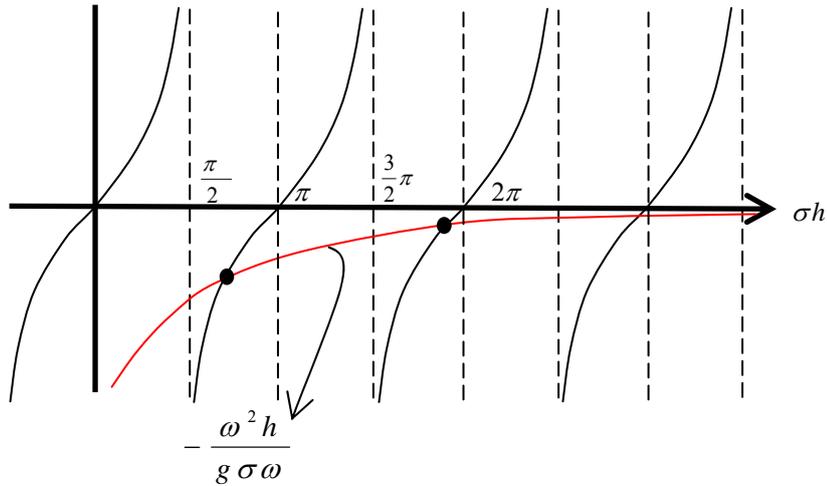
$$\Rightarrow C = De^{2i\sigma h}$$

$$\Rightarrow \psi = 2BDe^{i\sigma h} \cos\{\sigma(z+h)\}e^{-\alpha x}$$

$$\textcircled{2} e^{-\alpha x} (-\sigma) \sin\{\sigma(z+h)\} - \frac{\omega^2}{g} e^{-\alpha x} \cos\{\sigma(z+h)\} = 0 \quad \text{on } z=0$$

$$\sigma \tanh \sigma h = -\frac{\omega^2}{g}$$

- Dispersion of Local waves
- there is infinite number of modes



•Velocity Potential : general form

$$\psi = \sum_{n=1}^{\infty} \tilde{\psi}_n e^{-\sigma_n x} \cos\{\sigma_n(z+h)\} \equiv \sum_{n=1}^{\infty} \tilde{\psi}_n e^{-i\omega t}$$

Note that

$$\int_{-h}^0 \psi_n(z) \cdot \psi_m(z) dz = 0 \quad m \neq n$$

$$\neq 0 \quad m = n$$

### 6.3. Amplitude of $\phi$

Bottom Boundary Condition

$$\frac{\partial \phi}{\partial x} = \text{Re} \left\{ i \omega \xi_0 e^{i\omega t} \right\}$$

$$\frac{\partial}{\partial x} \left[ \left\{ \phi e^{i\omega t} \right\} + \left\{ \sum_{n=1}^{\infty} \psi_n e^{-i\omega t} \right\} \right]$$

$$= \left\{ ik \phi + \sum_{n=1}^{\infty} (-\sigma_n) \psi_n \right\} e^{-i\omega t}$$

$$\Rightarrow \int_{-h}^0 \left\{ -ik \phi + \sum_{n=1}^{\infty} (-\sigma_n) \psi_n \right\} \phi dz$$

$$= \int_{-h}^0 i \omega \xi_0 \phi dz$$

From orthogonality

$$-k \int_{-h}^0 \varphi^2 dz = \omega \int_{-h}^0 \xi_0 \varphi dz$$

Then, substituting  $\varphi$ , we can get

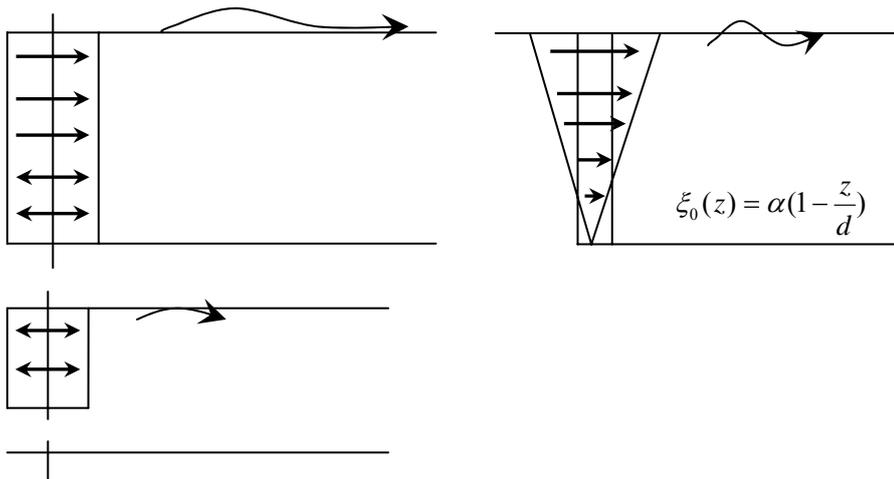
$$A = -\frac{\omega}{k} \int_{-h}^0 \hat{\varphi} \xi_0(z) dz$$

where

$$\hat{\varphi} = \frac{\sqrt{2} \cosh k(z+h)}{\sqrt{h + \frac{g}{\omega^2} \sinh^2(kh)}}$$

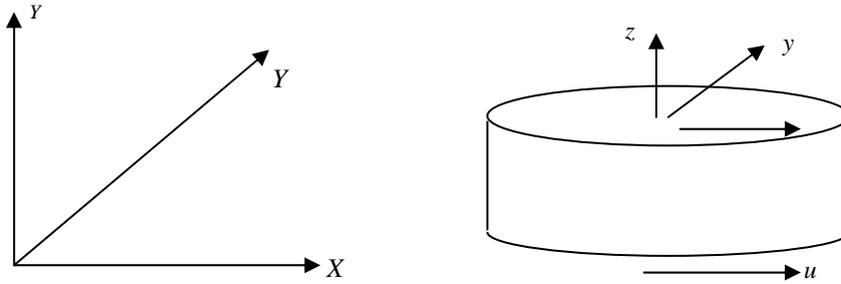
When  $\xi_0(z)$  is known, we can get A using above...

e.g.



# Chapter 7. Ship waves

## 7.1 Moving frame



$$\begin{aligned}
 x &= X - Ut \\
 X = x + Ut &\Rightarrow y = Y \\
 z &= Z \\
 \left. \frac{\partial}{\partial t} \right|_{x,y,z} &= \left. \frac{\partial}{\partial t} \right|_{x,y,z} + \frac{\partial x}{\partial t} \frac{\partial}{\partial x} = \left. \frac{\partial}{\partial t} \right|_{x,y,z} - U \frac{\partial}{\partial x}
 \end{aligned}$$

•Linear F.S.B.C.

$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \phi}{\partial z} = 0 \quad \text{on } z=0 \quad (*)$$

Notice that this is valid in XYZ Frame in xyz frame. (?)

$$\begin{aligned}
 \frac{\partial^2}{\partial t^2} \phi &= \left( \frac{\partial}{\partial t} - U \frac{\partial}{\partial x} \right)^2 \phi \\
 \therefore (*) &\Rightarrow \frac{\partial^2 \phi}{\partial t^2} - 2U \frac{\partial^2 \phi}{\partial x \partial t} + U^2 \frac{\partial^2 \phi}{\partial x^2} + g \frac{\partial \phi}{\partial z} = 0
 \end{aligned}$$

•Steady flow  $\frac{\partial}{\partial t}() = 0$

Steady F.S.B.C. with moving speed U

$$U^2 \frac{\partial^2 \phi}{\partial x^2} + g \frac{\partial \phi}{\partial z} = 0 \quad \text{on } z=0$$

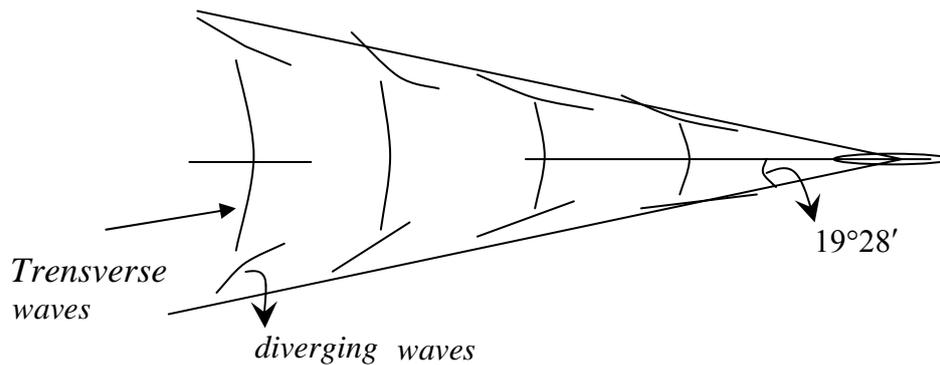
•Wave elevation

$$\eta = -\frac{1}{g} \frac{\partial \phi}{\partial t} \Big|_{xyz} = -\frac{1}{g} \left( \frac{\partial \phi}{\partial t} - U \frac{\partial \phi}{\partial x} \right)$$

If steady,

$$\eta = \frac{U}{g} \frac{\partial \phi}{\partial x} \quad (\text{insert P.7-1})$$

## 7.2 Kelvin Waves



$$\bullet \frac{\partial^2 \phi}{\partial x^2} + \frac{g}{U^2} \frac{\partial \phi}{\partial z} = 0 \quad \text{on } z = 0$$

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{gL}{U^2} \frac{1}{L} \frac{\partial \phi}{\partial z} = 0 \quad L: \text{characteristic length. i.e. ship length}$$

$$\phi_{xx} + \frac{1}{Fr^2} \frac{1}{L} \frac{\partial \phi}{\partial z} = 0 \quad Fr: \text{Froude Number}$$

$$(i) \quad Fr \rightarrow 0 \quad \phi_z \rightarrow 0$$

$$(ii) \quad Fr \rightarrow \infty \quad \phi_{xx} \rightarrow 0 \Rightarrow \phi = 0$$

(iii)

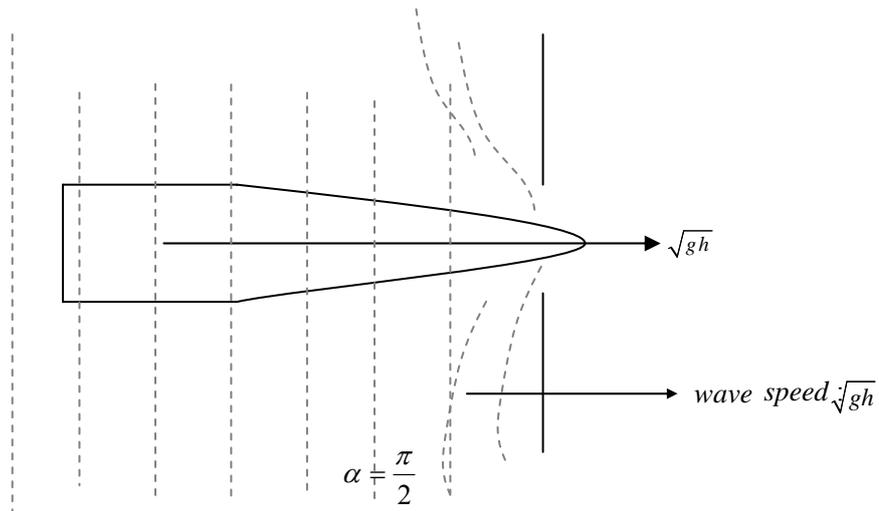
※ Fr is a key parameter in wave resistance problem.

•In shallow depth, (i.e.  $\lambda \gg h$ ),

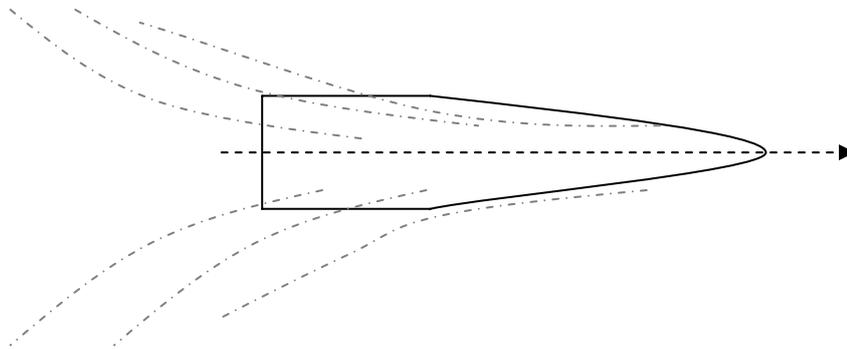
$F_n = \frac{V}{\sqrt{gh}}$  : depth Froude Number, is a key parameter

(e.g.) if  $F_n = \frac{V}{\sqrt{gh}} = 1$ , i.e.

$$\sqrt{gh} = U$$



If  $F_n = \frac{V}{\sqrt{gh}} > 1$



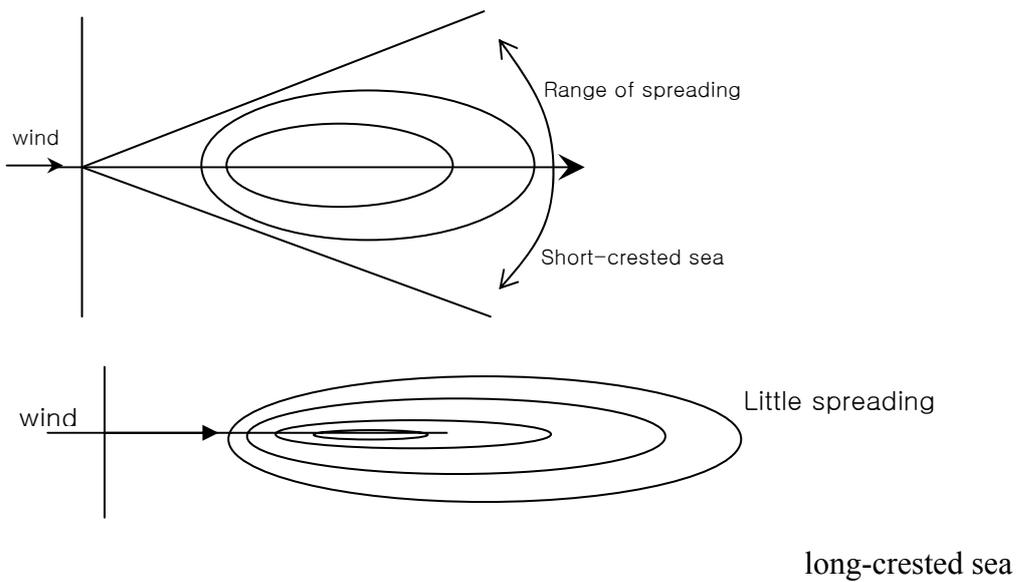
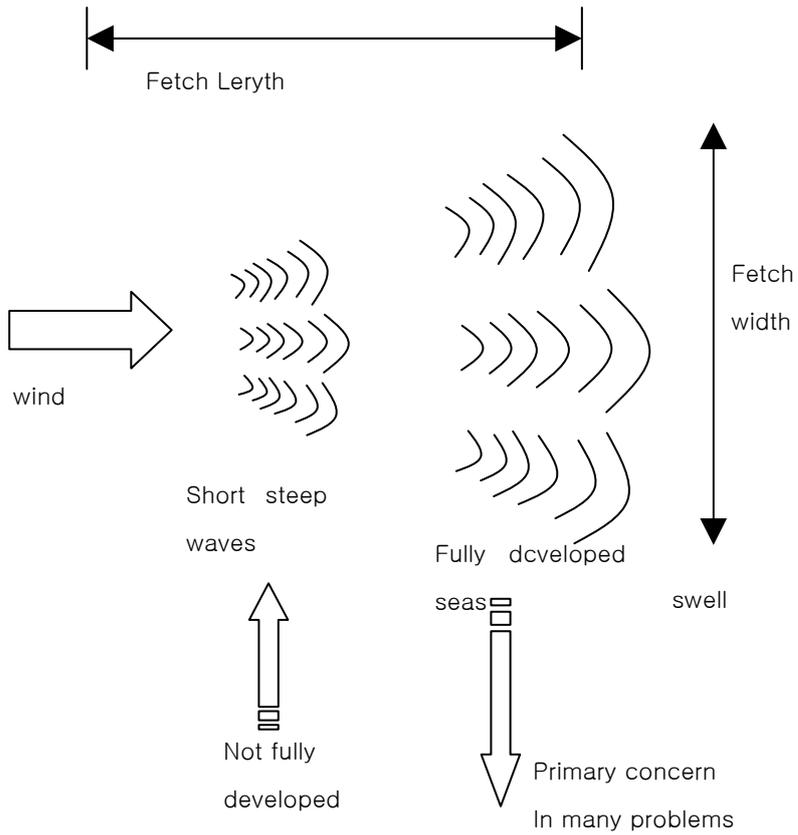
Only diverging waves

•  $F_n = 1.0$  : critical depth Froude #

•  $\alpha \approx \sin^{-1}\left(\frac{1}{F_n}\right)$

# Chapter 8. Wave Spectra

## 8.1 Random wave generation



## 8.2 Stochastic Process

### •Definition

#### (1) Stationary

A stochastic Process  $x(t)$  is stationary if its density function is independent in time, i.e.  $f(x,t) = f(x)$

#### (2) Homogeneity

A stochastic process  $x(t)$  is homogeneous if its density function is independent on the spatial location.

#### (3) Ergodicity

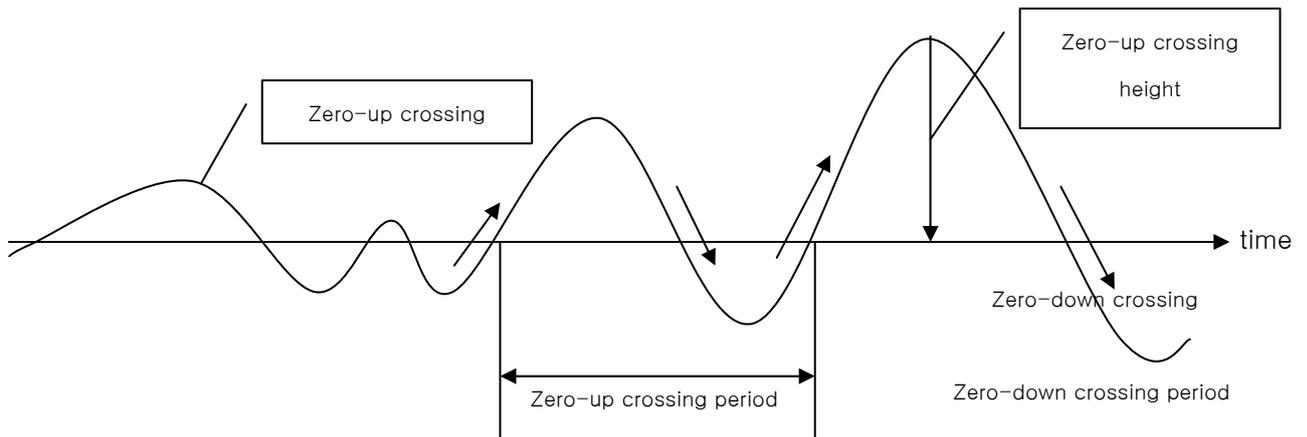
A stochastic process  $x(t)$  is ergodic if

$$\bar{x} = E[x] = \frac{1}{2T} \lim_{T \rightarrow \infty} \int_{-T}^T x(t) dt$$

And

$$\sigma^2 = E[(x - \bar{x})^2] = \frac{1}{2T} \lim_{T \rightarrow \infty} \int_{-T}^T (x - \bar{x})^2 dt$$

In most practical applications, a stochastic process which is stationary and homogeneous is ergodic.



### • Superposition of multiple waves

- We will consider the random waves as a summation of multiple wave components

$$\eta = \int_0^{\infty} \int_0^{\infty} \eta(\omega, \theta) d\omega d\theta \quad \text{for short-crested waves}$$

$$\eta = \int_0^\infty \eta(\omega, \theta) d\omega \quad \text{for long-crested waves}$$

- Consider a random variable  $x$ .

-Central Limit theorem

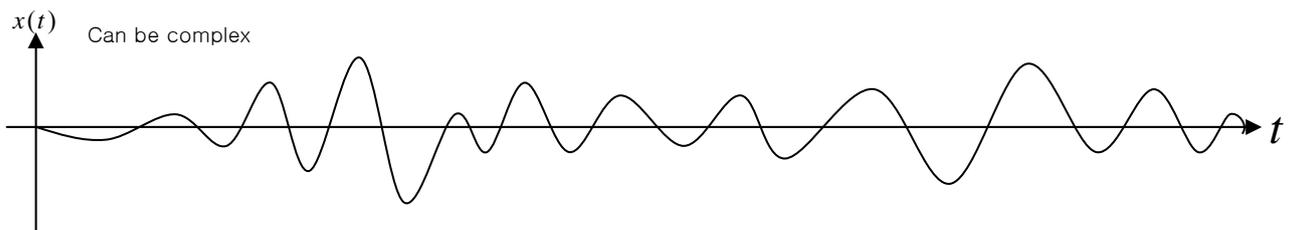
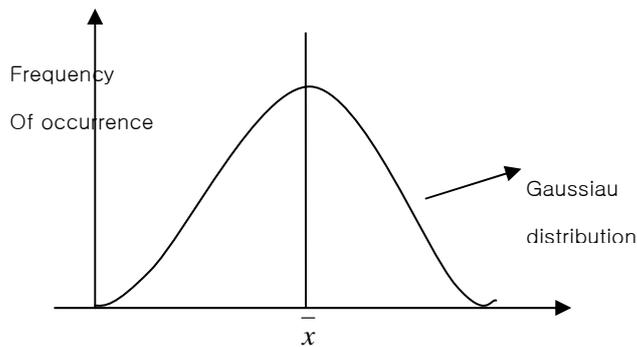
If a random variable  $x$  can be expressed as the sum of a large number of independent random variable  $x_j$

$$x = x_1 + x_2 + x_3 + x_4 + \dots + x_n \quad (n: \text{large})$$

Then the density  $f(x)$  of  $x$  is the Gaussian function, s.t.

$$f(x) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(x-\bar{x})^2}{2\sigma^2}}$$

Where  $\bar{x}, \sigma^2$  are mean and variation



$$\text{Mean : } \bar{x} = E[x] = \frac{1}{2T} \lim_{T \rightarrow \infty} \int_{-T}^T x(t) dt$$

$$\text{Variance: } \sigma^2 = E[(x - \bar{x})^2] = \frac{1}{2T} \lim_{T \rightarrow \infty} \int_{-T}^T (x - \bar{x})^2 dt$$

We say this system is “ergodic”

### 8.3 Stochastic Description of Random Waves

•  $\bar{\eta} = 0$

$$\eta = \sum_k \eta_k(x, y, t) = \sum_k A_k \cos(\omega_k t + \theta_k) ; \theta_k \text{ is phase}$$

※ If  $x = x_1 + x_2 + \dots + x_n$

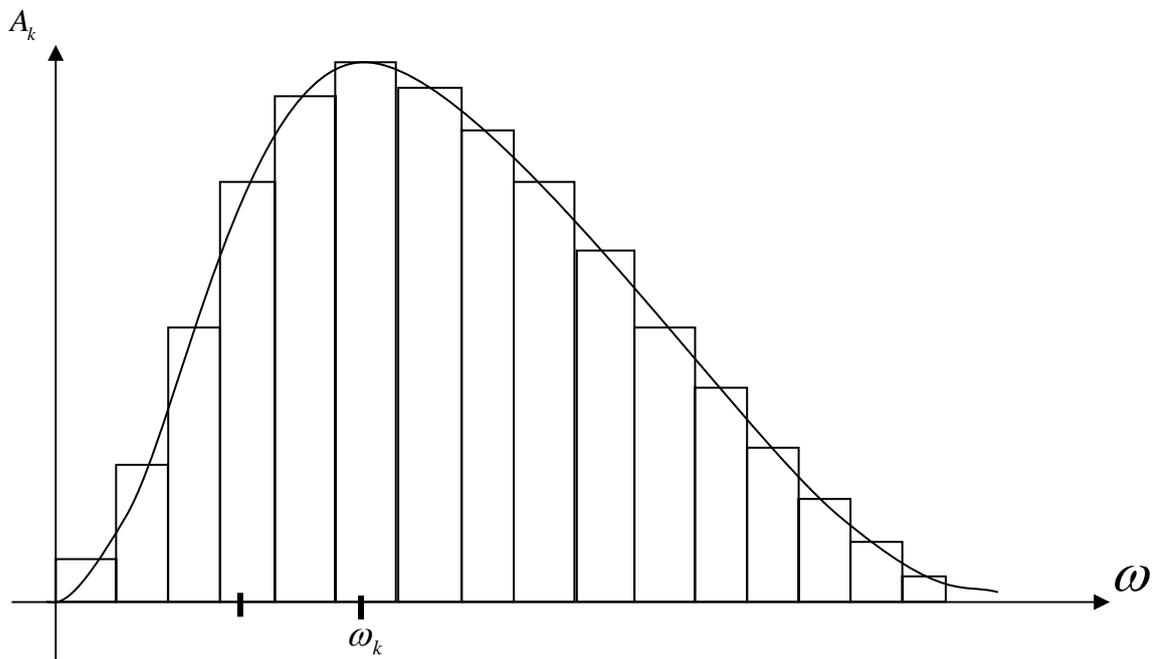
$x_1, x_2, \dots, x_n$  : independent

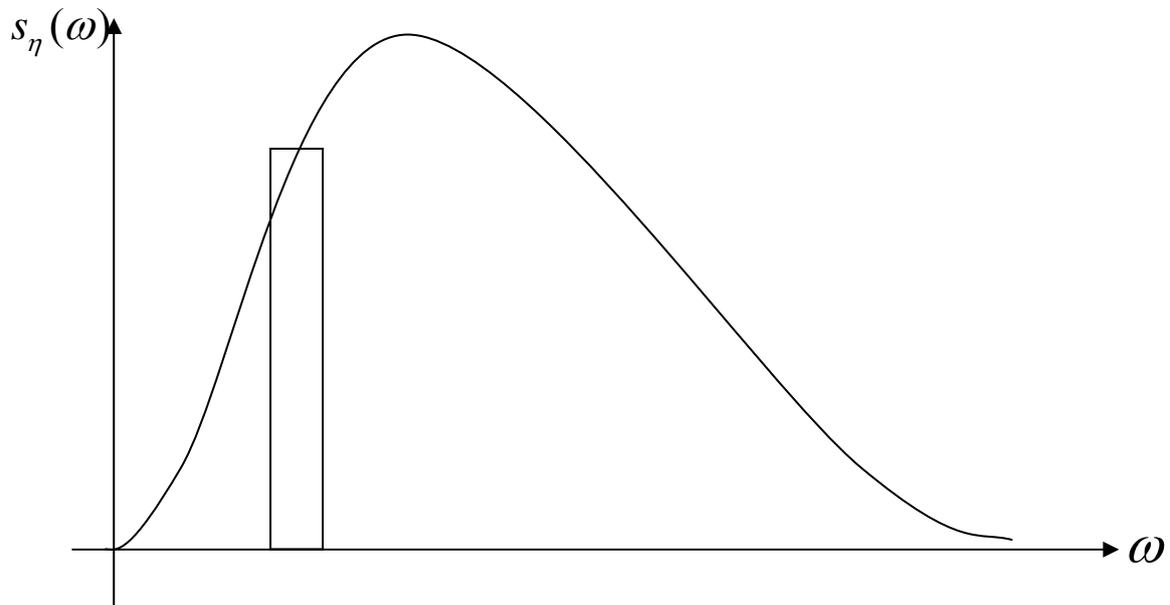
$$\bar{x} = \sum_k \frac{x_k}{N}, \sigma^2 = \sum_k (x_k - \bar{x})^2$$

$$\eta_k = A_k \cos(\omega_k t + \theta_k)$$

$$E[\eta_k^2] = \frac{1}{2} A_k^2$$

$$\Rightarrow \sigma^2 = \sum_k \frac{1}{2} A_k^2$$





$$S_{\eta}(\omega)\Delta\omega_k = \frac{1}{2}A_k^2$$

$S_{\eta}(\omega)$ : Power spectrum of  $A_k$

⇒ energy spectrum

#### 8.4 Wave Spectra

(1) Pierson-Moskowitz spectrum

$$S_{\eta}(\omega) = \frac{8.1 \times 10^{-3} g^2}{\omega^5} e^{-0.74(g/V\omega)^4}$$

(2) Bretschneider Spectrum

$$S_{\eta}(\omega) = \frac{A}{\omega^5} e^{-B/\omega^4}$$

A, B : constant

•ITTC Spectrum

$$A = 8.1 \times 10^{-3} g^2$$

$$B = \frac{3.11}{H_{1/3}^2}, \quad H_{1/3} : \text{significant wave height}$$

•ISSC spectrum

$$A = \frac{173H_{1/3}^2}{T_1^4}$$

$$B = \frac{691}{T_1^4}$$

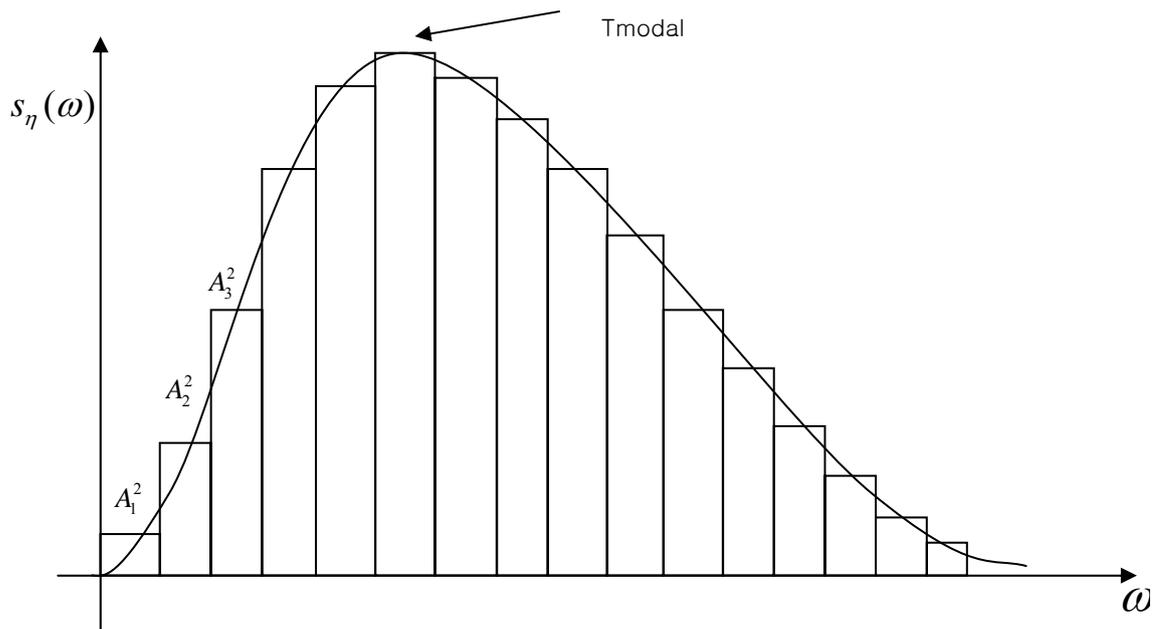
$$T_1 : \text{mean wave period} = 2\pi \frac{m_0}{m_1}$$

$$\bullet m_k = \int_0^\infty \omega^k S_\eta(\omega) d\omega$$

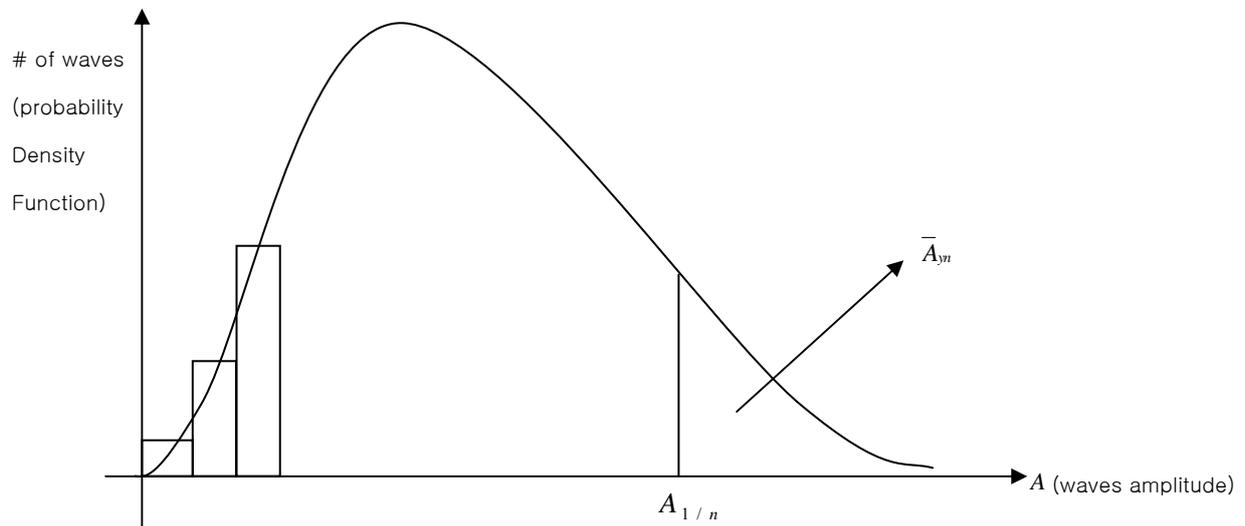
$$\bullet H_{1/3} = 4 \sqrt{m_0}$$

•ITTC spectrum = ISSC spectrum

$$S_\eta(\omega) = \frac{0.11}{2\pi} H_{1/3}^2 T_1^2 \left( \frac{\omega T_1}{2\pi} \right)^{-5} e^{-0.44 \left( \frac{\omega T_1}{2\pi} \right)^4} : \text{the other form}$$



$$\text{Energy} = \sum \frac{1}{2} \rho g A_k^2$$

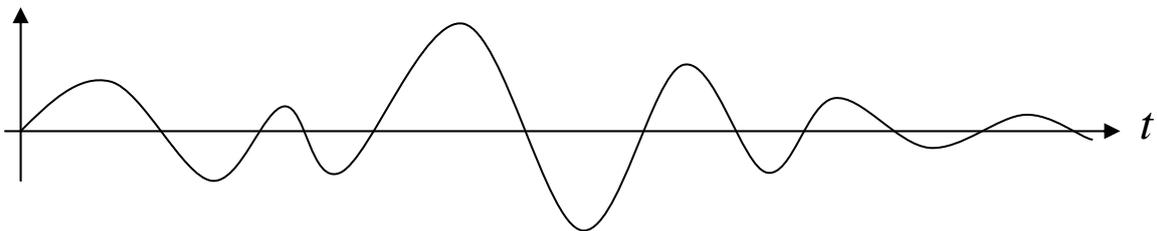


$\bar{A}_{1/n}$  :  $1/n$ -th highest wave amplitude

$\bar{A}_{1/3}$  : significant wave amplitude

⊙ Summary

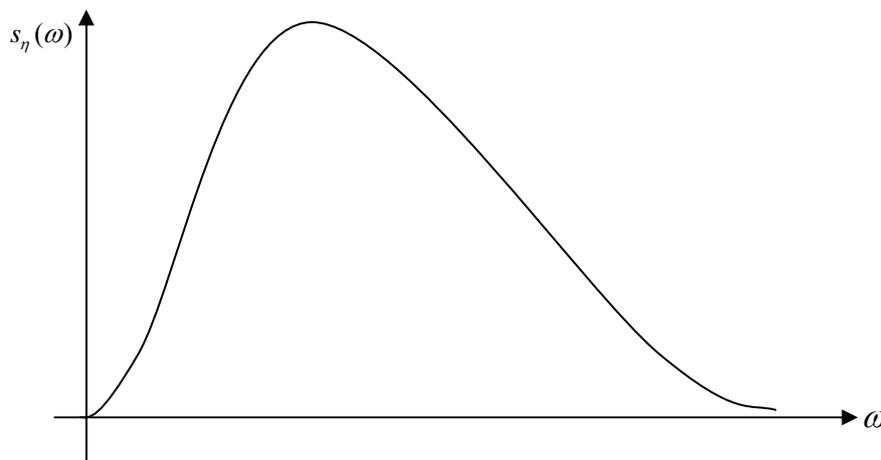
•  $\eta(t) = \sum A_k \cos(\omega_k t + \theta_k)$



$\eta(t)$  shows Gauss Distribution : Central Limit Theorem

•  $\sigma^2 = E[\eta^2] = \sum \frac{1}{2} A_k^2(\omega_k)$

•



Wave spectrum

$$\sigma^2 = \int_0^{\infty} S(\omega) d\omega \approx \sum S(\omega_k) \Delta\omega$$

$$\Rightarrow \sigma^2 \Rightarrow \frac{1}{2} A_k^2 = S_{\eta}(\omega_k) \Delta\omega$$

$$\text{Or } A_k = \sqrt{2S_{\eta}(\omega_k) \Delta\omega}$$

• Wave spectra

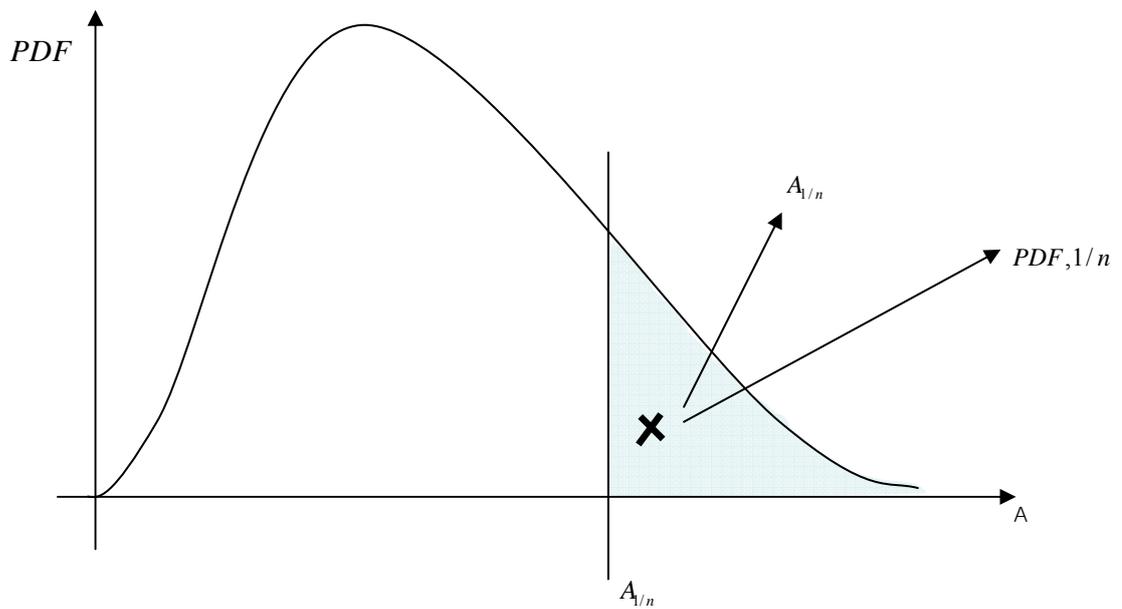
(1) Pierson-Moskowitz Spectrum

(2) Bretschneider spectrum

$$S_{\eta}(\omega) = \frac{A}{\omega^5} e^{-B/\omega^4}$$

A&B are functions of  $H_{1/3}$  &  $T_1$

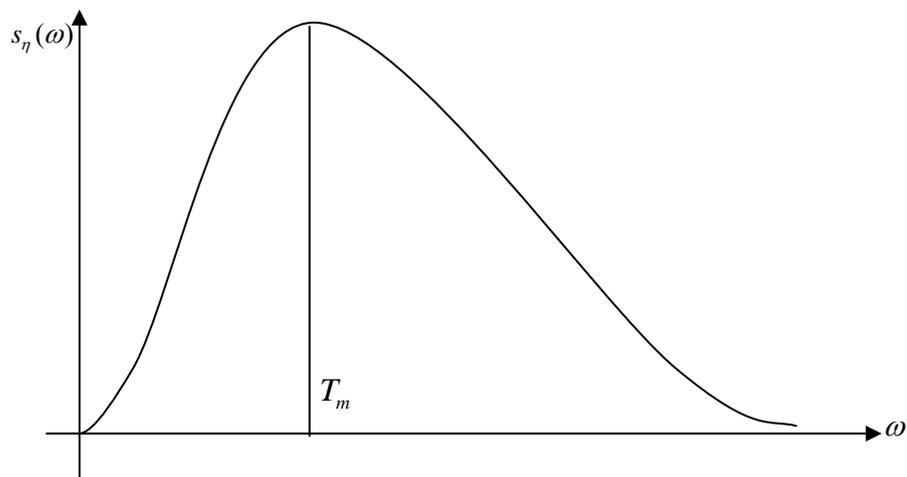
•  $H_{1/n}$



$\bar{A}_{1/n}$  :  $1/n$ -th highest wave amplitude

$\bar{A}_{1/3}$  : significant wave amplitude

$$H_{1/3} = 2 \bar{A}_{1/3}$$

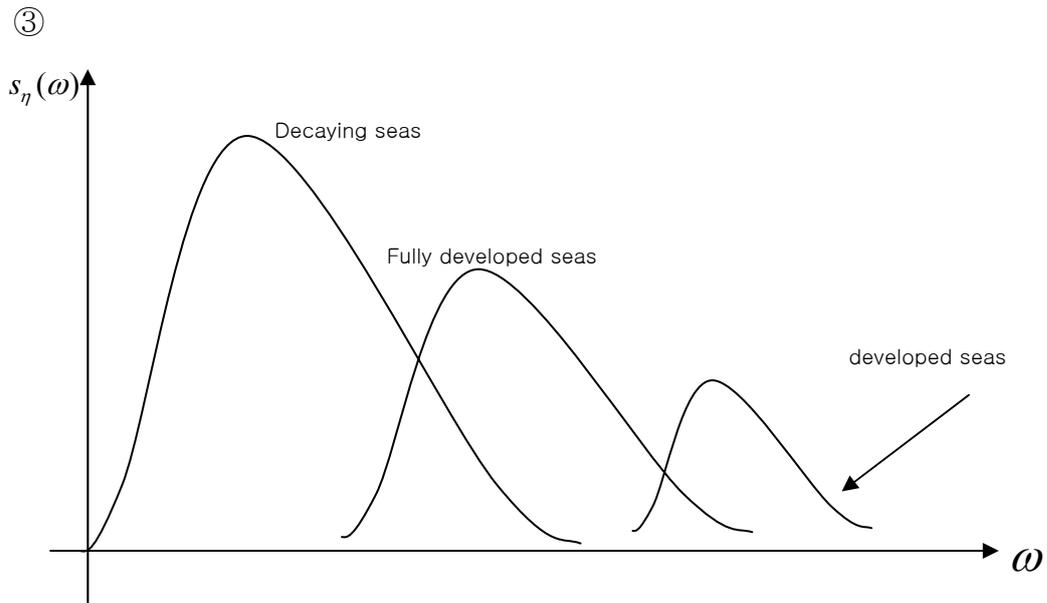
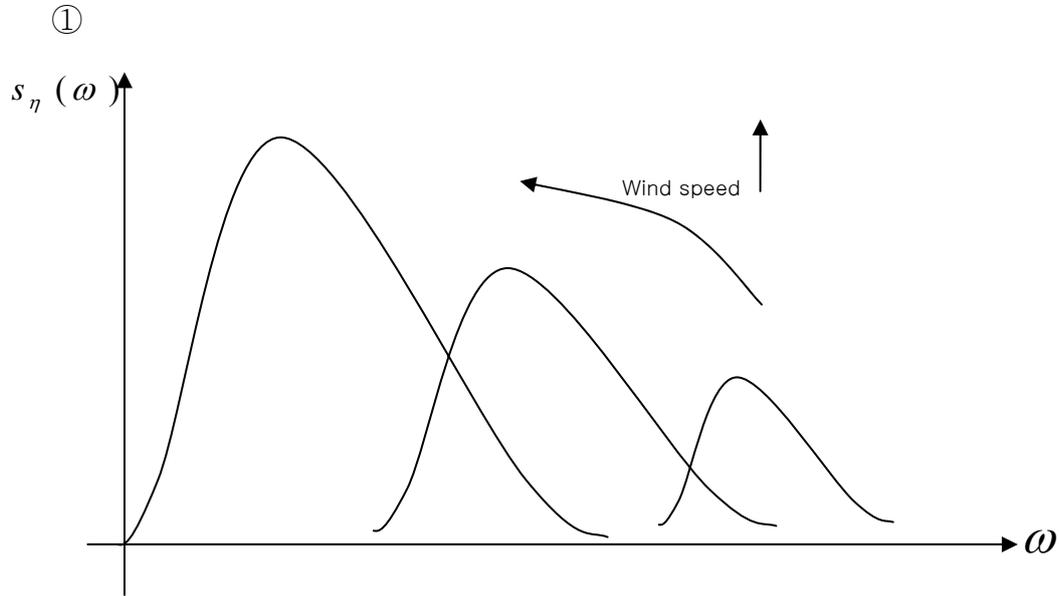


$$\bullet m_k = \int_0^{\infty} \omega^k S_{\eta}(\omega) d\omega$$

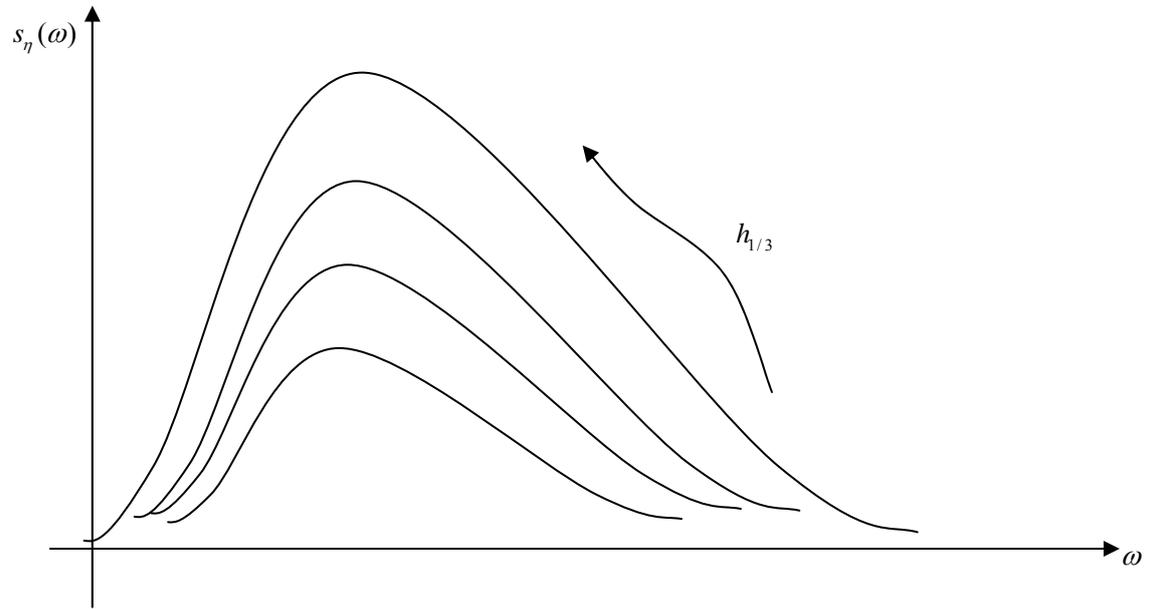
$$\bullet H_{1/3} = 4 \sqrt{m_0}$$

$$\bullet T_1 = 2\pi \frac{m_0}{m_1}$$

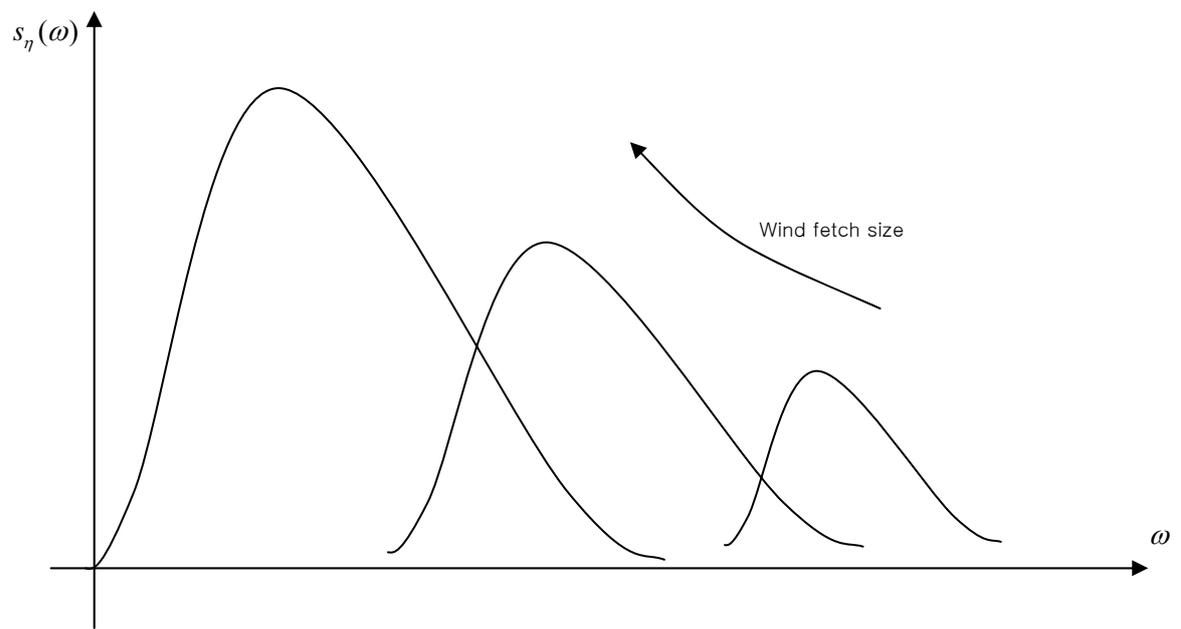
$$T_m = 1.408T_2 \text{ where } T_2 = 2\pi\sqrt{\frac{m_0}{m_2}}$$



④

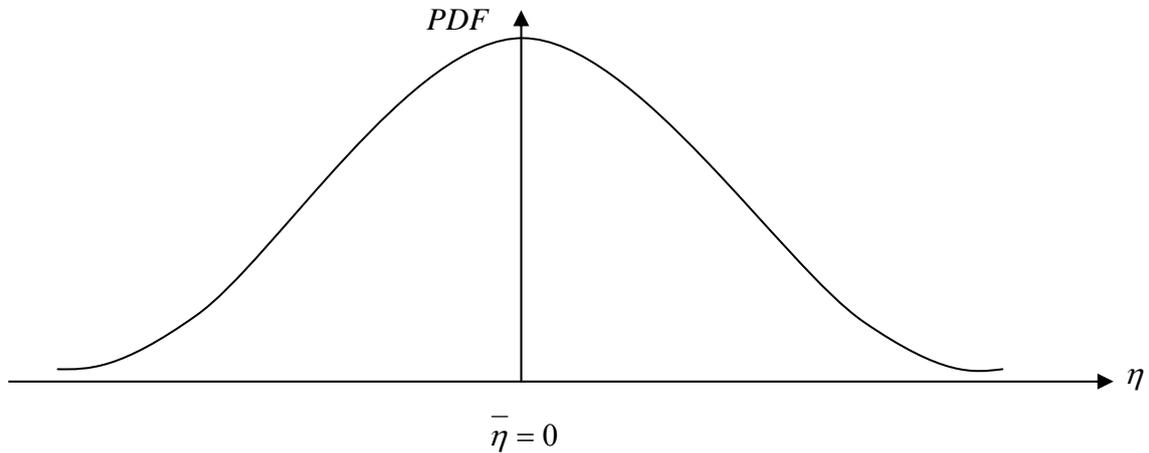


②



## 8.5 Statistics of wave peaks

- $\eta(t)$  : Gaussian distribution (by central limit theorem)



$$f_{\eta}(\text{PDF of } \eta) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{\eta^2}{2\sigma^2}}$$

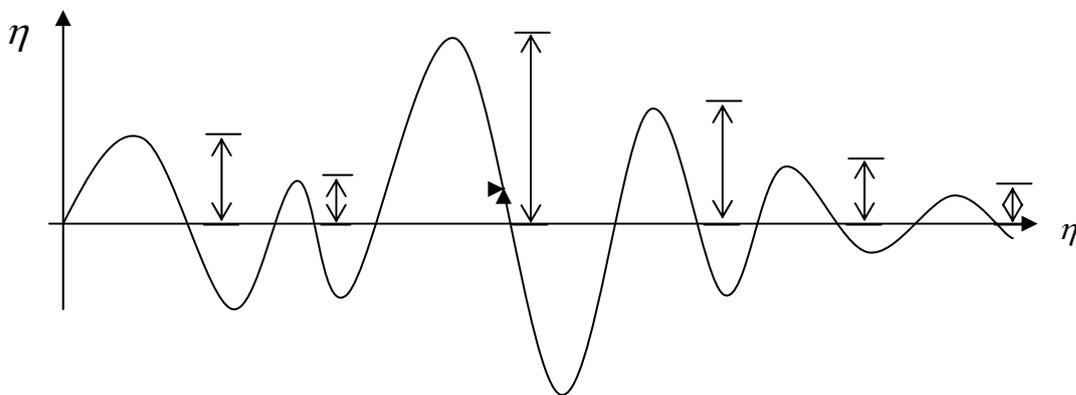
We know that

$$\sigma^2 = \sum \frac{1}{2} \eta_k^2 = \int_0^{\infty} S_{\eta}(\omega) d\omega$$

- define  $m_k = \int_0^{\infty} \omega^k S_{\eta}(\omega) d\omega$

$$m_0 = \sigma^2 : \text{area of } S_{\eta}(\omega)$$

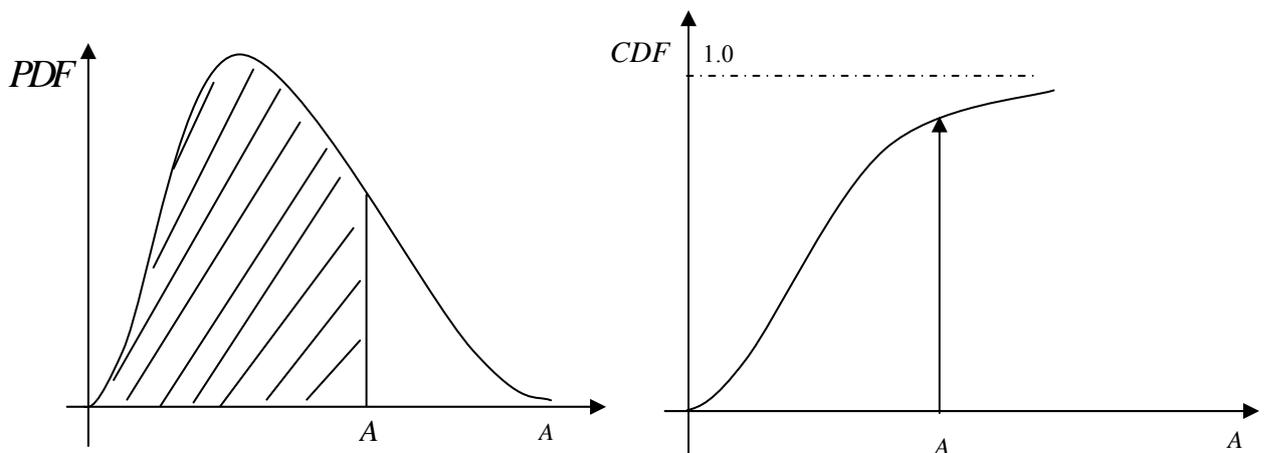
- Probability of Peaks



PDF of Peaks of  $\eta(t)$  : Gaussian, Narrow-banded Distribution.  
 $\Rightarrow$  Rayleigh Distribution

$$\text{PDF of } A \equiv f_H(A) = \frac{A}{m_0} e^{-\frac{A^2}{2m_0}} \text{ where } h : \text{ wave height}$$

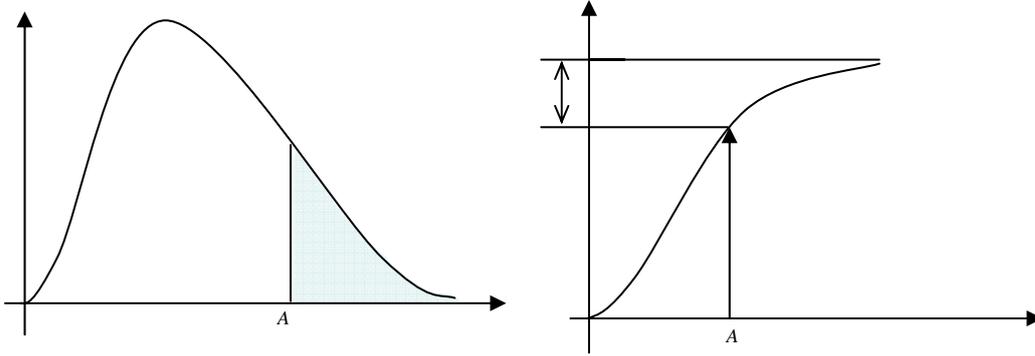
• Cumulative probability function (CPF)



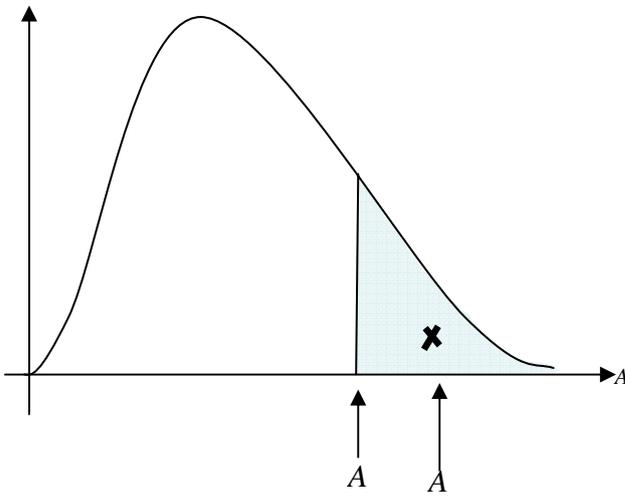
$$P(A) = \int_0^A \frac{x}{m_0} e^{-\frac{x^2}{2m_0}} dx = -e^{-\frac{x^2}{2m_0}} \Bigg|_0^A = 1 - e^{-\frac{A^2}{2m_0}}$$

1 - P(A) : Peak > A

$$= e^{-\frac{A^2}{2m_0}}$$

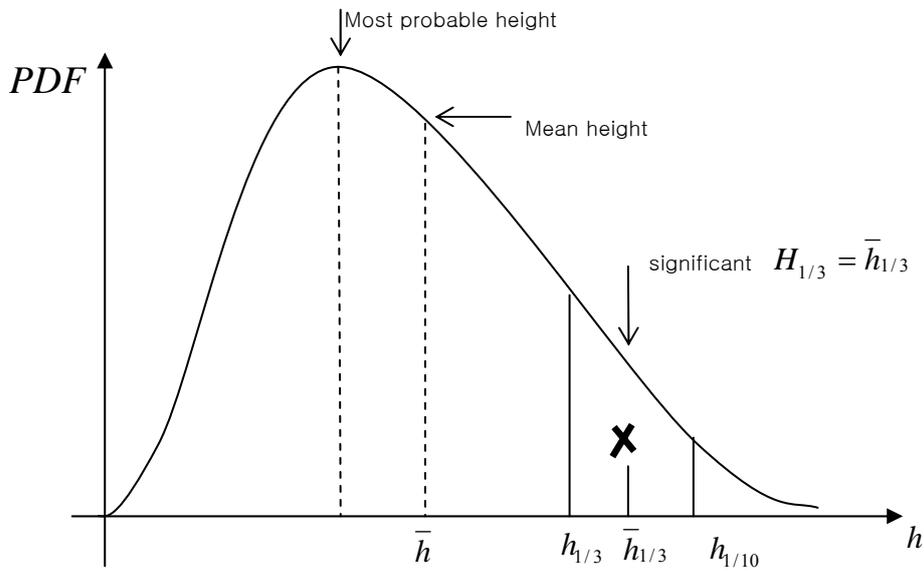


• 1/n-th highest & averaged 1/n-th highest wave



$$H_{1/3} = \text{significant wave height} = 2\bar{A}_{1/3}$$

•



$$\frac{\bar{h}}{H_{1/3}} = 0.64$$

$$\frac{\bar{h}_{1/10}}{H_{1/3}} = 1.29$$

$$\frac{\bar{h}_{1/\infty}}{H_{1/3}} = 1.68$$

$$m_k = \int_0^{\infty} \omega^k S_{\eta}(\omega) d\omega$$

$$H_{1/3} = 4\sqrt{m_0}$$

$$\bar{h}_{1/10} = 5.1\sqrt{m_0}$$

$$\bar{h} = 2.5\sqrt{m_0}$$

$$T_1 = \text{defined in Bretschneider Spectrum} = 2\pi \frac{m_0}{m_1}$$

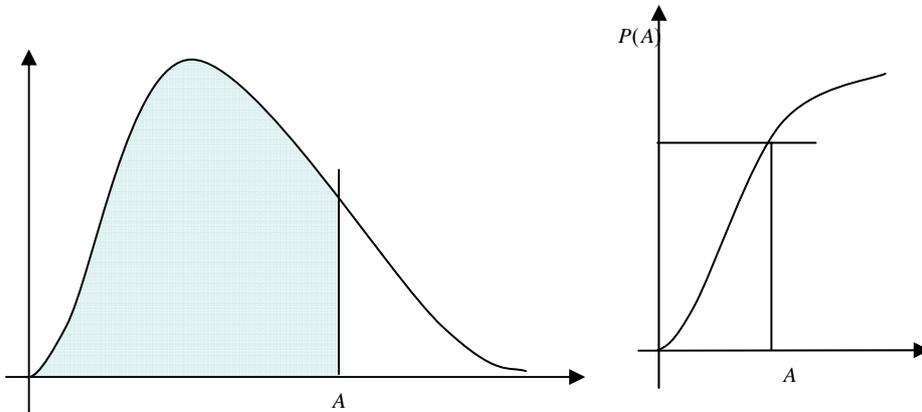
$$T_2 = 2\pi \sqrt{\frac{m_0}{m_2}} : \text{average period between "zero upcrossing"}$$

$T_{peak} = 1.408T_2$  : Most probable  $\rightarrow$  corresponding period =  $T_{peak}$

## 8.6 Prediction of wave amplitude

•CPF of Rayleigh Distribution

$$P(A) = \int_0^A \frac{x}{m_0} e^{-\frac{x^2}{2m_0}} dx = 1 - e^{-\frac{A^2}{2m_0}}$$



• $1-P(A)$  : Probability of exceedance

e.g. 1%  $\rightarrow P(A) = 99\%$

• $1-P(A) = Q(A)$

$$Q(A) = e^{-\frac{A^2}{2m_0}}$$

$$\ln Q(A) = -\frac{A^2}{2m_0}$$

$$A = \sqrt{-2m_0 \ln Q(A)}$$

e.g.  $Q(A) = 0.01 \rightarrow 1\% \Rightarrow A = \sqrt{9.2103m_0}$

$$Q(A) = 0.0001 \rightarrow 0.1\% \Rightarrow A = \sqrt{13.8155m_0}$$

- Probability of 1/N occurrence

$$A = \sqrt{-2m_0 \ln \frac{1}{N}}$$

$$= \sqrt{-2m_0 \ln N}$$

- Application to wave amplitude prediction

“Return Period”  $\Rightarrow$  The time between successive occurrence  
e.g. 10 year return period

- means ; expect the occurrence of the same event after 10 year later.

$\Rightarrow$ For ocean engineers (and naval architects)

“100 year return period” of ocean wave is a primary concern

- M year return period

$$N(\text{Number of wave occurrence}) = \frac{\text{time of year}}{T_{\text{mean}}}$$

If  $T_{\text{mean}}$  : second

$$N = \frac{M^{\text{Year}} \times 365^{\text{Days/year}} \times 24^{\text{Hours/Day}} \times 3600^{\text{Second/ Hour}}}{T_{\text{mean}}}$$

e.g. M = 100

$$N = \frac{3.1536 \times 10^7}{T_{\text{mean}}}$$

- Procedure of wave amplitude prediction

$\Rightarrow$  For a specific M and  $S_{\eta}(\omega)$

(1) Compute  $m_k = \int_0^{\infty} \omega^k S_{\eta}(\omega) d\omega$

$m_0, m_1, m_2$  is needed.

e.g.  $T_{peak} = 1.408T_2$  or  $T_2 = 2\pi\sqrt{\frac{m_0}{m_2}}$  where  $T_2 = T_{mean}$

(2)  $N = \frac{3.1536 \times 10^7}{T_{mean}}$

(3)  $A = \sqrt{2m_0 \ln N}$

$m_0 = 2.25$ ,  $M = 100\text{years}$  &  $T_2 = T_{mean} = 10\text{ second}$

$N = 3.1536 \times 10^8$

$A = \sqrt{2 \times 2.25 \times \ln(3.1536 \times 10^8)} = 9.3841m$

⇒ There is a possibility that the largest wave in 100 years has the amplitude of 9.384m

### 8.7 Short-term Prediction & Long-term Prediction

(1) Short-term Prediction

For “a” specific spectrum, we can apply the above concept

⇒ Short-term prediction

(2) Long-term prediction

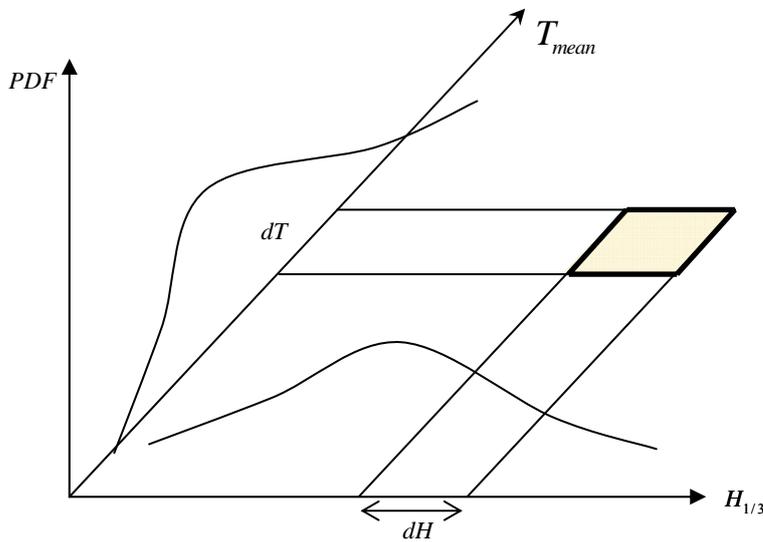
• Consider a set (table) of  $S_\eta(\omega)$ .

(3) For instance, for the Bretschneider spectrum, we can define a set of  $S_\eta(\omega)$  s.t.

	$T_1^{(1)}$	$T_1^{(2)}$	$T_1^{(3)}$	...	$T_1^{(J)}$
$H_{1/3}^{(1)}$					
$H_{1/3}^{(2)}$					
$H_{1/3}^{(3)}$					
$\vdots$				$\vdots$	
$H_{1/3}^{(J)}$					

LONG-TERM PREDICTION

•



We need to consider all the range of  $H_{1/3}$  and  $T_{mean}$ .

•Define

$$\frac{\text{Expected Time of } (H_{1/3} - \frac{\Delta H}{2} < H < H_{1/3} + \frac{dH}{2}) \cap (T_{mean} - \frac{dT}{2} < T < T_{mean} + \frac{dT}{2})}{\text{Total time}}$$

$$\equiv p(H_{1/3}, T_{mean}) dH dT$$

•Expected 'number' of waves for  $p(H_{1/3}, T_{mean}) dH dT$

$$= \frac{\text{Total time}}{T_{mean}} p(H_{1/3}, T_{mean}) dH dT$$

•Expected 'number' of waves for  $p(H_{1/3}, T_{mean})$  and  $H > H_0$

$$= \frac{\text{Total time}}{T_{mean}} p(H_{1/3}, T_{mean}) dH dT \times p(H > H_0, T_{mean})$$

•For all  $H_{1/3}$  and  $T_{mean}$ , the probability of  $H > H_0$  is

$$Q = \frac{\text{Expected total number of waves for } H > H_0}{\text{Expected total number of waves}}$$

Or

$$Q = \frac{\int_0^{\infty} \int_0^{\infty} \frac{T_{total}}{T_{mean}} p(H_{1/3}, T_{mean}) p(H > H_0, T_{mean}) dH dT}{\int_0^{\infty} \int_0^{\infty} \frac{T_{total}}{T_{mean}} p(H_{1/3}, T_{mean}) dH dT}$$

•For Rayleigh Distribution

$$p(H > H_0, T_{mean}) = e^{-\frac{A_0^2}{2m_0}} = e^{-\frac{H_0^2}{8m_0}} \quad (2A_0 = H_0)$$

$$(\text{or } = e^{-\frac{2H_0^2}{H_{1/3}^2}} \quad (\because H_{1/3} = 4\sqrt{m_0}))$$

•If the occurrences of  $H_{1/3}$  and Tmean are independent.

$$p(H = H_{1/3}, T = T_{mean}) = p(H_{1/3}) p(T_{mean})$$

$$Q = \frac{\int_0^{\infty} \frac{T_{total}}{T_{mean}} p(T_{mean}) dT \int_0^{\infty} p(H_{1/3}) e^{-\frac{H_0^2}{8m_0}} dH}{\int_0^{\infty} \frac{T_{total}}{T_{mean}} p(T_{mean}) dT \underbrace{\int_0^{\infty} p(H_{1/3}) dH}_{=1.0}}$$

$$\Rightarrow Q = \int_0^{\infty} p(H_{1/3}) e^{-\frac{H_0^2}{8m_0}} dH$$

$$= \int_0^{\infty} p(H_{1/3}) e^{-2\left(\frac{H_0}{H_{1/3}}\right)^2} dH$$

•In a discrete case (e.g. table)

$$Q \approx \sum p(H_{1/3}) e^{-2\left(\frac{H_0}{H_{1/3}}\right)^2} dH$$

Or

$$Q = \sum_i e^{-2\left(\frac{H_0}{H_{1/3i}}\right)^2} p_i$$

Where  $p_i = p(H_{1/3i}) dH$

•Numerical Implementation

	$T_{mean1}$	$T_{mean2}$	$T_{mean3}$	...	...	
$H_{1/3,1}$						$N_{H,1}$
$H_{1/3,2}$						$N_{H,2}$
$\vdots$						$\vdots$
$\Sigma$	$N_{T,1}$	$N_{T,2}$	$N_{T,3}$	...		$N_{Total}$

$$P_i = \frac{N_{H,i}}{N_{Total}}$$

$$T_{mean} \text{ in total time} \approx \sum T_{mean,j} P_{T,j} \equiv \bar{T}_{mean}$$

$$\text{Where } P_{T,j} = P(T_{mean,j}) \square T$$

$$= \frac{N_{T,j}}{N_{Total}}$$

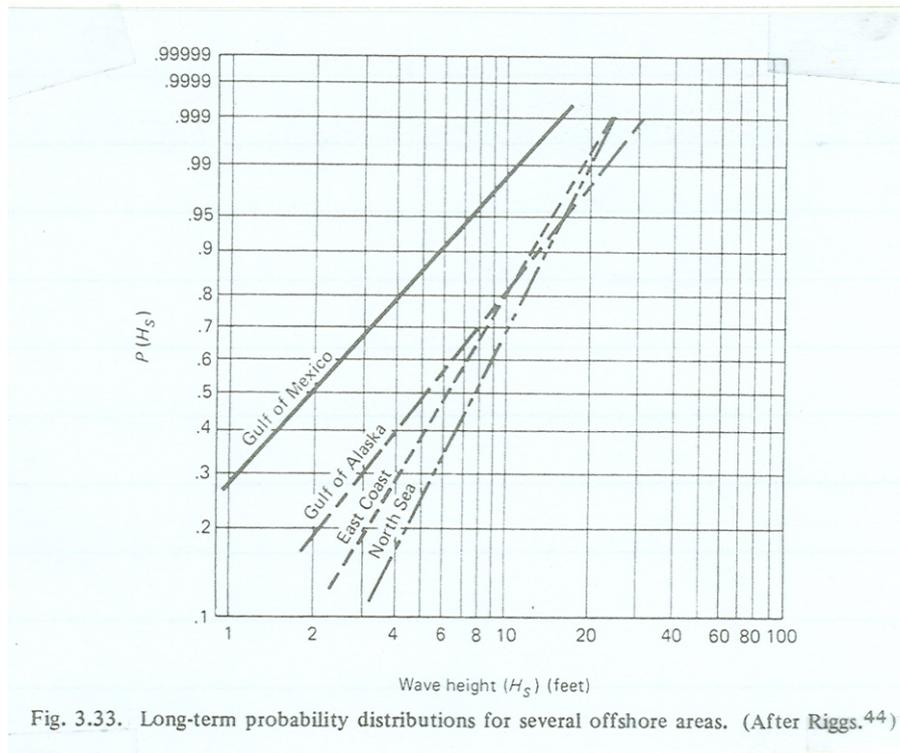
•Design wave height of M-year return period.

$$Q = \frac{\bar{T}_{mean}}{3.1536 \times 10^7 \times M} = \sum e^{-2\left(\frac{H_0}{H_{1/3,i}}\right)^2} p_i$$

$$= \sum e^{-2\left(\frac{H_0}{H_{1/3,i}}\right)^2} \frac{N_{H,i}}{N_{Total}}$$

•For a given M, we need an iteration to get a corresponding  $H_0$ .

•We can plot  $H_0$  as a function of  $Q$  or  $1 - Q = P$



$P_{ij}$  = Probability of  $T_1$  &  $H_{1/3}$

•Probability of exceedance =  $1 - \sum_i^I \sum_j^J P(A)P_{ij}$  (?)

### 8.8 Other Probability Functions

(1) Weibull (Gumbel III tupe)

$$PDF = abx^{b-1}e^{-ax^b}$$

$$CPF = 1 - e^{-ax^b} \quad x \geq 0$$

$$= 0 \quad x < 0$$

(2) Fretchet

(3) Gumbel type I

(4) Gamma Function ...

# Chapter 9. Hydrodynamic Force on Offshore Structures and Marine Vehicles

## 9.1. Force on a structure under wave & current action

- (1) Froude-Kryloff Force ; Pure incident-wave component. Force integrated on body surface without any interaction between the body & wave.

$$\vec{F}_{F.K} = \int_{S.B.} P_{incident\ wave} ds$$

e.g. for linear waves

$$\vec{F}_{F.K} = \int_{S.B.} -P \frac{\partial \phi}{\partial t} ds$$

Why?

Bernolli e.g.  $\frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi + gz + \frac{p}{\rho} = C(t)$

$$C(t) = gz + \frac{P_{\infty}}{\rho}$$

$$\frac{\partial \phi}{\partial t} + \frac{1}{2} \nabla \phi \cdot \nabla \phi = -\frac{p - p_{\infty}}{\rho}$$

Linearization & put

$p_{\infty} = 0$  (: no disturbance in calm. There is only hydro static)

$$\Rightarrow P_{linear} = -\rho \frac{\partial \phi}{\partial t}$$

(※ Linear F.S.B.C is different form this. Why?

That's the condition on  $z = 0$  which should be imposed on  $z = \eta$  in exact case)

- (2) Diffraction Forces ; Force due to the existence of body. The body is assumed to be at rest (i.e. no motion.) when there is no body motion,  
Force due to wave = F.K.force + Diffraction force.

- (3) Radiation Forces ; Force due to moving body in calm water. In potential theory, this is mostly due to wave generation

- (4) Drag Forces ; Force due to viscosity. Frictional drag, form drags are in this category. Drag force  $\propto$  velocity<sup>2</sup>
- (5) Lift Forces ; Force due to non-symmetrical separation or vortices on the body. Then lift force acts transversely to the velocity.
- (6) Other Forces ; misc. force. e.g. nonlinear force mixed of above, higher-order forces.

•We usually group “Froude-Kryloff force + radiation force” referred them as the fluid inertia forces.

Why? Thos are related to acceleration of fluid.

•”In the absence of current”, we define the Keulegan-Carpenter number s.t.

$$K.C = \frac{TV_m}{d}$$

Where

$d$  ; body length (diameter in many cases)

$T$  ; wave period

$V_m$  ; maximum fluid velocity

※ in linear wave theory

$$V_m = \omega A, \quad T = \frac{2\pi}{\omega}$$

$$K.C = \frac{2\pi\omega A}{\omega d} = \frac{2\pi A}{d}$$

•Physical meaning of K.C

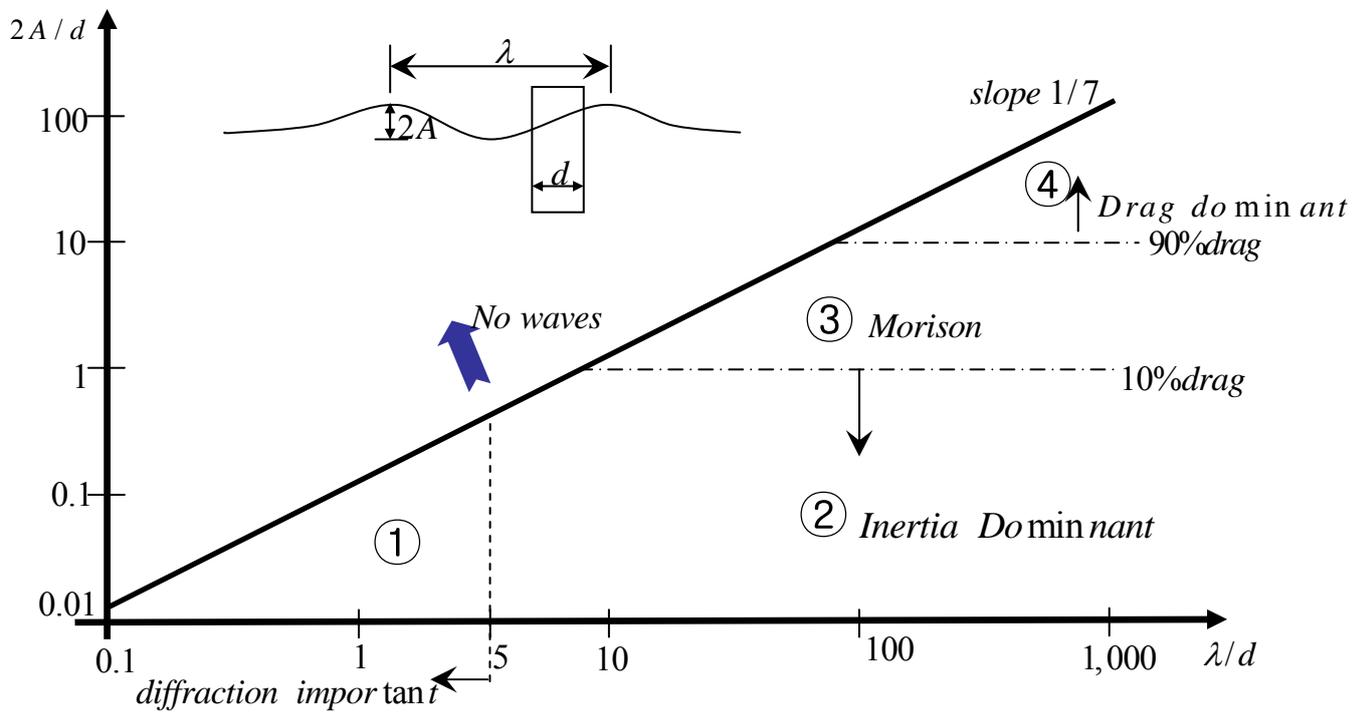
↓ Possible Maximum

$$K.C \square \frac{\text{Advancing Distance of Fluid Motion in a period}}{\text{body size}}$$

•small ←  $K.C$  → big

for fixed body.

Inertia is Viscous drag  
important is dominant



•Typically

①  $\lambda/d < 5$  (or  $\lambda < 5d$ ) ; Ignorable drag inertia and diffraction forces are important.

②  $\lambda/d > 5$  and  $2A/d < 1$  (or  $\lambda > 5d$  &  $2A < d$ ) ;

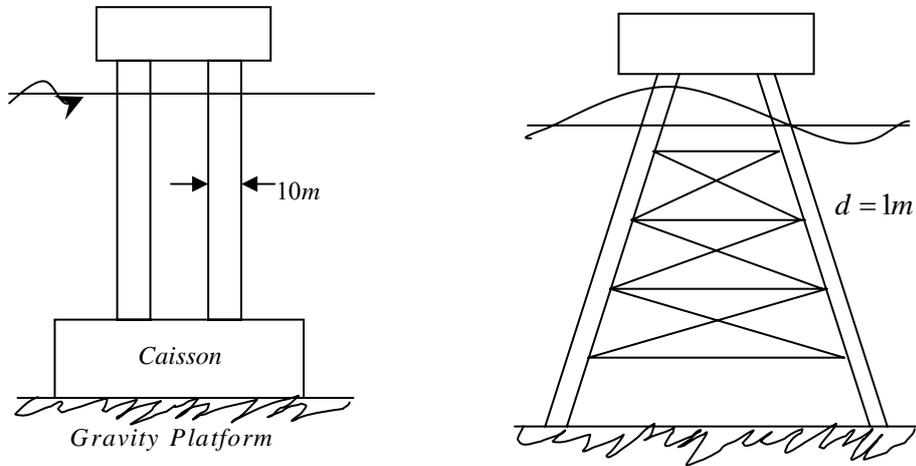
( $2A$  : wave height) insignificant drag and diffraction Inertia forces are important.

③  $1 < 2A/d < 10$  ; Both the drag and inertia forces are important.

(Morrison equation is useful.)

④  $2A/d > 10$  (or  $2A > 10d$ ) ; The Drag forces are important.

•Example ;  $\lambda = 400m$ ,  $A = 15m$  for design wave.



( i ) Caisson ;  $2A/d = 0.3$ ,  $\lambda/d = 4 \Rightarrow$  ① case

( ii ) Legs ;  $2A/d = 3$ ,  $\lambda/d = 40 \Rightarrow$  ③ case

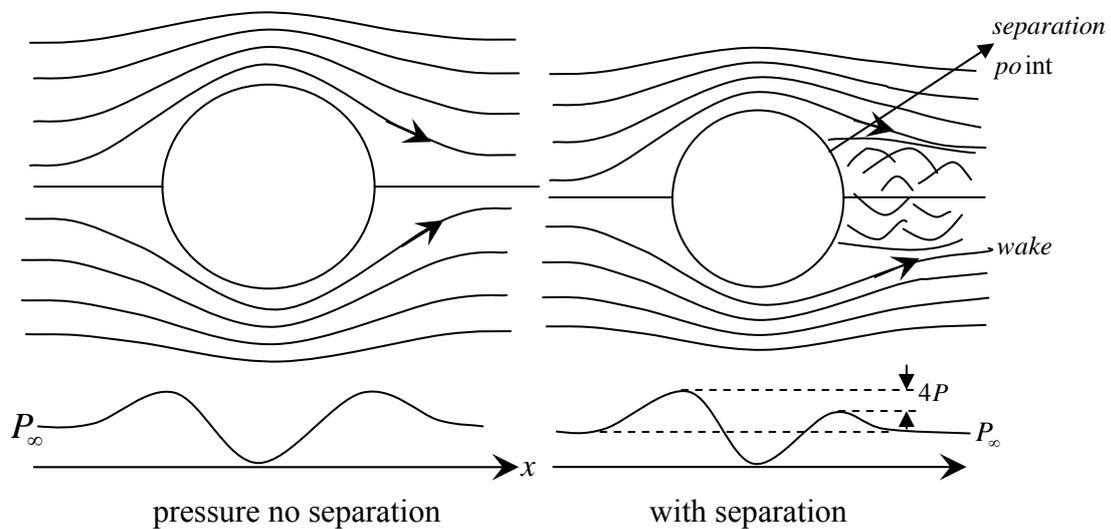
( iii ) Jacket ;  $2A/d = 30$ ,  $\lambda/d = 400 \Rightarrow$  ④ case

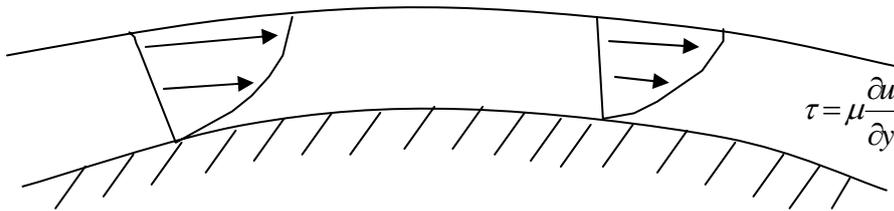
## 9.2. Drag Force

•Viscous Drag

① Pressure Drag (“from drag” in ship resistance)

② Frictional Drag





•Viscous Drag= function of  $R_n$

$$R_n = \text{Raynolds number} = \frac{VL}{\nu}$$

•Typically we called

① subcritical :  $R_n < 10^5$

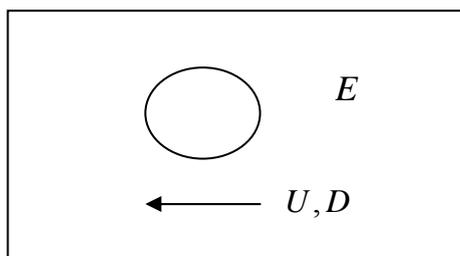
② overcritical :  $R_n > 2 \times 10^6$

③ critical :  $10^5 < R_n < 2 \times 10^6$

### 9.3. Morrison Equation

•Total Energy due to a moving body  
 = Energy of Irrotational Fluid Motion  
 + Energy of (Wake) Viscous (Effort) Motion  
 + Energy of Body

• (Force on the Body) • Velocity  
 = Rate of Energy Change



control volume

$$\frac{dE}{dt} = D \cdot U \quad (D: \text{Drag on the body})$$

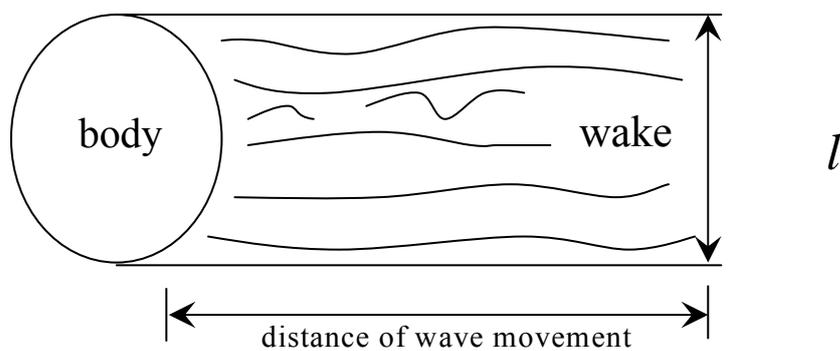
•Kinematic Energy Due to irrotational flow

$$K.E = \frac{1}{2} m a |u|^2 \quad (\text{ma: added mass})$$

$$\frac{d}{dt}(K.E) = m a \frac{du}{dt} \bullet u$$

(※ potential energy is not a primary concern as long as buoyancy does not change.)

•Viscous flow



$$|u| \bullet \text{time}$$

$$E_v = \frac{1}{2} \{ \rho |u| \cdot t \cdot l \} u^2 C_D$$

\*  $C_D$  : coefficient

$$\frac{dE_v}{dt} = \frac{1}{2} \rho u^2 |u| l C_D$$

•Body Motion:

$$E_{B.D.} = \frac{1}{2} m u^2$$

$$\frac{dE_{B.D.}}{dt} = m u \frac{du}{dt}$$

•Force :

$$D = \frac{1}{U} \left\{ \frac{1}{2} \rho u^2 |u| C_D + (m + ma) u \frac{du}{dt} \right\}$$

$$\frac{1}{2} \rho A u |u| C_D + (m + ma) \frac{du}{dt}$$

•Morrison EQ

$$D = \frac{1}{2} \rho C_D l u |u| + \rho C_M \nabla \frac{du}{dt}$$

$C_D$  : drag coefficient

$C_M$  : (virtual) mass coefficient

• $C_D, C_M =$  function of

- (1)  $\square_n(C_D)$
- (2) K.C.
- (3) Shape
- (4) Separation point ( $C_D$ )
- (5) Roughness ( $C_D$ )

$$\bullet C_M = \begin{cases} (m + ma) / \rho \nabla : \text{moving body} \\ (\rho \nabla + ma) / \rho \nabla : \text{moving fluid} \end{cases}$$

•For a 2-D circular cylinder,

$$D = \frac{1}{2} \rho C_D d u |u| + \rho C_M \frac{\pi}{4} d^2 \frac{du}{dt}$$

\*  $d$  : diameter

•Application for force computation

(1) Select an appropriate Wave Theory.

Predict velocity of flow.

(2) Select the set of Appropriate  $C_M, C_D$

(3) Apply Morrison  $E_q$

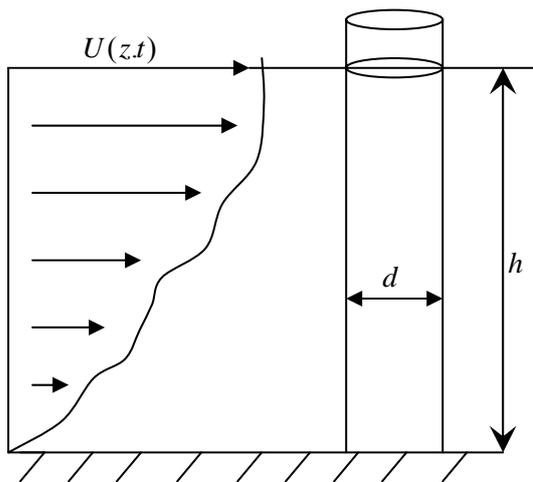
•For linear waves (cylinder)

$$C_D = 1 \sim 1.4, \quad C_M = 2.0$$

For nonlinear waves

$$C_D = 0.8 \sim 1.0, \quad C_M = 2.0$$

#### 9.4. Force on Cylinder

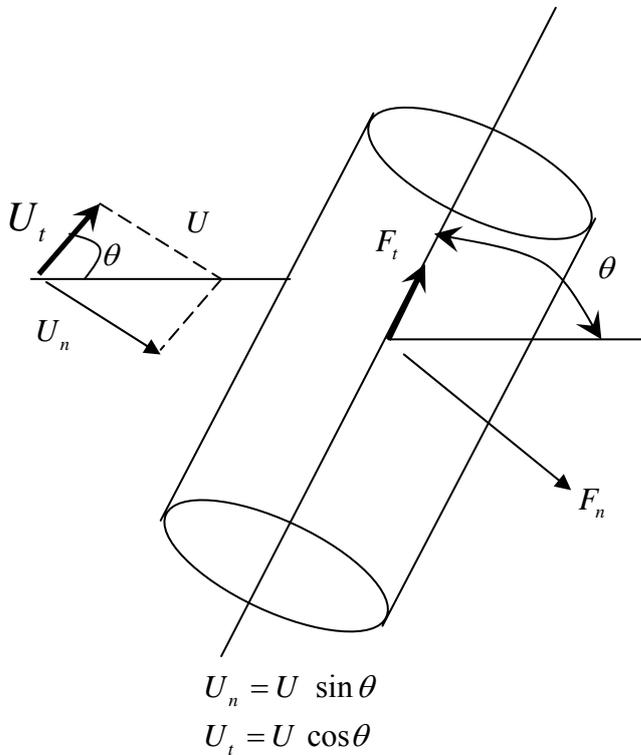


$$\bullet F(t) = \int_{-h}^0 dF dz$$

$$\bullet M(t) = \int_0^h z F dz : \text{moment w.r.t. sea floor}$$

$$\bullet dF = C_M \rho \frac{\pi d^2}{4} \frac{du}{dt} + \frac{1}{2} C_D \rho du |u|$$

• Forces on inclined cylinders.



$$dF = C_M \rho \frac{\pi d^2}{4} U_n + C_D \frac{1}{2} \rho d u_n |u_n|$$

$$dF = \frac{1}{2} \rho C_f \pi d |u_t| u_t$$

\*  $C_f$ : frictional coeff.

$$\bullet F_n = \int_0^h dF_n dz$$

$$F_n = \int_0^h dF_t dz$$

※ Strip method; We assume that the interactions between sections are ignorable.

## 9.5. Forces on Cylinders in Waves

(1) Inertia force

$$\begin{aligned}
 F_I &= \int_{-h}^0 -\rho C_M \frac{\pi d^2}{4} A \omega^2 \frac{\cosh k(z+h)}{\sin kh} \sin \omega t dz \\
 &= -\rho \frac{\pi d^2}{4} \omega^2 A \frac{1}{k} \sin(\omega t) \\
 &= -F_{I,0} \sin(\omega t) \\
 \text{where } F_{I,0} &= \rho \frac{\pi d^2}{4} \frac{\omega^2 A}{k}
 \end{aligned}$$

(2) Drag Force

$$\begin{aligned}
F_D &= \int_{-h}^0 \frac{1}{2} \rho C_D d (\omega A)^2 \frac{\cosh^2 k(z+h)}{\sinh^2 kh} \cos(\omega t) |\cos(\omega t)| dz \\
&= \frac{1}{2} \rho C_D d (\omega A)^2 \frac{\{\sinh(2kh) + 2kh\}}{4k \sinh^2(kh)} \cos(\omega t) |\cos(\omega t)| \\
&= F_D \cos \omega t |\cos \omega t|
\end{aligned}$$

$$\begin{aligned}
* \quad u &= \frac{\partial \phi_2}{\partial x} = \frac{gA}{\omega} k \frac{\cosh k(z+h)}{\cosh kh} \cos(kx - \omega t) = \omega A \frac{\cosh k(z+h)}{\cosh kh} \cos(kx - \omega t) \\
\frac{du}{dt} &= \frac{gA}{\omega} \cdot \omega \cdot \frac{\cosh k(z+h)}{\cosh kh} \sin(kx - \omega t) = \omega^2 A \frac{\cosh k(z+h)}{\cosh kh} \sin(kx - \omega t)
\end{aligned}$$

\* We will consider  $u$  &  $\frac{du}{dt}$  at  $x = 0$

• Ratio of maximum forces

$$\frac{F_{D.o}}{F_{I.o}} = \frac{C_D}{\pi C_M} \frac{A}{d} \left\{ \frac{\sinh(2kh)}{2} + kh \right\} \frac{1}{\sinh^2 kh}$$

⇒ Depend on  $\frac{C_D}{C_M}$ ,  $\frac{A}{d}$

⇒ When  $\frac{A}{d}$  is small,  $F_{D.o} \succ F_{I.o}$

• Typically  $C_M = 2$ ,  $C_D = 1$

When  $\frac{F_{D.o}}{F_{I.o}} = 1.0$  ?

$$\text{(i) } kh \rightarrow \infty ; \frac{A}{d} \square 2\pi \text{ or } \frac{2A}{d} \square 4\pi$$

$$\text{(ii) } kh \rightarrow 0 ; \frac{2A}{d} \square (2\pi)^2 \frac{h}{\lambda}$$

