

Chapter 5. Design of Nanomaterials based on Block Copolymers and Macromers

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Chapter 5. Design of Nanomaterials based on Block Copolymers and Macromers

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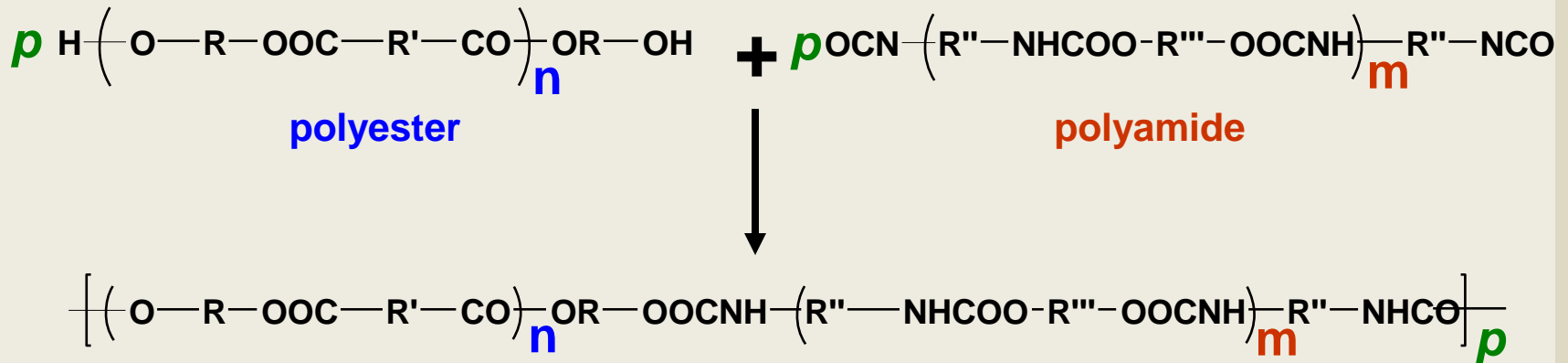


5.1. Microstructure based on block copolymers

5.1.2 Synthesis Methods for Block Copolymers

❖ Step copolymerization

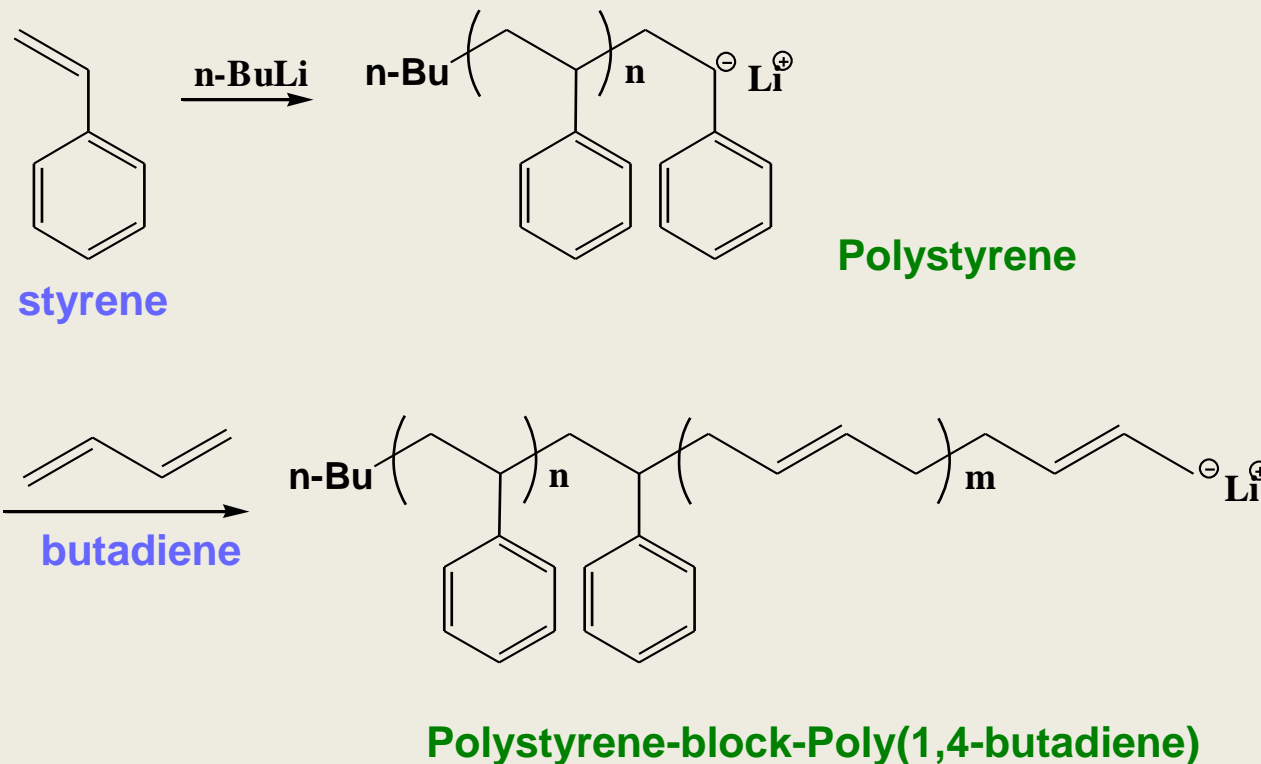
→ The reaction between two different functional end groups of polymers



5.1. Microstructure based on block copolymers

❖ Sequential polymerization (Anionic polymerization)

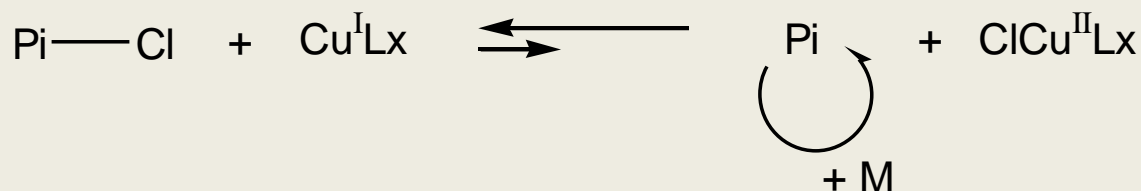
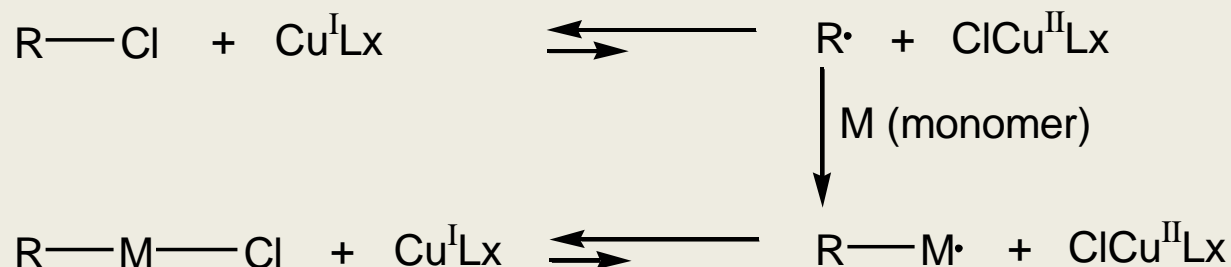
→ A polymer is synthesized by anionic polymerization. Another monomer is then added to the living polymer carbanions.



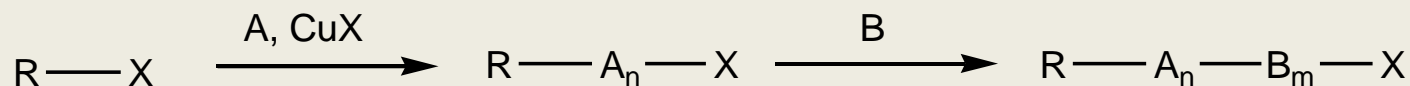
5.1. Microstructure based on block copolymers

❖ Sequential polymerization (Living radical polymerization)

▪ ATRP (Atom transfer radical polymerization)



▪ Copolymerization via ATRP



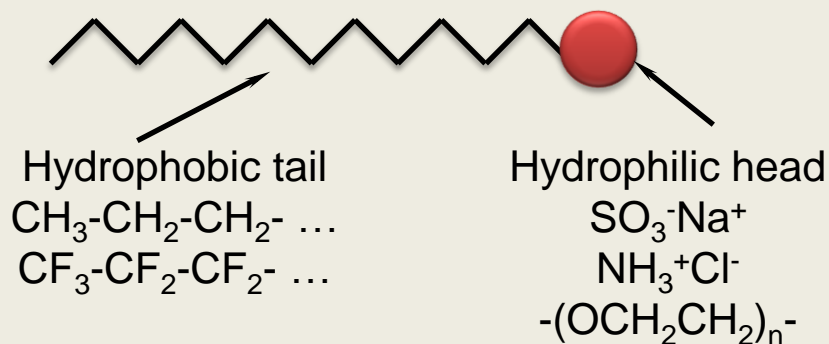
A, B ; monomer



5.1. Microstructure based on block copolymers

5.1.3. Block Copolymer Aggregate and Self-assembly

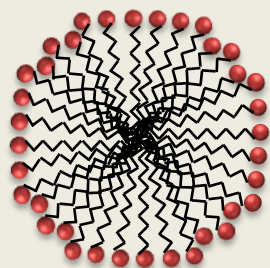
❖ Low-molecular-weight surfactants



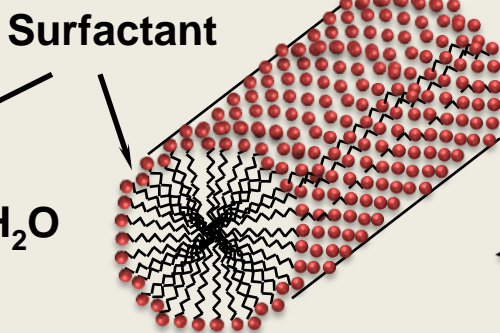
When surfactants are dissolved in water

- reduce the surface tension because they are adsorbed on the surfaces
- form variety of aggregates – micelles, lamellae, vesicles, etc

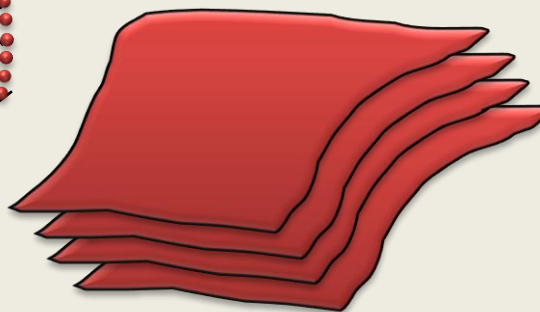
Spherical micelles



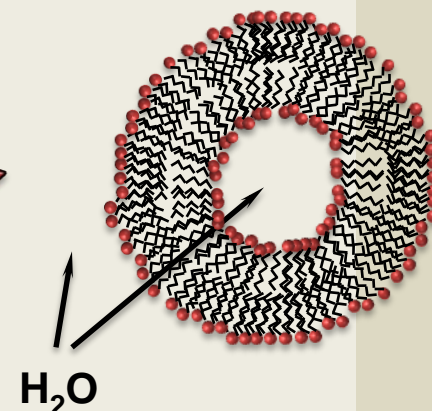
Cylindrical micelles



Lamellae



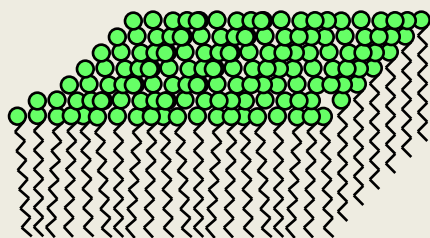
Vesicles



5.1. Microstructure based on block copolymers

※ Properties of the surfactant film

Surfactant film



Properties of the surfactant film change with:

- Molecular structure
- Additives
- Ionic strength
- Co-surfactant
- Temperature, pressure etc.

Properties of the surfactant film:

• Interfacial tension \longleftrightarrow

• Lateral elasticity $\longleftrightarrow\rightarrow\rightarrow$

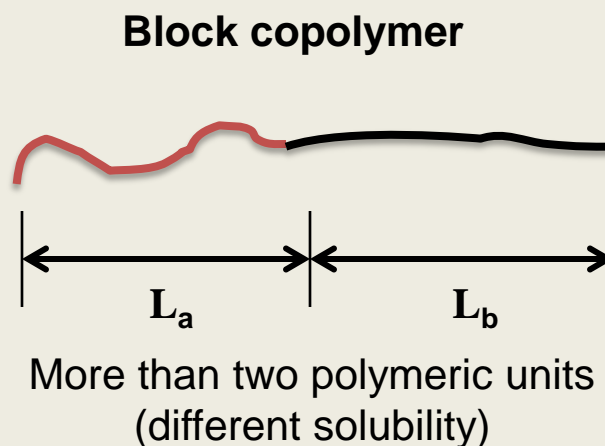
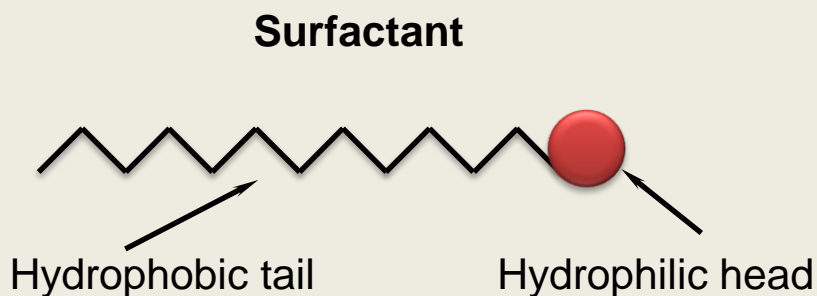
• Spontaneous curvature 

• Bending elasticity 

• Saddle splay elasticity 

5.1. Microstructure based on block copolymers

❖ Block copolymer & Low-molecular-weight surfactant



HLB value (hydrophilic-lipophilic balance)

Geometrical factor (size)

→ [Appendix 5.1](#)

Length and ratio of
polymer segments

Like surfactant, block copolymers can form various aggregates as varied polymer segments.



- In an attempt to extend it into some kind of quantitative relationship between surfactant hydrophilicity and function in solution, Griffin introduced the concept of the hydrophilic-lipophilic balance (HLB) of a surfactant.
- Griffin's HLB numbers were restricted to non-ionic surfactants.

Table. Use of Griffin's HLB number concept

HLB number range	Appearance of aqueous solution
1-4	No dispersibility
3-6	Poor dispersibility
6-8	Milky dispersion after agitation
8-10	Stable milky dispersion
10-13	From translucent to clear
13-20	Clear solution

HLB number range	Application
3-6	w/o Emulsifier
7-9	Wetting agent
8-14	o/w Emulsifier
9-13	Detergent
10-13	Solubilizer
12-17	Dispersant

An arbitrary scale of 0 to 20 :
: 0 ~ completely hydrophobic molecule
20 ~ completely hydrophilic molecule

- Griffin's HLB number concept was later extended by Davies, who introduced a scheme to assign HLB numbers to chemical groups which compose as surfactant.

Determination of HLB numbers according to Davies

$$\text{HLB} = 7 + \sum (\text{hydrophilic group numbers}) + \sum (\text{lipophilic group numbers})$$

Ref.) K.Holmberg, Surfactants and polymers in aqueous solution, 2nd Ed., John Wiley & Sons Ltd, England, 2003, p.460

J.T.Davies, Proceedings of the International Congress of Surface Activity, 1957, 426-438

Group	HLB number
<i>Hydrophilic</i>	
–SO ₄ Na	35.7
–CO ₂ K	21.1
–CO ₂ Na	19.1
–N (tertiary amine)	9.4
Ester (sorbitan ring)	6.3
Ester (free)	2.4
–CO ₂ H	2.1
–OH (free)	1.9
–O–	1.3
–OH (sorbitan ring)	0.5
<i>Lipophilic</i>	
–CF ₃	–0.870
–CF ₂ –	–0.870
–CH ₃	–0.475
–CH ₂ –	–0.475
–CH–	–0.475
–CH–	–0.475



“The HLB method of selecting an emulsifier is crude but simple.”

- Some general guidelines for the selection of surfactants as emulsifier
 - (1) The surfactant should have a strong tendency to migrate to the oil-water interface.
 - (2) Oil-soluble surfactants preferably form w/o emulsions, and vice versa.
 - (3) Good emulsions are often formed by using a mixture of one hydrophilic and one hydrophobic surfactant.
 - (4) The more polar the oil phase, then the more hydrophilic the emulsifier should be, and vice versa.

Table. The use of the HLB method in selecting an emulsifier.

Emulsification of a mixture of 20% paraffin oil (HLB=10) and 80% aromatic mineral oil (HLB=13) in water

HLB number of oil: $10 \times 0.20 + 13 \times 0.80 = 12.4$

A mixture of $C_{12}E_{24}$ with HLB = 17.0 and $C_{16}E_2$ with HLB = 5.3 is used. A 60:40 mixture of the two gives a surfactant HLB number as follows:

$17.0 \times 0.60 + 5.3 \times 0.40 = 12.3$

This surfactant combination is found to give excellent emulsion stability.

※ Appendix 5.1 : Geometric Factors

- The structures of micelle can be simply determined by the geometric factors of the surfactant at the interface.

- **Critical packing parameter (CPP)**

$$\frac{v}{a_0 l_c}$$

v : partial molecular volume of surfactant

a_0 : area of head group of surfactant

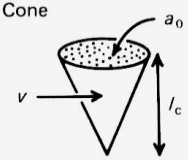
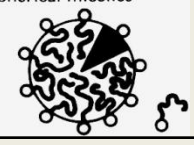

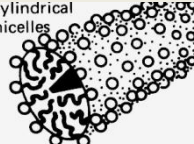


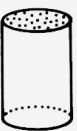
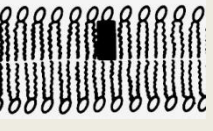
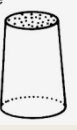
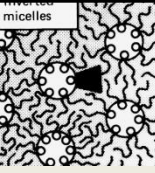
l_c : maximum chain length

- (1) Spherical micelles : CPP < 1/3
- (2) Non-spherical micelles : 1/3 < CPP < 1/2
- (3) Vesicles or bilayers : 1/2 < CPP < 1
- (4) Inverted micelles : 1 < CPP

- The change of environment (pH, temperature, ionic strength) will affect these parameters.



※ Appendix 5.1 : Geometric Factors

Packing parameter	Critical packing shape	Structures formed
$<1/3$	Cone 	Spherical micelles 
$1/3-1/2$	Truncated cone 	Cylindrical micelles 
$1/2-1$	Truncated cone 	Flexible bilayers, vesicles 
~ 1	Cylinder 	Planar bilayers 
>1	Inverted truncated cone or wedge 	Inverted micelles 

-The relationship between aggregate type and geometry on the packing requirements of surfactant head group and chains

Ref. Intermolecular and Surface Forces, Israelachvili, Jacob N.





(1) Spherical micelles

- Usually formed by anionic surfactants
- For an o/w micelles, this can be done by adjusting the repulsion between head groups, resulting in large values for a_0 .

(2) Cylindrical micelles

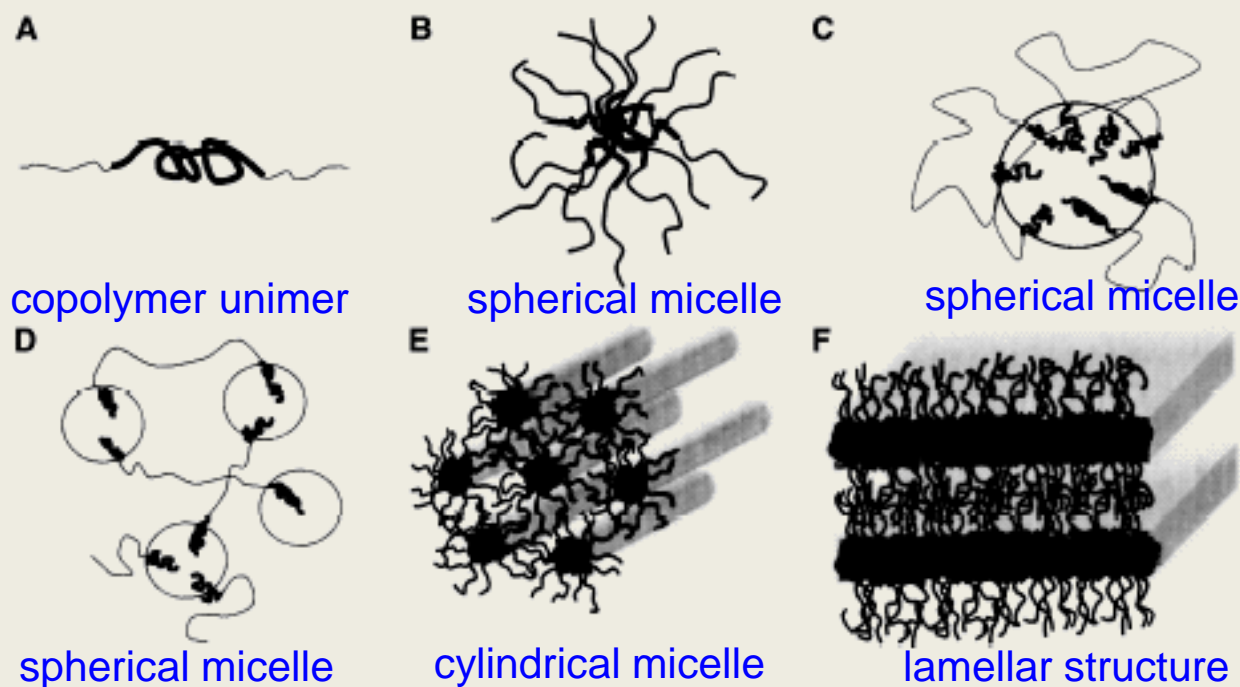
- It is a quite common phenomenon that micelles grow as the preferred surface curvature decreases. Any change that reduces the effective head group area will lead to the growth of micelles.
- Basic three ways to form cylindrical micelles
 - ① addition of a cosurfactant with a very compact head group
(i.e. n-alkanol)
 - ② changing the counterion
(i.e. changing Na^+ to Mg^{2+} reduce the effective volume of head groups.)
 - ③ by electrolyte addition or temperature change
(i.e. reduce the area of the head groups)



5.1. Microstructure based on block copolymers

❖ Micelle formation of block copolymer

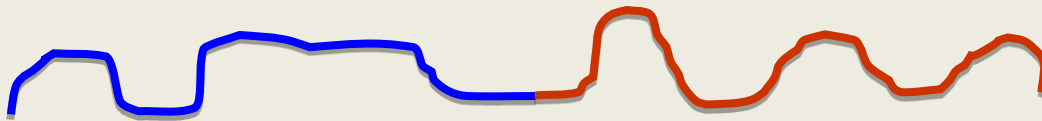
- ; A solvent that is good for one of the units but poor for the other(s) leads to an amphiphilic behavior. (similar to low-molecular-weight surfactant solution)
- ; Block copolymer micelles are aggregates that resemble many properties of micelles formed by low-molecular weight surfactants.



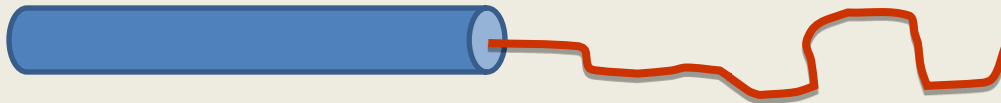
5.1. Microstructure based on block copolymers

❖ Self-assembly of Block copolymer based on type of polymer segments

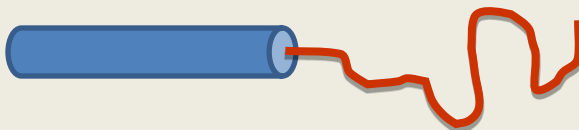
- ; The types of polymer segments can affect block copolymer aggregation.
(self-assembly)
- ; Three different classes of diblock copolymer type will be discussed in this section.



Coil-coil diblock copolymer



Rod-coil diblock copolymer
(Total MW > 20,000 g/mol)



Rod-coil diblock oligomer
(Total MW < 20,000 g/mol)



5.1. Microstructure based on block copolymers

■ Coil-coil diblock copolymers

; Block copolymers comprised of two flexible, chemically incompatible and dissimilar blocks can microphase separate into a variety of morphologies.

eg) Polystyrene-*b*-Polyisoprene

; The degree of microphase separation is determined by χN .

→ χ : Flory interaction parameter,
a measure for the incompatibility between the two blocks,
be written in terms of solubility parameter (δ)

$$\chi = \frac{V_0}{kT} (\delta_A - \delta_B)^2 \quad \delta_A = \sqrt{\frac{\Delta E_A}{V_A}}$$

V_0 : the lattice site volume

k : Boltzmann constant

T : temperature

ΔE_A : the energy of vapourization of A molecule

V_A : molecular volume of A units

※ Solubility parameter \leftrightarrow cohesive energy density, interaction energy per unit volume between the molecules

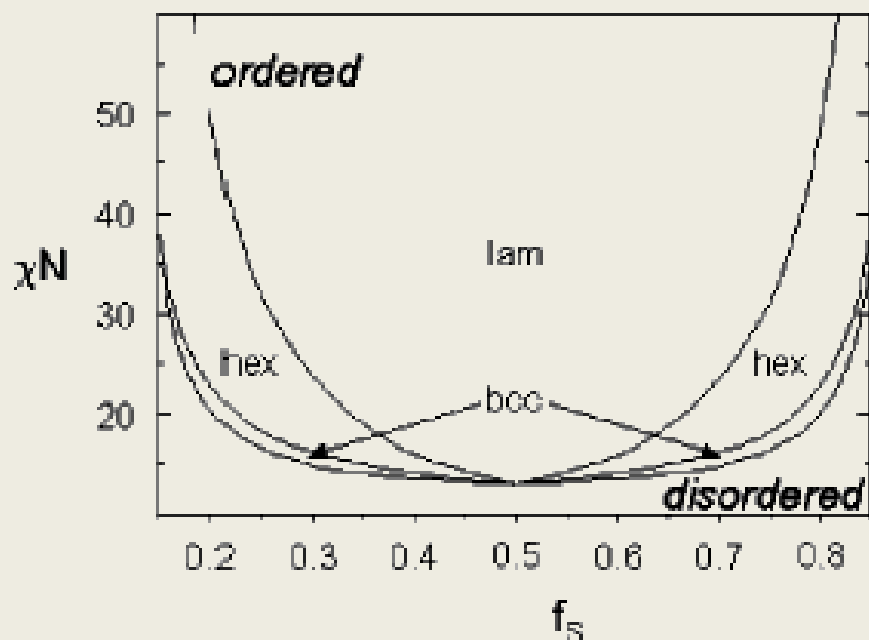
→ N : Total degree of polymerization, $N = N_A + N_B$



5.1. Microstructure based on block copolymers

; Separated morphology can be varied from spheres via lamellae to inverse spheres by changing the volume fractions of the blocks (f).

※ Experimental phase diagram for polystyrene-*b*-polyisoprene



$\chi N \leq 10$, weak-segregation limit

$10 < \chi N \leq 50$, intermediate segregation region

$\chi N \rightarrow \infty$, strong segregation limit

→ f_s : volum fraction of styrene segment



5.1. Microstructure based on block copolymers

▪ Rod-coil diblock copolymers

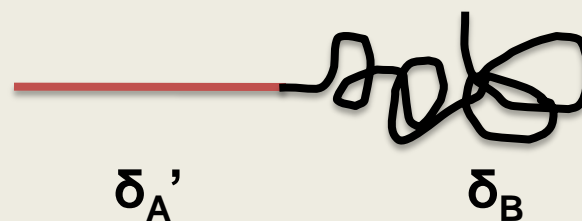
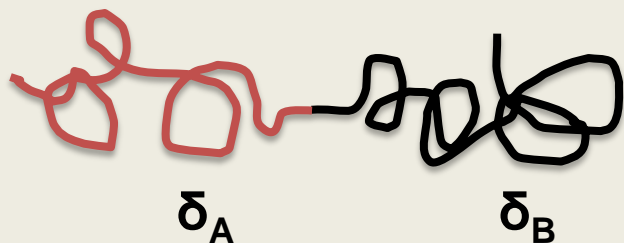
; Replacing one of the blocks of a coil-coil diblock copolymer by a stiff, rigid segment results in a rod-coil type diblock copolymer.

eg) poly(*p*-phenylene)-*b*-poly(styrene), poly(phenylquinoline)-*b*-poly(styrene), poly(heyl isocyanate)-*b*-poly(styrene)

; The self-assembly is no longer solely determined by phase-separation, but is also affected by several other processes.

→ aggregation of the rigid segments into (liquid-)crystalline domains

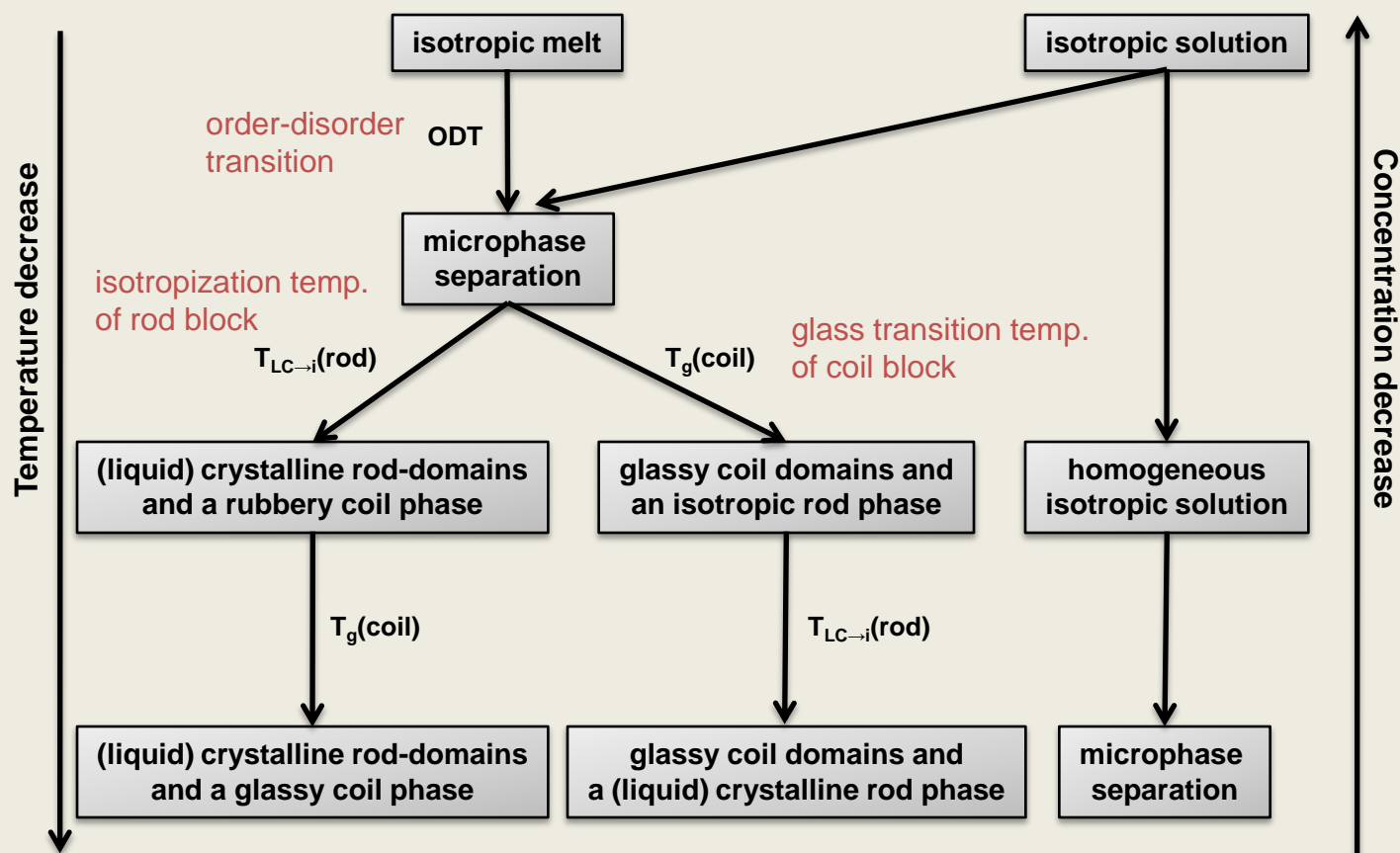
→ increase in the Flory interaction parameter due to stiffness asymmetry



$$(\delta_A' - \delta_B)^2 > (\delta_A - \delta_B)^2 \quad \therefore \chi \text{ is increased in rod-coil diblock copolymers}$$

5.1. Microstructure based on block copolymers

※ Possible routes for the self-assembly of rod-coil type diblock copolymer



Ref. Klok et al. *Advanced materials*, **13** (2001) 1217





5.1. Microstructure based on block copolymers

; Cooling from an isotropic melt

- 1) The system passes ODT and undergo microphase separation
- 2) If $T_{LC \rightarrow i}$ of rod $> T_g$ of coil,
further cooling 1 - formation of (liquid-)crystalline domains
surrounded by a layer of rubbery coils
further cooling 2 - (liquid-)crystalline domains of rod segments
surrounded by glassy phase of coil segments

If $T_{LC \rightarrow i}$ of rod $< T_g$ of coil,
further cooling 1 - formation of glassy matrix
further cooling 2 - crystallization of rod blocks can only take place in confined
domains imposed by the glassy matrix formed by the coils





5.1. Microstructure based on block copolymers

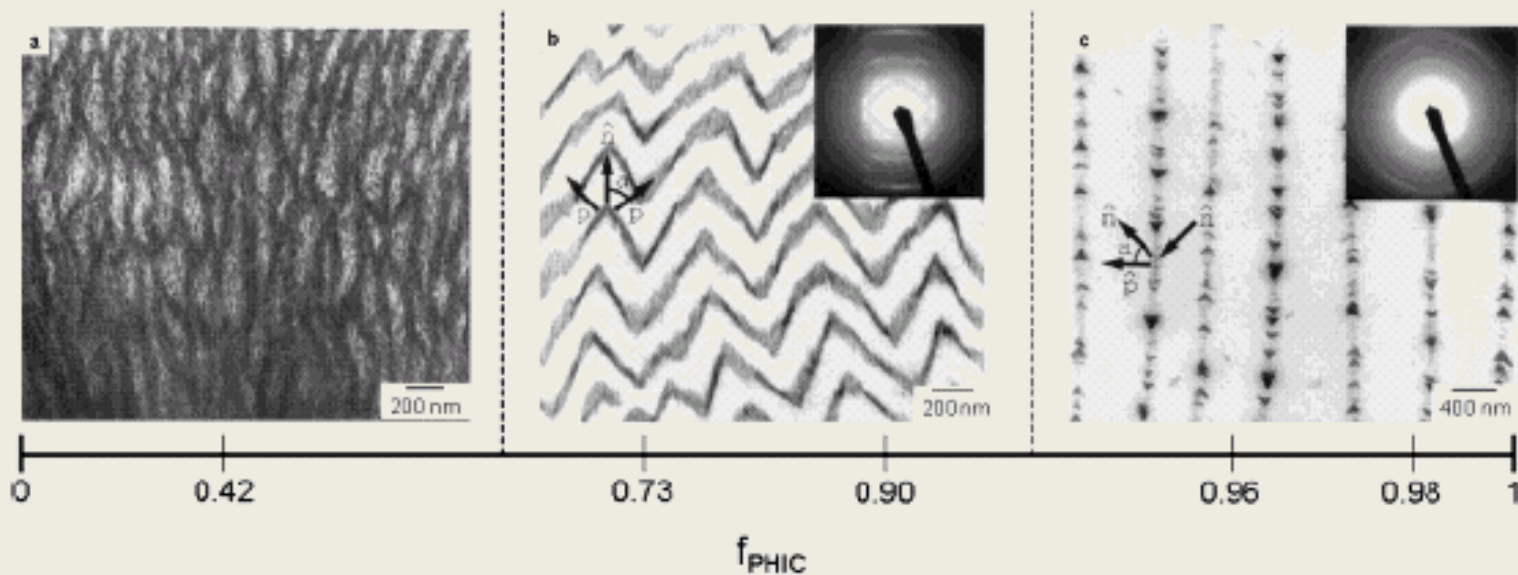
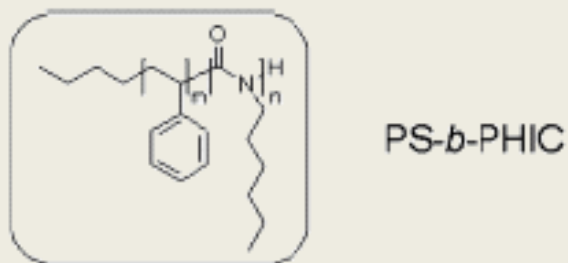
; Evaporation of the solvent from isotropic solution

- 1) If a good solvent for both blocks is used, microphase separation will continuously complete with crystallization of the rod segments during the evaporation of solvent until a stable structure is obtained.
- 2) If a good solvent for only one block is used, the rod-coil block copolymers can already be pre-assembled into a particular supramolecular structure prior to film casting and evaporation of the solvent.



5.1. Microstructure based on block copolymers

※ Self-assembly of Poly(styrene)-*b*-poly(hexyl isocyanate) with the volum fraction of hexyl isocyanate segment





5.1. Microstructure based on block copolymers

▪ Rod-coil diblock oligomers

; Like rod-coil diblock copolymer, the oligomers also indicates the competition between microphase separation of the blocks and aggregation of the rigid rods.

→ increase in the Flory interaction parameter due to their stiffness-asymmetry

→ Rod-coil diblock oligomers can allow access to phase-separated morphologies with domain sizes that can not be attained with traditional coil-coil diblock copolymers.

; Rod-coil diblock copolymers can be divided into two major classes.

→ i) perfectly monodisperse rod-segments, ii) polydisperse rigid rod

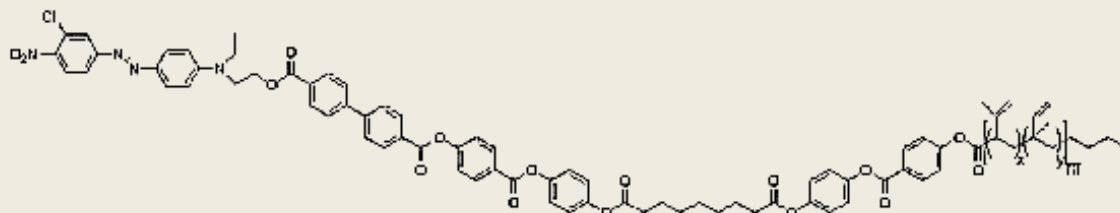
→ Diblock oligomers can be simply synthesized by organic reactions, so monodisperse rod-segments can be obtained.

cf) Polymer's MW is polydisperse

※ Polydispersity (MW) is known to have a strong influence on the liquid crystalline properties of rod-like macromolecules.

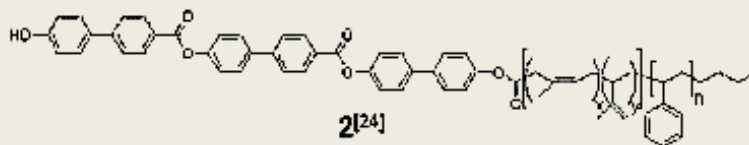


5.1. Microstructure based on block copolymers



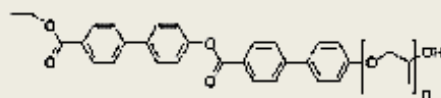
1[23]

$\bar{m} \approx 50 - 110$ $\bar{n} = x + y$



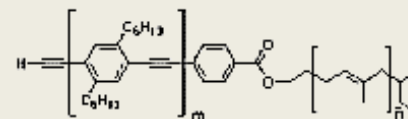
2[24]

$\bar{m} \approx 9$; $\bar{n} \approx 9$ $\bar{n} = x + y$



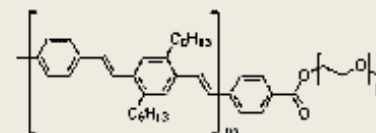
3[25]

$\bar{n} = 7, \dots, 20$



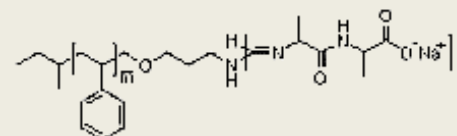
4[26]

$\bar{m} = 2$; $\bar{n} \approx 100$



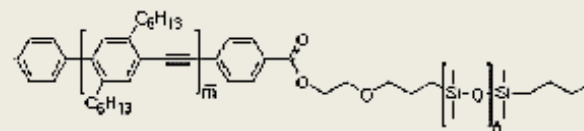
5[27]

$\bar{m} = 4, 6$; $\bar{n} \approx 45 - 110$



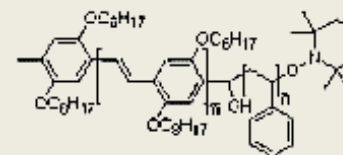
6[31]

$\bar{m} \approx 40$; $\bar{n} \approx 10, 20, 30$



7[32]

$\bar{m} \approx 12$; $\bar{n} \approx 15$



8[34]

$\bar{m} \approx 8$; $\bar{n} \approx 15$

※ Example of rod-coil oilomers

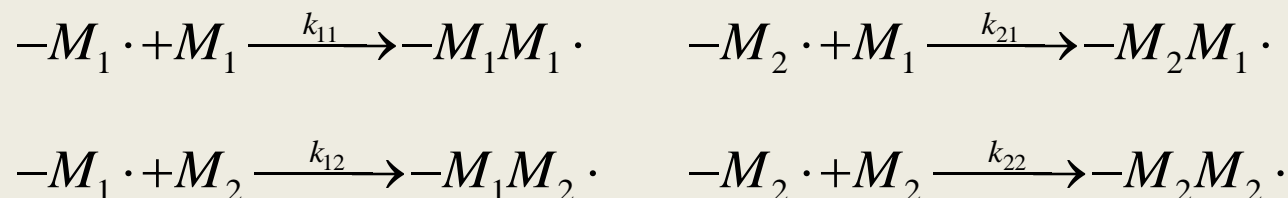
Ref. Klok et al. *Advanced materials*, **13** (2001) 1217



5.2. General Theories of Block Copolymers

5.2.1 Copolymer Composition

- ❖ We begin our discussion of copolymers by considering the free-radical polymerization of a mixture of two monomers, M_1 and M_2 .
→ Growth mechanisms can be responsible for copolymer formation
- ❖ The polymerization mechanism: four distinctly different propagation reactions



- ❖ The rate laws governing these four reactions

$$R_{p,11} = k_{11} [M_1 \cdot] [M_1] \quad R_{p,21} = k_{21} [M_2 \cdot] [M_1]$$

$$R_{p,12} = k_{12} [M_1 \cdot] [M_2] \quad R_{p,22} = k_{22} [M_2 \cdot] [M_2]$$

k_{11}
↓
repeat unit in growing radical
↘
adding monomer





5.2. General Theories of Block Copolymers

- ❖ The customary assumption
 - : the kinetic constants are independent of the size of the radical
 - : the concentration of all radicals are indicated whatever their chain length, ending with the M_1 repeat unit by the notation $[M_1\cdot]$
 - only the nature of the radical chain end influences the rate constant for propagation

- the rate of monomer M_1 , M_2 conversion to polymer

$$-\frac{d[M_1]}{dt} = k_{11}[M_1\cdot][M_1] + k_{21}[M_2\cdot][M_1]$$

$$-\frac{d[M_2]}{dt} = k_{12}[M_1\cdot][M_2] + k_{22}[M_2\cdot][M_2]$$

- the relative rates of the two monomer additions and the ratio of the two kinds of repeat units in the copolymer

$$\frac{d[M_1]}{d[M_2]} = \frac{k_{11}[M_1\cdot][M_1] + k_{21}[M_2\cdot][M_1]}{k_{12}[M_1\cdot][M_2] + k_{22}[M_2\cdot][M_2]}$$





5.2. General Theories of Block Copolymers

- The stationaty-state approximation (total concentration of radicals is constant)

$$; R_{p, 21} = R_{p, 12}$$

$$k_{12} [M_1 \cdot] [M_2] = k_{21} [M_2 \cdot] [M_1] \quad \text{or} \quad \frac{[M_1 \cdot]}{[M_2 \cdot]} = \frac{k_{21} [M_1]}{k_{12} [M_2]}$$

$$\frac{d[M_1]}{d[M_2]} = \frac{[M_1] (k_{11} / k_{12}) [M_1] + [M_2]}{[M_2] (k_{22} / k_{21}) [M_2] + [M_1]}$$

$$r_1 = \frac{k_{11}}{k_{12}} \quad r_2 = \frac{k_{22}}{k_{21}}$$

$$\frac{d[M_1]}{d[M_2]} = \frac{[M_1]}{[M_2]} \frac{r_1 [M_1] + [M_2]}{r_2 [M_2] + [M_1]} = \frac{1 + r_1 [M_1] / [M_2]}{1 + r_2 [M_2] / [M_1]}$$

- Defining F_i as the mole fraction of the i th component in the polymer and f_i as the mole fraction of component i in the monomer solutions

$$F_1 = 1 - F_2 = \frac{d[M_1]}{d[M_1] + d[M_2]}$$

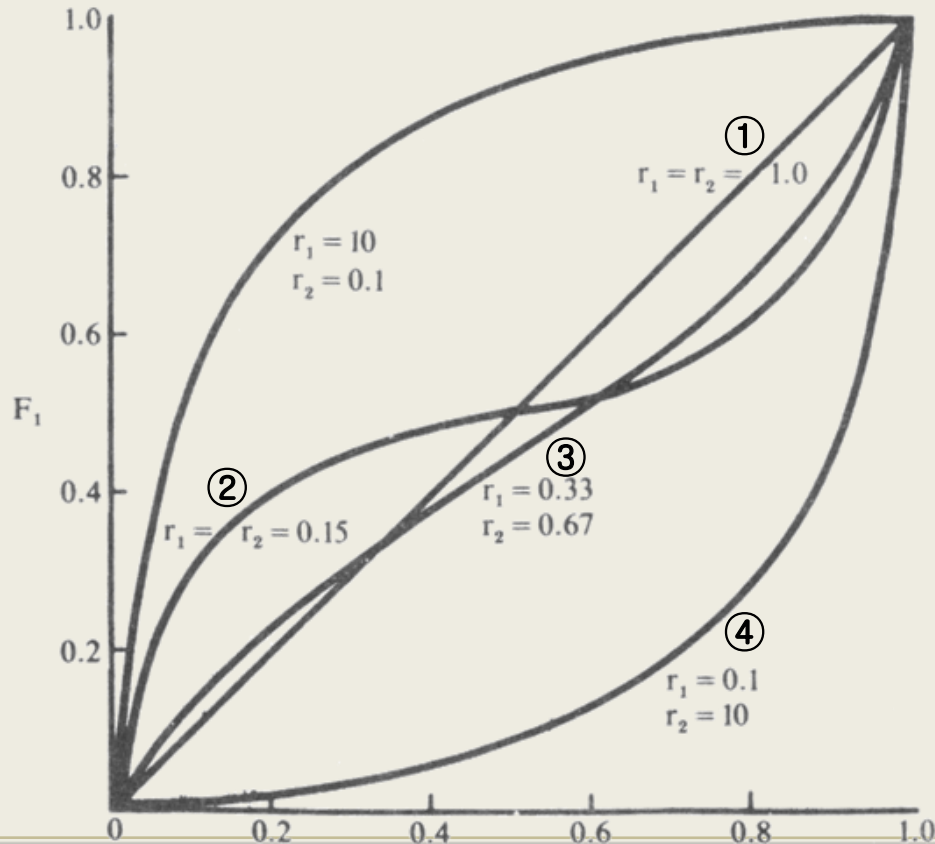
$$f_1 = 1 - f_2 = \frac{[M_1]}{[M_1] + [M_2]}$$



5.2. General Theories of Block Copolymers

$$F_1 = \frac{r_1 f_1^2 + f_1 f_2}{r_1 f_1^2 + 2 f_1 f_2 + r_2 f_2^2}$$

→ This equation relates the composition of the copolymer formed to the instantaneous composition of the feedstock and to the parameters r_1 and r_2 which characterize the specific system.



① $r_1 = r_2 = 1$
: copolymer and the feed mixture have the same composition at all times : $F_1 = f_1$

② $r_1 = r_2$
: copolymer and the feed mixture have the same composition at $f=0.5$: $F_1 = 0.5$

③ $r_1 \neq r_2$
: The monomer ratio at crossover point

: For $r_1 = 0.33, r_2 = 0.67 \rightarrow f_1 = 0.33$

④ $r_1 = 1/r_2$





5.2. General Theories of Block Copolymers

5.2.2 Reactivity Ratios

- The parameters r_1 and r_2 are the vehicles by which the nature of the reactants enter the copolymer composition equation.
 - Several important things to note about radical reactivity ratio.
- ① $r_1 = k_{11}/k_{12}$; $r_1 > 1 \rightarrow M_1\bullet$ adds M_1 in preference to M_2
; $r_1 < 1 \rightarrow M_1\bullet$ adds M_2 in preference to M_1
 - ② Although r_1 is descriptive of radical $M_1\bullet$, it also depends on the identity of the other
 \rightarrow To characterize a system the pair of parameters r_1 and r_2 are both required
 \rightarrow the product $r_1 r_2$ is used to quantify
 - ③ The reciprocal of a radical reactivity ratio is used to quantitatively express the reactivity of monomer M_2 by comparing its rate of addition to radical $M_1\bullet$
 - ④ The radical reactivity ratio follows the Arrhenius equation with an apparent activation energy. (equal to the difference in the activation energies for the individual constants; $E_{app}^* = E_{p, 11}^* - E_{p, 12}^*$)



Table 5.1 Values of reactivity ratio r_1 and r_2 and the product $r_1 r_2$ for a few copolymers at 60 °C

M_1	M_2	r_1	r_2	$r_1 r_2$
Acrylonitrile	Methyl vinyl ketone	0.61	1.78	1.09
	Methyl methacrylate	0.13	1.16	0.15
	A-Methyl styrene	0.04	0.20	0.008
	Vinyl acetate	4.05	0.061	0.25
Methyl methacrylate	Styrene	0.46	0.52	0.24
	Methacrylic acid	1.18	0.63	0.74
	Vinyl acetate	20	0.015	0.30
	Vinylidene chloride	2.53	0.24	0.61
Styrene	Vinyl acetate	55	0.01	0.55
	Vinyl chloride	17	0.02	0.34
	Vinylidene chloride	1.85	0.085	0.16
	2-Vinyl pyridine	0.55	1.14	0.63
Vinyl acetate	1-Butene	2.0	0.34	0.68
	Isobutylene	2.15	0.31	0.67
	Vinyl chloride	0.23	1.68	0.39
	Vinylidene chloride	0.05	6.7	0.34



5.2. General Theories of Block Copolymers

- The products $r_1 r_2$ lie in the range between 0~1.
- The product $r_1 r_2 \rightarrow 0$
 - ① $r_1 r_2 = 0$ and $r_1 = r_2 = 0$; the copolymer adds monomers with perfect alternation.
→ No tendency for a radical to add a monomer of the same kind
 - ② when only one of the r 's is zero; alternation occurs whenever the radical ends with an $M_1 \cdot$ unit → Tendency toward the alternation
∴ Increasing tendency toward alternation as $r_1 \rightarrow 0$ and $r_2 \rightarrow 0$, more succinctly, as the product $r_1 r_2 \rightarrow 0$
- The product $r_1 r_2 \rightarrow 1$
 - : two monomers have the same relative tendency to add to both radicals
→ If $r_1 = 10$, monomer 1 is 10 times as likely to add to $M_1 \cdot$ than monomer 2
→ If $r_2 = 0.1$, monomer 1 is 10 times as likely to add to $M_2 \cdot$ than monomer 2
∴ The radicals exert the same influence; monomers add at random
- Recognition of these difference in behavior points out an important limitation on the copolymer composition equation.
→ *describes the overall composition of the copolymer, but gives no information about the distribution of the different kinds of repeat units within polymer*

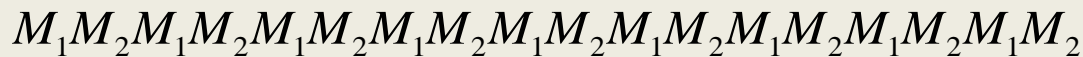




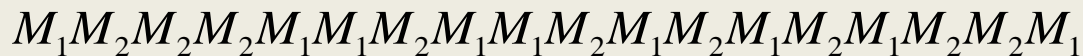
5.2. General Theories of Block Copolymers

- It is possible that copolymers with the **same overall composition** have very different properties because of differences in microstructure.

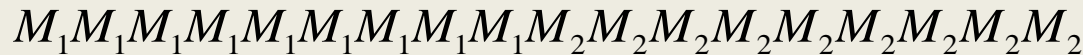
➤ *Alternating structure* by $r_1 \rightarrow 0$ and $r_2 \rightarrow 0$



➤ *Random structure* by $r_1r_2 \rightarrow 0$



➤ *Block structure* by $r_1r_2 > 1$



- Each of these polymers has a 50:50 proportion, but differ in properties
→ As examples of such differences → [Appendix 5-2](#)

What factors in the molecular structure of two monomers govern the kinetics of the different addition steps?



5.2. General Theories of Block Copolymers

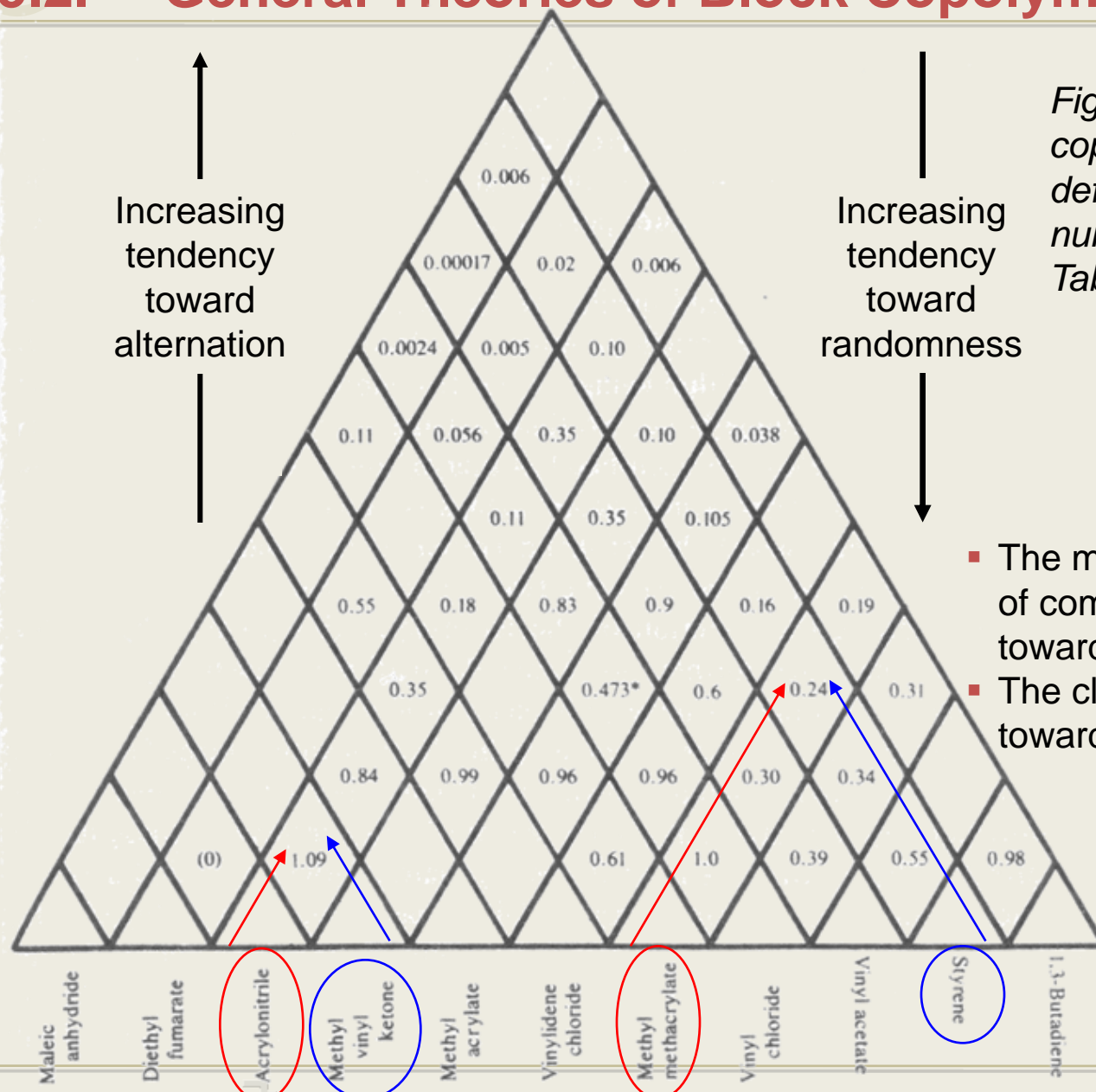


Fig 5.1 The product r_1r_2 for copolymers whose components define the interaction where the numbers appear. Data from Table 5.1 and others

- The more widely separated a pair of comonomers \rightarrow tendency toward alternation
- The closer together \rightarrow tendency toward randomness

5.2. General Theories of Block Copolymers

5.2.3 Resonance and Reactivity

- The activities of radicals and monomers → a source of insight into copolymer formation
- The reactivity of radical 1 copolymerizing with monomer 2 is measured by the rate constant k_{12} .

$$k_{12} = \frac{k_{11}}{r_1}$$

Table 5.2 Values of the cross-propagation constants k_{12} for four monomer-radical combinations

Monomer	Radical			
	Styrene	Acrylonitrile	Methyl acrylate	Vinyl acetate
Styrene	145	49,000	14,000	230,000
Acrylonitrile	435	1,960	2,510	46,000
Methyl acrylate	203	1,310	2,090	23,000
Vinyl acetate	2.9	230	230	2,300



5.2. General Theories of Block Copolymers

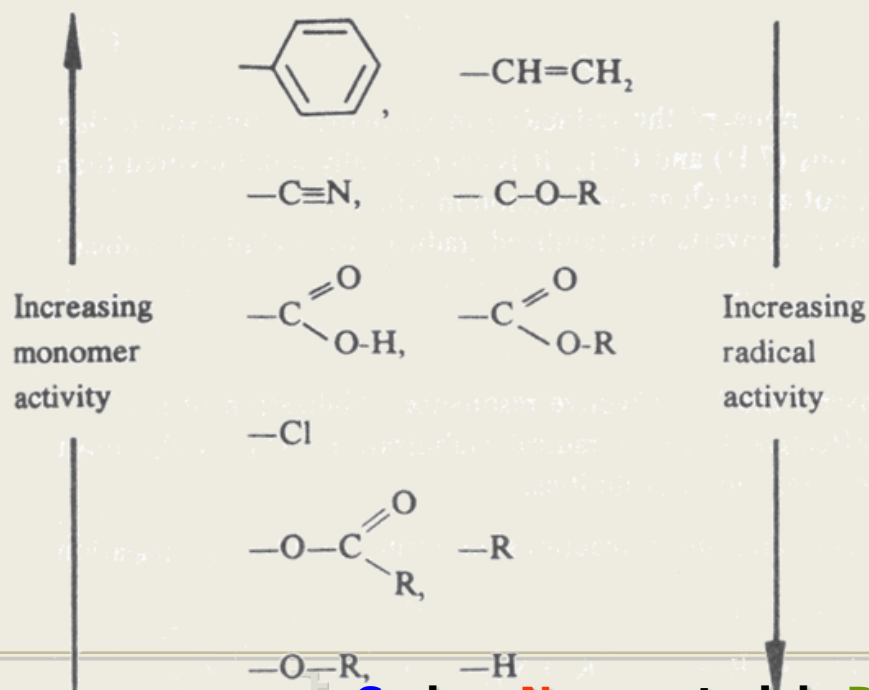
❖ Radical activity

styrene < acrylonitrile < methyl acrylate < vinyl acetate

❖ Monomer reactivity

styrene > acrylonitrile > methyl acrylate > vinyl acetate

Table 5.3 List of some substituents ranked in terms of their effects on monomer and radical reactivity



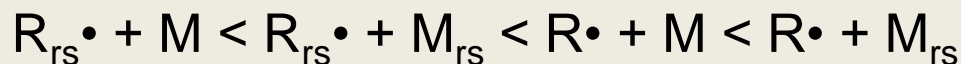
- Conjugated double bond
→ more stable as radicals and more reactive as monomers

- It is important to realize that the ability to form conjugated structures is associated with a substituent whether it is in a monomer or a radical



5.2. General Theories of Block Copolymers

❖ Propagation constants (subscript rs: resonance stabilization)



From Table 5.2

Radical	Styrene		Styrene		Vinyl acetate		Vinyl acetate
	+	<	+	<	+	<	+
Monomer	Vinyl acetate		Styrene		Vinyl acetate		Styrene

- Resonance stabilization energies are generally assessed from thermodynamic data.
- Resonance stabilization energy of i species $\rightarrow \epsilon_i$
- The heat of formation will be less by an amount ϵ_i than for an otherwise equivalent molecule without resonance.

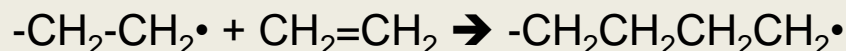
$$\Delta H_{rs} = \Delta H_{no\ rs} - \Delta \epsilon$$





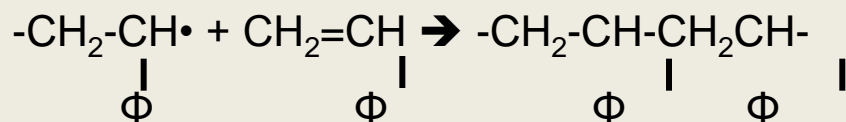
5.2. General Theories of Block Copolymers

- If we consider the homopolymerization of ethylene (no resonance possibilities)



$$\Delta H_{no\ rs} = -88.7 \text{ kJ mol}^{-1}$$

- As a reference reaction, and compare it with the homopolymerization of styrene (resonance effect present)



$$\Delta H_{rs} = -69.9 \text{ kJ mol}^{-1}$$

- A value of $\Delta\epsilon = -19 \text{ kJ mol}^{-1} \rightarrow$ the negative value indicates the overall loss of resonance stabilization.
- The activation energy of reactions parallel their heats of reaction $\rightarrow E^* = k\Delta H$ (k: an appropriate proportionality constant)

$$\begin{aligned} E_{11}^* - E_{12}^* &= k \left[-\Delta\epsilon_{11} - (-\Delta\epsilon_{12}) \right] \\ &= - \left(\epsilon_{P_1\cdot} - \epsilon_{R_1\cdot} - \epsilon_{M_1} \right) + \left(\epsilon_{P_2\cdot} - \epsilon_{R_1\cdot} - \epsilon_{M_2} \right) \end{aligned}$$



5.2. General Theories of Block Copolymers

- The proportionality constant (k) $\rightarrow 1$, $R_1\bullet$ cancels out, and dependence of the reactivity ratio r_1 also involves the $E_{11}^* - E_{12}^*$ difference through the Arrhenius equation;

$$r_1 \propto \exp\left(\frac{\varepsilon_{P_1\bullet} - \varepsilon_{M_1}}{RT}\right) \exp\left(\frac{-\left(\varepsilon_{P_2\bullet} - \varepsilon_{M_2}\right)}{RT}\right)$$

$$r_2 \propto \exp\left(\frac{\varepsilon_{P_2\bullet} - \varepsilon_{M_2}}{RT}\right) \exp\left(\frac{-\left(\varepsilon_{P_1\bullet} - \varepsilon_{M_1}\right)}{RT}\right)$$

- According to this formalism, the following applied:
 - 1. The reactivity ratios are proportional to the product of two exponential numbers
 - 2. Each exponential involves the difference between the resonance stabilization energy of the radical and monomer of a particular species
 - The positive exponent is associated with the same species as identifies the r (i.e., for r_1 , $M_1 \rightarrow P_1\bullet$), while the negative exponent is associated with the other species (for r_1 , $M_2 \rightarrow P_2\bullet$)





5.3. A Closer Look at Microstructure

5.3.1 Block Copolymer Phase Behavior (in the “melt” state)

(1) One-component block copolymer system

- The different blocks of a block copolymer are able to segregate (microphase separation) and form domains with different morphologies.
- The stability of different morphologies
 - From an interplay between enthalpic (contact between chemically different blocks) and entropic (chain stretching, confinement, frustration) contribution to the system free energy.
 - Described in terms of a χN vs f phase (Fig 5.2)

χ : Flory-Huggins interaction parameter between the different blocks
→ Enthalpic contribution
 N : degree of polymerization (copolymer molecular weight)
→ Tendency for block segregation → Entropic contribution
 f : volume fraction of one block (composition variable)

- The higher χN → higher degree of segregation between the different blocks
→ higher tendency for forming ordered microstructures
- Order-disorder transition : at $\chi N \approx 10.5$
- The morphologies (sphere, cylinder, lamellar) depend on the composition f



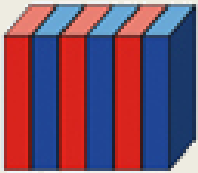
5.3. A Closer Look at Microstructure

Fig 5.2 χN vs f phase diagram for symmetric AB block copolymer, predicted from [mean-field theory](#). L (lamellar), H (hexagonal-packed cylinders), Q_{Ia3d} (gyroid), Q_{Im3m} (bcc spheres), CPS (close-packed FCC spheres), and DIS (disorder).

Ref. M. W. Matsen and F. S. Bates, *Macromolecules*, 29 (1996) 478

Appendix 5-3

Lammellar



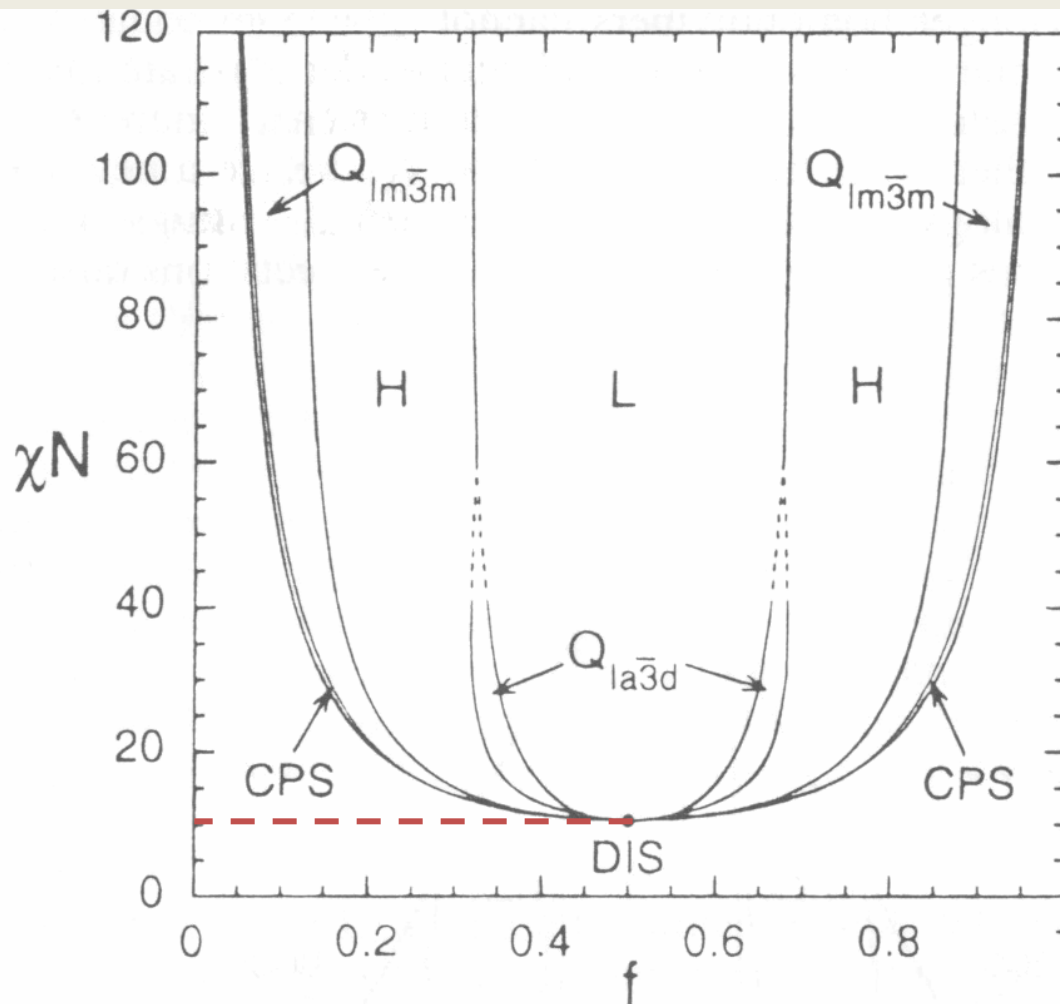
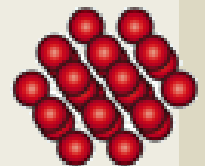
Hexagonal cylinder



Gyroid



Sphere





5.3. A Closer Look at Microstructure

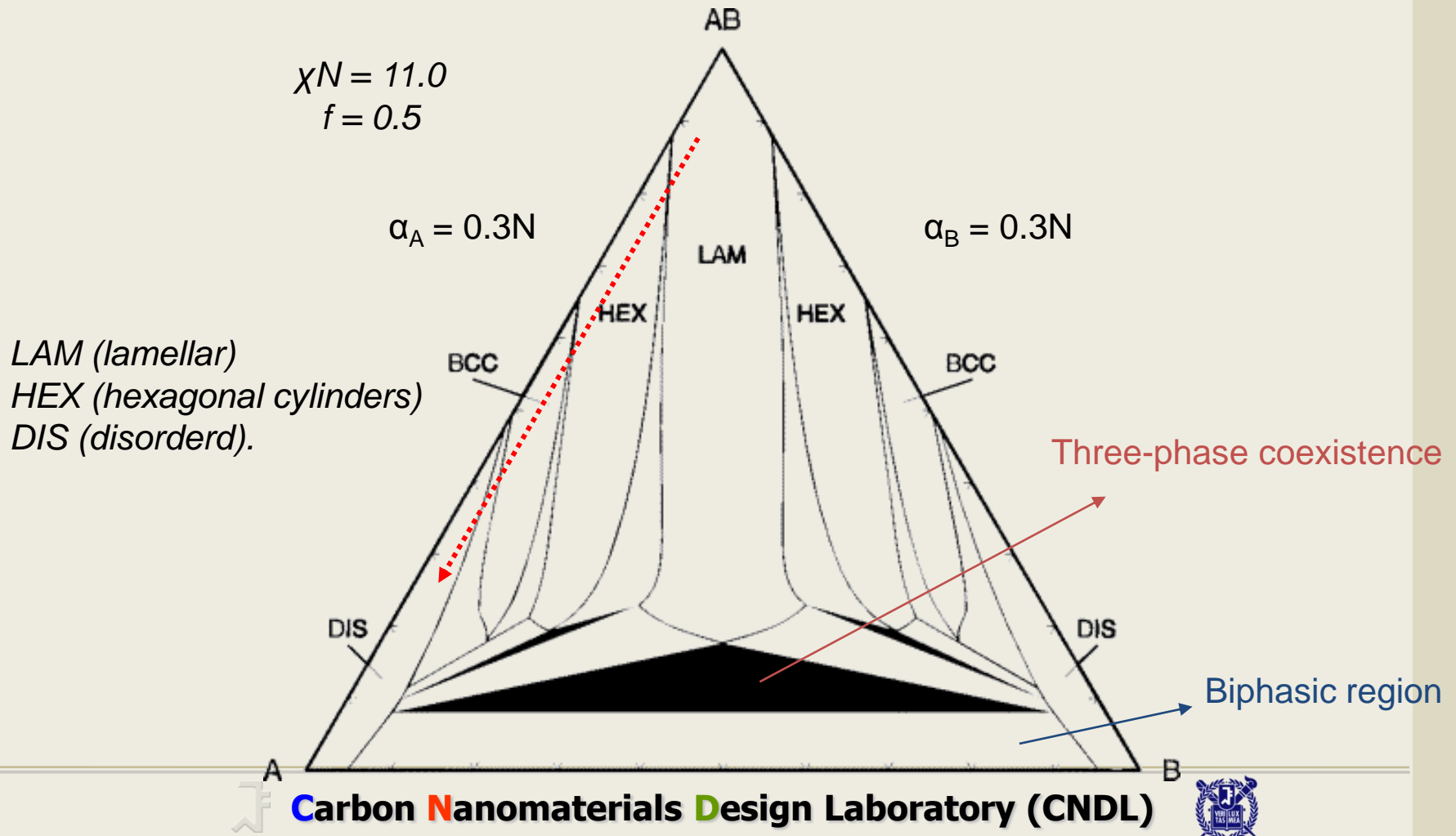
(2) Two- and three-component block copolymer system

- The interfacial curvature in solvent-free block copolymers → by the composition f
 - At given block composition can result in only one type of microstructure
 - For different structures, different composition are required (synthesis of other polymers)
 - Multicomponent system: homopolymer or copolymer added to a block copolymer
 - can result into a wide variety of morphologies
 - For example, addition of an A-homopolymer to an AB-block copolymer
 - modifying the ratio of A and B: increase of A → shift the phase stability
 - predicting by [self-consistent field theory \(Appendix 5-4\)](#)
 - Fig 5.2 : isothermal (constant χN) phase diagram
 - : AB-block copolymer + A-homopolymer + B-homopolymer
 - Morphologies change: lamellar → cylinder → sphere (red dotted line)
- Reducing the need to synthesize a new block copolymer for a specific morphology



5.3. A Closer Look at Microstructure

Fig 5.3 Phase diagram for a symmetric AB-diblock copolymer (N) with an A-homopolymer (0.3N) and a B-homopolymer (0.3N), predicted from mean-field theory.
Three-phase coexistence; shaded and biphasic region; unlabeled
Ref. P. K. Janert and M. Schick, *Macromolecules*, 30 (1997) 137

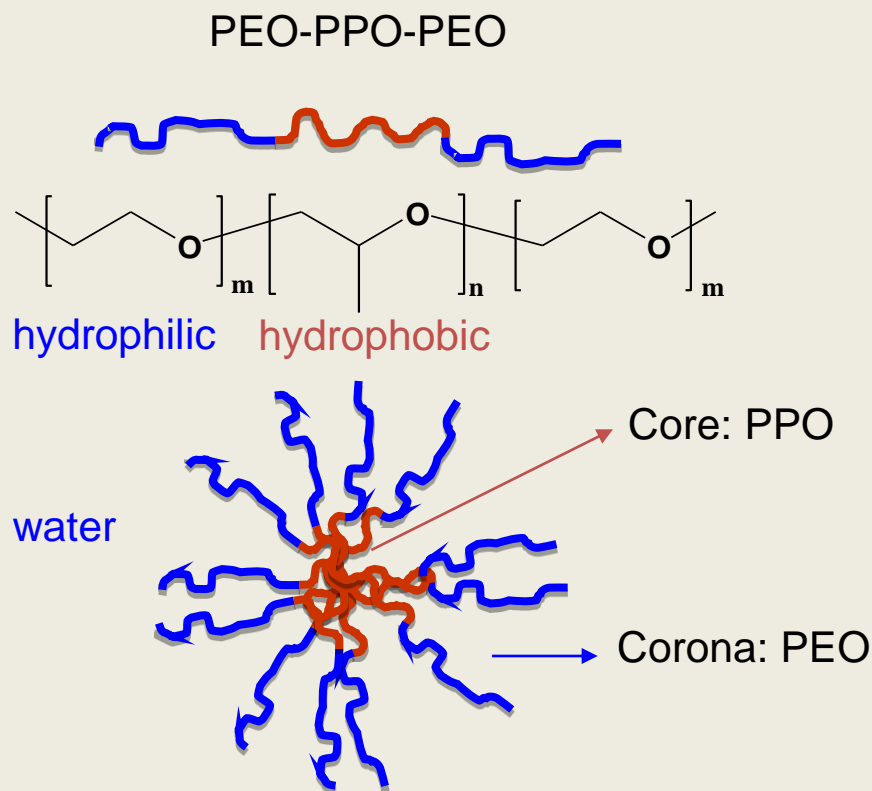


5.3. A Closer Look at Microstructure

5.3.2 Amphiphilic Block Copolymers in Mixtures with Water and Oil

: The main features of the self-assembly of block copolymers in binary and ternary systems with solvents and effects on self-assembly of the block copolymer MW and composition

(1) Binary amphiphilic block copolymer - water system

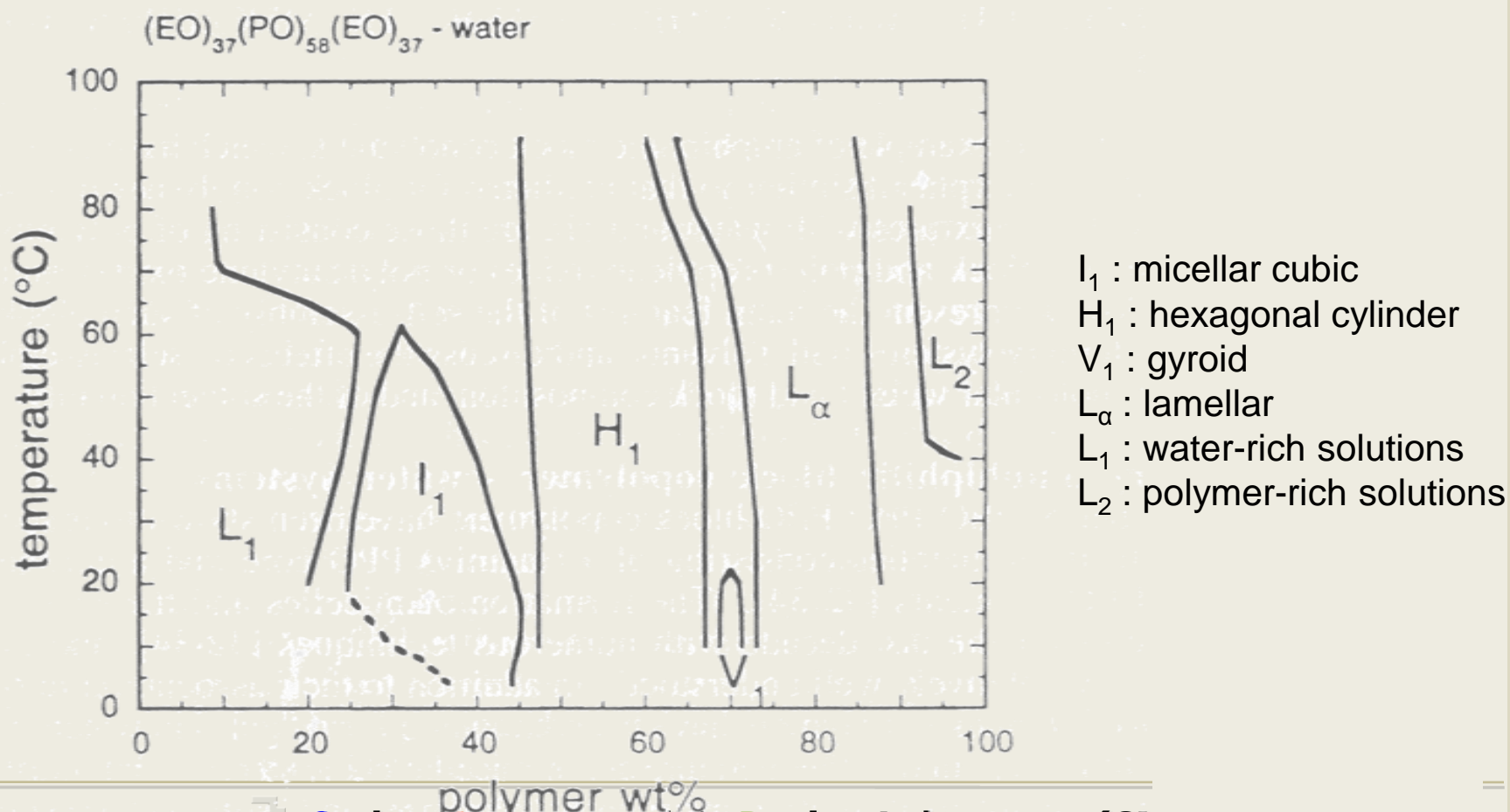


- The temperature effects on the phase behavior of copolymers → understood by invoking the reverse solubility (temperature ↑ → solubility ↓)
- The temp. ↑
 - PEO-water and PPO-water interaction parameter χ ↑
 - PEO-PPO interaction parameter χ ↓
 - hydrophobicity of the polymer ↑
 - solubility ↓
- At Fig 5.4, L_a regions shift to lower polymer concentration as the temp ↑

5.3. A Closer Look at Microstructure

Fig 5.4 The concentration-temperature phase diagram of the $EO_{37}PO_{58}EO_{37}$ (Pluronic P105) block copolymer- H_2O binary system.

Ref. P. Alexandridis, D. Zhou, A. Khan, *Langmuir*, 12 (1996) 2690

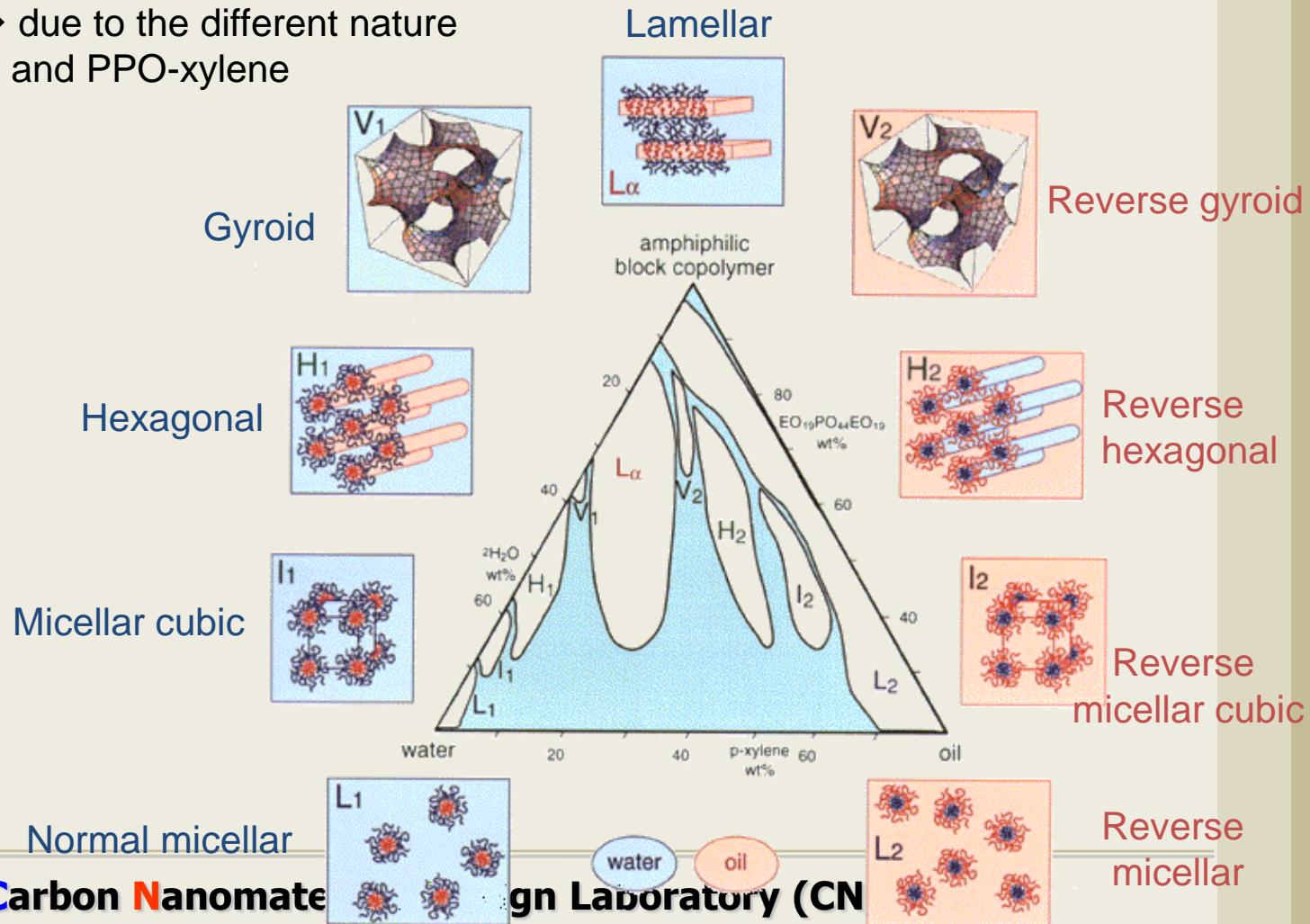


5.3. A Closer Look at Microstructure

(2) Ternary amphiphilic block copolymer – water – oil system

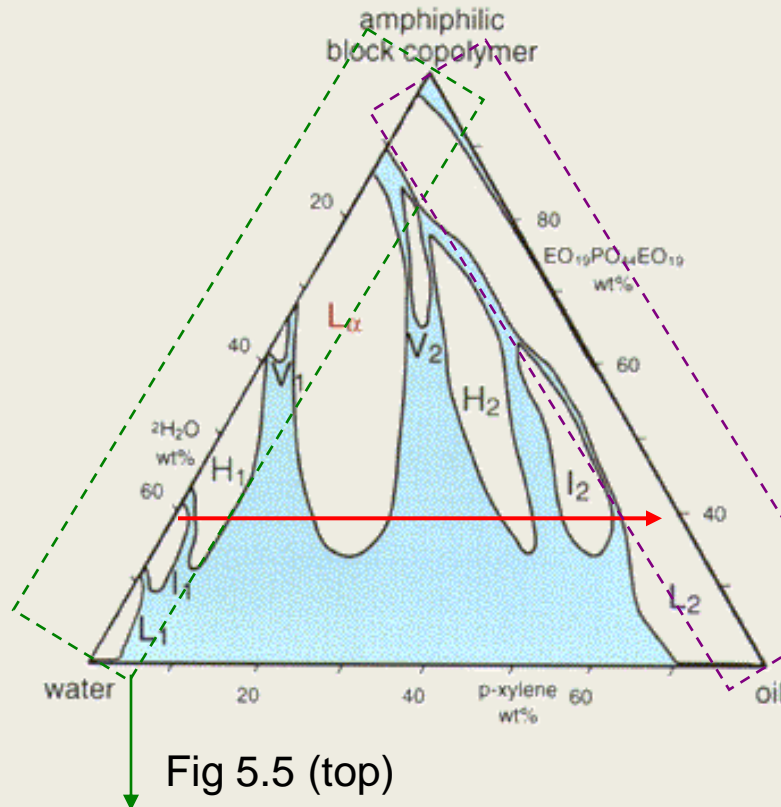
- The lack of “mirror image” symmetry in the phase diagram → due to the different nature of the PEO-water and PPO-xylene interactions

Fig 5.5 Phase diagram of the $(EO)_{19}(PO)_{43}(EO)_{19}$ - H_2O - p -xylene ternary system.



5.3. A Closer Look at Microstructure

(3) Progression of microstructure in block copolymer



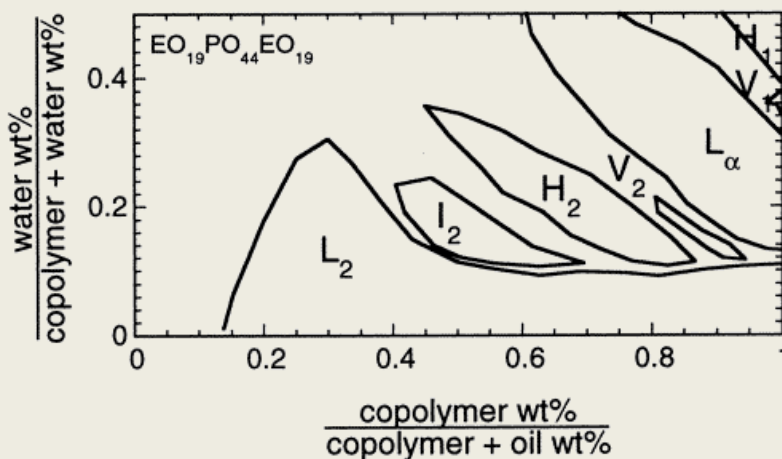
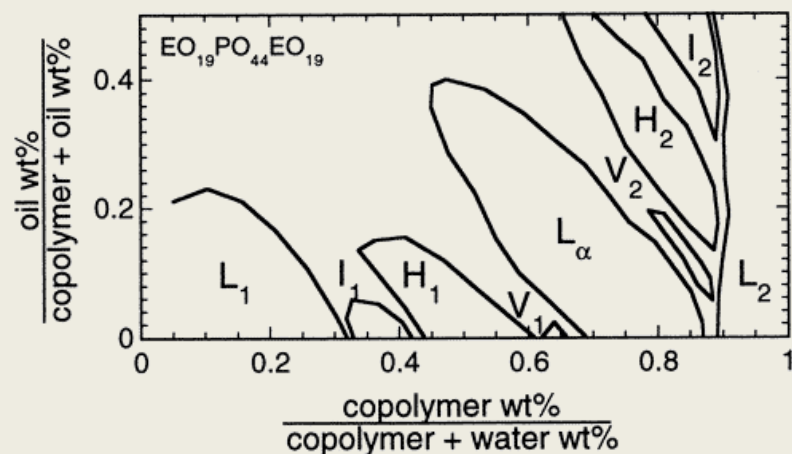
- At constant copolymer content (40 wt%), varying the water/oil ratio \rightarrow phase changes : $I_1 \rightarrow H_1 \rightarrow L_\alpha \rightarrow H_2 \rightarrow I_2 \rightarrow L_2$ (\rightarrow) : consistent with a decreasing interfacial mean curvature (H); $H=0$ in lamellar phase \rightarrow Relates to geometric factor

Fig 5.5 (bottom)

- At constant copolymer/oil ratio : $L_1 \rightarrow I_1 \rightarrow H_1 \rightarrow V_1 \rightarrow L_\alpha \rightarrow L_2$

5.3. A Closer Look at Microstructure

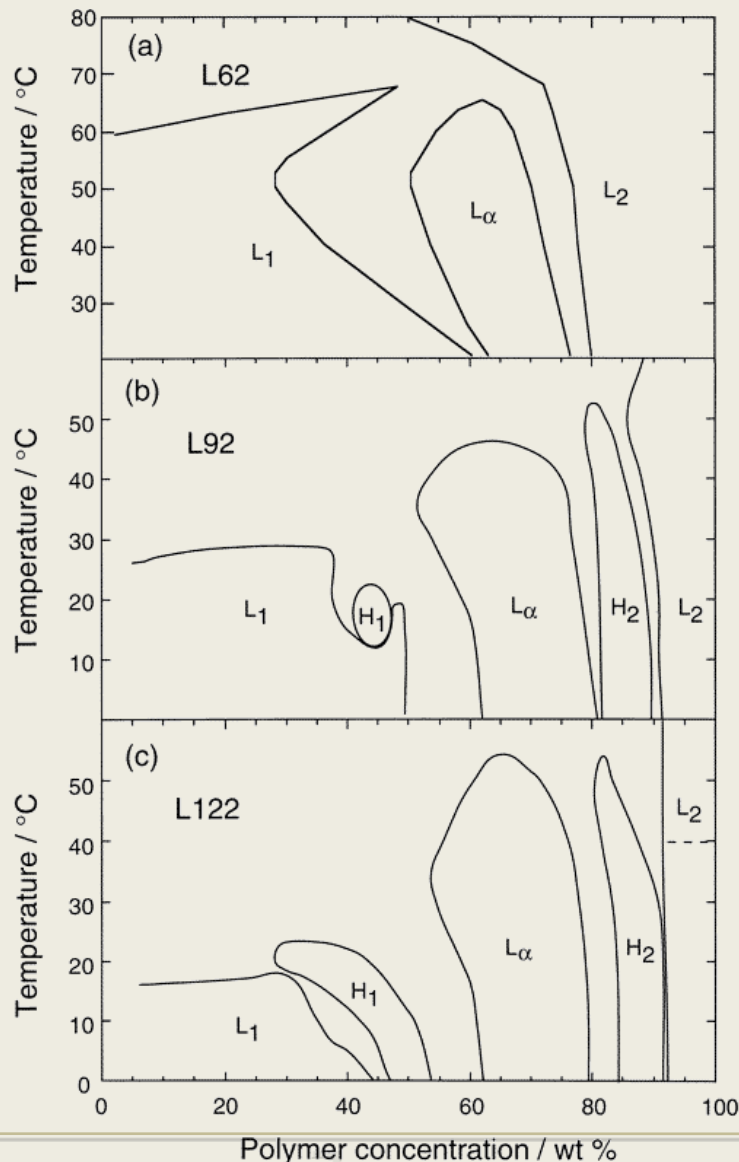
Fig 5.5 (top) Boundaries of the various phases along the copolymer-water side of the ternary phase diagram (x-axis: copolymer weight fraction; y-axis: oil weight fraction).
(bottom) Boundaries of the various phases along the copolymer-oil side of the ternary phase diagram (x-axis: copolymer weight fraction; y-axis: water weight fraction).



- For a PEO/PPO block copolymer of a given block composition and molecular weight, the types of structures appear to be a function of the volume fraction of the polar (water-like) / apolar (oil-like) components.
- The microstructure in such systems is not tied up to a specific block copolymer molecular weight and block composition, which define a point in the χN vs f phase diagram

5.3. A Closer Look at Microstructure

(4) Effect of block copolymer molecular weight



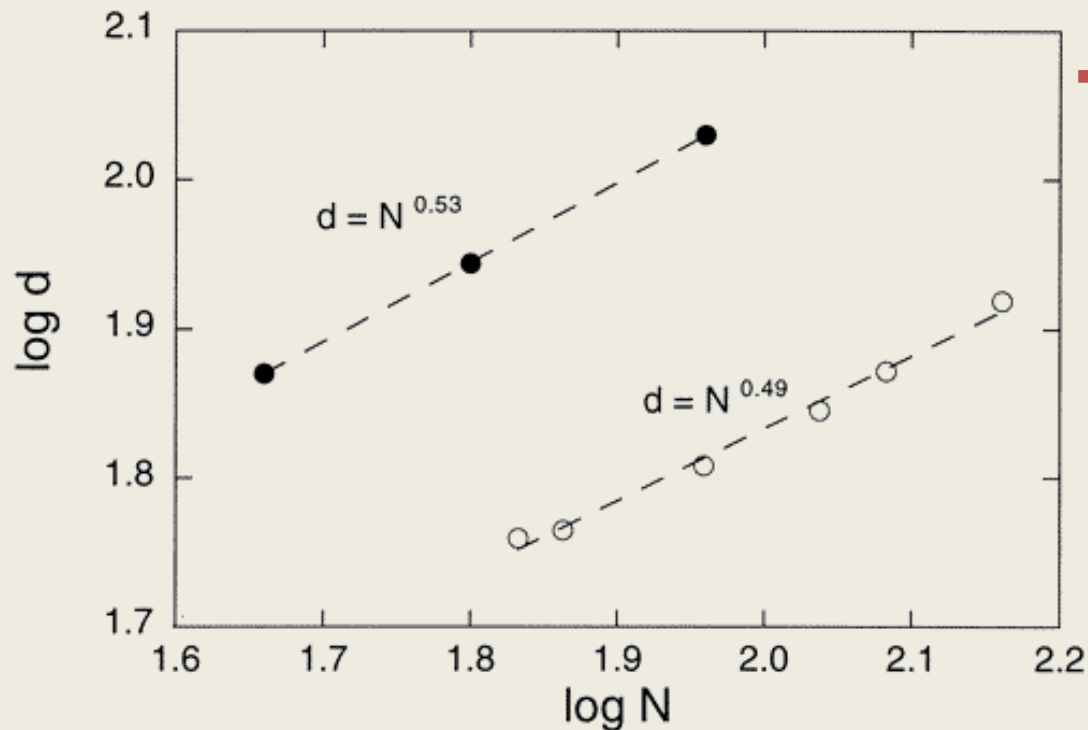
- An increase in copolymer MW → increase the block segregation and the tendency for organization
- Fig 5.6 confirms that a certain minimum MW is required for PEO and PPO blocks to segregate
- (a) only one mesophase L_α
- (b), (c) three mesophase and the increase of the range of the hexagonal regions when L92 → L122

Fig 5.6 Concentration-temperature phase diagrams for the (a) Pluronic L62/water, (b) Pluronic L92/water, and (c) Pluronic L122/water systems. L62, L92, and L122 have the same EO/PO ratio but different molecular weight (increasing in the order L62 < L92 < L122).

5.3. A Closer Look at Microstructure

- An important result from the study of MW affects concerns the dependence of the lamellar characteristic spacing on the polymer MW

Fig 5.7 Logarithm of the lamellar domain spacing d , vs the logarithm of number of polymer monomers N , for aqueous solution of Pluronic L62, L92, and L122 at 75 wt% (filled symbols) and calculated values from mean field theory (open symbols)



- $d \sim N^{1/2}$

→ characteristic of a random coil
→ the block copolymer chains are in the weak segregation regime



5.3. A Closer Look at Microstructure

(5) Effect of block copolymer composition

- The block composition is the main determinant of the microstructure observed in solvent-free block copolymers, and the chemical composition of typical surfactants affects their hydrophile/lipophile ratio and self-assembly properties.

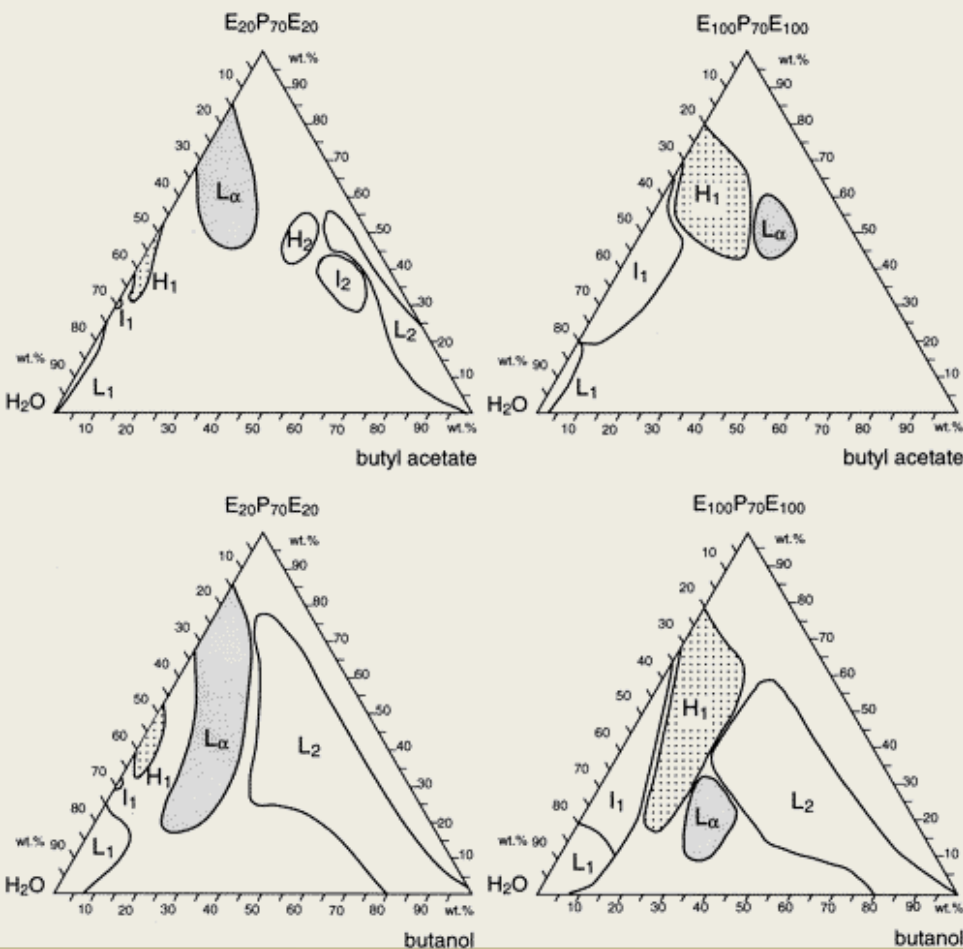
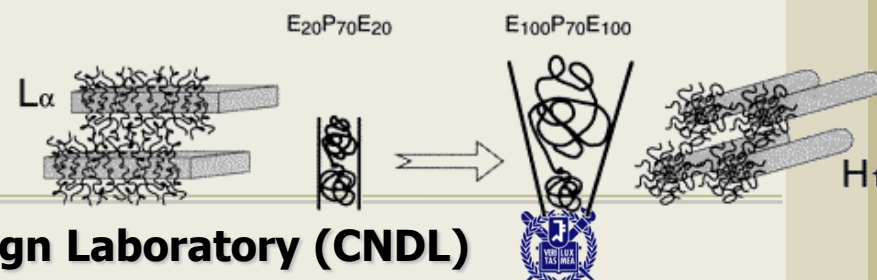


Fig 5.8 Phase diagrams of the copolymer-oil-water ternary systems.

The change in phase behavior when

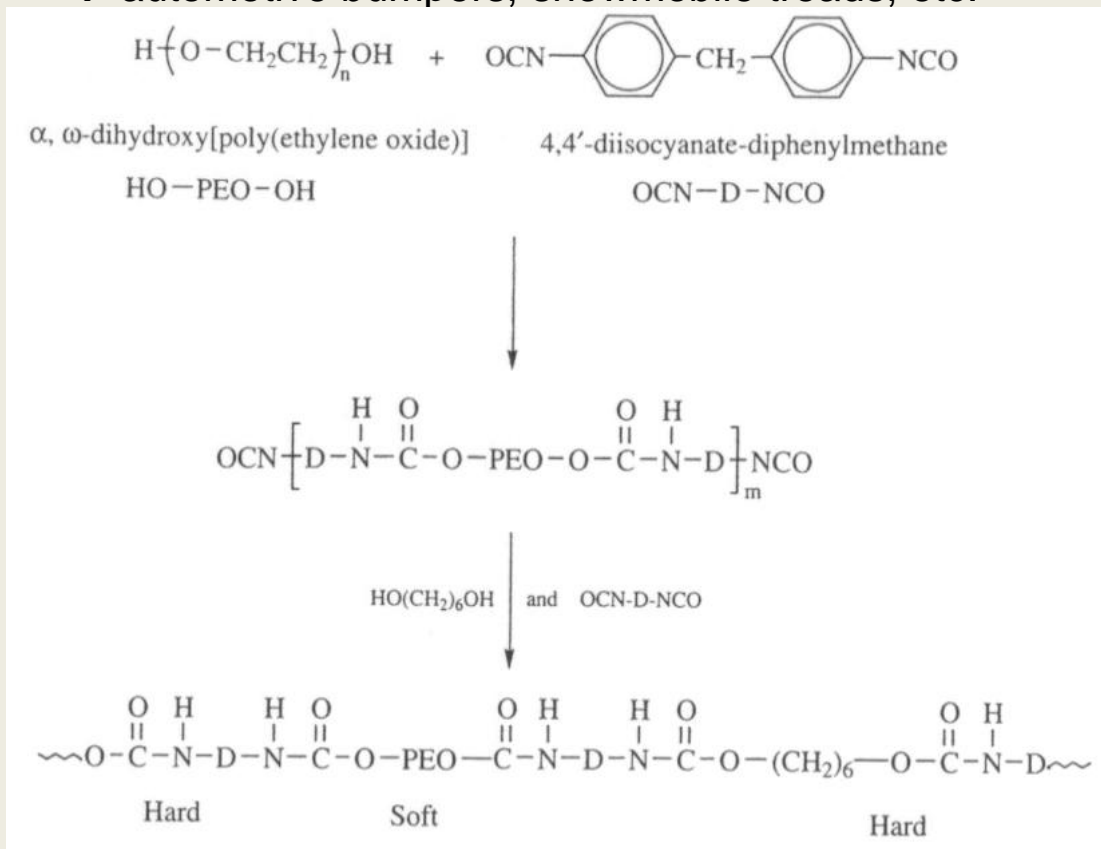
- the size of the copolymer E block changes from E₂₀ to E₁₀₀
- the "oil" changes from butyl acetate to butanol (top to bottom). The schematic represents the change of the self-organization of the amphiphilic block copolymers from the lamellar (L) to the normal hexagonal (H₁) structures upon an increase of the E block size and the corresponding increase in the interfacial curvature. The shaded areas represent the apolar domains.



5.4. Applications of Copolymers

5.4.1 Commercialized applications

- TPU
 - linear multiblock copolymer
 - the first commercially available TPEs which based on polyurethanes
 - automotive bumpers, snowmobile treads, etc.



Scheme.

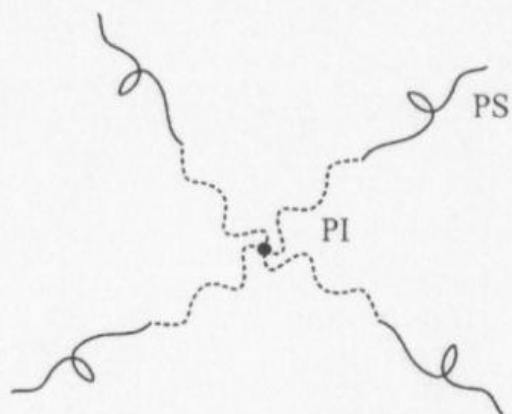
General reactions scheme for the synthesis of TPU

5.4. Applications of Copolymers

- Kraton – shell introduced the styrenic TPEs
 - linear triblock copolymer, made by anionic polymerization
 - well-defined materials with low molecular weight and compositional heterogeneity
 - include footwear, bitumen modification, thermoplastic blending, adhesive, and cable insulation and gaskets.



Tapered (styroflex)



Star-Block (Silprene)

Scheme.
Tapered and star block copolymers





5.4. Applications of Copolymers

- TPEs based on polyesters, TEPS (du Pont), and polyamides, TPA (Huls and Ato Chimie)
 - Linear or polyamide multiblock copolymers
 - Linked together by ester or amide linkage instead of urethane
 - hose tubing, sport goods, automotive components, etc.

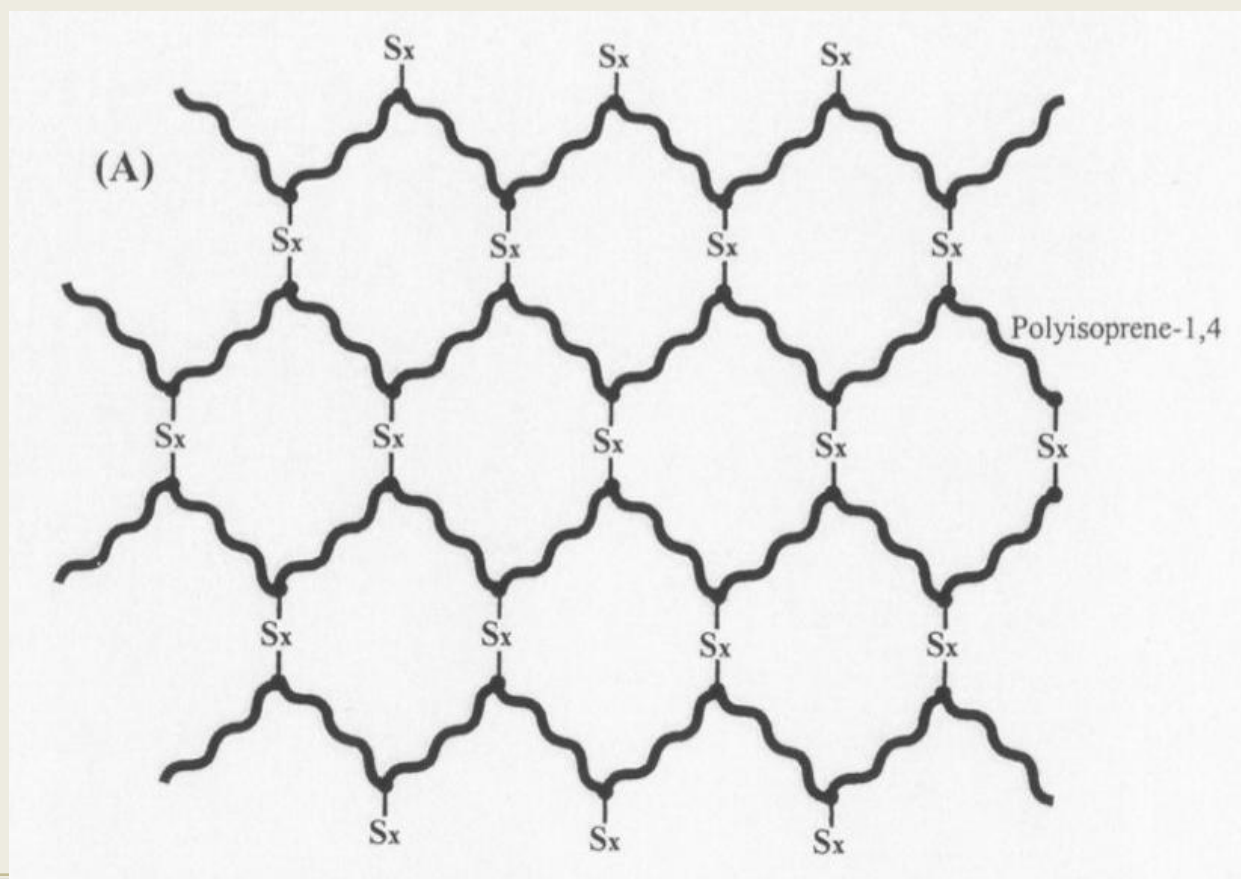
- All TPEs exhibit properties characteristic of chemically crosslinked elastomers at room temperature
 - At elevated temperature, behave as thermoplastics.
 - They can be processed with high speed, efficiency and economy on conventional thermoplastic equipment.
 - TPEs were considered to be one of the breakthroughs in rubber technology.

- On the molecular level,
 - Thermoplasticity – consequence of noncrosslinked chains
 - Thermoelasticity – consequence of crosslinked chains



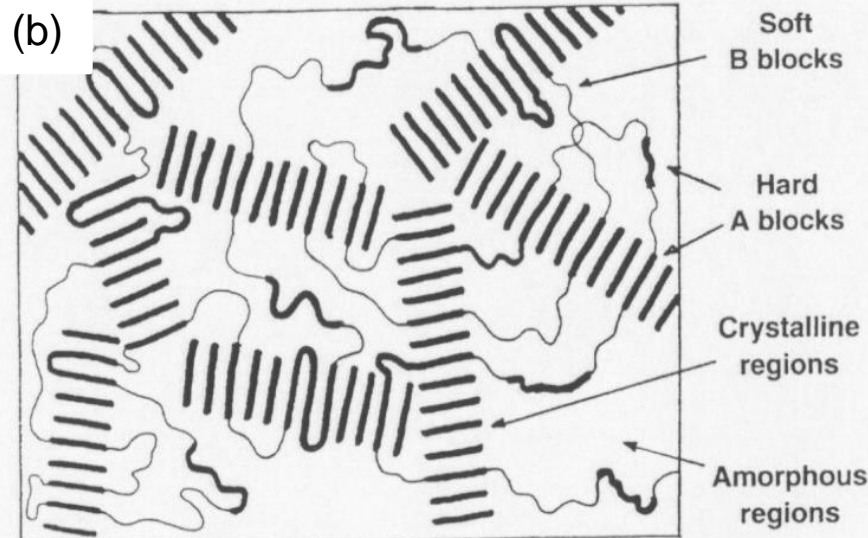
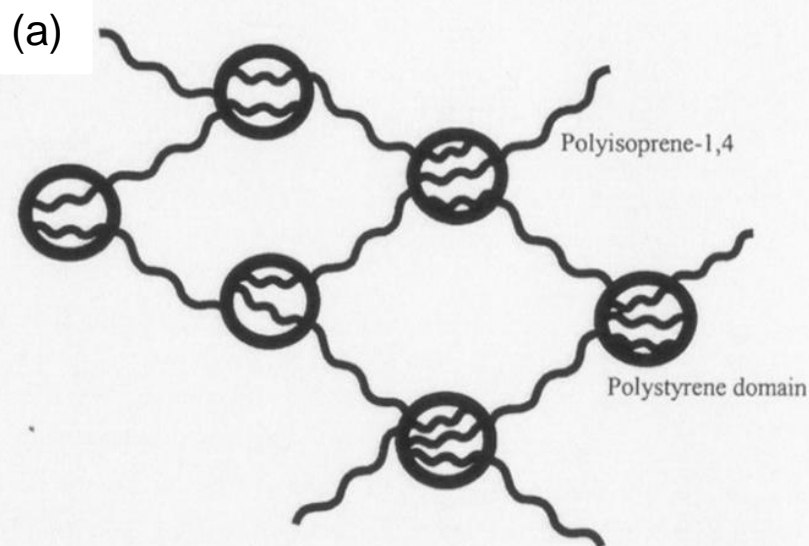
5.4. Applications of Copolymers

- In TPEs the crosslinks are physical rather than chemical, which is the case of vulcanized rubber.
- Thus, the hard domains lose their strength when the material is heated (thermoreversible) or dissolved in a solvent (solvoreversible).



5.4. Applications of Copolymers

- Thermoplastic elasticity is illustrated schematically for (a) styrenic TPEs and (b) multiblock TPEs.



- Crystallinity (TPES, TPA), hydrogen bonding (TPU, TPA), and van der Waals interactions (styrenic) all have been shown to cause microphase separation in these systems.

5.4. Applications of Copolymers

- Triblock copolymers with polydienes end blocks and diblock copolymers are not TPEs because the flexible chains are not immobilized at both ends by the glassy hard domains of PS and, consequently, cannot be elastic.

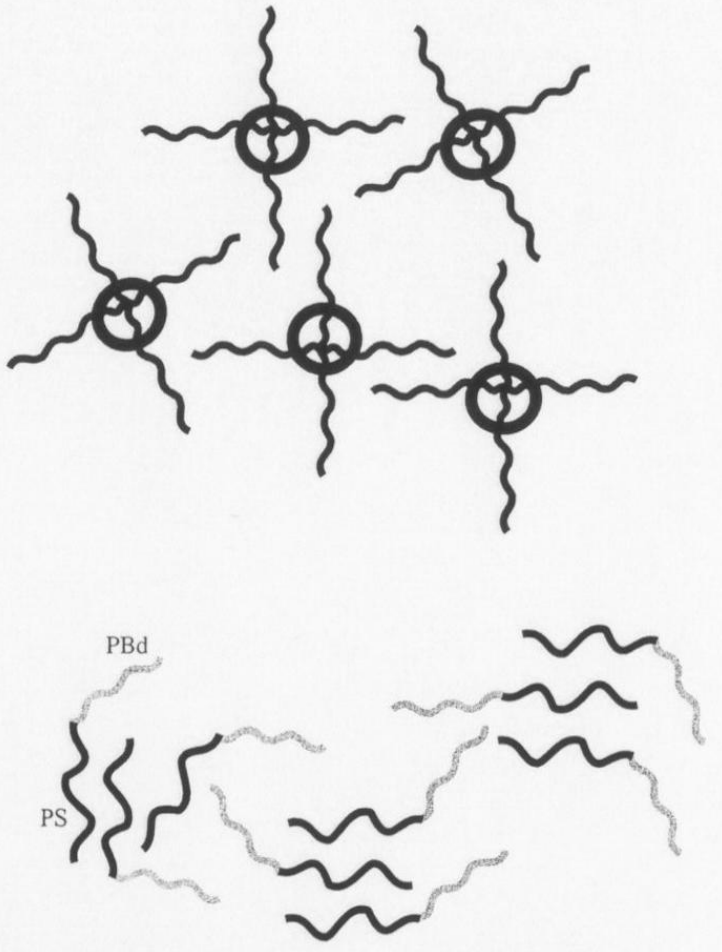


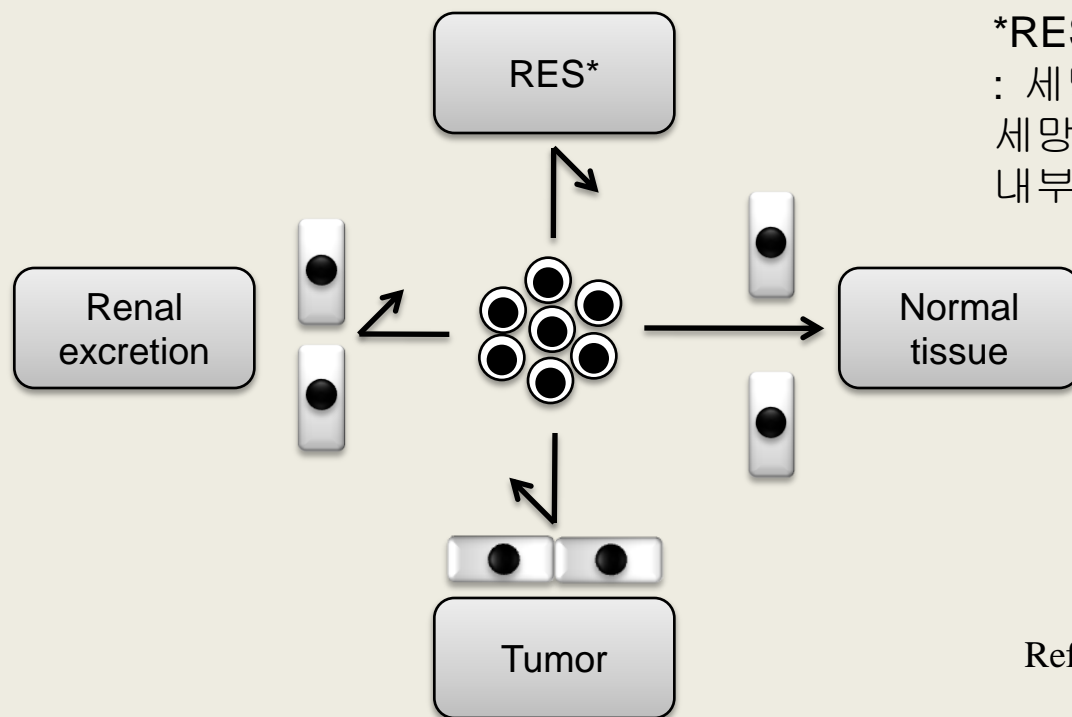
Figure 5.9
Styrenic triblock copolymer with polydiene external block or diblock copolymers (styrene or PU) are not elastic.

5.4. Applications of Copolymers

5.4.2 Potential Applications

(1) Drug release in target cells

- A block copolymer for use in drug delivery as a microcontainer device must consist of a water-soluble block(hydrophilic), in order to impart blood solubility of the microcontainer, and a water-insoluble block (hydrophobic) compatible with the drug to be carried.



*RES (reticuloendothelial system)
: 세망내피계 (림프절 지라 골수 등 속의
세망세포나 간 부신(副腎) 등 특별한 기관
내부의 혈관 내피세포 등의 총칭)

Figure 5.10
Accumulation of micelle-forming
microcapsules in a tumor utilizing
enhanced permeability of tumor
vasculature

Ref. *Macromol. Symp.* (1997) **118**, 577.



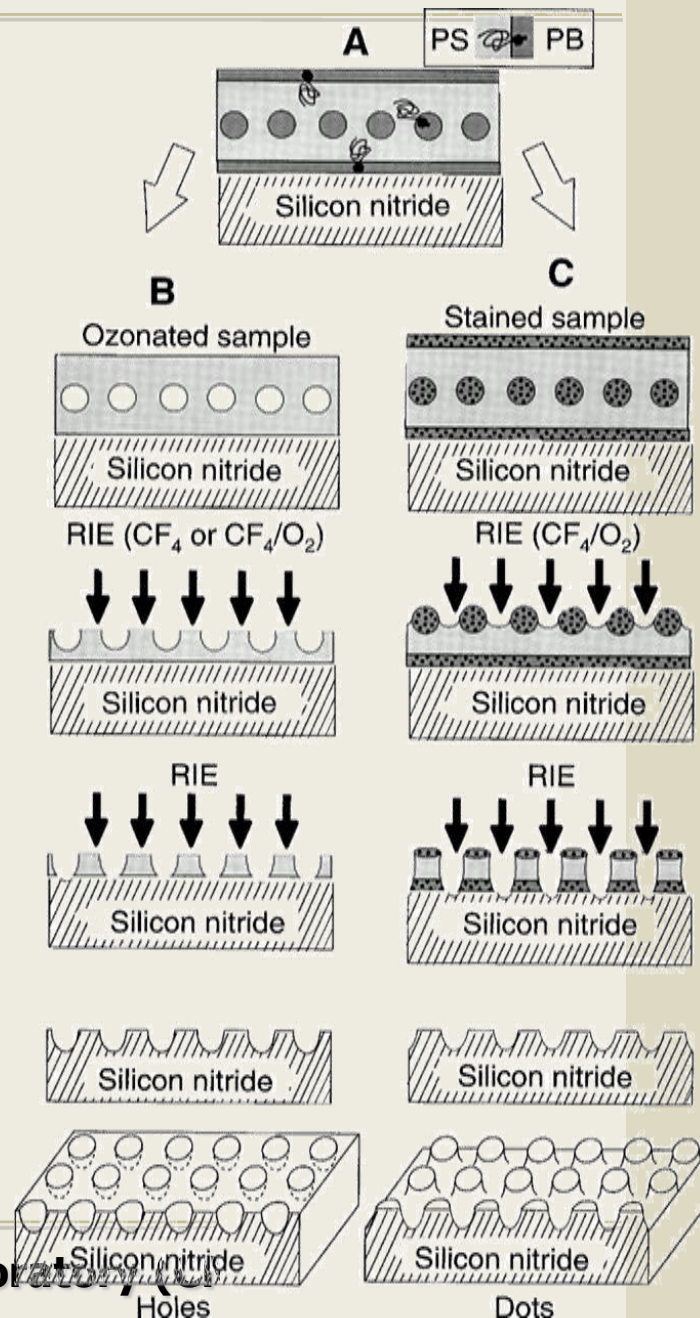
5.4. Applications of Copolymers

(2) Nanopatterning

- Sizes less than 30nm are not easily obtained standard lithography (photolithography, electron beam lithography).
- One way to overcome this problem is by using block copolymer

Fig. 5.11 (A) Schematic cross-sectional view of a nanolithography template consisting of a uniform monolayer of PB spherical microdomains on silicon nitride. PB wets the air and substrate interfaces. (B) Schematic of the processing flow when an ozonated copolymer film is used, which produces holes in silicon nitride. (C) Schematic of the processing flow when an osmium-stained copolymer film is used, which produces dots in silicon nitride.

Ref. *Science* **276** (1997) 1401



5.4. Applications of Copolymers

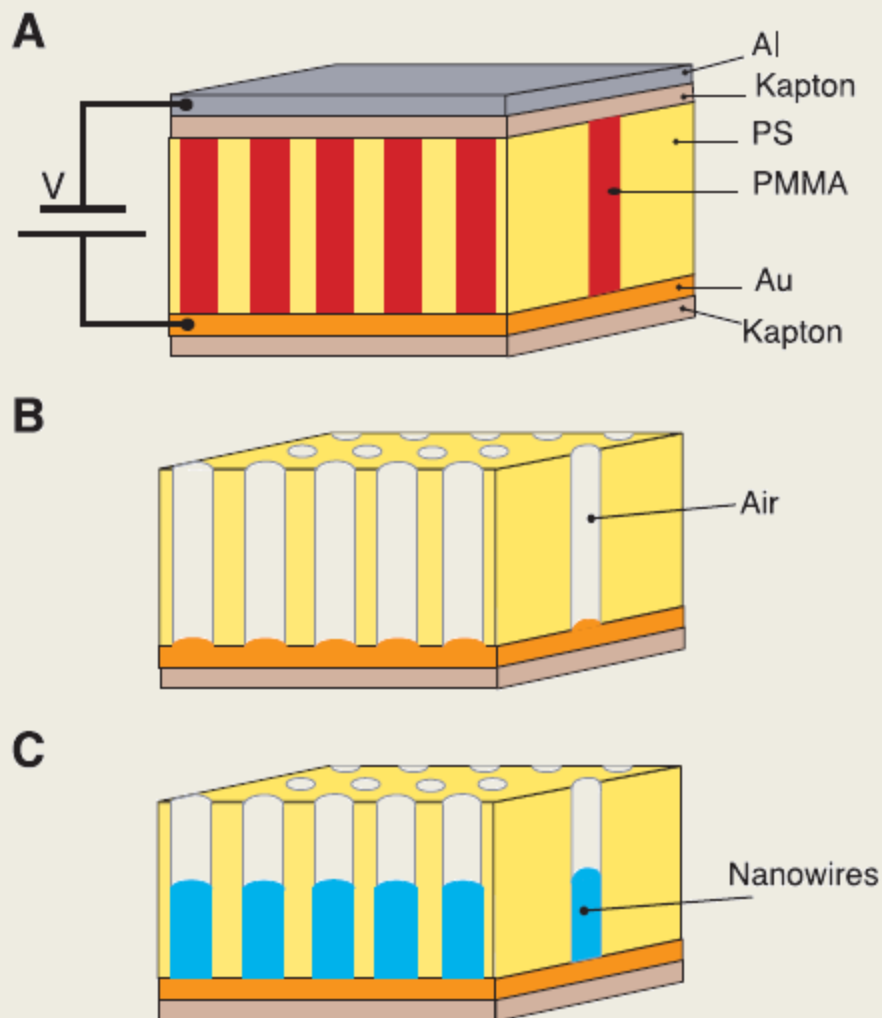


Fig. 5.12. A schematic representation of high density nanowire fabrication in a polymer matrix.

(A) An asymmetric diblock copolymer annealed above the glass transition temperature of the copolymer between two electrodes under an applied electric field, forming a hexagonal array of cylinders oriented normal to the film surface. (B) After removal of the minor component, a nanoporous film is formed. (C) By electrode position, nanowires can be grown in the porous template, forming an array of nanowires in a polymer matrix.

Ref. *Science* **290** (2000) 2126.



5.4. Applications of Copolymers

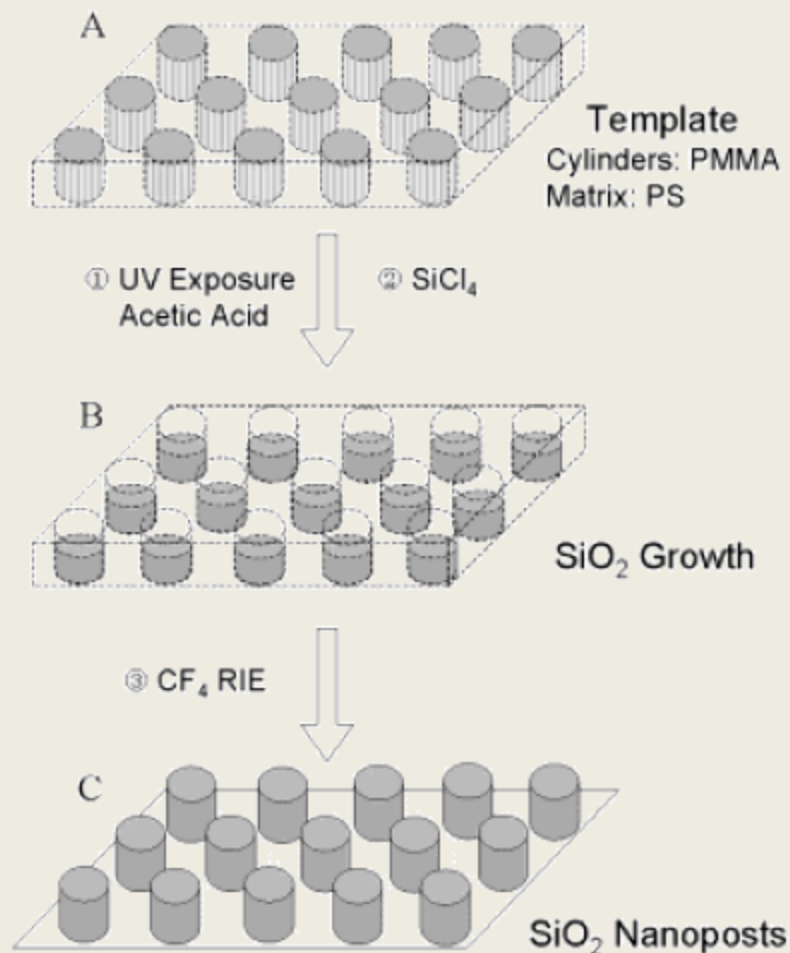


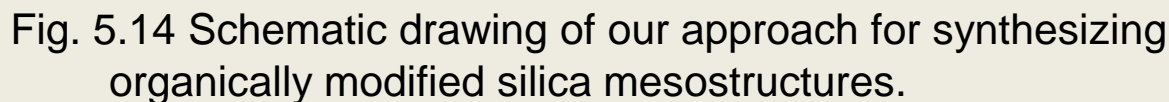
Fig. 5.13 Schematic diagram of the steps required to generate SiO₂ nanoposts.

A) Block copolymer films having cylindrical microdomains oriented normal to the surface. B) Growth of SiO₂ within the nanopores generated by selective elimination of PMMA cylinders. C) Array of SiO₂ nanoposts after removing PS matrix with CF₄ RIE.

Ref. *Adv. Mater.* **13** (2001) 795.



- By using an amphiphilic block copolymer as a structure-directing agent, organic-inorganic hybrid materials with nanoscale structures can be prepared.



Ref. *Science* **278** (1997) 1795.

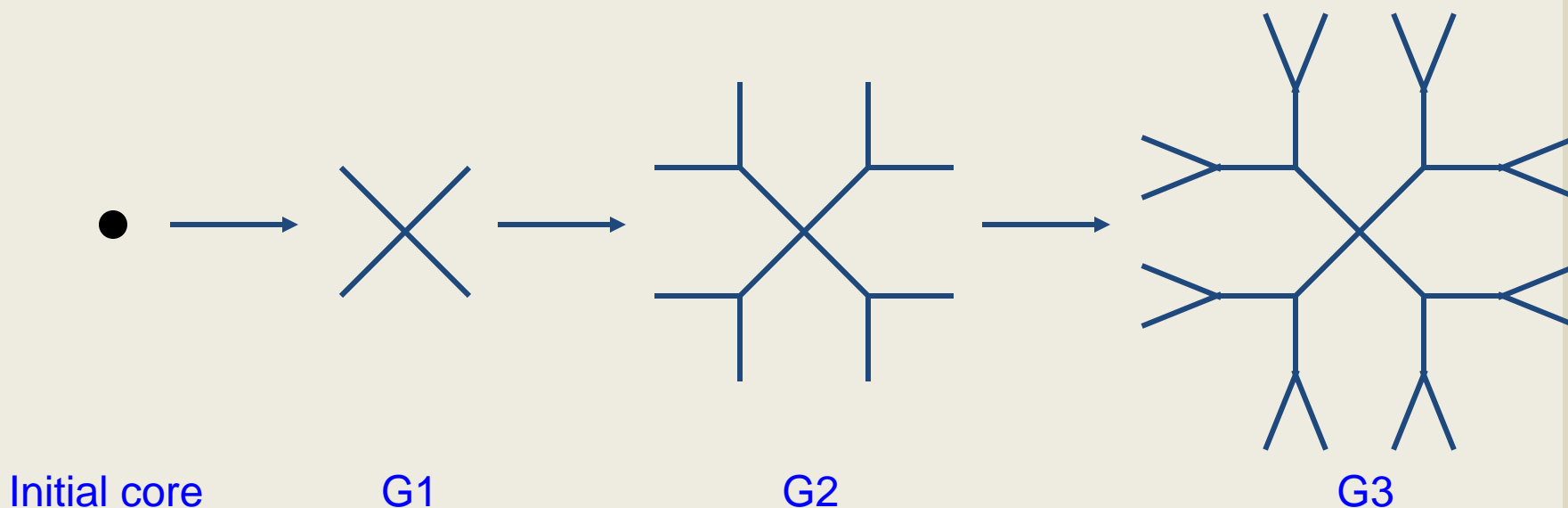
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5.5. What Are Dendrimers?

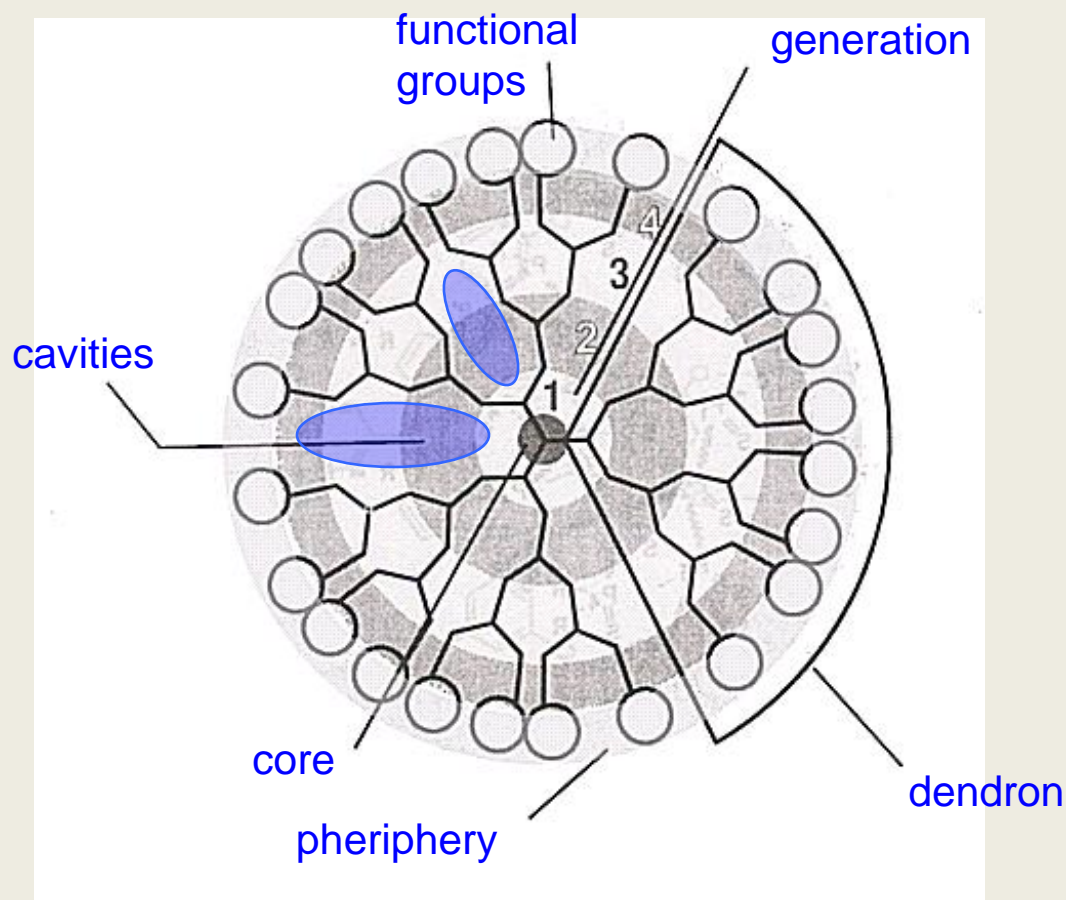
5.5.1 Definition of Dendrimers

; Evolving around a core atom or molecule, they possess repeating “generations” of branch again and again until an almost globular shape with a dense surface is reached.



5.5. What Are Dendrimers?

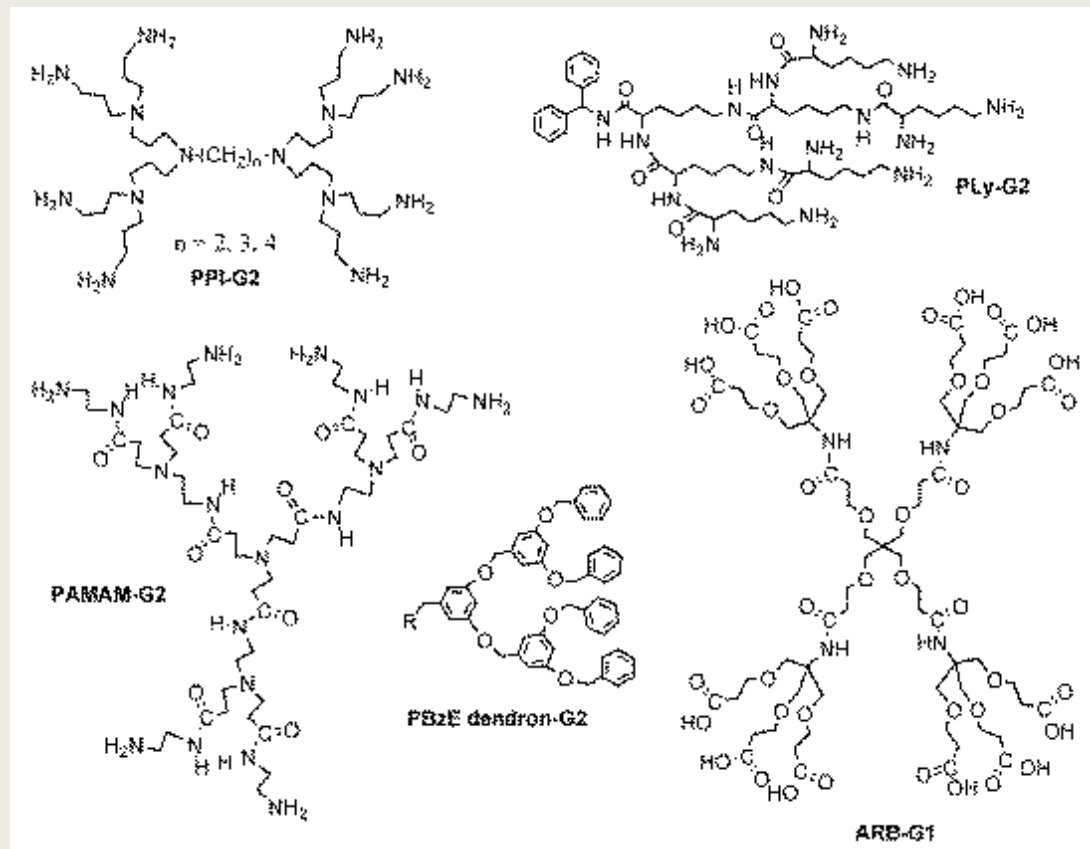
5.5.2 The Structure of Dendrimers



- ; Nano-sized spherical shape
- ; Low polydispersity
- ; Pheriphery - polyfunctionality
- ; Cavity (vacant space)
 - possible to use as drug carrier

5.5. What Are Dendrimers?

5.5.3 Examples of dendrimers



PPI, Poly(propyleneimine)

Ply, Polylysine

PAMAM, poly(amidoamine)

ARB, arborol

PBzE, poly(benzyl ether)

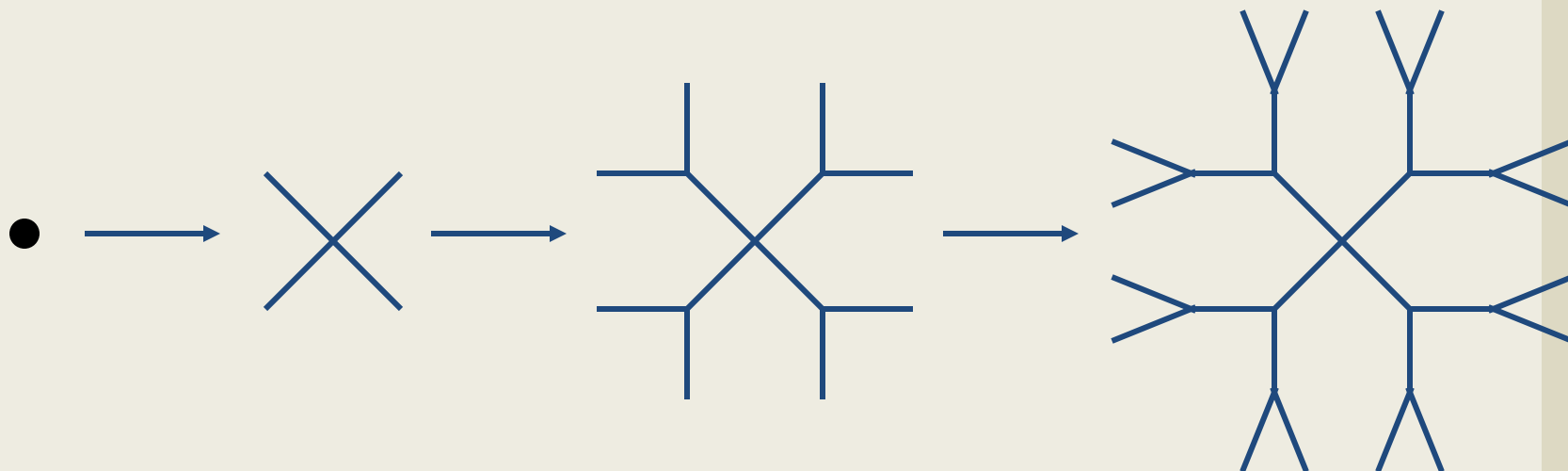




5.6. Dendrimer Construction Strategies

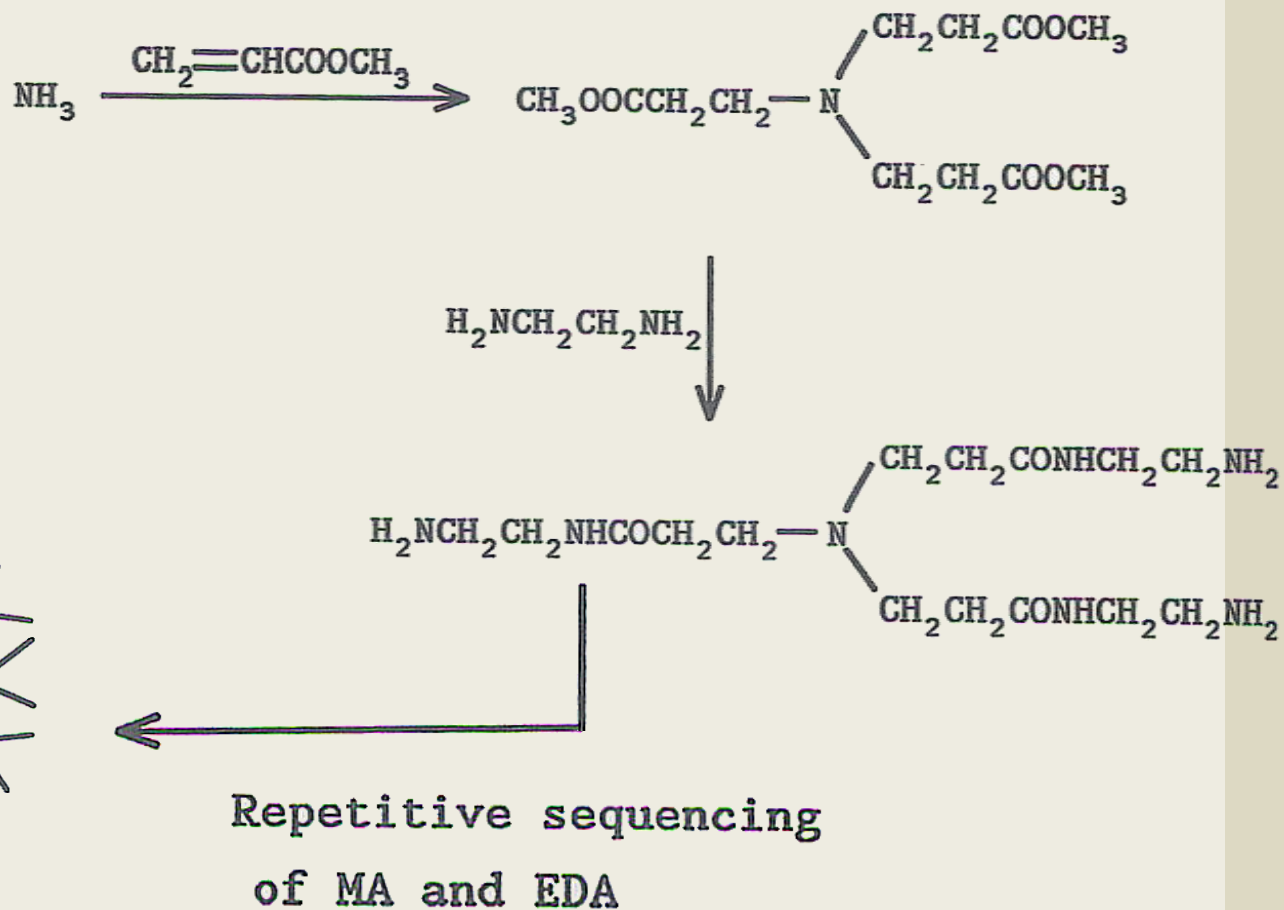
5.6.1 Divergent Approach (core to periphery)

; The divergent method starts with a core molecule and builds outward by attaching to the core successive layers (or generations) of monomer units one at a time.

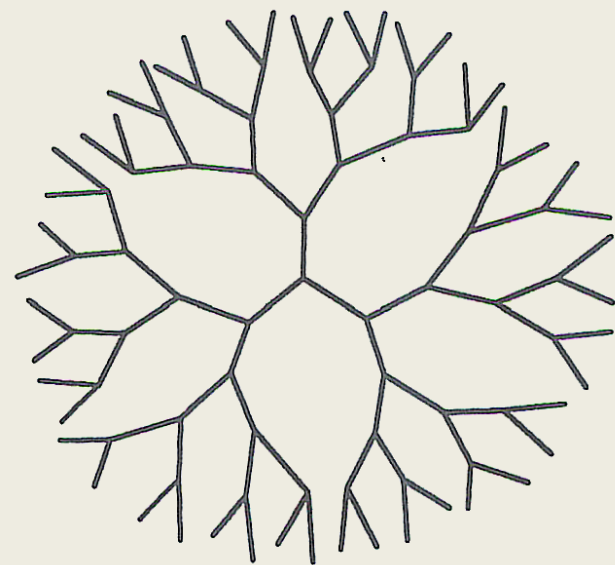


5.6. Dendrimer Construction Strategies

✓ Divergent synthesis of PAMAM dendrimer



G5



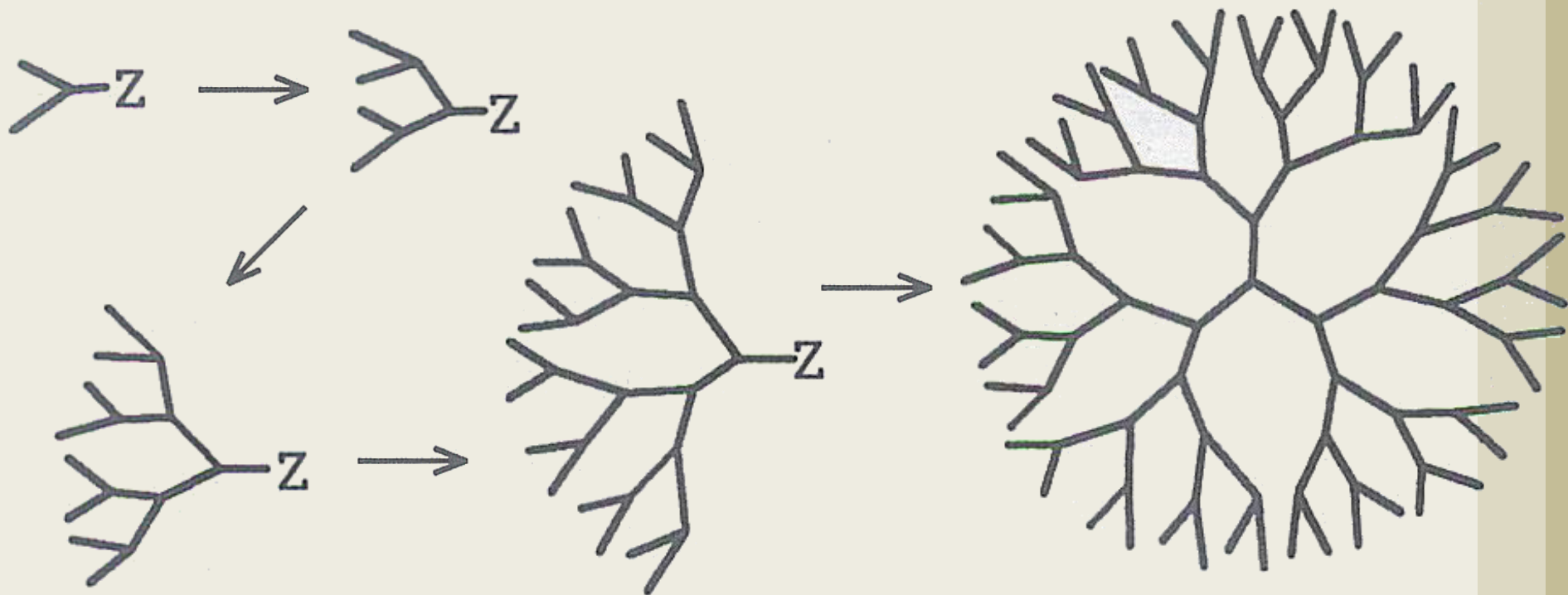
Ref. "Principle of Polymerization" 4th Ed, Hoboken, N.J. : Wiley, 2004

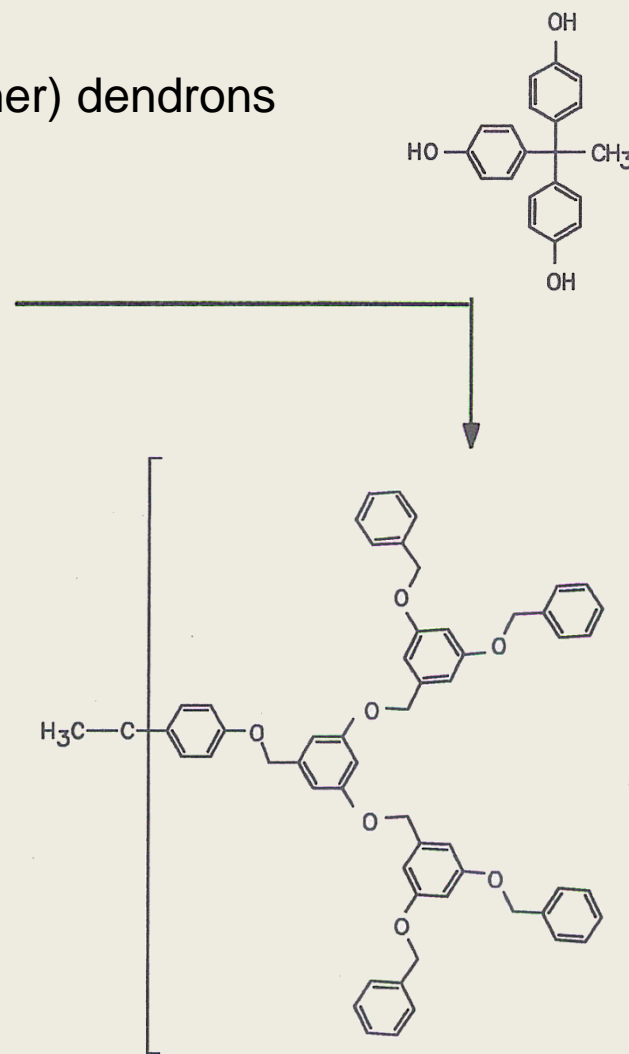


5.6. Dendrimer Construction Strategies

5.6.2 Convergent Approach

; Dendrimeric fragments are synthesized by repetitive reactions, and then several are joined together in the last step by using a central core molecule to form the dendrimer.

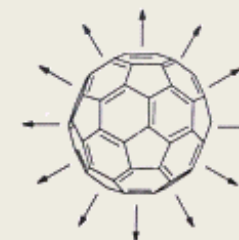
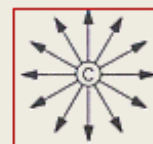
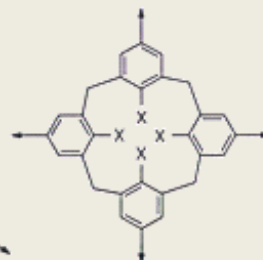
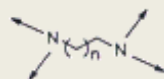
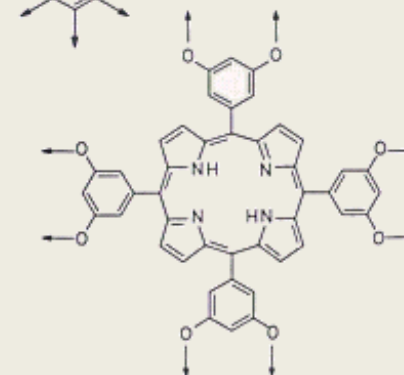
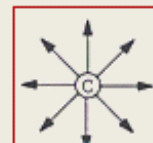
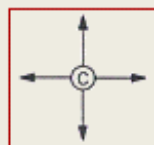
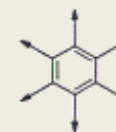
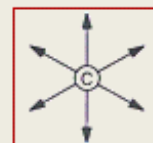
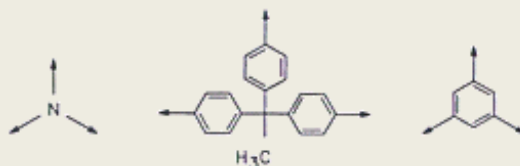
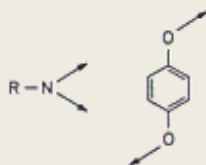
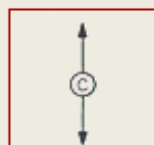




5.6. Dendrimer Construction Strategies

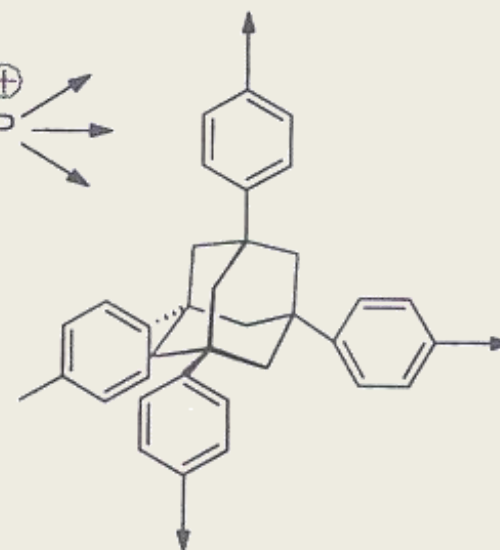
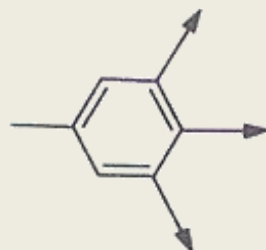
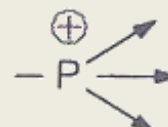
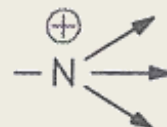
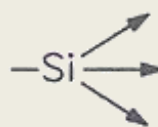
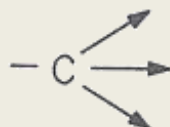
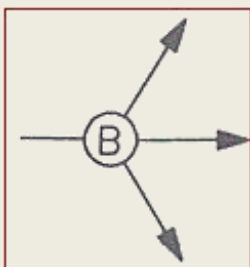
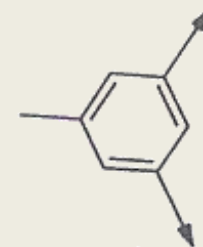
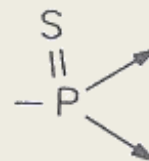
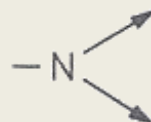
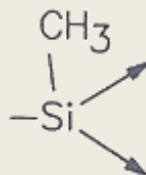
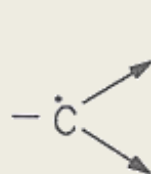
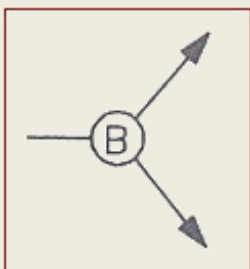
5.6.3 Cores & Branching units for building up the dendrimer

✓ Cores



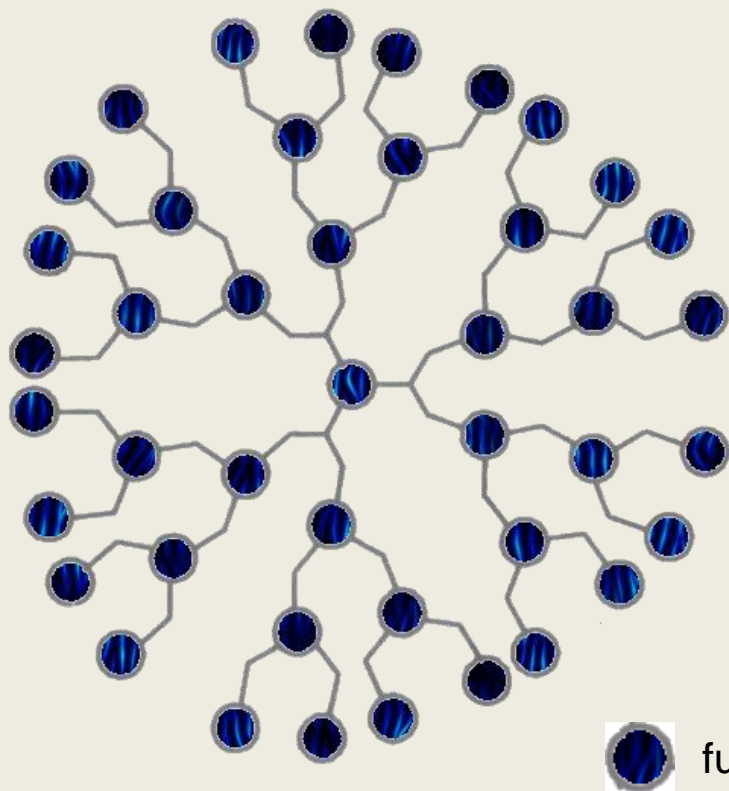
5.6. Dendrimer Construction Strategies

✓ Branching units



5.7. The Ideas for Finding Applications of Dendrimers

5.7.1 Functionalized Dendrimers

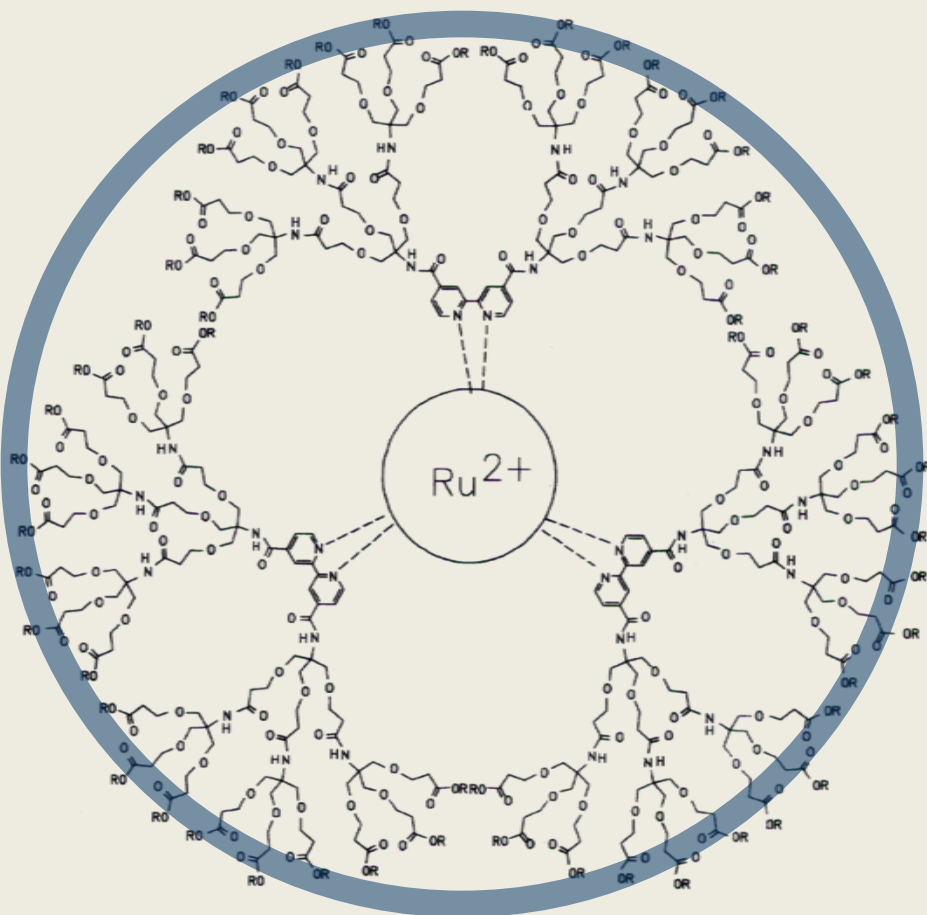


Core, branches, periphery

- ; Introduction of functional group to dendrimers
- ; The kind of sites in dendrimer molecules can be functionalized.
→ core, branch, periphery
- ; A lot of functional groups can be introduced to dendrimer molecules.

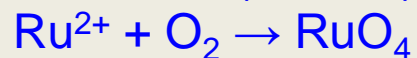
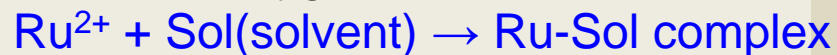
5.7. The Ideas for Finding Applications of Dendrimers

✓ Metal-functionalized dendrimers



; Ru^{2+} can be applied in medical diagnostics.

; Ru^{2+} can be quenched by solvent or with dissolved oxygen.



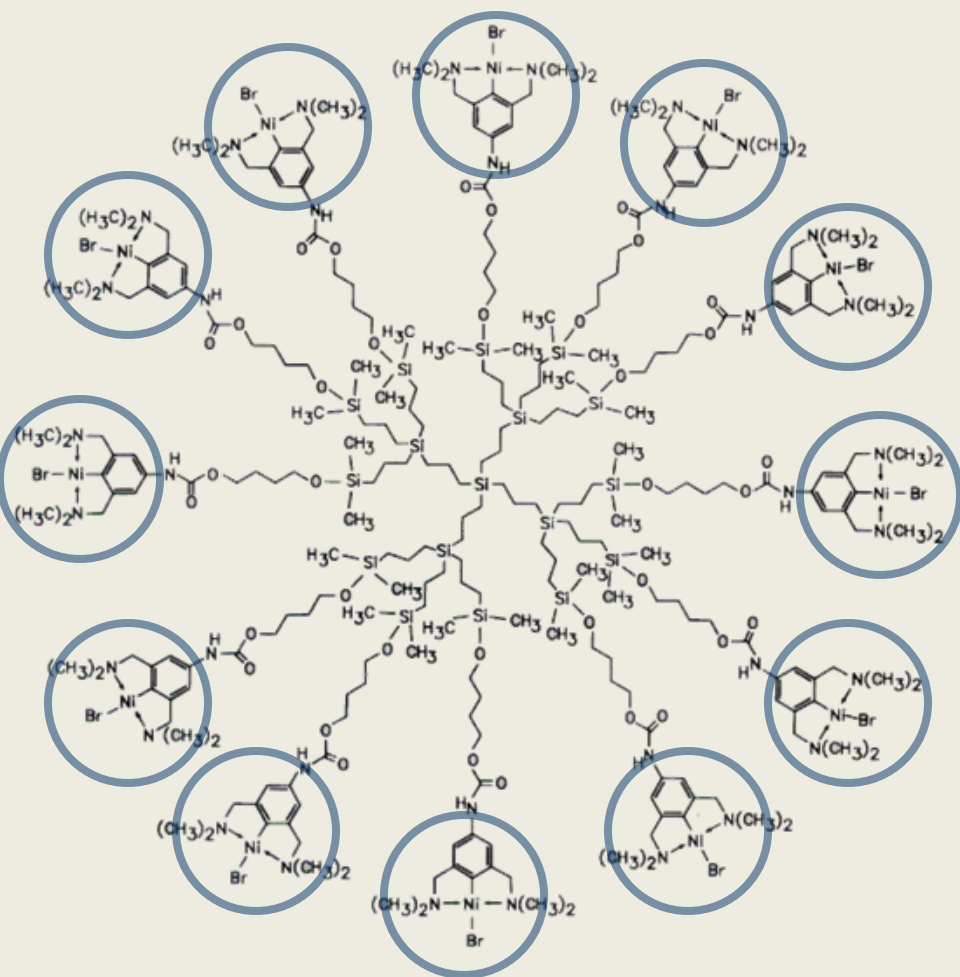
; Dendrons can serve as shields for Ru^{2+} (Shielding effect)

→ Prevent quenching processes with the solvent or with dissolved oxygen

→ Increase of lifetime of the excited state of Ru^{2+}

5.7. The Ideas for Finding Applications of Dendrimers

✓ Metal-functionalized dendrimers



; periphery-functionalized dendrimer by aryl nickel (catalyst)

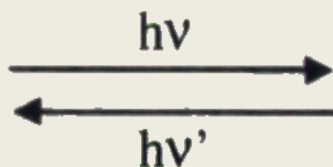
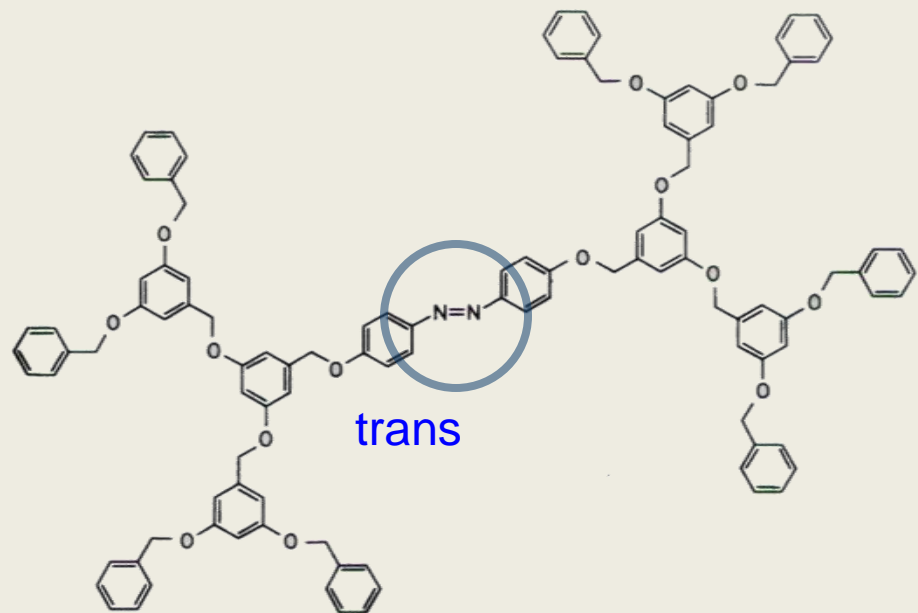
; In a molecule, a lot of aryl nickels are contained.

→ can be used for new kind of catalyst
→ “Dendralyst”

; As varied the kind of metal, different electrochemical properties can be introduced to dendrimers.

5.7. The Ideas for Finding Applications of Dendrimers

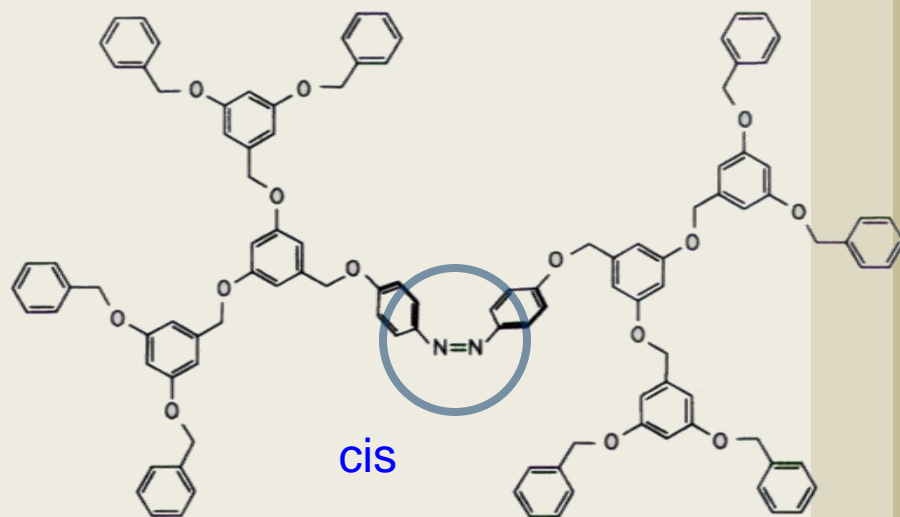
✓ Photoactive azobenzene dendrimers



; core-functionalized by azo compound

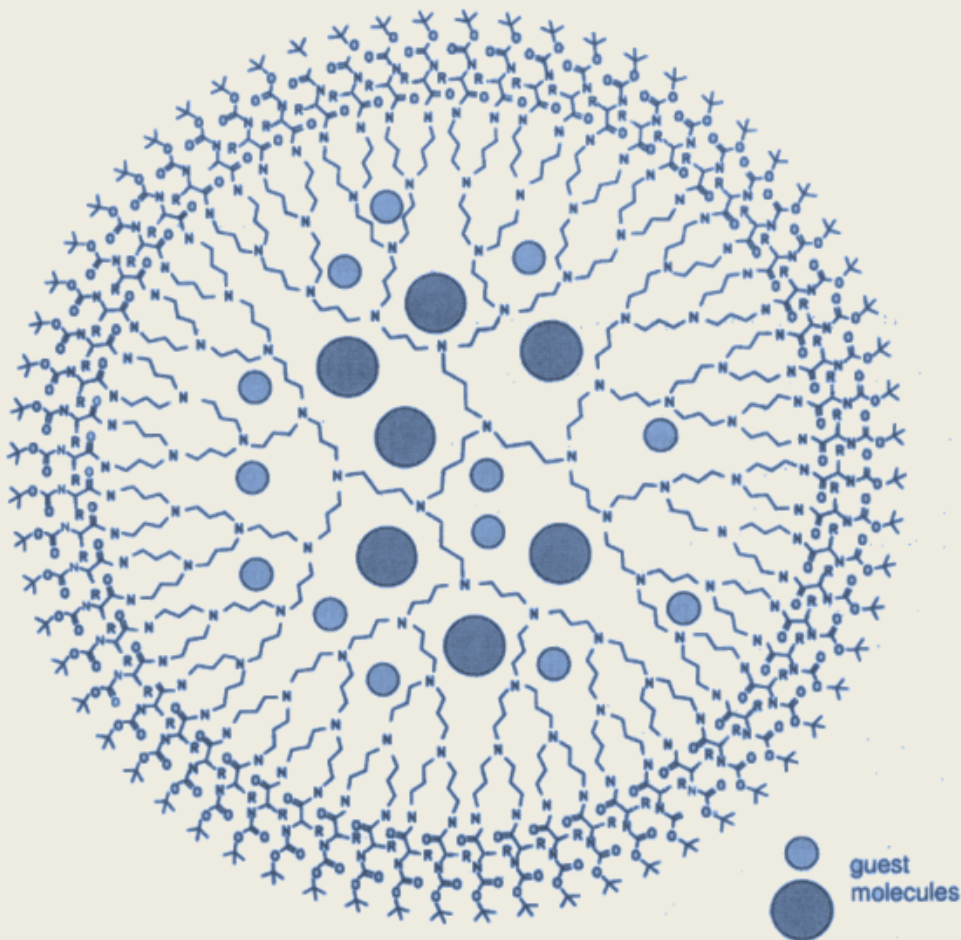
; Azo-type compounds can be easily and reversibly photoisomerized.

→ Photoresponsive molecule



5.7. The Ideas for Finding Applications of Dendrimers

5.7.2 Host-Guest Chemistry with Dendrimers



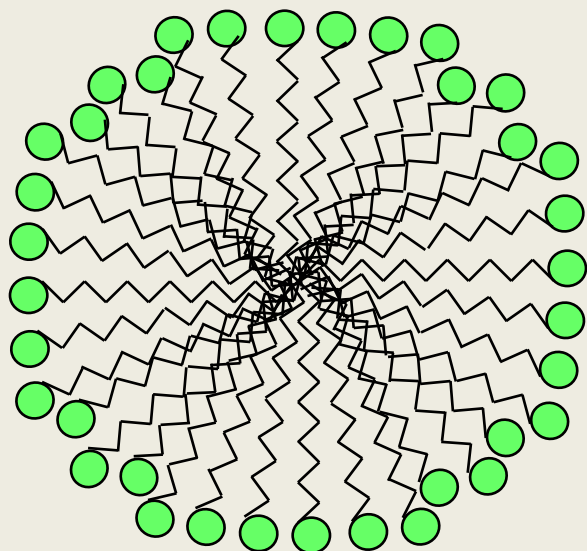
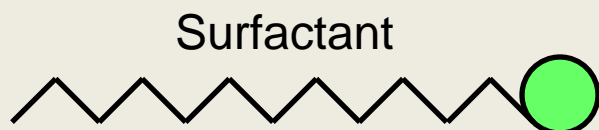
15th-generation polyamine dendrimer

; Dendrimers have large cavities.

- possible to contain a lot of guest molecules
- release guest molecules size selectively upon hydrolysis of the dense outer shell

5.7. The Ideas for Finding Applications of Dendrimers

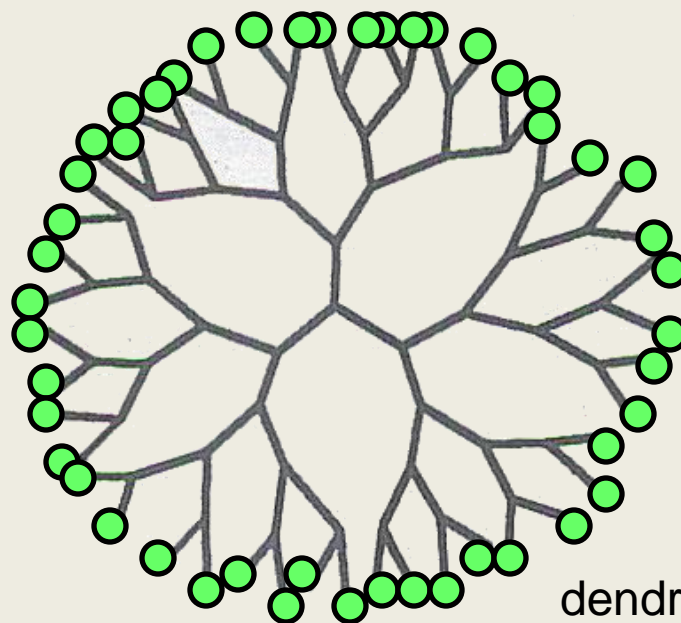
5.7.3 Dendritic Micelles



Micelle

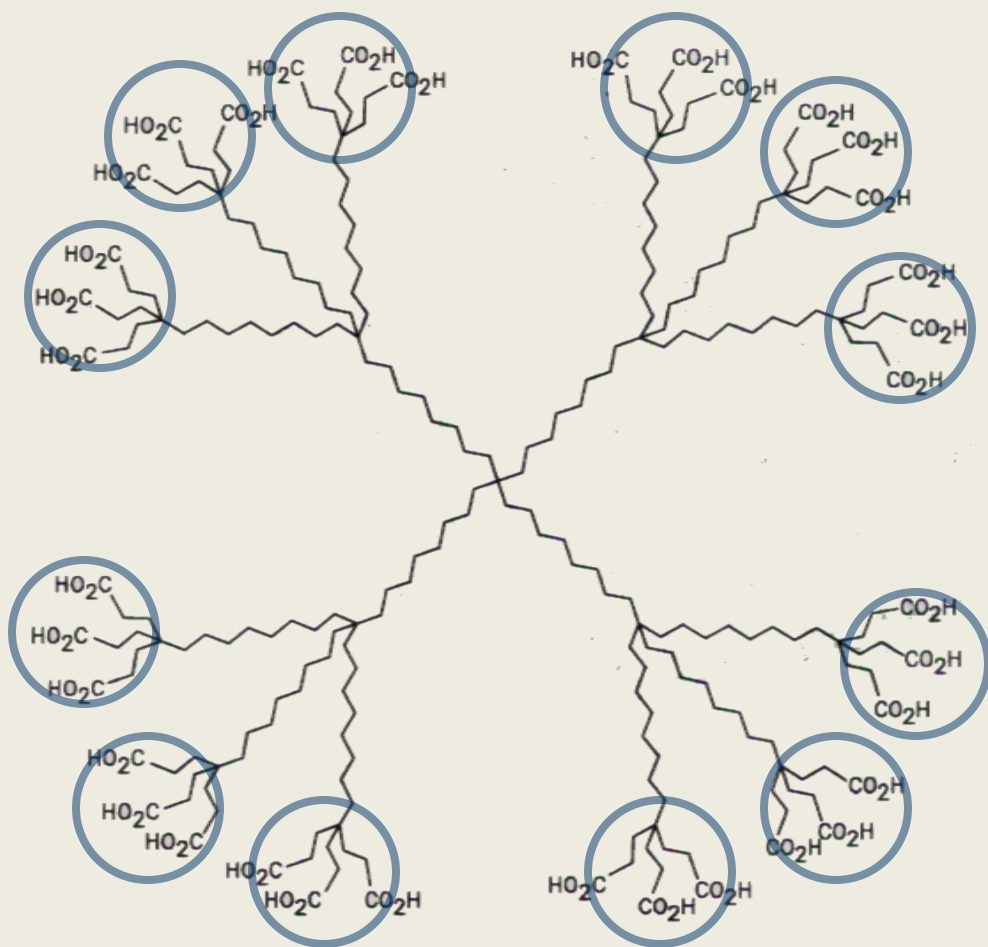
; The molecular structure of dendrimer are similar to micelle formed by surfactant.

; Dendrimers can be a “unimolecular micelle”
→ Not to require micellization condition



dendrimer

5.7. The Ideas for Finding Applications of Dendrimers



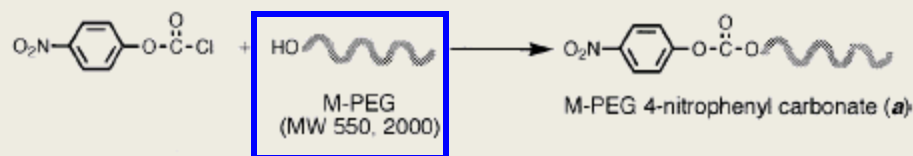
; braching unit – aliphatic chain
(hydrophobic)
terminal groups – carboxylic acid
(hydrophilic)

→ “unimolecular micelles”

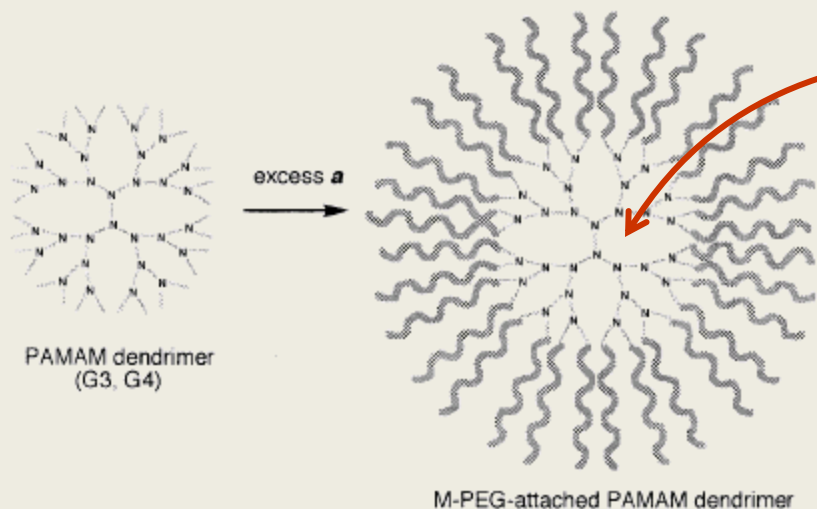
5.7. The Ideas for Finding Applications of Dendrimers

5.7.4 Dendrimers in Drug Delivery Systems

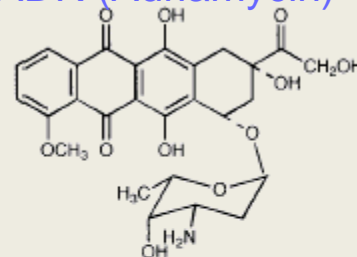
; The studies on DDS by using dendrimers are based on host-guest chemistry and dendritic micelle concept.



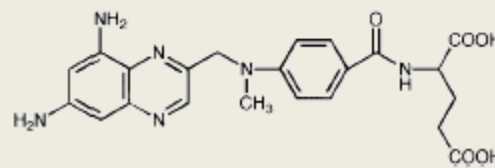
Biocompatible



(A) ADR (Adriamycin)



(B) MTX (Methotrexate)



Anticancer drugs

→ These Dendrimers having an interior for encapsulation of drugs and a biocompatible surface

Ref. Kojima et al. *Bioconjugate Chem*, **11** (2000) 910

