## Curves and Surfaces

Intro. to Computer Graphics
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## Representation of Curves and Surfaces

- Key words: surface modeling, parametric surface, continuity, control points, basis functions, Bezier curve, B -spline curve


## Why we need surface models?

- All shapes can be described in terms of points. But, it is impractical to enumerate the points that comprise a shape
- We define shape indirectly through expressions that relate certain properties of points that comprise them.


## Intrinsic and extrinsic properties

- Intrinsic properties
- B has four sides
- All four sides have equal length
- All four angles are 90́.......
- Extrinsic properties
- two horizontal sides

- two vertical sides vertices of $B$ are at Po, P1, P2 and P3


## Intrinsic and extrinsic properties

- Shape definitions that use extrinsic properties of the shape are dependent on the coordinate system used.
- a line: $y=3,2 \leq x \leq 7$
$\leftarrow$ Axis dependency



## Axis Independence

A mathematical representation of a line/curve is axis independent if its shape depends on only the relative position of the points defining its characteristic vectors and is independent of the coordinate system used.


## Axis-independent shape definition

- Shape definitions that use intrinsic properties of the shape are axis-independent.

$$
\begin{aligned}
& x=(1-t) p_{1 x}+t p_{2 x} \\
& y=(1-t) p_{1 y}+t p_{2 y} \\
& 0 \leq t \leq 1 \\
& p_{1}=\left(p_{1 x}, p_{1 y}\right)=(2,3) \\
& p_{2}=\left(p_{2 x}, p_{2 y}\right)=(7,3)
\end{aligned}
$$



## Curve \& Surface Models

- Explicit/implicit
- Parametric/non-parametric
- Approximation
- polygon mesh : a collection of edges, vertices, and polygons



## Nonparametric explicit representation

- $x=x$
$y=f(x)$
- successive values of y can be obtained by plugging in successive values of $x$.
- easy to generate polygons or line segments
- single-valued function



## Nonparametric implicit representation

- $f(x, y, z)=0$
- Define curves as solution of equation system
- E.g., a circle:

$$
\mathrm{x}^{2}+\mathrm{y}^{2}=\mathrm{r}^{2}
$$

## Nonparametric implicit representation

- algebraic quadric surfaces
: $f$ is a polynomial of degree $<=2$

$$
\begin{gathered}
f(x, y, z)=a x^{2}+b y^{2}+c z^{2}+2 d x y+2 e y z+2 f x z \\
\\
+2 g x+2 h y+2 j z+k=0 \\
\text { sphere: } x^{2}+y^{2}+z^{2}-1=0 \\
\text { cylinder: } x^{2}+y^{2}-1=0 \\
\text { corn: } x^{2}+y^{2}-z^{2}=0 \\
\text { paraboloid }: x^{2}+y^{2}+z=0
\end{gathered}
$$

## Nonparametric implicit representation

- Coefficients determine geometric properties
- Hard to render (have to solve non-linear equation system)
- Can represent closed or multi-valued curves
- Easy to classify point-membership

$$
\text { sphere: } x^{2}+y^{2}+z^{2}-1=0
$$

## Parametric Curve

$$
\begin{aligned}
& x(t)=a_{13} t^{3}+a_{12} t^{2}+a_{11} t+a_{10} \\
& y(t)=a_{23} t^{3}+a_{22} t^{2}+a_{21} t+a_{20} \\
& z(t)=a_{33} t^{3}+a_{32} t^{2}+a_{31} t+a_{30}
\end{aligned}
$$





## Parametric Curve (Example)

line from $P_{1}=\left(x_{1}, y_{1}\right)$ to $P_{2}=\left(x_{2}, y_{2}\right)$

$$
\begin{aligned}
x= & (1-t) x_{1}+t x_{2} \\
y= & (1-t) y_{1}+t y_{2} \quad 0 \leq t \leq 1 \\
& P_{1}, P_{2}: \text { control points } \\
& t, 1-t: \text { blending functions }
\end{aligned}
$$

unit circle

$$
Q(u)=(\cos (u / 2 \pi), \sin (u / 2 \pi))
$$



## Parametric Curve Characteristics

- Simple to render

- evaluate parameter function
- Hard to check whether a point lies on curve
- have to compute the inverse mapping from ( $\mathrm{x}, \mathrm{y}$ ) to $t$
- Can represent closed or multi-valued curves
- Curve or surface can be easily translated or rotated
- Composite curves and surfaces can be formed by piecewise descriptions


## Parametric Curve Characteristics

- No infinite slope problem
parametric form:

$$
Q^{\prime}(u)=(-\sin (u / 2 \pi) / 2 \pi, \cos (u / 2 \pi) / 2 \pi, 0)
$$

implicit form: $x^{2}+y^{2}-1=0, \quad z=0$
$\Longrightarrow$ at $x=1, y=0$,
the parametric derivative is $(0,1 / 2 \pi, 0)$ implicit form $f^{\prime}(x, y, z)=-x / y \Rightarrow \infty$

## Parametric Curve Characteristics

- Not unit form
(e.g.) a circle with radius 1 centered at the origin



## Tangent line to a curve

- The straight line that gives the curve's slope at a point
- Deduced from the derivative of the curve at the point



## Piecewise Polynomial Curves

- Cut curve into segments and represent each segment as a polynomial curve
- But how do we guarantee smoothness at the joints? ( continuity problem)



## Continuity

- Implies a notion of smoothness at the connection points
- Parametric continuity
- We view the curve or surface as a function rather than a shape.
- Matching the parametric derivatives of adjoining curve sections at their common boundary
- You need a parameterization


## Parametric Continuity


$\mathrm{C}_{0} \& \mathrm{C}_{1}$ continuity
$\mathrm{C}^{2}$ : the direction and magnitude of $\mathrm{d}^{2} / \mathrm{dt}^{2}[\mathrm{Q}(\mathrm{t})]$ are equal at the join point

## Geometric Continuity

- Geometric continuity is defined using only the shape of the curve
- Geometric smoothness independent of parametrization
$G^{0}$ : joining two segments at a common end point ( $=C^{0}$ )
$G^{1}$ : a curve's tangent direction changes continuously (direction equal, but necessarily the magnitude)


## The order of polynomial curves

a polynomial of order $\mathrm{k}+1$ (三degree k )

$$
\mathrm{P}(\mathrm{u})=\mathrm{c}_{0}+\mathrm{c}_{1} \mathrm{u}+\mathrm{c}_{2} \mathrm{u}^{2}+\cdots+\mathrm{c}_{\mathrm{k}} \mathrm{u}^{\mathrm{k}}
$$

- In computer graphics, usually degree $=3$
- Sufficient flexibility w/o much cost
- The cubic is the lowest degree polynomial that gives $\boldsymbol{C}^{1}$ and $\boldsymbol{C}^{2}$ continuity


## Curve models

- Curve fitting techniques (interpolation techniques)
- pass through each and every data point
- linear approximation, natural cubic spline

- Curve fairing techniques (approximation techniques)
- few if any points on the curve pass through each and every data points
- Hermite curve, Bezier curve, B-spline curve



## Natural Spline Curves

- Motivated by loftman's spline
- Long narrow strip of wood or plastic
- Shaped by lead weights (called ducks)
- a cubic spline curve, $q(u)$, composed of cubic polynomials that interpolate the points $\mathrm{P}_{0}, \mathrm{P}_{1}, \ldots, \mathrm{P}_{\mathrm{n}}$
- $\boldsymbol{C}^{n-1}$ continuity can be achieved from splines of degree $\boldsymbol{n}$



## Natural Cubic Splines

- divide the interval $[\mathrm{a}, \mathrm{b}]$ into $n$ intervals $\left[\mathrm{u}_{\mathrm{i}}, \mathrm{u}_{\mathrm{i}+1}\right]$, for $i=0$ to $n-1$. The numbers $\mathrm{u}_{\mathrm{i}}$ are called knots.
- The vector $\left[u_{0}, u_{1}, \ldots, u_{i-1}\right]$ is called a knot vector for the spline. If the knots are equidistantly distributed in the interval $[a, b]$, we say the spline is uniform, otherwise we say it is non-uniform.



## Natural Cubic Splines

- each cubic spline curve is determined by the position vectors, tangent vectors and parameter values

$$
q_{i}(u)=c_{i 0}+c_{i 1} u+c_{i 2} u^{2}+c_{i 3} u^{3}
$$

$$
\begin{array}{ll}
q_{i}\left(u_{i-1}\right)=p_{i-1} \text { and } \quad q_{i}\left(u_{i}\right)=p_{i} & \text { for } i=1 \text { to } n \\
q_{i}^{\prime}\left(u_{j}\right)=q^{\prime}{ }^{\prime+1}\left(u_{i}\right) & \text { for } i=1 \text { to } n-1 \\
q_{i}^{\prime \prime}\left(u_{i}\right)=q^{\prime \prime}{ }_{i+1}\left(u_{i}\right) & \text { for } i=1 \text { to } n-1
\end{array}
$$



## Cubic Splines

- the polynomial coefficients of a cubic spline are dependent on all $n$ control points
$\rightarrow$ a change in any one segment affects the entire curve
- It is inconvenient to represent the curve directly using the coefficients $\mathrm{C}_{i}$
$\leftarrow$ the relationship between the shape of the curve and the coefficients is not clear or intuitive
$\Rightarrow$ rearrange the polynomial form into control points and basis functions (GEOMETRIC FORM)


## Specifying Curves

- Control Points
- A set of points that influence the curve's shape
- Knots
- Points that lie on the curve
- Subinterval endpoints



## Hermite Curves

- Parametric curves
- Defined by two end points with the derivative of the curve at these points



## Hermite Curves(cubic polynomial)

$$
\begin{aligned}
& x(t)=a_{13} t^{3}+a_{12} t^{2}+a_{11} t+a_{10} \\
& y(t)=a_{23} t^{3}+a_{22} t^{2}+a_{21} t+a_{20} \\
& z(t)=a_{33} t^{3}+a_{32} t^{2}+a_{31} t+a_{30} \\
& \mathrm{P}(\mathrm{t})=\left[\begin{array}{lll}
\mathrm{x}(\mathrm{t}) & \mathrm{y}(\mathrm{t}) \quad \mathrm{z}(\mathrm{t})
\end{array}\right]=\mathbf{a}_{3} \mathrm{t}^{3}+\mathbf{a}_{2} \mathrm{t}^{2}+\mathbf{a}_{1} \mathrm{t}+\mathbf{a}_{0} \\
& \text { where } \mathbf{a}_{\mathrm{i}}=\left(\mathrm{a}_{1 \mathrm{i}}, \mathrm{a}_{2 \mathrm{i}}, \mathrm{a}_{3 \mathrm{i}}\right) \\
& \mathrm{P}(0)=\mathbf{a}_{0} \\
& \mathbf{a}_{0}=\mathrm{P}(0) \\
& \mathrm{P}(1)=\mathrm{a}_{3}+\mathrm{a}_{2}+\mathrm{a}_{1}+\mathrm{a}_{0} \quad \mathrm{a}_{1}=\mathrm{P}(0) \\
& \mathrm{P}^{\prime}(0)=\mathbf{a}_{1} \\
& \mathbf{a}_{2}=-3 \mathrm{P}(0)^{2}+3 \mathrm{P}(1)-2 \mathrm{P}^{\prime}(0)-\mathrm{P}^{\prime}(1) \\
& P^{\prime}(1)=3 \mathbf{a}_{3}+2 \mathbf{a}_{2}+\mathbf{a}_{1} \\
& \mathbf{a}_{3}=2 \mathrm{P}(0)-2 \mathrm{P}(1)+\mathrm{P}^{\prime}(0)+\mathrm{P}(1)
\end{aligned}
$$

## Hermite Curves



$$
P(t)=B_{1}(t) P(0)+B_{2}(t) P(1)+B_{3} P^{\prime}(0)+B_{4} P^{\prime}(1)
$$

$$
\begin{array}{ll}
B_{1}(t)=2 t^{3}-3 t^{2}+1 & B_{2}(t)=-2 t^{3}+3 t^{2} \\
B_{3}(t)=t^{3}-2 t^{2}+t & B_{4}(t)=t^{3}-t^{2}
\end{array}
$$

$B_{i}(t)$ : blending functions
$\mathrm{P}(0), \mathrm{P}(1), \mathrm{P}^{\prime}(0), \mathrm{P}^{\prime}(1)$
: geometric coefficients


## Hermite Curves

$$
\begin{aligned}
\mathrm{P}(\mathrm{t}) & =\left[\begin{array}{llll}
\mathrm{t}^{3} & \mathrm{t}^{2} & \mathrm{t} & 1
\end{array}\right]\left[\begin{array}{llll}
2 & -2 & 1 & 1 \\
-3 & 3 & -2 & -1 \\
0 & 0 & 1 & 0 \\
1 & 0 & 0 & 0
\end{array}\right]\left[\begin{array}{l}
\mathrm{P}_{0} \\
\mathrm{P}_{1} \\
\mathrm{P}_{0}^{\prime} \\
\mathrm{P}_{1}^{\prime}
\end{array}\right] \\
& =\mathrm{T} \cdot \mathrm{M}_{\mathrm{H}} \cdot \mathrm{G}_{\mathrm{H}}\left(=\mathrm{B} \cdot \mathrm{G}_{\mathrm{H}}\right)
\end{aligned}
$$

$M_{H}$ :Hermite basis matrix
$G_{H}$ :Hermite geometry vector

## Represent Polynomials with basis functions

- Polynomials including degree k forms a vector space $P^{k+1}$
- Specify a curve $P(u)$ as a position in the vector space $p^{k+1}$ via the coordinate $\left(\mathrm{p}_{0}, \cdots, \mathrm{p}_{\mathrm{k}}\right)$ and the basis $\left(1, t, t^{2}, \cdots, t^{k}\right)$

$$
\begin{aligned}
& b_{i}(t)=t^{k}, \quad 0 \leq i \leq k: \text { basis functions } \\
& p_{0}, \cdots, p_{k}: \text { control points } \\
& \Rightarrow Q(t)=\sum_{i=0}^{k} p_{i} b_{i}(t)
\end{aligned}
$$

## Properties shared

## by most useful bases

- Convex hull property
if $\sum_{i=0}^{k} b_{i}(t)=1$ and basis functions are not negative over the interval they are defined then any point on the
curve is a weighted average of its control points.

$\Rightarrow$ no points on the curve lies outside the polygon formed by joining the control points together
$\Rightarrow$ inexpensive means for calculating the bound of a curve or surface in space


## Properties shared by most useful bases

- Affine invariance - any linear transformation or translation of the control points defines a new curve that is the just the transformation or translation of the original curve. (Perspective transform is not affine.)
- Variation diminishing - no straight line intersects a curve more times than it intersects the curve's control polyline. It implies that the complexity (i.e., turning and twisting) of the curve is no more complex than the control polyline.



## Bezier Curves

- Developed by Pierre Bézier in the 1970's for CAD/CAM operations. (PostScript drawing model)
- Represent a polynomial segment as

$$
\begin{aligned}
& P(t)=\sum_{i=0}^{n} p_{i} J_{n, i}(t), 0 \leq t \leq 1 \\
& J_{n, i}(t)={ }_{n} C_{i} t^{i}(1-t)^{n-i}
\end{aligned}
$$

$\mathrm{J}_{\mathrm{n}, \mathrm{i}}(\mathrm{t})$ are the Bernstein functions

- basis or blending function of degree $n$
- used to scale or blend the control points


## Bezier blending functions



## Bezier Curves (example)

Given $p_{0}(1,1), p_{1}(2,3), p_{2}(4,3)$ and $p_{3}(3,1)$, find the Bezier curve.

$$
P(t)=\sum_{i=0}^{n} J_{n, i}(t), \quad 0 \leq t \leq 1
$$

$\rightarrow$ Since there are four vertices, $\mathrm{n}=3$.

$$
\begin{array}{ll}
J_{3,0}(t)=(1-t)^{3} & J_{3,1}(t)=3 t(1-t)^{2} \\
J_{3,2}(t)=3 t^{2}(1-t) & J_{3,3}(t)=t^{3}
\end{array}
$$

Thus, $P(t)=p_{0} J_{3,0}+p_{1} J_{3,1}+p_{2} J_{3,2}+p_{3} J_{3,3}$

$$
=(1-t)^{3} p_{0}+3 t(1-t)^{2} p_{1}+3 t^{2}(1-t) p_{2}+t^{3} p_{3}
$$

## Bezier Curves (Matrix Form)

$$
\begin{aligned}
& P(t)=T \cdot M_{B} \cdot G=B \cdot G \\
& \text { where } \quad G=\left[\begin{array}{llll}
p_{1} & p_{2} & \cdots & p_{n}
\end{array}\right]^{T} \\
& B=\left[\begin{array}{llll}
J_{n, 0} & J_{n, 1} & \cdots & J_{n, n}
\end{array}\right] \\
& P(t)=\left[\begin{array}{llll}
t^{3} & t^{2} & t & 1
\end{array}\right]\left[\begin{array}{cccc}
-1 & 3 & -3 & 1 \\
3 & -6 & 3 & 0 \\
-3 & 3 & 0 & 0 \\
1 & 0 & 0 & 0
\end{array}\right]\left[\begin{array}{l}
p_{0} \\
p_{1} \\
p_{2} \\
p_{3}
\end{array}\right]
\end{aligned}
$$

## Bezier Curves

- The Bezier curve of order $\boldsymbol{n}+1$ (degree $\boldsymbol{n}$ ) has $\boldsymbol{n}+1$ control points.
- We can think a Bezier curve as a weighted average of all of its control points

Linear $(\mathrm{n}=1): \quad \mathrm{P}(\mathrm{t})=(1-\mathrm{t}) \mathrm{P}_{0}+\mathrm{tP}_{1}$
Quadratic $(n=2): P(t)=(1-t)\left[(1-t) P_{0}+t P_{1}\right]+t\left[(1-t) P_{1}+t P_{2}\right]$

$$
\Longrightarrow \mathrm{P}(\mathrm{t})=(1-\mathrm{t})^{2} \mathrm{P}_{0}+2(1-t) t P_{1}+\mathrm{t}^{2} \mathrm{P}_{2}
$$

$\operatorname{Cubic}(\mathrm{n}=3): \mathrm{P}(\mathrm{t})=(1-t)^{3} p_{0}+3 t(1-t)^{2} p_{1}+3 t^{2}(1-t) p_{2}+t^{3} p_{3}$

## Bezier Curves



## Bezier Curves

- A curve that is made of several Bézier curves is called a composite Bézier curve or a Bézier spline curve.
- Tangential continuity between Bezier segments :

$$
\left(Q_{3}-Q_{2}\right)=k\left(R_{1}-R_{0}\right)
$$

- Continuity conditions create restrictions on control points

$\mathrm{C}^{1}$ continuity

$$
\begin{aligned}
& Q^{\prime}(1)=R^{\prime}(0) \\
& \begin{array}{c}
\Rightarrow\left(Q_{3}-Q_{2}\right)=\left(R_{1}-R_{0}\right) \\
\Rightarrow R_{1}=Q_{3}+R_{0}-Q_{2} \\
\quad=Q_{3}+\left(Q_{3}-Q_{2}\right)
\end{array}
\end{aligned}
$$

## Bezier Spline Curves

$C^{2}$ continuous two cubic Bezier segments $V(t)$ and $W(t)$ with the control points ( $V_{0}, V_{1}, V_{2}, V_{3}$ ) and ( $W_{0}, W_{1}, W_{2}, W_{3}$ ).

- For cubic Bezier spline:


$$
\begin{aligned}
& V^{\prime}(0)=3\left(V_{1}-V_{0}\right), \quad V^{\prime}(1)=3\left(V_{3}-V_{2}\right), \\
& V^{\prime \prime}(0)=6\left(V_{0}-2 V_{1}+V_{2}\right), V^{\prime \prime}(1)=6\left(V_{1}-2 V_{2}+V_{3}\right)
\end{aligned}
$$

- Continuity at the junction point $\rightarrow \mathrm{W}_{0}=\mathrm{V}_{3}$.
- Continuity of the first derivative $\mathrm{W}^{\prime}(0)=\mathrm{V}^{\prime}(1)$
$\rightarrow \mathrm{W}_{1}-\mathrm{W}_{0}=\mathrm{V}_{3}-\mathrm{V}_{2} \Rightarrow \mathrm{~W}_{1}=2 \mathrm{~V}_{3}-\mathrm{V}_{2}$
i.e. $W_{1}$ depends on $V_{2} \& V_{3}$
- Continuity of the second derivative $\mathrm{W}^{\prime \prime}(0)=\mathrm{V}^{\prime}(1)$
$\rightarrow \mathrm{W}_{0}-2 \mathrm{~W}_{1}+\mathrm{W}_{2}=\mathrm{V}_{1}-2 \mathrm{~V}_{2}+\mathrm{V}_{3}$
$\rightarrow \mathrm{W}_{2}=2 \mathrm{~W}_{1}-\left(2 \mathrm{~V}_{2}-\mathrm{V}_{1}\right)$
Only one control point $W_{3}$ of the Bezier curve $W(t)$ is really free.


## Characteristics of Bezier Curves

- Convex hull
- Affine invariance
- Variation diminishing
- The degree of the polynomial defining the curve segment is one less than the number of defining control points.
- In CAGD applications, a curve may have a so complicated shape that it cannot be represented by a single Bézier cubic curve
- Global control (disadv.) : change a control point affects the continuity of the curve.


## The de Casteljau Algorithm

- Evaluation of the Bezier curve function
- Repeated linear interpolation
- Example of a quadratic (degree 2) Bezier curve


3 control points
interpolate $\mathrm{t}=0.2$

## The de Casteljau Algorithm


the point on the curve

repeating the procedure

Degree $=3$ and $\mathrm{t}=0.25$


## Parametric Surface

- Extend 2D parametric representation
- increase the number of parameters from one to two, $(\mathrm{s}, \mathrm{t}$ ) in order to address each point in the 2D spaces.
- express the 3D structure of the curved 2D surface by introducing a parameter $z$ coordinate, $z(s, t)$, i.e., a patch

$$
\begin{gathered}
x=f_{x}(s, t), \quad y=f_{y}(s, t), \quad z=f_{z}(s, t) . \\
0 \leq s, t \leq 1
\end{gathered}
$$



## Bicubic Bezier Surface

- Bezier patch:16 control points define one patch
- ease of interactivity \& representation

$$
\mathbf{P}(s, t)=\sum_{i=0}^{n}\binom{n}{i}(1-s)^{n-i} s^{i} \sum_{j=0}^{n}\binom{n}{j}(1-t)^{n-j} t^{j} \mathbf{P}_{i, j}
$$



$$
\text { where } \quad \mathrm{B}=\left[\begin{array}{cccc}
-1 & 3 & -3 & 1 \\
3 & -6 & 3 & 0 \\
-3 & 0 & 3 & 0 \\
1 & 4 & 1 & 0
\end{array}\right] \quad \mathrm{P}=\left[\begin{array}{l}
\mathrm{p}_{00} \mathrm{p}_{01} \mathrm{p}_{02} \mathrm{p}_{03} \\
\mathrm{p}_{10} \mathrm{p}_{11} \mathrm{p}_{12} \mathrm{p}_{13} \\
\mathrm{p}_{20} \mathrm{p}_{21} \mathrm{p}_{22} \mathrm{p}_{23} \\
\mathrm{p}_{30} \mathrm{p}_{31} \mathrm{p}_{32} \mathrm{p}_{33}
\end{array}\right]
$$

## B-Splines Curves

$$
Q(u)=\sum_{k=0}^{n} P_{k} B_{k, d}(u)
$$

$P_{k}$ : an input set of $n+1$ control points
$B_{k, d}$ : blending function of degree $d-1$

- The polynomial curve has degree $d-1$ and $C^{d-2}$ continuity over the range of $u$
- For $n+1$ control points, the curve is described with $n+1$ blending functions
- The range of $u$ is divided into $n+d$ subintervals by the $n+d+1$ knot values


## B-Splines Curves

A cubic b-spline which consists of three curve segments


## Cubic B-Splines

- Each control point is associated with a unique blending function.
$\Rightarrow$ (Local contro) Each control point affects the shape of a curve only over a range of a parameter values, $d$ curve sections, where its associated basis function is nonzero.



## B-Splines Curves

- Knot vector : a set of subinterval endpoints in nondecreasing sequence

$$
U=\left\{u_{0}, u_{1}, \ldots, u_{n+d}\right\}
$$

uniform, open uniform, nonuniform B-splines.


## B-Splines Basis Functions

- Cox-deBoor Algorithm
:generate the basis functions recursively

$$
\begin{aligned}
& \mathrm{B}_{\mathrm{k}, 1}(\mathrm{u})=1, \quad \text { if } \quad \mathrm{u}_{\mathrm{k}} \leq \mathrm{u} \leq \mathrm{u}_{\mathrm{k}+1} \\
& 0, \quad \text { otherwise } \\
& \mathrm{B}_{\mathrm{k}, \mathrm{~d}}(\mathrm{u})=\frac{\mathrm{u}-\mathrm{u}_{\mathrm{k}}}{\mathrm{u}_{\mathrm{k}+\mathrm{d}+1}-\mathrm{u}_{\mathrm{k}}} \mathrm{~B}_{\mathrm{k}, \mathrm{~d}-1}(\mathrm{u}) \\
& +\frac{\mathrm{u}_{\mathrm{k}+\mathrm{d}}-\mathrm{u}}{\mathrm{u}_{\mathrm{k}+\mathrm{d}}-\mathrm{u}_{\mathrm{k}+1}} \mathrm{~B}_{\mathrm{k}+1, \mathrm{~d}-1}(\mathrm{u})
\end{aligned}
$$

## Uniform cubic B-spline basis functions

- Knots are spaced at equal intervals of parameter. e.g., $\{0,1,2,3,4,5,6,7,8,9\}$
- Bell-shaped basis function
- Each blending function $\mathbf{B}_{\mathrm{k}, 4}$ is defined over four subintervals starting at knot value $u_{k}$



## Basis functions of Uniform Cubic B-splines

In $u_{i} \leq u \leq u_{i+1}$, we get basis functions by substituting $0 \leq u \leq 1$.

$$
\begin{aligned}
& \mathrm{B}_{0}(\mathrm{u})=\frac{1}{6}(1-\mathrm{u})^{3} \\
& \mathrm{~B}_{1}(\mathrm{u})=\frac{1}{6}\left(3 \mathrm{u}^{3}-6 \mathrm{u}^{2}+4\right) \\
& \mathrm{B}_{2}(\mathrm{u})=\frac{1}{6}\left(-\mathrm{u}^{3}+3 \mathrm{u}^{2}+3 \mathrm{u}+1\right) \\
& \mathbf{B}_{3}(\mathrm{u})=\frac{1}{6} \mathbf{u}^{3}
\end{aligned}
$$

## Uniform Cubic B-splines

- ith cubic segment

$$
Q_{i}(u)=\sum_{k=0}^{3} p_{i-3+k} B_{i-3+k}(u)
$$

$k$ : local control point index
$u$ : local control parameter, $0 \leq u \leq 1$
A cubic $B$-spline is a series of $m$-2 curve segments, $Q_{3}, Q_{4}, \cdots, Q_{m}$, that approximate a series of $m+1$ control points $P_{0}, P_{1}, \cdots, P_{m}, \quad m \geq 3$

## Uniform Cubic B-splines

$Q_{3}$ is defined $P_{0} P_{1} P_{2} P_{3}$ which are scaled by $B_{0} B_{1} B_{2} B_{3}$
$Q_{4}$ is defined $P_{1} P_{2} P_{3} P_{4}$ which are scaled by $B_{1} B_{2} B_{3} B_{4}$
$Q_{5}$ is defined $P_{2} P_{3} P_{4} P_{5}$ which are scaled by $B_{2} B_{3} B_{4} B_{5}$


## Uniform Quadratic B-splines

- Let $d=n=3$, we need $n+d+1=7$ knot values:

$$
\{0,1,2,3,4,5,6\} .
$$

- Get blending functions using Cox-deBoor Algorithm

$B_{0,3}(u)=\frac{u}{2} B_{0,2}(u)+\frac{3-u}{2} B_{1,2}(u)$
Read text book!!


$Q_{i}(u)=\sum_{k=0}^{2} p_{i-2+k} B_{i-2+k, 3}(u)$

integer knot vector


## Uniform B-splines(Example)

- The curve is defined from $u_{d-1}=2$ to $u_{n+1}=4$
- We can get starting and ending positions (boundary condition) of the curve:

$$
Q_{\text {begin }}=\frac{1}{2}\left(p_{0}+p_{1}\right), \quad Q_{e n d}=\frac{1}{2}\left(p_{2}+p_{3}\right)
$$

by applying $u=2$ and $u=4$ to the $Q(u)$.
In general, weighted average of $d-1$ control points.

- Derivatives at the starting and ending position

$$
Q_{\text {begin }}^{\prime}=p_{1}-p_{0}, \quad Q_{\text {end }}^{\prime}=p_{3}-p_{2}
$$

## Uniform Cubic B-splines

- Using a general cubic polynomial expression and the following boundary conditions:

$$
\begin{array}{ll}
\mathrm{Q}(0)=\frac{1}{6}\left(\mathrm{p}_{0}+4 \mathrm{p}_{1}+\mathrm{p}_{2}\right) & \mathrm{Q}(1)=\frac{1}{6}\left(\mathrm{p}_{1}+4 \mathrm{p}_{2}+\mathrm{p}_{3}\right) \\
\mathrm{Q}^{\prime}(0)=\frac{1}{2}\left(\mathrm{p}_{2}-\mathrm{p}_{0}\right) & \mathrm{Q}^{\prime}(1)=\frac{1}{2}\left(\mathrm{p}_{3}-\mathrm{p}_{1}\right)
\end{array}
$$

$\Longrightarrow$ We can get a matrix formulation:

$$
\mathrm{Q}_{\mathrm{i}}(\mathrm{u})=\left[\begin{array}{llll}
u^{3} & u^{2} & \mathrm{u} & 1
\end{array}\right] \frac{1}{6}\left[\begin{array}{cccc}
-1 & 3 & -3 & 1 \\
3 & -6 & 3 & 0 \\
-3 & 0 & 3 & 0 \\
1 & 4 & 1 & 0
\end{array}\right]\left[\begin{array}{l}
\mathrm{p}_{0} \\
\mathrm{p}_{1} \\
\mathrm{p}_{2} \\
\mathrm{p}_{3}
\end{array}\right]
$$

## Convex Hull Property of B-Splines Curves

- B-spline curve of degree $d$-1 must lie within the union of all such convex hulls formed by taking $d$ successive defining polygon vertices.



## Uniform Cubic B-splines

- The effect of multiple control points
$\Rightarrow$ interpolate control points but the loss of continuity.

multiplicity
$1 \quad G_{2}$ continuous
2
$G_{1}$ continuous
3
$G_{0}$ continuous


## Non-uniform B-splines

- Non-uniform interval of knot values
- To permit the spline to interpolate control points by inserting multiple knots
- Knot vector is any non-decreasing sequence of knot
 values.


## Non-uniform B-splines

- knot vector: $[0,0,0,0,1,2,3,3,3,3]$
nine segment: $Q_{0}, Q_{1}, \cdots, Q_{8}$
$Q_{0}, Q_{1}, Q_{2}, Q_{6}, Q_{7}$, and $Q_{8}$ are reduced to a single point $Q_{3}, Q_{4}$, and $Q_{5}$ are defined over the range $0 \leq u \leq 3$
- knot vector $[0,0,0,0,1,1,1,1] \equiv$ Bezier curve $P_{0}, \ldots P_{3}$ control points


## B-Spline Surfaces

- Given the following information:
- a set of $m+1$ rows and $n+1$ column control points $\mathrm{p}_{i, j}$, where $1<=\mathrm{i}<=\mathrm{m}, 1<=\mathrm{j}<=\mathrm{n}$;
- a knot vector of $h+1$ knots in the $u$-direction,

$$
U=\left(u_{0}, u_{1}, u_{2}, \ldots, u_{h}\right)
$$

- a knot vector of $k+1$ knots in the $v$-direction,

$$
V=\left(v_{0}, v_{1}, v_{2}, \ldots, v_{k}\right)
$$

- the degree $p$ in the $u$-direction; and the degree $q$ in the $v$-direction;


## B-Spline Surfaces

The $B$-spline surface defined by these information is the following:

$$
Q(u, v)=\sum_{i=0}^{m} \sum_{j=0}^{n} B_{i, p}(u) B_{j, q}(v) p_{i j}
$$



## B-Spline Surfaces

The coefficient of control point $\mathbf{p}_{i j}$ is the product of two onedimensional B -spline basis functions, one in the $u$-direction, $B_{i, p}(u)$, and the other in the $v$-direction, $B_{j, 9}(V)$. All of these products are two-dimensional B -spline functions. The following figures show the basis functions of control points $\mathbf{p}_{2.0}, \mathbf{p}_{2.1} \mathbf{p}_{2.2}, \mathbf{p}_{2,3}, \mathbf{p}_{2.4}$ and $\mathbf{p}_{2.5}$


## NURBS

- NURBS(non-uniform rational B-spline)
- Adding some relative weight to the control point for extra control facility
- Can represent more various curves such as circles and cylinders
- More useful for interpolation
- Invariant w.r.t a projective transformation


## NURBS

$$
\begin{aligned}
& P_{i}^{w}=\left(w_{i} x_{i}, w_{i} y_{i}, w_{i} z_{i}, w_{i}\right) \\
& P(u)=\frac{\sum_{i=0}^{n} p_{i} w_{i} B_{i, k}(u)}{\sum_{i=0}^{n} w_{i} B_{i, k}(u)} \\
& =\sum_{i=0}^{n} p_{i} R_{i, k}(u) \\
& w_{i}=\text { weight }
\end{aligned}
$$

- $W_{i}=1$ for all $i \Rightarrow R_{i, k}(u)=B_{i, k}(u)$
- extra shape parameter
$\circ W_{i}$ increase $\Rightarrow$ curve is pulled toward control point $P_{i}$


## Drawing Curves

- Forward-differencing method : to plot a curve or a surface, a polynomial must be evaluated at successive $t$ values with fixed increments.

$$
\begin{aligned}
& \text { For } P(t)=a t^{3}+b t^{2}+c t+d, 0 \leq t \leq 1 \\
& P_{i}=P(i / n)=a(i / n)^{3}+b(i / n)^{2}+c(i / n)+d \\
& P_{i+1}-P_{i}=a\left\{((i+1) / n)^{3}-(i / n)^{3}\right\} \\
& +b\left\{((i+1) / n)^{2}-(i / n)^{2}\right\}+c\{((i+1) / n)-(i / n)\} \\
& \Delta_{1, i}=\frac{a}{n^{3}}\left(3 i^{2}+3 i+1\right)+\frac{b}{n^{2}}(2 i+1)+\frac{c}{n} \\
& \Delta_{2, i}=\Delta_{1, i+1}-\Delta_{1, i}=6(i+1) \frac{c}{n^{3}}+\frac{2 b}{n^{2}} \\
& \Delta_{3, i}=\Delta_{2, i+1}-\Delta_{2, i}=\frac{6 a}{n^{3}}
\end{aligned}
$$

## Drawing Curves

- Recursive subdivision
- stops when the control points get sufficiently close to the curve
- need flatness test
- Bezier curve - divide the control points


## Drawing Bezier Curves



$$
\begin{aligned}
& R_{0}=Q_{0} \\
& R_{1}=\left(Q_{0}+Q_{1}\right) / 2 \\
& R_{2}=R_{1} / 2+\left(Q_{1}+Q_{2}\right) / 4 \\
& R_{3}=\left(R_{2}+S_{1}\right) / 2 \\
& \\
& S_{0}=R_{3} \\
& S_{1}=\left(Q_{1}+Q_{2}\right) / 4+S_{2} / 2 \\
& S_{2}=\left(Q_{2}+Q_{3}\right) / 2 \\
& S_{3}=Q_{3}
\end{aligned}
$$

## Comparison of Surface

Comparison of Four Different Forms of Parametric Cubic Curves

|  | Hermite | Bézier | Uniform <br> B-Spline | Nonuniform <br> B-spline |
| :--- | :--- | :--- | :--- | :--- |
| Convex hull <br> defined by <br> control points | N/A | Yes | Yes | Yes |
| Interpolates <br> some control <br> points | Yes | Yes | No | No |
| Interpolates all <br> control points | Yes | No | No | No |
| Ease of | Good | Best | Average | High |
| subdivision | $C^{\text {Continuities }}$inherent in <br> representation | $G^{0}$ | $C^{0}$ | $C^{2}$ |
| Continuities | $C^{1}$ | $C^{1}$ | $C^{2}$ | $C^{2}$ |
| Conieved easily <br> achi | $G^{1}$ | 4 | $G^{2}:$ | $C^{2}$ |
| Number of <br> parameters <br> controlling acurve <br> segment | 4 | 4 | $G^{2}$ |  |

[^0]
[^0]:    'Except for special case discussed in Section 9.2.

