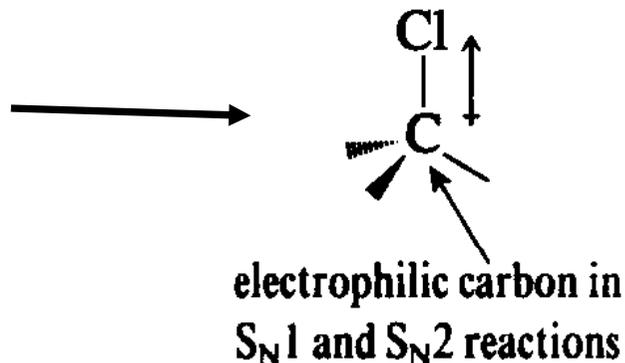


# Chapter 18 Additions to the Carbonyl Groups

**Nucleophilic substitution ( $S_N2$  and  $S_N1$ ) reaction** occurs at  $sp^3$  hybridized carbons with electronegative leaving groups

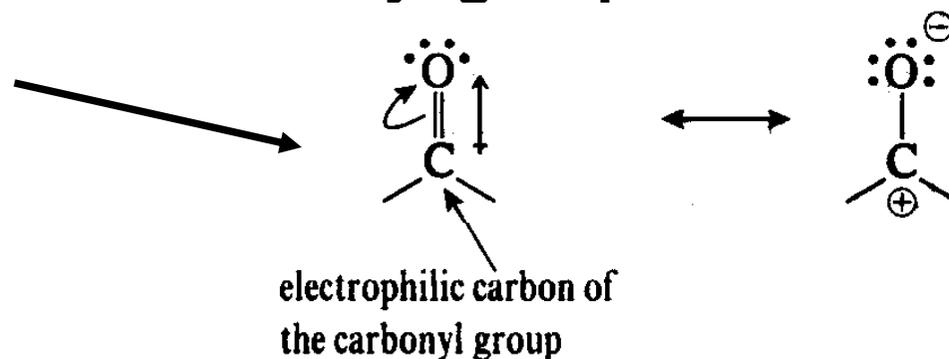
Why?

The carbon is electrophilic!

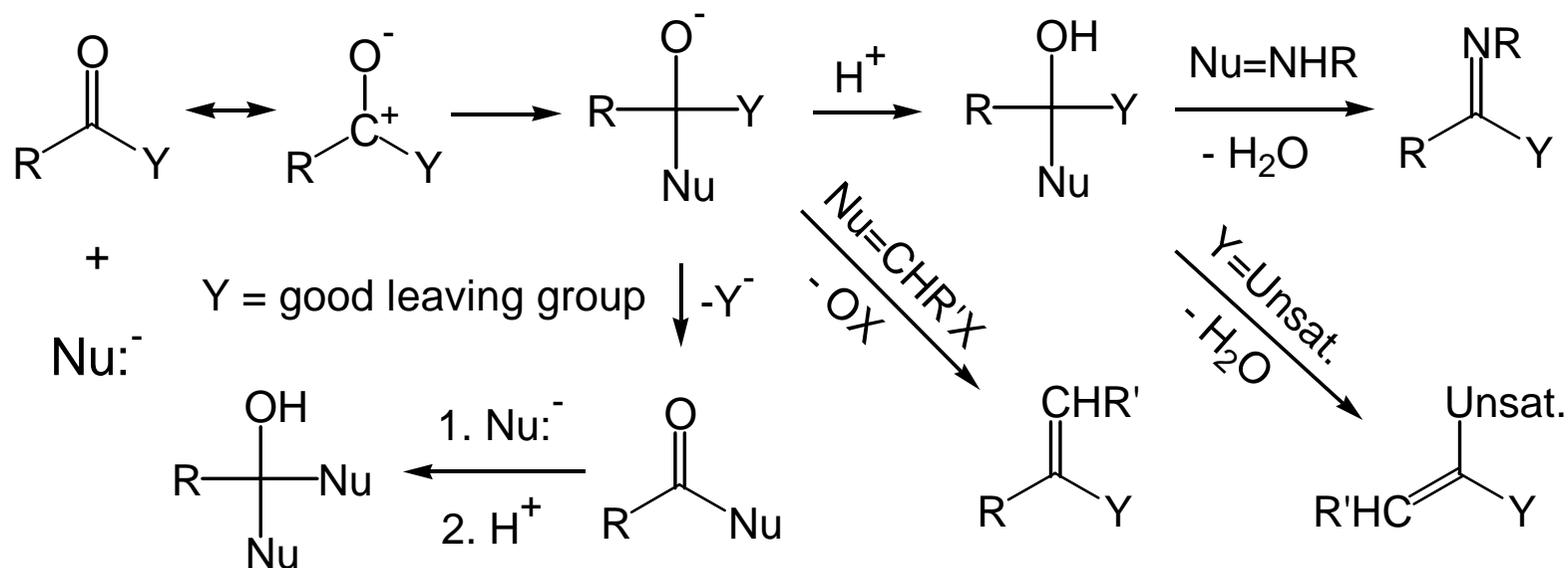
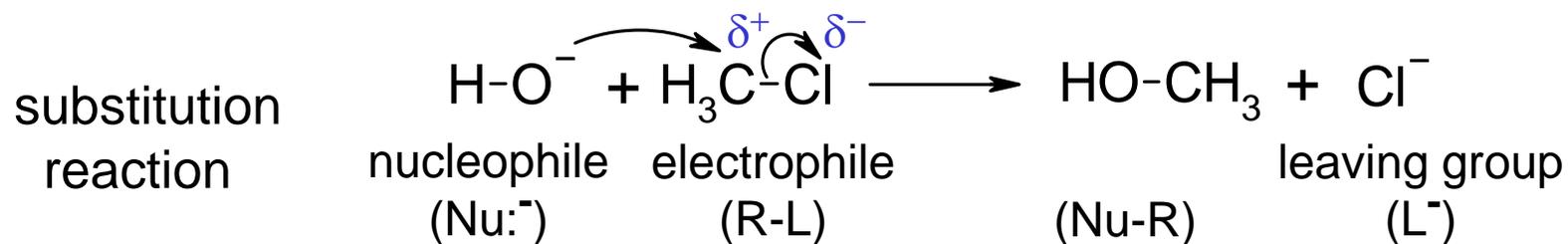


**Addition to the carbonyl group** also occurs at the carbon of a carbonyl groups which is also electrophilic.

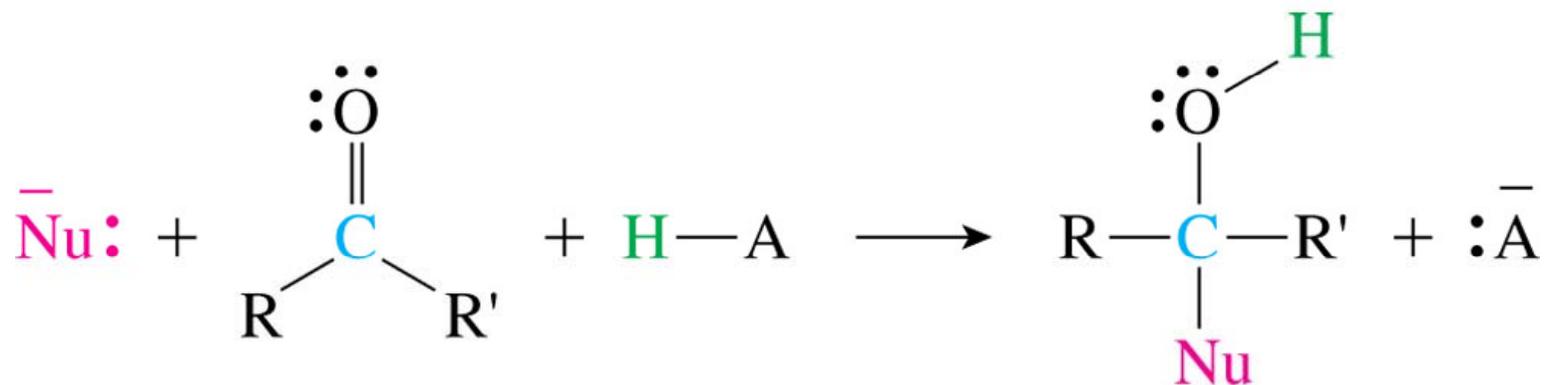
Why?



# Substitution vs. Addition



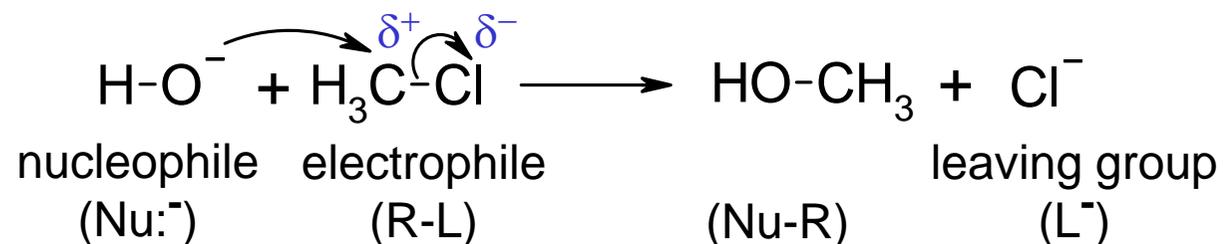
# 18.1 General Mechanisms



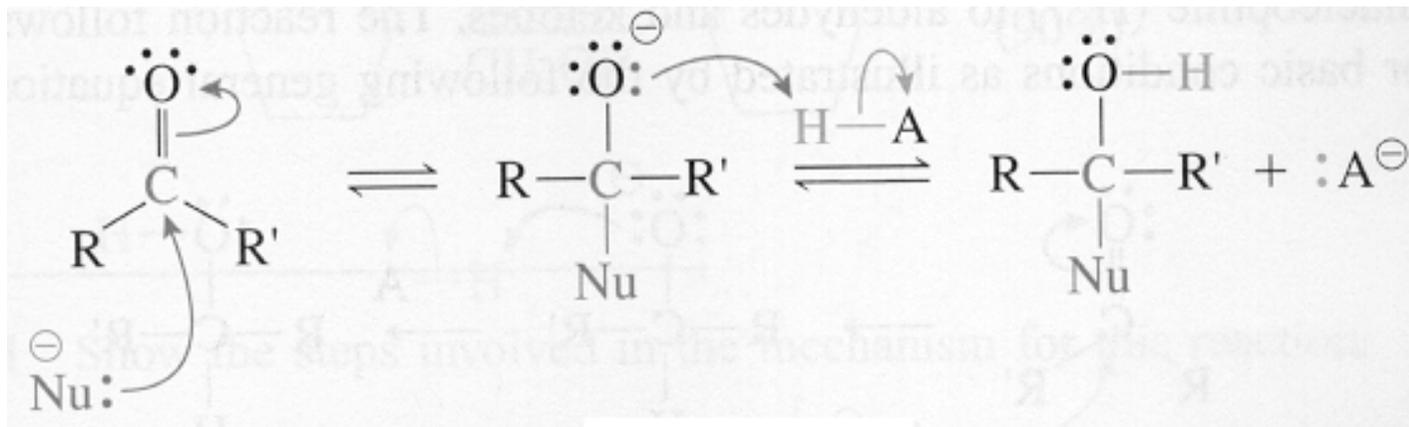
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**Possible both in basic and acidic conditions !**

**substitution  
reaction**

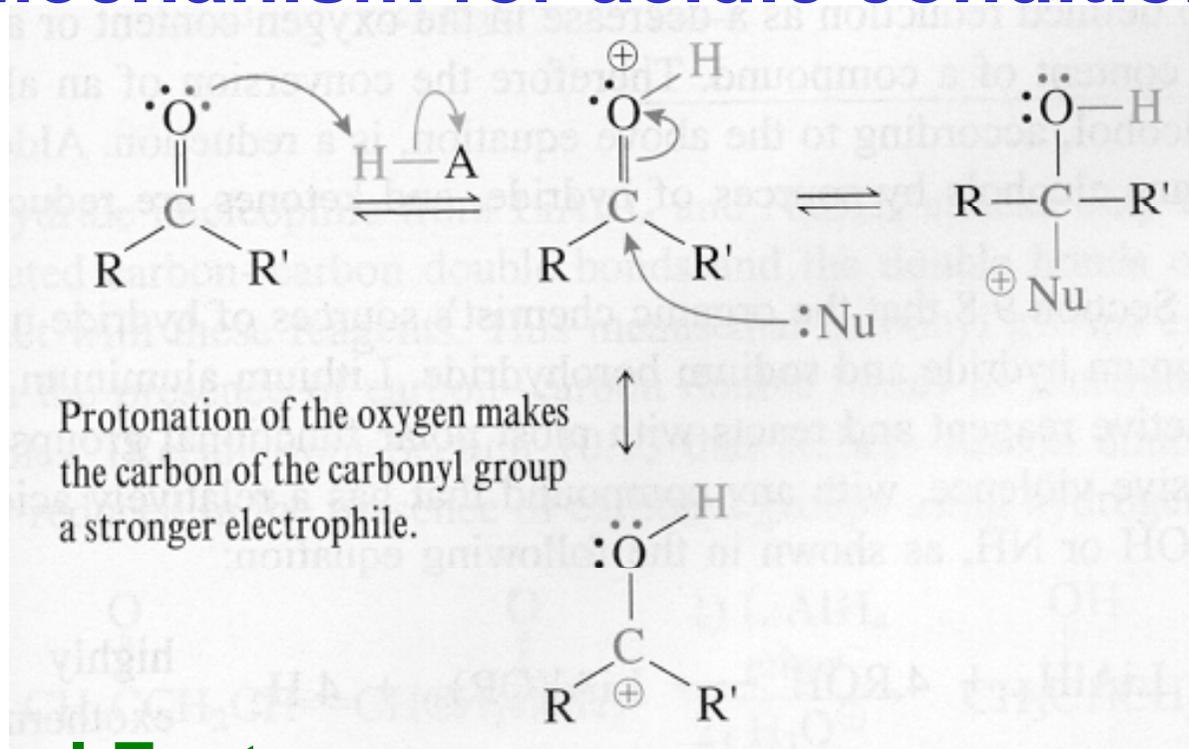


# 1. Mechanism under basic condition



- Nu: can be neutral
- If Nu: is a strong base, acidic solvents (H<sub>2</sub>O, alcohol) must be avoided. Then solvent without acidic proton such as ether is used. **Acid is added after the anionic compound is formed (during workup).**
- If Nu: is less basic, acidic solvents can be used.
- If Nu: is less nucleophilic, **use acidic condition!**

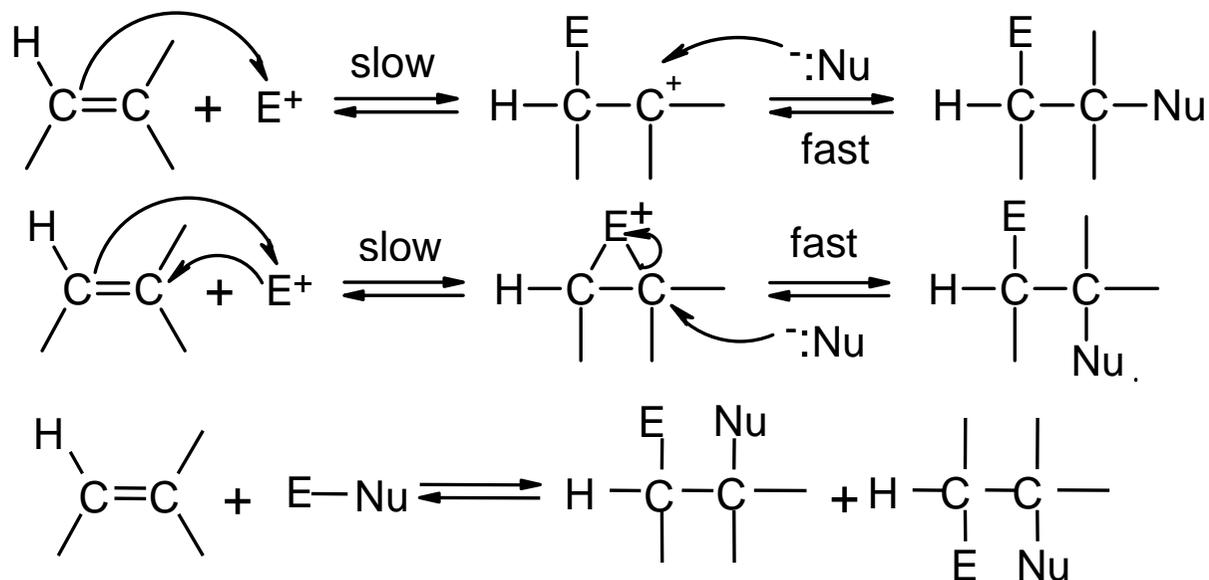
## 2. Mechanism for acidic condition



### Typical Features

- Stereochemistry is not a concern (no way to determine whether syn or anti addition)
- Equilibrium favors the **products** or the **reactants**
  - $\Rightarrow$  strong  $\text{Nu}^-$ , equilibrium is toward the product
  - $\Rightarrow$  Structural effect, which will be discussed

# Electrophilic additions to C=C vs to C=O

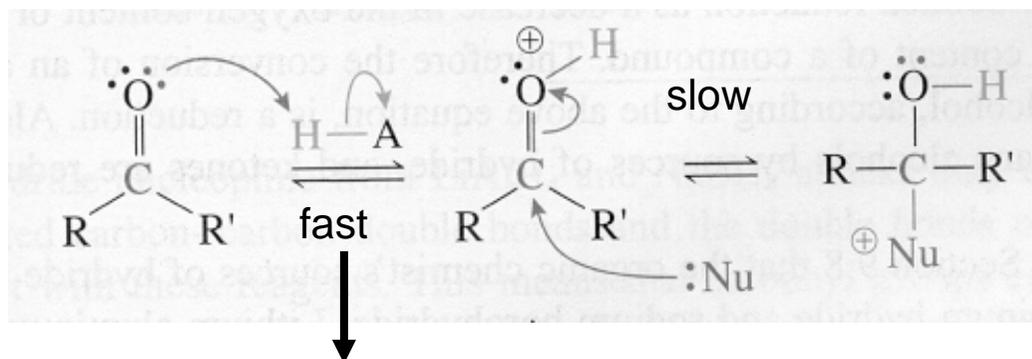


regioselective  
non-stereoselective  
(Anti + syn addition)

regioselective  
Stereoselective  
(Anti addition)

regioselective  
Stereoselective  
(syn addition)

See p454-456

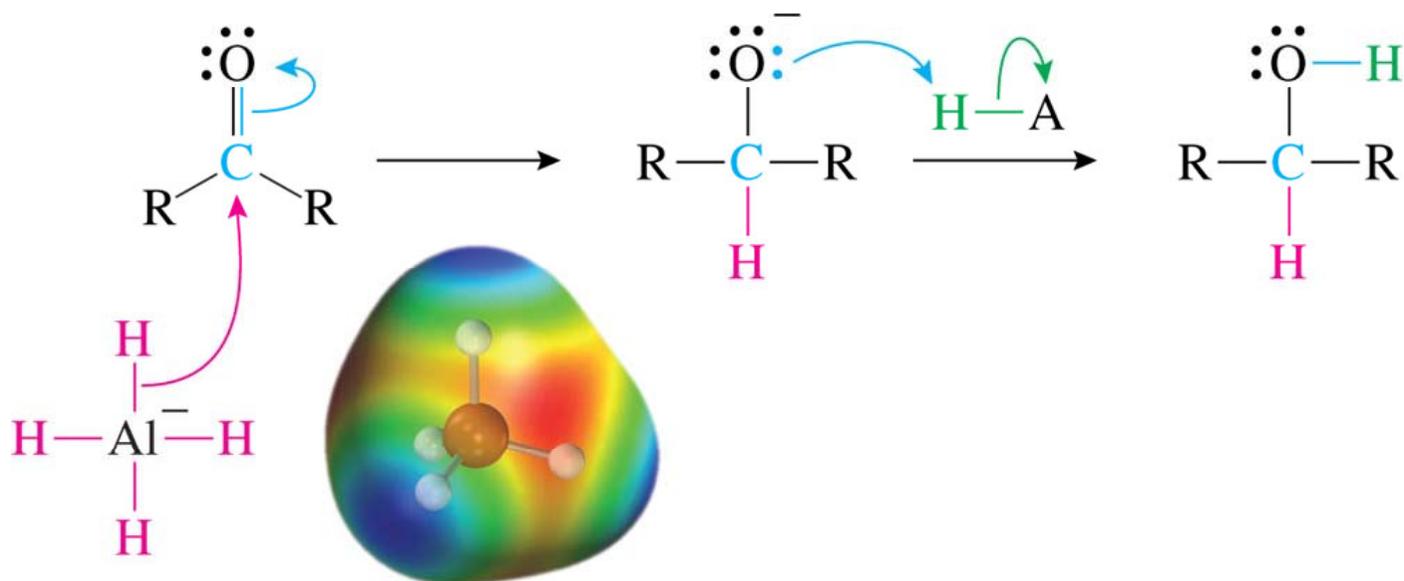


Acid base reaction

Nothing to do with  
regiochemistry and  
stereochemistry

## 18.2 Addition of Hydride; Reduction of Aldehydes and Ketones

Hydride  $\Rightarrow$   $\text{H}^\ominus \Rightarrow$  very strong nucleophile ( $\text{pK}_a$  of  $\text{H}_2 = 35$ )



This is a reduction, because hydrogen content increase

**Aldehyde  $\Rightarrow$  primary alcohol, Ketone  $\Rightarrow$  secondary alcohol**

Sources of hydride nucleophile: **Lithium aluminium hydride (LiAlH<sub>4</sub>)**  
or **Sodium borohydride (NaBH<sub>4</sub>)**

LiAlH<sub>4</sub> and NaBH<sub>4</sub> only react with carbonyls not C-C double bonds!

## LiAlH<sub>4</sub> vs NaBH<sub>4</sub>

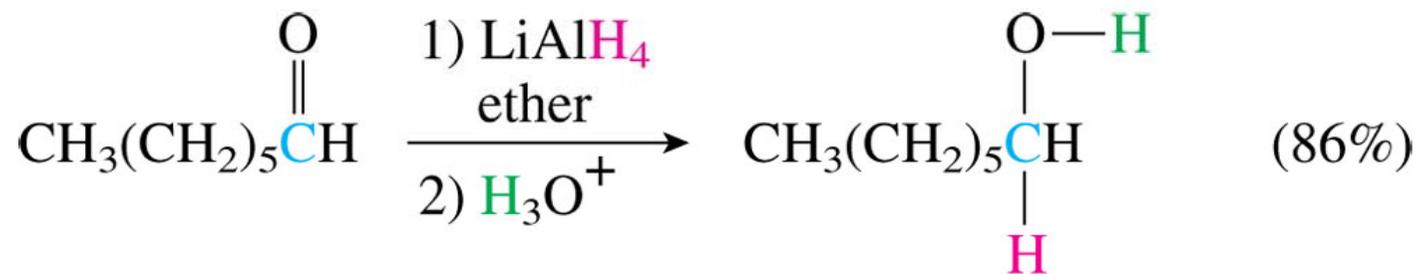
LiAlH<sub>4</sub> is very reactive ⇒ cannot use a solvent with acidic proton  
**alcohol ⇒ explosive!**

**Ether can be used as a solvent!**

NaBH<sub>4</sub> is less reactive, therefore alcohols can be used as solvents



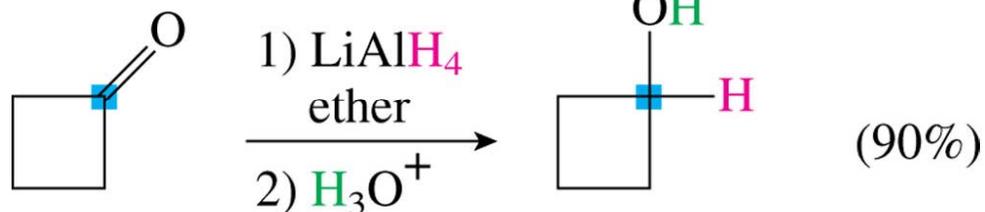
# Examples



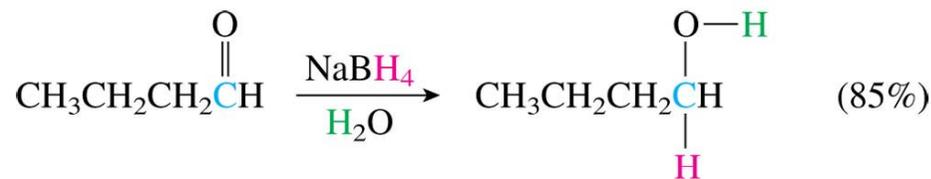
Heptanal

1-Heptanol

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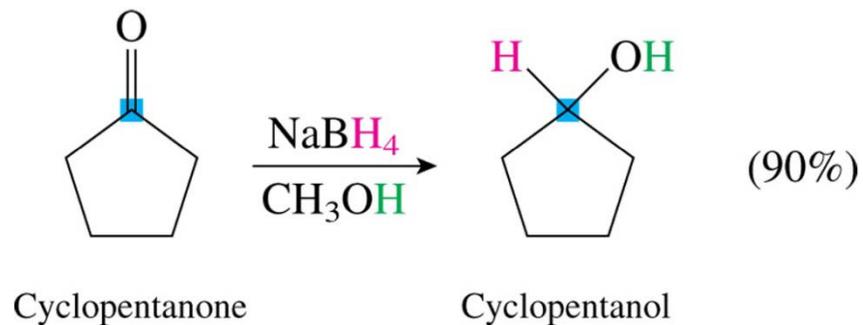
© 2006 Brooks/Cole - Thomson



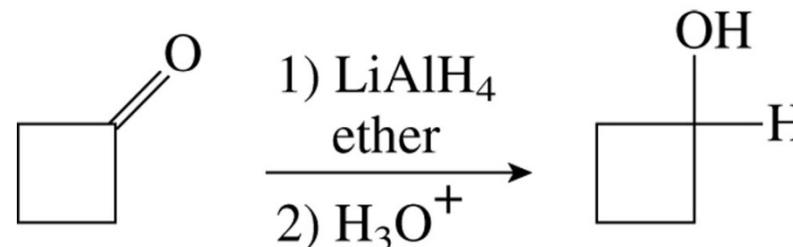
Butanal

1-Butanol

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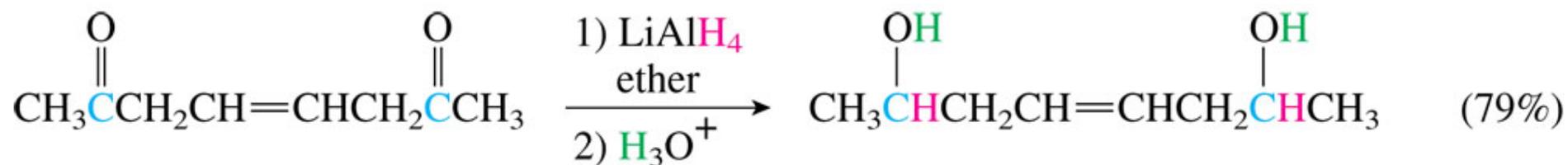


© 2006 Brooks/Cole - Thomson

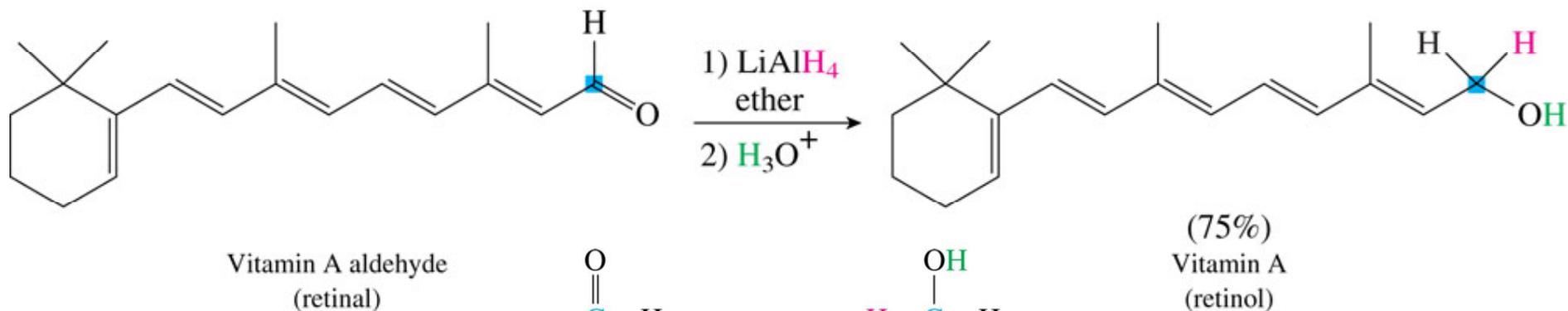


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# $\text{LiAlH}_4$ and $\text{NaBH}_4$ only react with carbonyls **not C-C double bonds!**



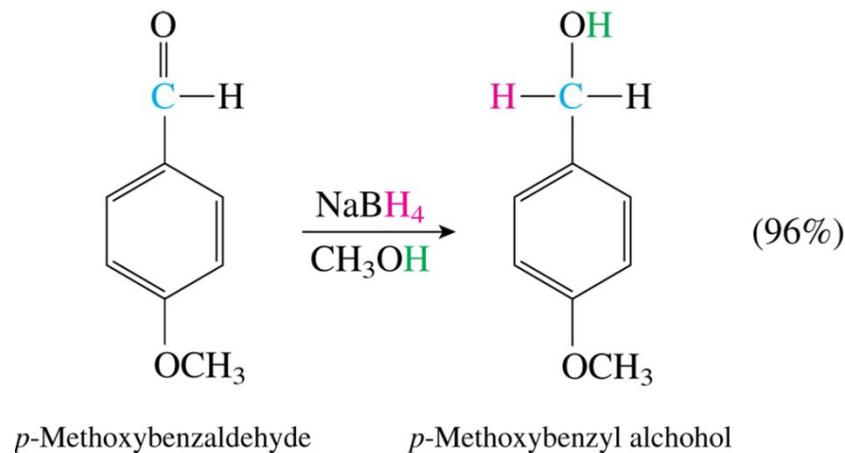
© 2006 Brooks/Cole - Thomson



Vitamin A aldehyde  
(retinal)

(75%)  
Vitamin A  
(retinol)

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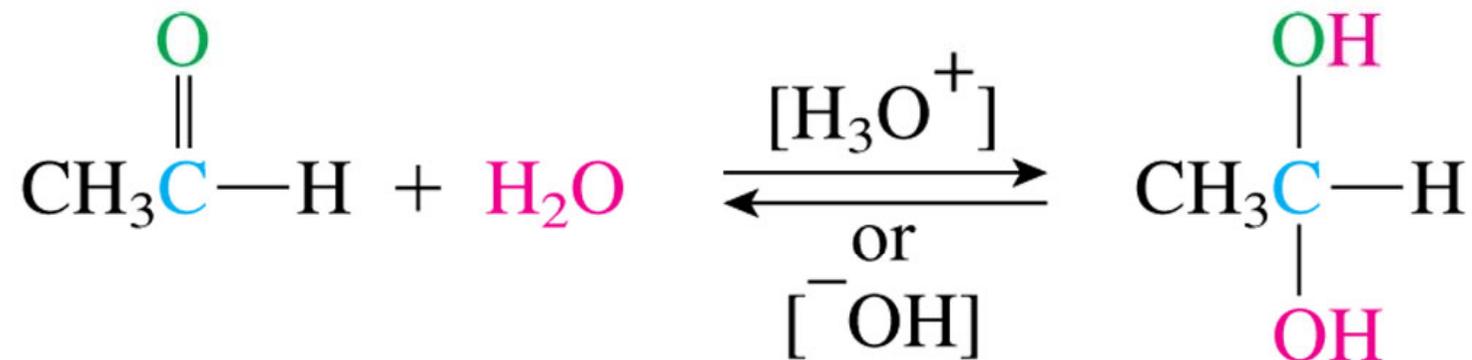


*p*-Methoxybenzaldehyde

*p*-Methoxybenzyl alcohol

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## 18.3 Addition of Water



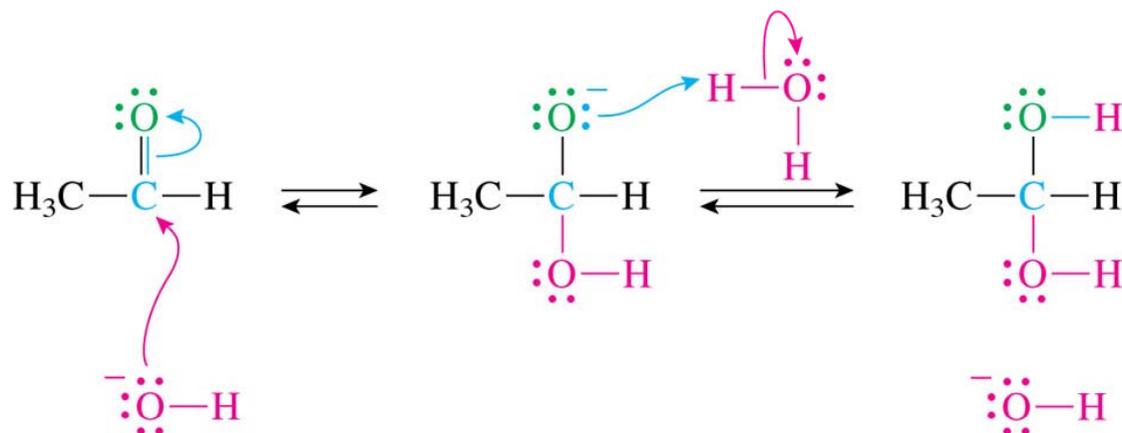
Acetaldehyde  
(ethanal)

A hydrate

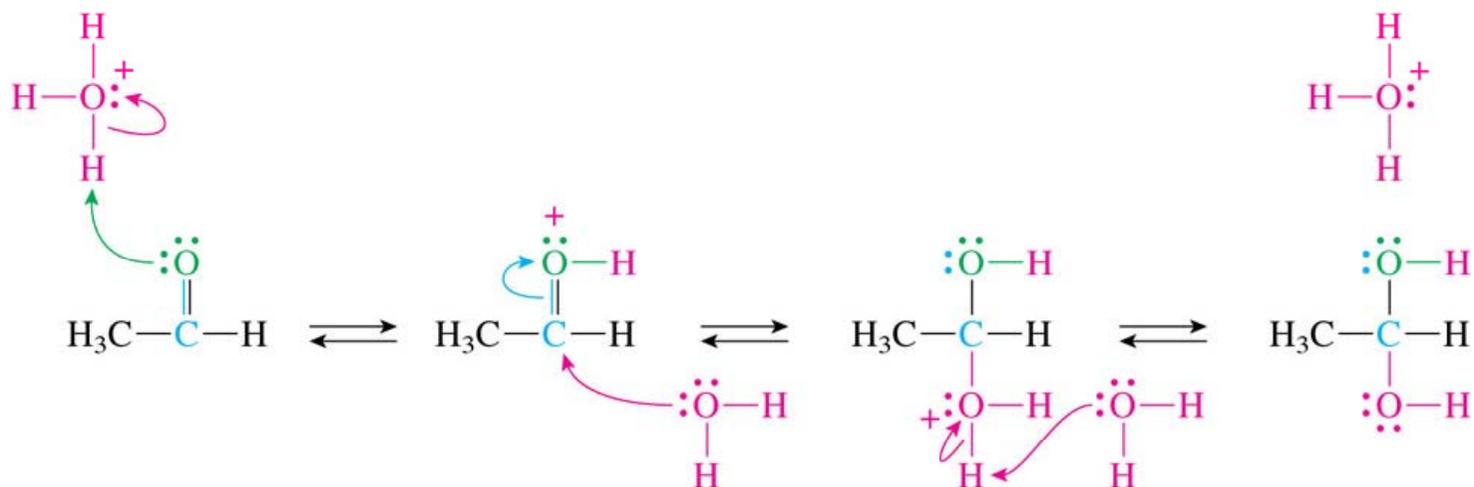
© 2006 Brooks/Cole - Thomson

**Possible both in basic and acidic conditions !**

### Mechanism in Base



### Mechanism in Acid

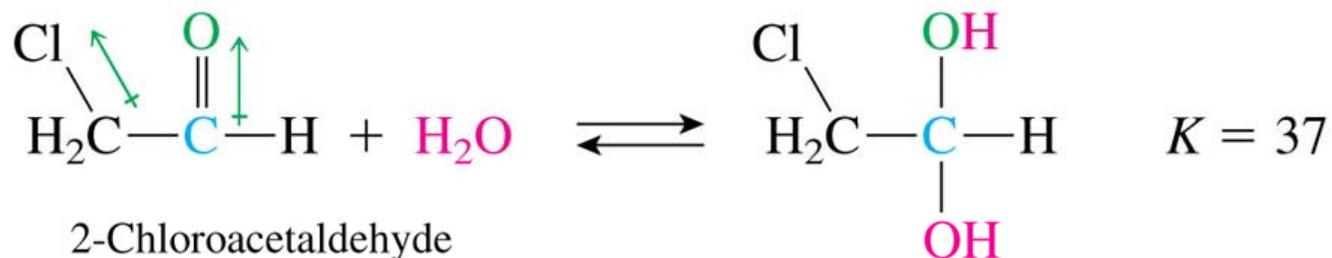
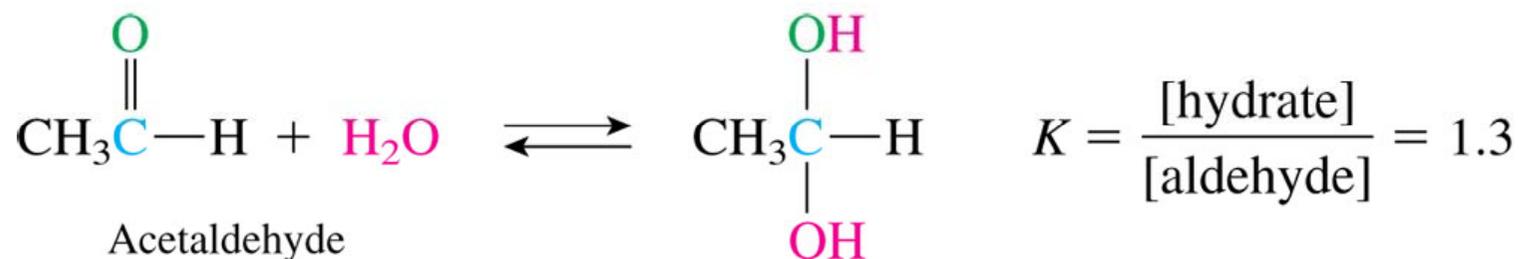


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**Acid and base is consumed in the first step and then regenerated in the last step, therefore it is a acid catalyzed or base catalyzed reaction**

# The structure of the carbonyl compound on the equilibrium constant

## 1. Inductive effect



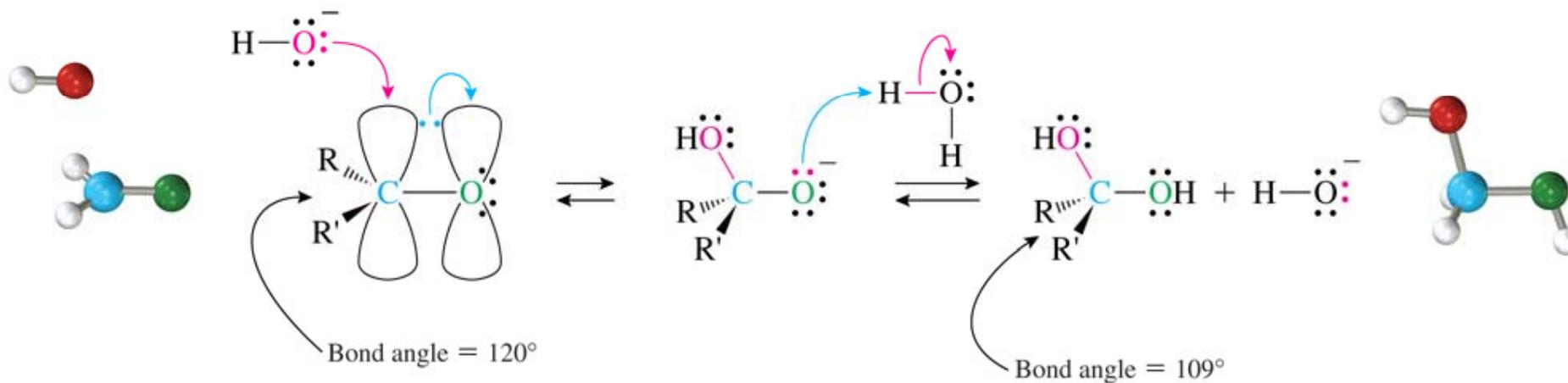
The interaction between the dipoles destabilizes the reactant.

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**e<sup>-</sup> w/drawing group: destabilize the aldehyde or ketone**

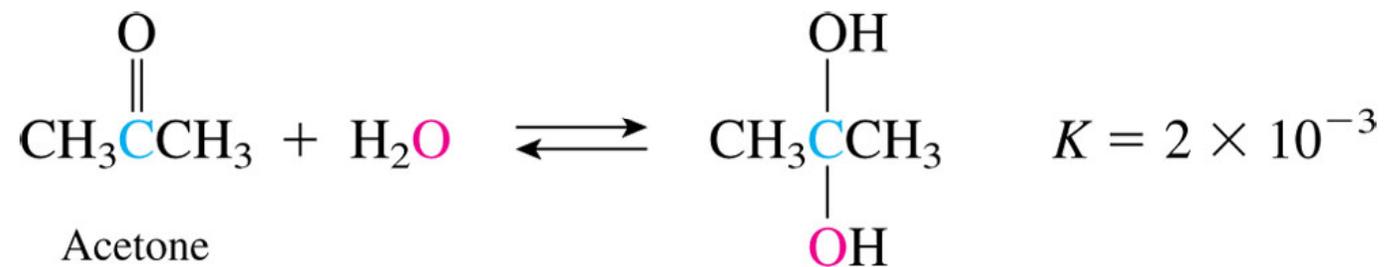
**e<sup>-</sup> donating group: stabilize the aldehyde or ketone**

## 2. Steric effect



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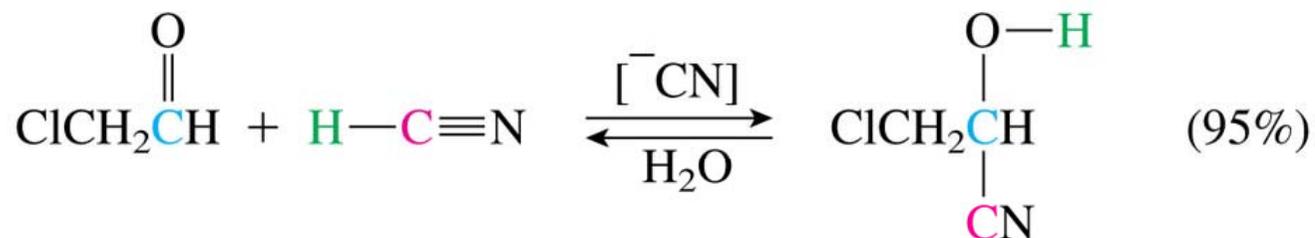
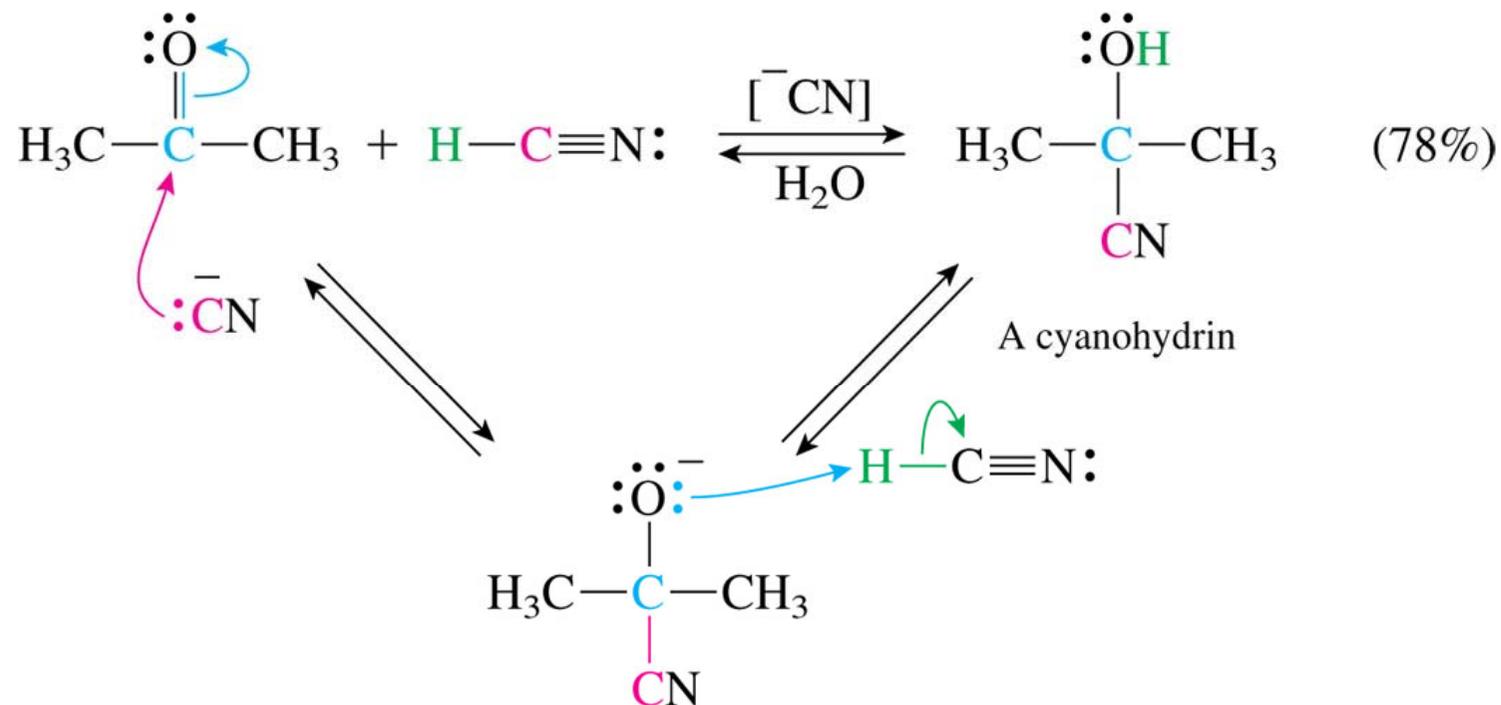
**Larger substituent shift the equilibrium toward the reactant**



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Compound	K	Comments
$\begin{array}{c} \text{O} \\    \\ \text{HCH} \end{array}$ Formaldehyde	$2 \times 10^3$	Formaldehyde has a large equilibrium constant for hydrate formation because it has no bulky, electron-donating alkyl groups. It is more than 99.9% in the hydrated form in aqueous solution. The "formaldehyde" or formalin used to preserve biological samples is actually a concentrated solution of the hydrate in water. Formaldehyde itself is a gas.
$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{CH} \end{array}$ Acetaldehyde	1.3	The more hindered carbonyl carbon of acetaldehyde is less reactive. Acetaldehyde is slightly more than 50% hydrated in aqueous solution.
$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{CCH}_3 \end{array}$ Acetone	$2 \times 10^{-3}$	Acetone, with an even more hindered carbonyl carbon, forms only a negligible amount of hydrate.
$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{CH}_2\text{CH} \end{array}$	0.71	As can be seen by comparing these two examples to acetaldehyde, an increase in steric hindrance further from the carbonyl carbon results in only a small decrease in the equilibrium constant.
$\begin{array}{c} \text{CH}_3 \quad \text{O} \\   \quad    \\ \text{CH}_3\text{CH}-\text{CH} \end{array}$	0.44	
$\begin{array}{c} \text{O} \\    \\ \text{ClCH}_2\text{CH} \end{array}$	37	As can be seen by comparing these two examples to acetaldehyde, the inductive effect of chlorine shifts the equilibrium toward the hydrate. When three chlorines are present, the product, known as chloral hydrate, can be isolated (mp = 57°C). It is a powerful hypnotic and is the active ingredient of a "Mickey Finn," or knockout drops.
$\begin{array}{c} \text{O} \\    \\ \text{Cl}_3\text{CCH} \end{array}$	$2.8 \times 10^4$	

## 18.4 Addition of Hydrogen Cyanide

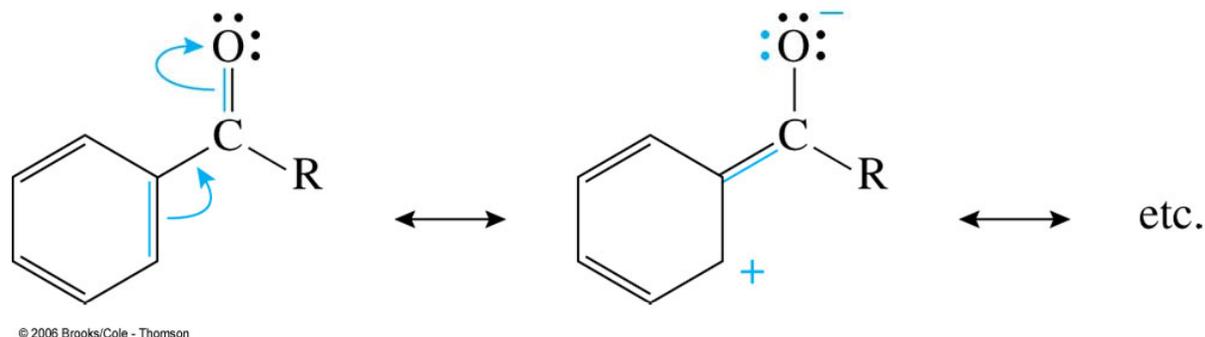


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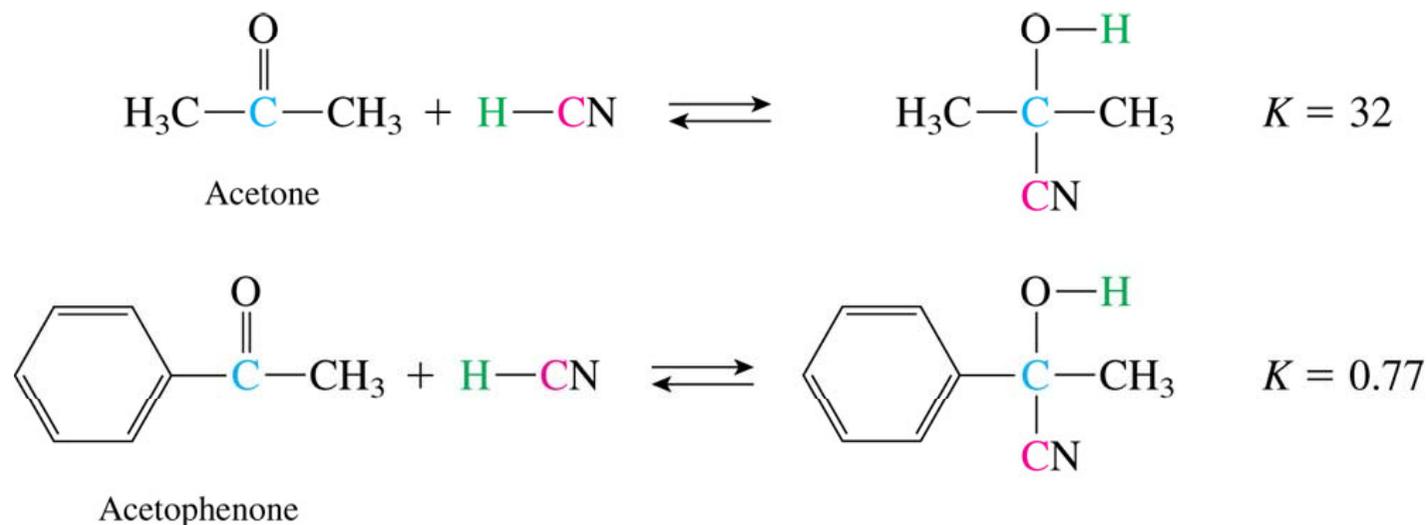
**K** cyanohydrin formation > **K** hydrate formation

⇒ **CN<sup>-</sup>** is stronger nucleophile than **H<sub>2</sub>O**

## Unfavorable equilibrium constant for ketones conjugated with benzene



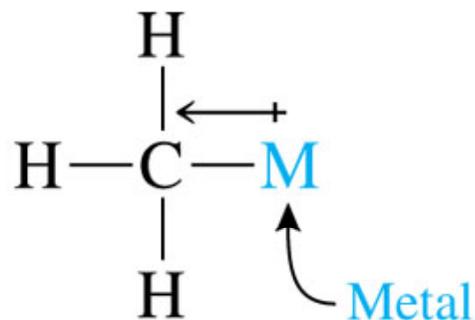
**Reactant has resonance stabilization**  
⇒ **reduced electrophilicity of the carbonyl carbon**



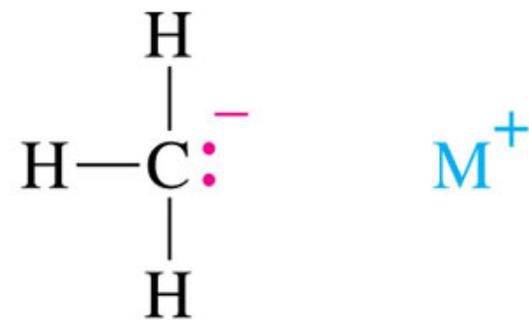
# 18.5 Preparation and Properties of Organometallic Nucleophiles

Organometallic Nu:  $R-M(\text{metal}) \equiv R^{\delta-}M^{\delta+}$

⇒ R is more electronegative



often reacts  
like



An organometallic  
compound

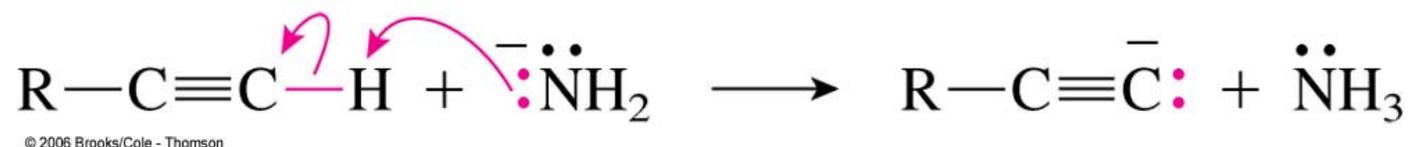
A carbanion  
(nucleophile/base)

A metal  
cation

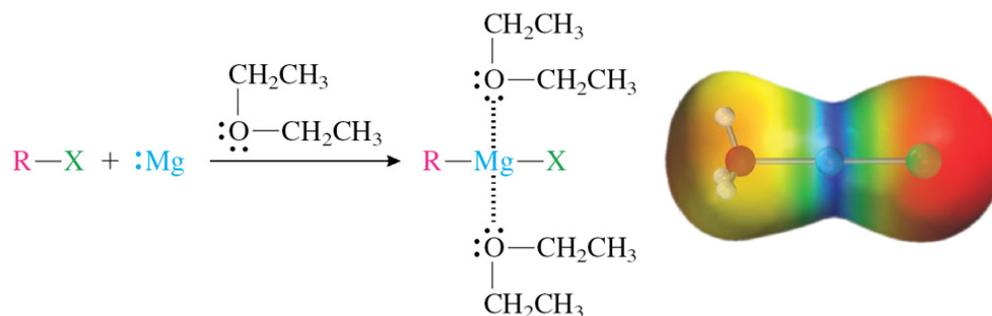
# Examples

## 1. Acetylide anion (see Section 10.12): 1-alkyne + sodium amide

⇒ Hydrogens bonded to  $sp^3$  and  $sp^2$  C's cannot be removed because not acidic enough ( $sp$ : pKa ~25,  $sp^2$ : pKa ~45,  $sp^3$  pKa ~50)



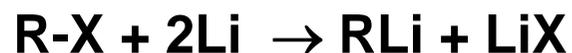
## 2. Grignard reagent (organometallic halides)



### Reactivity of R-X

R-I > R-Br > R-Cl, R-F is not used ⇒ see leaving group property

## 3. Organolithium reagent



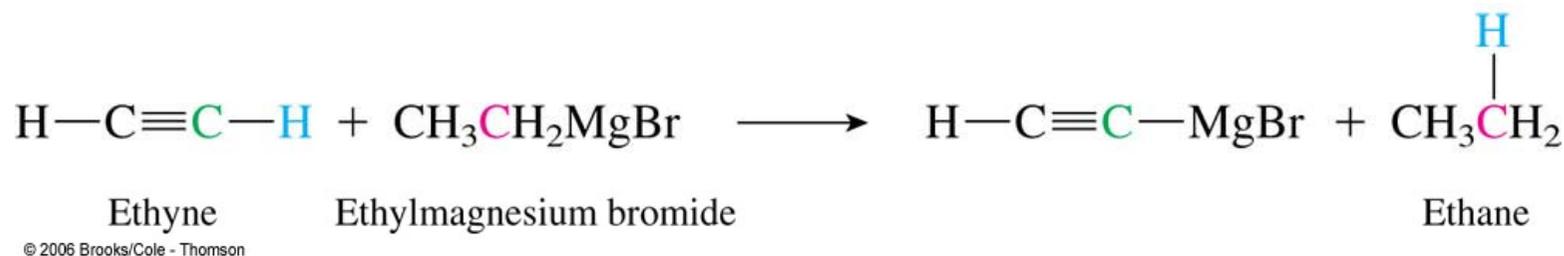
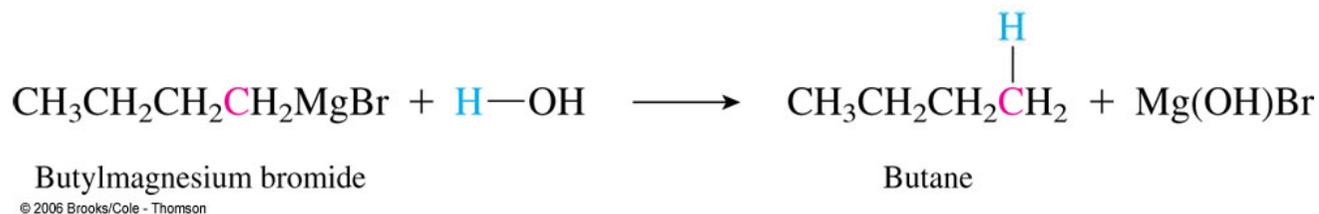
# Chemical behavior of organometallic reagent

Very close to carbanion and **strong base**, although M-R is a covalent bond(?)

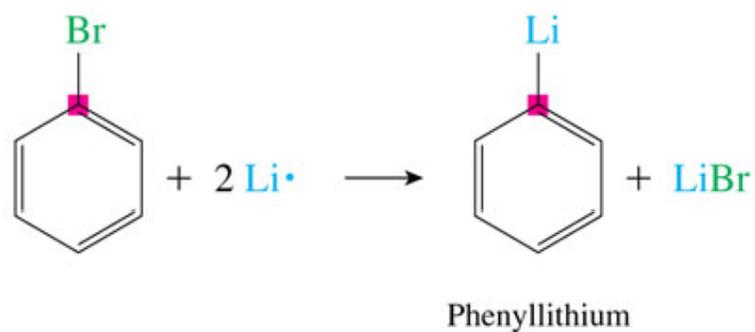
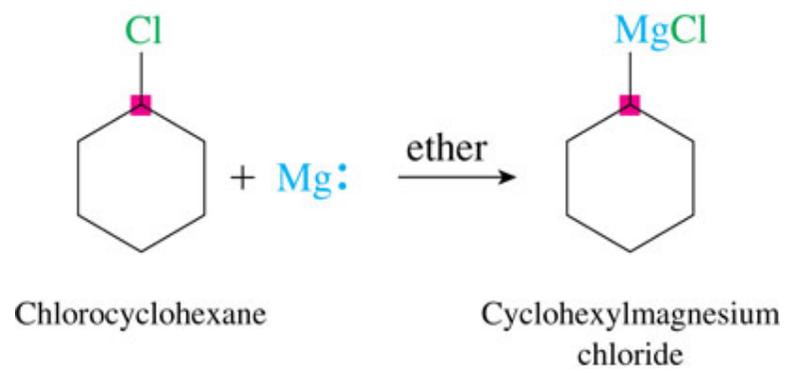
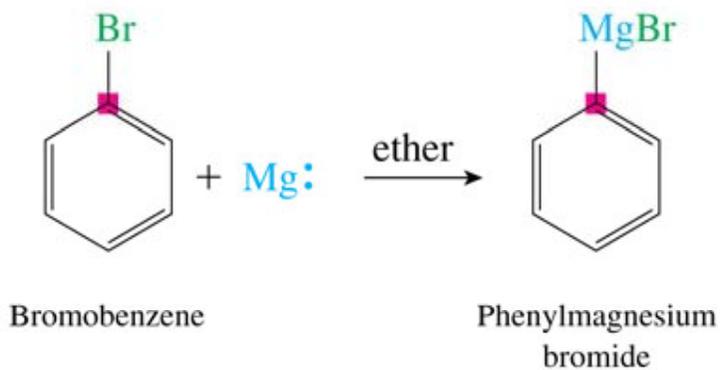
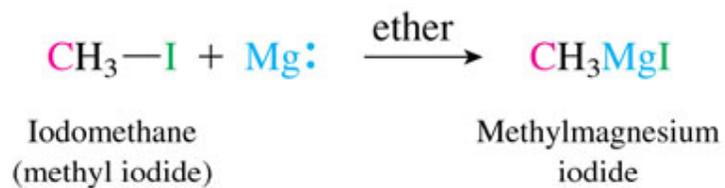
Therefore it reacts rapidly with even with weak acids

⇒ avoid compounds with acidic proton (-OH, -NH, ≡C-H...)

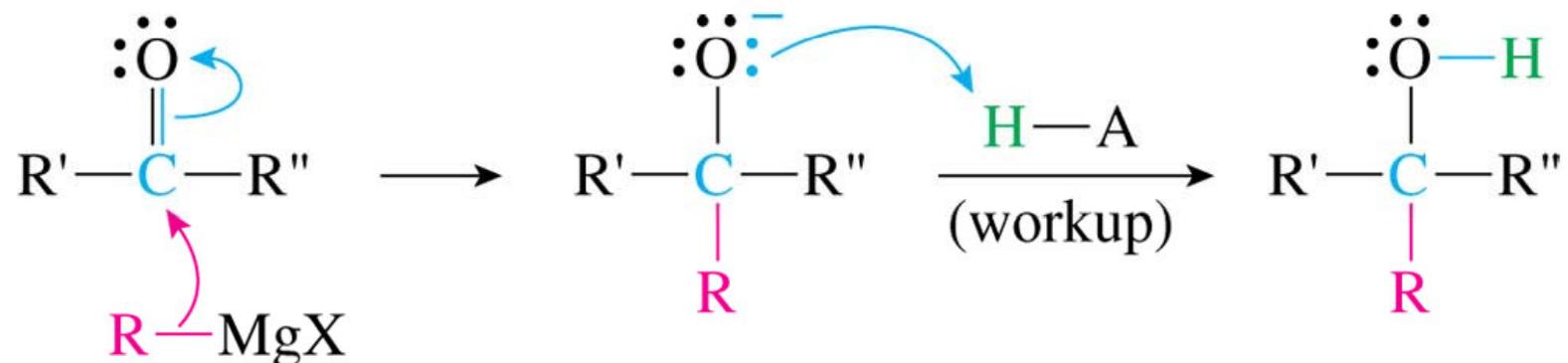
## Examples



**Therefore solvents for the reactions should be very dry !**



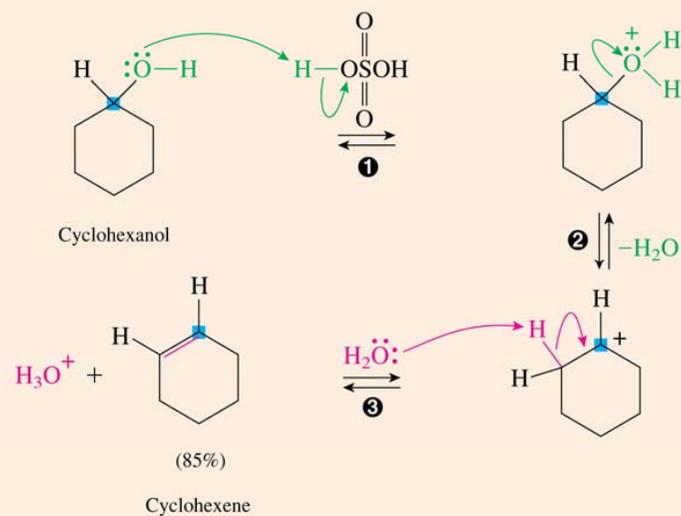
## 18.6 Addition of Organometallic Nucleophiles



1. This reaction is conducted in basic condition
  2. As  $^-R$  is very strong nucleophile the reaction is irreversible.
  3. In the protonation step, use weaker acids such as  $NH_4Cl$  ( $pK_a \sim 9$ )  
strong acid:acid catalyzed elimination rxn  $\Rightarrow$
- (see ch9. P378, next page)

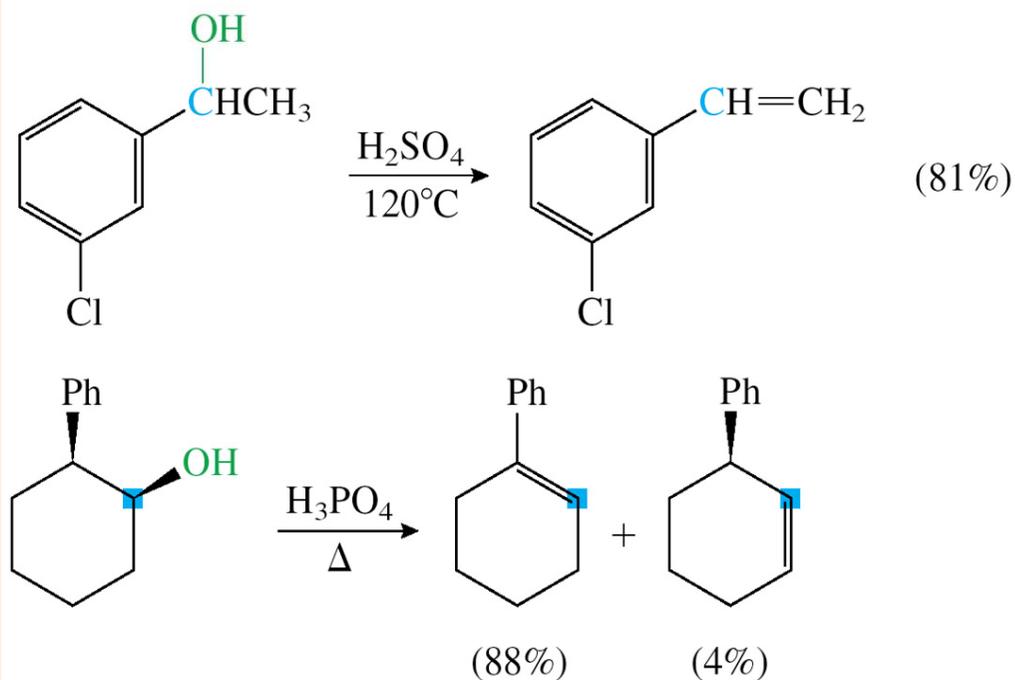
# Examples See p 379

❶ A proton is transferred from the acid to the oxygen of the alcohol, making a better leaving group.



❷ Water leaves, generating a carbocation. This is the E1 mechanism. Substitution by the competing  $S_N1$  mechanism is avoided because of the absence of good nucleophiles in the reaction mixture. In contrast, if an acid with a nucleophilic conjugate base, such as HCl, were used, substitution products would be formed.

❸ A weak base in the reaction mixture, such as water, removes a proton to produce the alkene. This step regenerates the acid that was used in ❶. Because the acid is not used up, the reaction is catalyzed by acid.

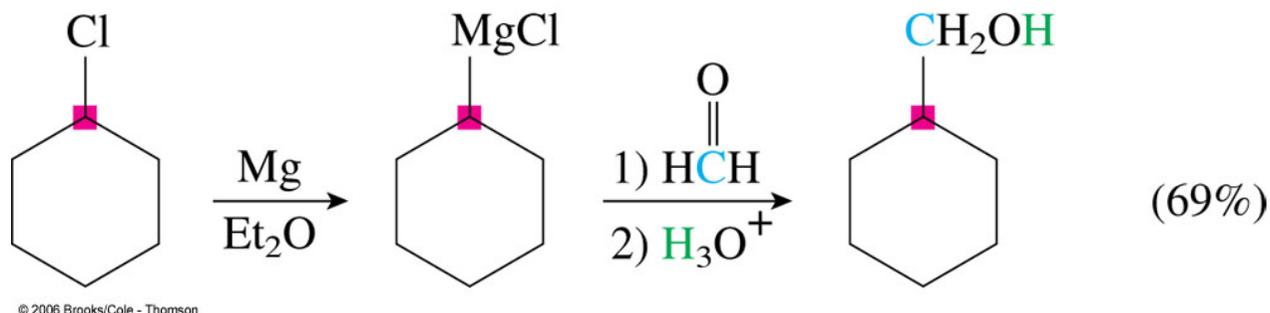


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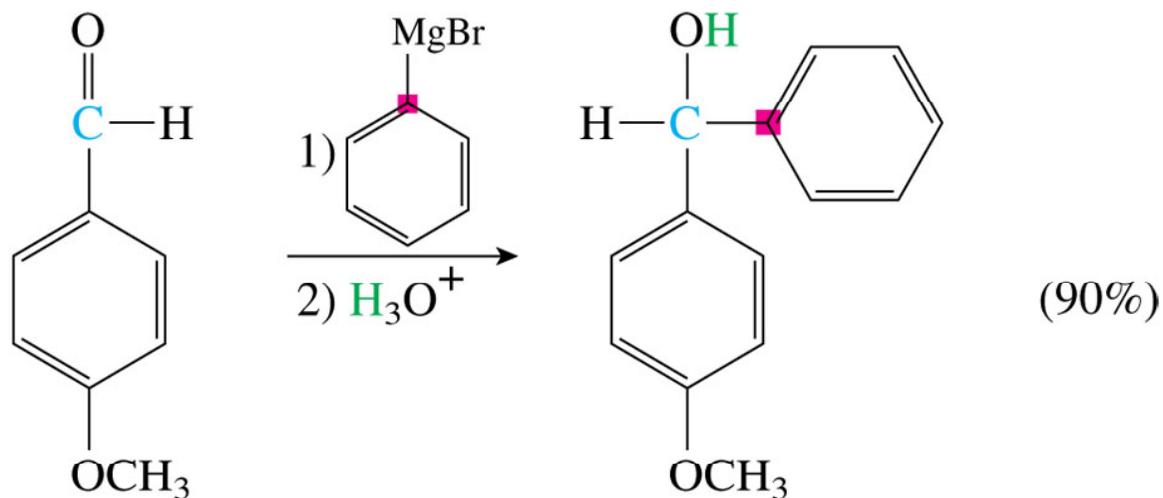
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# Reactions of Grignard reagent

## Grignard reagent $\Rightarrow$ formation of alcohols

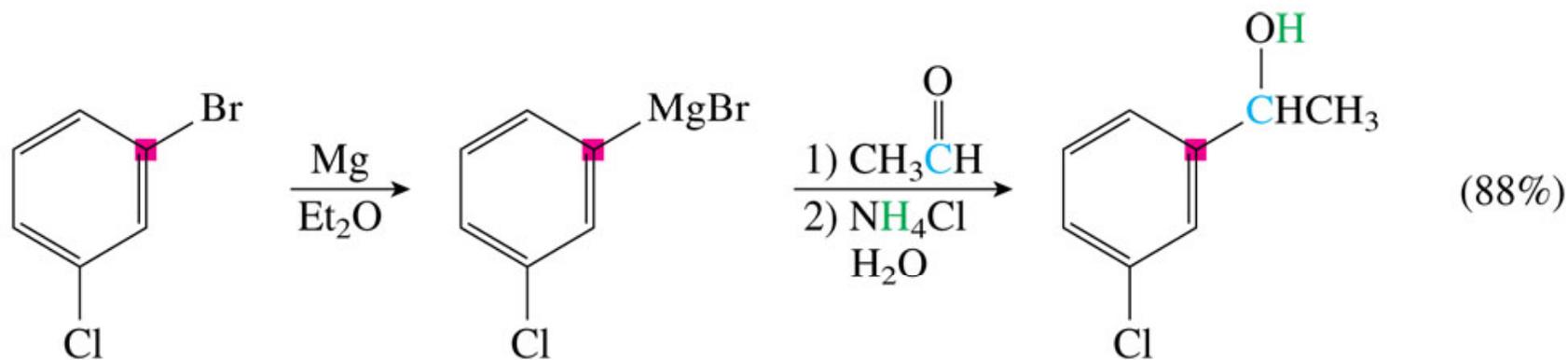


**Primary alcohol formation**



*p*-Methoxybenzaldehyde

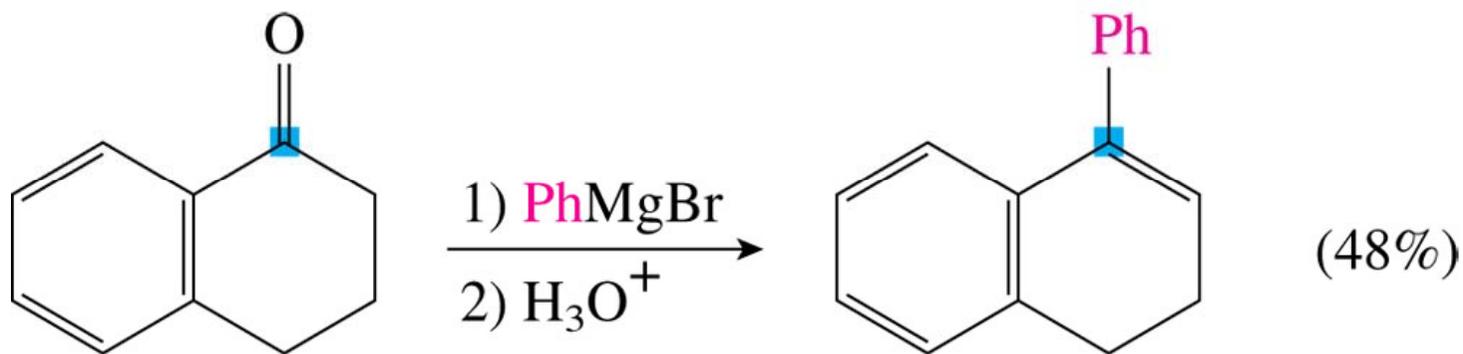
**Secondary alcohol formation**



*m*-Bromochlorobenzene

**Br is more reactive**

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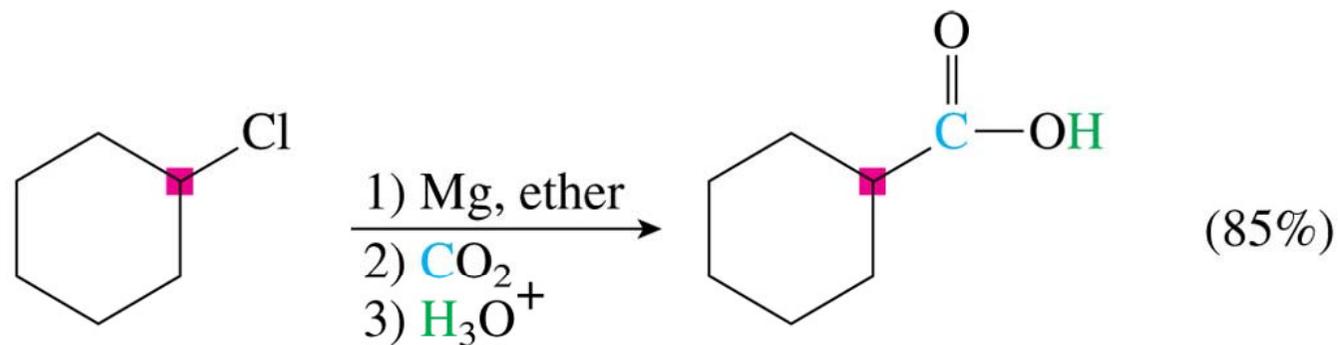
$\alpha$ -Tetralone

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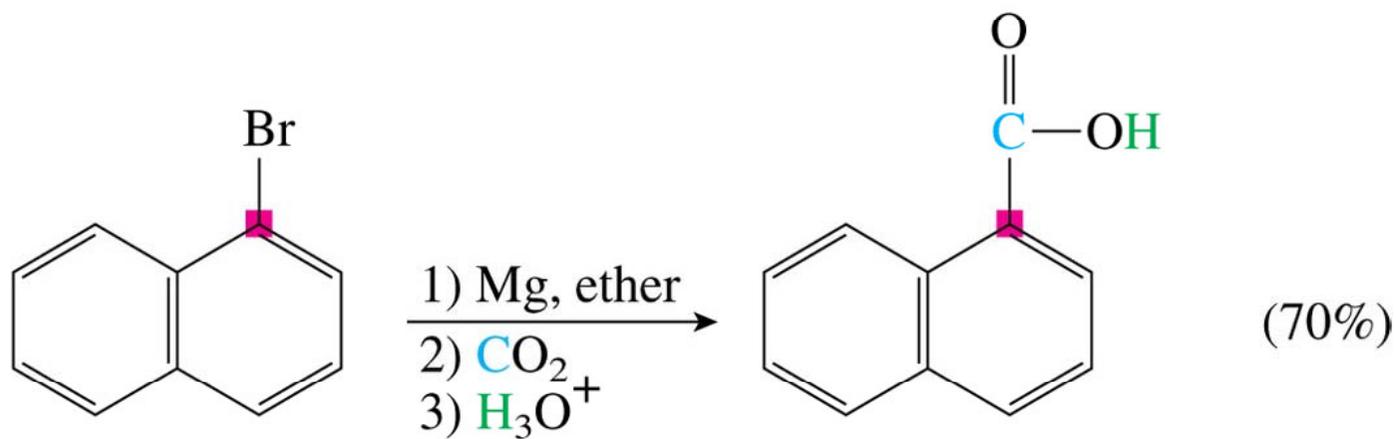
**When strong acid is used**



# Reactions of Grignard reagent



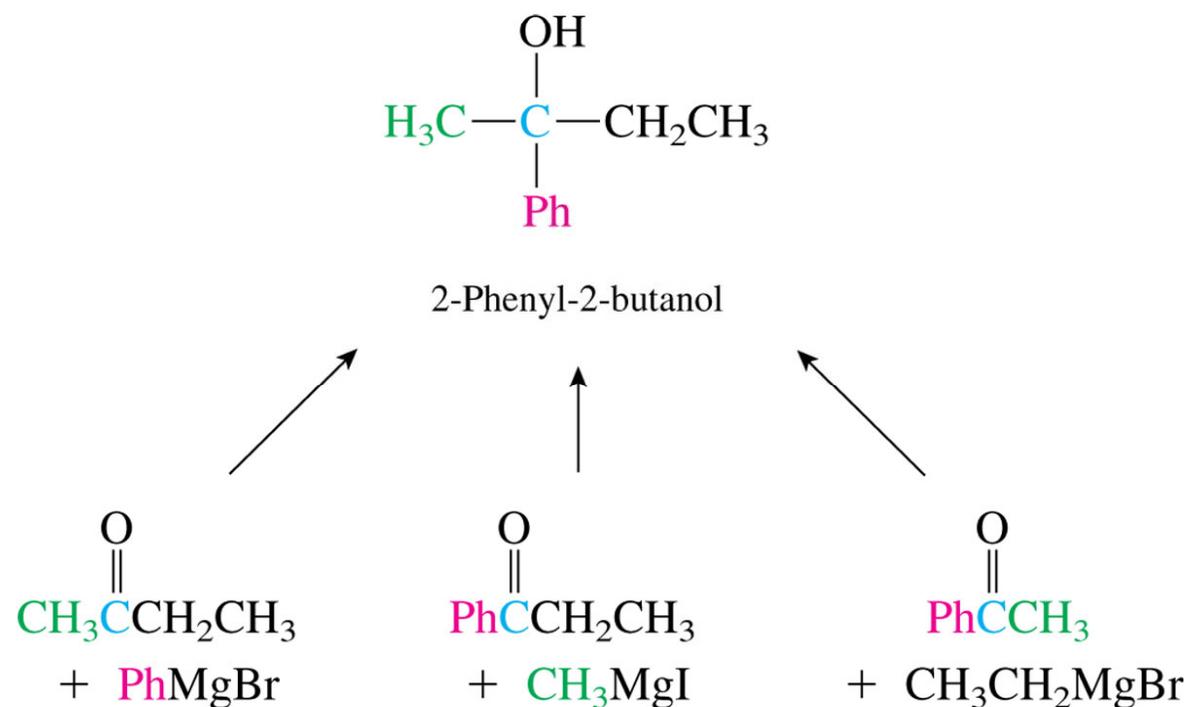
Chlorocyclohexane



1-Bromonaphthalene

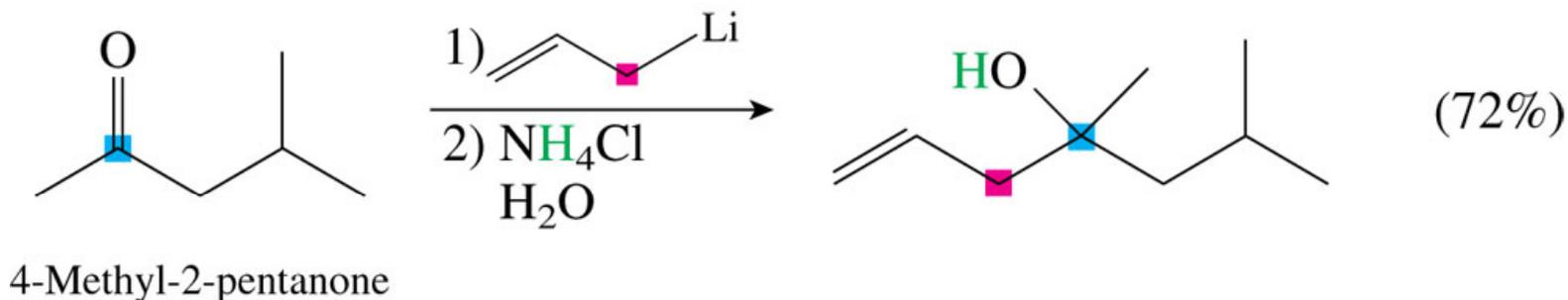
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# Reactions of Grignard reagent



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## Organolithium reagent $\Rightarrow$ formation of alcohols

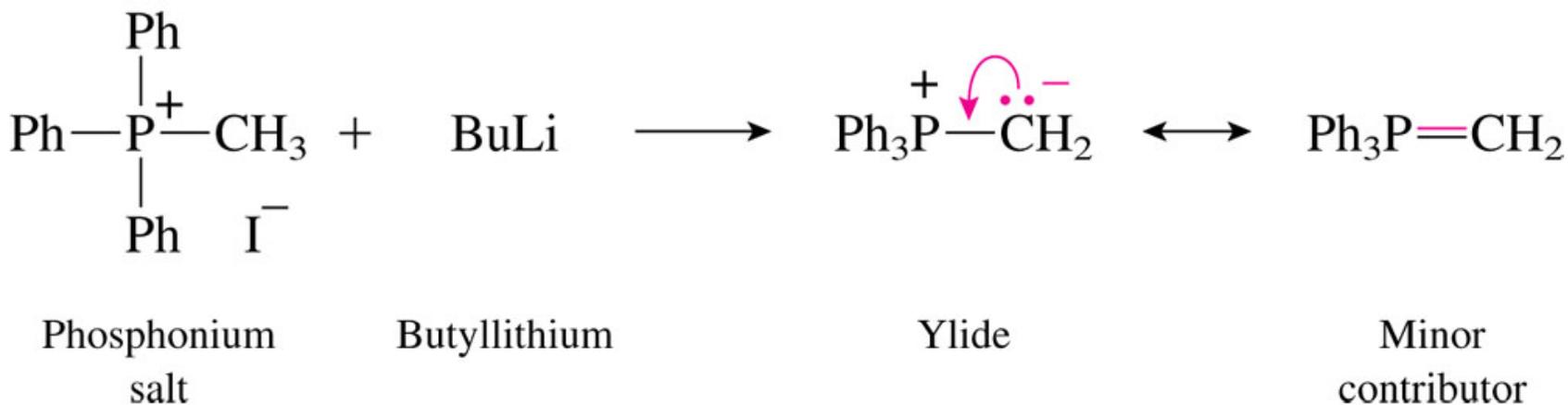


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# 18.7 Addition of Phosphorus Ylides; The Wittig Reaction

⇒ Method for the synthesis of alkene

⇒ 1979 Nobel prize Georg Wittig

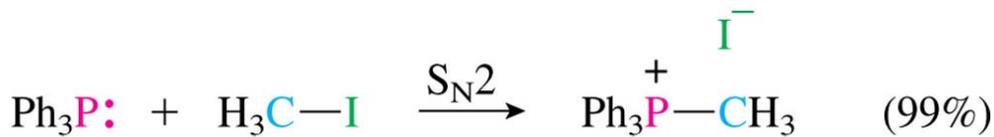


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↑  
Acid + base rxn  
Or S<sub>N</sub>2

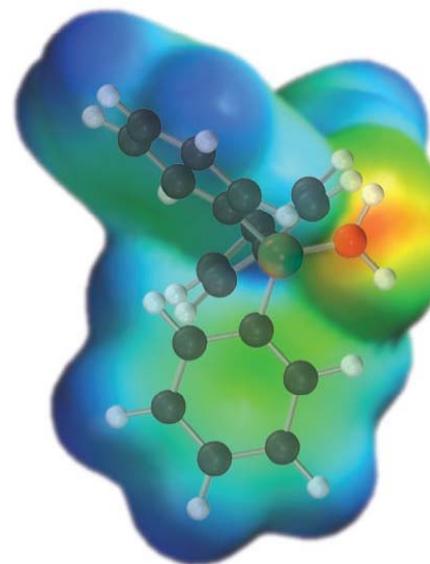
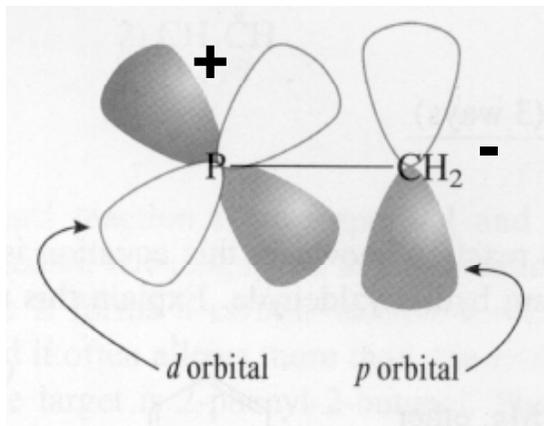
+  
**LiCl + butane**

**P(Ph)<sub>3</sub> + CH<sub>3</sub>I**  
(triphenyl phosphine)



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# Ylide



Electrostatic potential  
map of  $\text{Ph}_3\text{P}^+-\ddot{\text{C}}\text{H}_2^-$

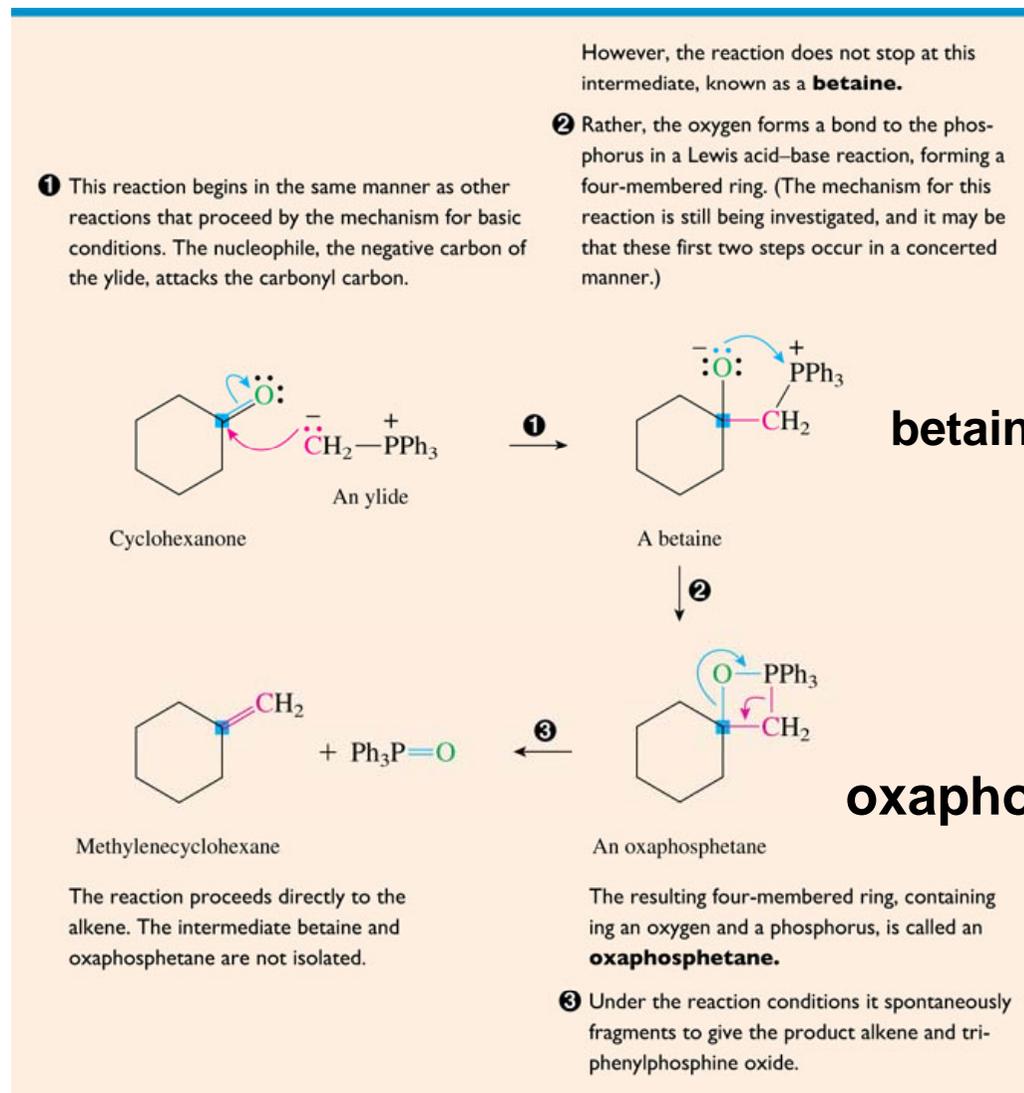
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**Ylide is stabilized by**

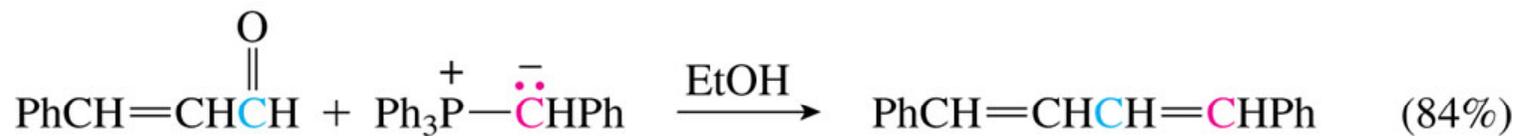
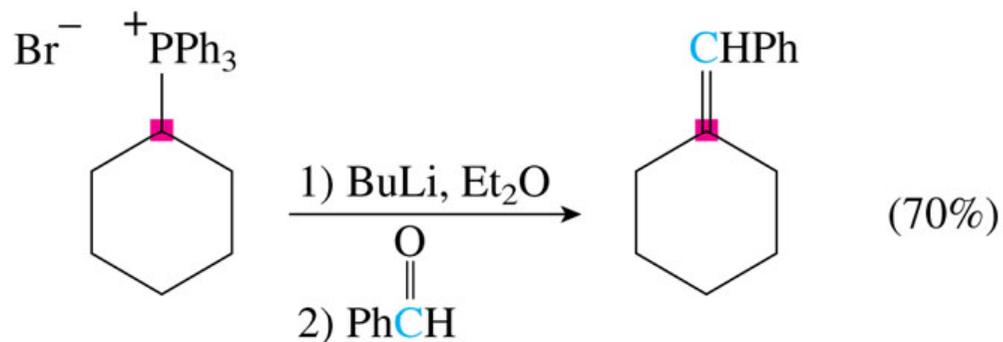
- 1. Inductive effect of the positive P atom**
- 2. Delocalization of the  $e^-$  pairs by the overlap**

# Mechanism of the Wittig Reaction

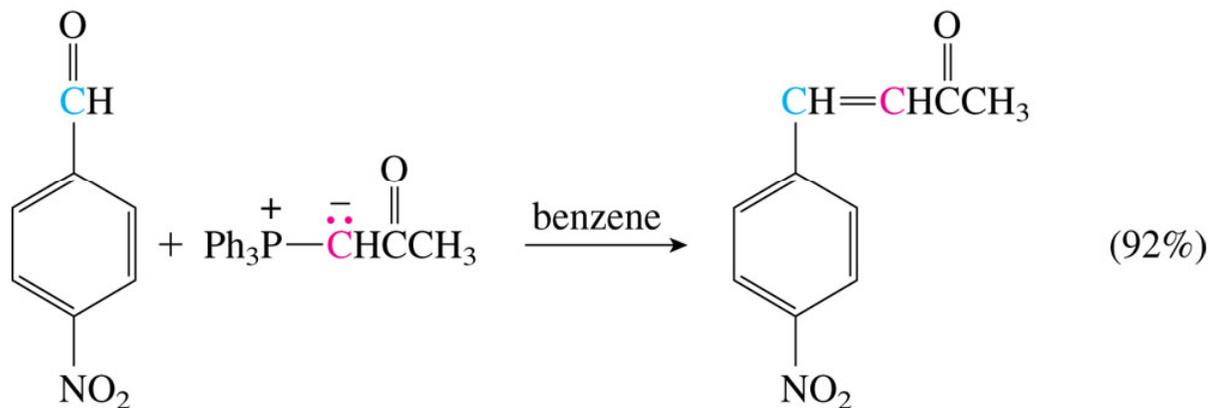
⇒ Addition-elimination reaction



# Examples of the Wittig Reaction

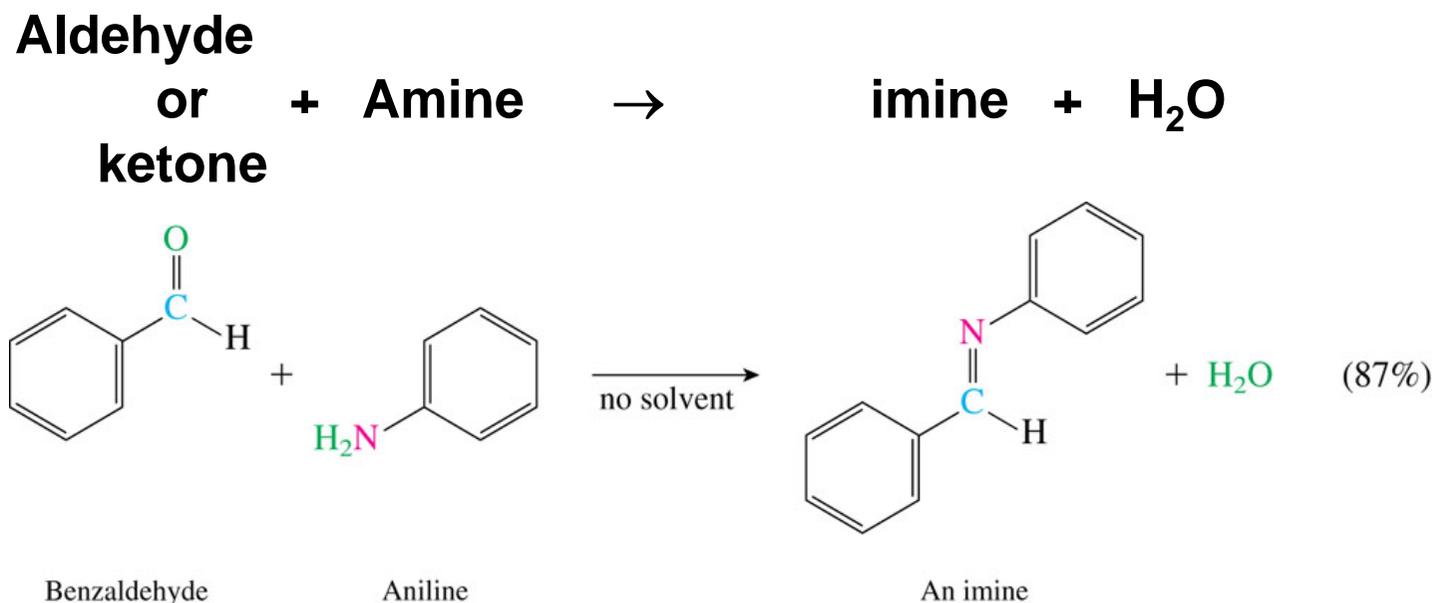


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## 18.8 Addition of Nitrogen Nucleophiles



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It is **Addition + Elimination**.

Optimum pH = 4 ~ 6

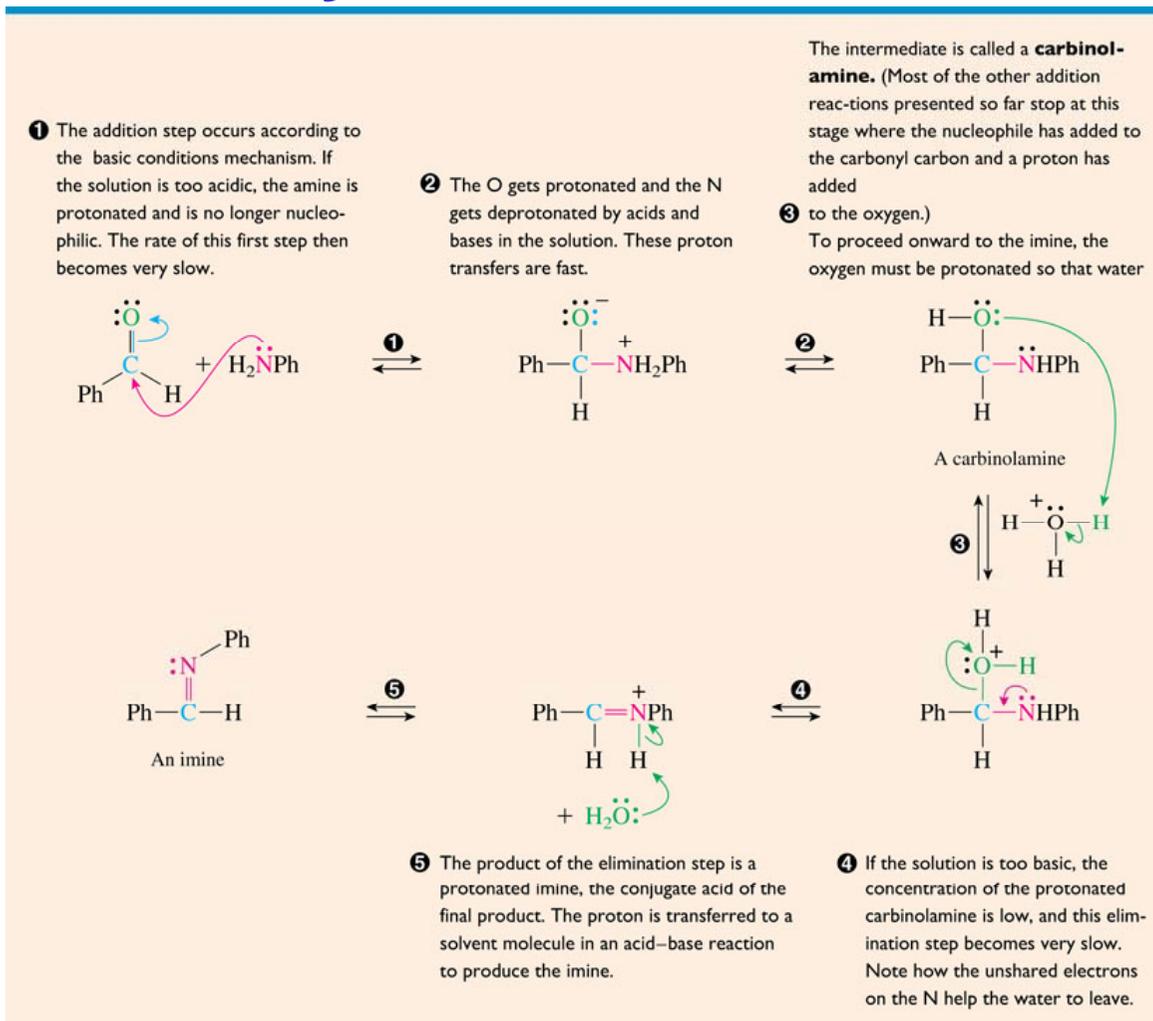
If too acidic, the amine nucleophile is protonated.

If too basic, the concentration of the protonated carbinolamine is low.

# Mechanism for the addition of an amine to an aldehyde to form an imine

At low pH, this amine is protonated.

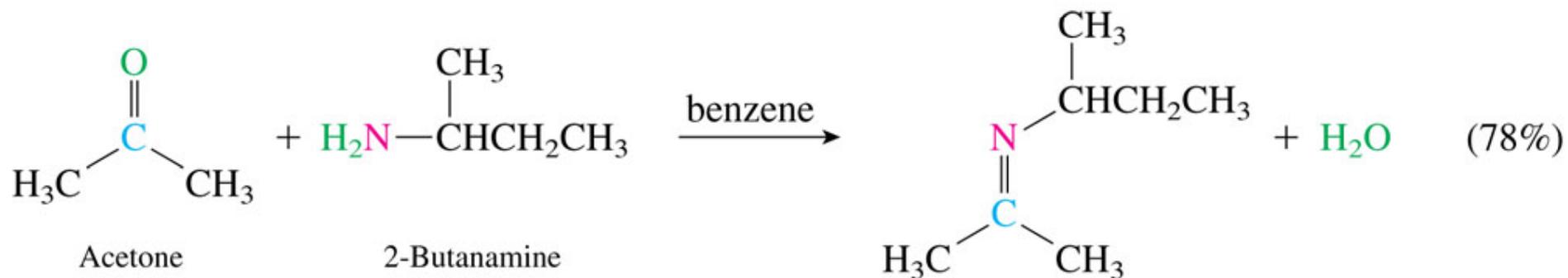
Then the conc. of Nu: is very low



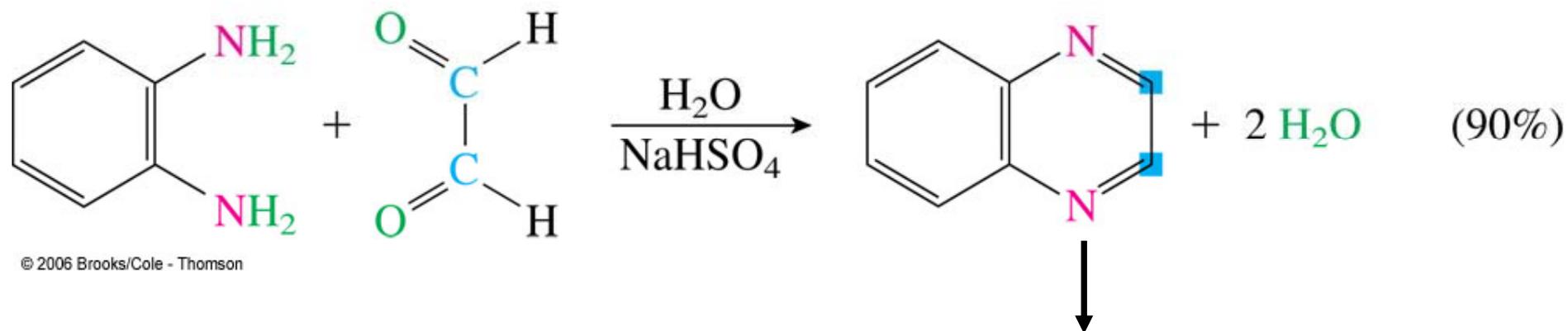
Optimum pH = 4 ~ 6 !

At higher pH, the conc. of  $\text{H}_3\text{O}^+$  is low. Then the conc. of the protonated carbinolamine is low

**C=O bond is stronger than C=N bond.  
To shift the equilibrium, remove the water!**



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**Equilibrium favors the product, because the product is very stable (resonance stabilization → aromatic ring formation)**

# Examples



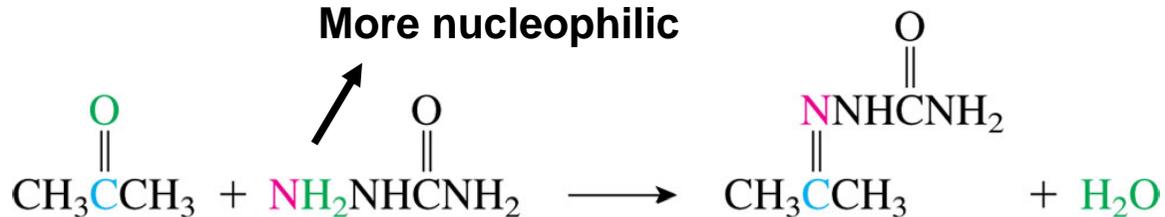
Hydroxylamine

mp: 57–58°C

An oxime

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More nucleophilic

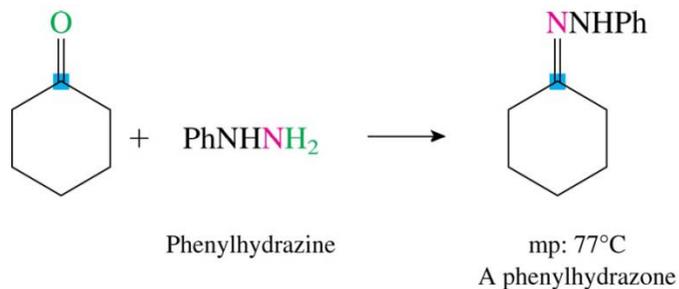


Semicarbazide

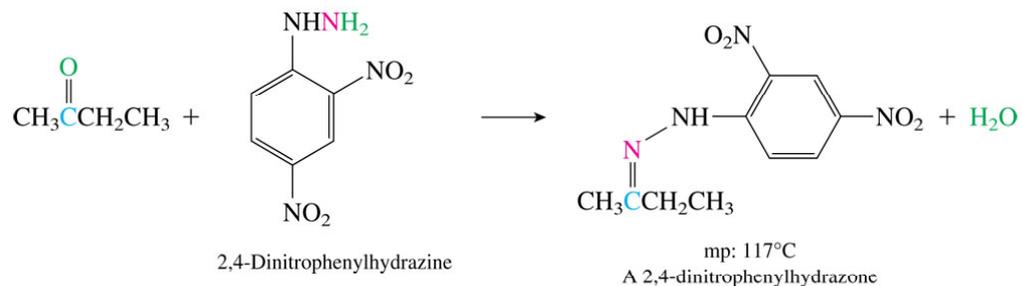
mp: 187°C

A semicarbazone

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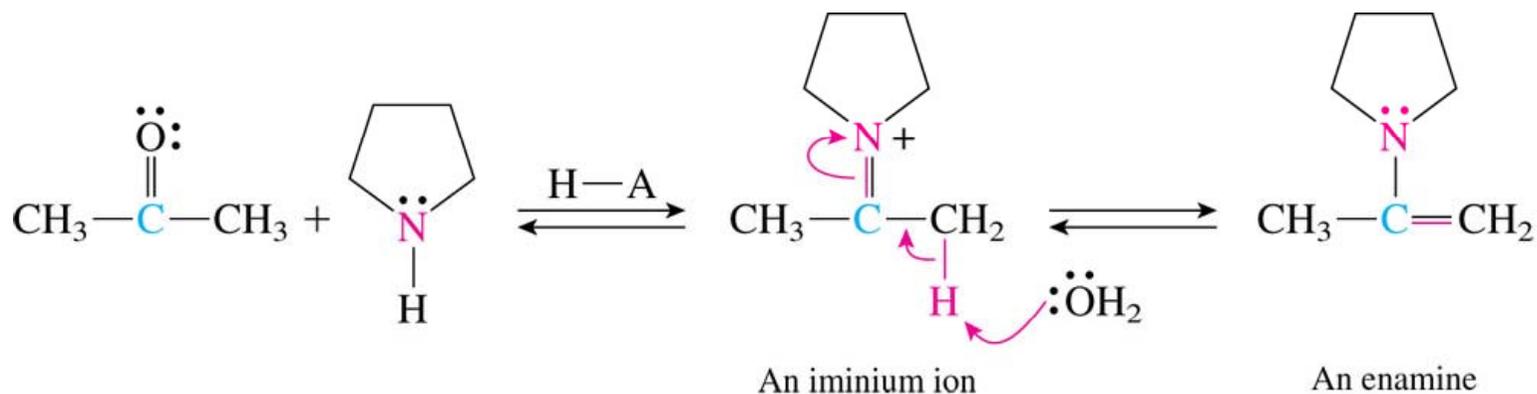


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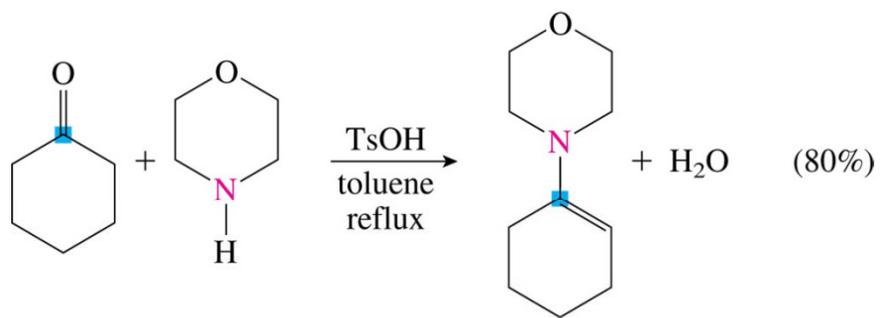


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Until now, the rxn of primary amines,  
 How about secondary amines? → Enamines are formed

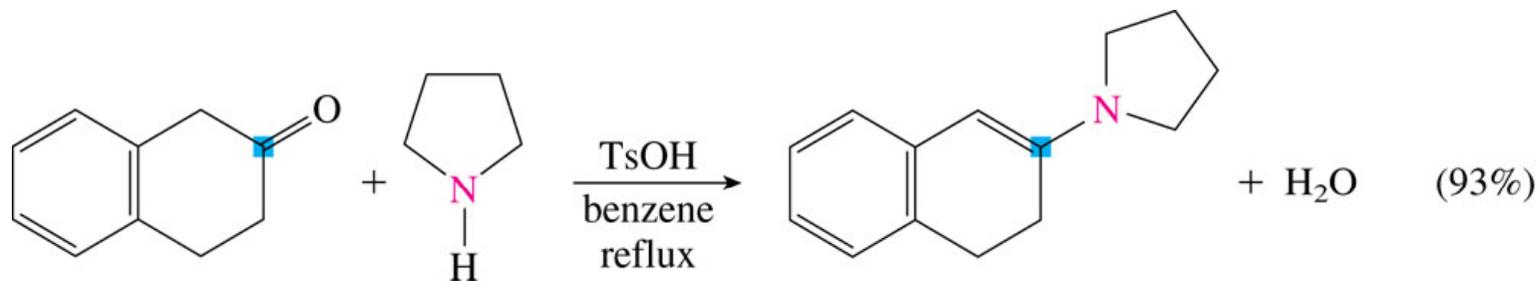


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**TsOH + reflux → removal of water**  
**Using Dean-Stark water separator**



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Imine

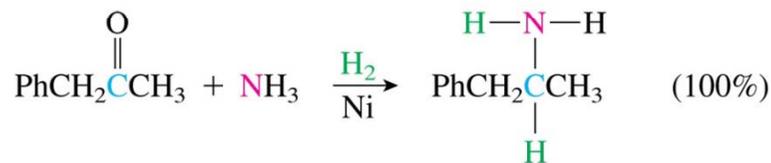


Amine

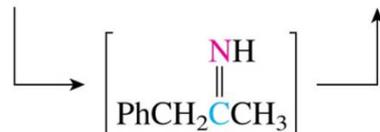
Reductive amination



Using reducing agent such as hydrogen and catalyst



Amphetamine

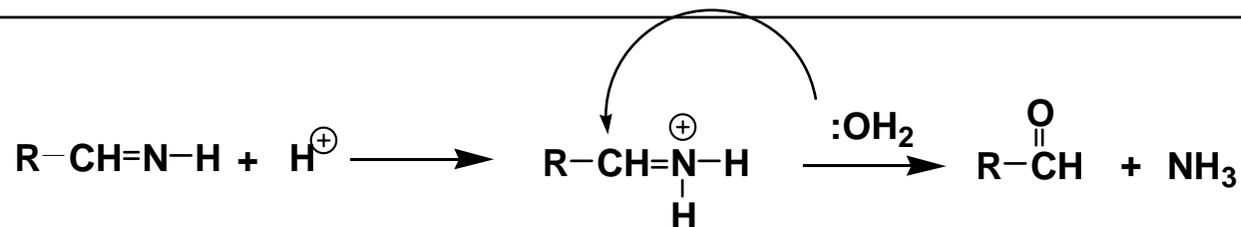


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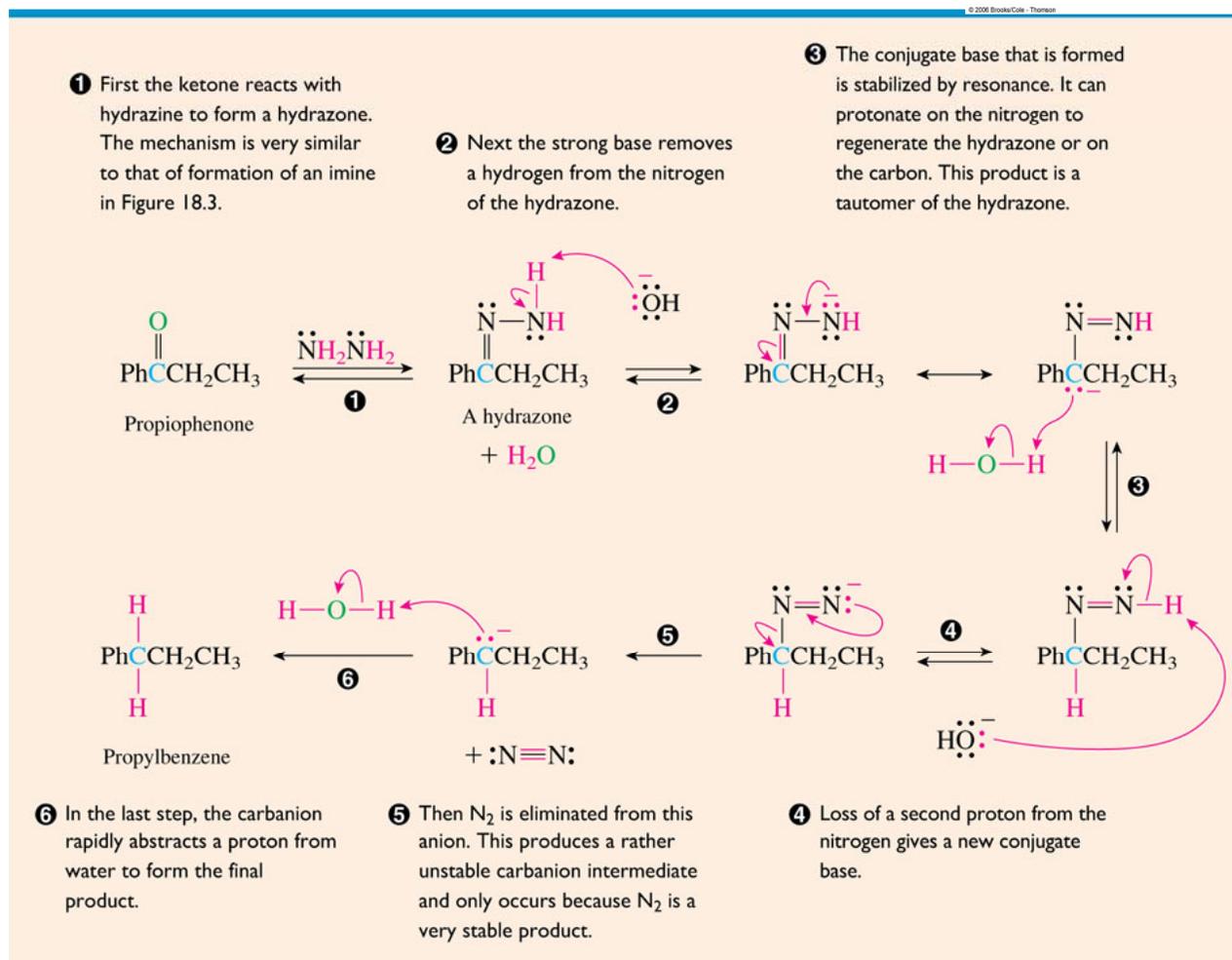
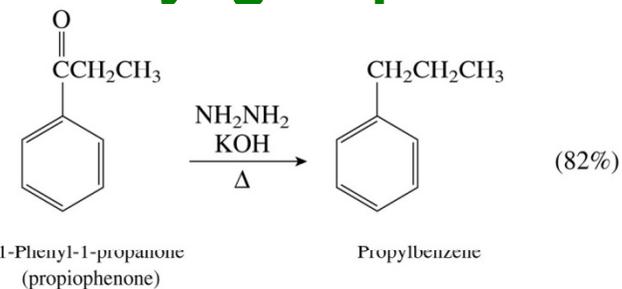
It does not reduce the aldehydes and ketones



Unstable! Cannot be isolated! Because it can be easily hydrolyzed



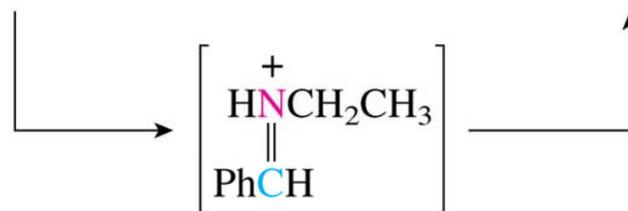
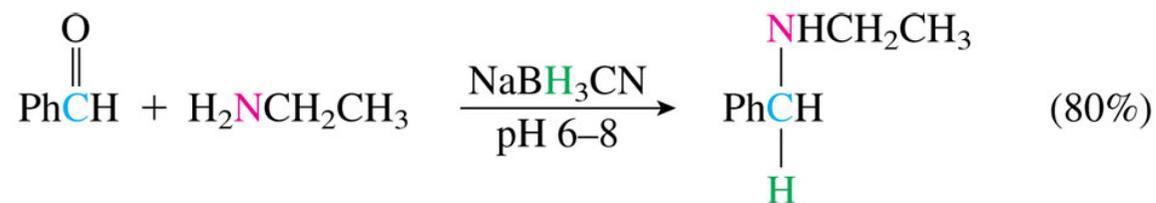
# Wolff-Kishner reduction (17.13); carbonyl groups can be converted to CH<sub>2</sub> groups



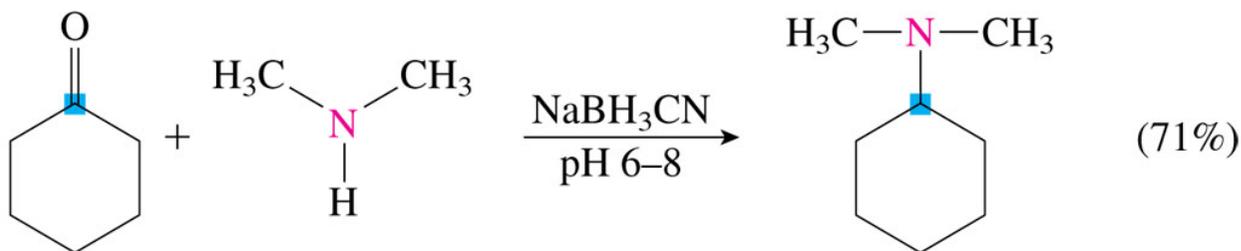
# Another reducing agent → sodium cyanoborohydride

Sodium cyanoborohydride is less nucleophilic than sodium borohydride. → CN is electro withdrawing group

Therefore it do not react with aldehyde and ketone.



Protonated imine

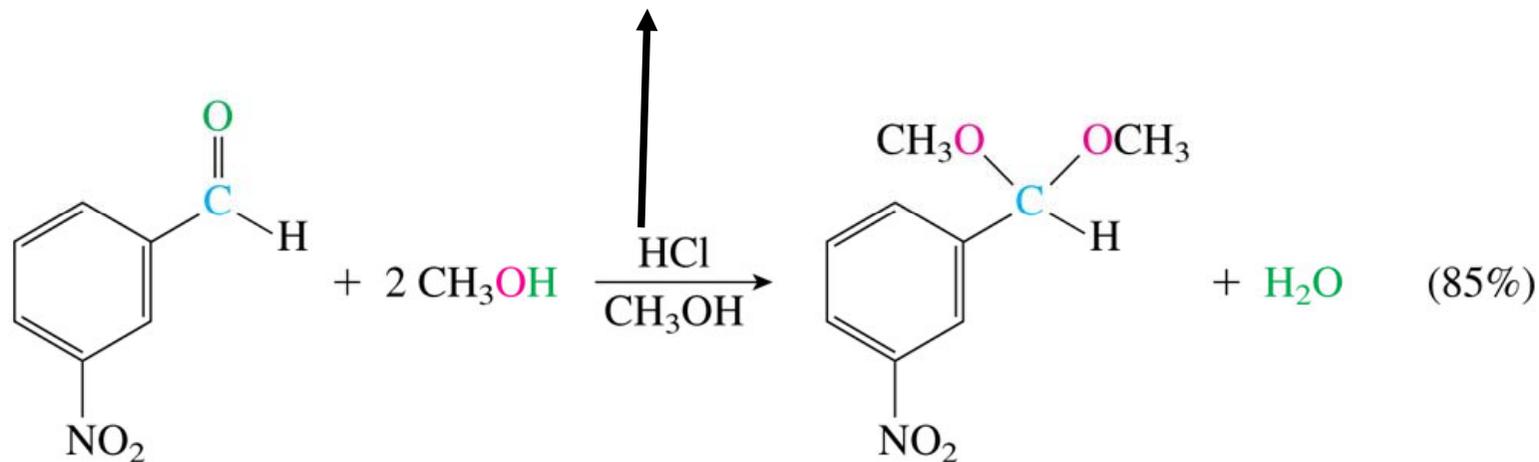


Cyclohexanone

*N,N*-Dimethylcyclohexanamine

# 18.9 Addition of Alcohol

Acidic condition → to remove the water



*m*-Nitrobenzaldehyde

An acetal

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Electro withdrawing groups in the reactant → destabilize the reactant

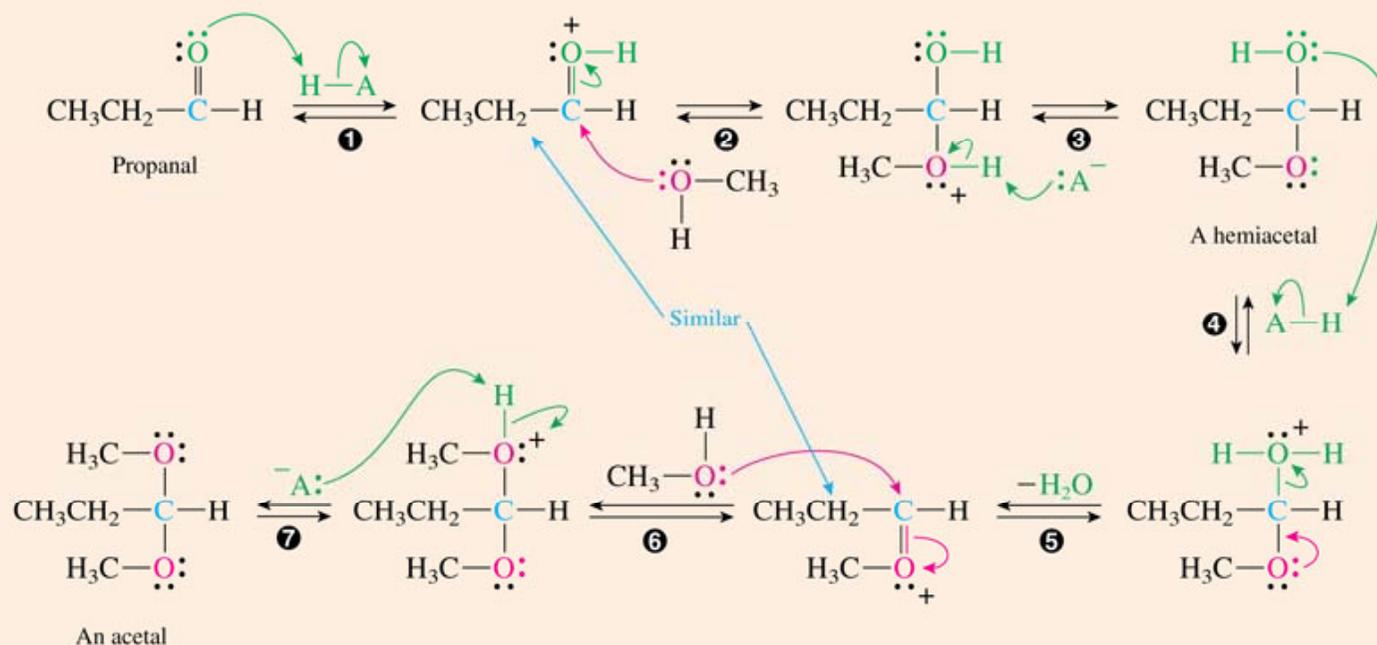
# Mechanism

**1** The reaction follows the acidic conditions mechanism. First, the carbonyl oxygen is protonated, making the carbon more electrophilic. (HA represents an acid in the solution.)

**2** Then the oxygen of an alcohol molecule acts as a nucleophile.

**3** Next a proton is transferred to some base. This could be the conjugate base of the acid or even CH<sub>3</sub>OH.

**4** The oxygen is protonated. Step 4 and the reverse of step 3 are nearly identical. They differ only in which oxygen is protonated.



This intermediate resembles the product of step 2 but with a methyl replacing one hydrogen.

**7** Transfer of a proton to some base in the solution produces the final product, an acetal.

This intermediate resembles the protonated aldehyde above.

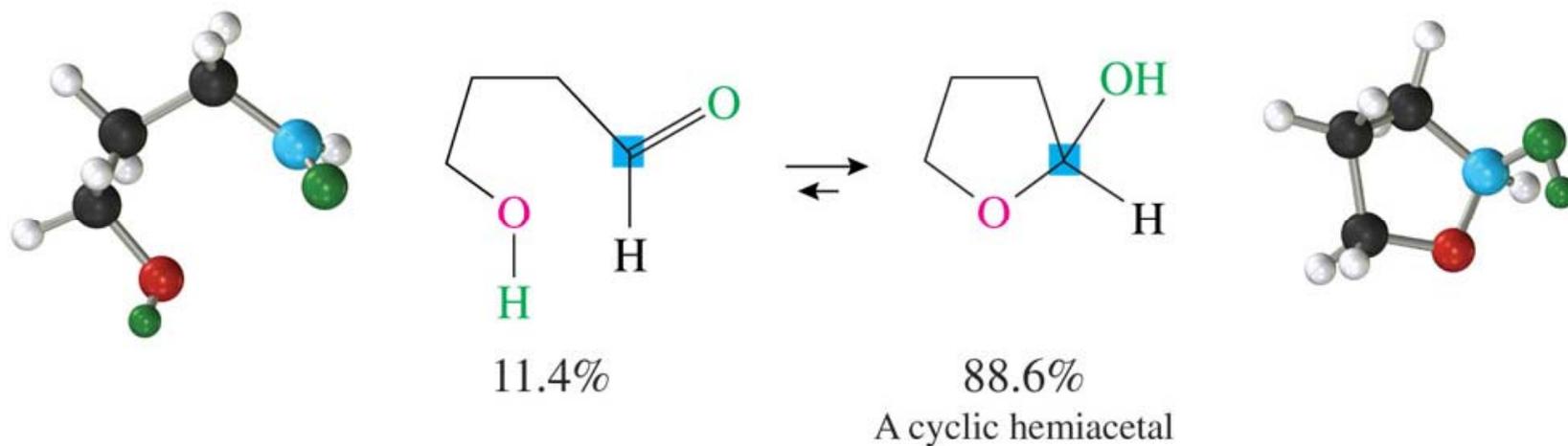
**6** It reacts in a similar fashion: steps 6 and 7 are quite similar to steps 2 and 3.

**5** The electron pair on the ether oxygen helps water leave.

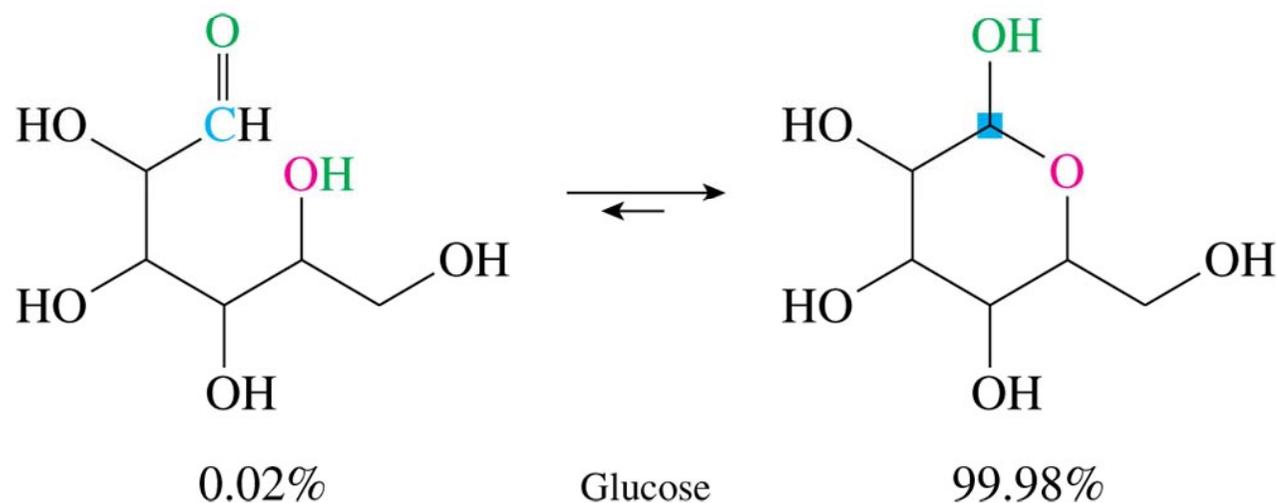
# To shift the equilibrium

1. **Electro withdrawing groups** in the reactant → destabilize the reactant
2. The alcohol nucleophile is part of the same molecule, then form **5- or 6-membered rings**
3. **Remove the water** using acids such as TsOH
4. Use difunctional alcohol or dithiol → **intramolecular reaction**

# The alcohol nucleophile is part of the same molecule, then form 5- or 6-membered rings

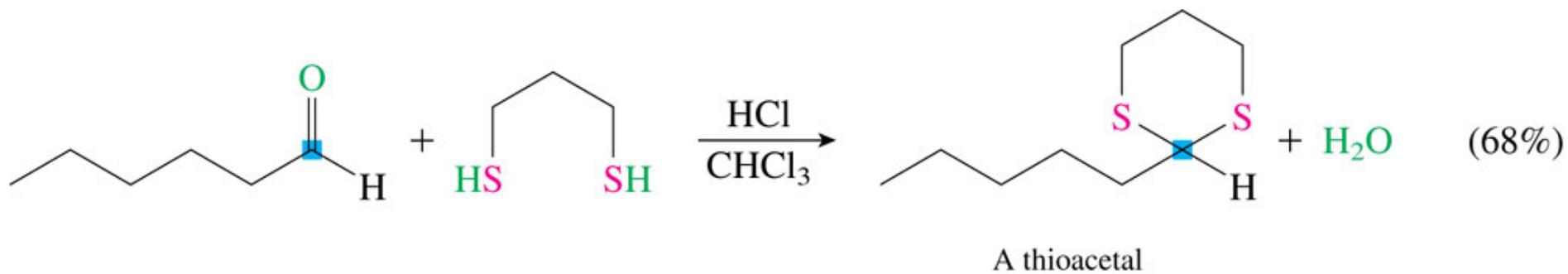
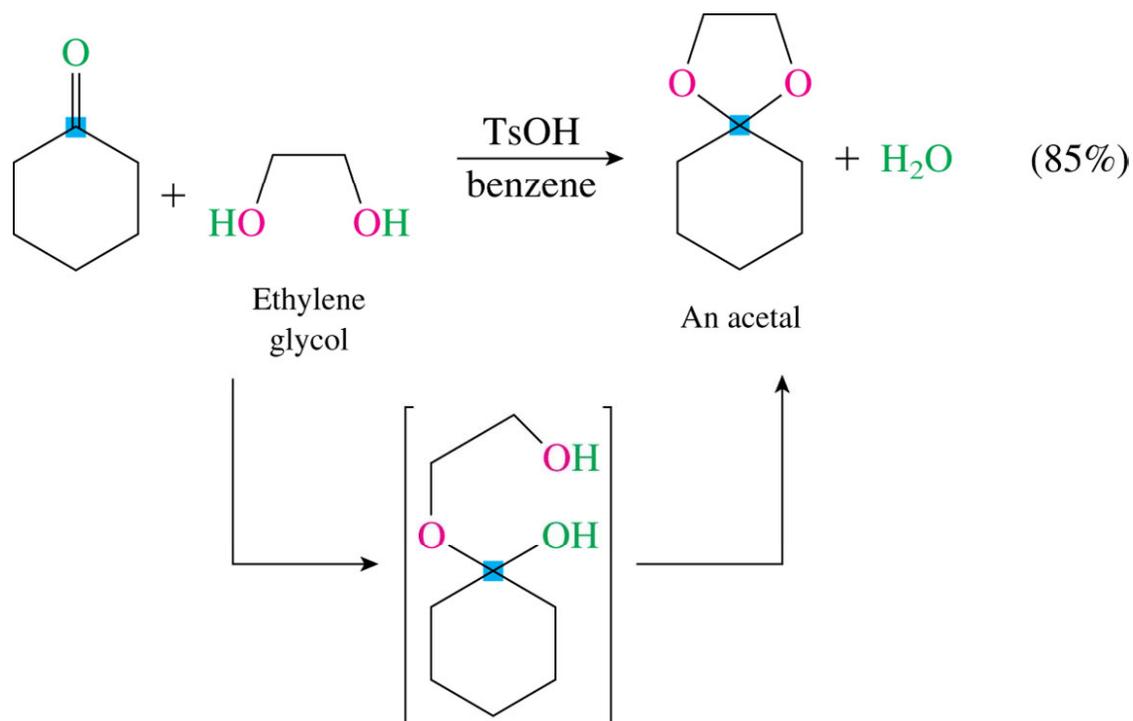


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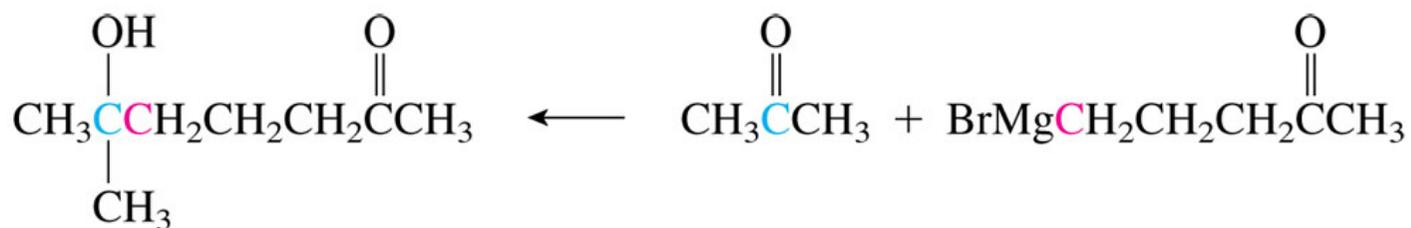


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## Use difunctional alcohol or dithiol → intramolecular reaction



## A major use of acetals (cyclic) → protective groups for aldehyde and ketone



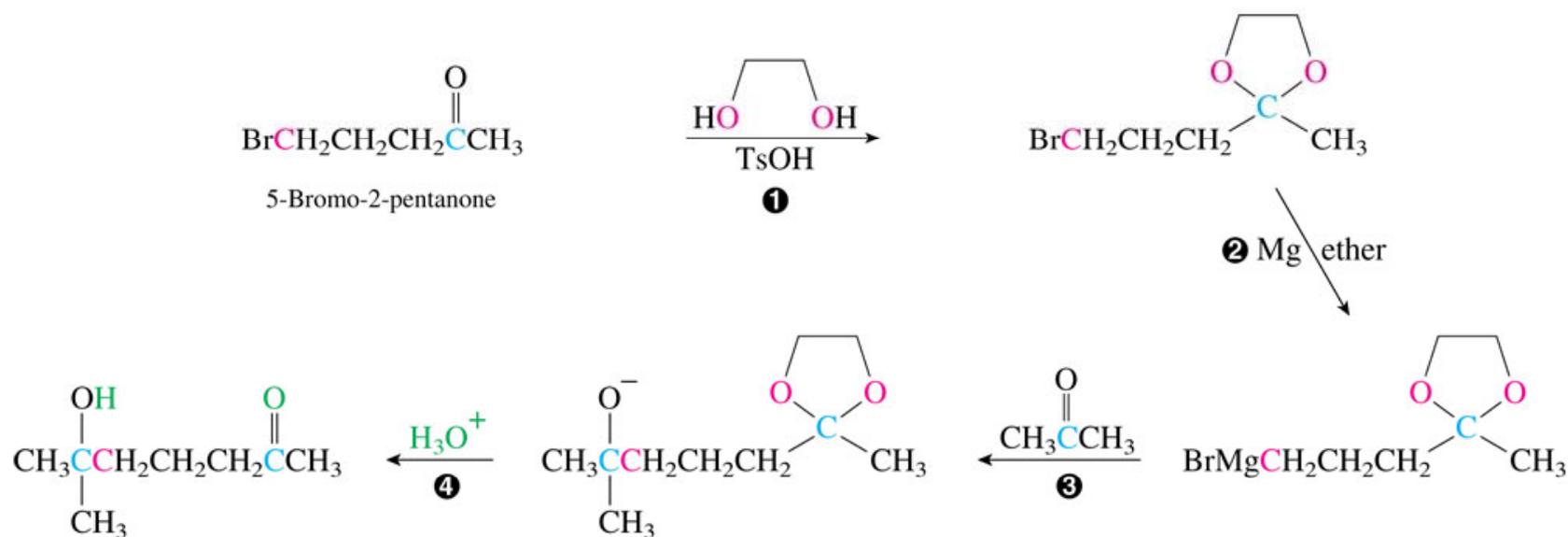
6-Hydroxy-6-methyl-2-heptanone  
(synthetic target)

This reagent cannot be prepared  
because the carbonyl group and  
the Grignard reagent are  
incompatible.

An attempt to form a Grignard reagent from 5-bromo-2-pentanone is doomed to failure because the Grignard will react with the carbonyl group.

- ① Therefore, the carbonyl group is first protected as an ethylene glycol acetal.

- ② Because the acetal group does not react with Grignard reagents (or other basic or nucleophilic reagents), the Grignard reagent can be prepared from this compound. The acetal is being used as a protecting group for the carbonyl group.



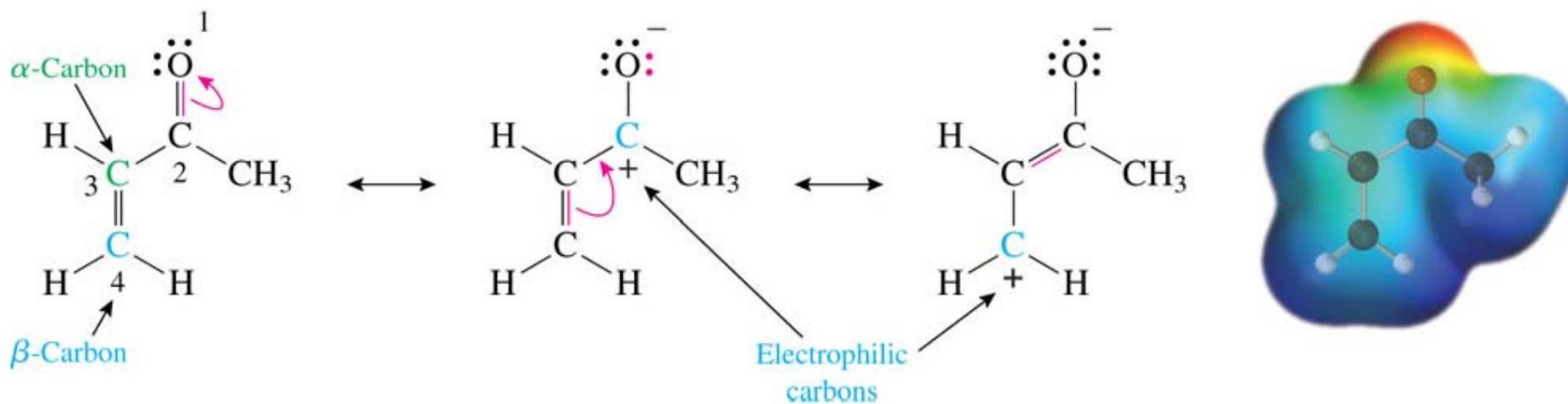
6-Hydroxy-6-methyl-2-heptanone

- ④ When the reaction is worked up with aqueous acid, not only is the alkoxide group protonated but the acetal is also hydrolyzed back to the ketone and ethylene glycol. Easy removal is an important feature of protecting groups.

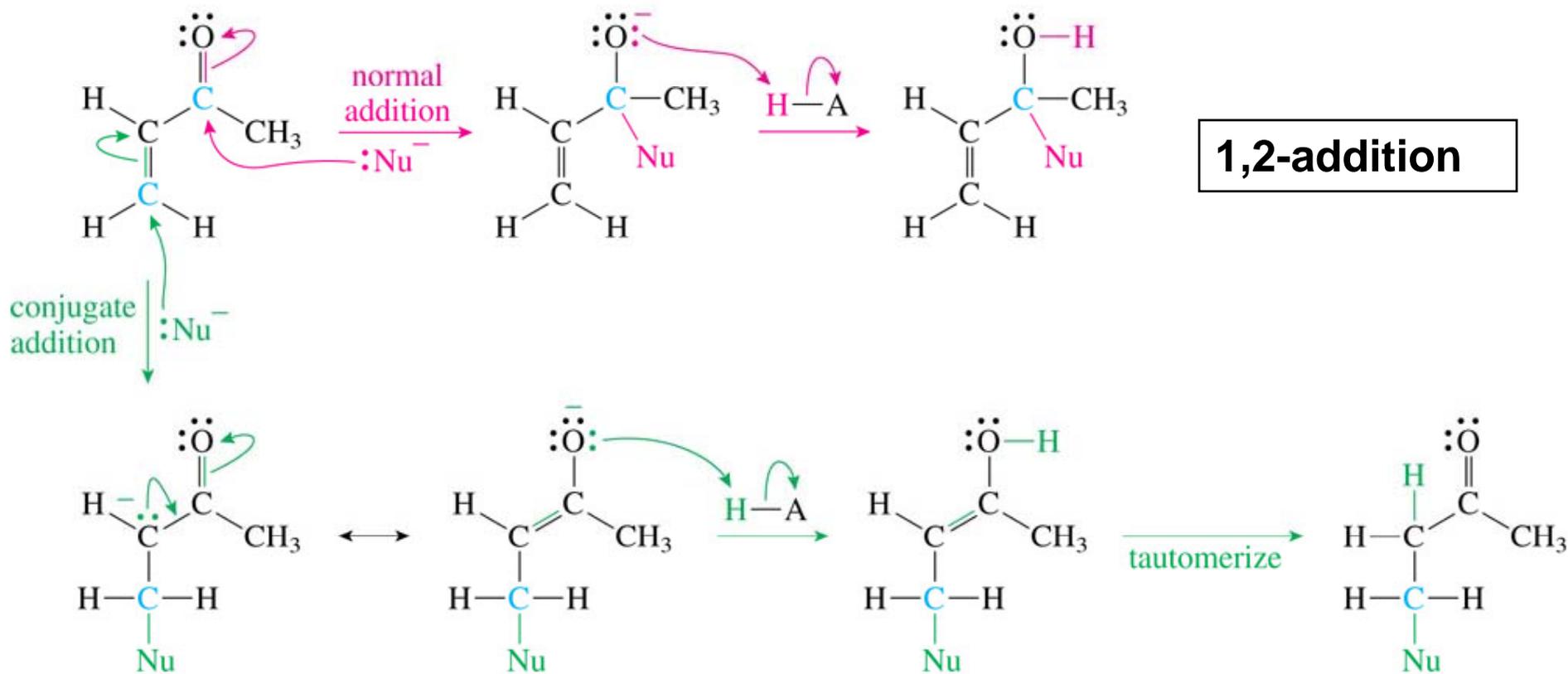
- ③ This Grignard reagent reacts like any other Grignard reagent.

# 18.10 Conjugated Addition

→  $\alpha,\beta$ -unsaturated carbonyl compound



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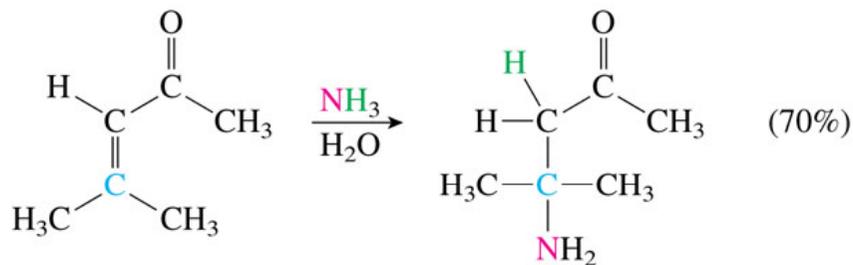
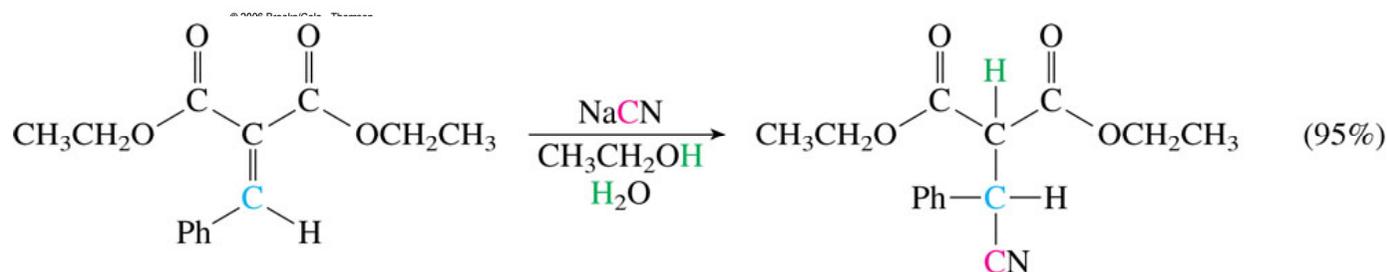
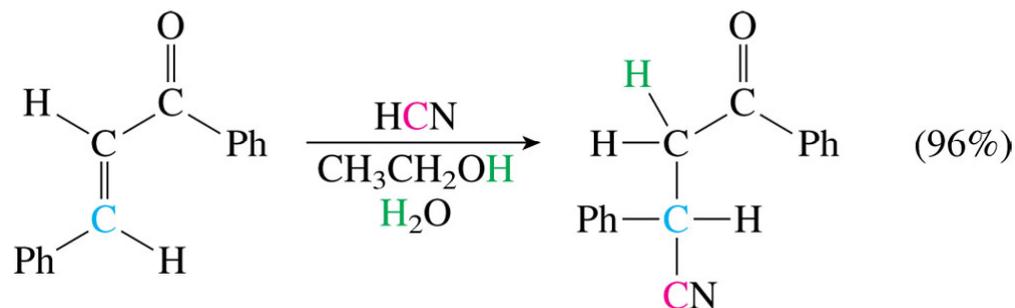
**1,2-addition**

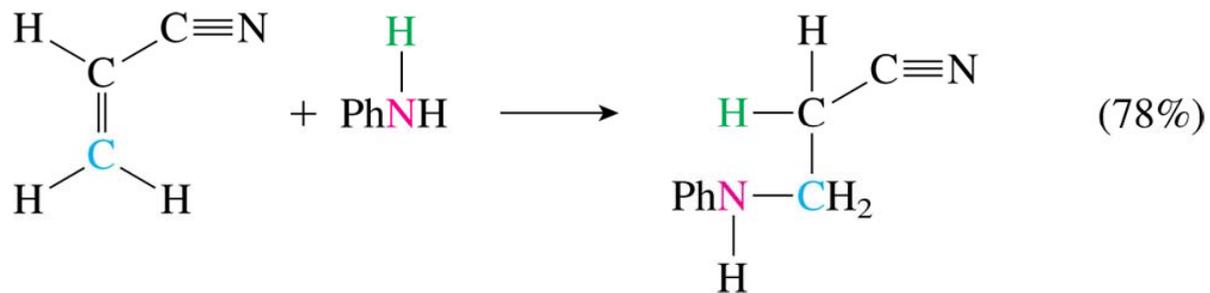
tautomerize

**1,4-addition**

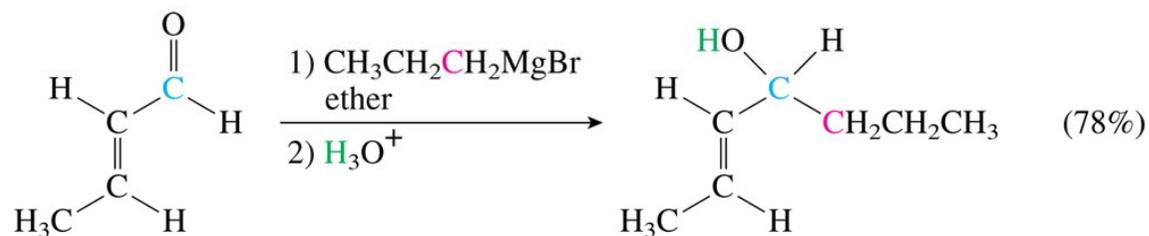
# 1,2-addition or 1,4-addition

1. **Highly reactive nucleophile: Grignard reagent** → 1,2 addition
2. **Less reactive nucleophile: cyanide, amine** → 1,4 addition





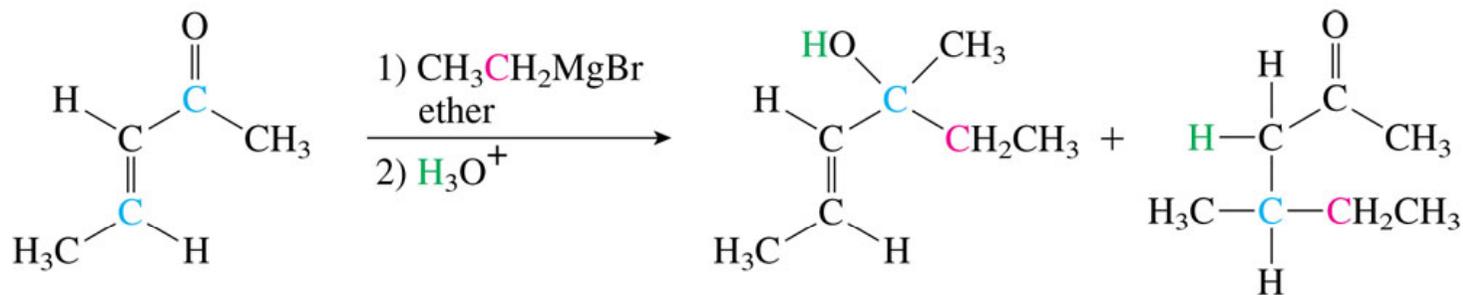
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An  $\alpha,\beta$ -unsaturated aldehyde

1,2-Addition

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An  $\alpha,\beta$ -unsaturated ketone

41%  
1,2-Addition

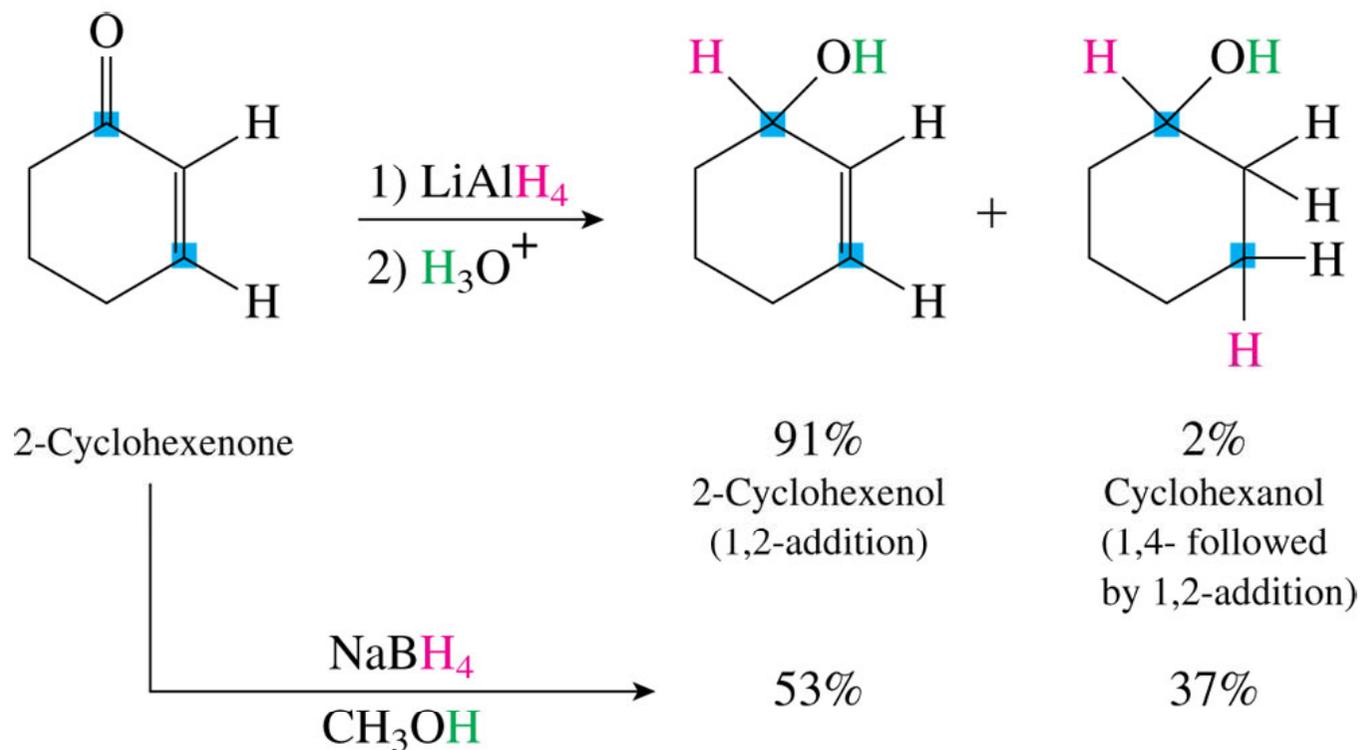
39%  
1,4-Addition

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**Steric hindrance**

# Hydride nucleophile

1.  $\text{LiAlH}_4$  : 1,2 additon  $>$  1,4 additon
2.  $\text{NaBH}_4$  : 1,2 additon  $<$  1,4 additon



# Lithium diorganocuprates

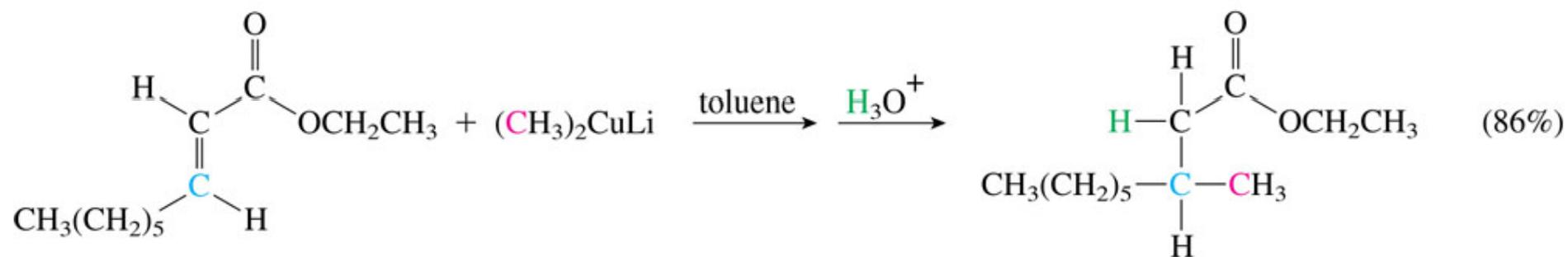
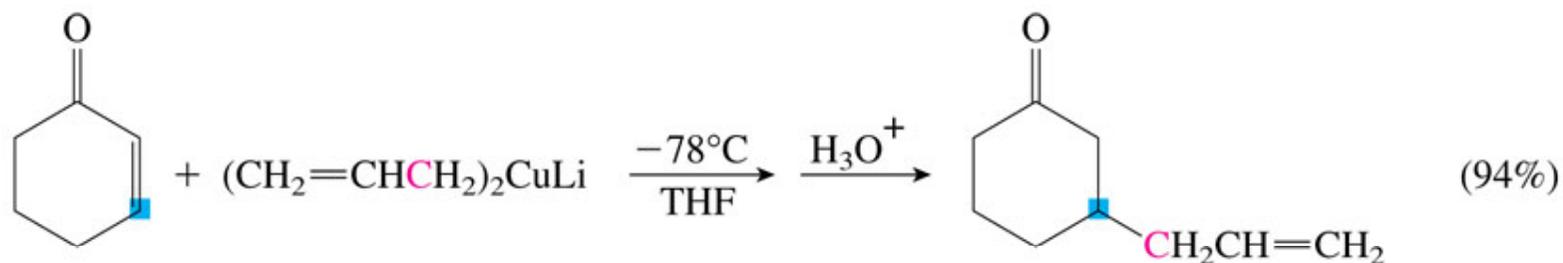
→ 1,4-addition



Methyl lithium

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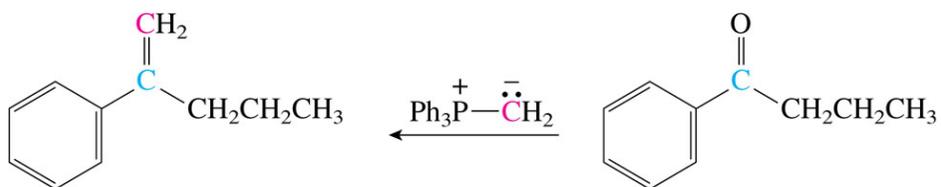
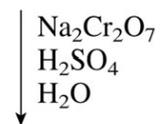
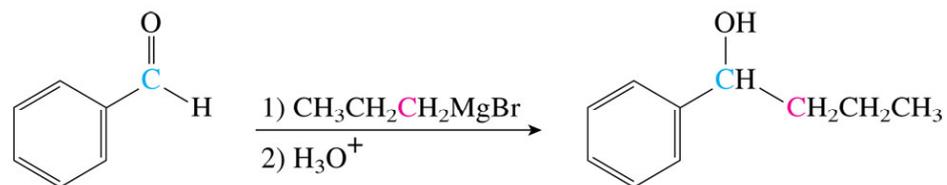
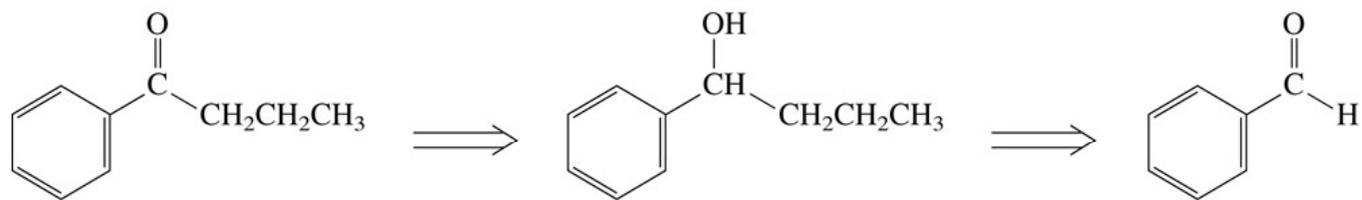
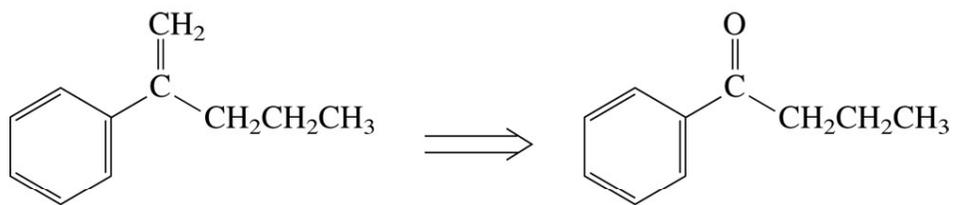
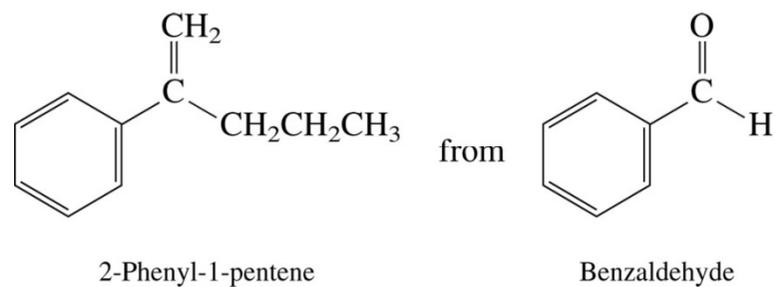
Lithium dimethylcuprate



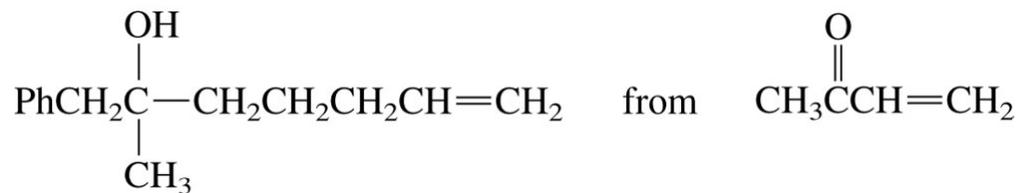
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# Example



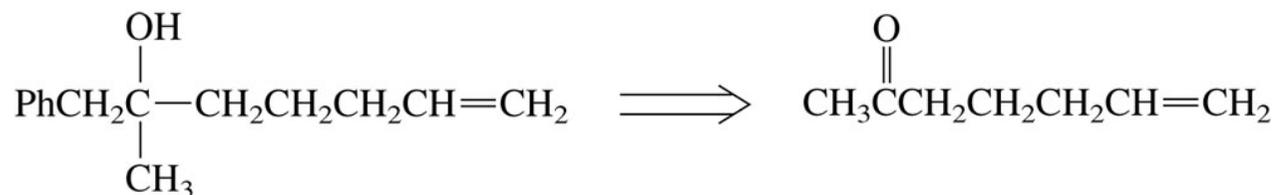
# Example



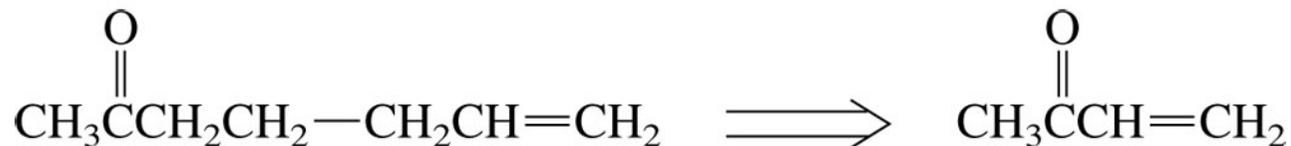
2-Methyl-1-phenylhept-6-en-2-ol

But-3-en-2-one

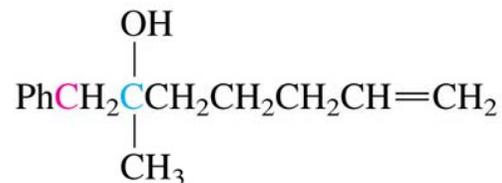
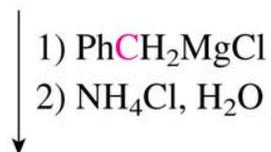
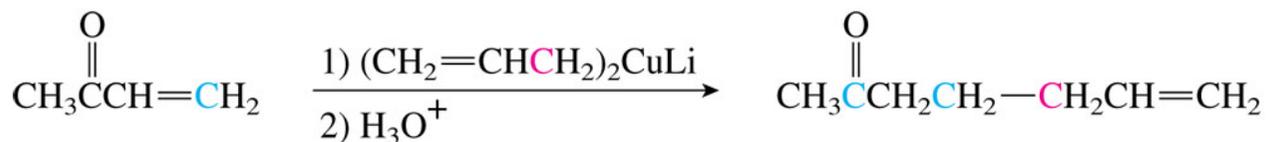
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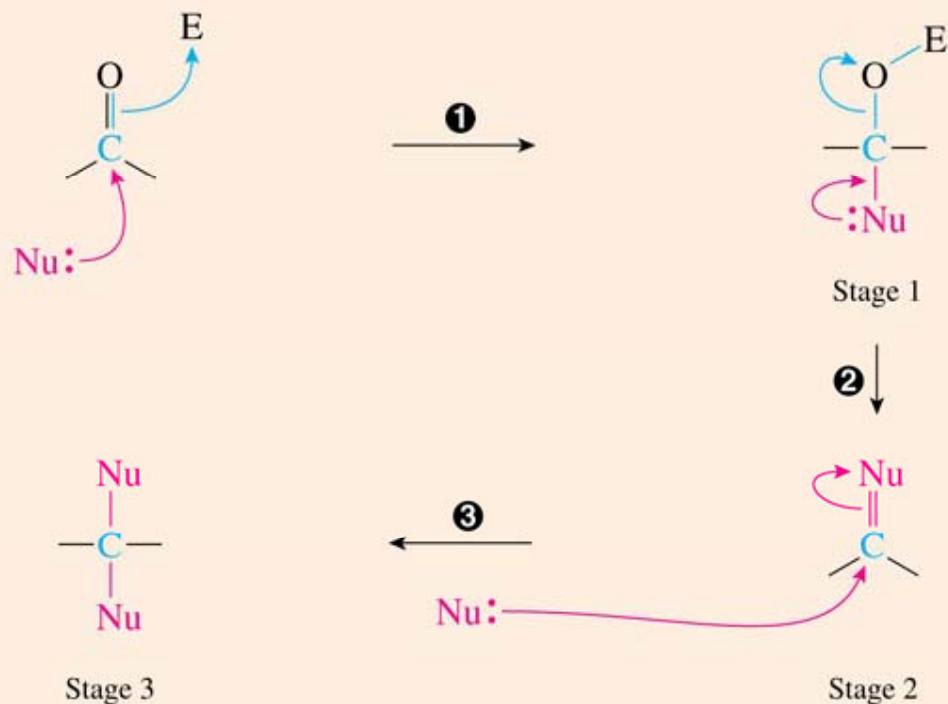
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$\text{CH}_3\overset{\text{O}}{\parallel}\text{CH} + \text{Nu} \longrightarrow$		
Nucleophile	Product	Comments
$\begin{array}{c} \text{H} \\   \\ \text{H}-\text{Al}^- \\   \\ \text{H} \end{array}$	$\begin{array}{c} \text{OH} \\   \\ \text{CH}_3\text{CH} \\   \\ \text{H} \end{array}$	<p><b>Section 18.2</b> Reaction with <math>\text{NaBH}_4</math> or <math>\text{LiAlH}_4</math> proceeds to stage 1 (see Figure 18.7) and follows the basic conditions mechanism.</p>
$\text{H}_2\text{O}$	$\begin{array}{c} \text{OH} \\   \\ \text{CH}_3\text{CH} \\   \\ \text{OH} \end{array}$	<p><b>Section 18.3</b> This reaction proceeds to stage 1. Hydrates usually cannot be isolated because of the unfavorable equilibrium. The reaction follows either the acidic or basic conditions mechanism.</p>
$\text{HCN}$	$\begin{array}{c} \text{OH} \\   \\ \text{CH}_3\text{CH} \\   \\ \text{CN} \end{array}$	<p><b>Section 18.4</b> This reaction proceeds to stage 1 and follows the basic conditions mechanism.</p>
$\text{R}-\text{MgX}$	$\begin{array}{c} \text{OH} \\   \\ \text{CH}_3\text{CH} \\   \\ \text{R} \end{array}$	<p><b>Section 18.6</b> Reaction with organometallic nucleophiles (Grignard reagents and organolithium reagents) proceeds to stage 1 and follows the basic conditions mechanism.</p>
$\begin{array}{c} \text{R} \\   \\ \text{Ph}_3\text{P}^+ - \text{C}^- \\   \\ \text{R}' \end{array}$	$\begin{array}{c} \text{R} \quad \text{R}' \\ \diagdown \quad / \\ \text{C} \\    \\ \text{CH}_3\text{CH} \end{array}$	<p><b>Section 18.7</b> The Wittig reaction proceeds to stage 2 and follows the basic conditions mechanism.</p>
$\text{RNH}_2$	$\begin{array}{c} \text{NR} \\    \\ \text{CH}_3\text{CH} \end{array}$	<p><b>Section 18.8</b> Imine formation proceeds to stage 2 with primary amines. Addition follows the basic conditions mechanism, but acid is needed to remove the oxygen. Secondary amines give enamines.</p>
$\text{ROH}$	$\begin{array}{c} \text{OR} \\   \\ \text{CH}_3\text{CH} \\   \\ \text{OR} \end{array}$	<p><b>Section 18.9</b> Acetals are formed at stage 3. Thiols react in a very similar manner. The unfavorable equilibrium must be driven to products. The reaction follows the acidic conditions mechanism.</p>

- 1 All of these reactions begin this way. The electrophile (E) is usually hydrogen, but in the case of the Wittig reaction, it is phosphorus. Under basic conditions, Nu adds first. Under acidic conditions, E (a proton) adds first.



The reaction proceeds to stage 3 for alcohols and thiols as nucleophiles.

The reaction stops at stage 1 if the original Nu has only one unshared pair of electrons ( $\text{CN}^-$ , hydrides, organometallic nucleophiles).

- 2 If the nucleophile has a second pair of electrons (or can generate one), then the oxygen is eliminated. The O must be protonated first, unless E is phosphorus.

The reaction stops at stage 2 if this species is uncharged (Wittig reaction, imines).

- 3 When the doubly bonded Nu has a positive charge, the reaction proceeds further. If Nu is a secondary amine, a proton is lost to form an enamine. If Nu is ROH or RSH, a second Nu attacks.