

**2018 Fall**

# **“Phase Transformation *in* Materials”**

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## Contents for previous class

# Chapter 1 Thermodynamics and Phase Diagrams

- **Equilibrium** -  $dG = 0$  **Lowest possible value of G**  
*No desire to change ad infinitum*

- **Phase Transformation**  $\Delta G = G_2 - G_1 < 0$

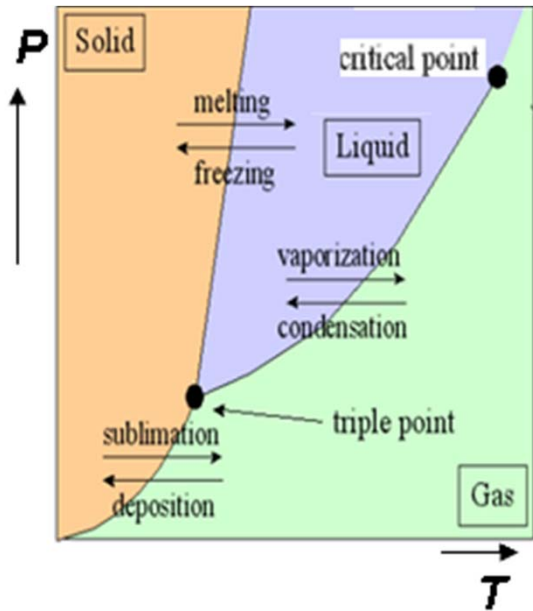
- **Single component system**

Gibbs Free Energy as a Function of **Temp.** and **Pressure**

$$\left(\frac{\partial G}{\partial T}\right)_P = -S, \quad \left(\frac{\partial G}{\partial P}\right)_T = V \quad \left(\frac{dP}{dT}\right)_{eq} = \frac{\Delta H}{T_{eq} \Delta V} \quad \text{Clausius-Clapeyron Relation}$$

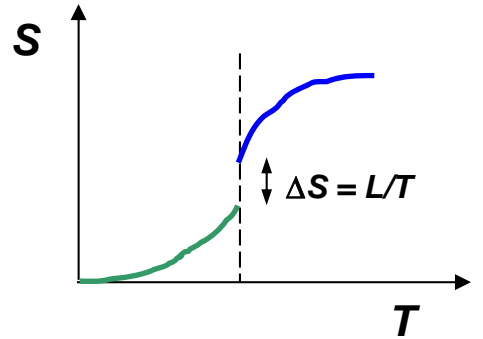
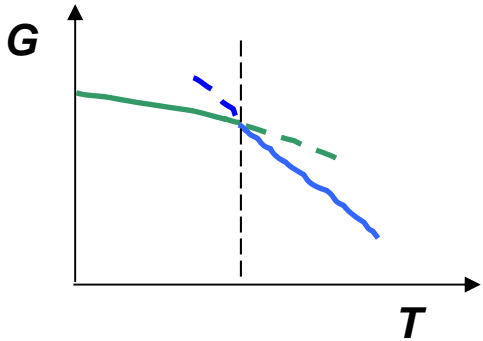
- **Classification of phase transition**

First order transition: **CDD**/Second order transition: **CCD**

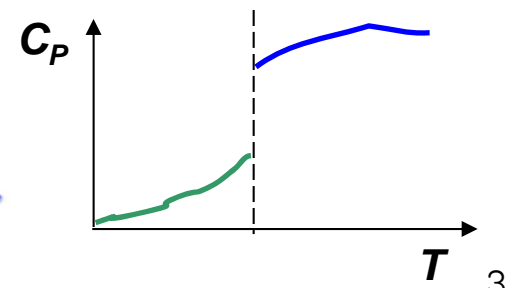


# The First-Order Transitions

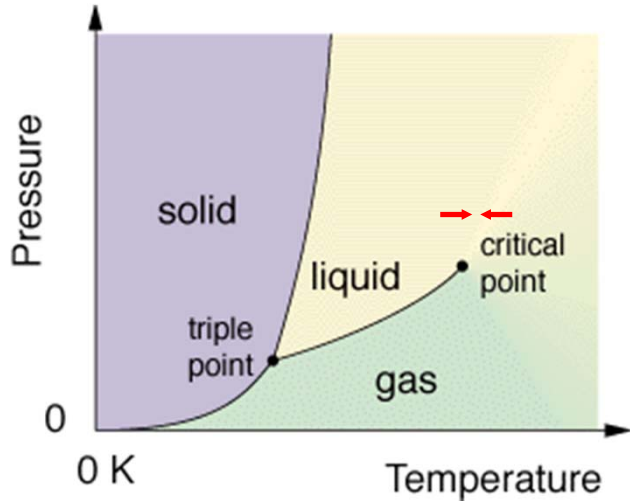
Latent heat  
**Energy barrier**  
 Discontinuous entropy, heat capacity



$$C_P = T \left( \frac{\partial S}{\partial T} \right)_{P,N}$$



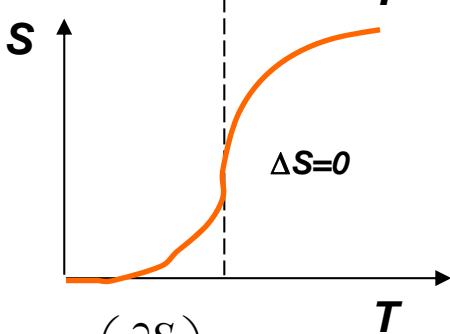
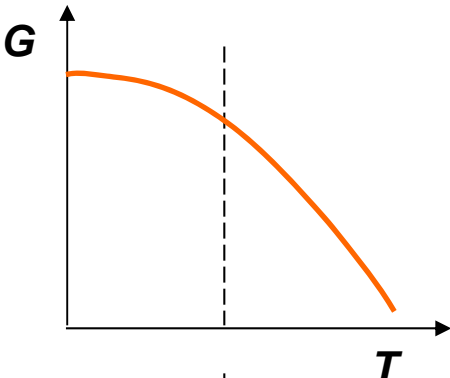
- First Order Phase Transition at  $T_T$ :
    - G is **continuous** at  $T_T$
    - First derivatives of G (V, S, H) are **discontinuous** at  $T_T$
- $$V = \left( \frac{\partial G}{\partial P} \right)_T \quad S = - \left( \frac{\partial G}{\partial T} \right)_P \quad H = G - T \left( \frac{\partial G}{\partial T} \right)_P$$
- Second derivatives of G ( $\alpha$ ,  $\beta$ ,  $C_p$ ) are **discontinuous** at  $T_T$
- $$C_P = \left( \frac{\partial H}{\partial T} \right)_P \quad \alpha = \frac{1}{V} \left( \frac{\partial V}{\partial T} \right)_P \quad \beta = \frac{-1}{V} \left( \frac{\partial V}{\partial P} \right)_T$$
- **Examples:** Vaporization, Condensation, Fusion, Crystallization, Sublimation.



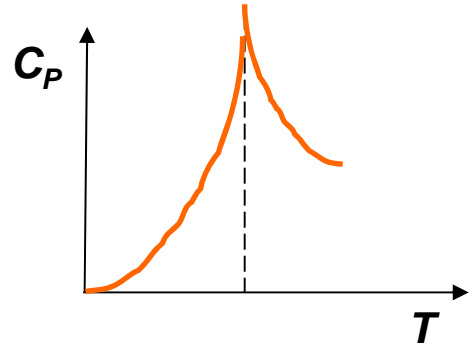
# The Second Order Transition

No Latent heat  
Continuous entropy

*Second-order transition*



$$C_P = T \left( \frac{\partial S}{\partial T} \right)_{P,N} \rightarrow \infty$$



• Second Order Phase Transition at  $T_T$ :

- $G$  is continuous at  $T_T$
- First derivatives of  $G$  ( $V, S, H$ ) are continuous at  $T_T$

$$V = \left( \frac{\partial G}{\partial P} \right)_T \quad S = - \left( \frac{\partial G}{\partial T} \right)_P \quad H = G - T \left( \frac{\partial G}{\partial T} \right)_P$$

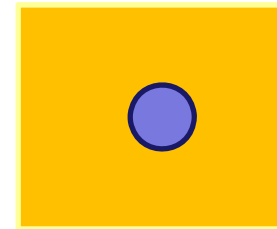
- Second derivatives of  $G$  ( $\alpha, \beta, C_p$ ) are discontinuous at  $T_T$

$$C_P = \left( \frac{\partial H}{\partial T} \right)_P \quad \alpha = \frac{1}{V} \left( \frac{\partial V}{\partial T} \right)_P \quad \beta = \frac{-1}{V} \left( \frac{\partial V}{\partial P} \right)_T$$

- **Examples:** Order-Disorder Transitions in Metal Alloys, Onset of Ferromagnetism, Ferroelectricity, Superconductivity.

# Melting and Crystallization are Thermodynamic Transitions

**Solidification:** Liquid  $\rightarrow$  Solid



<Thermodynamic>

• Interfacial energy  $\Rightarrow \Delta T_N$

Liquid

$T_m$

Undercooled Liquid

Solid

No superheating required!

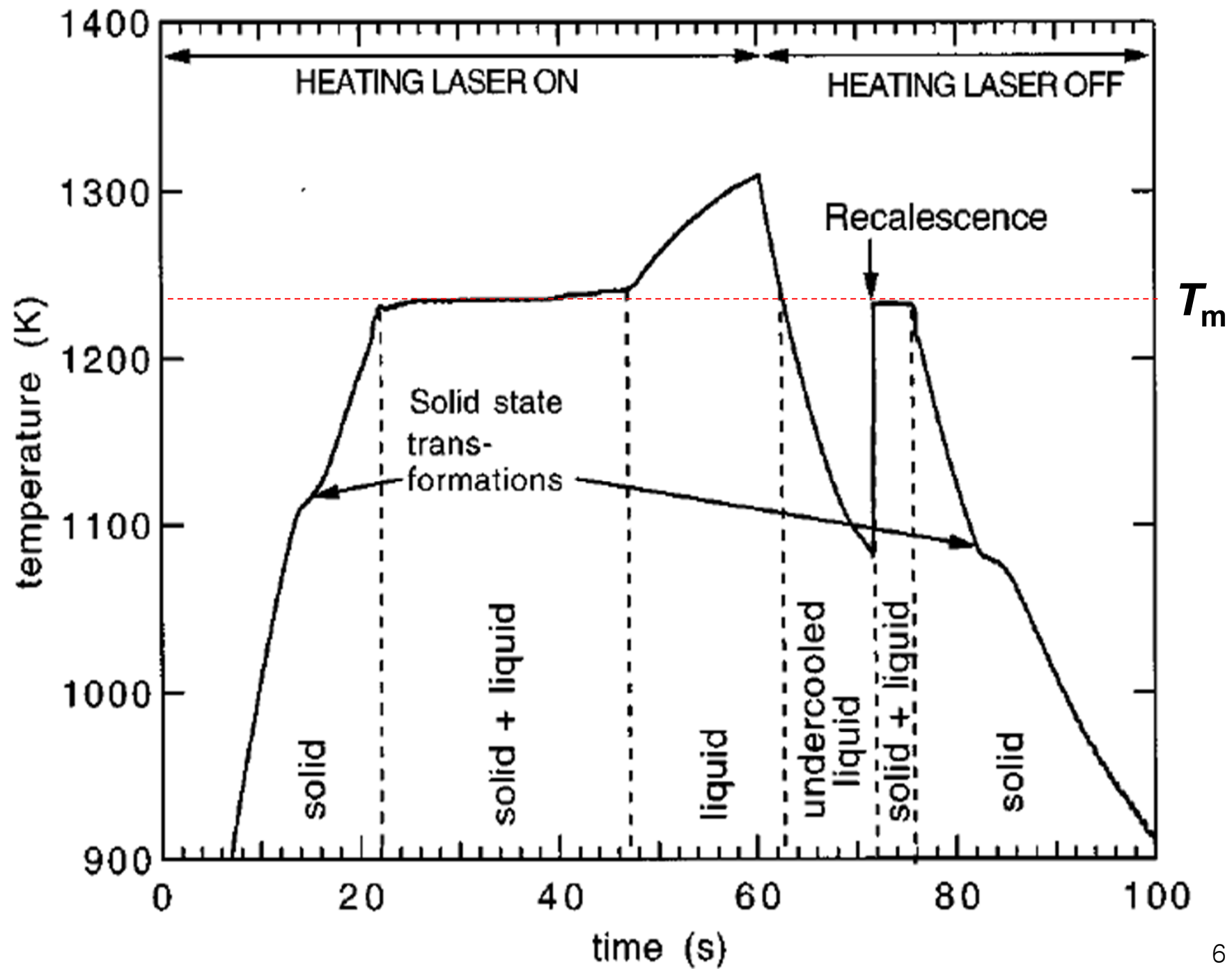
• Interfacial energy  $\Rightarrow$  No  $\Delta T_N$

$$\gamma_{SL} + \gamma_{LV} < \gamma_{SV}$$

vapor



**Melting:** Liquid  $\leftarrow$  Solid



## Contents for today's class

- **Binary System** mixture/ solution / compound  
**Hume-Rothery Rules for Alloys**
- **Gibbs Free Energy in Binary System**  
**Ideal solution** and Regular solution
- **Chemical potential** and Activity

**Multi-component system:**

**Q1: What are binary systems?**

**“Mixture vs. Solution vs. Compound”**



\* **Single component system** One element (Al, Fe), One type of molecule (H<sub>2</sub>O)

: Equilibrium depends on **pressure** and **temperature**.

\* **Binary system (two components)** → A, B

: Equilibrium depends on not only **pressure and temperature** but also **composition**.

- **Mixture** ; A – A, B – B ; → the physical combination of two or more substances on which the identities and boundaries are retained.

A

B

Alluvial mining



사금 채취



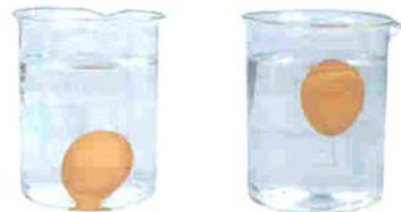
키질

Winnowing

wash rice



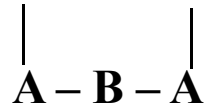
쌀 씻기



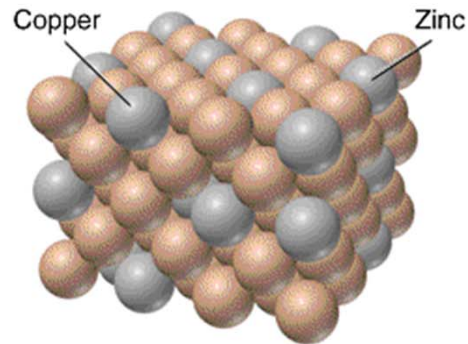
달걀 고르기

Select egg

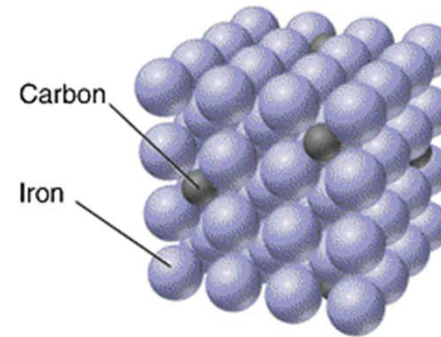
- **Solution** ; A - A - A ; → atomic scale mixture/ **Random distribution**



**Solid solution : substitutional or interstitial**



A Brass, a substitutional alloy

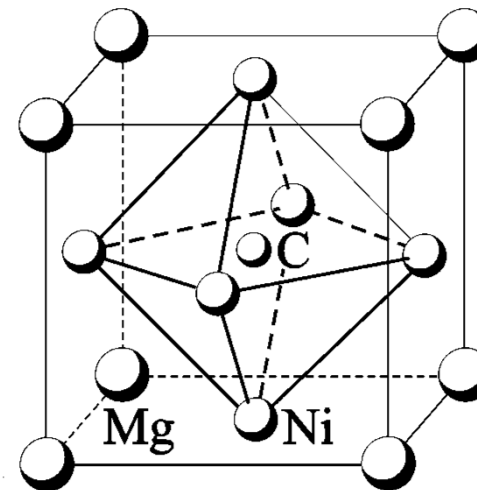


B Carbon steel, an interstitial alloy

- **Compound** ; A - B - A - B ; → fixed A, B positions/ **Ordered state**



**MgCNi<sub>3</sub>**



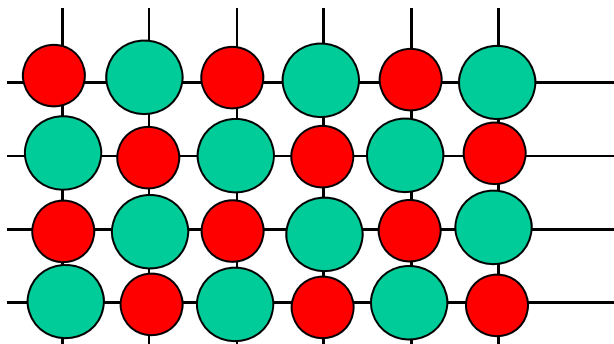
## **Q2: What is “Alloying”?**

**Ordered Compounds or Solid Solutions**

# "Alloying": atoms mixed on a lattice Ordered Compounds and Solid Solutions

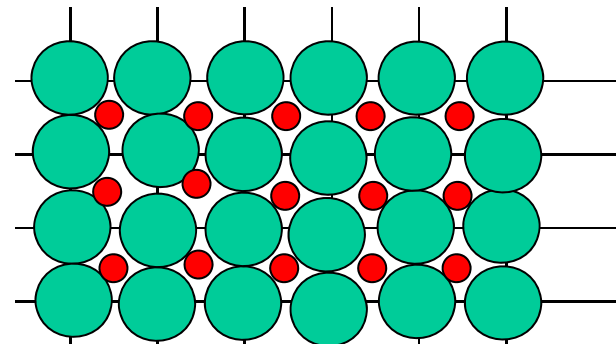
## Ordered Substitutional and Interstitials Compounds

**Substitutional**  
element replaces host atoms  
in an orderly arrangement



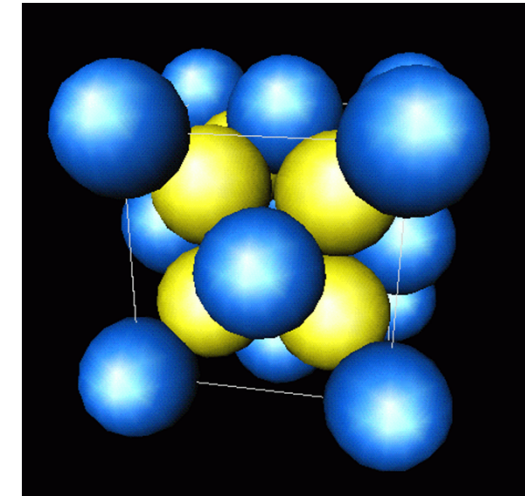
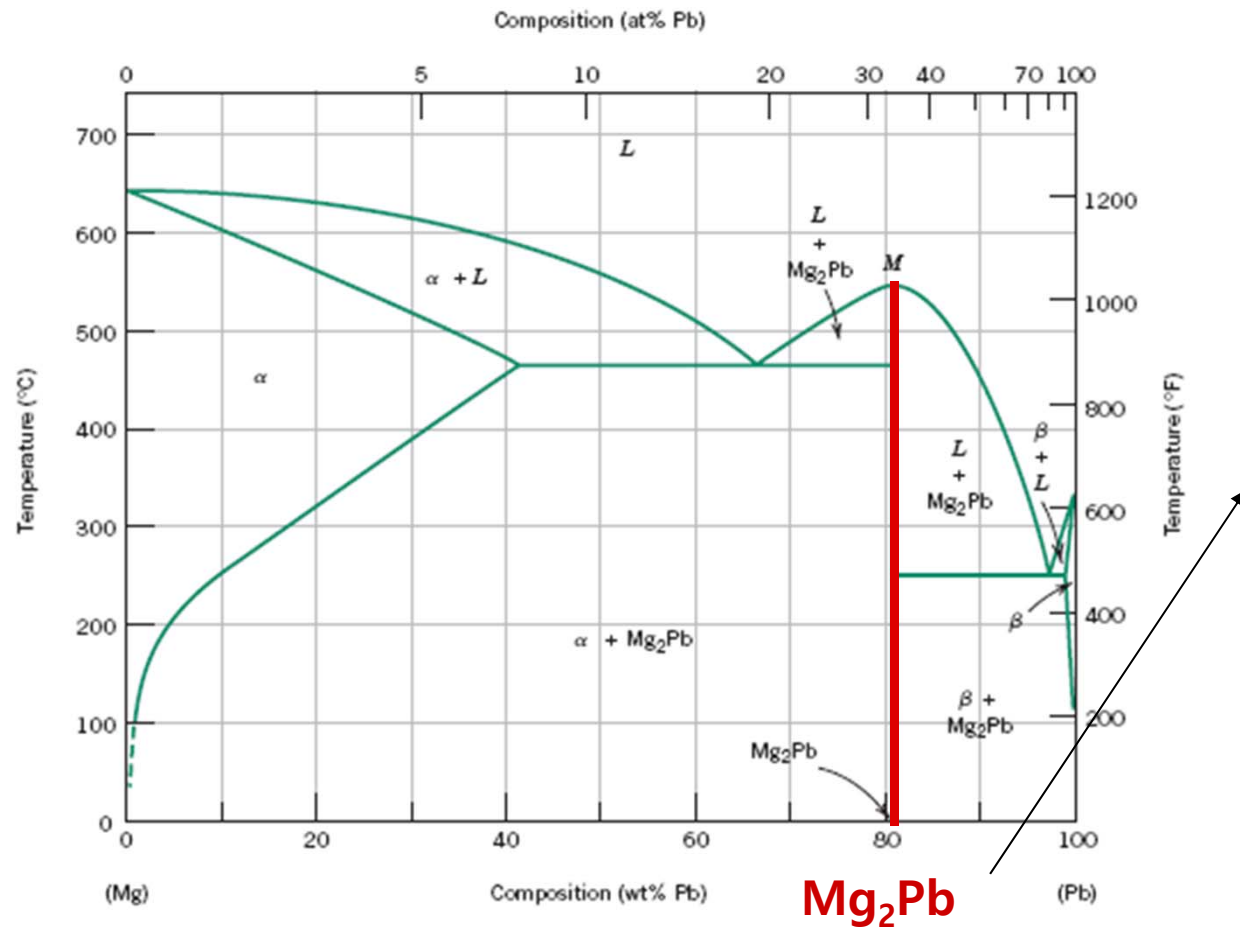
e.g.,  $\text{Ni}_3\text{Al}$  (hi-T yield strength),  
 $\text{Al}_3(\text{Li},\text{Zr})$  (strengthening)

**Interstitial**  
element goes into holes  
in an orderly arrangement



e.g., small impurities, clays  
ionic crystals, ceramics.

# Intermetallic Compounds

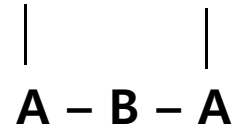


## Antifluorite Structure:

- FCC unit cell with anions occupying FCC sites
- Cations occupying 8 octahedral interstitial sites

Intermetallic compounds form lines - not areas - because stoichiometry (i.e. composition) is exact.

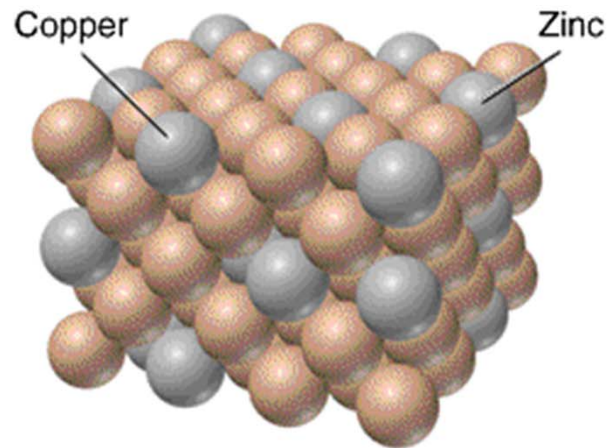
- **Solution** ; A – A – A ; → atomic scale mixing, **Random distribution**



**Two Possibilities for Solid Solutions:** B atoms in A atoms

**Substitutional**

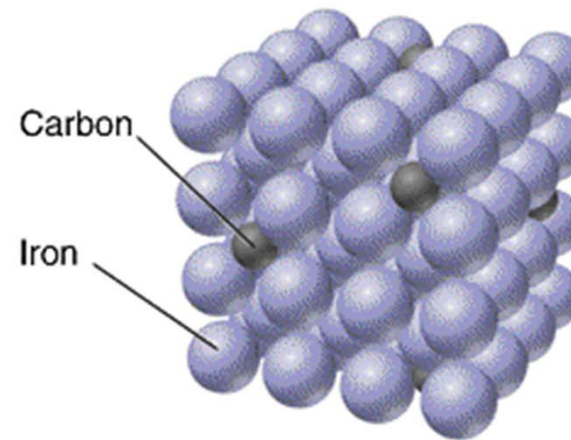
‘new element replaces host atoms’



**A** Brass, a substitutional alloy

**Interstitials**

‘new element goes in holes’

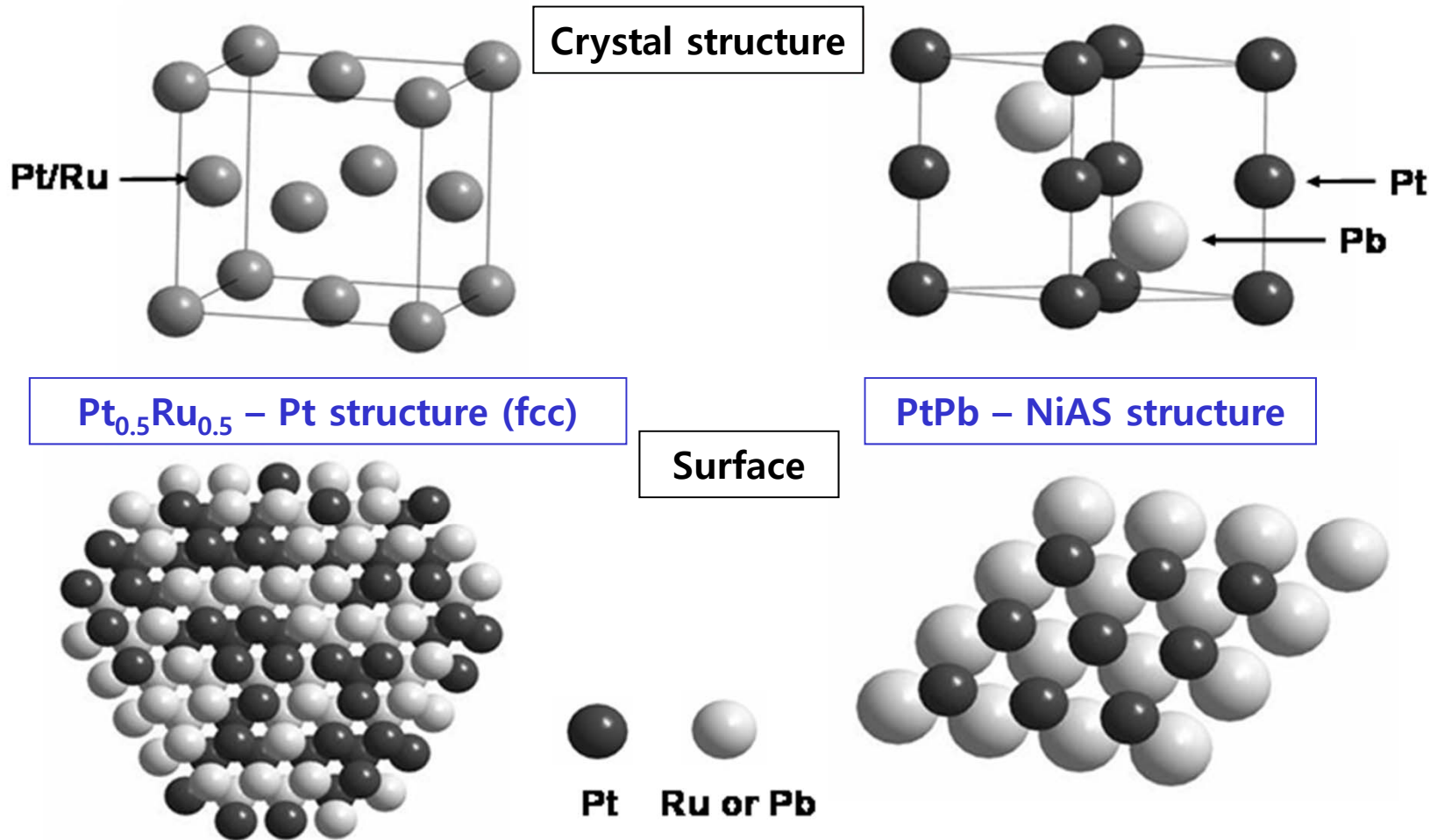


**B** Carbon steel, an interstitial alloy

**Q3: “Solution vs. Intermetallic compound”?**

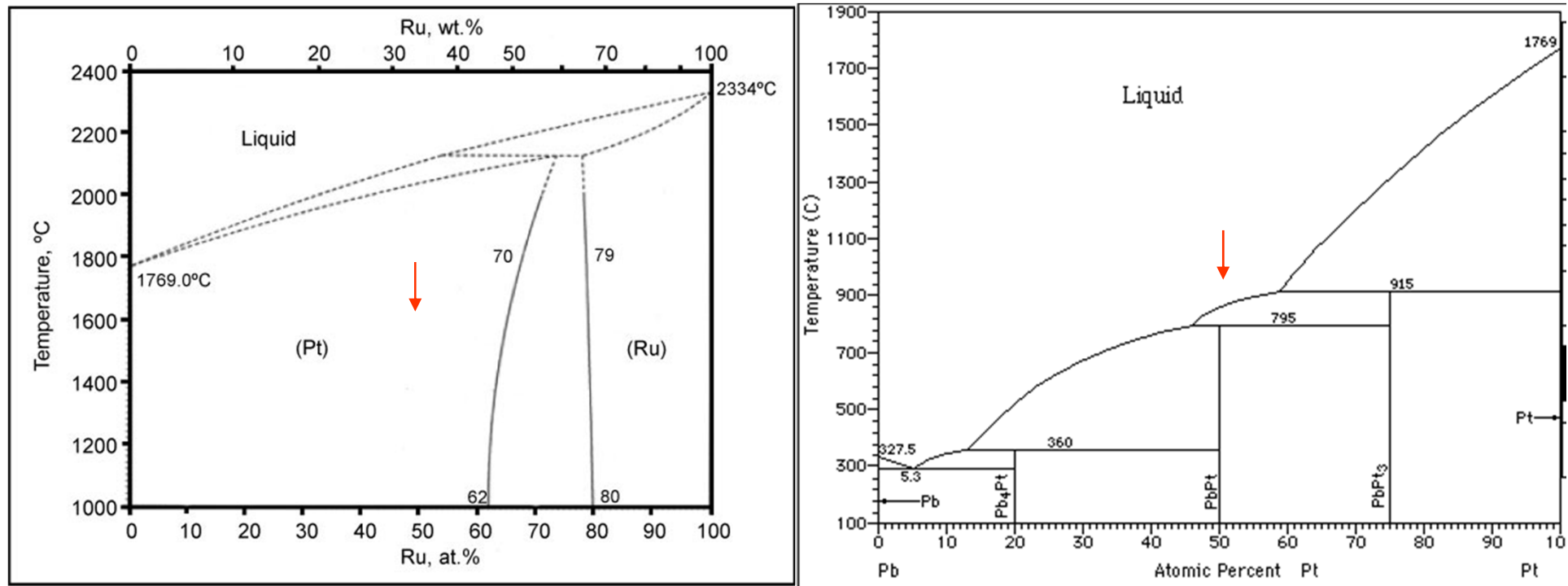
**Alloying:** atoms mixed on a lattice → solid solution and ordered compound

## Solid Solution vs. Intermetallic Compound





# Solid Solution vs. Intermetallic Compounds



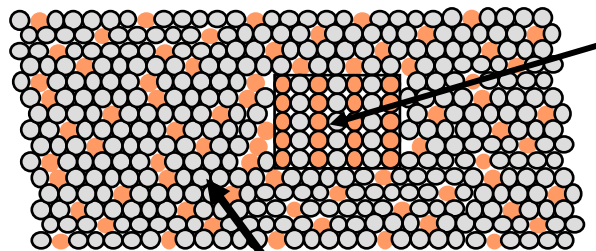
$Pt_{0.5}Ru_{0.5}$  – Pt structure (fcc)

PbPt – Ni<sub>3</sub>Al structure

**Assumption:** a simple physical model for “binary solid solutions”  
: in order to introduce some of the basic concepts of the thermodynamics of alloys

## Particles of New Phase in Solid-Solution Alloys

- Solid solution of **B** in A plus particles of a new phase (usually for a larger amount of B)

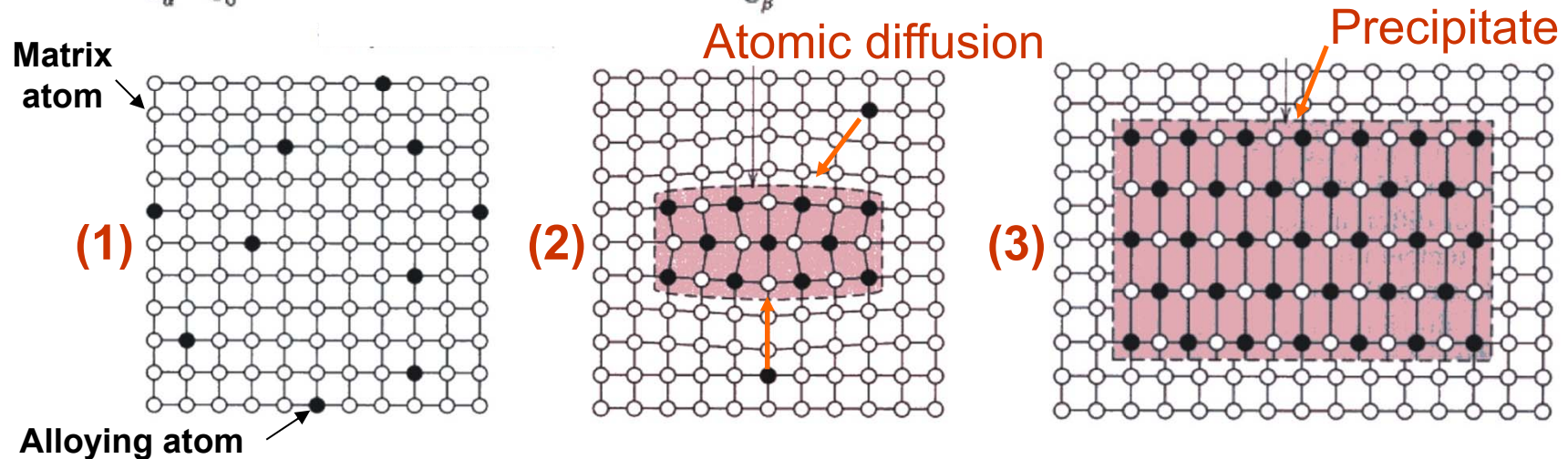
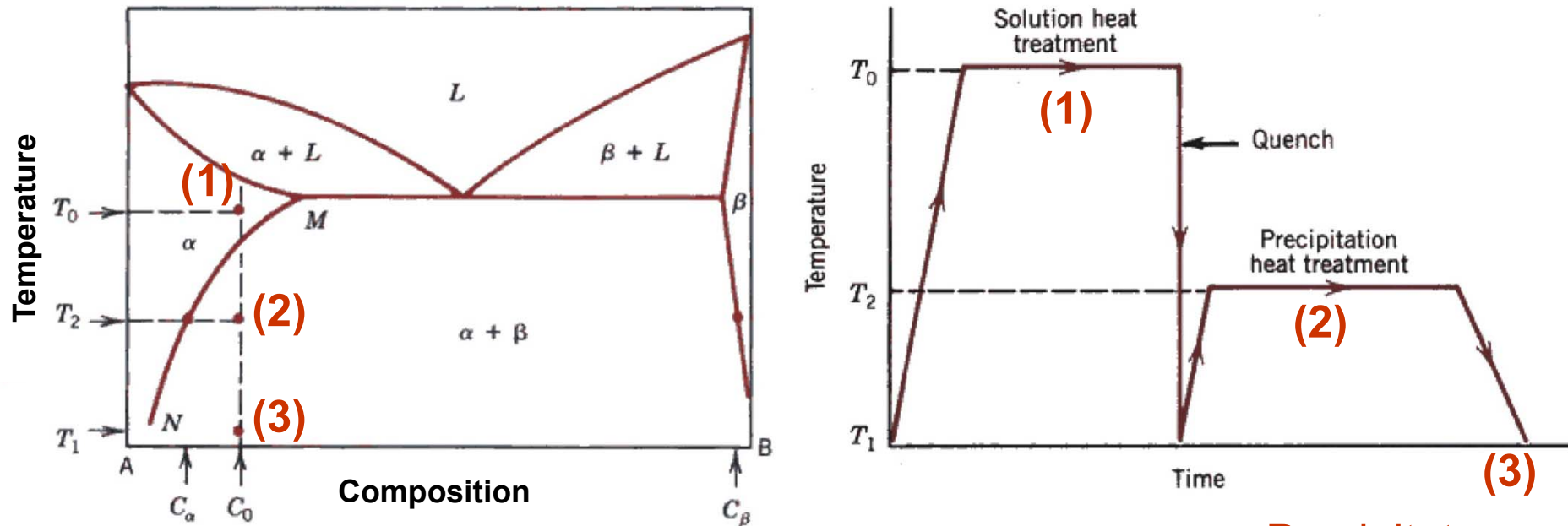


Second phase particle  
--different **composition**  
--often different **structure**.

Solid Solution phase: B atoms in A

## 5) Microstructure control : ② Secondary phase control

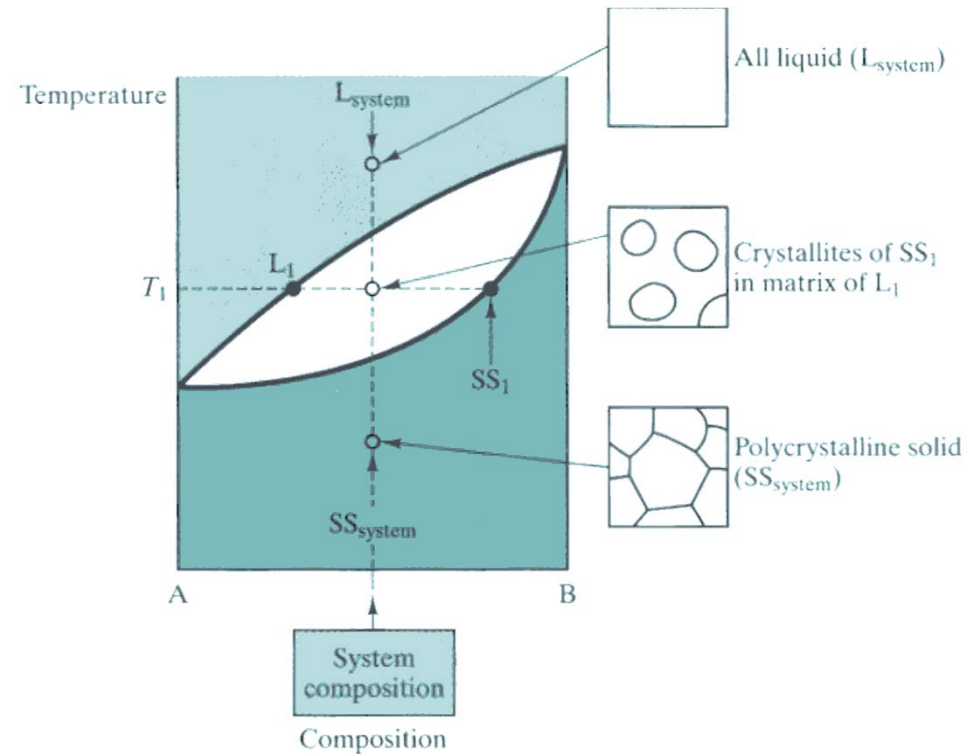
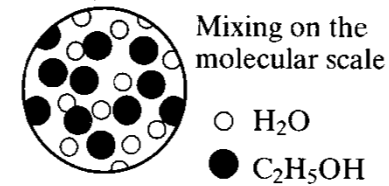
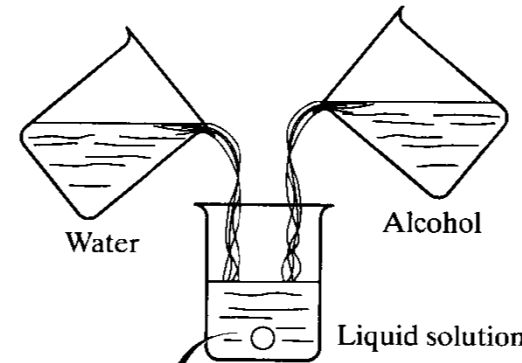
### c. Mechanism of Precipitation



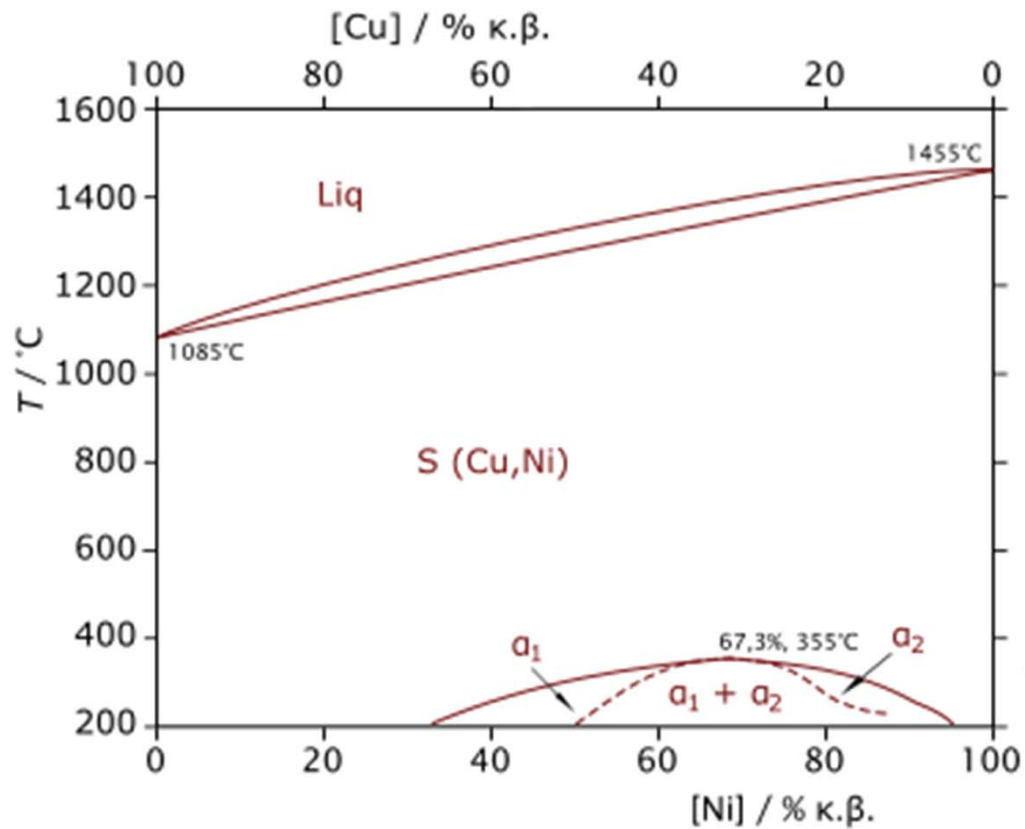
**Q4: How can we classify “Solubility”?**

# Solubility

- Unlimited Solubility
  - Hume Rothery' Conditions
    - Similar Size
    - Same Crystal Structure
    - Same Valance
    - Similar Electronegativity
  - Implies single phase
- Limited Solubility
  - Implies multiple phases
- No Solubility
  - oil and water region

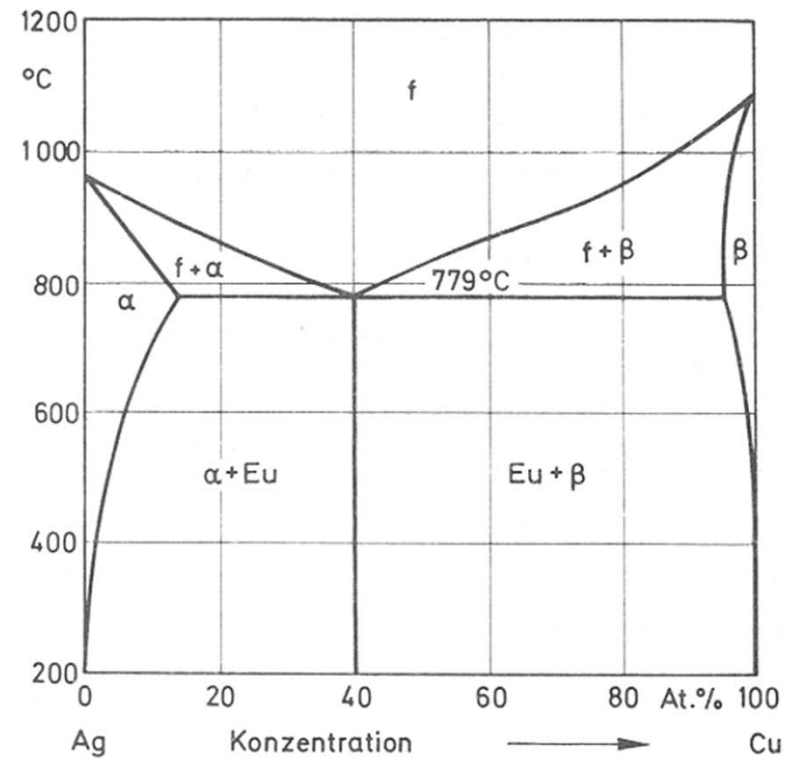


## Cu-Ni Alloys



**complete solid solution**

## Cu-Ag Alloys



**limited solid solution**

**\* Complete immiscibility of two metals does not exist.**

**: The solubility of one metal in another may be so low (e.g. Cu in Ge  $< 10^{-7}$  at%.) that it is difficult to detect experimentally, but there will always be a measure of solubility.**

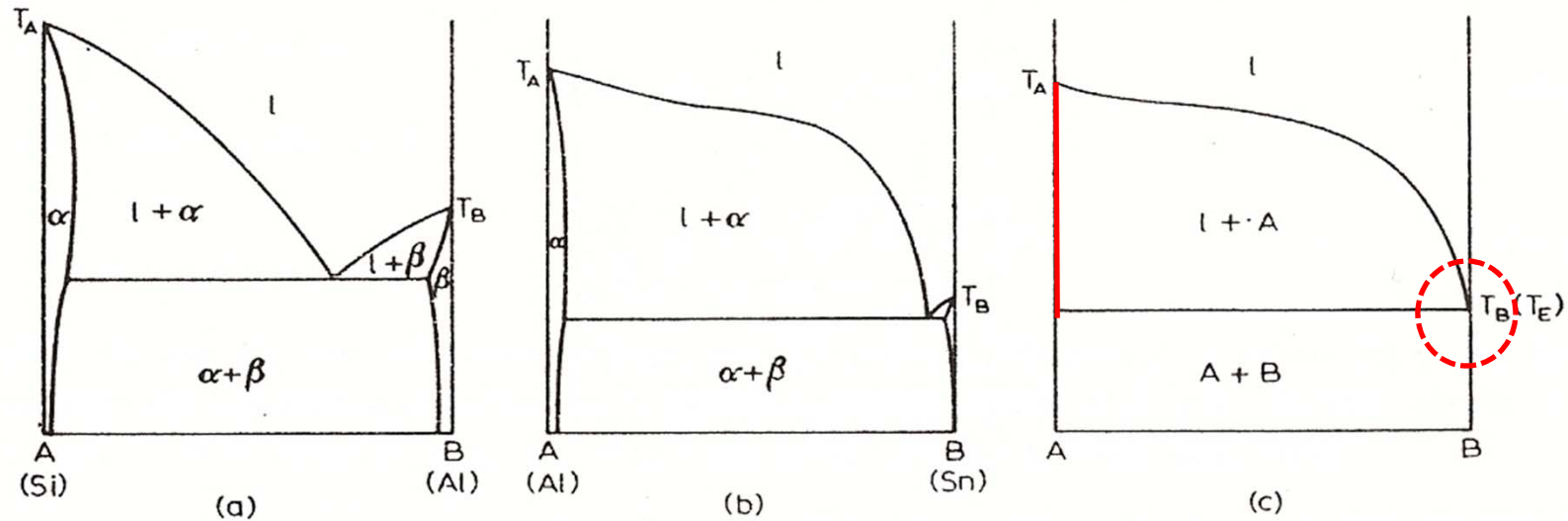


Fig. 53. Evolution of the limiting form of a binary eutectic phase diagram.

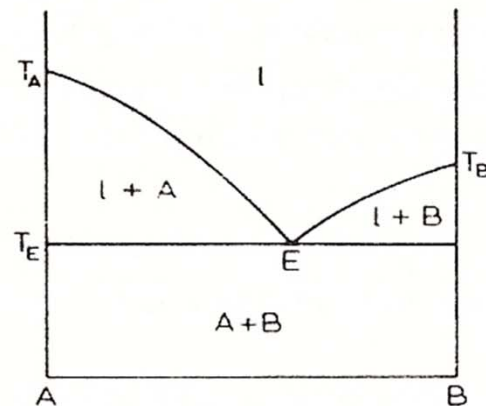
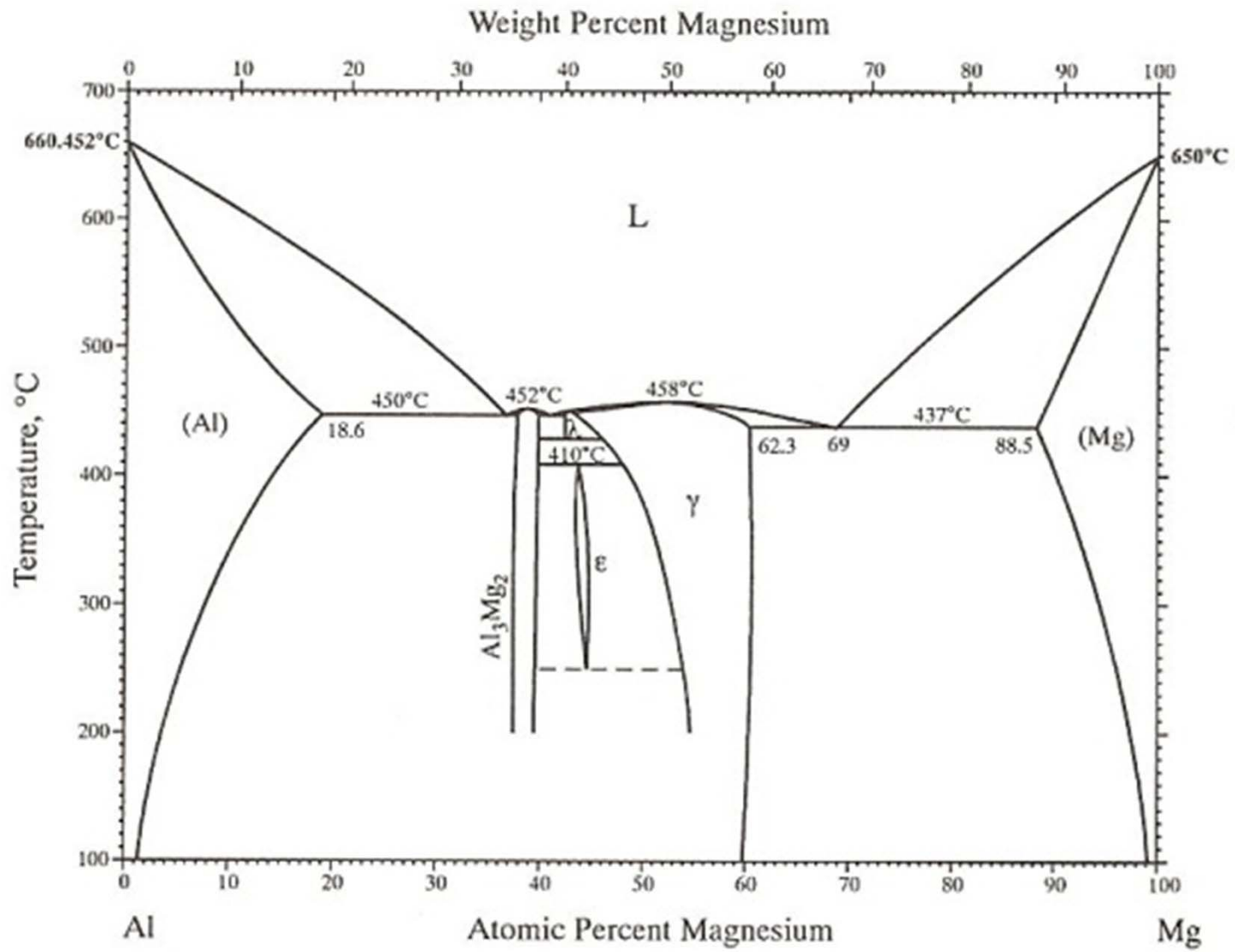
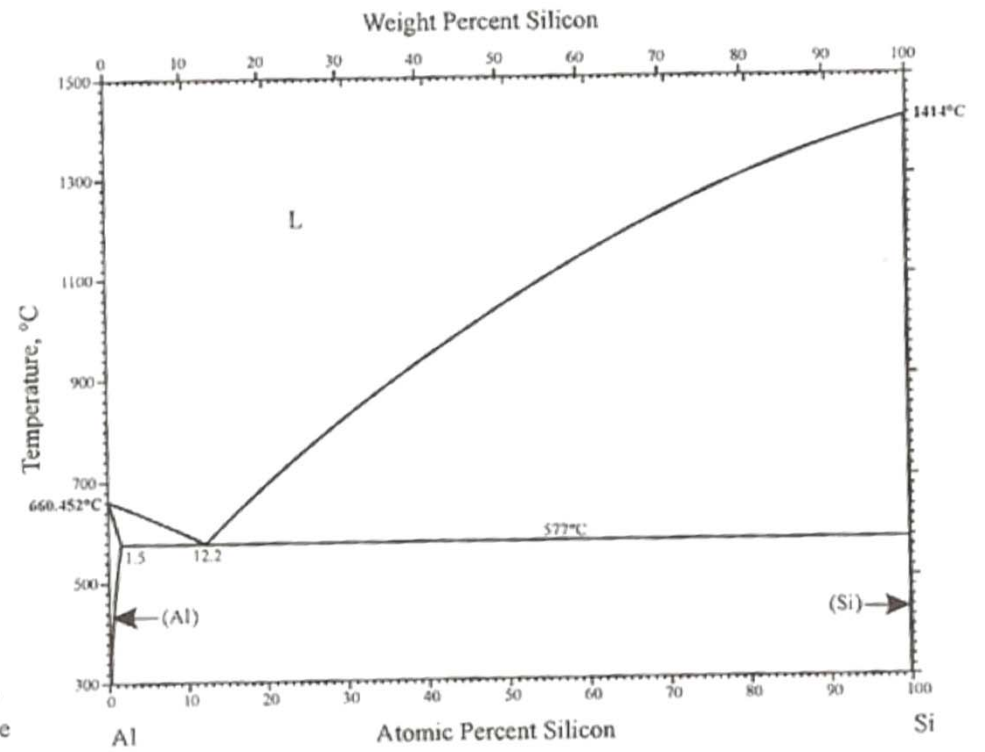
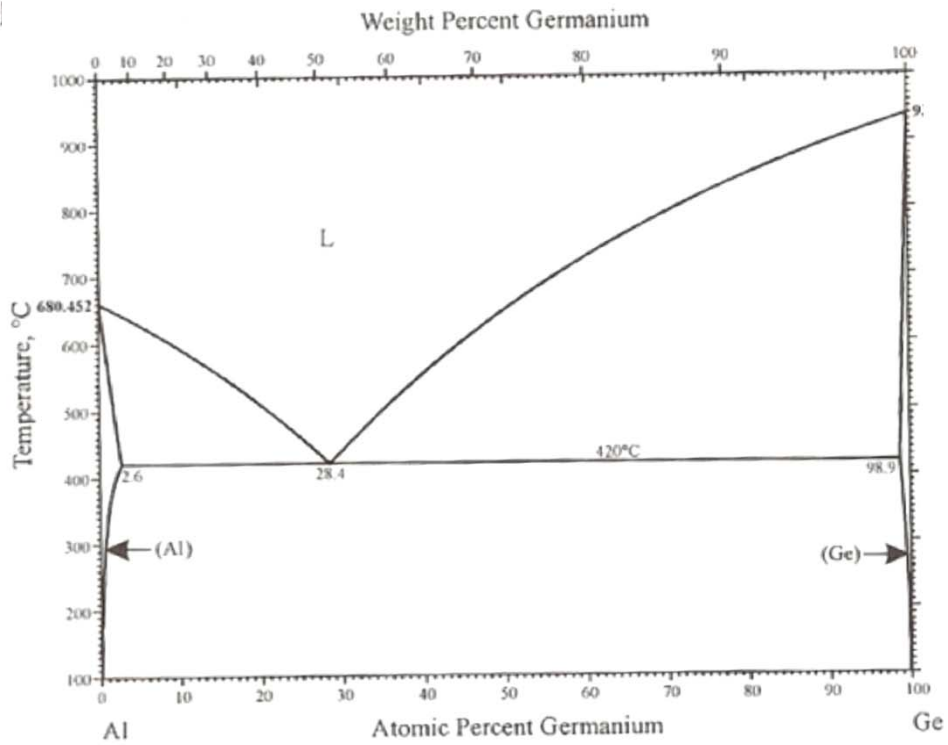
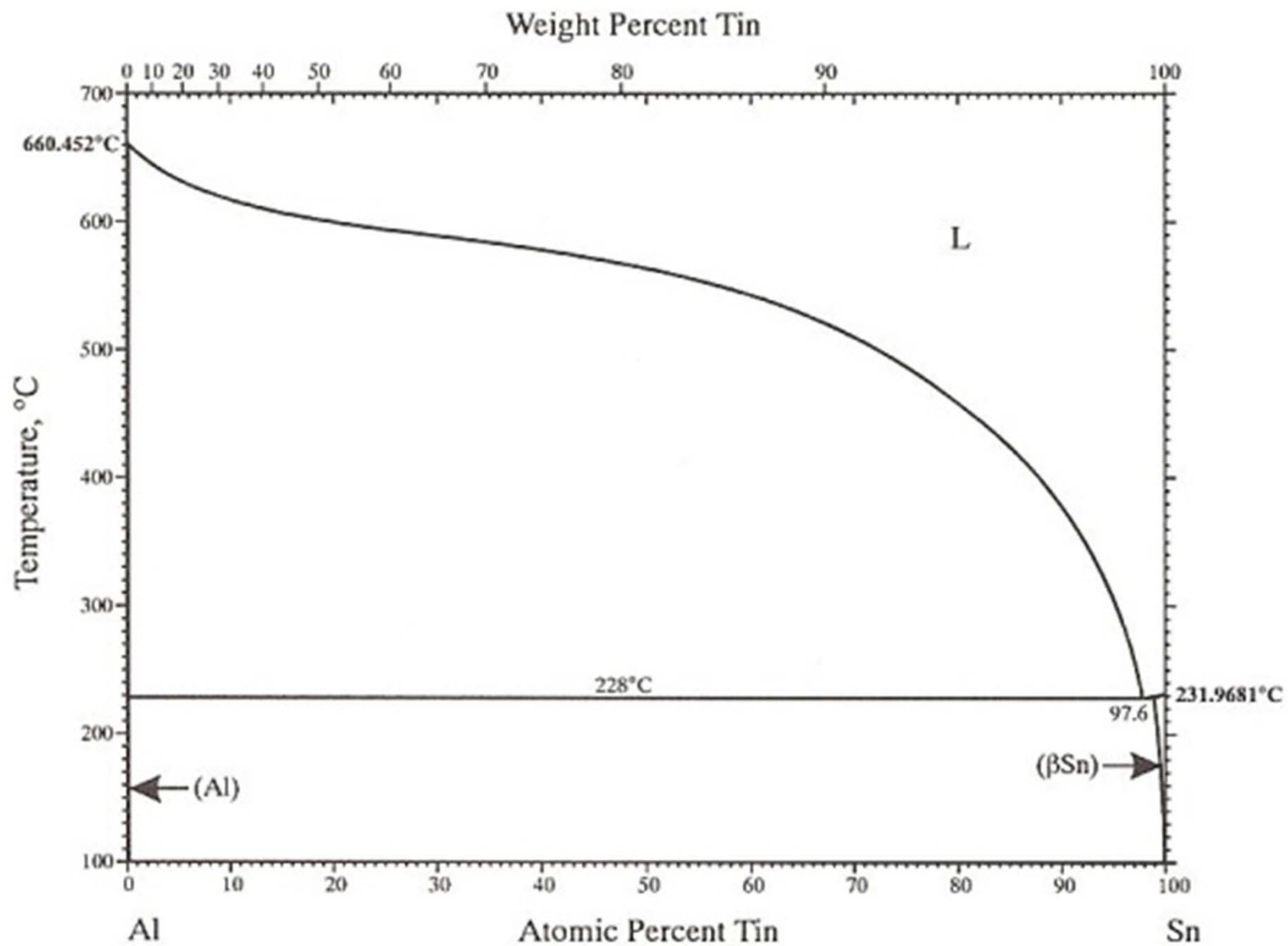


Fig. 54. Impossible form of a binary eutectic phase diagram.







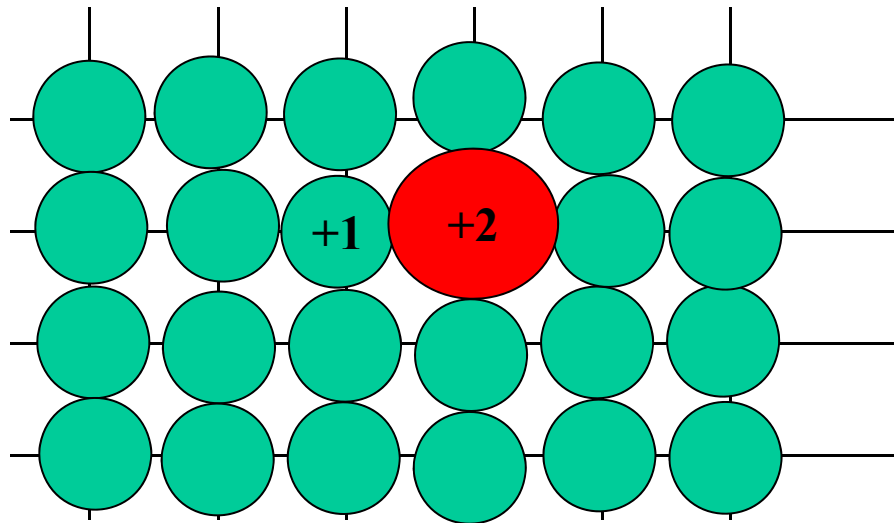


**Q5: Can we roughly estimate  
what atoms will form solid solutions?**

**“Hume-Rothery Rules”**

## Hume-Rothery Rules for Alloys (atoms mixing on a lattice)

Will mixing 2 (or more) different types of atoms lead to a solid-solution phase?



Empirical observations have identified 4 major contributors through :

**Atomic Size Factor , Crystal Structure, Electronegativity, Valences**

# Hume-Rothery Rules for Mixing

Empirical rules for substitutional solid-solution formation were identified from experiment that are not exact, but give an expectation of formation.

**Briefly,**

## 1) Atomic Size Factor      **The 15% Rule**

If "size difference" of elements are greater than  $\pm 15\%$ , the lattice distortions (i.e. local lattice strain) are too big and solid-solution will not be favored.

$$\text{DR}\% = \frac{r_{\text{solute}} - r_{\text{solvent}}}{r_{\text{solvent}}} \times 100\% < \pm 15\% \text{ will } \underline{\text{not disallow}} \text{ formation.}$$

## 2) Crystal Structure      **Like elemental crystal structures are better**

For appreciable solubility, the crystal structure for metals must be the same.

## 3) Electronegativity      **DE ~ 0 favors solid-solution.**

The more electropositive one element and the more electronegative the other, then "intermetallic compounds" (order alloys) are more likely.

## 4) Valences      **Higher in lower alright. Lower in higher, it's a fight.**

A metal will dissolve another metal of higher valency more than one of lower valency.

# Hume-Rothery Empirical Rules in Action

Is solid-solution favorable, or not?

- **Cu-Ni Alloys**

**Rule 1:  $r_{\text{Cu}} = 0.128$  nm and  $r_{\text{Ni}} = 0.125$  nm.**

$$\text{DR}\% = \frac{r_{\text{solute}} - r_{\text{solvent}}}{r_{\text{solvent}}} \times 100\% = 2.3\% \quad \text{favorable } \checkmark$$

**Rule 2: Ni and Cu have the FCC crystal structure. favorable  $\checkmark$**

**Rule 3:  $E_{\text{Cu}} = 1.90$  and  $E_{\text{Ni}} = 1.80$ . Thus,  $\text{DE}\% = -5.2\%$  favorable  $\checkmark$**

**Rule 4: Valency of Ni and Cu are both +2. favorable  $\checkmark$**

**Expect Ni and Cu forms S.S. over wide composition range.**

**At high T, it does (helpful processing info), but actually phase separates at low T due to energetics (quantum mechanics).**

## Hume-Rothery Empirical Rules in Action

Is solid-solution favorable, or not?

- Cu-Ag Alloys

Rule 1:  $r_{\text{Cu}} = 0.128 \text{ nm}$  and  $r_{\text{Ag}} = 0.144 \text{ nm}$ .

$$\text{DR}\% = \frac{r_{\text{solute}} - r_{\text{solvent}}}{r_{\text{solvent}}} \times 100\% = 9.4\% \quad \text{favorable } \checkmark$$

Rule 2: Ag and Cu have the FCC crystal structure. favorable  $\checkmark$

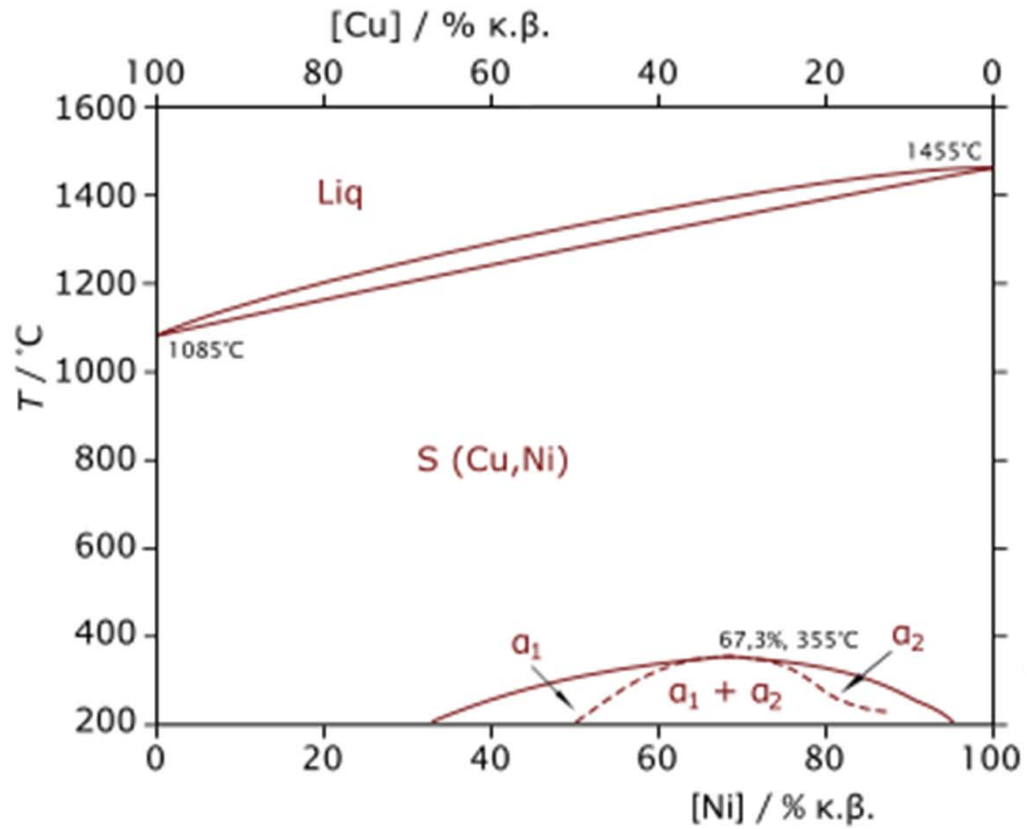
Rule 3:  $E_{\text{Cu}} = 1.90$  and  $E_{\text{Ni}} = 1.80$ . Thus,  $\text{DE}\% = -5.2\%$  favorable  $\checkmark$

Rule 4: Valency of Cu is +2 and Ag is +1. **NOT favorable**

Expect Ag and Cu have limited solubility.

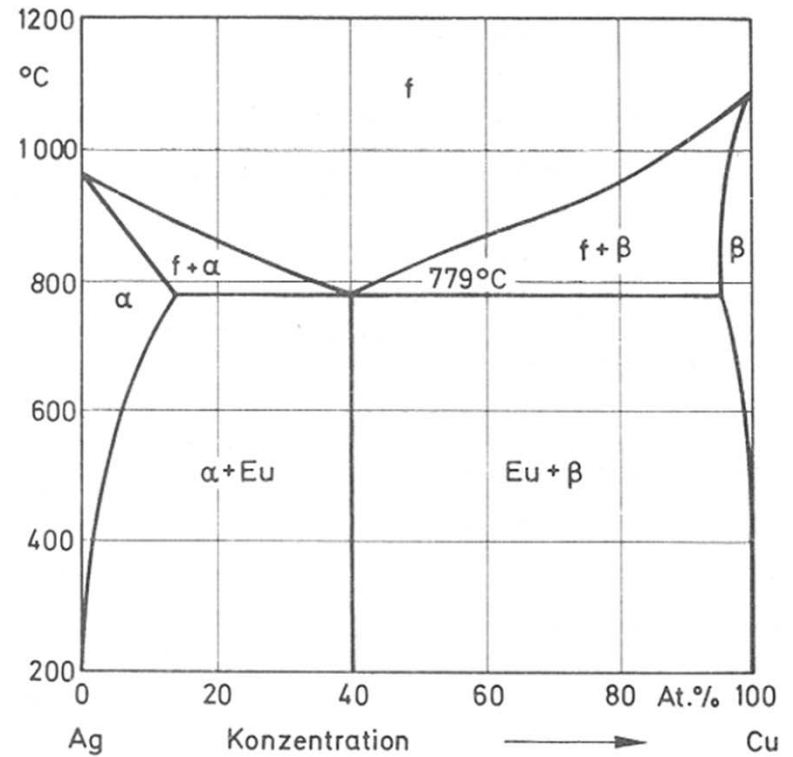
In fact, the Cu-Ag phase diagram (T vs. c) shows that a solubility of only 18% Ag can be achieved at high T in the Cu-rich alloys.

## Cu-Ni Alloys



**complete solid solution**

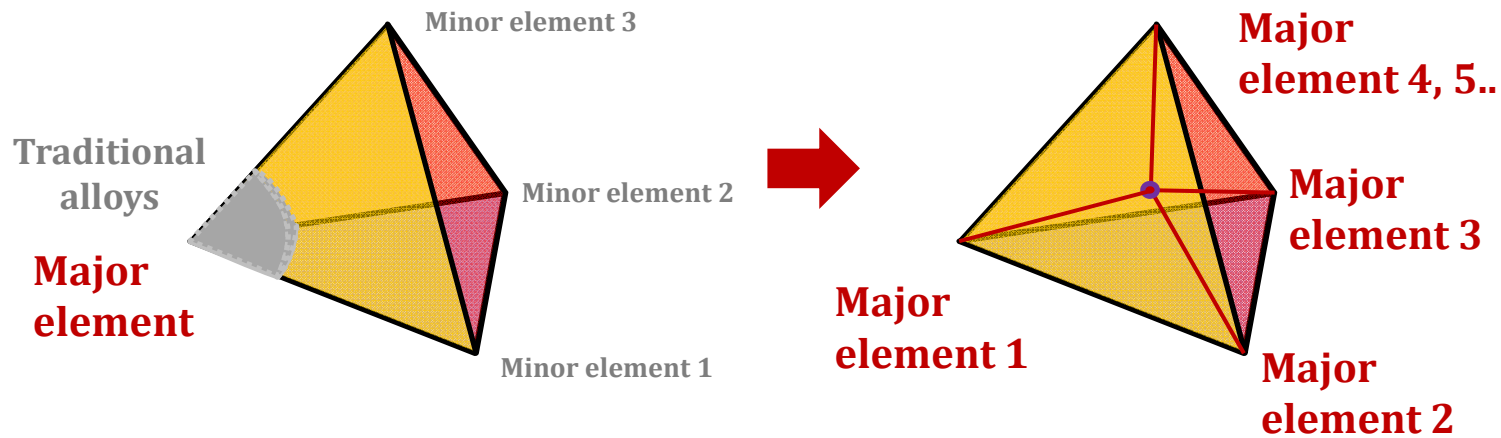
## Cu-Ag Alloys



**limited solid solution**



# High entropy alloy (HEA)



**Conventional alloy system**

Ex) 304 steel - Fe<sub>74</sub>Cr<sub>18</sub>Ni<sub>8</sub>

**High entropy alloy system**

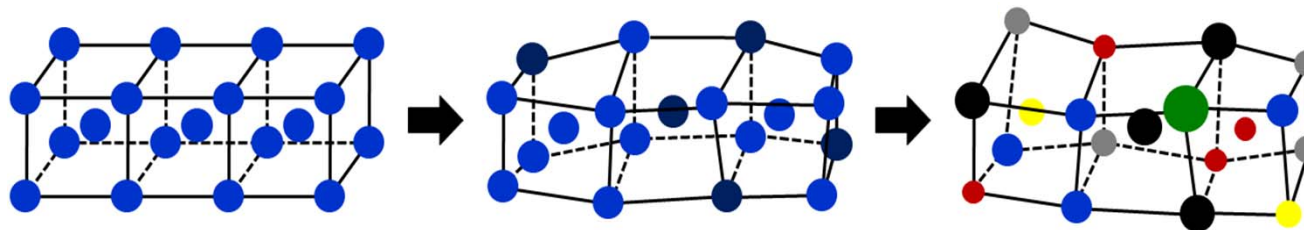
Ex) Al<sub>20</sub>Co<sub>20</sub>Cr<sub>20</sub>Fe<sub>20</sub>Ni<sub>20</sub>

(1) Thermodynamic : high entropy effect

(2) Kinetics : sluggish diffusion effect

(3) Structure : severe lattice distortion effect

(4) Property : cocktail effect



*Yong Zhang et al., Adv. Eng. Mat. P534-538, 2008*

**Severe lattice distortion → Sluggish diffusion & Thermal stability**

**Q6: How to calculate  
“Gibbs Free Energy of Binary Solutions”?**

$$G_2 = G_1 + \Delta G_{mix} \quad J/mol$$

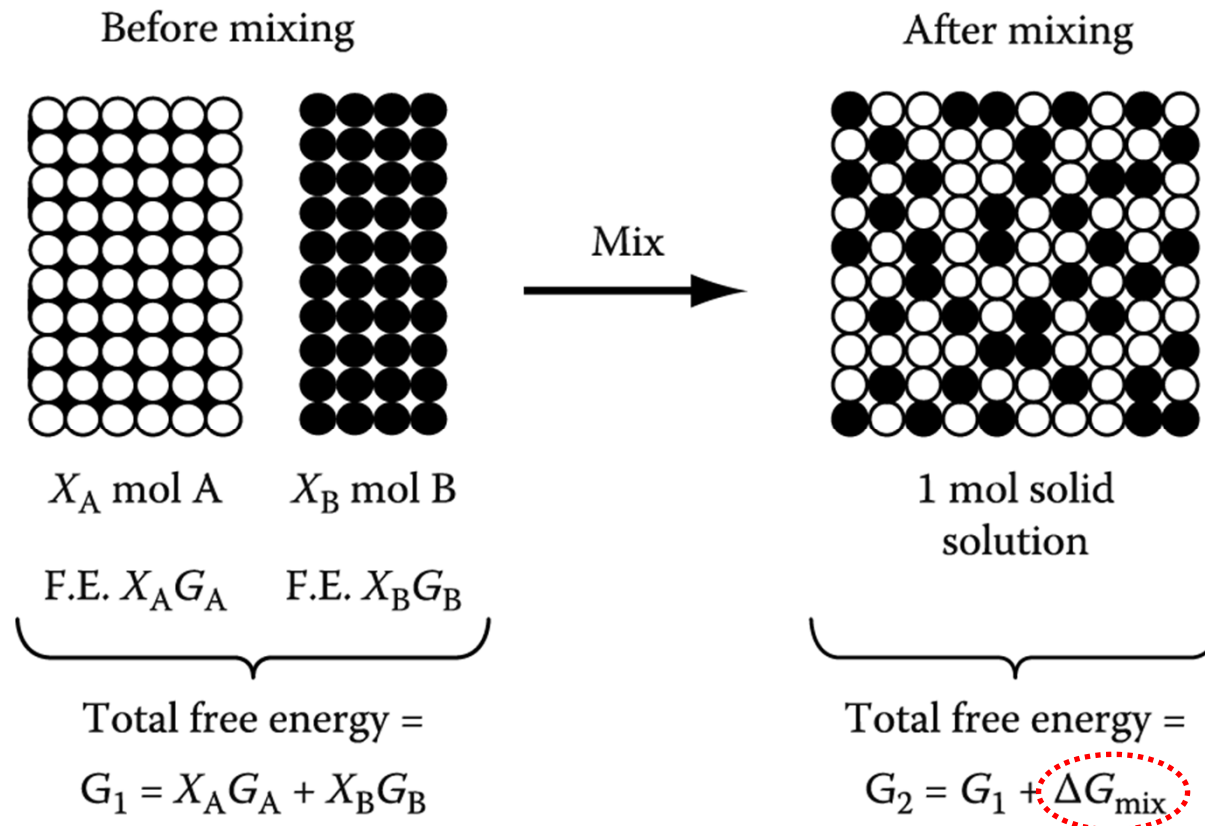
Binary Solutions: binary solid solution/ a fixed pressure of 1 atm

## 2) Gibbs Free Energy of Binary Solutions

\* Composition in mole fraction  $X_A, X_B$   $X_A + X_B = 1$

Step 1. bring together  $X_A$  mole of pure A and  $X_B$  mole of pure B

Step 2. allow the A and B atoms to mix together to make a homogeneous solid solution.



## 1.3 Binary Solutions

# Gibbs Free Energy of The System

### In Step 1

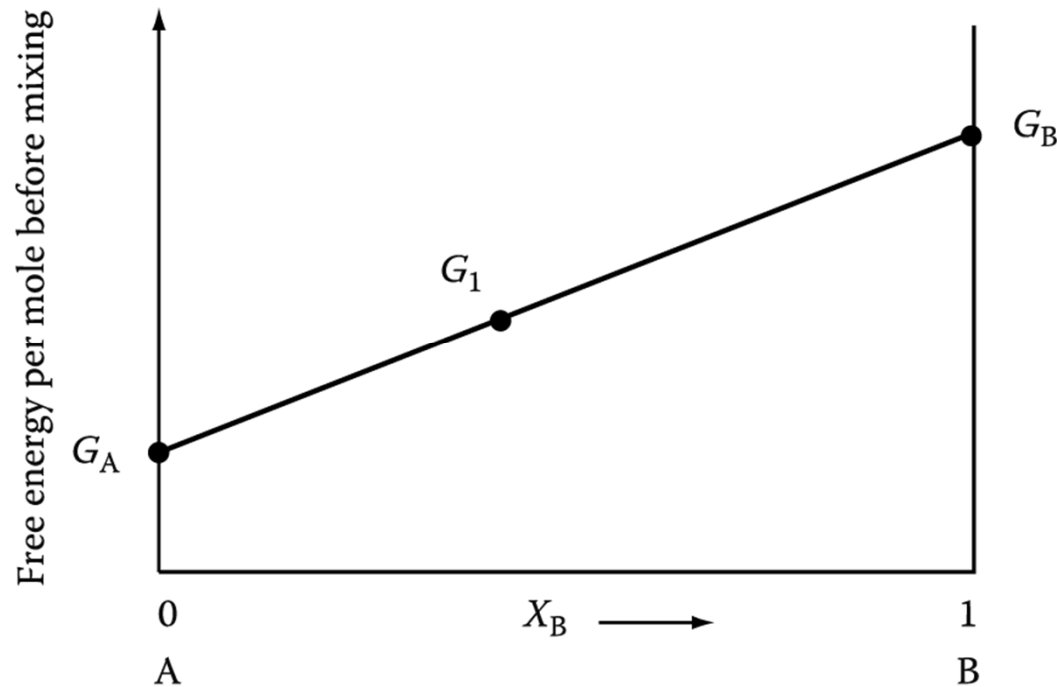
- The molar free energies of pure A and pure B

pure A;  $G_A(T,P)$

pure B;  $G_B(T,P)$

;  $X_A, X_B$  (mole fraction)

$$G_I = X_A G_A + X_B G_B \quad J/mol$$



Free energy of mixture

## 1.3 Binary Solutions

# Gibbs Free Energy of The System

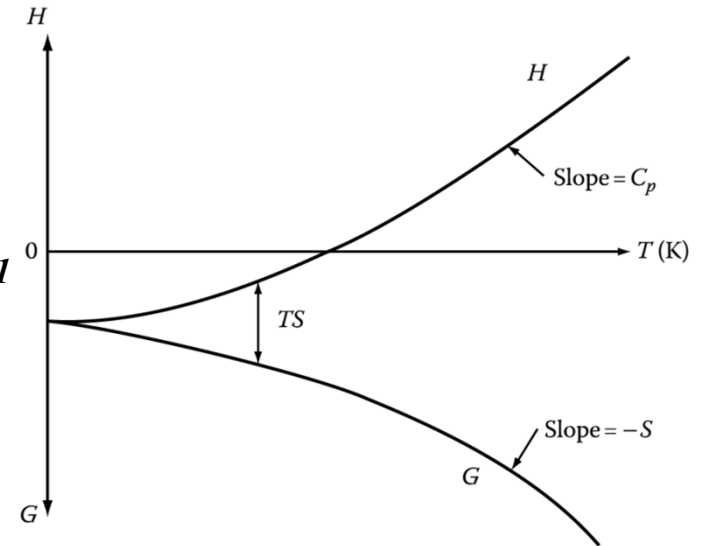
In Step 2

$$G_2 = G_1 + \Delta G_{mix} \quad J/mol$$

Since  $G_1 = H_1 - TS_1$  and  $G_2 = H_2 - TS_2$

And putting  $\Delta H_{mix} = H_2 - H_1$   $\Delta S_{mix} = S_2 - S_1$

$$\Delta G_{mix} = \Delta H_{mix} - T\Delta S_{mix}$$



$\Delta H_{mix}$  : *Heat of Solution* i.e. **heat absorbed or evolved** during step 2

$\Delta S_{mix}$  : *difference in entropy* between the mixed and unmixed state.

**➡ How can you estimate  $\Delta H_{mix}$  and  $\Delta S_{mix}$  ?**

## Gibbs Free Energy of Binary Solutions

**Q7: How can you estimate  
“ $\Delta G_{\text{mix}}$  of ideal solid solution”?**

$$\Delta G_{\text{mix}} = -T\Delta S_{\text{mix}}$$



$$\Delta G^{\text{mix}} = RT(X_A \ln X_A + X_B \ln X_B)$$

## 1.3 Binary Solutions

### Mixing free energy, $\Delta G_{mix}$

#### - Ideal solution

Assumption 1;  $\Delta H_{mix} = 0$  :

- ; A & B = complete solid solution  
(A,B ; same crystal structure)
- ; no volume change

$$\Delta G_{mix} = \Delta H_{mix} - T\Delta S_{mix}$$

$$\Delta G_{mix} = -T\Delta S_{mix} \text{ J/mol}$$

Entropy can be computed from randomness

by Boltzmann equation, i.e.,

$$S = k \ln w$$

$w$  : degree of randomness,  $k$ : Boltzmann constant

→ **thermal**; vibration ( no volume change )

→ **Configuration**; number of distinguishable ways of arranging the atoms

$$S = S_{th} + S_{config}$$

## 1.3 Binary Solutions

Ideal solution

### Excess mixing Entropy

If there is no volume change or heat change,

$$w_{config} = 1 \rightarrow \text{before\_solution\_}(pureA\_pureB)$$

$$w_{config} = \frac{(N_A + N_B)!}{N_A! N_B!} \rightarrow \text{after\_solution\_}(N_A, N_B) \leftarrow \text{Number of distinguishable way of atomic arrangement}$$

$$\Delta S^{mix} = S^{after} - S^{before} = k \ln \frac{(N_A + N_B)!}{N_A! N_B!} - k \ln 1$$

Since we are dealing with 1 mol of solution,

$$\rightarrow N_A = X_A N_0, N_B = X_B N_0, N_A + N_B = N_0$$

using Stirling's approximation  $\ln N! \approx N \ln N - N$

and  $R = kN_0$  (the universal gas constant)

$$= k[(N_0 \ln N_0 - N_0) - (X_A N_0 \ln X_A N_0 - X_A N_0) - (X_B N_0 \ln X_B N_0 - X_B N_0)]$$

$$= -R(X_A \ln X_A + X_B \ln X_B)$$



# 1.3 Binary Solutions

Ideal solution

## Excess mixing Entropy

$$\Delta S^{mix} = -R(X_A \ln X_A + X_B \ln X_B)$$

$$\Delta G_{mix} = -T\Delta S_{mix}$$



$$\Delta G^{mix} = RT(X_A \ln X_A + X_B \ln X_B)$$

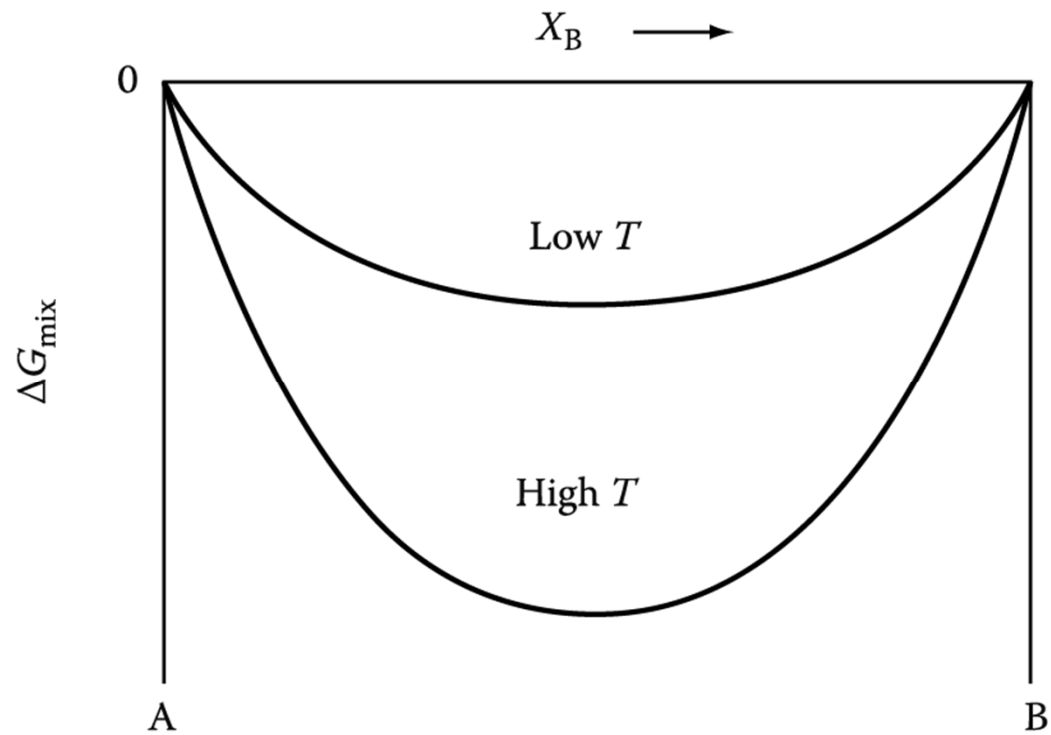


Fig. 1.9 Free energy of mixing for an ideal solution

## Gibbs Free Energy of Binary Solutions

**Q8: How can you estimate**

**“Molar Free energy for ideal solid solution”?**

$$G_2 = G_1 + \Delta G_{mix}$$



$$G = X_A G_A + X_B G_B + RT(X_A \ln X_A + X_B \ln X_B)$$

# 1.3 Binary Solutions

## 1) Ideal solution

Since  $\Delta H_{mix} = 0$  for ideal solution,

$$G_2 = G_1 + \Delta G_{mix}$$



$$G = X_A G_A + X_B G_B + RT(X_A \ln X_A + X_B \ln X_B)$$

Compare  $G_{solution}$  between high and low Temp.

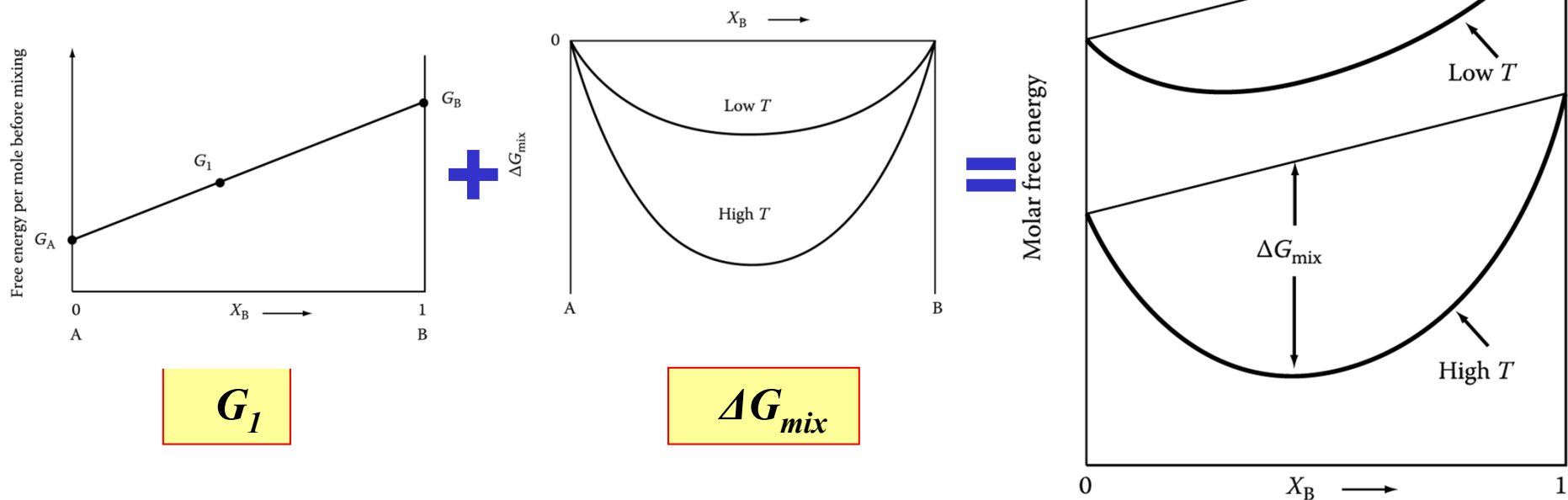


Fig. 1.10 The molar free energy (free energy per mole of solution) for an ideal solid solution. A combination of Figs. 1.8 and 1.9.

## Gibbs Free Energy of Binary Solutions

**Q9: How the free energy of a given phase will change when atoms are added or removed?**

**“Chemical potential”**

# 1.3 Binary Solutions

## 1) Ideal solution

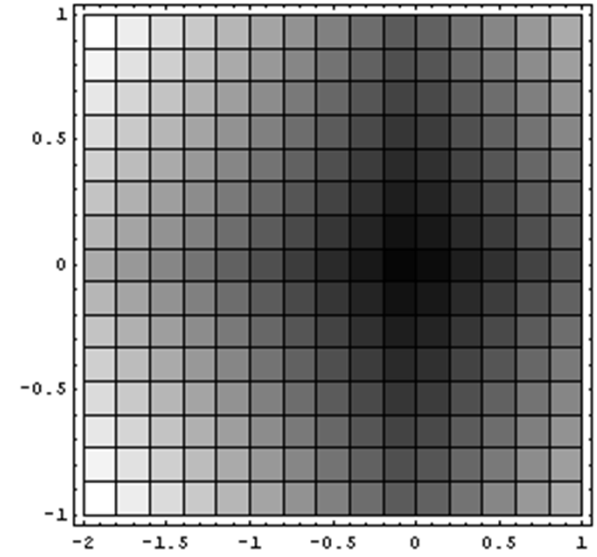
$$G = H - TS = E + PV - TS$$

### Chemical potential

The increase of the total free energy of the system by the increase of very small quantity of A,  $dn_A$ , will be proportional to  $\mu_A$ .

→  $dn_A \sim$  small enough  
( $\because \mu_A$  depends on the composition of phase)

$$dG' = \mu_A dn_A \quad (T, P, n_B: \text{constant})$$



$\mu_A$  : partial molar free energy of A  
or chemical potential of A

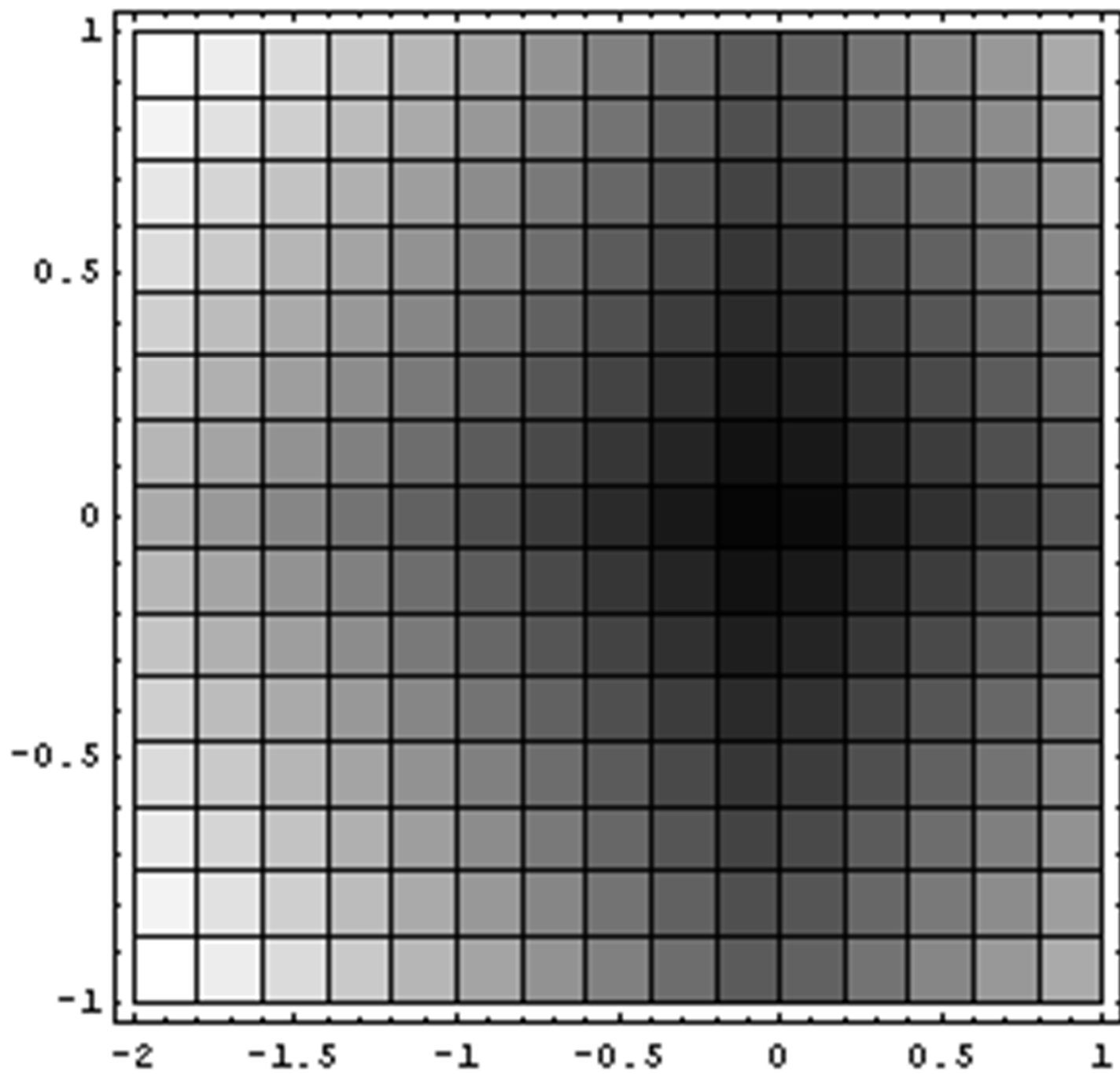
$$\mu_A = \left( \frac{\partial G'}{\partial n_A} \right)_{T, P, n_B}$$

$$\mu_B = \left( \frac{\partial G'}{\partial n_B} \right)_{T, P, n_A}$$

For A-B binary solution,  $dG' = \mu_A dn_A + \mu_B dn_B$

For variable T and P

$$dG' = -SdT + VdP + \mu_A dn_A + \mu_B dn_B$$



## 1.3 Binary Solutions

### 1) Ideal solution

$$G = H - TS = E + PV - TS$$

## Chemical potential

The increase of the total free energy of the system by the increase of very small quantity of A,  $dn_A$ , will be proportional to  $\mu_A$ .

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$$dG' = \mu_A dn_A \quad (T, P, n_B: \text{constant})$$

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or chemical potential of A

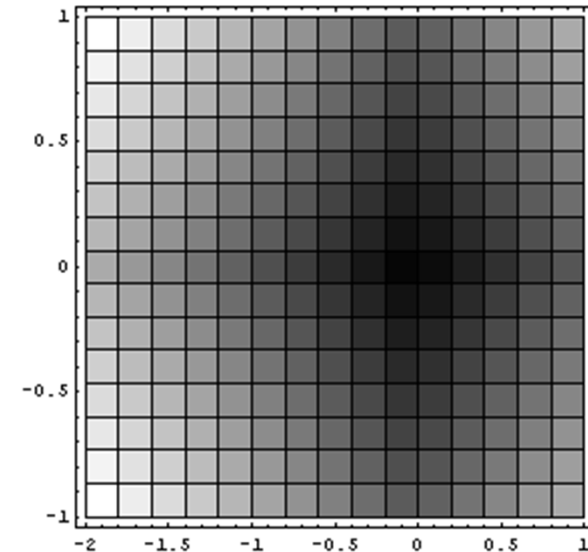
$$\mu_A = \left( \frac{\partial G'}{\partial n_A} \right)_{T, P, n_B}$$

$$\mu_B = \left( \frac{\partial G'}{\partial n_B} \right)_{T, P, n_A}$$

For A-B binary solution,  $dG' = \mu_A dn_A + \mu_B dn_B$

For variable T and P

$$dG' = -SdT + VdP + \mu_A dn_A + \mu_B dn_B$$



## Gibbs Free Energy of Binary Solutions

**Q10: “Correlation between chemical potential and free energy”?**



## Correlation between chemical potential and free energy

For 1 mole of the solution **(T, P: constant)**

$$G = \mu_A X_A + \mu_B X_B \quad \text{Jmol}^{-1}$$

$$dG = \mu_A dX_A + \mu_B dX_B$$

$$\frac{dG}{dX_B} = \mu_B - \mu_A$$

$$\mu_A = \mu_B - \frac{dG}{dX_B}$$

$$G = \left( \mu_B - \frac{dG}{dX_B} \right) X_A + \mu_B X_B$$

$$= \mu_B X_A - \frac{dG}{dX_B} X_A + \mu_B X_B$$

$$= \mu_B - \frac{dG}{dX_B} X_A$$

$$= \mu_B - \frac{dG}{dX_B} (1 - X_B)$$

$$\mu_B = G + \frac{dG}{dX_B} X_A$$

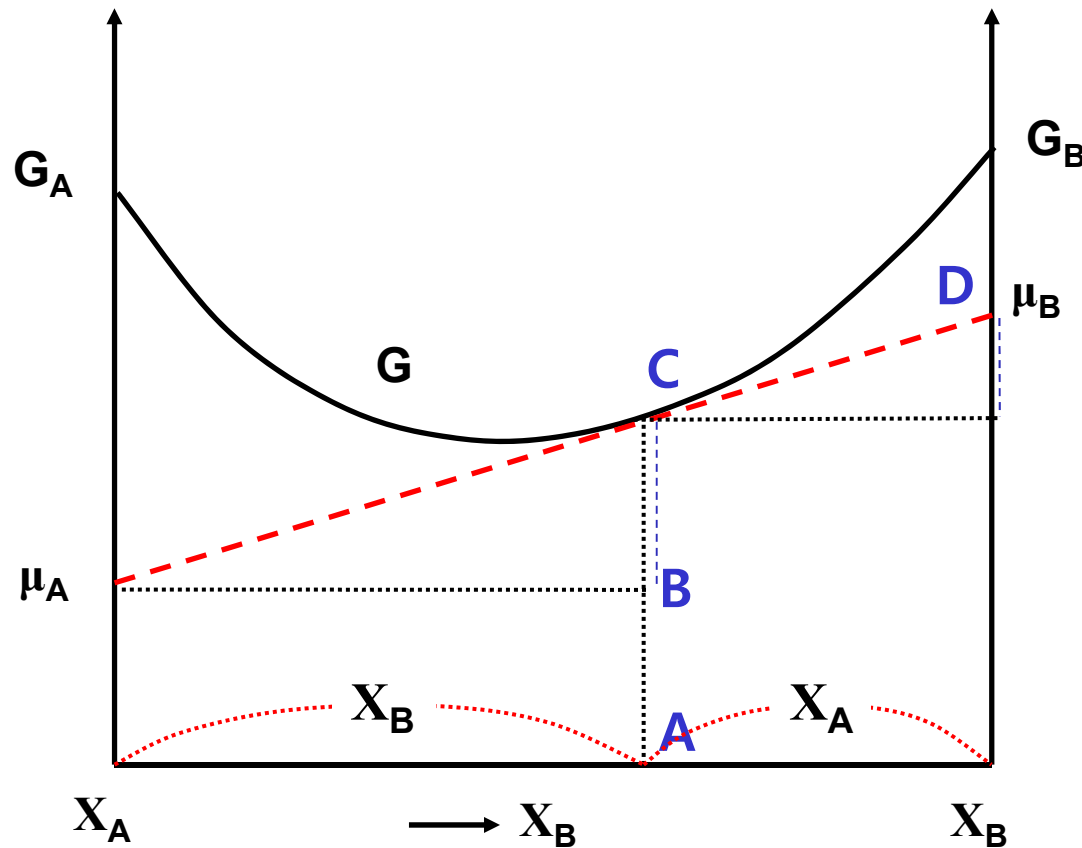
# Correlation between chemical potential and free energy

For 1 mole of the solution

(T, P: constant)

1) Ideal solution

$$G = \mu_A X_A + \mu_B X_B \quad \text{Jmol}^{-1}$$



$$\mu_B = G + \frac{dG}{dX_B} (1 - X_B)$$

$$\mu_B = G + \frac{dG}{dX_B} X_A$$

$$= \overline{DA} + \overline{DC}$$

$$\mu_A = \mu_B - \frac{dG}{dX_B}$$

$$= \mu_B - (X_A + X_B) \frac{dG}{dX_B}$$

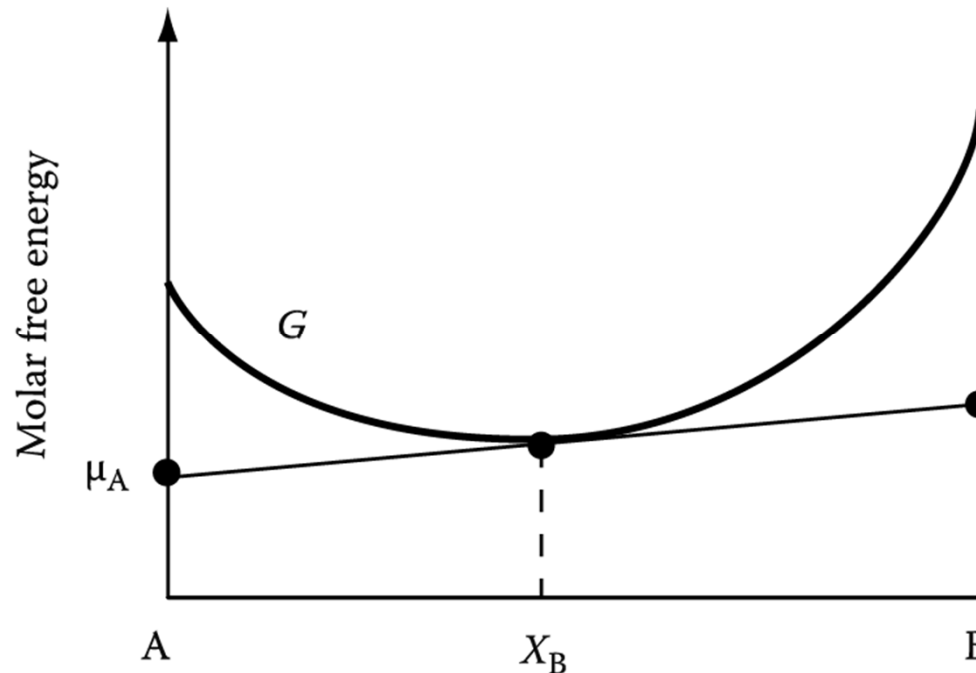
$$= \overline{DA} - \overline{DC} - \overline{CB}$$

# Correlation between chemical potential and free energy

For 1 mole of the solution (T, P: constant)

$$G = \mu_A X_A + \mu_B X_B \quad \text{Jmol}^{-1}$$

$$\mu_A = \mu_B - \frac{dG}{dX_B}$$



$$\mu_B = G + \frac{dG}{dX_B} X_A$$

Fig. 1.11 The relationship between the free energy curve for a solution and the chemical potentials of the components.

### 1.3 Binary Solutions

#### 1) Ideal solution

$$G = X_A G_A + X_B G_B + RT(X_A \ln X_A + X_B \ln X_B)$$

$$= (G_A + RT \ln X_A) X_A + (G_B + RT \ln X_B) X_B = \mu_A X_A + \mu_B X_B$$

$$\mu_A = G_A + RT \ln X_A$$

$$\mu_B = G_B + RT \ln X_B$$

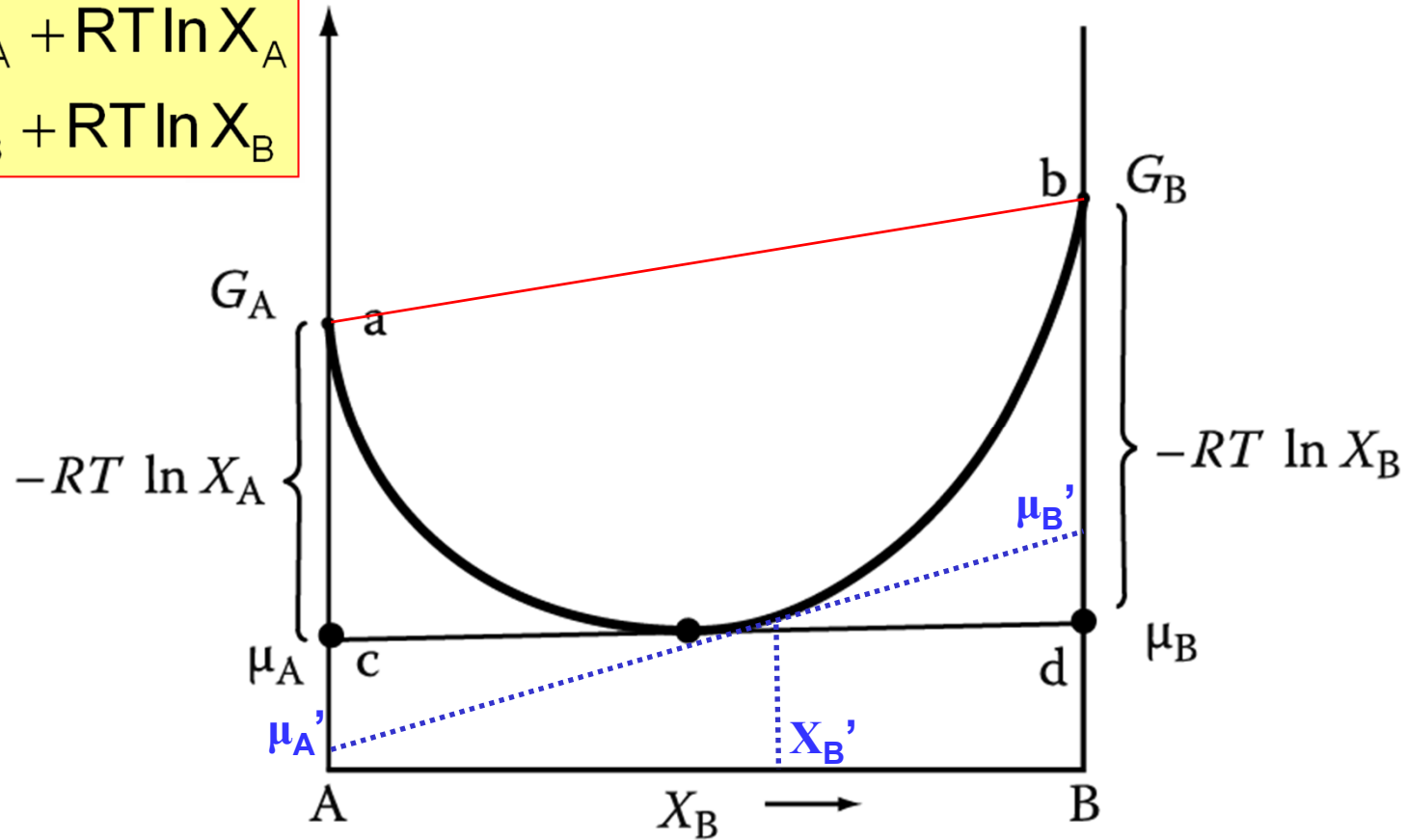


Fig. 1.12 The relationship between the free energy curve and Chemical potentials for an ideal solution.

# Contents for today's class

- **Binary System** mixture/ solution / compound
- **Gibbs Free Energy in Binary System**

$$G_1 = X_A G_A + X_B G_B \quad J/mol$$

$$G_2 = G_1 + \Delta G_{mix} \quad J/mol$$

**Ideal solution** ( $\Delta H_{mix} = 0$ )  $\Delta G^{mix} = RT(X_A \ln X_A + X_B \ln X_B)$

$$G = X_A G_A + X_B G_B + RT(X_A \ln X_A + X_B \ln X_B)$$

**Regular solution**

$$\Delta H_{mix} = P_{AB} \epsilon \quad \text{where } \epsilon = \epsilon_{AB} - \frac{1}{2}(\epsilon_{AA} + \epsilon_{BB})$$

$$G = X_A G_A + X_B G_B + \Omega X_A X_B + RT(X_A \ln X_A + X_B \ln X_B)$$

- **Chemical potential and Activity**

$$\mu_A = \left( \frac{\partial G'}{\partial n_A} \right)_{T, P, n_B}$$

$$\bullet \quad \mu_A = G_A + RT \ln a_A \quad \ln \left( \frac{a_A}{X_A} \right) = \frac{\Omega}{RT} (1 - X_A)^2$$

$$\frac{a_A}{X_A} = \gamma_A = \text{activity coefficient}$$

$dn_A \sim$  small enough ( $\because \mu_A$  depends on the composition of phase)

## Topic proposal for materials design

Please submit 3 materials that you want to explore for materials design and do final presentations on in this semester. Please make sure to thoroughly discuss why you chose those materials (up to 1 page on each topic). The proposal is due by September 20 on eTL.

Ex) stainless steel/ graphene/ OLED/  
Bio-material/ Shape memory alloy  
Bulk metallic glass, etc.