

# Electromagnetics

*<Chap. 8> Plane Electromagnetic waves*

**Section 8.1 ~ 8.4**

**(1st class of week 3)**

Jaesang Lee

Dept. of Electrical and Computer Engineering

Seoul National University

(email: [jsanglee@snu.ac.kr](mailto:jsanglee@snu.ac.kr))

# Chap. 8 | Contents for 1<sup>st</sup> class of week 3

## Sec 1. Introduction

## Sec 2. Plane waves in lossless media

- Uniform plane wave [Transverse Electromagnetic (TEM) wave]
- Polarization of plane wave
- Doppler Effect

## Chap. 8 | Time-harmonic (sinusoidal) electromagnetics

time-harmonic source  $\rho$  and  $\mathbf{J}$   
with a given frequency  $\omega$

Maxwell's Equations  $\rightarrow$

time-harmonic  $\mathbf{E}$  and  $\mathbf{H}$  fields  
with the same frequency  $\omega$

$\mathbf{E}(\mathbf{R}, t) = \text{Re}[\mathbf{E}(\mathbf{R})e^{j\omega t}]$  where  $\mathbf{E}(\mathbf{R})$  is a vector phasor with direction, magnitude, and phase information

### Phasor notation

Differential & Integral of  
Time-varying vector

Vector phasor

$$\frac{\partial}{\partial t} \mathbf{E}(\mathbf{R}, t)$$

$$j\omega \mathbf{E}(\mathbf{R})$$

$$\int \mathbf{E}(\mathbf{R}, t) dt$$

$$\frac{1}{j\omega} \mathbf{E}(\mathbf{R})$$

### Time-harmonic Maxwell's equations in phasor notation

$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} \rightarrow \nabla \times \mathbf{E} = -\mu(j\omega \mathbf{H})$$

$$\nabla \times \mathbf{H} = \mathbf{J} + \varepsilon \frac{\partial \mathbf{E}}{\partial t} \rightarrow \nabla \times \mathbf{H} = \mathbf{J} + \varepsilon(j\omega \mathbf{E})$$

$$\nabla \cdot \mathbf{H} = 0 \rightarrow \nabla \cdot \mathbf{H} = 0$$

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\varepsilon} \rightarrow \nabla \cdot \mathbf{E} = \frac{\rho}{\varepsilon}$$

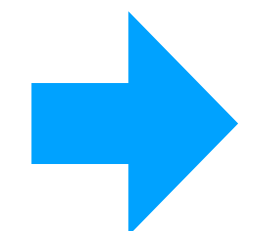
# Chap. 8 | Wave equations in source-free, lossless media

*Maxwell's Equations*  
 $(\rho = 0, \sigma = 0 \rightarrow \mathbf{J} = \sigma\mathbf{E} = 0)$

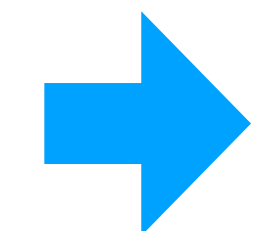
*Homogeneous Helmholtz Equation*

*Time-varying vector fields*

$$\begin{aligned} \nabla \times \mathbf{E} &= -\mu \frac{\partial \mathbf{H}}{\partial t} \\ \nabla \times \mathbf{H} &= \mathbf{J} + \epsilon \frac{\partial \mathbf{E}}{\partial t} \\ \nabla \cdot \mathbf{H} &= 0 \\ \nabla \cdot \mathbf{E} &= \frac{\rho}{\epsilon} \end{aligned}$$



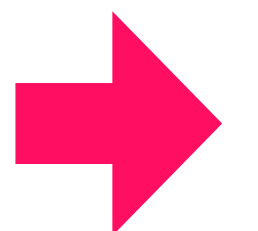
$$\begin{aligned} \nabla \times \mathbf{E} &= -\mu \frac{\partial \mathbf{H}}{\partial t} \\ \nabla \times \mathbf{H} &= \epsilon \frac{\partial \mathbf{E}}{\partial t} \\ \nabla \cdot \mathbf{H} &= 0 \\ \nabla \cdot \mathbf{E} &= 0 \end{aligned}$$



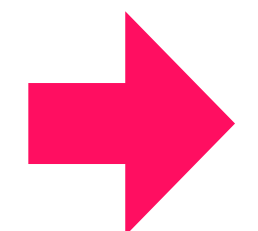
$$\begin{cases} \nabla^2 \mathbf{E} - \mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0 \\ \nabla^2 \mathbf{H} - \mu\epsilon \frac{\partial^2 \mathbf{H}}{\partial t^2} = 0 \end{cases}$$

*Phasor Notation*

$$\begin{aligned} \nabla \times \mathbf{E} &= -j\omega\mu\mathbf{H} \\ \nabla \times \mathbf{H} &= \mathbf{J} + j\omega\epsilon\mathbf{E} \\ \nabla \cdot \mathbf{H} &= 0 \\ \nabla \cdot \mathbf{E} &= \frac{\rho}{\epsilon} \end{aligned}$$



$$\begin{aligned} \nabla \times \mathbf{E} &= -j\omega\mu\mathbf{H} \\ \nabla \times \mathbf{H} &= j\omega\epsilon\mathbf{E} \\ \nabla \cdot \mathbf{H} &= 0 \\ \nabla \cdot \mathbf{E} &= 0 \end{aligned}$$



$$\begin{cases} \nabla^2 \mathbf{E} + \omega^2 \mu\epsilon \mathbf{E} = 0 \\ \nabla^2 \mathbf{H} + \omega^2 \mu\epsilon \mathbf{H} = 0 \end{cases} \left( \because \frac{\partial^2 \mathbf{E}}{\partial t^2} \rightarrow (-j\omega)^2 \mathbf{E} \right)$$



$$\begin{cases} \nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0 \\ \nabla^2 \mathbf{H} + k^2 \mathbf{H} = 0 \end{cases} \text{ where } k = \omega\sqrt{\mu\epsilon}$$

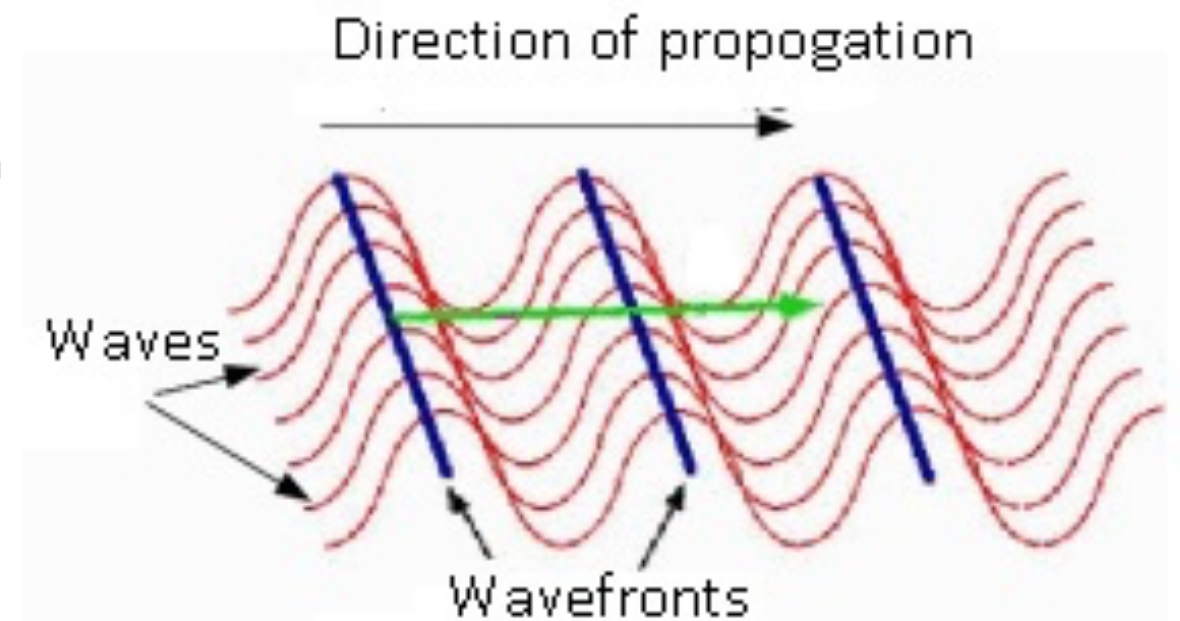
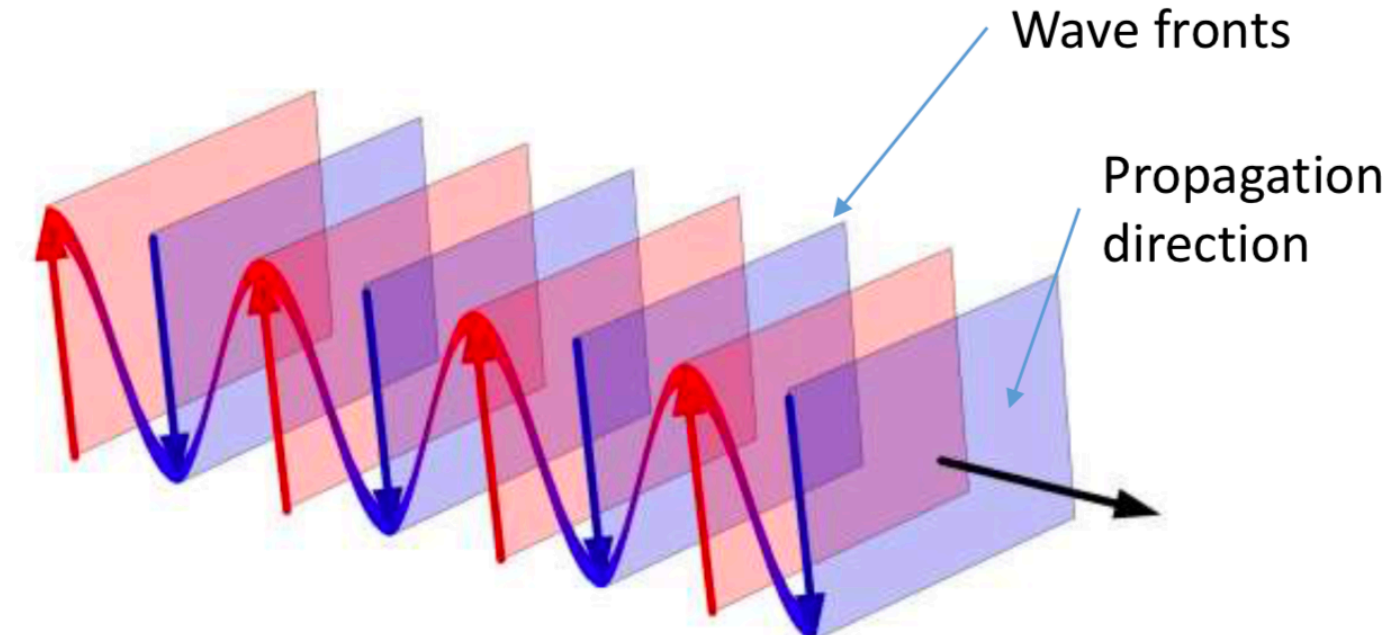
# Chap. 8 | Plane waves in free space (1/5)

Homogeneous Helmholtz's equations for free space

$$\nabla^2 \mathbf{E} + \omega^2 \mu_0 \epsilon_0 \mathbf{E} = 0 \quad \rightarrow \quad \boxed{\nabla^2 \mathbf{E} + k_0^2 \mathbf{E} = 0} \quad \text{where } k_0 = \omega \sqrt{\mu_0 \epsilon_0} = \frac{\omega}{c} \text{ (rad/m) is free-space wavenumber}$$

## “Plane” wave

: a wave whose *wavefronts* (i.e. surfaces of constant phase) are **parallel planes** normal to propagation direction



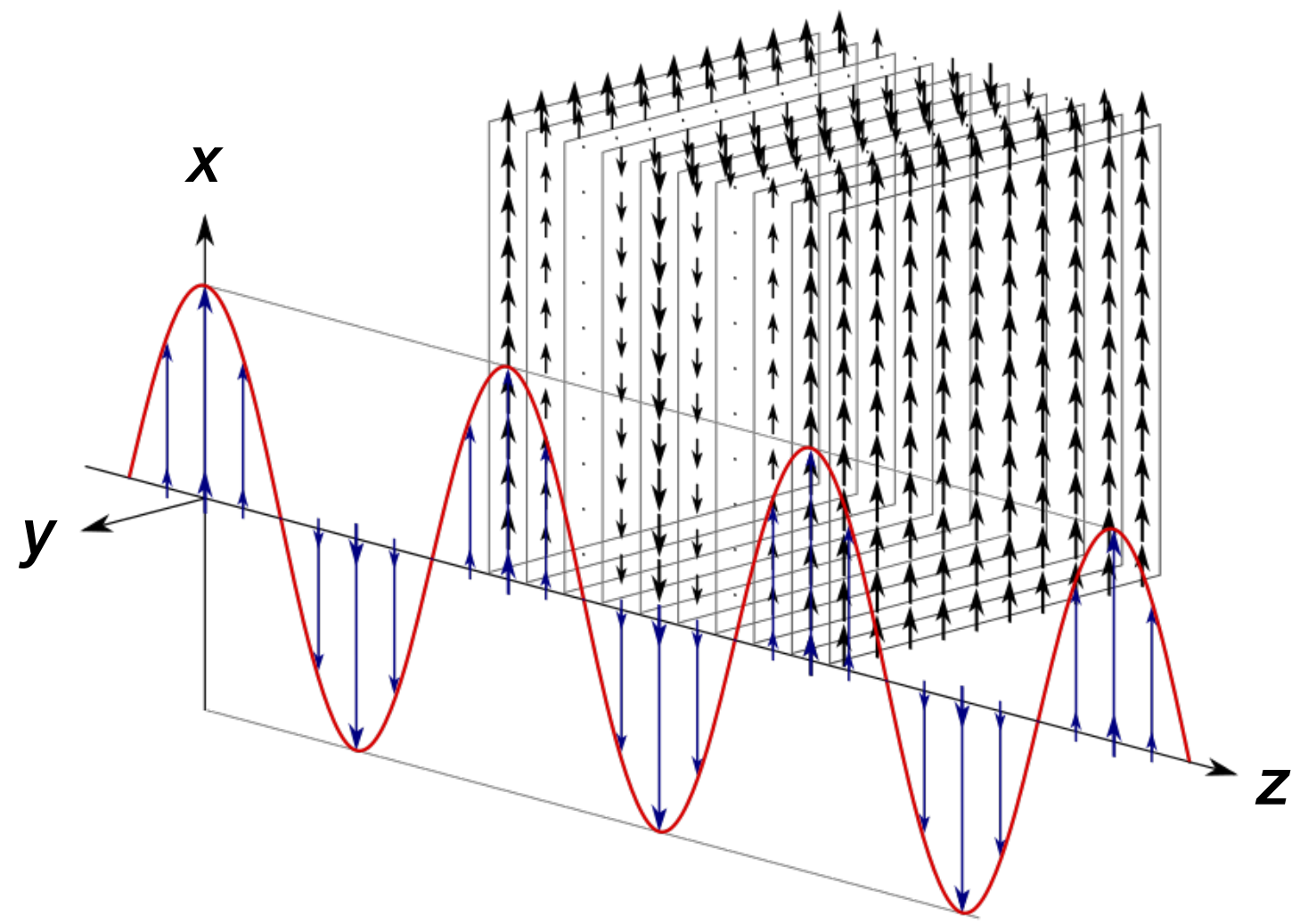
## “Uniform” Plane wave

Plane wave characterized by “uniform”  $\mathbf{E}$  over the wavefronts

:  $\mathbf{E}$  has uniform magnitude and phase on the plane normal to z-axis (i.e. xy plane)

$$\mathbf{E} = \mathbf{a}_x E_x \quad \text{where} \quad \frac{\partial^2 E_x}{\partial x^2} = 0 \quad \text{and} \quad \frac{\partial^2 E_x}{\partial y^2} = 0$$

$$\nabla^2 \mathbf{E} + k_0^2 \mathbf{E} = 0 \quad \rightarrow \quad \mathbf{a}_x \left( \cancel{\frac{\partial^2}{\partial x^2}} + \cancel{\frac{\partial^2}{\partial y^2}} + \frac{\partial^2}{\partial z^2} + k_0^2 \right) E_x = 0 \quad \rightarrow \quad \boxed{\frac{d^2 E_x}{dz^2} + k_0^2 E_x = 0}$$





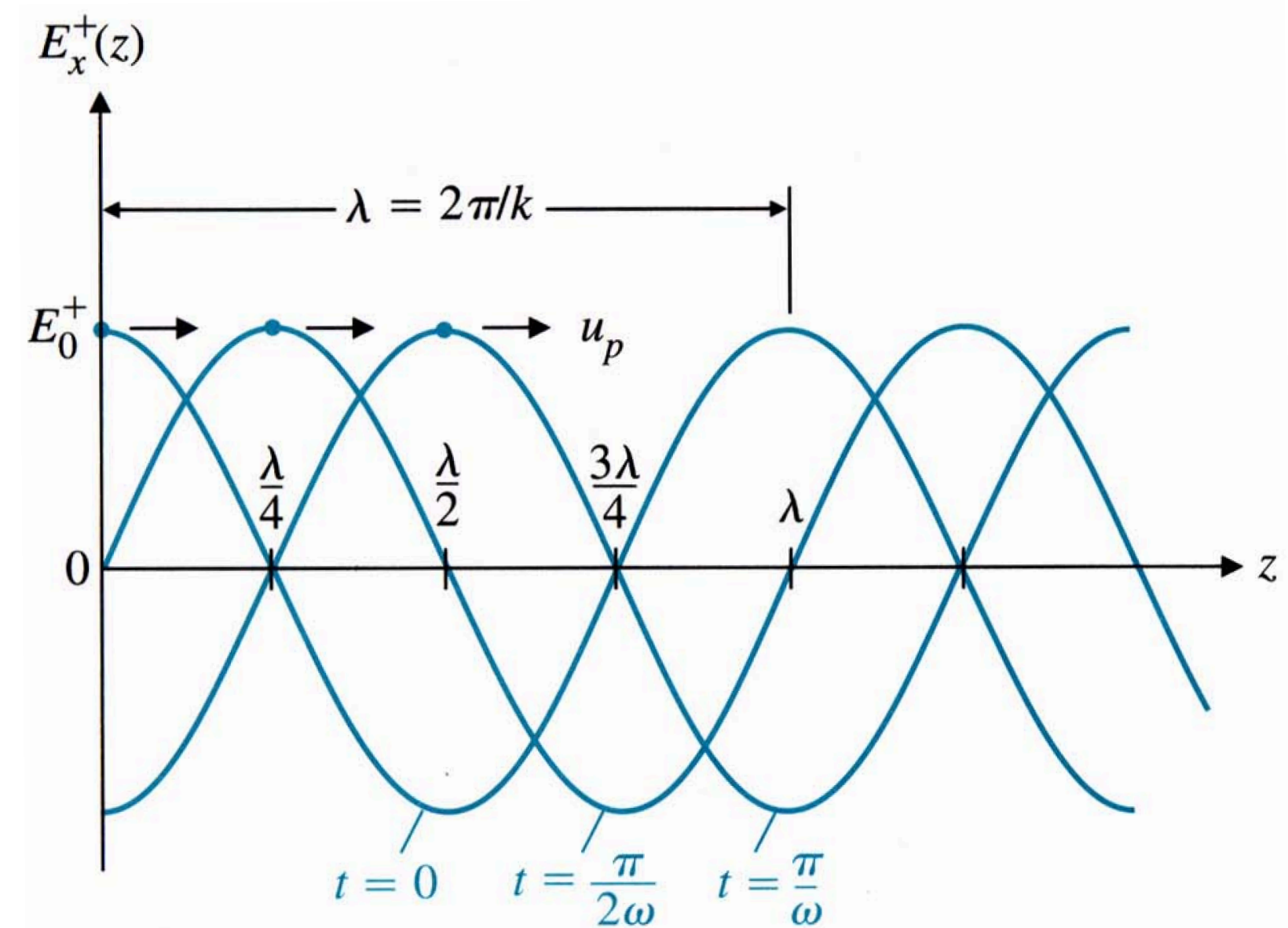
# Chap. 8 | Plane waves in free space (2/5)

## Uniform Plane wave propagating in z-direction

$$\frac{d^2 E_x}{dz^2} + k_0^2 E_x = 0 : \text{ODE because } E_x \text{ is only a function of } z$$

$$E_x(z) = E_x^+(z) + E_x^-(z) = E_0^+ e^{-jk_0z} + E_0^- e^{jk_0z}$$

→ propagating in -z direction  
→ propagating in +z direction



## Uniform plane wave in real time (traveling wave)

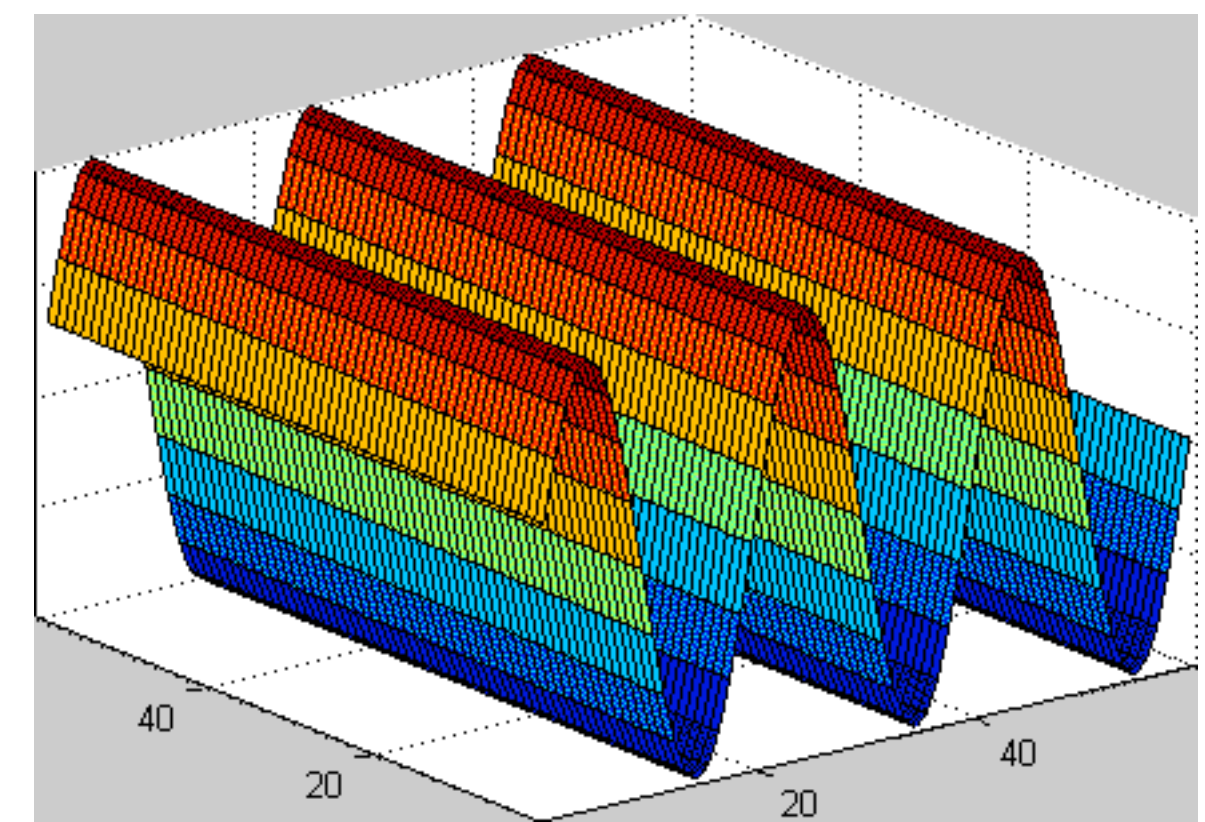
$$E_x^+(z,t) = \text{Re} [ E_x^+(z) e^{j\omega t} ] = \text{Re} [ E_0^+ e^{-jk_0z} e^{j\omega t} ]$$

$$= \text{Re} [ E_0^+ e^{j(\omega t - k_0z)} ] = E_0^+ \cos(\omega t - k_0z)$$

↓ (Let's omit "+" for simplicity)

$$E_x(z,t) = E_0 \cos(\omega t - k_0z) \quad \text{Traveling wave}$$

At successive times, the curve travels in the positive z direction



# Chap. 8 | Plane waves in free space (3/5)

## Uniform plane wave in real time (traveling wave)

### • Phase velocity

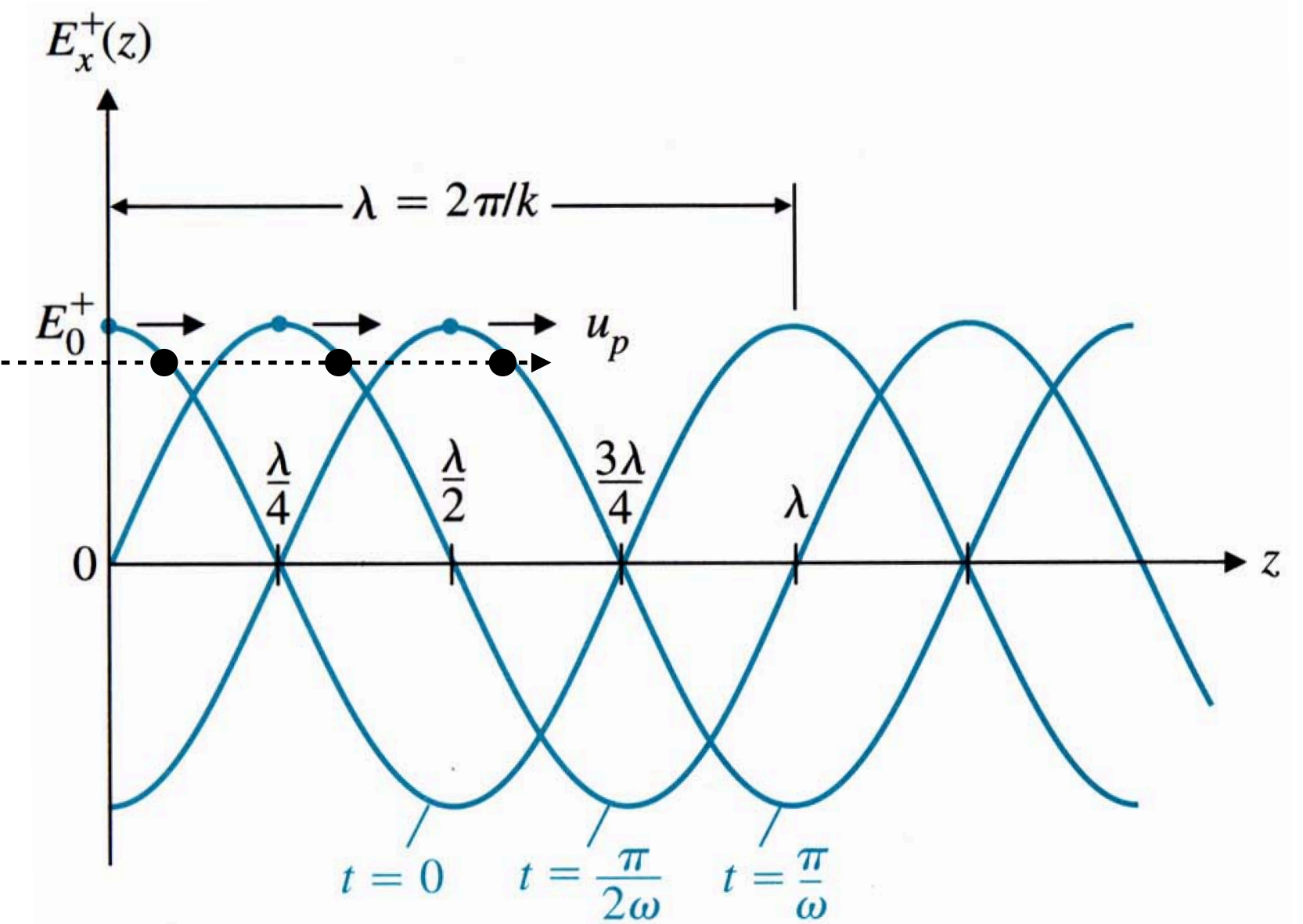
: velocity of propagation of *an equi-phase front*  
 (= traveling speed of *the point of particular phase*)

$$E_x(z, t) = E_0 \cos(\omega t - k_0 z)$$

$$\cos(\omega t - k_0 z) = \text{Constant}$$

$$\omega t - k_0 z = \text{Constant} \rightarrow$$

$$u_p = \frac{dz}{dt} = \frac{\omega}{k_0} = \frac{1}{\sqrt{\mu_0 \epsilon_0}} = c$$



### • Wavenumber and wavelength

$$k_0 = \omega \sqrt{\mu_0 \epsilon_0} = \frac{\omega}{c} = \frac{2\pi f}{c} = \frac{2\pi}{\lambda_0} \quad (\text{rad/m})$$

: the number of waves per unit distance  
 ∴ How strongly (many times) the wave oscillates (Strength of the oscillation)

$$\lambda_0 = \frac{c}{f} \quad (\text{m})$$

: How long the wave travels in one oscillation

## Chap. 8 | Plane waves in free space (4/5)

### Traveling wave in real time

#### • Associated magnetic field

$$\text{Since } \nabla \times \mathbf{E} = -j\omega\mu_0\mathbf{H}, \quad \nabla \times \mathbf{E} = \begin{vmatrix} \mathbf{a}_x & \mathbf{a}_y & \mathbf{a}_z \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ E_x(z) & 0 & 0 \end{vmatrix} = -j\omega\mu_0(\mathbf{a}_x H_x + \mathbf{a}_y H_y + \mathbf{a}_z H_z)$$

$$\text{we get } H_x = 0, \quad H_y = \frac{1}{-j\omega\mu_0} \frac{\partial E_x(z)}{\partial z}, \quad H_z = 0.$$

$$\text{Here, } H_y(z) = \frac{1}{-j\omega\mu_0} \frac{\partial E_x(z)}{\partial z} = \frac{1}{-j\omega\mu_0} (-jk_0 E_x(z)) \quad \left( \because \frac{\partial E_x(z)}{\partial z} = \frac{\partial}{\partial z} (E_0 e^{-jk_0 z}) = -jk_0 E_x(z) \right)$$

$$H_y(z) = \frac{k_0}{\omega\mu_0} E_x(z) = \frac{1}{\eta_0} E_x(z)$$

$$\text{where } \eta_0 = \frac{\omega\mu_0}{k_0} = \frac{\omega\mu_0}{\omega\sqrt{\mu_0\epsilon_0}} = \sqrt{\frac{\mu_0}{\epsilon_0}} \cong 377 \text{ } (\Omega) \text{ is intrinsic impedance of free space.}$$

#### Instantaneous expression for magnetic field

$$\mathbf{H}(z,t) = \mathbf{a}_y H_y(z,t) = \mathbf{a}_y \text{Re} [ H_y(z) e^{j\omega t} ] = \mathbf{a}_y \frac{E_0}{\eta_0} \cos(\omega t - k_0 z)$$



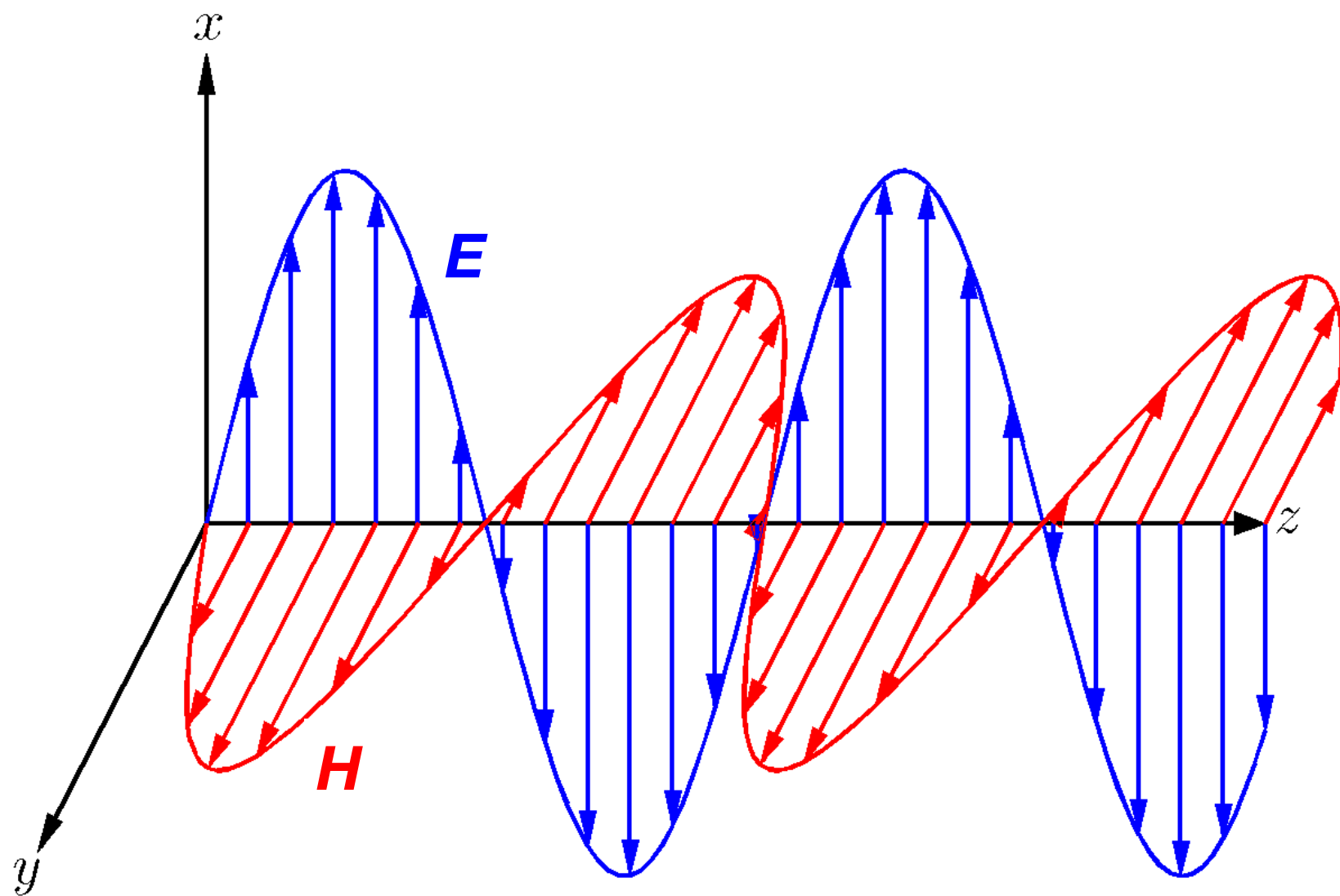
## Chap. 8 | Plane waves in free space (5/5)

### Characteristics of uniform plane wave

$$\begin{cases} \mathbf{E}(z,t) = \mathbf{a}_x \operatorname{Re} \left[ E_x(z) e^{j\omega t} \right] = \mathbf{a}_x E_0 \cos(\omega t - k_0 z) \\ \mathbf{H}(z,t) = \mathbf{a}_y \operatorname{Re} \left[ H_y(z) e^{j\omega t} \right] = \mathbf{a}_y \frac{E_0}{\eta_0} \cos(\omega t - k_0 z) \end{cases}$$

- $\mathbf{E}(z,t)$  and  $\mathbf{H}(z,t)$  are in phase
- The ratio of magnitudes of  $\mathbf{E}$ - and  $\mathbf{H}$ -fields = *intrinsic impedance* of the medium

$$\frac{\mathbf{E}(z,t)}{\mathbf{H}(z,t)} \neq \eta_0 = \frac{E_x(z)}{H_x(z)} \quad \text{Should be obtained from Phasors!}$$



### Transverse Electromagnetic (TEM) Waves

- Both  $\mathbf{E}(z,t)$  and  $\mathbf{H}(z,t)$  are *transverse (or normal)* to propagation direction ( $z$ )
- $\mathbf{E}(z,t)$  and  $\mathbf{H}(z,t)$  are perpendicular to each other

## Chap. 8 | Transverse Electromagnetic Waves (1/4)

Uniform plane wave propagating in “an arbitrary direction”

$$\mathbf{E}(\mathbf{R}) = \mathbf{E}_0 e^{-j\mathbf{k}\cdot\mathbf{R}} = \mathbf{E}_0 e^{-jk\mathbf{a}_n\cdot\mathbf{R}} \quad \mathbf{E}(z) = E_0 e^{-jkz} \text{ :Uniform plane wave propagating “in the +z-direction”}$$

where  $\mathbf{k} = \mathbf{a}_x k_x + \mathbf{a}_y k_y + \mathbf{a}_z k_z = k\mathbf{a}_n$  is wavenumber vector where  $k = \sqrt{k_x^2 + k_y^2 + k_z^2}$  and  $\mathbf{a}_n$  denotes propagation direction.

Here,  $\mathbf{R} = \mathbf{a}_x x + \mathbf{a}_y y + \mathbf{a}_z z$  is position vector.

### • E-field vs. propagation direction

In a source-free region,  $\nabla \cdot \mathbf{E} = 0$

$$\nabla \cdot \mathbf{E} = \nabla \cdot (\mathbf{E}_0 e^{-j\mathbf{k}\cdot\mathbf{R}}) = (e^{-j\mathbf{k}\cdot\mathbf{R}}) \nabla \cdot \mathbf{E}_0 + \mathbf{E}_0 \cdot \nabla (e^{-j\mathbf{k}\cdot\mathbf{R}})$$

$$\nabla \cdot (f\mathbf{A}) = f\nabla \cdot \mathbf{A} + \mathbf{A} \cdot \nabla f$$

$$\nabla (e^{-j\mathbf{k}\cdot\mathbf{R}}) = -j\mathbf{k}e^{-j\mathbf{k}\cdot\mathbf{R}}$$

$$\nabla \cdot \mathbf{E} = -j(\mathbf{E}_0 \cdot \mathbf{k})e^{-j\mathbf{k}\cdot\mathbf{R}} = 0$$

$$\therefore \mathbf{E}_0 \cdot \mathbf{k} = \mathbf{E}_0 \cdot \mathbf{a}_n = 0$$

*E-field is transverse (normal) to propagation direction!*

# Chap. 8 | Transverse Electromagnetic Waves (2/4)

## Uniform plane wave propagating in “an arbitrary direction”

- Associated Magnetic field

Since  $\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H}$ ,

$$\begin{aligned} \mathbf{H}(\mathbf{R}) &= -\frac{1}{j\omega\mu} \nabla \times \mathbf{E}(\mathbf{R}) = \frac{1}{\eta} \mathbf{a}_n \times \mathbf{E}(\mathbf{R}) \\ &= \frac{1}{\eta} (\mathbf{a}_n \times \mathbf{E}_0) e^{-jk \cdot \mathbf{R}} = \mathbf{H}_0 e^{-jk \cdot \mathbf{R}} \end{aligned}$$

where  $\eta = \frac{\omega\mu}{k} = \sqrt{\frac{\mu}{\epsilon}}$  ( $\Omega$ ) : *Intrinsic impedance of the medium*

$$\begin{aligned} \nabla \times (f\mathbf{A}) &= f \cdot (\nabla \times \mathbf{A}) + \nabla f \times \mathbf{A} \\ \nabla \times \mathbf{E}(\mathbf{R}) &= \nabla \times (\mathbf{E}_0 e^{-jk \cdot \mathbf{R}}) = e^{-jk \cdot \mathbf{R}} (\nabla \times \mathbf{E}_0) - \nabla (e^{-jk \cdot \mathbf{R}}) \times \mathbf{E}_0 \\ &= -j\mathbf{k} \times (\mathbf{E}_0 e^{-jk \cdot \mathbf{R}}) \\ &= -jk\mathbf{a}_n \times \mathbf{E}(\mathbf{R}) \quad \text{where } k = \omega\sqrt{\mu\epsilon} \end{aligned}$$

$$\therefore \mathbf{H}_0 \cdot \mathbf{k} = \mathbf{H}_0 \cdot \mathbf{a}_n = 0$$

*H-field is also transverse (normal) to propagation direction!*

c.f.)  $\therefore \mathbf{E}_0 \cdot \mathbf{k} = \mathbf{E}_0 \cdot \mathbf{a}_n = 0$

- A uniform plane wave propagating in  $\mathbf{a}_n$

= *Transverse Electromagnetic (TEM) wave* such that  $\mathbf{E} \perp \mathbf{H}$  and both  $\mathbf{E}$  &  $\mathbf{H}$  are normal to  $\mathbf{a}_n$

- Relationship between  $\mathbf{H}(\mathbf{R})$  &  $\mathbf{E}(\mathbf{R})$

$$\mathbf{E}(\mathbf{R}) = -\eta \mathbf{a}_n \times \mathbf{H}(\mathbf{R})$$

# Chap. 8 | Transverse Electromagnetic Waves (3/4)

## Polarization of plane waves

: describing time-varying behavior of the  $\mathbf{E}$ -field at a given point in space

e.g.)  $\mathbf{E}$ -field of plane wave  $\mathbf{E} = \mathbf{a}_x E_x$  : **Linearly polarized** in x-direction

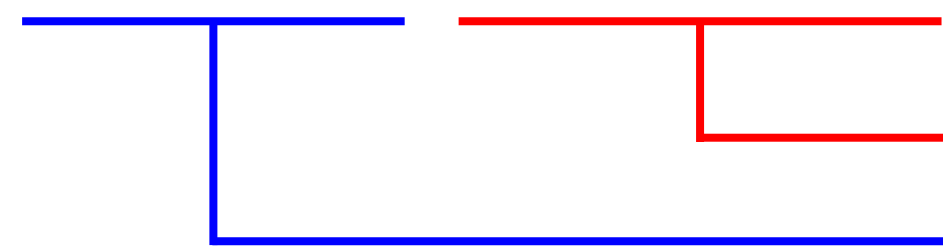
\*  $\mathbf{H}$ -field does not need to be specified  $\rightarrow$   $\mathbf{H}$ -field can be determined by  $\mathbf{E}$ -field by  $\mathbf{H}(\mathbf{R}) = \frac{1}{\eta} \mathbf{a}_n \times \mathbf{E}(\mathbf{R})$

## Example: Circularly polarized wave

• Superposition of two linearly-polarized waves

$$\mathbf{E}(z) = \mathbf{a}_x E_1(z) + \mathbf{a}_y E_2(z)$$

$$= \mathbf{a}_x E_{10} e^{-jkz} - \mathbf{a}_y j E_{20} e^{-jkz} \quad -j = e^{-j\frac{\pi}{2}}$$



Polarized in y-direction, but lagging in time phase by  $90^\circ$  ( $\pi/2$ )

Polarized in x-direction

• Instantaneous expression for  $\mathbf{E}$

$$\mathbf{E}(z, t) = \text{Re} \left[ \mathbf{E}(z) e^{j\omega t} \right] = \text{Re} \left[ \left\{ \mathbf{a}_x E_1(z) + \mathbf{a}_y E_2(z) \right\} e^{j\omega t} \right]$$

$$= \mathbf{a}_x E_{10} \cos(\omega t - kz) + \mathbf{a}_y E_{20} \cos\left(\omega t - kz - \frac{\pi}{2}\right)$$

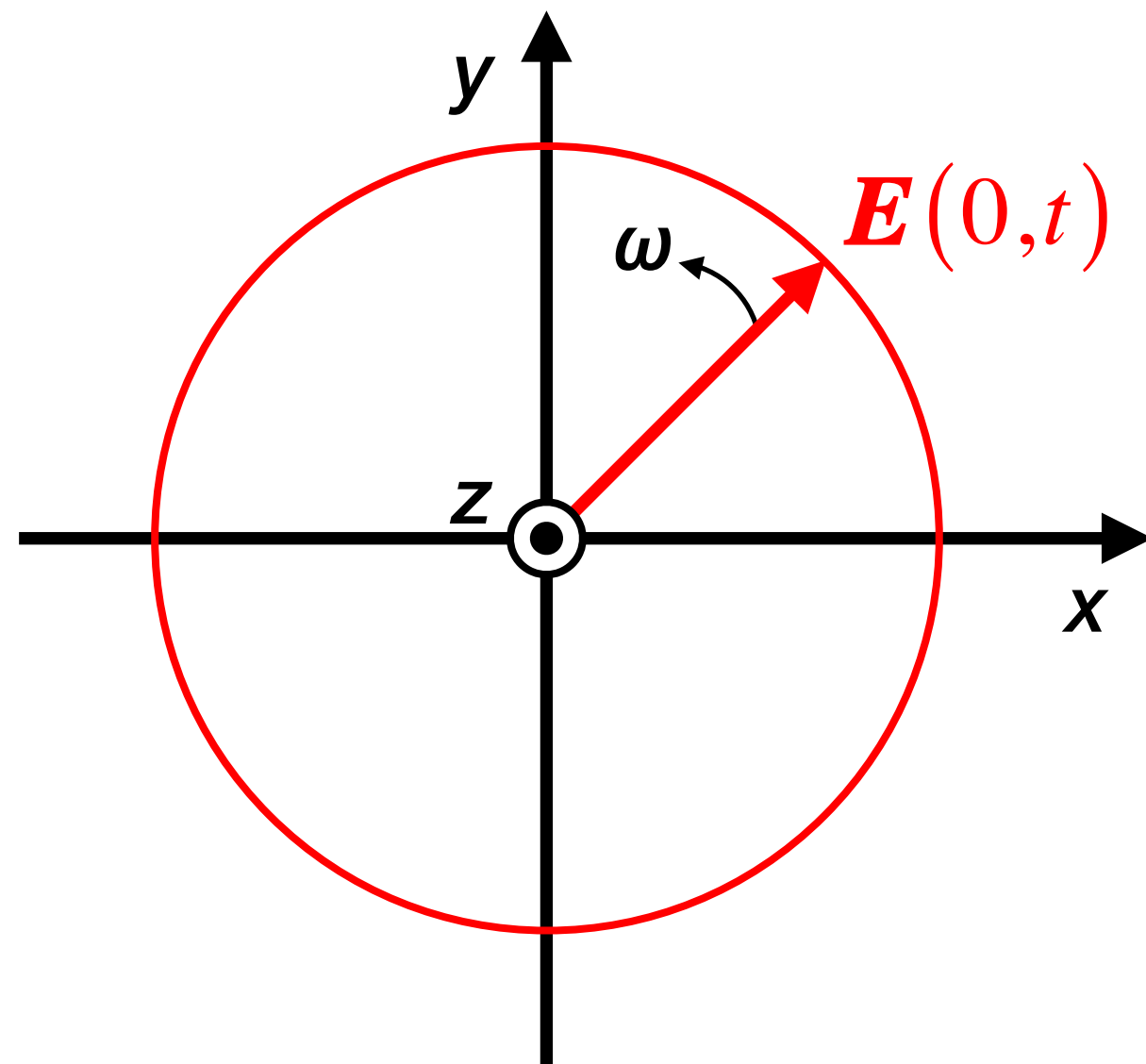


# Chap. 8 | Transverse Electromagnetic Waves (4/4)

## Example: Circularly polarized wave

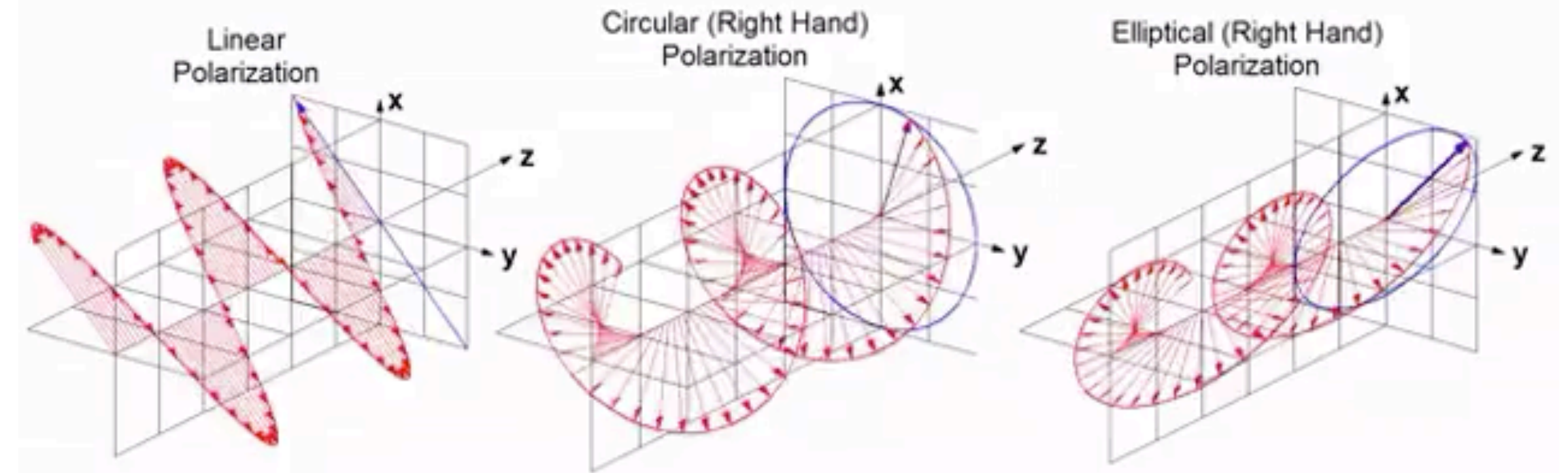
$$\mathbf{E}(z,t) = \mathbf{a}_x E_{10} \cos(\omega t - kz) + \mathbf{a}_y E_{20} \cos\left(\omega t - kz - \frac{\pi}{2}\right)$$

$$\mathbf{E}(0,t) = \mathbf{a}_x E_{10} \cos \omega t + \mathbf{a}_y E_{20} \sin \omega t$$



If  $E_{10} = E_{20}$ , wave is *circularly polarized*

If  $E_{10} \neq E_{20}$ , wave is *elliptically polarized*



- **Propagation direction**

- *Right-hand* circularly polarized wave

- Thumb of the *right* hand: propagation direction

- Fingers of the *right* hand: rotation of  $\mathbf{E}$

$$\mathbf{E}(0,t) = \mathbf{a}_x E_{10} \cos \omega t + \mathbf{a}_y E_{20} \sin \omega t$$

- *left-hand* circularly polarized wave

- Thumb of the *left* hand: propagation direction

- Fingers of the *left* hand: rotation of  $\mathbf{E}$

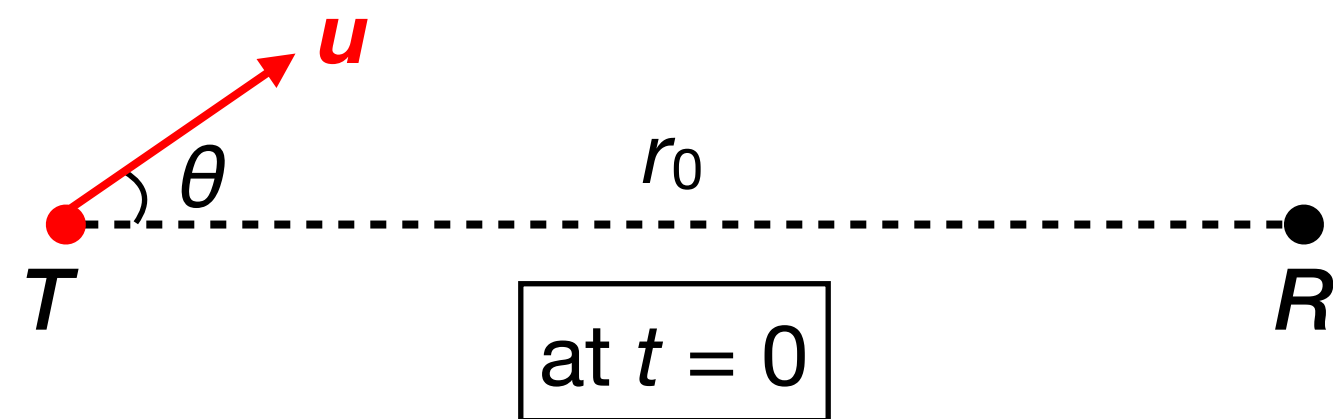
$$\mathbf{E}(0,t) = \mathbf{a}_x E_{10} \cos \omega t - \mathbf{a}_y E_{20} \sin \omega t$$

**Circularly polarized wave =  
Sum of TWO linearly polarized waves in both space and time quadrature**

# Chap. 8 | Doppler Effect (1/2)

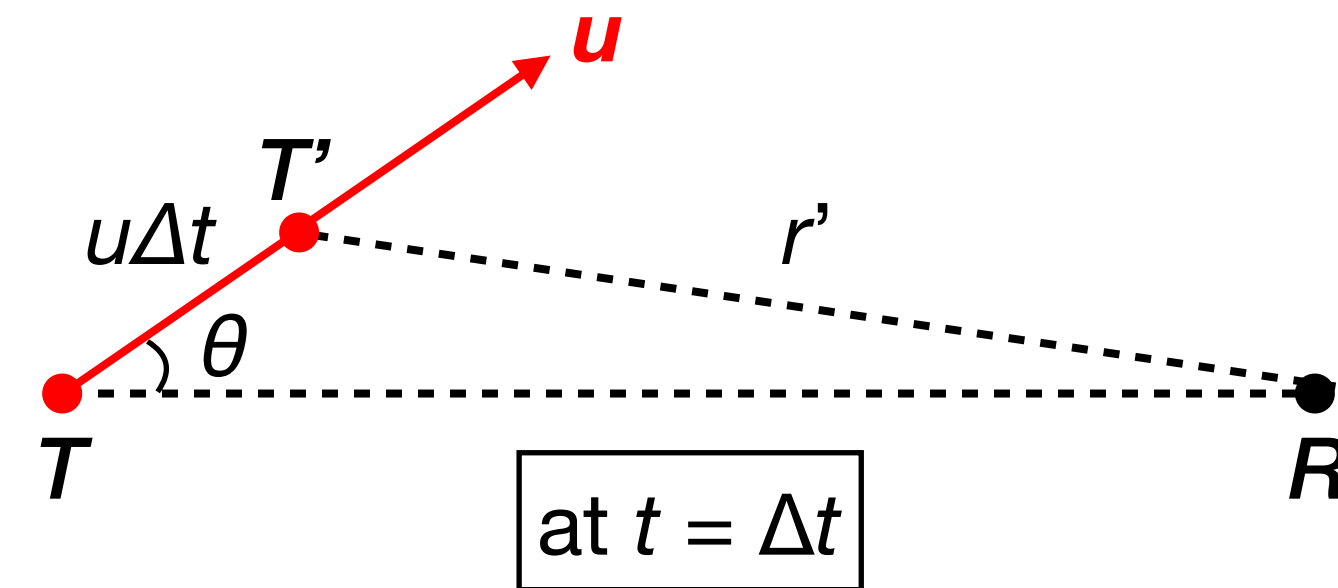
## Doppler effect

- Frequency of the wave sensed by the receiver  $\neq$  Frequency of the wave emitted by the source when there is **relative motion between them**



Time ( $t_1$ ) that EM wave emitted at  $t = 0$  from  $T$  will reach at  $R$

$$t_1 = \frac{r_0}{c}$$



Time ( $t_2$ ) that EM wave emitted at  $t = \Delta t$  from  $T'$  will reach at  $R$

$$t_2 = \Delta t + \frac{r'}{c} = \Delta t + \frac{1}{c} \sqrt{r_0^2 - 2r_0(u\Delta t)\cos\theta + (u\Delta t)^2}$$

$$\cong \Delta t + \frac{r_0}{c} \left( 1 - \frac{u\Delta t}{r_0} \cos\theta \right) \quad \text{if } (u\Delta t)^2 \ll r_0^2$$

- Elapsed time at  $R$  when the second wave arrives after the first wave arrived

$$t_2 - t_1 = \Delta t' = \Delta t \left( 1 - \frac{u}{c} \cos\theta \right)$$

- If  $\Delta t = 1/f$ : a period of the time-harmonic source,

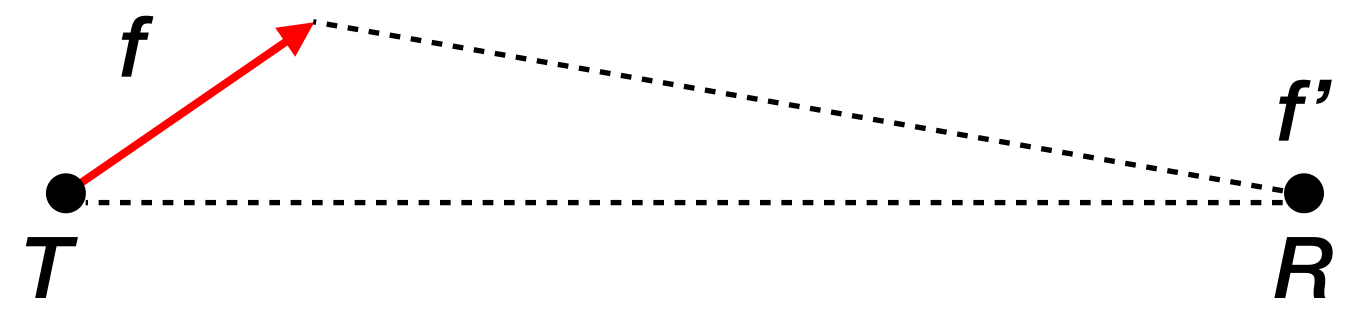
$$f' = \frac{1}{\Delta t'} = \frac{f}{1 - \frac{u}{c} \cos\theta} \cong f \left( 1 + \frac{u}{c} \cos\theta \right) \quad \text{if } \left( \frac{u}{c} \right)^2 \ll 1$$

# Chap. 8 | Doppler Effect (2/2)

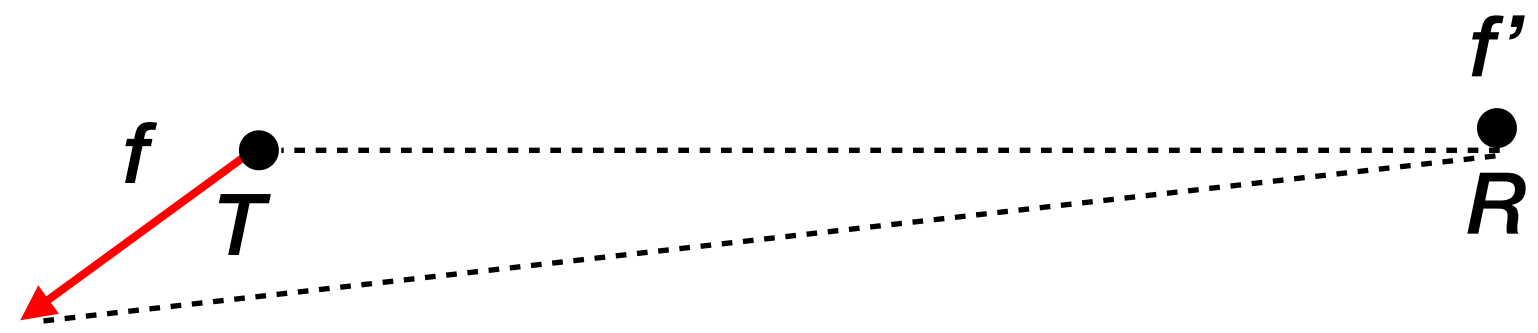
## Doppler effect

$$f' = \frac{1}{\Delta t'} = \frac{f}{1 - \frac{u}{c} \cos \theta} \cong f \left( 1 + \frac{u}{c} \cos \theta \right)$$

$f$ : frequency of the transmitted wave from  $T$   
 $f'$ : frequency of the received wave at  $R$



$f' > f$   
 When  $T$  moves toward  $R$

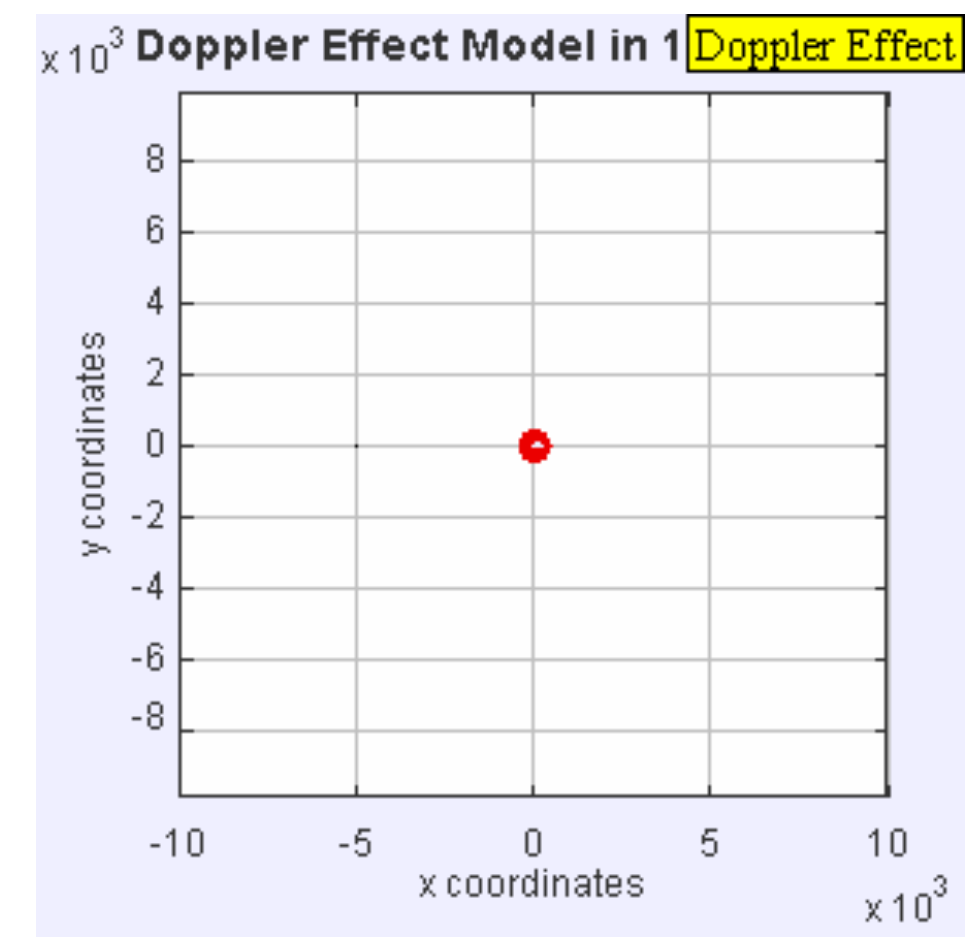


$f' < f$   
 When  $T$  moves away  $R$

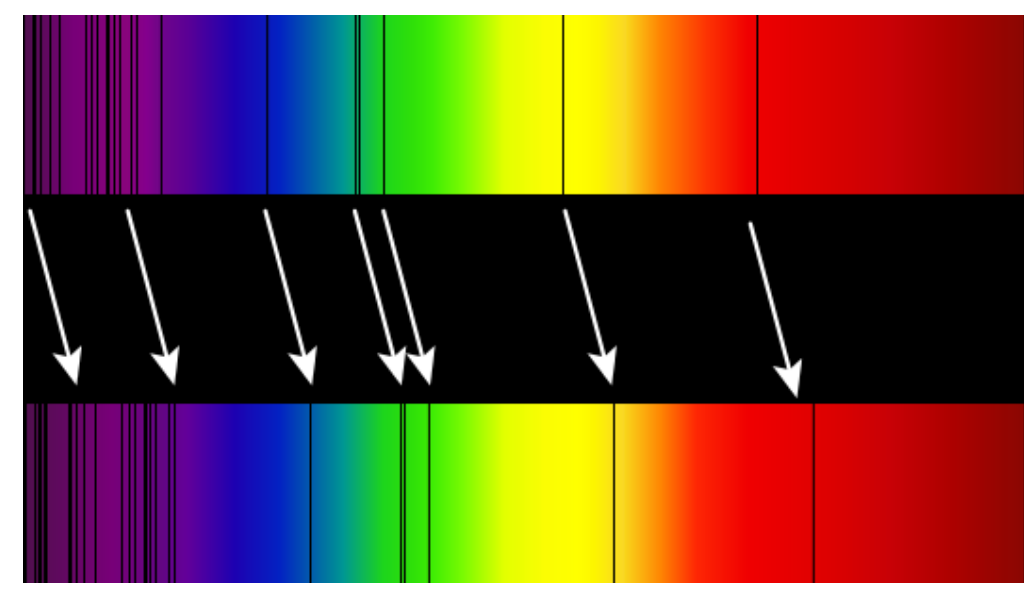
- Doppler effect caused by**
- motion of the source
  - motion of the observer
  - motion of the medium

## Doppler effect example

- Police speed gun (HW!)
- Speed measurements for stars or galaxies
  - Approaching stars: blue shift
  - Receding stars: red shift



Doppler effect simulation



Redshift of spectral lines in the optical spectrum of a distant galaxy (bottom) vs. that of the sun (top)

# Electromagnetics

*<Chap. 8> Plane Electromagnetic waves*

**Section 8.1 ~ 8.4**

**(2nd class of week 3)**

Jaesang Lee

Dept. of Electrical and Computer Engineering

Seoul National University

(email: [jsanglee@snu.ac.kr](mailto:jsanglee@snu.ac.kr))



# **Chap. 8 | Contents for 2<sup>nd</sup> class of week 3**

**Sec 3. Plane waves in “lossy” media**

**Sec 4. Group velocity**

# Chap. 8 | Plane waves in source-free “Lossy Media”

## Wave equations

$$\nabla^2 \mathbf{E} + k_c^2 \mathbf{E} = 0 \quad \text{where } k_c = \omega \sqrt{\mu \epsilon_c} \text{ is a complex wavenumber}$$

## Propagation constant $\gamma$

$$\gamma = jk_c = j\omega \sqrt{\mu \epsilon_c} \quad (\text{m}^{-1}) \quad \text{Conventional notation used in transmission-line theory}$$

Since complex permittivity is given by  $\epsilon_c = \epsilon - j \frac{\sigma}{\omega}$ ,

$$\gamma = j\omega \sqrt{\mu \epsilon \left( 1 - j \frac{\sigma}{\omega \epsilon} \right)} = \alpha + j\beta$$

## Plane wave in terms of $\gamma$

$$\nabla^2 \mathbf{E} - \gamma^2 \mathbf{E} = 0 \quad \longrightarrow \quad \text{Solution: transverse electromagnetic (TEM) wave propagating in z-direction}$$

$$\mathbf{E} = \mathbf{a}_x E_x = \mathbf{a}_x E_0 e^{-\gamma z} \quad (\text{wave is linearly polarized in the x-direction})$$

$$E_x = E_0 e^{-\gamma z} = E_0 e^{-\alpha z} e^{-j\beta z} \quad \left\{ \begin{array}{l} e^{-\alpha z} : \text{Attenuation factor} \rightarrow \alpha: \text{Attenuation constant (Np/m)} \\ e^{-j\beta z} : \text{Phase factor} \rightarrow \beta: \text{phase constant (rad/m)} \end{array} \right.$$

# Chap. 7 | Complex permittivity (Review)

## Complex permittivity

$$\begin{aligned}\nabla \times \mathbf{H} &= \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t} \rightarrow \nabla \times \mathbf{H} = \mathbf{J} + j\omega\epsilon\mathbf{E} \\ &= (\sigma + j\omega\epsilon)\mathbf{E} = j\omega\left(\epsilon + \frac{\sigma}{j\omega}\right)\mathbf{E} = j\omega\epsilon_c\mathbf{E} \\ \text{where } \epsilon_c &= \epsilon - j\frac{\sigma}{\omega} \text{ (F/m) is } \textit{complex permittivity}\end{aligned}$$

## Physical origin of complex permittivity

### • Out-of-phase polarization

- When external time-varying  $\mathbf{E}$ -field applied to material bodies  $\rightarrow$  Slight displacements of bound charges (electric dipoles)
- As frequency of time-varying  $\mathbf{E}$ -field increases
  - *Inertia of charged particles* resists *against*  $\mathbf{E}$ -field
  - *Inertia of charged particles* prevents dipoles from being in phase with field change  $\rightarrow$  *Frictional damping*

### • Ohmic loss

- if materials have sufficient amount of free charges

$$\epsilon_c = \epsilon - j\frac{\sigma}{\omega} \text{ (representing damping and ohmic losses)}$$

## Chap. 8 | Plane wave in “Low-loss” dielectrics (1/2)

Meaning of low-loss?

$$\begin{aligned}\epsilon_c &= \epsilon - j\frac{\sigma}{\omega} = \epsilon \left(1 - j\frac{\sigma}{\epsilon\omega}\right) \\ &= \epsilon' - j\epsilon'' = \epsilon' \left(1 - j\frac{\epsilon''}{\epsilon'}\right)\end{aligned}$$

$\sigma$  is non-zero, but **small**  $\rightarrow \frac{\sigma}{\epsilon\omega} \ll 1$  or  $\frac{\epsilon''}{\epsilon'} \ll 1$

Propagation constant

$$\gamma \triangleq jk_c = j\omega\sqrt{\mu\epsilon_c} = j\omega\sqrt{\mu\epsilon'} \left(1 - j\frac{\epsilon''}{\epsilon'}\right)^{\frac{1}{2}} \cong j\omega\sqrt{\mu\epsilon'} \left[1 - j\frac{\epsilon''}{2\epsilon'} + \frac{1}{8}\left(\frac{\epsilon''}{\epsilon'}\right)^2\right] = \alpha + j\beta$$

$\uparrow$   
 $\therefore$  binomial expansion:  $\sqrt{1+x} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 + \dots$

**Attenuation constant**  $\alpha = -j\omega\sqrt{\mu\epsilon'} \left(j\frac{\epsilon''}{2\epsilon'}\right) = \frac{\omega\epsilon''}{2} \sqrt{\frac{\mu}{\epsilon'}}$  (Np/m)

**Phase constant**  $\beta = \omega\sqrt{\mu\epsilon'} \left[1 + \frac{1}{8}\left(\frac{\epsilon''}{\epsilon'}\right)^2\right]$  (rad/m)



## Chap. 8 | Plane wave in “Low-loss” dielectrics (2/2)

### Intrinsic impedance

$$\eta_c = \frac{E_x(z)}{H_x(z)} = \sqrt{\frac{\mu}{\epsilon_c}}$$
$$= \sqrt{\frac{\mu}{\epsilon'}} \left(1 - j \frac{\epsilon''}{\epsilon'}\right)^{-\frac{1}{2}} \cong \sqrt{\frac{\mu}{\epsilon'}} \left(1 + j \frac{\epsilon''}{2\epsilon'}\right) \quad (\Omega)$$

Complex Intrinsic Impedance →  
Electric and Magnetic fields are **NOT in time-phase**  
c.f.) They are in phase in a lossless medium ( $\eta_c$  is a real number)

### Phase velocity

$$u_p = \frac{dz}{dt} = \frac{\omega}{\beta} \cong \frac{1}{\sqrt{\mu\epsilon'}} \left[1 - \frac{1}{8} \left(\frac{\epsilon''}{\epsilon'}\right)^2\right] \quad (\text{m/s}) \quad \text{c.f.) } u_p = \frac{dz}{dt} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\epsilon}} \quad \text{for plane wave in “lossless” medium}$$

$$\because \beta = \omega \sqrt{\mu\epsilon'} \left[1 + \frac{1}{8} \left(\frac{\epsilon''}{\epsilon'}\right)^2\right]$$

## Chap. 8 | Plane wave in “good” conductors (1/2)

Meaning of “good” conductors?

$$\epsilon_c = \epsilon + \frac{\sigma}{j\omega} = \epsilon \left( 1 + \frac{\sigma}{j\omega\epsilon} \right) \quad \sigma \text{ is large} \rightarrow \boxed{\frac{\sigma}{\epsilon\omega} \gg 1} \quad \rightarrow \quad \epsilon_c \cong \epsilon \left( \frac{\sigma}{j\omega\epsilon} \right) = \frac{\sigma}{j\omega}$$

Propagation constant

$$\gamma \triangleq jk_c = j\omega\sqrt{\mu\epsilon_c} \cong j\omega\sqrt{\mu\left(\frac{\sigma}{j\omega}\right)} = \sqrt{j}\sqrt{\omega\mu\sigma} \quad \because \sqrt{j} = \left(e^{j\pi/2}\right)^{1/2} = e^{j\pi/4} = \frac{1+j}{\sqrt{2}}$$

$$= \frac{1+j}{\sqrt{2}}\sqrt{\omega\mu\sigma} = (1+j)\sqrt{\pi f\mu\sigma} = \alpha + j\beta$$

$$\boxed{\omega = 2\pi f}$$

$$\therefore \alpha = \beta = \sqrt{\pi f\mu\sigma}$$

Intrinsic impedance

$$\eta \triangleq \sqrt{\frac{\mu}{\epsilon_c}} \cong \sqrt{\frac{\mu}{\left(\frac{\sigma}{j\omega}\right)}} = \sqrt{\frac{j\omega\mu}{\sigma}} = (1+j)\sqrt{\frac{\pi f\mu}{\sigma}} = (1+j)\frac{\alpha}{\sigma} \quad (\Omega)$$

$$\therefore \eta = (1+j)\frac{\alpha}{\sigma} \quad (\Omega)$$

**Phase angle of 45°  
(Magnetic field lags behind  
Electric field by 45°)**

## Chap. 8 | Plane wave in “good” conductors (2/2)

### Phase velocity

$$u_p = \frac{\omega}{\beta} \cong \frac{\omega}{\sqrt{\pi f \mu \sigma}} = 2 \sqrt{\frac{\pi f}{\mu \sigma}} \quad (\text{m/s})$$

### Wavelength of a plane wave

$$\lambda = \frac{2\pi}{\beta} = \frac{u_p}{f} \cong 2 \sqrt{\frac{\pi}{f \mu \sigma}} \quad (\text{m})$$

### Skin depth (Depth of penetration)

$$e^{-\alpha \delta} = e^{-1} \sim 0.368 \quad \delta = \frac{1}{\alpha} : \text{Distance through which amplitude of wave is attenuated by a factor of } e^{-1}$$

e.g.) For copper where  $\sigma = 5.8 \times 10^7$  (S/m) and  $\mu = 4\pi \times 10^{-7}$  (H/m),

$$\begin{aligned} \delta &= \frac{1}{\alpha} = \frac{1}{\sqrt{\pi f \mu \sigma}} = 0.038 \quad (\text{mm}) \quad \text{at } f = 3 \text{ (MHz)} \\ &= 0.66 \quad (\mu\text{m}) \quad \text{at } f = 10 \text{ (GHz)} \end{aligned}$$

∴ At high frequency, EM wave is **attenuated very rapidly** in a good conductor

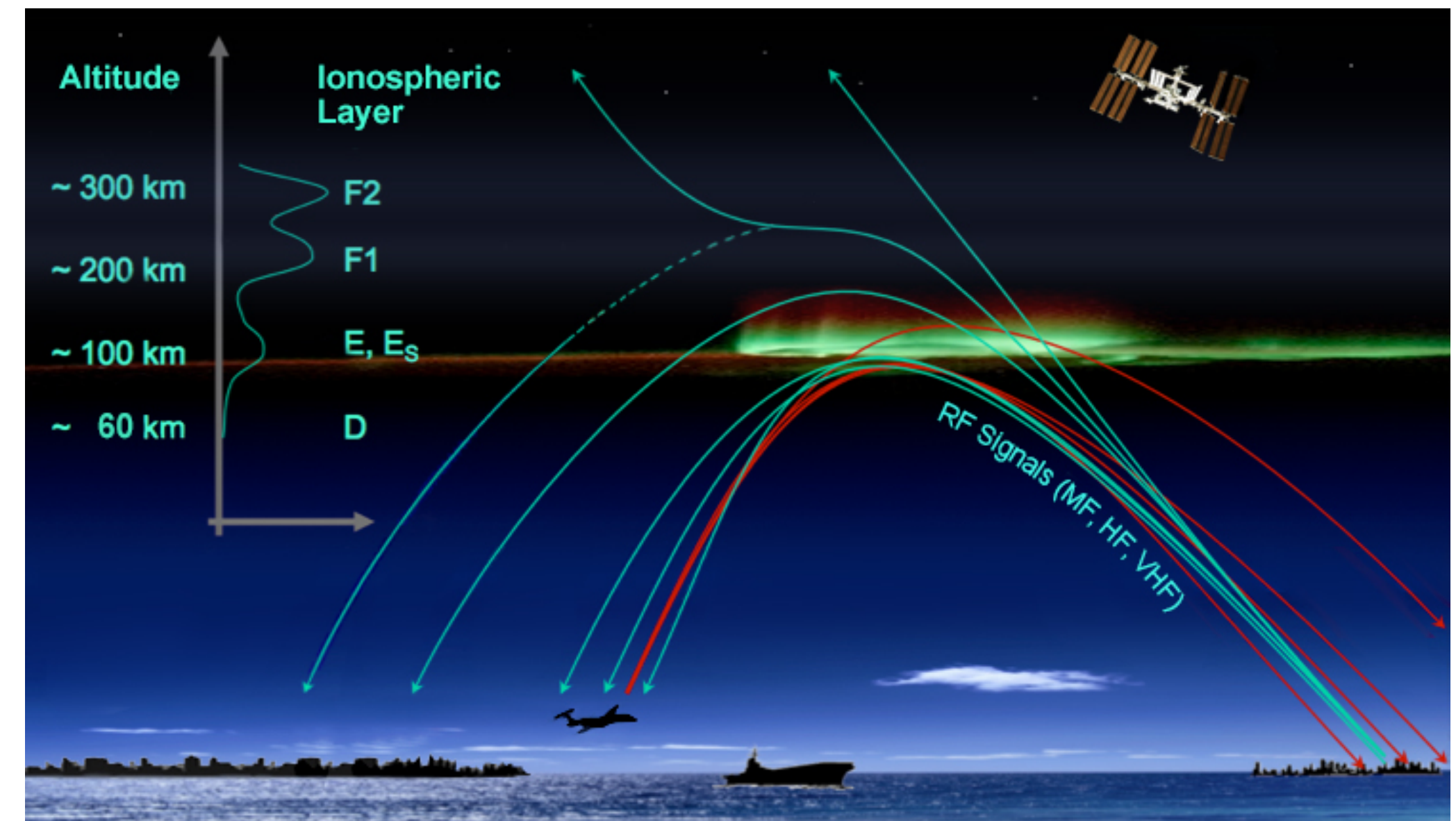
→ Fields and currents are **confined in a very thin layer of the conductor surface**

# Chap. 8 | Plane wave in ionized gases (1/3)

## Ionosphere



Img src: Nasa



Img src: [astrosurf.com](http://astrosurf.com)

- Ionosphere ranges from 60 km (37 mi) ~ 1,000 km (620 mi) altitude
- Ionosphere = free electrons + positive ions (Ionized by solar radiation or cosmic rays)
- Such *ionized gases* with *equal number* of electrons and ions: **Plasma**
- Used for long-distance *radio communication*



## Chap. 8 | Plane wave in ionized gases (2/3)

### Simplified model

- Due to lighter mass of electrons, they are more accelerated by E-field than positive ions
- Ionized gases ~ free electron gas, and motion of ions neglected
- An electron (-e) in a time-harmonic electric field (with angular frequency  $\omega$ )

$$-e\mathbf{E} = m \frac{d^2 \mathbf{x}}{dt^2} = -m\omega^2 \mathbf{x} \quad \rightarrow \quad \mathbf{x} = \frac{e}{m\omega^2} \mathbf{E} \quad \text{where } \mathbf{x} \text{ and } \mathbf{E} \text{ are phasors, and } x \text{ is displacement distance from positive ion}$$

### • Polarization

$$\mathbf{p} = -e\mathbf{x} \quad \rightarrow \quad \mathbf{P} = N\mathbf{p} = -\frac{Ne^2}{m\omega^2} \mathbf{E} \quad : \text{ Volume density of electric dipole moment (or polarization vector)} \\ \text{where } N \text{ is the number of electrons per unit volume}$$

### • Plasma oscillation frequency

$$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P} = \epsilon_0 \left( 1 - \frac{Ne^2}{m\omega^2 \epsilon_0} \right) \mathbf{E} = \epsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2} \right) \mathbf{E} \quad \text{where } \omega_p = \sqrt{\frac{Ne^2}{m\epsilon_0}} \text{ (rad/s)} : \text{ Plasma angular frequency}$$

Corresponding plasma frequency

$$f_p = \frac{\omega_p}{2\pi} = \frac{1}{2\pi} \sqrt{\frac{Ne^2}{m\epsilon_0}} \quad (\text{Hz})$$



# Chap. 8 | Plane wave in ionized gases (3/3)

## Plasma oscillation

$$\mathbf{D} = \epsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2} \right) \mathbf{E} = \epsilon_p \mathbf{E} \quad \text{where} \quad \epsilon_p = \epsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2} \right) = \epsilon_0 \left( 1 - \frac{f_p^2}{f^2} \right) \quad (\text{F/m}) \quad : \text{Permittivity of ionosphere (or plasma)}$$

“Effective” relative permittivity  $\epsilon_r$

- When  $f = f_p \rightarrow \epsilon_p = 0 \rightarrow \mathbf{D} = 0$ , although  $\mathbf{E}$  still exists.

Note that  $\mathbf{D}$  depends *only on free charges* ( $\because \nabla \cdot \mathbf{D} = \rho$ ) c.f.)  $\mathbf{E}$  depends on both free charges and polarization charges

$\therefore$  At  $f = f_p$ , an oscillating  $E$ -field exist in the plasma *in the absence of free charges*  $\rightarrow$  “Plasma oscillation”

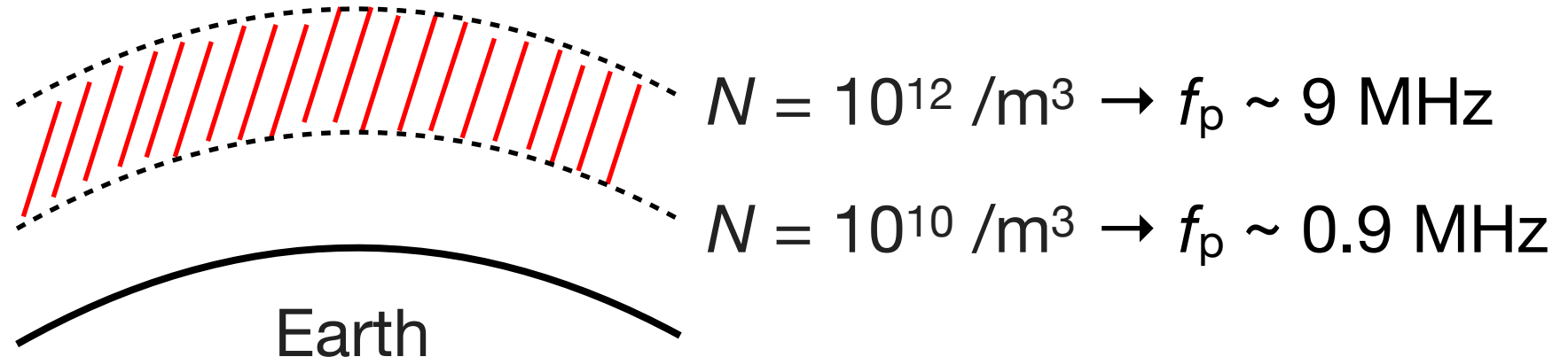
## Wave propagation

$$\gamma = j\omega \sqrt{\mu_0 \epsilon_p} = j\omega \sqrt{\mu_0 \epsilon_0} \sqrt{1 - \left( \frac{f_p}{f} \right)^2} \quad \left\{ \begin{array}{l} f < f_p : \gamma \text{ purely real} \rightarrow \text{A reactive load with NO transmission of power} \\ f > f_p : \gamma \text{ purely imaginary} \rightarrow \text{EM wave propagating without attenuation} \end{array} \right.$$

$f_p$  : “Cut-off” frequency

- Radio communication in ionosphere

$$f_p = \frac{1}{2\pi} \sqrt{\frac{Ne^2}{m\epsilon_0}} \sim 9\sqrt{N} \quad (\text{Hz})$$



- \*  $N$  at a given altitude vs. time of the day, season, and other factors
- \* signal should be sent *at a frequency larger than 9 (MHz)*

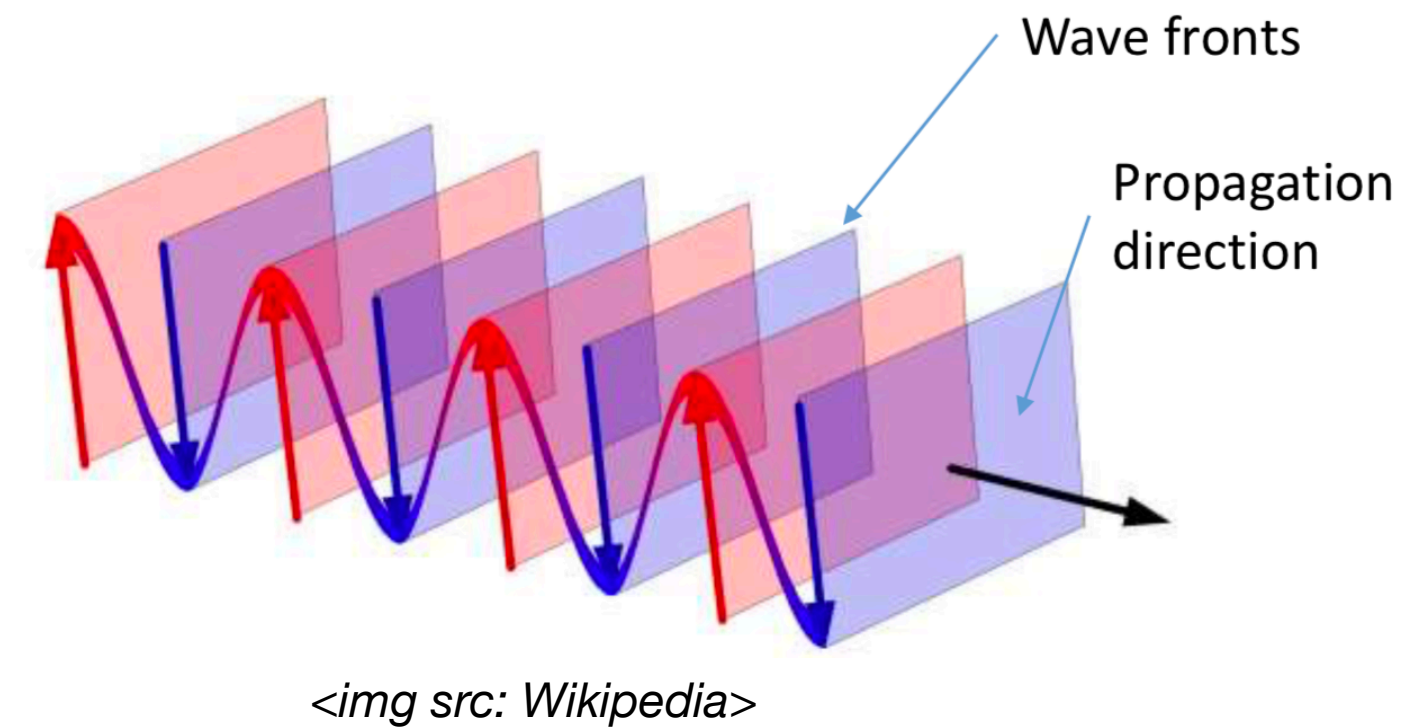
# Chap. 8 | Group Velocity (1/3)

## Phase velocity vs. frequency

$$u_p = \frac{\omega}{\beta} \quad (\text{m/s}) : \text{Velocity of propagation of an equi-phase front}$$

- For plane waves in a lossless medium

$$\beta = \omega \sqrt{\mu\epsilon} \quad \rightarrow \quad u_p = \frac{1}{\sqrt{\mu\epsilon}} \quad \text{Independent of frequency}$$

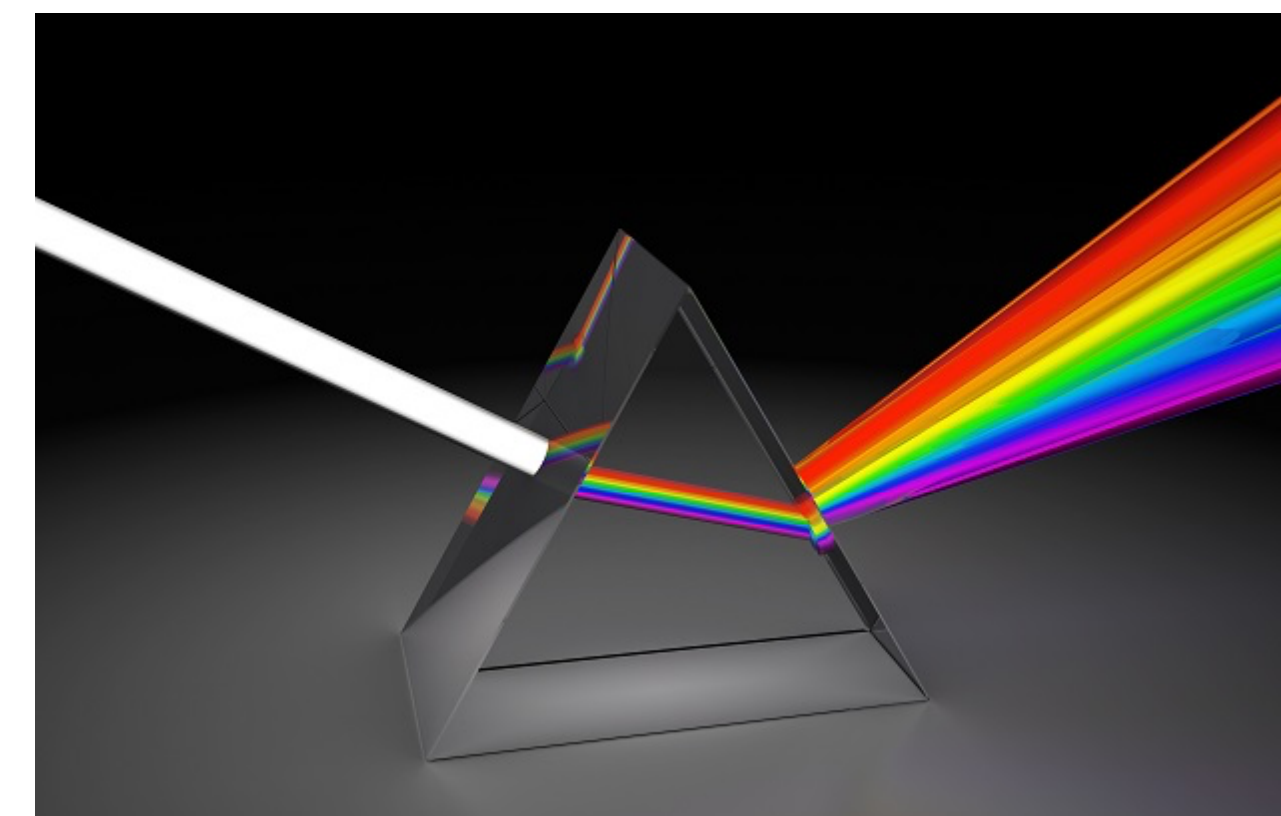


**However, for plane waves in a “lossy dielectric”, along a “transmission line”, or in a “waveguide”,**

- Phase constant ( $\beta$ ) is **NOT a linear function** of frequency ( $\omega$ )
- Waves with **different  $\omega$**  propagate with **different  $u_p$**   $\rightarrow$  “**Distortion**” of the signal

## Dispersion

- The phenomenon of signal distortion caused by **dependence of  $u_p$  vs.  $\omega$**
- **Lossy dielectric = dispersive medium**
- e.g.) Dielectric prism = dispersive medium
  - \* Colors are dispersed at the front face
  - \* Colors are refracted at different angles
  - \* Refractive index different for different colors



<img source: AZoOptics>

# Chap. 8 | Group Velocity (2/3)

## Group velocity

- An information-bearing signal consists of a “group of frequencies”
- There is a *small spread of frequencies* ( $\Delta\omega$ ) around the central carrier frequency ( $\omega_0$ )
- Such a group of frequencies forms a “wave packet”

**Group velocity = velocity of propagation of the wave packet envelop**

## Simple example

- Two traveling waves with
  - Equal amplitude ( $E_0$ )
  - Slightly different angular frequencies ( $\omega_0 \pm \Delta\omega$ )
  - Slightly different phase constants ( $\beta_0 \pm \Delta\beta$ )

$$E(z,t) = E_0 \cos[(\omega_0 + \Delta\omega)t - (\beta_0 + \Delta\beta)z] + E_0 \cos[(\omega_0 - \Delta\omega)t - (\beta_0 - \Delta\beta)z]$$

$$= 2E_0 \cos(\Delta\omega t - \Delta\beta z) \cdot \cos(\omega_0 t - \beta_0 z)$$

**Wave Packet Envelop**      **Waves**

- Phase velocity

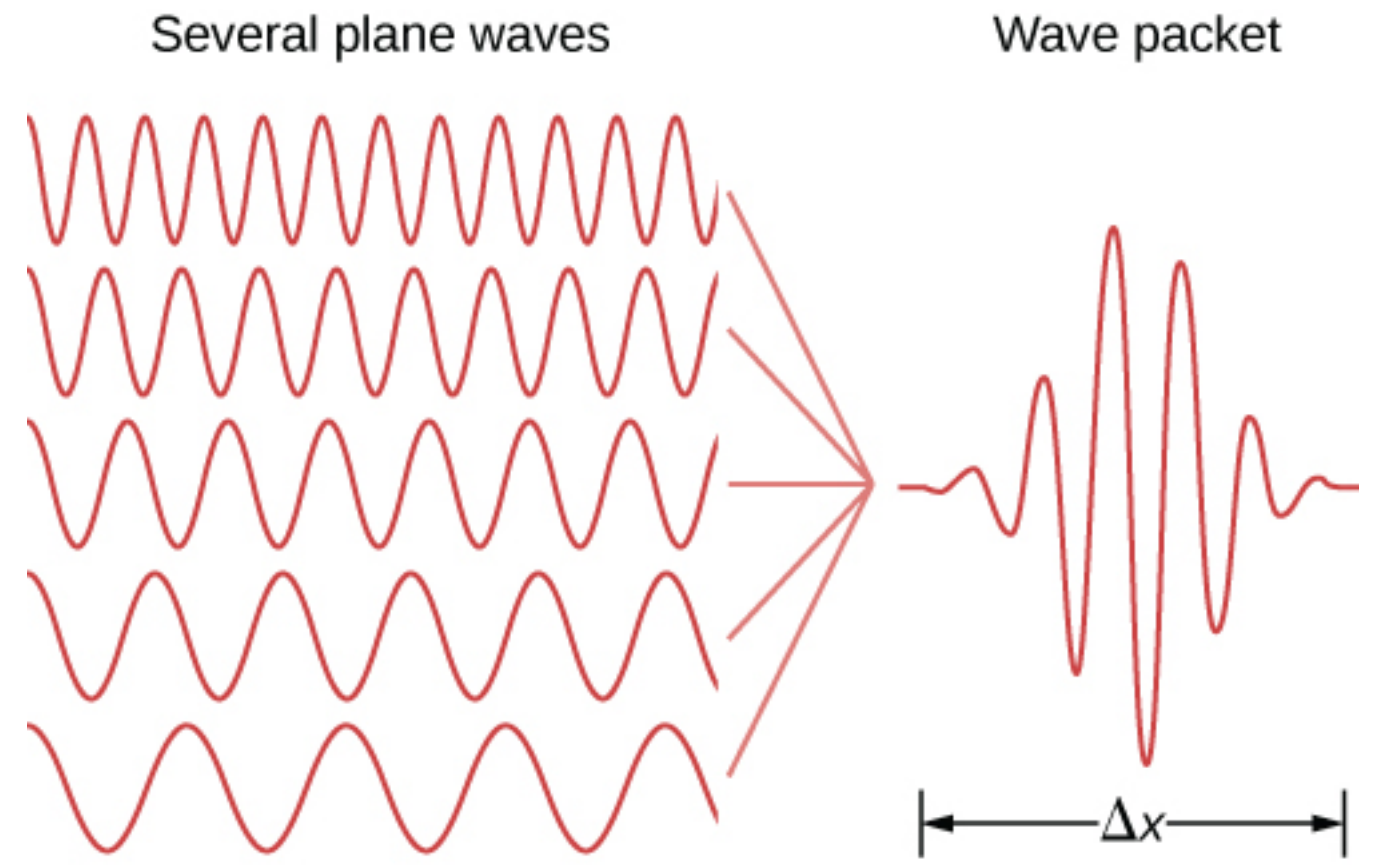
$$\omega_0 t - \beta_0 z = \text{Constant} \quad \rightarrow \quad u_p = \frac{dz}{dt} = \frac{\omega_0}{\beta_0}$$

- Group velocity

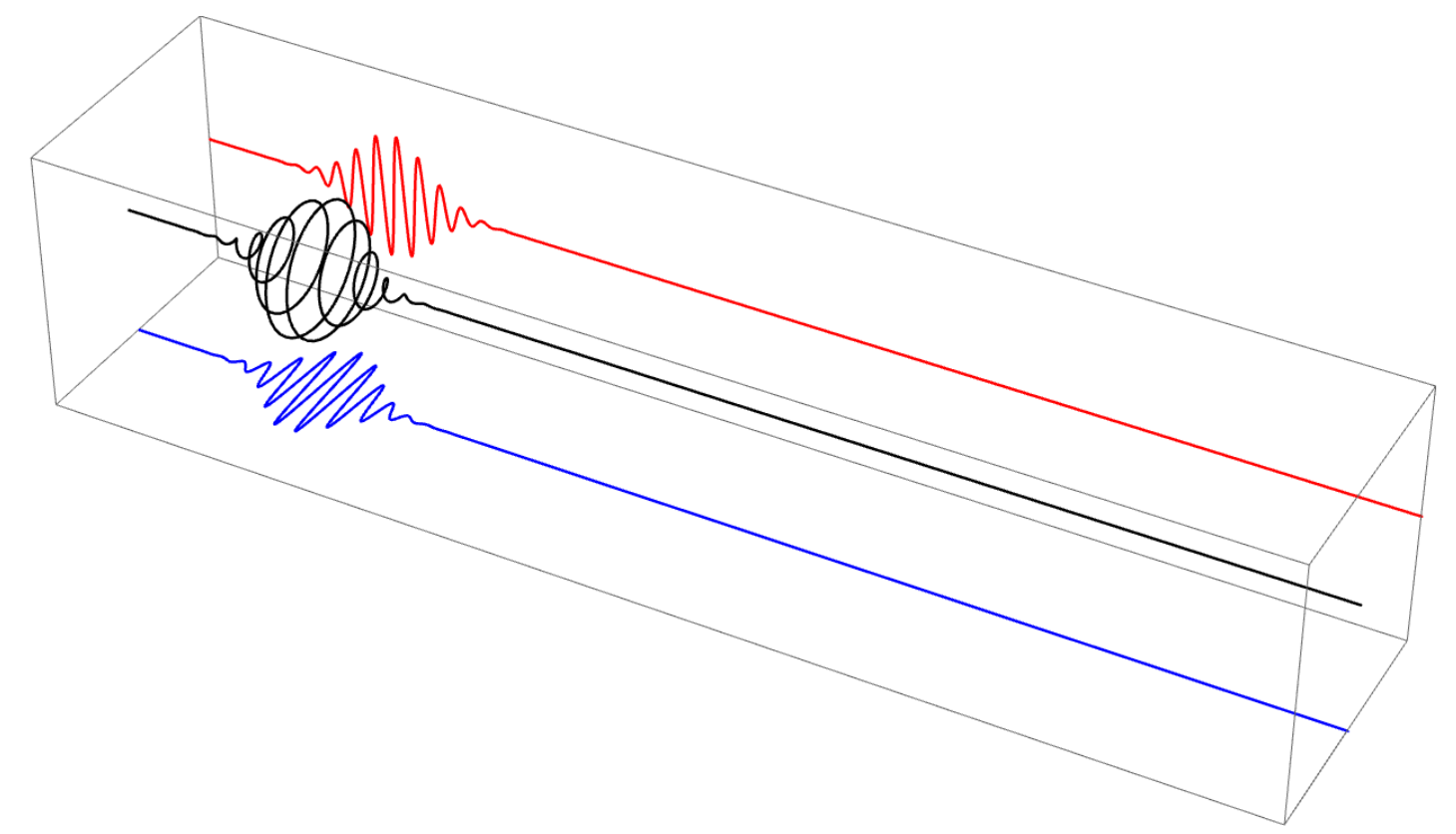
$$\Delta\omega t - \Delta\beta z = \text{Constant} \quad \rightarrow \quad u_g = \frac{dz}{dt} = \frac{\Delta\omega}{\Delta\beta}$$

*in dispersive medium,*

$$\therefore u_g = \frac{1}{\frac{d\beta}{d\omega}} \quad (\text{m/s})$$



<img source: Physics Libretexts>



<gif source: GIPHY>



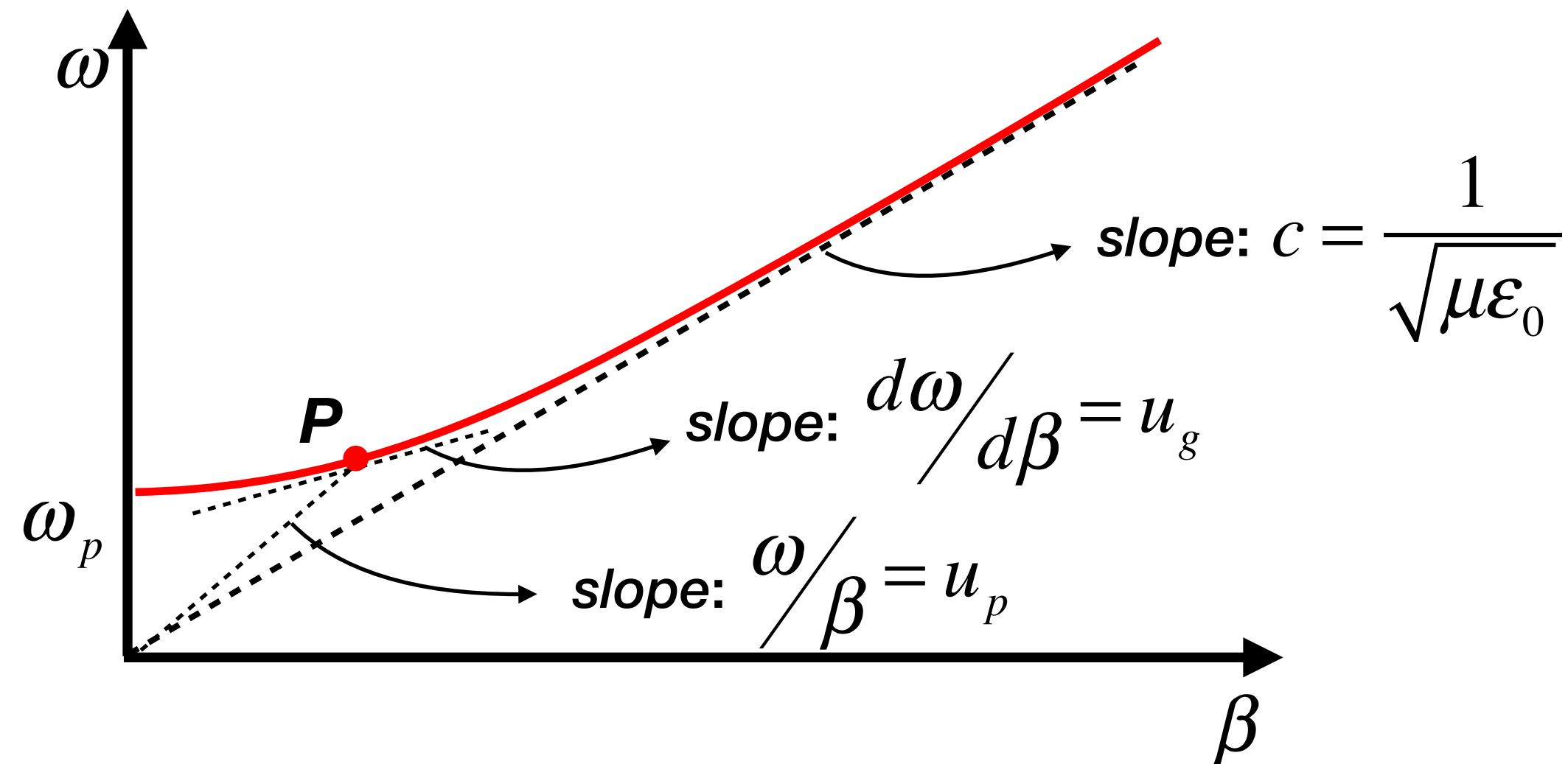
# Chap. 8 | Group Velocity (3/3)

## $\beta$ vs. $\omega$ relationship (dispersion relationship)

- For an ionized medium (e.g. ionosphere)

$$\gamma \triangleq jk_c = j\omega\sqrt{\mu_0\epsilon_p} = \alpha + j\beta \quad \rightarrow \quad \beta = \omega\sqrt{\mu_0\epsilon_p} = \omega\sqrt{\mu_0\epsilon_0}\sqrt{1 - \left(\frac{\omega_p}{\omega}\right)^2}$$

- For  $\omega > \omega_p$  ( $\gamma$  purely imaginary = propagating without attenuation)



Phase velocity:  $u_p = \frac{\omega}{\beta} = \frac{c}{\sqrt{1 - \left(\frac{\omega_p}{\omega}\right)^2}}$

Group velocity:  $u_g = \frac{d\omega}{d\beta} = c\sqrt{1 - \left(\frac{\omega_p}{\omega}\right)^2}$

•  $u_p \geq c, u_g \leq c$  and  $u_p u_g = c^2$  in an ionized medium

## Relationship between $u_p$ and $u_g$

$$\frac{d\beta}{d\omega} = \frac{d}{d\omega} \left( \frac{\omega}{u_p} \right) = \frac{1}{u_p} - \frac{\omega}{u_p^2} \frac{du_p}{d\omega} \quad \rightarrow$$

$$u_g = \frac{1}{d\beta/d\omega} = \frac{u_p}{1 - \frac{\omega}{u_p} \frac{du_p}{d\omega}}$$

- No dispersion  $\frac{du_p}{d\omega} = 0 \quad \rightarrow \quad u_p = u_g$
- Normal dispersion  $\frac{du_p}{d\omega} < 0 \quad \rightarrow \quad u_p > u_g$