

Electromagnetics

<Chap. 10> Waveguides and Cavity Resonators
Section 10.5 ~ 10.6

(1st of week 8)

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Chap. 10 | Contents for 1st class of week 8

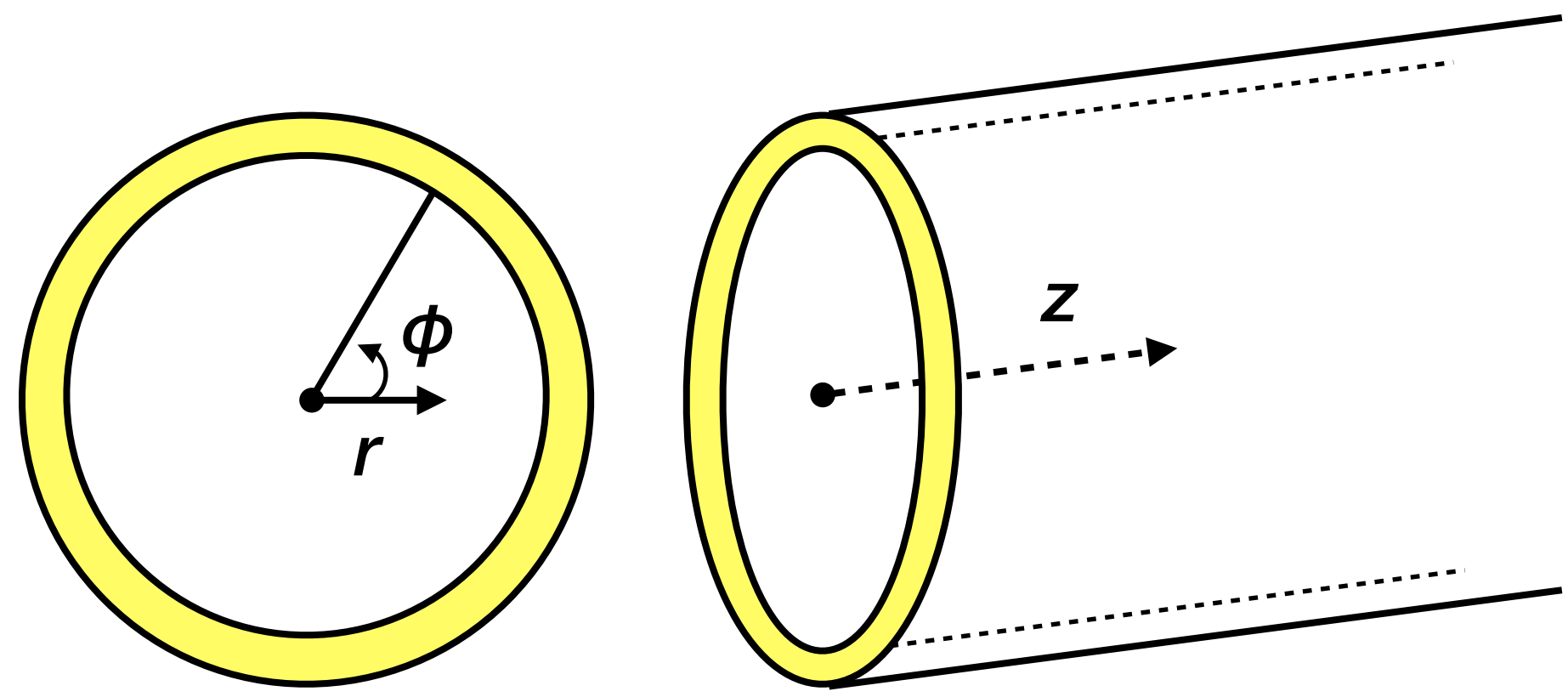
Sec 5. Circular waveguides

- Bessel's differential equation and Bessel function
- Characteristics of TE and TM wave propagation

Chap. 10 | Introduction: Circular waveguide

- **Circular waveguide**

- Round metal pipe having a uniform circular cross-section
- Inside filled with a dielectric (μ and ϵ)



- **Wave equations for EM waves in a circular waveguide**

$$\begin{cases} \nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0 \\ \nabla^2 \mathbf{H} + k^2 \mathbf{H} = 0 \end{cases} \rightarrow \begin{cases} (\nabla_{r\phi}^2 + \nabla_z^2) \mathbf{E} + k^2 \mathbf{E} = 0 \\ (\nabla_{r\phi}^2 + \nabla_z^2) \mathbf{H} + k^2 \mathbf{H} = 0 \end{cases} \quad \text{where} \quad \begin{cases} \nabla_{r\phi}^2 : \text{Laplacian for a transverse polar plane (r and } \phi) \\ \nabla_z^2 : \text{Laplacian for a longitudinal axis (z)} \end{cases}$$

Here, $\begin{cases} \mathbf{E} = \mathbf{E}_T + \mathbf{a}_z E_z \\ \mathbf{H} = \mathbf{H}_T + \mathbf{a}_z H_z \end{cases}$ where $\begin{cases} E_z(r, \phi, z) = E_z^0(r, \phi) e^{-\gamma z} \\ H_z(r, \phi, z) = H_z^0(r, \phi) e^{-\gamma z} \end{cases}$

- **Longitudinal field components**

- For TM mode

$$\begin{cases} H_z = 0 \text{ (By definition)} \\ (\nabla_{r\phi}^2 + \nabla_z^2) E_z + k^2 E_z = 0 \end{cases}$$

$$\rightarrow \nabla_{r\phi}^2 E_z^0 + (\gamma^2 + k^2) E_z^0 = 0$$

$$\rightarrow \nabla_{r\phi}^2 E_z^0 + h^2 E_z^0 = 0$$

- For TE mode

$$\begin{cases} E_z = 0 \text{ (By definition)} \\ (\nabla_{r\phi}^2 + \nabla_z^2) H_z + k^2 H_z = 0 \end{cases}$$

$$\rightarrow \nabla_{r\phi}^2 H_z^0 + (\gamma^2 + k^2) H_z^0 = 0$$

$$\rightarrow \nabla_{r\phi}^2 H_z^0 + h^2 H_z^0 = 0$$



<Example of circular waveguide>

Chap. 10 | Bessel's differential equations and Bessel functions (1/3)

• **Wave equation**

- In cylindrical coordinate

$$\nabla_{r\phi}^2 E_z^0 + h^2 E_z^0 = 0 \quad \rightarrow \quad \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial E_z^0}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 E_z^0}{\partial \phi^2} + h^2 E_z^0 = 0 \quad \dots(1) \text{ (HW!)}$$

- Separation of variables

$$E_z^0(r, \phi) = R(r)\Phi(\phi) \quad \dots(2)$$

- By substituting (2) into (1),

$$\left[\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial R(r)}{\partial r} \right) \Phi(\phi) + \frac{1}{r^2} \frac{\partial^2 \Phi(\phi)}{\partial \phi^2} R(r) + h^2 R(r) \Phi(\phi) = 0 \right] \leftarrow \times \frac{r^2}{R(r)\Phi(\phi)}$$

$$\rightarrow \underbrace{\frac{r}{R(r)} \frac{d}{dr} \left(r \frac{dR(r)}{dr} \right) + h^2 r^2}_{\text{only a function of } r!} = \underbrace{- \frac{1}{\Phi(\phi)} \frac{d^2 \Phi(\phi)}{d^2 \phi}}_{\text{only a function of } \phi!} = n^2 : \text{Both sides equal to the constant to be satisfied for all } r \text{ and } \phi!$$

- Two ODEs

$$\begin{cases} \frac{d^2 \Phi(\phi)}{d^2 \phi} + n^2 \Phi(\phi) = 0 \\ \frac{r}{R(r)} \frac{d}{dr} \left(r \frac{dR(r)}{dr} \right) + h^2 r^2 = n^2 \end{cases}$$

Bessel's Differential Equation

$$\frac{d^2 R(r)}{dr^2} + \frac{1}{r} \frac{dR(r)}{dr} + \left(h^2 - \frac{n^2}{r^2} \right) R(r) = 0$$



Friedrich Wilhelm Bessel
(Prussian (German))
(1784-1846)

Chap. 10 | Bessel's differential equations and Bessel functions (2/3)

• Bessel's differential equation

- Second-order equation → **Two linearly independent solutions** exist!

$$\frac{d^2 R(r)}{dr^2} + \frac{1}{r} \frac{dR(r)}{dr} + \left(h^2 - \frac{n^2}{r^2} \right) R(r) = 0$$

Refer to Engineering Mathematics
For derivation!

• Solution 1: Bessel function of the 1st kind (of nth order)

$$J_n(hr) = \sum_{m=0}^{\infty} \frac{(-1)^m (hr)^{n+2m}}{m!(n+m)!2^{n+2m}} \text{ where } n \text{ is an integer value}$$

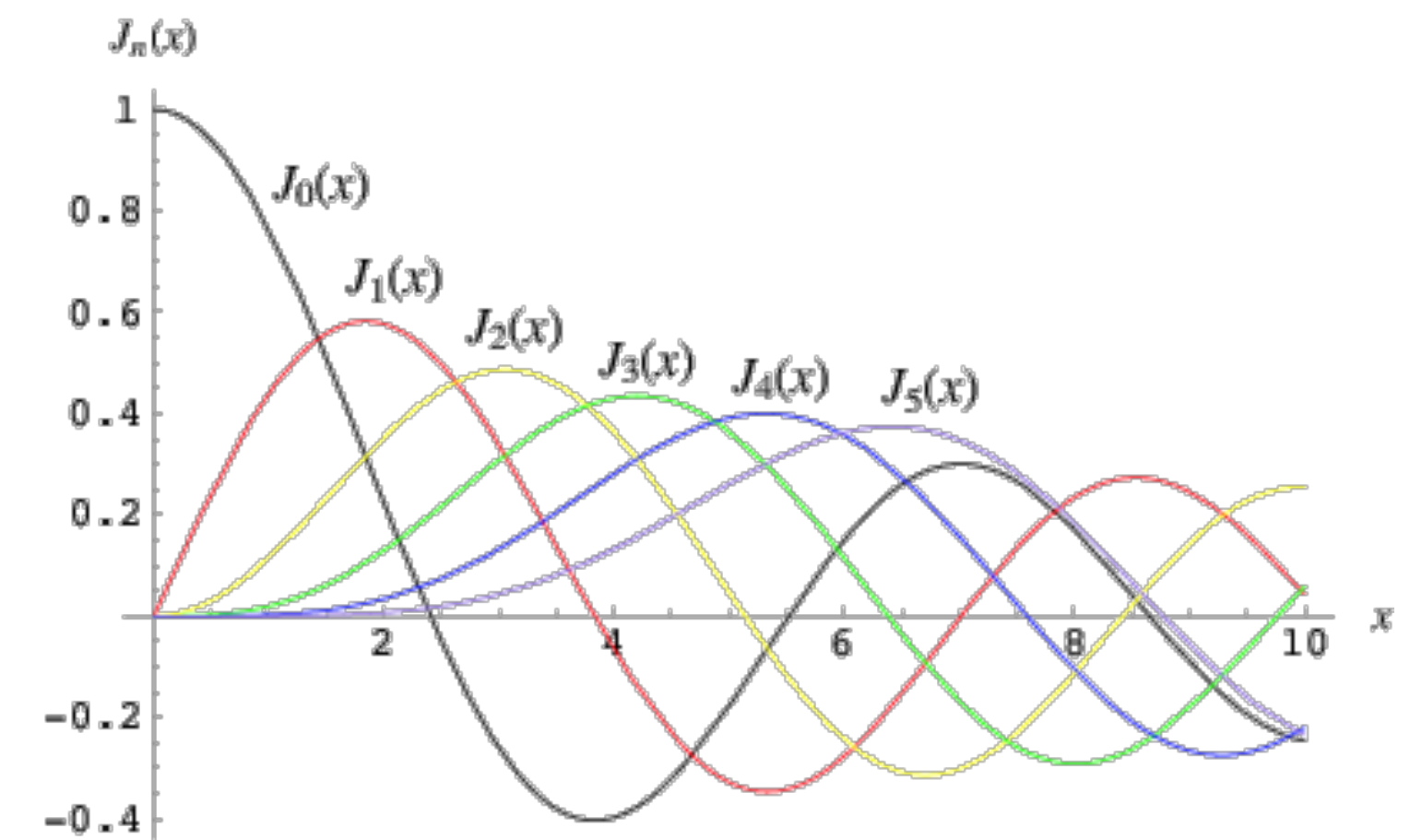
- $J_n(0) = 0$ for all n , except for $J_0(0) = 1$
- $J_n(x)$: **i)** Alternating functions of decreasing amplitudes that **ii)** cross the zero level at **iii)** progressively shorter intervals. **iv)** As x becomes large, $J_n(x)$ approach a sinusoidal form

• Solution 2: Bessel function of the 2nd kind (of nth order)

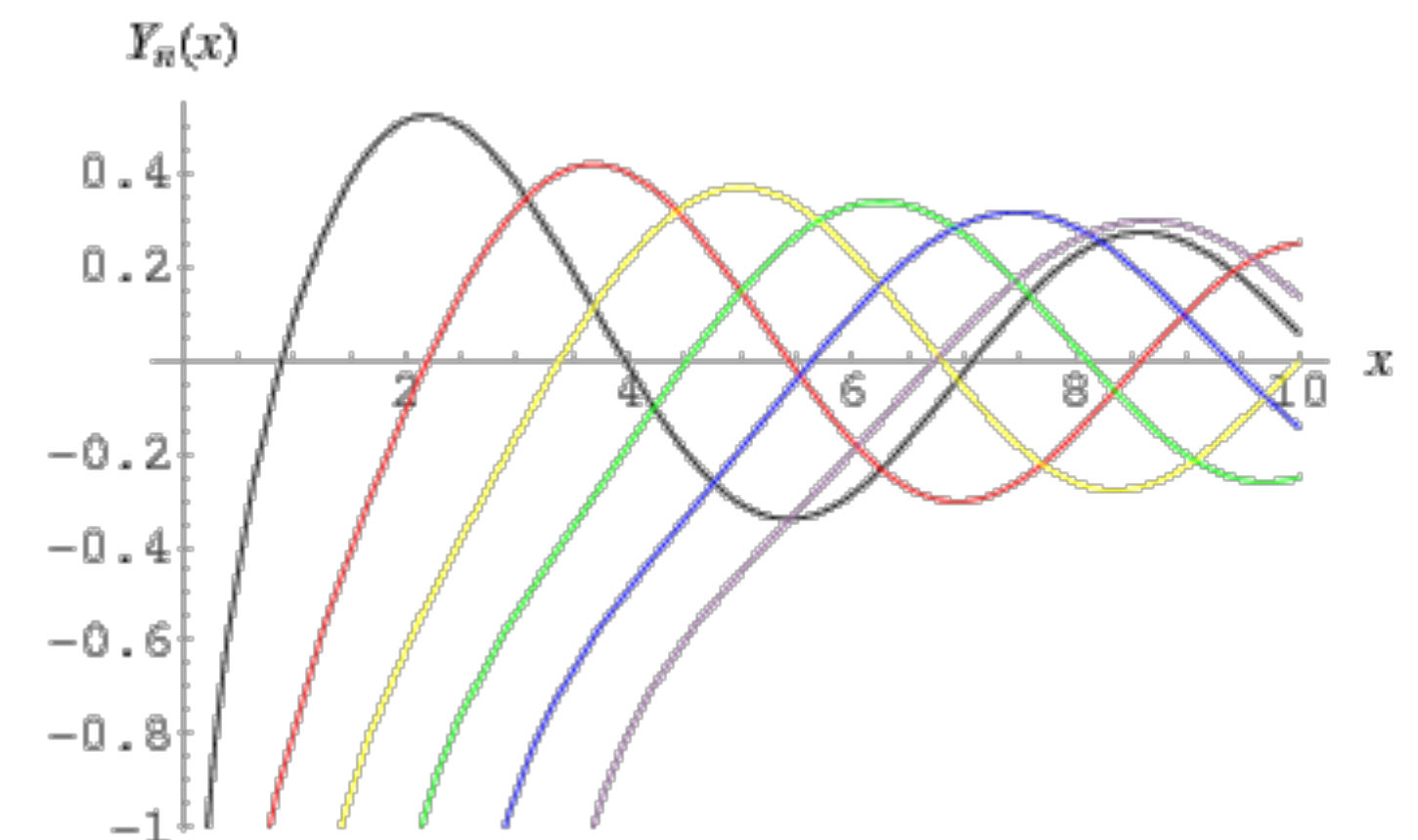
$$Y_n(hr) = \frac{(\cos n\pi) J_n(hr) - J_{-n}(hr)}{\sin n\pi} \text{ where } n \text{ is an integer value}$$

• General solution

$$R(r) = C_n J_n(hr) + D_n Y_n(hr)$$



<Bessel function of the 1st kind>



<Bessel function of the 2nd kind>

Chap. 10 | Bessel's differential equations and Bessel functions (3/3)

• **Bessel solution for a circular waveguide**

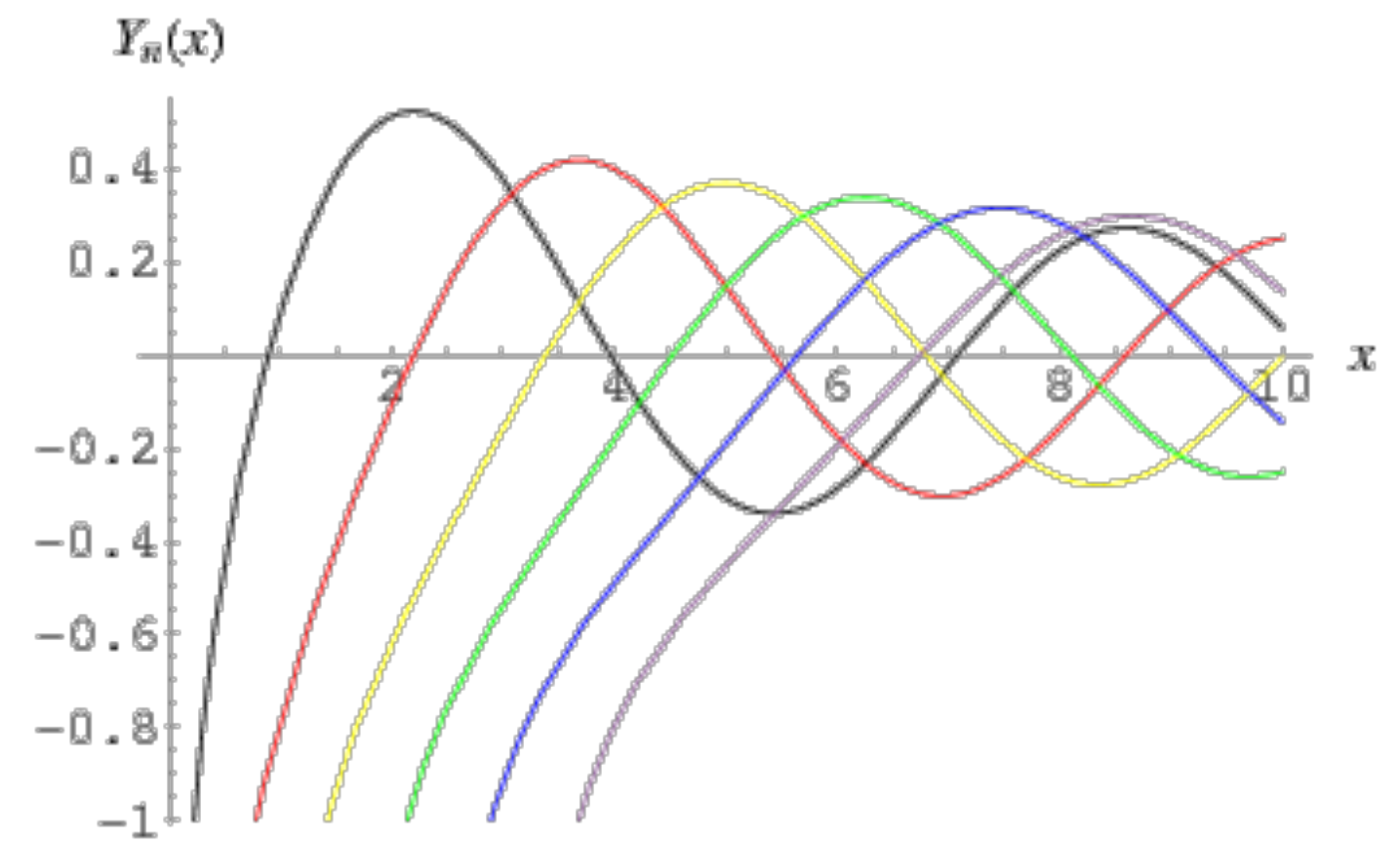
- Characteristics of Bessel function of the 2nd kind

If $hr \rightarrow 0, Y_n(hr) \rightarrow \infty$

- However, our region of interest should include the axis where $r = 0$

- ∴ **A solution $R(r)$ CANNOT have $Y_n(hr)$ that leads to unphysical situation!**

∴ $R(r) = C_n J_n(hr)$



<Bessel function of the 2nd kind>

• **“Zeros” of Bessel function of the 1st kind**

$$J_n(hr) = \sum_{m=0}^{\infty} \frac{(-1)^m (hr)^{n+2m}}{m!(n+m)!2^{n+2m}} = 0$$

There are several hr values (zeros) that make $J_n(hr) = 0$!

<Table 1>
Zeros of $J_n(x) = x_{np}$

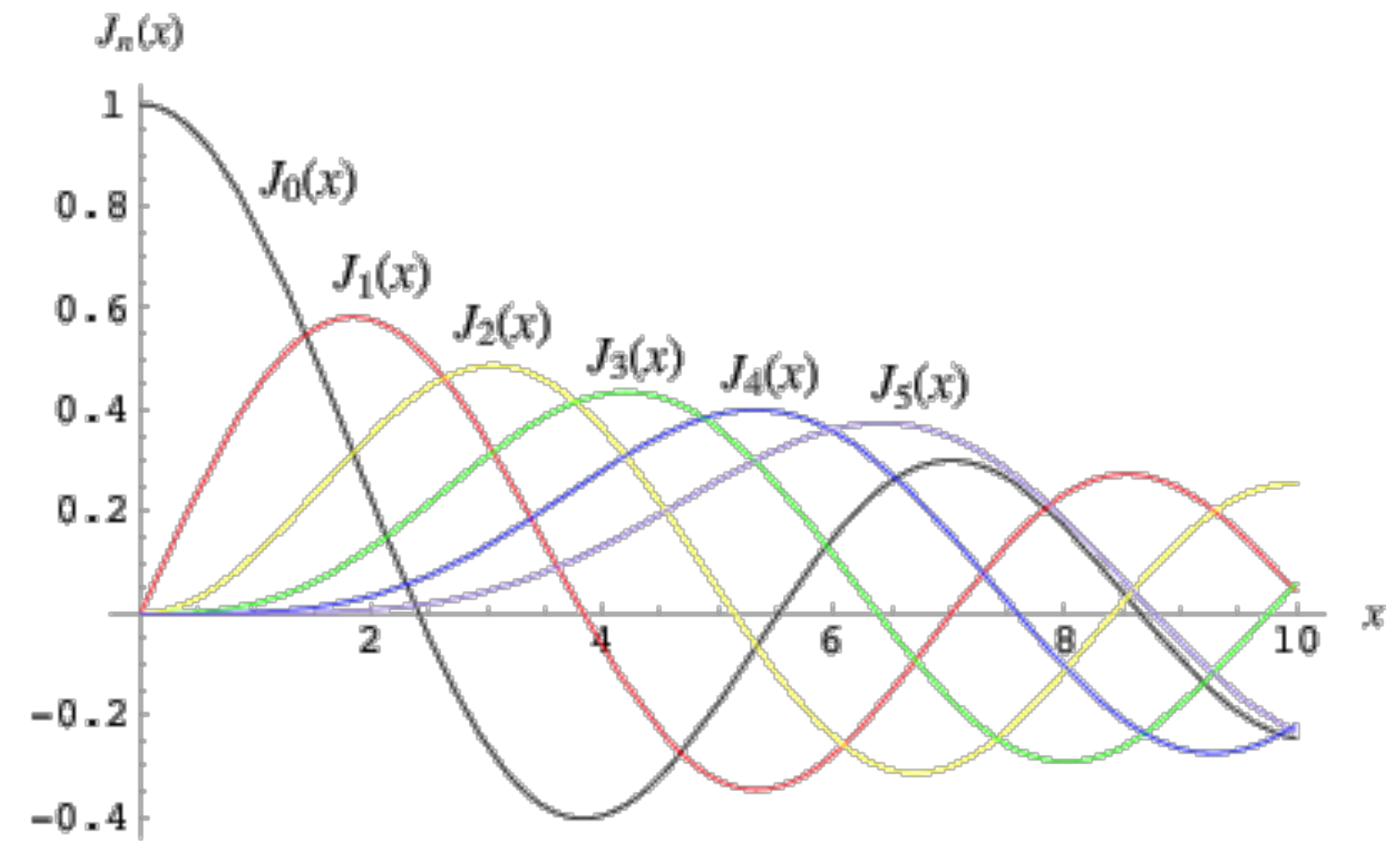
p \ n	0	1	2	...
1	2.405	3.832	5.136	...
2	5.520	7.016	8.417	...
...

<Table 2>
Zeros of $J_n'(x) = x'_{np}$

p \ n	0	1	2	...
1	3.832	1.841	3.054	...
2	7.016	5.331	6.706	...
...

→ Determine eigenvalues for **TM mode!**

→ Determine eigenvalues for **TE mode!**



<Bessel function of the 1st kind>

Chap. 10 | TM waves in circular waveguide (1/5)

- **Circular waveguide**

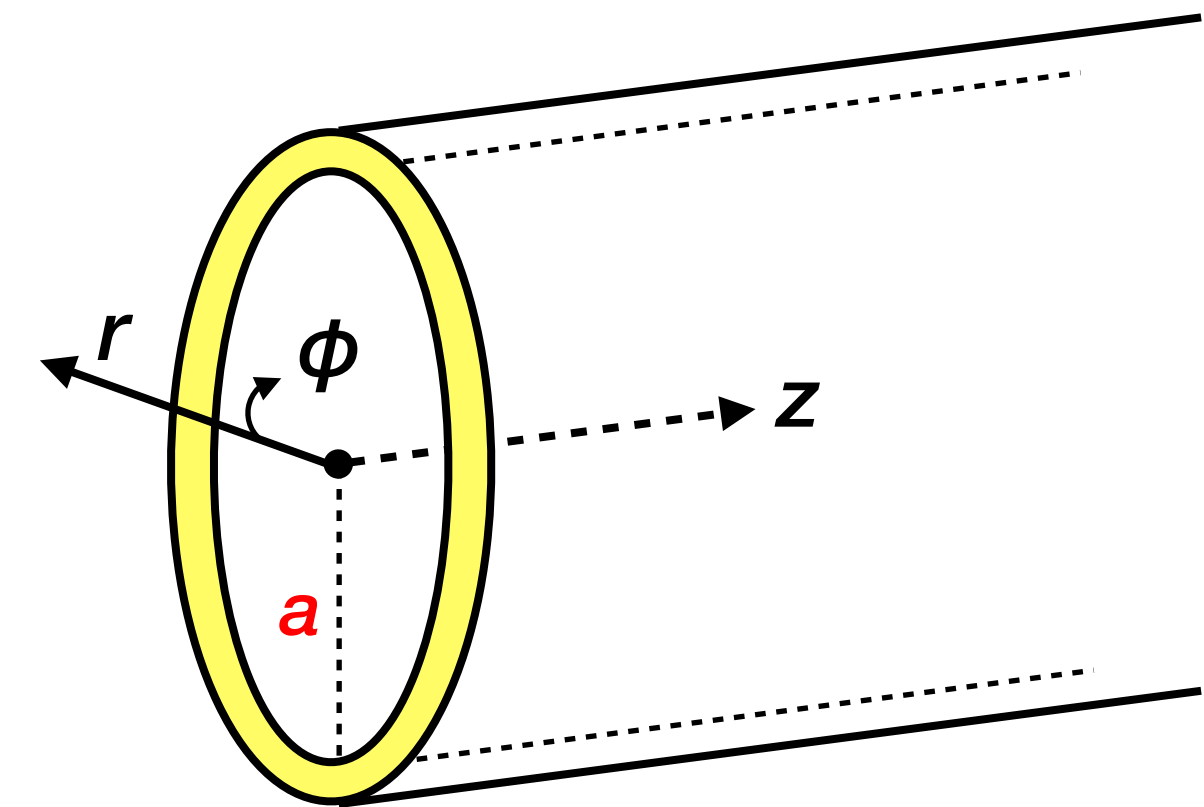
- Circular waveguide of radius “a”
- Dielectric medium (μ and ϵ) enclosed by metallic skin

- **Longitudinal field components**

$$\begin{cases} H_z = 0 \text{ (By definition)} \\ E_z(r, \phi, z) = E_z^0(r, \phi) e^{-\gamma z} \text{ where } \nabla_{r\phi}^2 E_z^0 + h^2 E_z^0 = 0 \text{ and } E_z^0(r, \phi) = R(r)\Phi(\phi) \end{cases}$$

- Solution components

$$\begin{cases} R(r) = C_n J_n(hr) \\ \Phi(\phi) \longleftarrow \text{Solution of } \frac{d^2 \Phi(\phi)}{d\phi^2} + n^2 \Phi(\phi) = 0 \end{cases}$$



- * All the field components are *periodic with respect to ϕ* (period = 2π)
- * $\Phi(\phi)$ should be *in a sinusoidal form!*
- * Because of the periodicity, n should be *integer values*

$$\therefore E_z^0(r, \phi) = C_n J_n(hr) \cos n\phi \text{ (TM modes)}$$

- * $\sin(n\phi)$ and $\cos(n\phi)$ does not matter!
(only reference changes)

Chap. 10 | TM waves in circular waveguide (2/5)

- **Transverse field components** (*Recall 10.2: General wave behaviors along uniform guides*)

- **Transverse E-field components** expressed in terms of **longitudinal E-field** for **TM modes**

Cartesian: $(\mathbf{E}_T^0)_{TM} = \mathbf{a}_x E_x^0 + \mathbf{a}_y E_y^0 = -\frac{\gamma}{h^2} \nabla_T E_z^0$ where $\nabla_T = \mathbf{a}_x \frac{\partial}{\partial x} + \mathbf{a}_y \frac{\partial}{\partial y}$ (*Gradient in transverse plane*)

Cylindrical: $(\mathbf{E}_T^0)_{TM} = \mathbf{a}_r E_r^0 + \mathbf{a}_\phi E_\phi^0 = -\frac{\gamma}{h^2} \nabla_T E_z^0$ where $\nabla_T = \mathbf{a}_r \frac{\partial}{\partial r} + \mathbf{a}_\phi \frac{1}{r} \frac{\partial}{\partial \phi}$

$$\rightarrow (\mathbf{E}_T^0)_{TM} = \mathbf{a}_r E_r^0 + \mathbf{a}_\phi E_\phi^0 = \mathbf{a}_r \left(-\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial r} \right) + \mathbf{a}_\phi \left(-\frac{\gamma}{h^2 r} \frac{\partial E_z^0}{\partial \phi} \right) \dots(1)$$

- **Transverse H-fields** related to **transverse E-fields** via impedance Z_{TM}

$$(\mathbf{H}_T)_{TM} = \frac{1}{Z_{TM}} [\mathbf{a}_z \times (\mathbf{E}_T)_{TM}] \quad \text{where } Z_{TM} = \frac{\gamma}{j\omega\epsilon} \quad (\Omega)$$

$$(\mathbf{H}_T)_{TM} = \mathbf{a}_r H_r^0 + \mathbf{a}_\phi H_\phi^0 = \frac{j\omega\epsilon}{\gamma} \mathbf{a}_z \times (\mathbf{a}_r E_r^0 + \mathbf{a}_\phi E_\phi^0)$$

Right-hand rule

$$\mathbf{a}_r \times \mathbf{a}_\phi = \mathbf{a}_z$$

$$\mathbf{a}_\phi \times \mathbf{a}_z = \mathbf{a}_r$$

$$\mathbf{a}_z \times \mathbf{a}_r = \mathbf{a}_\phi$$

$$= \mathbf{a}_r \left(-\frac{j\omega\epsilon}{\gamma} E_r^0 \right) + \mathbf{a}_\phi \left(\frac{j\omega\epsilon}{\gamma} E_\phi^0 \right) \dots(2)$$

Chap. 10 | TM waves in circular waveguide (3/5)

• **Transverse field components**

- From equations (1), (2), and (3)

$$\mathbf{a}_r E_r^0 + \mathbf{a}_\phi E_\phi^0 = \mathbf{a}_r \left(-\frac{\gamma}{h^2} \frac{\partial E_z^0}{\partial r} \right) + \mathbf{a}_\phi \left(-\frac{\gamma}{h^2 r} \frac{\partial E_z^0}{\partial \phi} \right) \dots(1) \quad E_z^0(r, \phi) = C_n J_n(hr) \cos n\phi \dots(3)$$

$$\mathbf{a}_r H_r^0 + \mathbf{a}_\phi H_\phi^0 = \mathbf{a}_r \left(-\frac{j\omega\epsilon}{\gamma} E_\phi^0 \right) + \mathbf{a}_\phi \left(\frac{j\omega\epsilon}{\gamma} E_r^0 \right) \dots(2)$$

- We can obtain transverse E and H-fields!

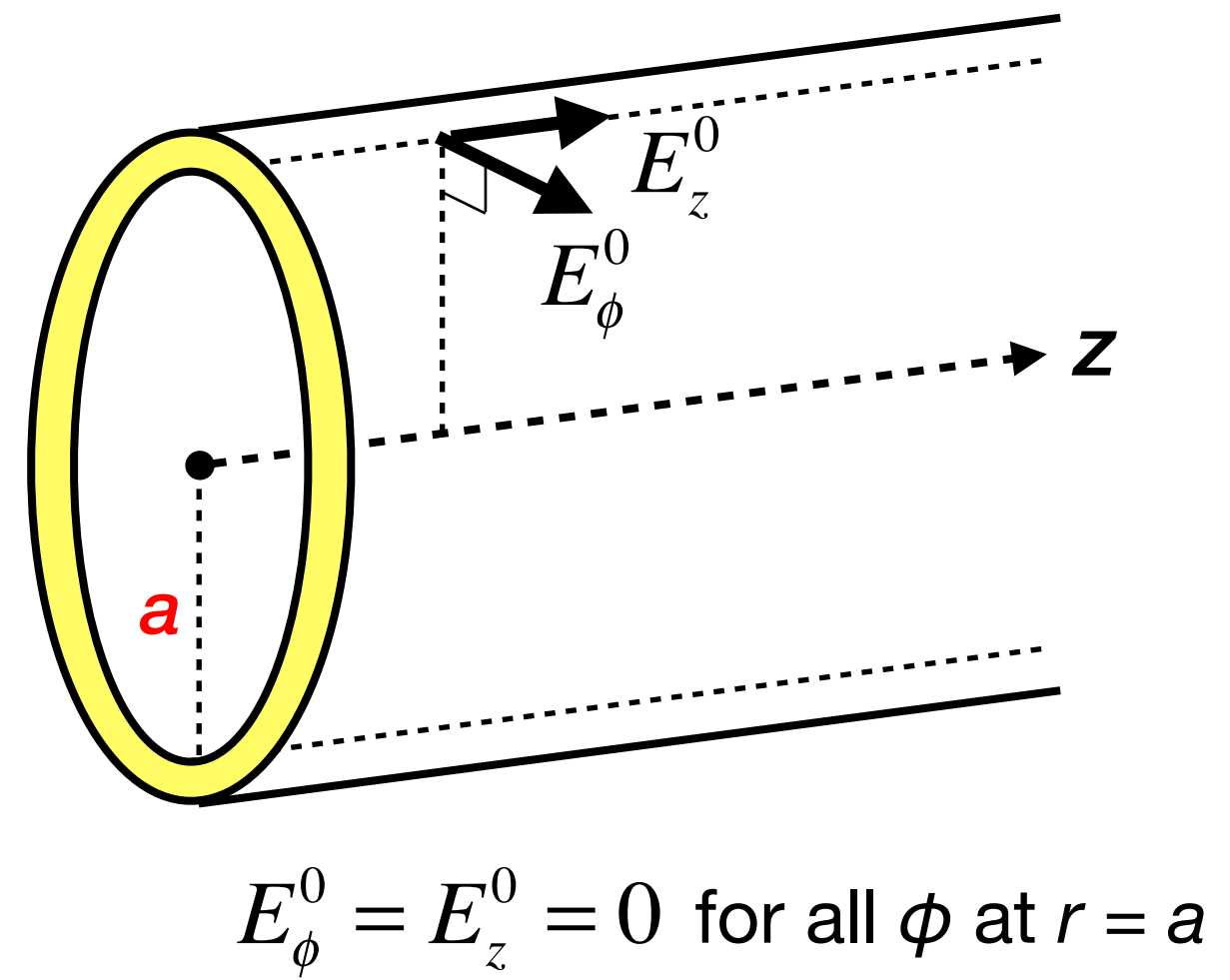
$$\left\{ \begin{aligned} E_r^0 &= -\frac{j\beta}{h^2} \frac{\partial E_z^0}{\partial r} = -\frac{j\beta}{h} C_n J'_n(hr) \cos n\phi \\ E_\phi^0 &= -\frac{j\beta}{h^2 r} \frac{\partial E_z^0}{\partial \phi} = \frac{j\beta n}{h^2 r} C_n J_n(hr) \sin n\phi \\ H_r^0 &= -\frac{\omega\epsilon}{\beta} E_\phi^0 = -\frac{j\omega\epsilon n}{h^2 r} C_n J_n(hr) \sin n\phi \\ H_\phi^0 &= \frac{\omega\epsilon}{\beta} E_r^0 = -\frac{j\omega\epsilon}{h} C_n J'_n(hr) \cos n\phi \end{aligned} \right.$$

• **Eigenvalues h**

- Eigenvalues provided by B.C. where *tangential E-fields = 0 at r = a*

Medium 1 (dielectric)	Medium 2 (Conductor)
$E_{1t} = 0$	$E_{2t} = 0$
$\mathbf{a}_{n2} \times \mathbf{H}_1 = \mathbf{J}_S$	$H_{2t} = 0$
$\mathbf{a}_{n2} \cdot \mathbf{D}_1 = \rho_S$	$D_{2n} = 0$
$B_{1n} = 0$	$B_{2n} = 0$

From Chap. 7-5.1



$\therefore J_n(ha) = 0$

Chap. 10 | TM waves in circular waveguide (4/5)

$$J_n(ha) = 0$$

• **Lowest cutoff frequency for TM modes**

- From <Table 1>, the lowest zero of $J_n(x)$ is $x_{01} = 2.405$
- Thus, the smallest ha for $J_0(hr) = 0 \rightarrow x_{01}$

$$ha = 2.405 \rightarrow h_{TM01} = \frac{2.405}{a}$$

- Since cutoff frequency for TM modes is given by

$$f_c = \frac{h}{2\pi\sqrt{\mu\epsilon}} \rightarrow \therefore (f_c)_{TM01} = \frac{h_{TM01}}{2\pi\sqrt{\mu\epsilon}} = \frac{0.383}{a\sqrt{\mu\epsilon}}$$

Is it a dominant mode?

• **Eigenvalue Notation for a circular waveguide**

$$TM_{np}$$

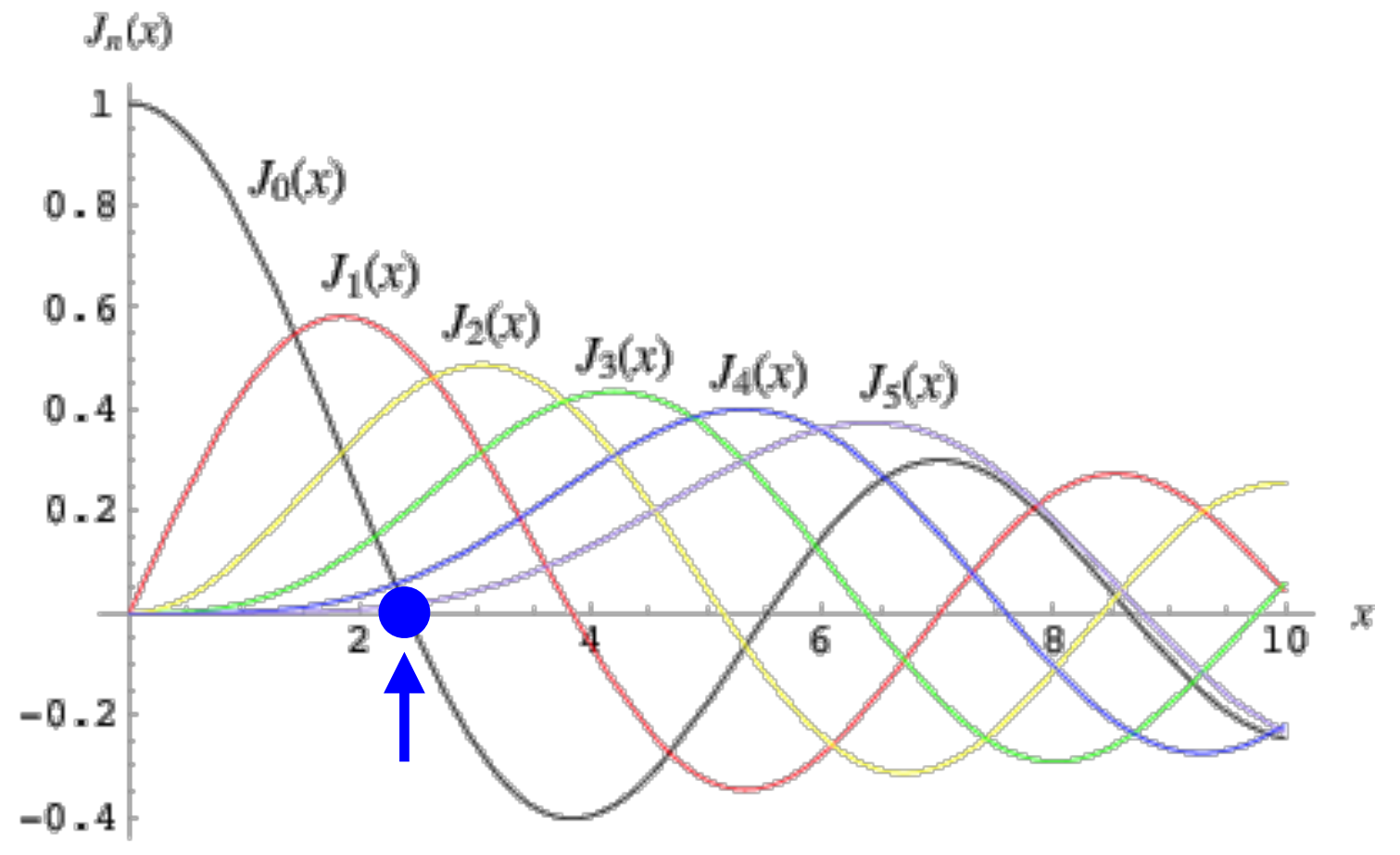
n : number of *half-wave* field variations *in ϕ direction*
 p : number of *half-wave* field variations *in r direction*

$$\leftarrow \therefore E_z^0(r, \phi) = C_n J_n(hr) \cos n\phi$$

<Table 1>

Zeros of $J_n(x) = x_{np}$

$p \setminus n$	0	1	2	...
1	2.405	3.832	5.136	...
2	5.520	7.016	8.417	...
...



<Bessel function of the 1st kind>

Chap. 10 | TM waves in circular waveguide (5/5)

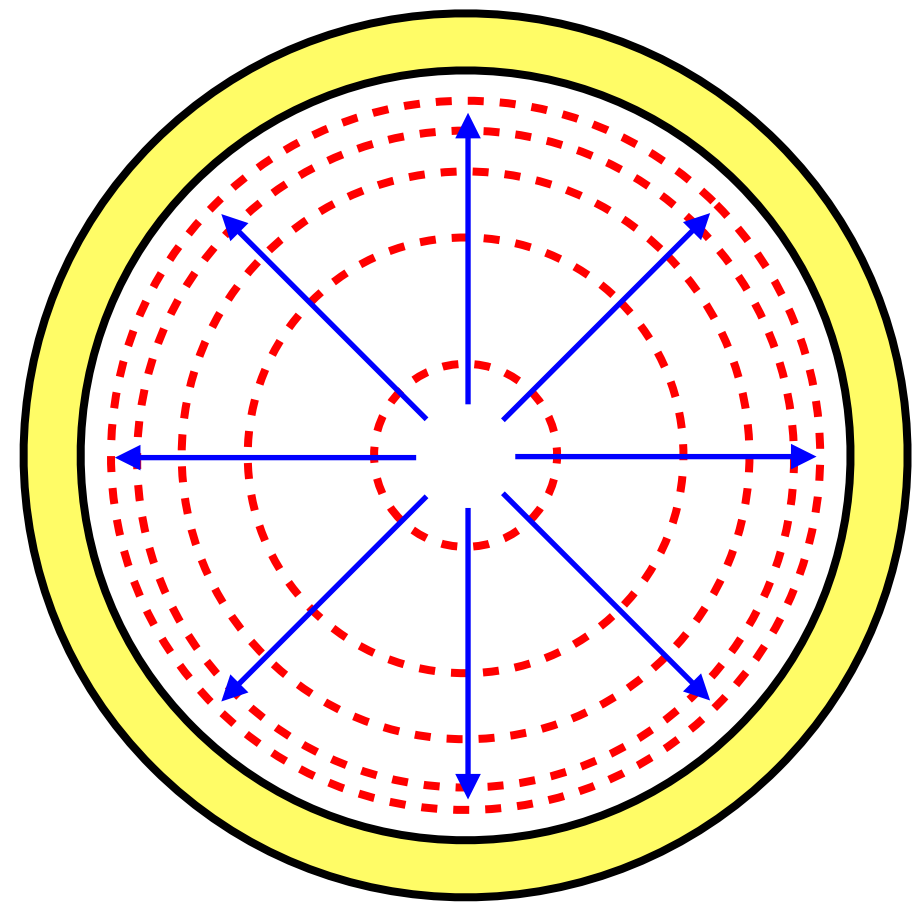
• TM_{01} mode

E-field

$$\begin{cases} E_r^0 = -\frac{j\beta}{h} C_n J'_n(hr) \cos n\phi = -\frac{j\beta}{h} C_0 J'_0(hr) \text{ (nonzero)} \\ E_\phi^0 = \frac{j\beta n}{h^2 r} C_n J_n(hr) \sin n\phi = 0 \\ E_z^0 = C_n J_n(hr) \cos n\phi = C_0 J_0(hr) \text{ (nonzero)} \end{cases}$$

H-field

$$\begin{cases} H_r^0 = -\frac{j\omega\epsilon n}{h^2 r} C_n J_n(hr) \sin n\phi = 0 \\ H_\phi^0 = -\frac{j\omega\epsilon}{h} C_n J'_n(hr) \cos n\phi = -\frac{j\omega\epsilon}{h} C_0 J'_0(hr) \text{ (nonzero)} \\ H_z^0 = 0 \end{cases}$$



— E-field - - - H-field

- * E-field \perp H-field
- * E-field lines form the radial pattern
- * Density of H-field lines increases with "r" (from 0 to a)

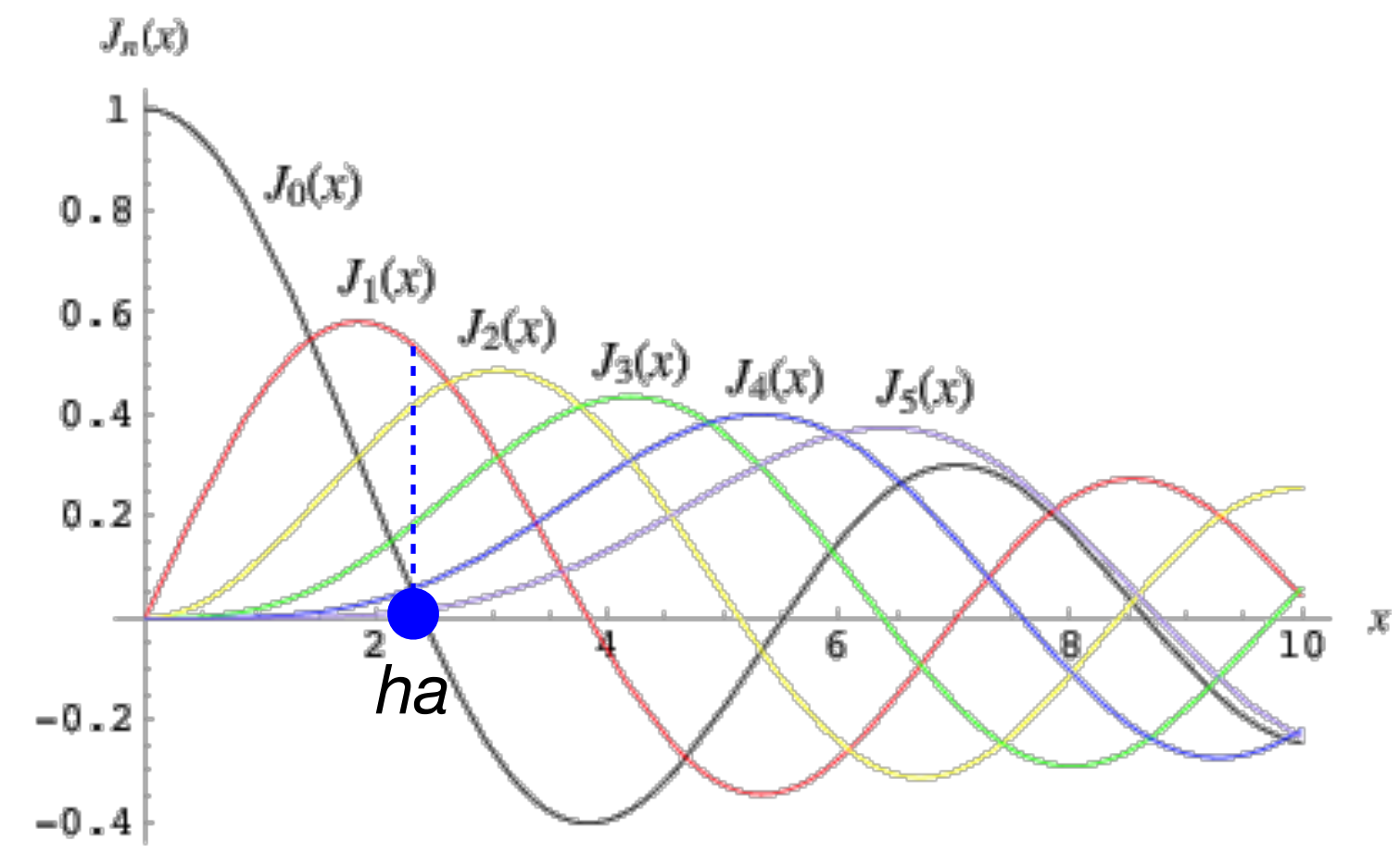
$$H_\phi^0 = -C_0 \frac{j\omega\epsilon}{h} J'_0(hr) = C_0 \frac{j\omega\epsilon}{h} J_1(hr)$$

↑

$$\therefore J'_0(hr) = -J_1(hr)$$

why?

<E & H-field patterns in a polar plane>



<Bessel function of the 1st kind>

Chap. 10 | TE waves in circular waveguide (1/2)

- **Longitudinal field components**

$$\begin{cases} E_z = 0 \\ H_z(r, \phi, z) = H_z^0(r, \phi) e^{-\gamma z} \text{ where } \nabla_{r\phi}^2 H_z^0 + h^2 H_z^0 = 0 \text{ and } H_z^0(r, \phi) = R(r)\Phi(\phi) \end{cases}$$

- Similarly to the TM case,

$$\therefore H_z^0(r, \phi) = D_n J_n(hr) \cos n\phi \quad (\text{TE modes})$$

- **Transverse field components**

- Transverse magnetic fields:

$$\left[(\mathbf{H}_T^0)_{TE} = \mathbf{a}_r H_r^0 + \mathbf{a}_\phi H_\phi^0 \right] = \left[-\frac{\gamma}{h^2} \nabla_T H_z^0 = -\frac{\gamma}{h^2} \left(\mathbf{a}_r \frac{\partial}{\partial r} + \mathbf{a}_\phi \frac{1}{r} \frac{\partial}{\partial \phi} \right) H_z^0 \right]$$

- Transverse electric fields:

$$\left[(\mathbf{E}_T^0)_{TE} = \mathbf{a}_r E_r^0 + \mathbf{a}_\phi E_\phi^0 \right] = \left[-Z_{TE} (\mathbf{a}_z \times (\mathbf{H}_T^0)_{TE}) = -\frac{j\omega\mu}{\gamma} (\mathbf{a}_r H_r^0 + \mathbf{a}_\phi H_\phi^0) \right]$$

$$\begin{cases} H_r^0 = -\frac{j\beta}{h^2} \frac{\partial H_z^0}{\partial r} = -\frac{j\beta}{h} D_n J'_n(hr) \cos n\phi \\ H_\phi^0 = -\frac{j\beta}{h^2 r} \frac{\partial H_z^0}{\partial \phi} = \frac{j\beta n}{h^2 r} D_n J_n(hr) \sin n\phi \\ E_r^0 = -\frac{\omega\epsilon}{\beta} H_\phi^0 = -\frac{j\omega\epsilon n}{h^2 r} D_n J_n(hr) \sin n\phi \\ E_\phi^0 = \frac{\omega\epsilon}{\beta} H_r^0 = -\frac{j\omega\epsilon}{h} D_n J'_n(hr) \cos n\phi \end{cases}$$

Chap. 10 | TE waves in circular waveguide (2/2)

• **Eigenvalues h**

- Eigenvalues provided by B.C. where *tangential E-fields = 0 at $r = a$*

$$\therefore J'_n(ha) = 0$$

• **Lowest cutoff frequency for TE modes**

- From <Table 2>, the lowest zero of $J'_n(x)$ is $x_{11} = 1.841$

- Thus, the smallest ha for $J'_1(ha) = 0 \rightarrow x_{11}$

$$ha = 1.841 \rightarrow h_{TE11} = \frac{1.841}{a}$$

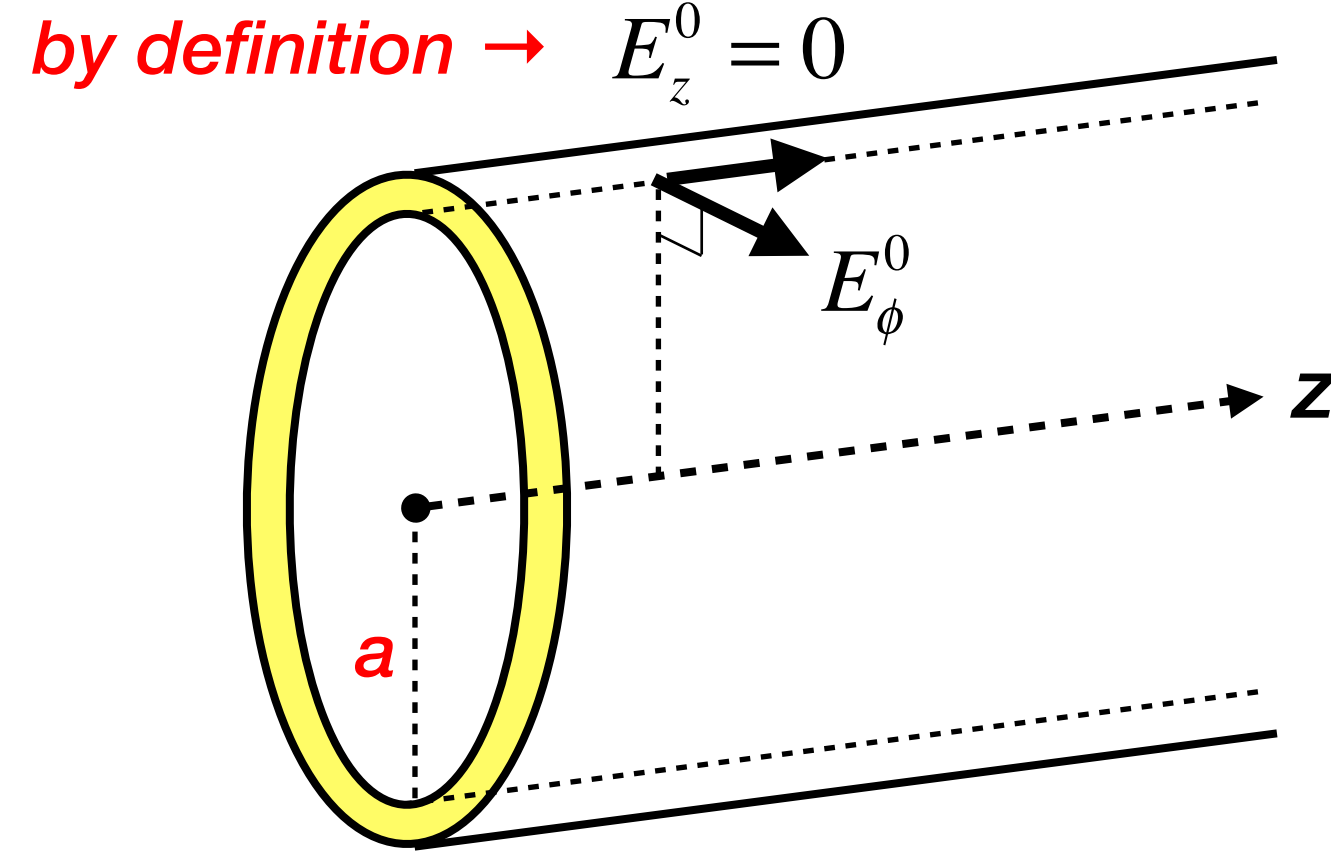
- Since cutoff frequency for TE modes is given by

$$f_c = \frac{h}{2\pi\sqrt{\mu\epsilon}} \rightarrow \therefore (f_c)_{TE11} = \frac{h_{TE11}}{2\pi\sqrt{\mu\epsilon}} = \frac{0.293}{a\sqrt{\mu\epsilon}}$$

Is it a dominant mode?

- Comparison between TE and TM modes

$$\therefore (f_c)_{TE11} = \frac{0.293}{a\sqrt{\mu\epsilon}} < (f_c)_{TM01} = \frac{0.383}{a\sqrt{\mu\epsilon}}, \text{ **TE}_{11} \text{ mode is a dominant mode of a circular waveguide!}**$$



$$E_\phi^0 = 0 \text{ for all } \phi \text{ at } r = a$$

$$E_\phi^0 = \frac{\omega\epsilon}{\beta} H_r^0 = -\frac{j\omega\epsilon}{h} D_n J'_n(hr) \cos n\phi$$

<Table 2>

Zeros of $J'_n(x) = x'_{np}$

p \ n	0	1	2	...
1	3.832	1.841	3.054	...
2	7.016	5.331	6.706	...
...

Chap. 10 | TE waves in circular waveguide (Example)

Example 10-12 (a) A 10 GHz signal is transmitted inside a circular conducting pipe. Determine the *inside diameter* of the pipe such that its lowest f_c is 20% below this signal frequency. (b) If the pipe is to operate at 15 (GHz), what waveguide modes can propagate in the pipe?

(a) Lowest $f_c = (f_c)_{TE11}$

$$(f_c)_{TE11} = \frac{0.293}{a\sqrt{\mu\epsilon}} = \frac{0.293c}{a} = \frac{0.293 \times 3 \times 10^8}{a} = \frac{0.0879}{a} \text{ (GHz)} \quad \therefore \frac{0.0879}{a} \text{ (GHz)} = 10 \times 0.8 \text{ (GHz)} \rightarrow d = 2a = 2.2 \text{ (cm)}$$

(b) Cutoff frequencies for various modes are given as

$$\begin{aligned} (f_c)_{TE11} &= 8 \text{ (GHz)} & (f_c)_{TM01} &= (f_c)_{TE11} \frac{2.405}{1.841} = 10.45 \text{ (GHz)} \\ (f_c)_{TE21} &= (f_c)_{TE11} \frac{3.054}{1.841} = 13.27 \text{ (GHz)} & (f_c)_{TM11} &= (f_c)_{TE11} \frac{3.832}{1.841} = 16.65 \text{ (GHz)} > 15 \\ (f_c)_{TE01} &= (f_c)_{TE11} \frac{3.832}{1.841} = 16.25 \text{ (GHz)} > 15 & & \vdots \\ & \vdots & & \end{aligned}$$

\therefore **TE₁₁, TE₂₁, TM₀₁** modes can propagate at a given operating frequency 15 (GHz) and all other higher order modes should attenuate.

<Table 1> (for TM!)
Zeros of $J_n(x) = x_{np}$

p \ n	0	1	2	...
1	2.405	3.832	5.136	...
2	5.520	7.016	8.417	...
...

<Table 2> (for TE!)
Zeros of $J_n'(x) = x'_{np}$

p \ n	0	1	2	...
1	3.832	1.841	3.054	...
2	7.016	5.331	6.706	...
...

Electromagnetics

<Chap. 10> Waveguides and Cavity Resonators
Section 10.5 ~ 10.6

(2nd of week 8)

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Chap. 10 | Contents for 2nd class of week 8

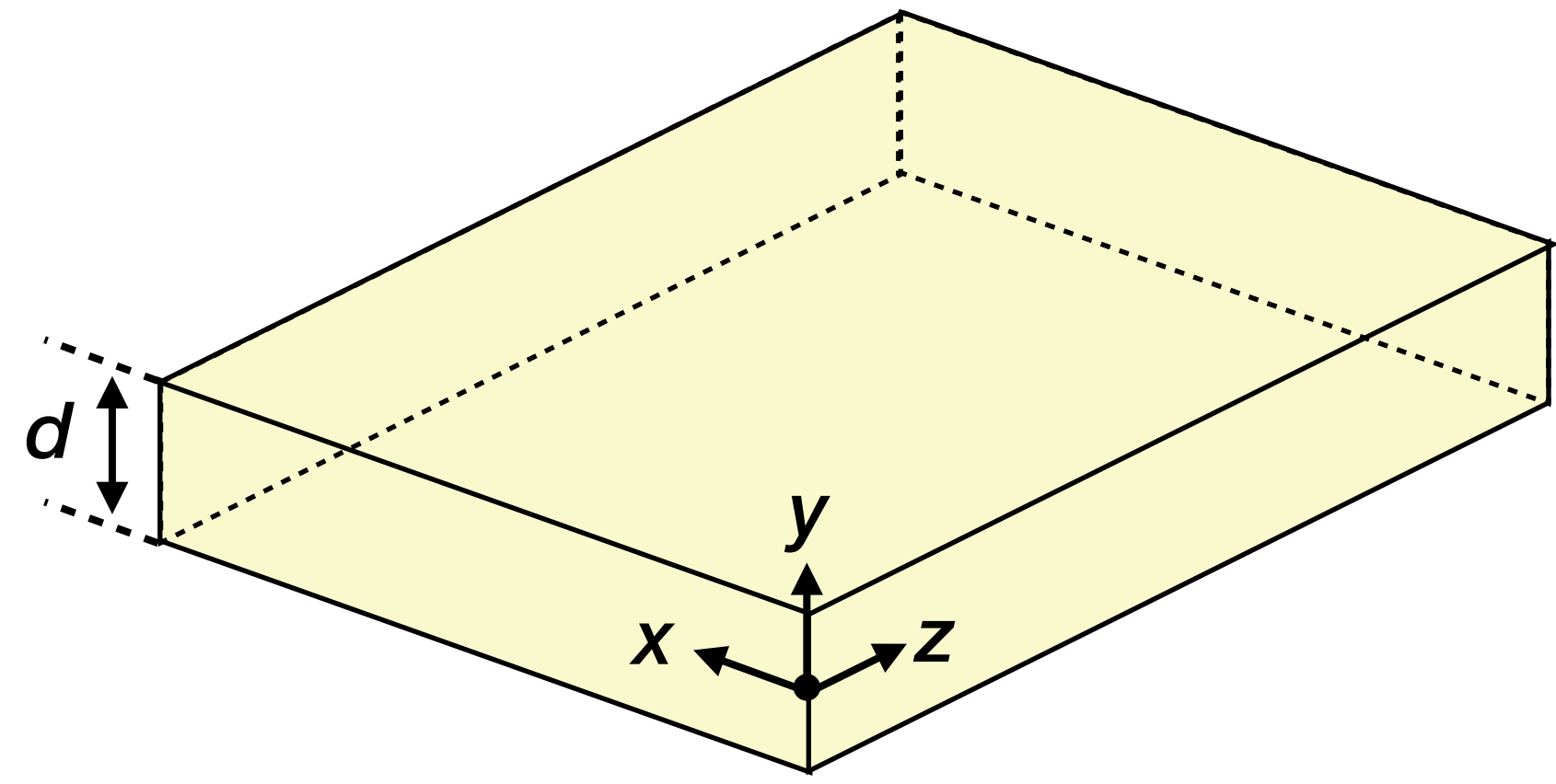
Sec 6. Dielectri-slab waveguide

- Odd and Even TE wave characteristics (*try TM case at home!*)
- Cutoff frequencies and possible modes

Chap. 10 | Introduction: Dielectric waveguide

- **Dielectric-slab waveguide**

- Thin dielectric slab (μ and ϵ) with thickness d situated in free space (μ_0 and ϵ_0)
- Even without conducting walls, both TM and TE waves can be supported! (shown later)



<Dielectric-slab waveguide>

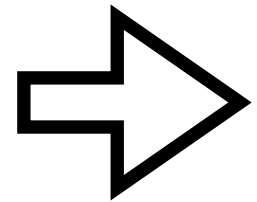
- **Assumptions**

- z: propagation direction
- x: Infinite in extent and no variation of the fields
- Lossless dielectric ($\sigma_d = 0$)

$$\rightarrow \frac{\partial \mathbf{E}}{\partial x} = 0, \quad \frac{\partial \mathbf{H}}{\partial x} = 0$$

- **Wave equations**

$$\begin{cases} \nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0 \\ \nabla^2 \mathbf{H} + k^2 \mathbf{H} = 0 \end{cases}$$



In the z-direction,

$$\begin{cases} (\nabla_y^2 + \nabla_z^2) E_z + k^2 E_z = 0 \\ (\nabla_y^2 + \nabla_z^2) H_z + k^2 H_z = 0 \end{cases}$$

where $\begin{cases} \nabla_x^2 E_z = 0 \\ \nabla_x^2 H_z = 0 \end{cases}$

$$\begin{cases} E_z(y,z) = E_z^0(y) e^{-\gamma z} \\ H_z(y,z) = H_z^0(y) e^{-\gamma z} \end{cases}$$

where $\begin{cases} \mathbf{E} = \mathbf{a}_x E_x + \mathbf{a}_y E_y + \mathbf{a}_z E_z \\ \mathbf{H} = \mathbf{a}_x H_x + \mathbf{a}_y H_y + \mathbf{a}_z H_z \end{cases}$

Wave equations for longitudinal fields

$$\begin{cases} \nabla_y^2 E_z^0 + (\gamma^2 + k^2) E_z^0 = 0 \quad \dots \text{for TM modes with } H_z^0 = 0 \\ \nabla_y^2 H_z^0 + (\gamma^2 + k^2) H_z^0 = 0 \quad \dots \text{for TE modes with } E_z^0 = 0 \end{cases}$$

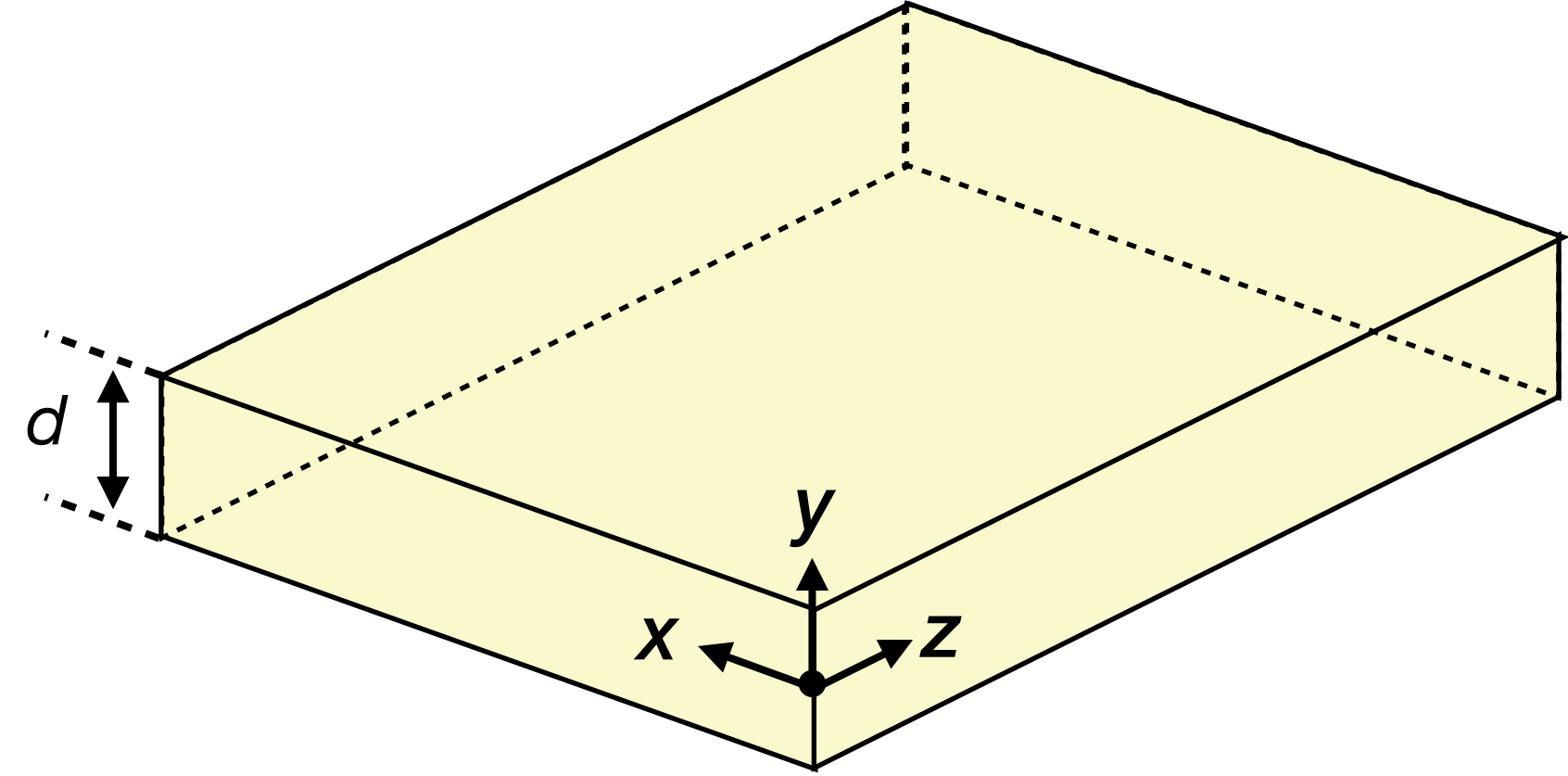
Chap. 10 | TE waves along a dielectric slab

• Longitudinal field components

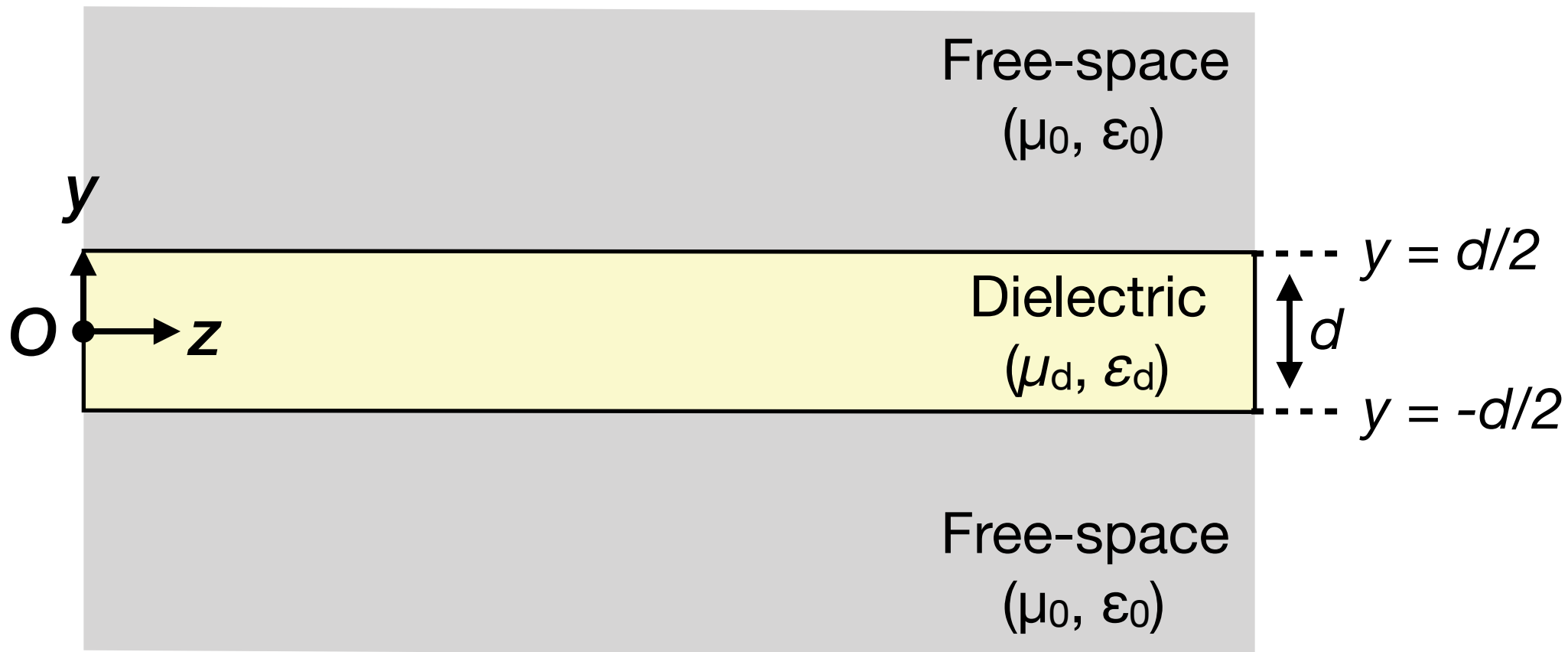
- $E_z = 0$
- H_z satisfies wave equation: $\nabla_y^2 H_z^0 + (\gamma^2 + k^2) H_z^0 = 0$ where $H_z(y,z) = H_z^0(y)e^{-\gamma z}$

• Transverse field components

$$\left\{ \begin{array}{l} E_x^0 = -\frac{1}{h^2} \left(\cancel{\gamma \frac{\partial E_z^0}{\partial x}} + j\omega\mu \frac{\partial H_z^0}{\partial y} \right) \\ E_y^0 = -\frac{1}{h^2} \left(\cancel{\gamma \frac{\partial E_z^0}{\partial y}} - j\omega\mu \frac{\partial H_z^0}{\partial x} \right) \\ H_x^0 = -\frac{1}{h^2} \left(\cancel{\gamma \frac{\partial H_z^0}{\partial x}} - j\omega\epsilon \frac{\partial E_z^0}{\partial y} \right) \\ H_y^0 = -\frac{1}{h^2} \left(\cancel{\gamma \frac{\partial H_z^0}{\partial y}} + j\omega\epsilon \frac{\partial E_z^0}{\partial x} \right) \end{array} \right. \Rightarrow \left\{ \begin{array}{l} E_x^0 = -\frac{j\omega\mu}{h^2} \frac{\partial H_z^0}{\partial y} \\ E_y^0 = 0 \\ H_x^0 = 0 \\ H_y^0 = -\frac{\gamma}{h^2} \frac{\partial H_z^0}{\partial y} \end{array} \right.$$



<Dielectric-slab waveguide>



- Fields must be considered *both in dielectric (core) & free-space (cladding)* regions
- Field components should satisfy *B.C. at $y = d/2$ and $y = -d/2$* (i.e. B.C. between two lossless dielectric)

Chap. 10 | TE waves along a dielectric slab (general solution)

- Solution for **dielectric** ($y \leq |d|/2$)
 - Modes propagating in z-direction **without attenuation** ($\gamma = j\beta$)
 - A solution should be in **a sinusoidal form** (i.e. a bounded standing wave)

$$\nabla_y^2 H_z^0 + (k^2 + \gamma^2) H_z^0 = 0 \quad \rightarrow \quad \nabla_y^2 H_z^0 + h_d^2 H_z^0 = 0$$

Here, $h_d^2 = k^2 + \gamma^2 = \omega^2 \mu_d \epsilon_d - \beta^2 > 0.$

→ Wavenumber for a bounded wave

$$\therefore H_z^0(y) = H_o \sin h_d y + H_e \cosh h_d y$$

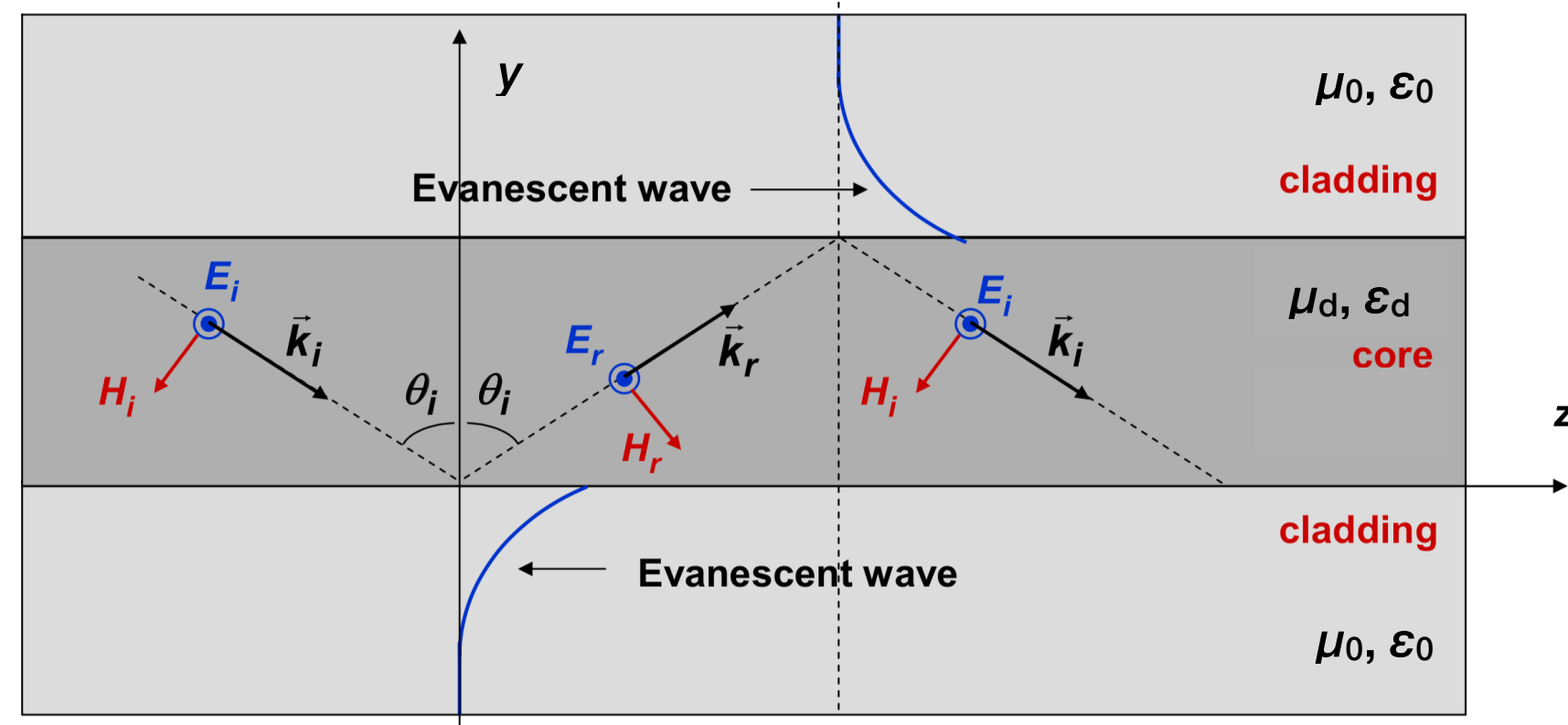
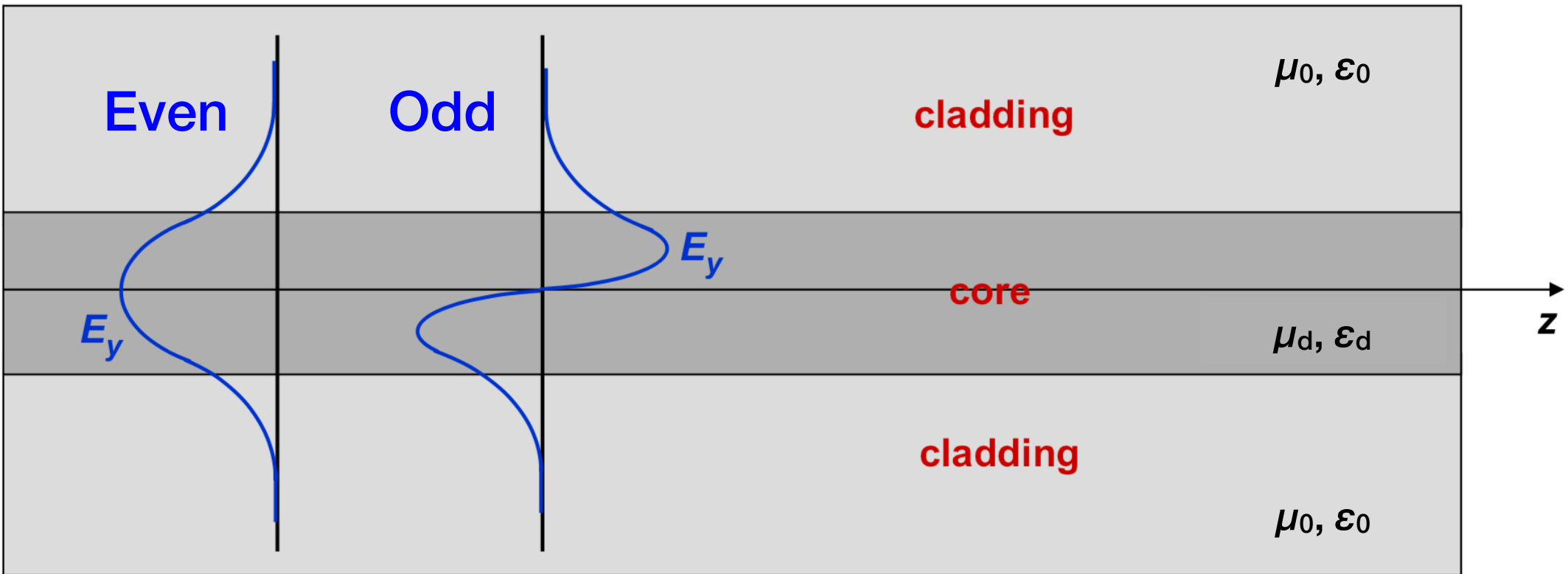
→ “Odd” & “Even” functions

- Solution for **free-space** ($y \geq d/2$ and $y \leq -d/2$)
 - Waves **decay exponentially in the y-direction** (“**Evanescent wave**”)
 - Waves bounded only within the guide (**total internal reflected**)
 - Waves not radiating away from it

$$\nabla_y^2 H_z^0 + (\gamma^2 + k^2) H_z^0 = 0 \quad \rightarrow \quad \nabla_y^2 H_z^0 + h_0^2 H_z^0 = 0$$

Here, $h_0^2 = k^2 + \gamma^2 = \omega^2 \mu_0 \epsilon_0 - \beta^2 < 0.$ Thus, $h_0^2 \triangleq -\alpha^2$

$$\therefore \begin{cases} H_z^0(y) = C_u e^{-\alpha(y-d/2)} + D_u e^{\alpha(y-d/2)} & \text{where } y \geq d/2 \\ H_z^0(y) = C_l e^{\alpha(y+d/2)} + D_l e^{-\alpha(y+d/2)} & \text{where } y \leq -d/2 \end{cases}$$



Img src: Cornell ECE 303 (Farhan Rana)

Chap. 10 | TE waves along a dielectric slab (Odd TE modes)

- **Odd TE modes in the dielectric** ($|y| \leq d/2$)

- Longitudinal components

$$E_z^0 = 0, \quad H_z^0(y) = H_o \sin h_d y$$

- **Nonzero** Transverse components

$$\begin{cases} E_x^0(y) = -\frac{j\omega\mu_d}{h_d^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\omega\mu_d}{h_d} H_o \cosh h_d y \\ H_y^0(y) = -\frac{\gamma}{h_d^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\beta}{h_d} H_o \cosh h_d y \end{cases}$$

h_d : Wavenumber for the *bounded wave*

- **Odd TE modes in the upper free-space** ($y \geq d/2$)

- Longitudinal components

$$H_z^0(y) = C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \quad \text{where} \quad H_z^0\left(\frac{d}{2}\right) = C_u = H_o \sin \frac{h_d d}{2} \quad (\because \text{B.C. Continuous tangential H-fields})$$

- **Nonzero** Transverse components

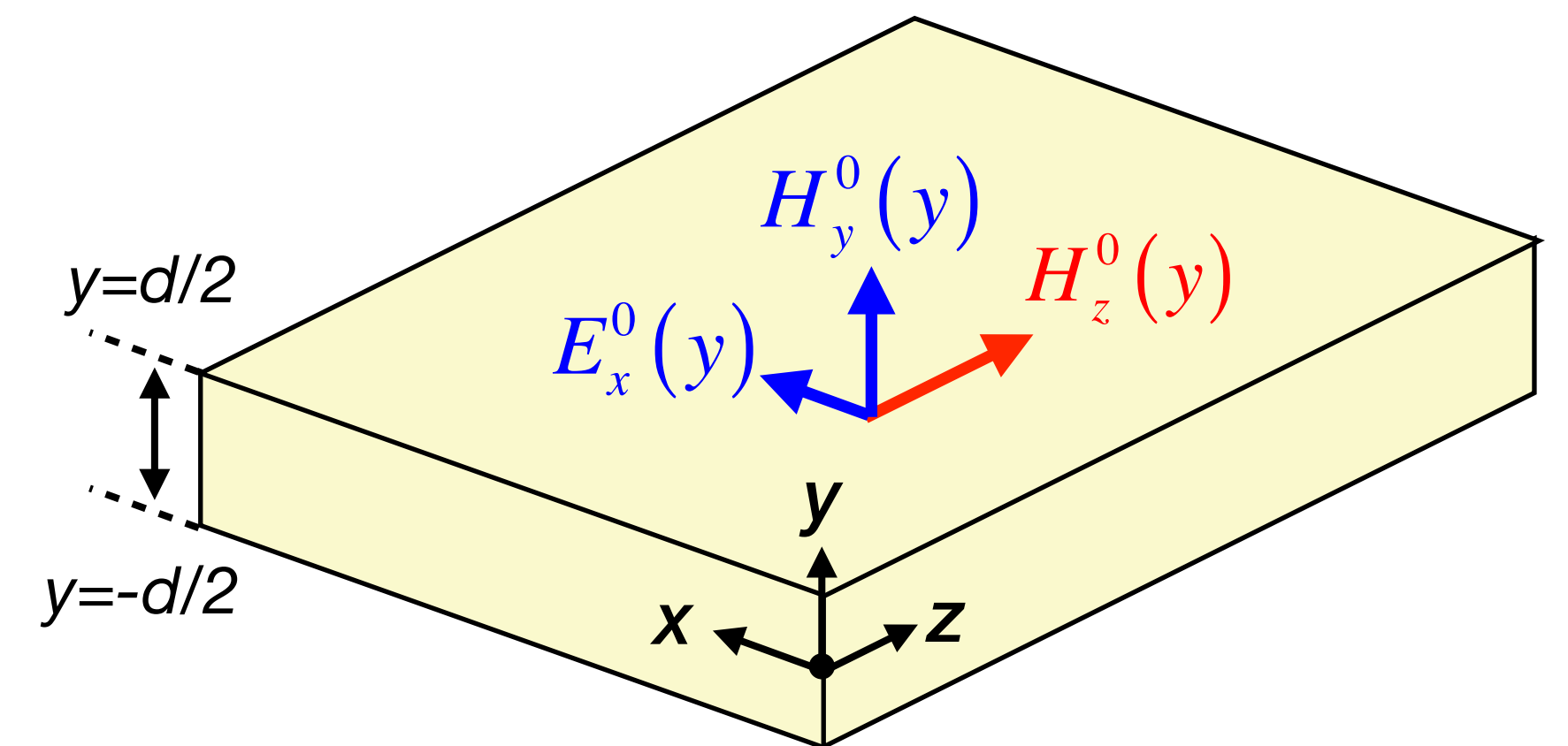
$$\begin{cases} E_x^0(y) = -\frac{j\omega\mu_0}{h_0^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\omega\mu_0}{\alpha} C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \\ H_y^0(y) = -\frac{\gamma}{h_0^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\beta}{\alpha} C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \end{cases}$$

α : Attenuation coefficient for *evanescent wave*

$$\begin{cases} H_z^0(y) = H_o \sin h_d y + H_e \cosh h_d y \quad \text{where } |y| \leq d/2 \\ H_z^0(y) = C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \quad \text{where } y \geq d/2 \\ H_z^0(y) = C_l e^{\alpha\left(y+\frac{d}{2}\right)} \quad \text{where } y \leq -d/2 \end{cases}$$

Here, $h_d^2 = \omega^2 \mu_d \epsilon_d - \beta^2$

$$\alpha^2 = -h_0^2 = \beta^2 - \omega^2 \mu_0 \epsilon_0$$



Chap. 10 | TE waves along a dielectric slab (Odd TE modes)

• Relations between h_d and α

- Provided by B.C. such that tangential E-fields (at $y = \pm d/2$) should be continuous

$$E_x^0\left(\frac{d}{2}\right) \rightarrow -\frac{j\omega\mu_d}{h_d} H_o \cos\frac{h_d d}{2} = -\frac{j\omega\mu_0}{\alpha} H_o \sin\frac{h_d d}{2}$$

$$\rightarrow \boxed{\frac{\alpha}{h_d} = \frac{\mu_0}{\mu_d} \tan\left(\frac{h_d d}{2}\right)} \dots(1) \quad \text{:}h_d\text{-}\alpha\text{ relation for odd TE modes}$$

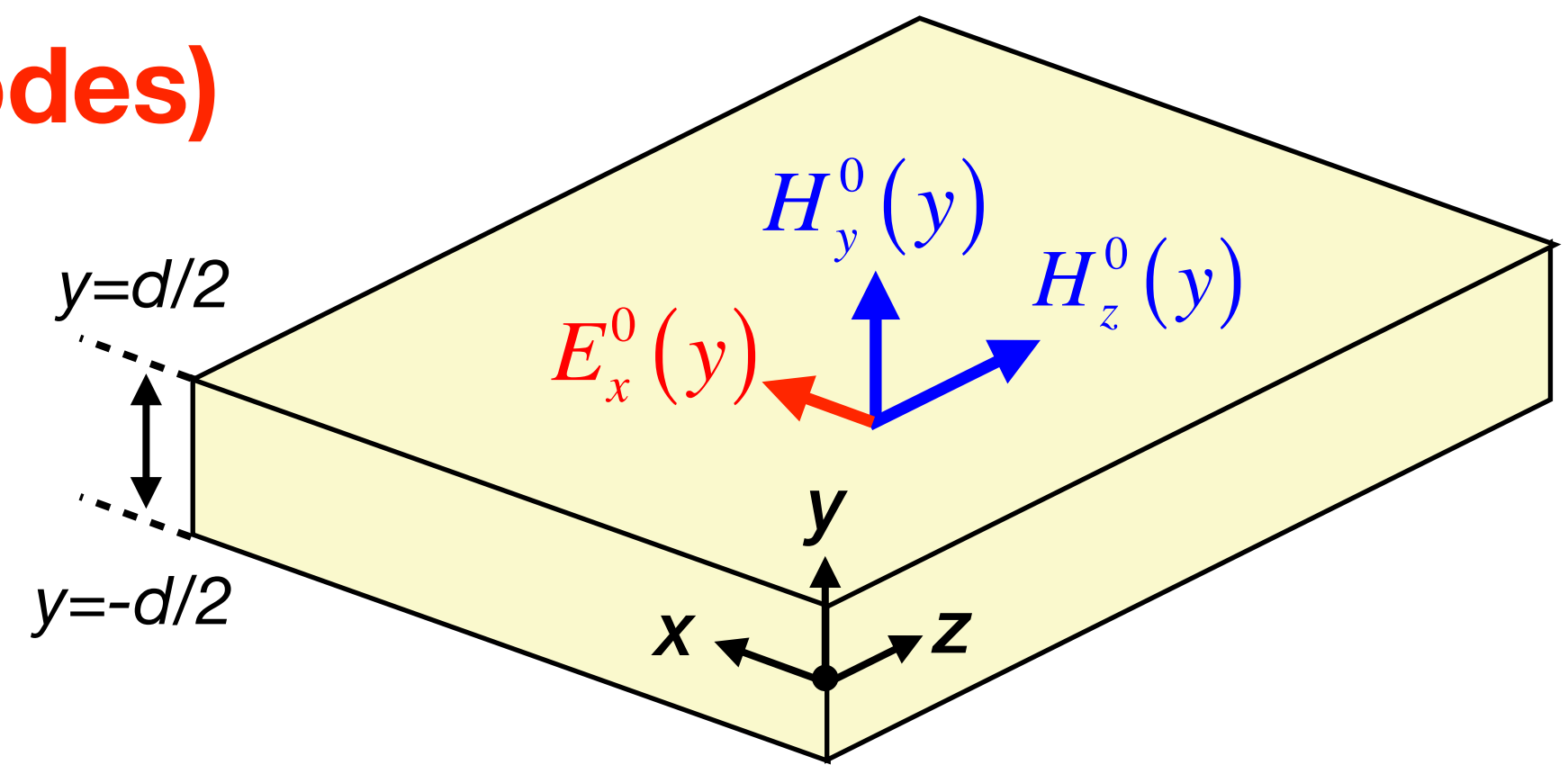
- By directly equating expressions for h_d and α ,

$$\begin{cases} h_d^2 = \omega^2 \mu_d \epsilon_d - \beta^2 \\ \alpha^2 = \beta^2 - \omega^2 \mu_0 \epsilon_0 \end{cases} \Rightarrow \begin{cases} h_d^2 + \alpha^2 = \omega^2 \mu_d \epsilon_d - \omega^2 \mu_0 \epsilon_0 \\ \alpha = \sqrt{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) - h_d^2} \end{cases} \dots(2)$$

- By substituting equation (2) into (1), we get

$$\frac{\sqrt{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) - h_d^2}}{h_d} = \frac{\mu_0}{\mu_d} \tan\left(\frac{h_d d}{2}\right)$$

$$\rightarrow \boxed{\therefore \frac{\mu_d}{\mu_0} \sqrt{\frac{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) d}{(h_d d)^2} - 1} = \tan\left(\frac{h_d d}{2}\right)} \quad \text{:} \text{Transcendental equation for odd TE modes}$$



B.C. between two lossless dielectrics

$$\begin{cases} E_{1t} = E_{2t} & \text{(tangential)} \\ H_{1t} = H_{2t} \\ D_{1n} = D_{2n} & \text{(normal)} \\ B_{1n} = B_{2n} \end{cases}$$

$$\begin{cases} E_x^0(y) = -\frac{j\omega\mu_d}{h_d} H_o \cos h_d y \quad \dots \text{ for } |y| \leq \frac{d}{2} \\ E_x^0(y) = -\frac{j\omega\mu_0}{\alpha} C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \quad \dots \text{ for } y \geq \frac{d}{2} \end{cases}$$

where $C_u = H_o \sin\frac{h_d d}{2}$

Chap. 10 | TE waves along a dielectric slab (Even TE modes)

- **Even TE modes in the dielectric** ($|y| \leq d/2$)

- Longitudinal components

$$E_z^0 = 0, \quad H_z^0(y) = H_e \cosh h_d y$$

- **Nonzero** Transverse components

$$\begin{cases} E_x^0(y) = -\frac{j\omega\mu_d}{h_d^2} \frac{\partial H_z^0}{\partial y} = \frac{j\omega\mu_d}{h_d} H_e \sin h_d y \\ H_y^0(y) = -\frac{\gamma}{h_d^2} \frac{\partial H_z^0}{\partial y} = \frac{j\beta}{h_d} H_e \sin h_d y \end{cases}$$

h_d : Wavenumber for the *bounded wave*

$$\begin{cases} H_z^0(y) = E_o \sin h_d y + E_e \cos h_d y & \text{where } |y| \leq d/2 \\ H_z^0(y) = C_u e^{-\alpha(y-\frac{d}{2})} & \text{where } y \geq d/2 \\ H_z^0(y) = C_l e^{\alpha(y+\frac{d}{2})} & \text{where } y \leq -d/2 \end{cases}$$

Here, $h_d^2 = \omega^2 \mu_d \epsilon_d - \beta^2$

$$\alpha^2 = -h_0^2 = \beta^2 - \omega^2 \mu_0 \epsilon_0$$

- **Even TE modes in the upper free-space** ($y \geq d/2$)

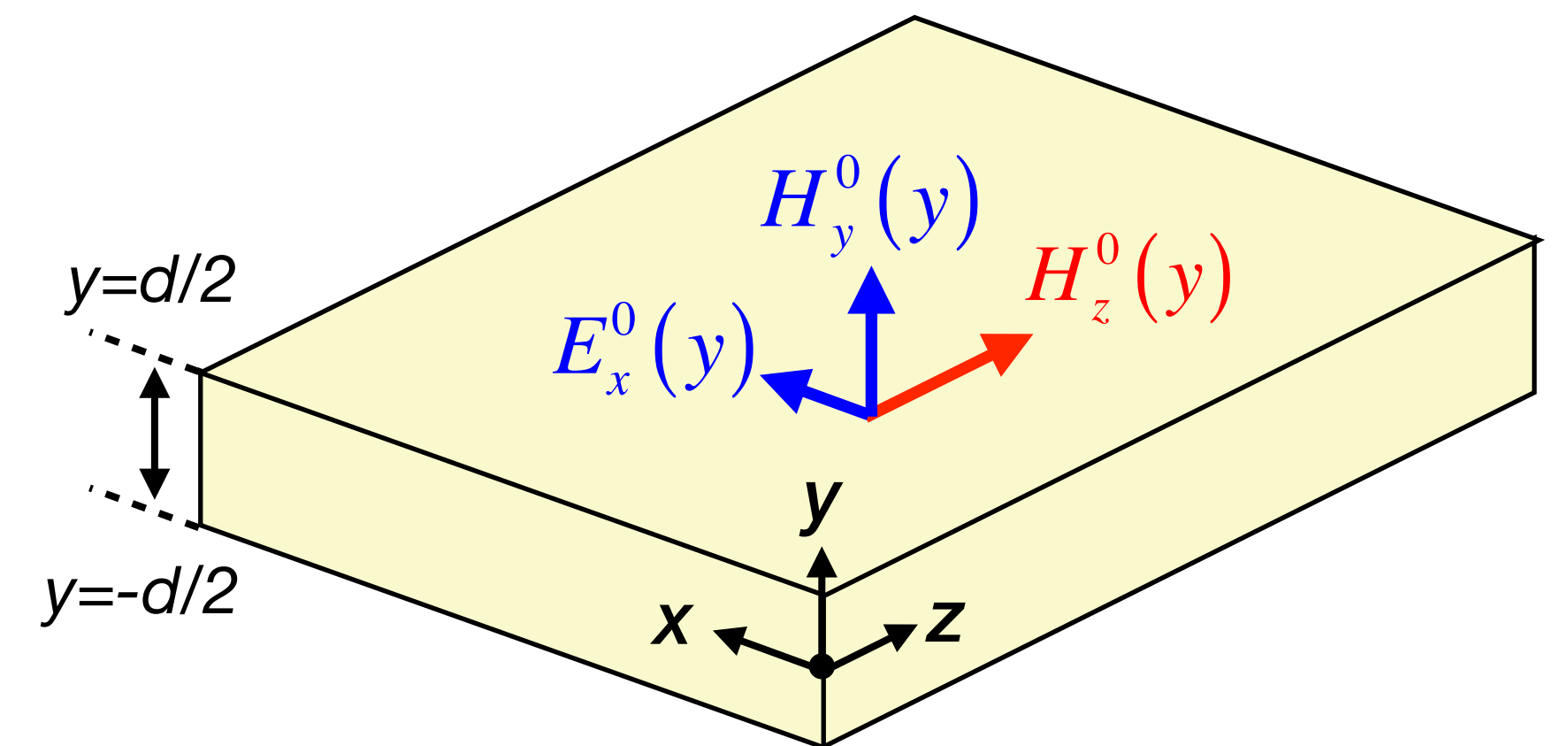
- Longitudinal components

$$H_z^0(y) = C_u e^{-\alpha(y-\frac{d}{2})} \quad \text{where} \quad H_z^0\left(\frac{d}{2}\right) = C_u = H_e \cos \frac{h_d d}{2} \quad (\because \text{B.C. Continuous tangential H-fields})$$

- **Nonzero** Transverse components

$$\begin{cases} E_x^0(y) = -\frac{j\omega\mu_0}{h_0^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\omega\mu_0}{\alpha} C_u e^{-\alpha(y-\frac{d}{2})} \\ H_y^0(y) = -\frac{\gamma}{h_0^2} \frac{\partial H_z^0}{\partial y} = -\frac{j\beta}{\alpha} C_u e^{-\alpha(y-\frac{d}{2})} \end{cases}$$

α : Attenuation coefficient for *evanescent wave*



Chap. 10 | TE waves along a dielectric slab (Even TE modes)

• **Relations between h_d and α**

- Provided by B.C. such that tangential E-fields (at $y = \pm d/2$) should be continuous

$$E_x^0\left(\frac{d}{2}\right) \rightarrow \frac{j\omega\mu_d}{h_d} H_e \sin\frac{h_d d}{2} = -\frac{j\omega\mu_0}{\alpha} H_e \cos\frac{h_d d}{2}$$

$$\rightarrow \boxed{\frac{\alpha}{h_d} = -\frac{\mu_0}{\mu_d} \cot\left(\frac{h_d d}{2}\right)} \dots(1) \quad \text{:}h_d\text{-}\alpha\text{ relation for even TE modes}$$

- By directly equating expressions for h_d and α ,

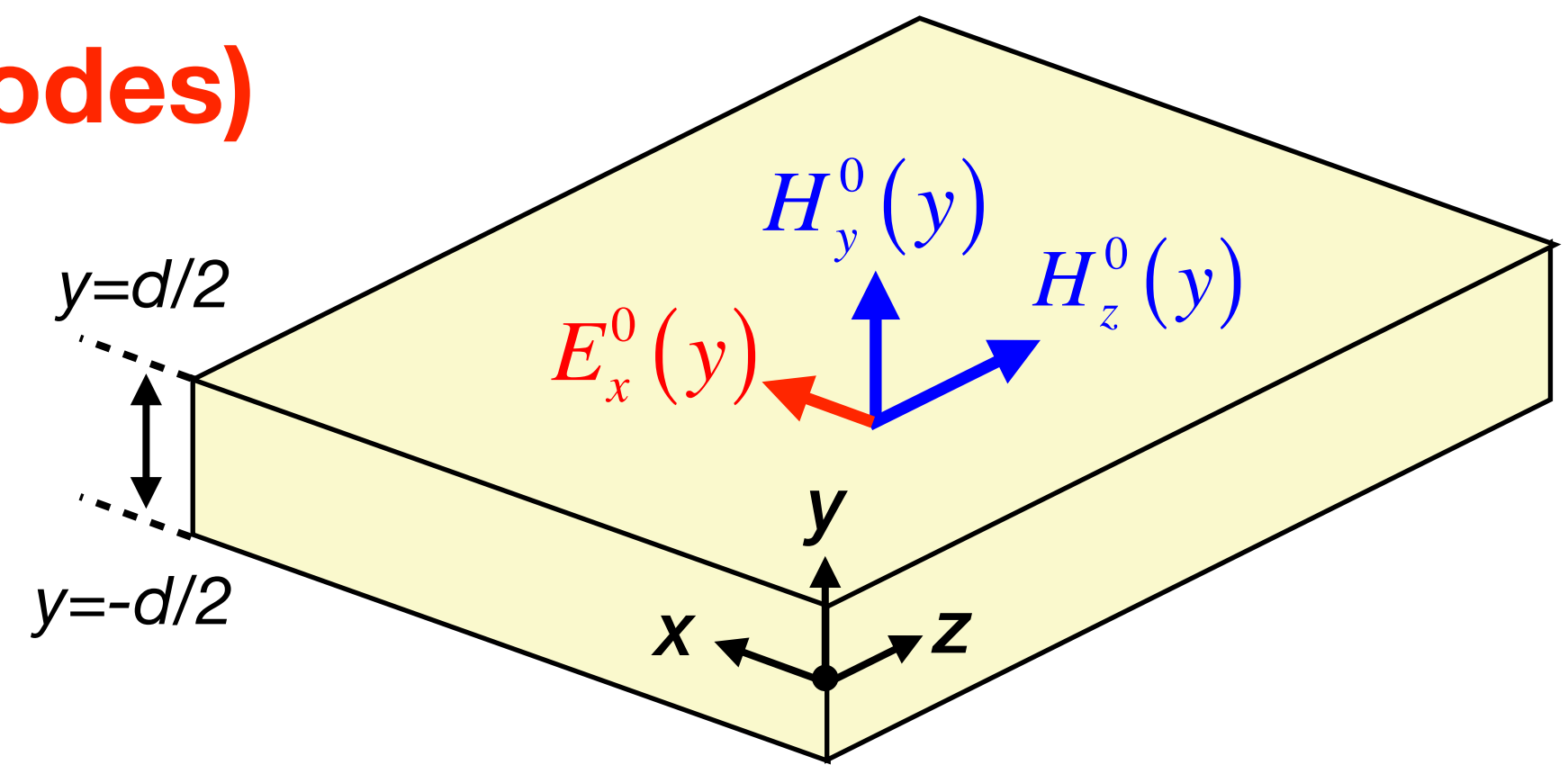
$$\begin{cases} h_d^2 = \omega^2 \mu_d \epsilon_d - \beta^2 \\ \alpha^2 = \beta^2 - \omega^2 \mu_0 \epsilon_0 \end{cases} \Rightarrow h_d^2 + \alpha^2 = \omega^2 \mu_d \epsilon_d - \omega^2 \mu_0 \epsilon_0$$

$$\rightarrow \boxed{\alpha = \sqrt{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) - h_d^2}} \dots(2)$$

- By substituting equation (2) into (1), we get

$$\frac{\sqrt{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) - h_d^2}}{h_d} = -\frac{\mu_0}{\mu_d} \cot\left(\frac{h_d d}{2}\right)$$

$$\rightarrow \boxed{\therefore \frac{\mu_d}{\mu_0} \sqrt{\frac{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) d}{(h_d d)^2} - 1} = -\cot\left(\frac{h_d d}{2}\right)} \quad \text{:} \text{Transcendental equation for even TE modes}$$



B.C. between two lossless dielectrics

$$\begin{cases} E_{1t} = E_{2t} & \text{(tangential)} \\ H_{1t} = H_{2t} \\ D_{1n} = D_{2n} & \text{(normal)} \\ B_{1n} = B_{2n} \end{cases}$$

$$\begin{cases} E_x^0(y) = \frac{j\omega\mu_d}{h_d} H_e \sin h_d y \quad \dots \text{ for } |y| \leq \frac{d}{2} \\ E_x^0(y) = -\frac{j\omega\mu_0}{\alpha} C_u e^{-\alpha\left(y-\frac{d}{2}\right)} \quad \dots \text{ for } y \geq \frac{d}{2} \end{cases}$$

where $C_u = H_0 \cos\frac{h_d d}{2}$

Chap. 10 | Cutoff frequencies for dielectric guides

(Note: Definition of cutoff frequency for dielectric waveguide is different from those for others [parallel-plate, single conductor, ...])

• Cutoff frequencies

: Frequencies where the waves are *no longer bounded to the dielectric* → **Absence of attenuation, $\alpha = 0$ (Not evanescent!)**

$$\alpha^2 = \beta^2 - \omega^2 \mu_0 \epsilon_0 = 0 \quad \rightarrow \quad \beta = \omega \sqrt{\mu_0 \epsilon_0} \quad \dots(1)$$

- On the other hand, from the h_d - α relations we have

$$\left\{ \begin{array}{l} \frac{\alpha}{h_d} = \frac{\mu_0}{\mu_d} \tan\left(\frac{h_d d}{2}\right) \quad \dots \text{for odd TE modes} \\ \frac{\alpha}{h_d} = -\frac{\mu_0}{\mu_d} \cot\left(\frac{h_d d}{2}\right) \quad \dots \text{for even TE modes} \end{array} \right. \Rightarrow \left\{ \begin{array}{l} 0 = \frac{\mu_0}{\mu_d} \tan\left(\frac{h_d d}{2}\right) \rightarrow \frac{h_d d}{2} = n\pi \\ 0 = -\frac{\mu_0}{\mu_d} \cot\left(\frac{h_d d}{2}\right) \rightarrow \frac{h_d d}{2} = \left(n + \frac{1}{2}\right)\pi \end{array} \right. \quad (n = 0, 1, 2, \dots)$$

- Since h_d is given by

$$\begin{aligned} h_d^2 &= \omega^2 \mu_d \epsilon_d - \beta^2 = \omega^2 \mu_d \epsilon_d - \omega^2 \mu_0 \epsilon_0 \\ \rightarrow h_d &= \omega \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0} \end{aligned} \Rightarrow \left\{ \begin{array}{l} \frac{h_d d}{2} = \frac{\omega_{co} d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}}{2} = n\pi \\ \frac{h_d d}{2} = \frac{\omega_{ce} d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}}{2} = \left(n + \frac{1}{2}\right)\pi \end{array} \right. \quad (n = 0, 1, 2, \dots)$$

$$\therefore \left\{ \begin{array}{l} f_{co} = \frac{n}{d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} \quad \text{for odd TE modes} \\ f_{ce} = \frac{n - 1/2}{d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} \quad \text{for even TE modes} \end{array} \right. \quad (n = 0, 1, 2, \dots)$$

Chap. 10 | Possible modes for dielectric guides

Equation requirements:
L.H.S (green) and R.H.S (blue & red)
should be ALL POSITIVE!

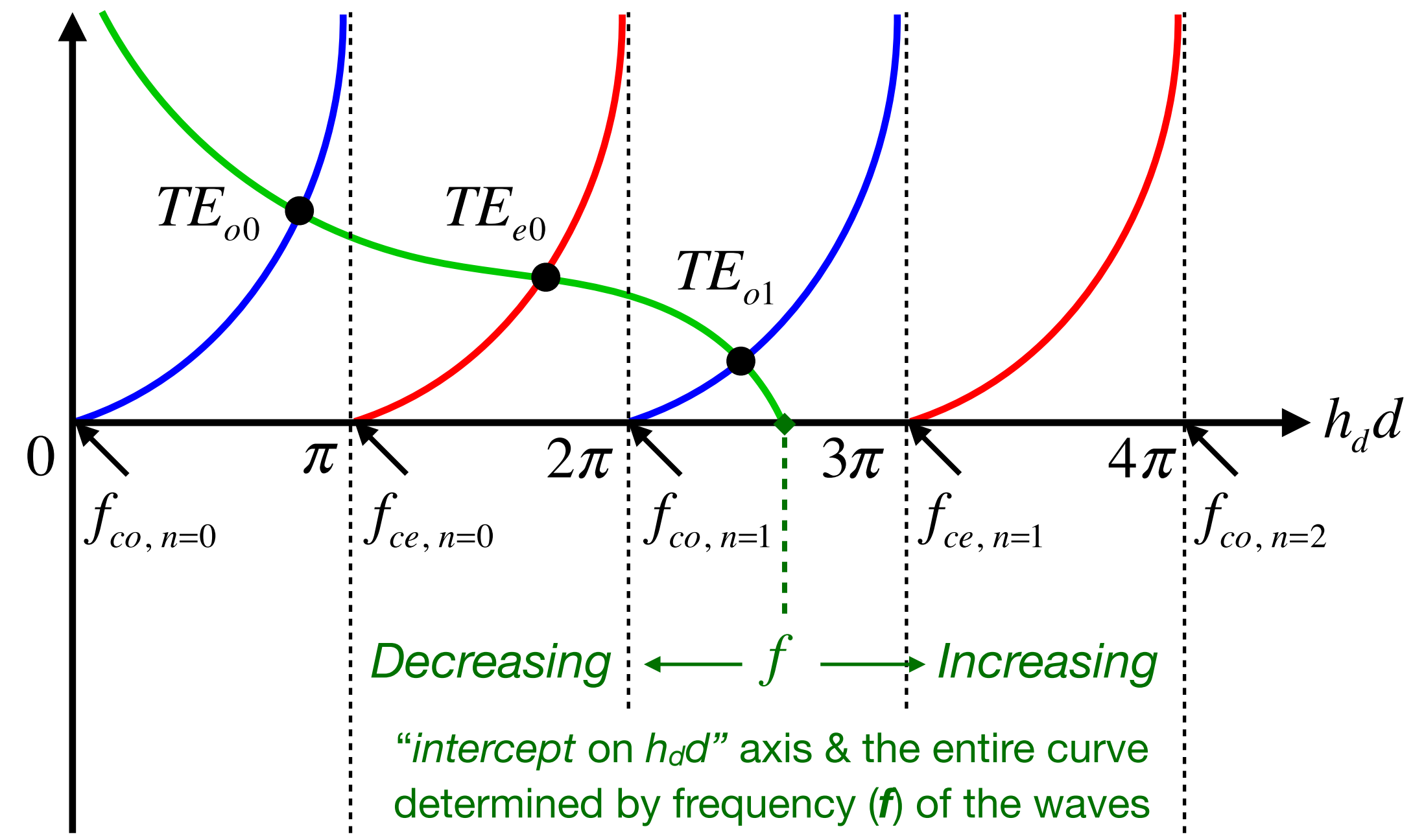
• Possible modes

- From transcendental equations for odd & even TE modes,

$$\left\{ \begin{aligned} \frac{\mu_d}{\mu_0} \sqrt{\frac{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) d}{(h_d d)^2}} - 1 &= \tan\left(\frac{h_d d}{2}\right) > 0 \quad \dots \text{Odd TE modes} \\ \frac{\mu_d}{\mu_0} \sqrt{\frac{\omega^2 (\mu_d \epsilon_d - \mu_0 \epsilon_0) d}{(h_d d)^2}} - 1 &= -\cot\left(\frac{h_d d}{2}\right) > 0 \quad \dots \text{Even TE modes} \end{aligned} \right.$$

Cutoff frequencies

$$\left\{ \begin{aligned} f_{co} &= \frac{n}{d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} \quad \dots \text{Odd TE modes} \\ f_{ce} &= \frac{n + 1/2}{d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} \quad \dots \text{Even TE modes} \end{aligned} \right. \quad (n = 0, 1, 2, \dots)$$



Example

- With a given width d of a slab and a frequency of the propagating waves (f), If $f_{co,n=1} < f < f_{ce,n=1}$
- There exist three possible modes, TE_{o0} , TE_{e0} and TE_{o1}
- Only a finite number of modes are allowed!

Dominant mode?

- If $n = 0 \rightarrow f_{co} = 0$
- TE_{o0} (lowest-order odd TE mode) = **Dominant mode!**
- TE_{o0} can propagate along a waveguide **with any thickness!**

Chap. 10 | Meaning of cutoff in dielectric waveguide

• **Geometrical interpretation (Recall Section 8-10)**

- Below cutoff → No total internal reflection → No propagation!

$$\frac{\sin \theta_t}{\sin \theta_i} = \frac{u_0}{u_d} = \frac{\sqrt{\mu_d \epsilon_d}}{\sqrt{\mu_0 \epsilon_0}} \xrightarrow{\theta_i = \theta_c, \theta_t = \frac{\pi}{2}} \sin \theta_c = \frac{\sqrt{\mu_0 \epsilon_0}}{\sqrt{\mu_d \epsilon_d}} \quad \dots(1)$$

- Below cutoff frequency, there is no total internal reflection since

$$\sin \theta_i < \sin \theta_c \quad \dots(2) \quad \text{Here, } \sin \theta_i = \frac{\beta}{k} = \frac{\beta}{\omega^2 \mu_d \epsilon_d} \quad \dots(3)$$

- By plugging (1) and (3) into (2),

$$\beta < \omega \sqrt{\mu_0 \epsilon_0} \quad \dots(4) \quad \text{where } \beta = \sqrt{k^2 - h_d^2} = \sqrt{\omega^2 \mu_d \epsilon_d - h_d^2} \quad \dots(5)$$

- By plugging (5) into (4),

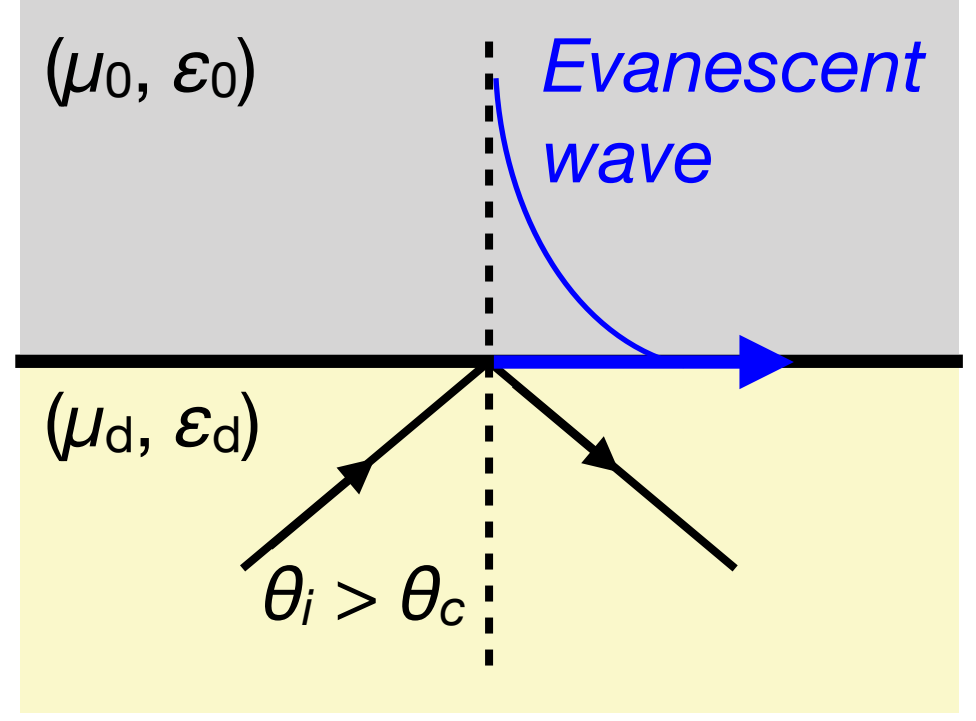
$$\omega \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0} < h_d \rightarrow f < \frac{h_d}{2\pi \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} \quad \dots(6)$$

- Smallest allowable h_d for n -th TE mode

$$\frac{h_d d}{2} = n\pi \quad (\text{for odd TE mode}) \rightarrow h_d = \frac{2n\pi}{d}$$

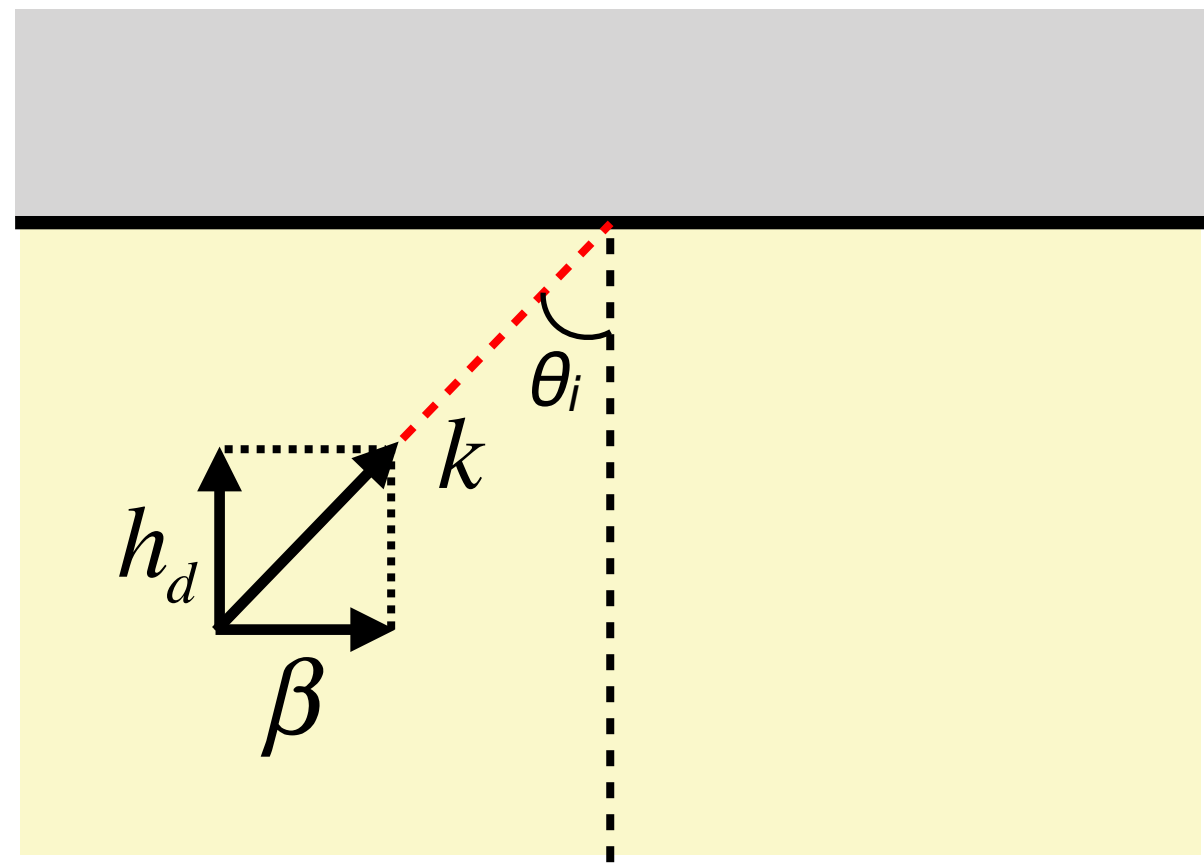
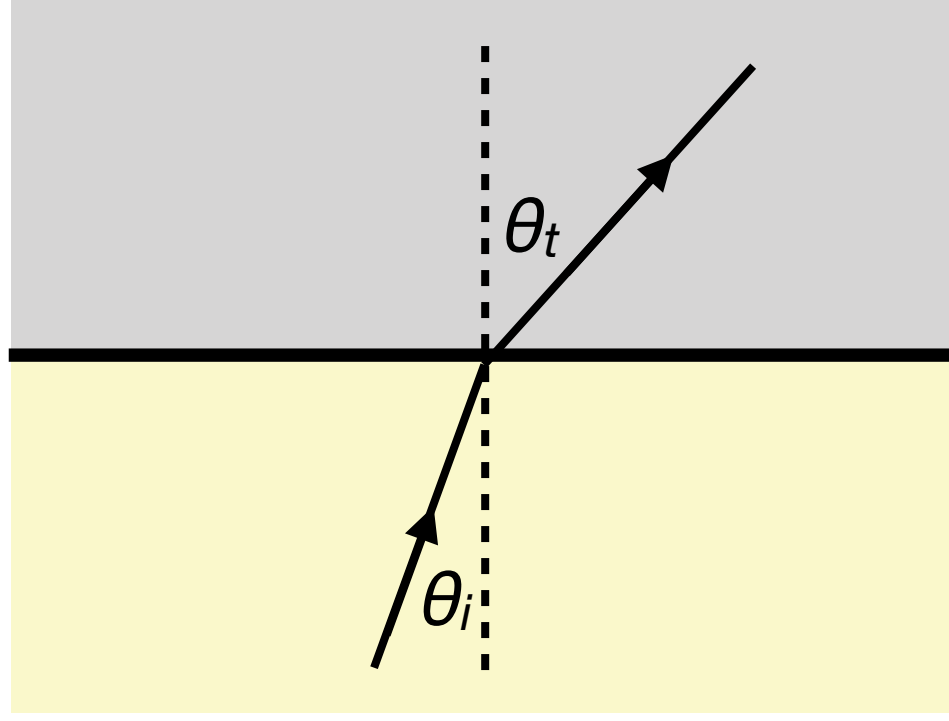
$$\therefore f < \frac{n}{d \sqrt{\mu_d \epsilon_d - \mu_0 \epsilon_0}} = f_{co}$$

<Propagating (bounded) situation>
Above cutoff frequency



θ_c : **critical angle** when $\theta_t = 90^\circ$

<Unbounded situation>
Below cutoff frequency



$$\gamma^2 + k^2 = h_d^2 \rightarrow k^2 = h_d^2 + \beta^2 \quad (\because \gamma = j\beta)$$

