



Ch8. Arrays and Matrices

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Bird's-Eye View

- In practice, data are often in tabular form
 - Arrays are **the most natural way** to represent it
 - Want to reduce both the space and time requirements by using a customized representation
- This chapter
 - Representation of **a multidimensional array**
 - Row major and column major representation
 - Develop **the class Matrix**
 - Represent two-dimensional array
 - Indexed beginning at 1 rather than 0
 - Support operations such as add, multiply, and transpose
 - Introduce **matrices with special structures**
 - Diagonal, triangular, and symmetric matrices
 - Sparse matrix



Table of Contents

- Arrays
- Matrices
- Special Matrices
- Sparse Matrices



The Abstract Data Type: Array

AbstractDataType *Array*

{

instances

set of (index, value) pairs, no two pairs have the same index

operations

get(index) : return the value of the pair with this index

set(index, value) : add this pair to set of pairs, overwrite existing one (if any) with the same index

}

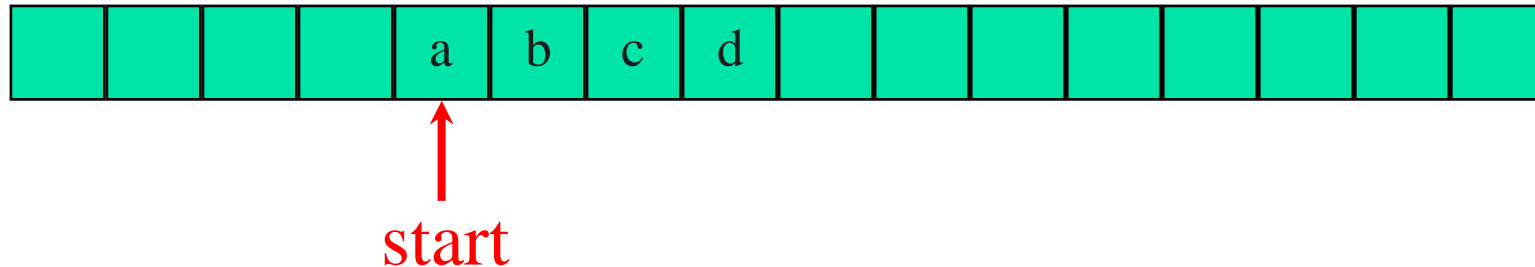


Indexing a Java Array

- Arrays are a standard data structure in Java
- The index (subscript) of an array in Java
 - $[i_1] [i_2] [i_3] \dots [i_k]$
- Creating a 3-dimensional array score
 - `int [][][] score = new int [u_1][u_2] [u_3]`
- Java initializes every element of an array to the default value for the data type of the array's components
 - Primitive data types vs. User-defined data types

1-D Array Representation in Java, C, C++

Memory



- 1-dimensional array $x = [a, b, c, d]$
 - $x[0], x[1], x[2], x[3]$
- Map into contiguous memory locations
- $\text{location}(x[i]) = \text{start} + i$

Space Overhead

Memory



start

- Space overhead = 4 bytes for `start` + 4 bytes for `x.length`
= 8 bytes

(Excluding space needed for the elements of `x`)



2-D Arrays

- The elements of a 2-dimensional array “a” declared as
 - `int [][] a = new int[3][4];`

- May be shown as table

<code>a[0][0]</code>	<code>a[0][1]</code>	<code>a[0][2]</code>	<code>a[0][3]</code>
<code>a[1][0]</code>	<code>a[1][1]</code>	<code>a[1][2]</code>	<code>a[1][3]</code>
<code>a[2][0]</code>	<code>a[2][1]</code>	<code>a[2][2]</code>	<code>a[2][3]</code>



Rows of a 2-D Array

<code>a[0][0]</code>	<code>a[0][1]</code>	<code>a[0][2]</code>	<code>a[0][3]</code>	→ row 0
<code>a[1][0]</code>	<code>a[1][1]</code>	<code>a[1][2]</code>	<code>a[1][3]</code>	→ row 1
<code>a[2][0]</code>	<code>a[2][1]</code>	<code>a[2][2]</code>	<code>a[2][3]</code>	→ row 2



Columns of a 2-D Array

a[0][0]	a[0][1]	a[0][2]	a[0][3]
a[1][0]	a[1][1]	a[1][2]	a[1][3]
a[2][0]	a[2][1]	a[2][2]	a[2][3]

Four vertical arrows point downwards from the center of each column of the array to the labels below.

column 0 column 1 column 2 column 3

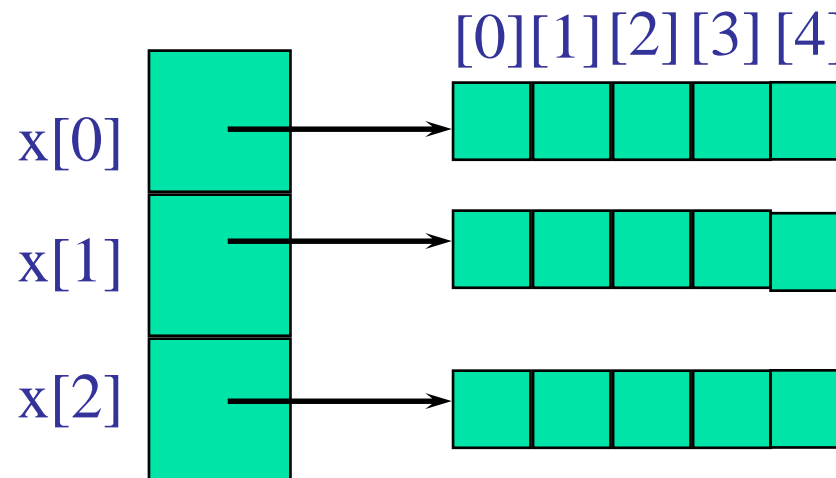


Array of Arrays Representation (1/5)

- Same in Java, C, and C++
- Two-dimensional array is represented as a one-dimensional array
- The one-dimensional array's each element is, itself, a one-dimensional array

Array of Arrays Representation (2/5)

- `int [][] x = new int[3][5]`
 - A one-dimensional array x (length 3)
 - Each element of x is a one-dimensional array (length5)





Array of Arrays Representation (3/5)

- 2-dimensional array x

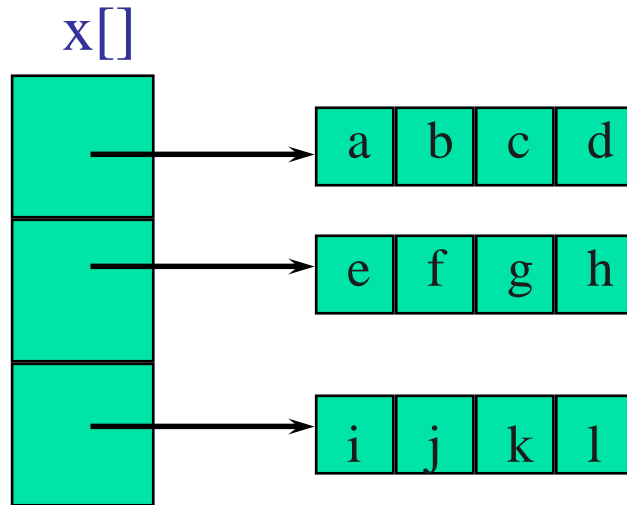
a, b, c, d
e, f, g, h
i, j, k, l

- View 2-D array as a 1-D arrays of rows

$x = [\text{row0}, \text{row1}, \text{row2}]$
row 0 = [a, b, c, d]
row 1 = [e, f, g, h]
row 2 = [i, j, k, l]

- So, store as 4 1-D arrays which require contiguous memory of size 3, 4, 4, and 4 respectively

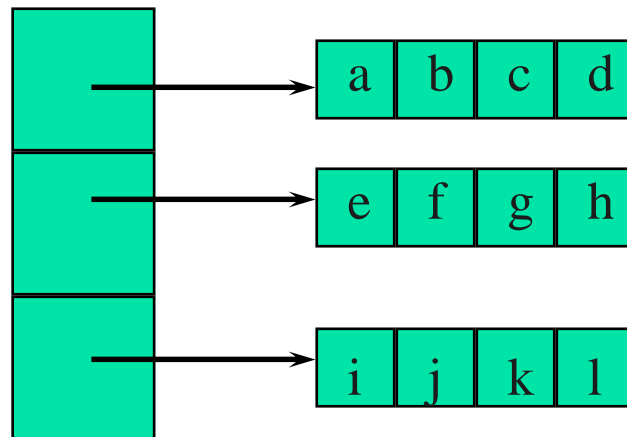
Array of Arrays Representation (4/5)



- Array length
 - `x.length = 3`
 - `x[0].length = x[1].length = x[2].length = 4`

Array of arrays representation (5/5)

x[]



- space overhead = overhead for 4 1-D arrays
= $4 * 8 \text{ bytes} = 32 \text{ bytes}$
= $(\text{num of rows} + 1) \times (\text{start pointer} + \text{length variable})$



2-D to 1-D: Row-Major Mapping

- Example 3 x 4 array

a, b, c, d

e, f, g, h

i, j, k, l

- Convert into 1-D array y by collecting elements **by rows**
- Within a row elements are collected **from left to right**
- Rows are collected from top to bottom
- We get $y[] = \{a, b, c, d, e, f, g, h, i, j, k, l\}$





Locating Element $x[i][j]$



- Assume x has r rows and c columns
- Each row has c elements
- There are i rows to the left of row i starting with $x[i][0]$
- So $i * c$ elements to the left of $x[i][0]$
- So $x[i][j]$ is mapped to position of $i * c + j$ of the 1D array



Space Overhead for 2D array



- Assume x has r rows and c columns
- 4 bytes for **start** of 1D array +
4 bytes for **length** of 1D array +
4 bytes for c (number of columns) = 12 bytes
- number of rows $r = \text{length} / c$
- Disadvantage: should have contiguous memory of size $r * c$



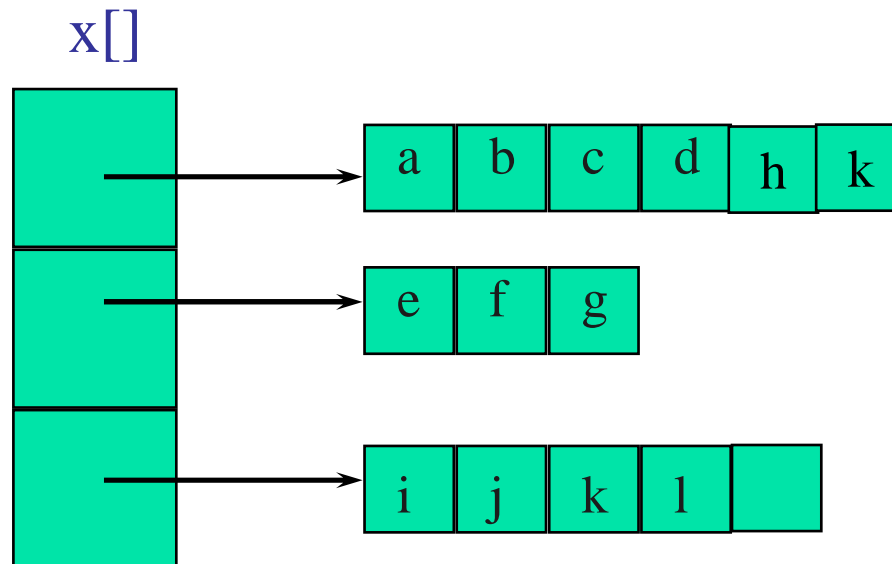
2-D to 1-D: Column Major Mapping

a, b, c, d
e, f, g, h
i, j, k, l

- Convert into 1D array y by collecting elements **by columns**
- Within a column elements are collected from top to bottom
- Columns are collected **from left to right**
- We get $y = \{a, e, i, b, f, j, c, g, k, d, h, l\}$

Irregular Two-Dimensional Arrays

- Arrays with two or more rows that have a different number of elements
- $\text{Size}[i]$ for i (i is the row number)





Creating and Using an Irregular Array

```
// declare a two-dimensional array variable
// and allocate the desired number of rows
int [][] irregularArray = new int [numberOfRows][];

// now allocate space for the elements in each row
for (int i = 0; i < numberOfRows; i++)
    irregularArray[i] = new int [size[i]];

// use the array like any regular array
irregularArray[2][3] = 5;
irregularArray[4][6] = irregularArray[2][3] + 2;
irregularArray[1][1] += 3;
```



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Matrix

- Table of values
 - has as rows and columns like 2-D array, but numbering begins at 1 rather than 0

a b c d row 1

e f g h row 2

i j k l row 3

- Use notation $x(i, j)$ rather than $x[i][j]$
- Sometimes, we may use Java's 2-D array to represent a matrix



Pitfalls of using a 2D Array for a Matrix

- $A[0,*]$ and $A[* ,0]$ of 2D array cannot be used
- Java arrays do not support matrix operations such as **add**, **transport**, **multiply**, and so on
 - i.e. Suppose that x and y are 2D arrays, we cannot do $x + y$, $x - y$, $x * y$, etc. **directly** in java
- So, need to develop a class **Matrix** for object-oriented support of all matrix operations



The Class Matrix

- Uses 1-D array element to store a matrix in row-major order
- The CloneableObject interface has clone() and copy()

```
public class Matrix implements CloneableObject {  
    int rows, cols;           // matrix dimensions  
    Object [] element;       // element array  
  
    public Matrix(int theRows, int theColumns) {  
        rows = theRows;  
        cols = theColumns;  
        element = new Object [ rows * cols];  
    }  
}
```



clone() & copy() of Matrix

```
public Object clone() { // return a clone of the matrix
    Matrix x = new Matrix(rows, cols);
    for (int i=0; i < rows * cols; i++)
        x.element[i] = ((CloneableObject) element[i]).clone();
    return x;
}

public void copy(Matrix m) { // copy the references in m into this
    if (this != m) {
        rows = m.rows;
        cols = m.cols;
        element = new Object[rows * cols];
        for (int i=0; i < rows * cols; i++)
            element[i] = m.element[i]; // copy each reference
    }
}
```



get() & set() of Matrix

```
/**@return the element this[i, j]
```

```
* @throws IndexOutOfBoundsException when i or j invalid */
```

```
public Object get(int i, int j) {  
    checkIndex(i, j); // validate index  
    return element[(i - 1) * cols + j - 1];  
}
```

```
/**set this(i, j) = newValue
```

```
* @throws IndexOutOfBoundsException when i or j invalid */
```

```
public void set(int i, int j, Object newValue) {  
    checkIndex(i, j);  
    element[(i - 1) * cols + j - 1] = newValue;  
}
```



add() of Matrix

```
/**@return the this + m
 * @throws IllegalArgumentException when matrices are incompatible */
public Matrix add(Matrix m) {
    if (rows != m.rows || cols != m.cols)
        throw new IllegalArgumentException("Incompatible");

    // create result matrix w
    Matrix w = new Matrix(rows, cols);
    int numberOfTerms = rows * cols;
    for (int i=0; i < numberOfTerms; i++)
        w.element[i] = ((Computable) element[i]).add(m.element[i]);
    return w;
}
```



Complexity of Matrix operations

- Constructor: $O(\text{rows} * \text{cols})$
- Clone(), Copy(), Add(): $O(\text{rows} * \text{cols})$
- Multiply():
 - Program 8.6 at pp 270
 - $O(\text{this.row} * \text{this.cols} * \text{m.cols})$



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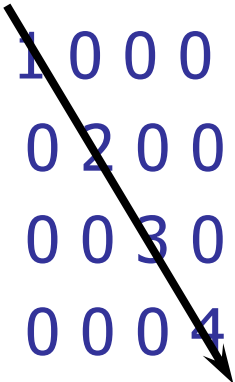
Special Matrix Definitions

- Diagonal → $M(i, j) = 0$ for $i \neq j$
- Tridiagonal → $M(i, j) = 0$ for $|i - j| > 1$
- Lower triangular → $M(i, j) = 0$ for $i < j$
- Upper triangular → $M(i, j) = 0$ for $i > j$
- Symmetric → $M(i, j) = M(j, i)$ for all i, j



Diagonal Matrix

```
1 0 0 0
0 2 0 0
0 0 3 0
0 0 0 4
```



- An $n \times n$ matrix in which all nonzero terms are on the diagonal
- $x(i, j)$ is on diagonal iff $i = j$
- Number of diagonal elements in an $n \times n$ matrix is n
- Non diagonal elements are zero
- Store diagonal only vs store n^2 whole



The Class DiagonalMatrix

```
public class DiagonalMatrix {
    int rows;           // matrix dimension (no cols!)
    Object zero;       // zero element
    Object [] element; // element array

    public DiagonalMatrix (int theRows, Object theZero) {
        if (theRow < 1)
            throw new IllegalArgumentException("`row >0");
        rows = theRows;
        zero = theZero;
        for (int i=0; i<rows; i++)
            element[i] = zero; //construct only the diagonal elements
    }
}
```



get() and set() for diagonal matrix

```
public Object get(int i, int j) {  
    checkIndex(i, j); // validate index  
    if (i == j) return element[i - 1]; // return only the diagonal element  
    else return zero; // nondiagonal element  
}
```

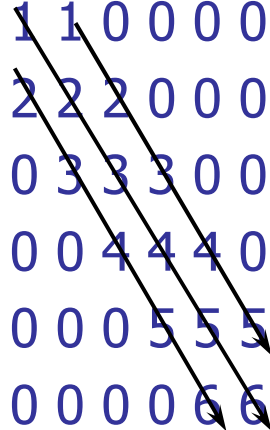
```
public void set(int i, int j, Object newValue) {  
    if (i == j) element[i - 1] = newValue; // save only the diagonal element  
    else // nondiagonal element, newValue must be zero  
        if (!((Zero)newValue).equalsZero())  
            throw new IllegalArgumentException("must be zero");  
}
```



Tridiagonal Matrix

- The nonzero elements lie on only the 3 diagonals
 - Main diagonal: $M(i, j)$ where $i = j$
 - Diagonal below main diagonal: $M(i, j)$ where $i = j + 1$
 - Diagonal above main diagonal: $M(i, j)$ where $j = j - 1$

1	1	0	0	0	0
2	2	2	0	0	0
0	3	3	3	0	0
0	0	4	4	4	0
0	0	0	5	5	5
0	0	0	0	6	6





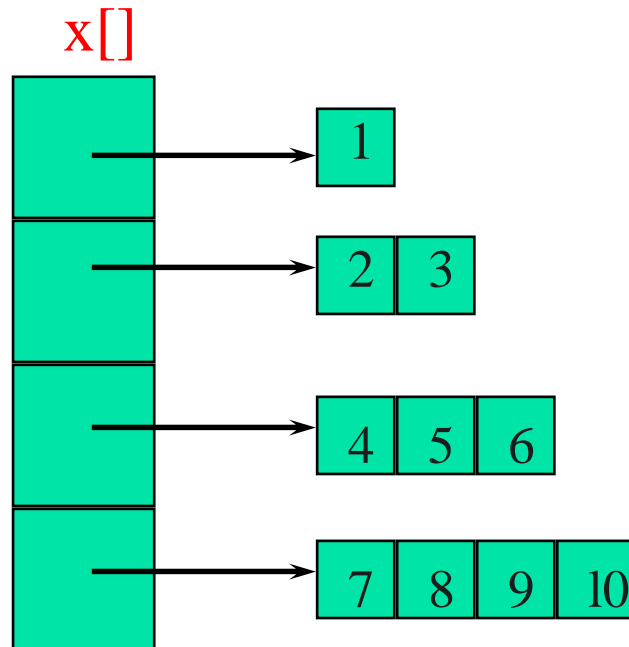
Lower Triangular Matrix (LTM)

- An $n \times n$ matrix in which all nonzero terms are either on or below the diagonal.

1	0	0	0
2	3	0	0
4	5	6	0
7	8	9	10

- $x(i, j)$ is part of lower triangular iff $i \geq j$
- Number of elements in lower triangle is $1 + 2 + 3 + \dots + n = n(n+1) / 2$
- Store only the lower triangle

LTM: Array of Arrays Representation



- Use an irregular 2D array: length of rows is not required to be the same



Map LTM into a 1D Array

- Use row-major order, but omit terms that are not part of the lower triangle

- For the matrix

```
1 0 0 0
2 3 0 0
4 5 6 0
7 8 9 10
```

- We get

1, 2, 3, 4, 5, 6, 7, 8, 9, 10



LTM: Index of Element[i][j]

- Suppose we store the LTM using 1D array
- Order is: row 1, row 2, row 3, ...
- Row i is preceded by rows 1, 2, ..., $i-1$
- Size of row i is i
- Number of elements that precede row i is
$$1 + 2 + 3 + \dots + (i-1) = i(i-1)/2$$
- So element (i,j) is at position $i(i-1)/2 + j - 1$ of the 1D array



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Sparse Matrices

- Sparse matrix → Many elements are zero
- Dense matrix → Few elements are zero
- The boundary between a dense and a sparse matrix is not precisely defined

- Structured sparse matrices
 - Diagonal
 - Tridiagonal
 - Lower triangular

- May be mapped into a 1D array so that a mapping function can be used to locate an element



Unstructured Sparse Matrices (USM) (1/2)

- Airline flight matrix
 - airports are numbered **1** through **n** (say **1000 airports**)
 - $\text{flight}(i,j)$ = list of nonstop flights from airport **i** to airport **j**
 - 1000 X 1000 matrix \rightarrow 1 million possible flights
 - $n \times n$ array of list references \rightarrow need **4 million bytes**
 - However, only total number of flights = **20,000** (say)
 - need at most **20,000** list references \rightarrow at most **80,000 bytes**
 - We need an economic representation!



Unstructured Sparse Matrices (USM) (2/2)

- Web page matrix
 - web pages are numbered **1** through **n**
 - Millions of trillions of web pages
 - **web(i,j)** = number of links from page **i** to page **j**
 - The number of links is very very smaller than the number of web pages
- Web analysis
 - **authority page** ... page that has many links to it
 - **hub page** ... links to many authority pages



Facts of Web Page Matrix

- $n = 2$ billion (and growing by 1 million a day)
- $n \times n$ array of ints $\rightarrow 16 * 10^{18}$ bytes ($16 * 10^9$ GB)
- Each page links to 10 (say) other pages on average
- On average there are 10 nonzero entries per row
- Space needed for nonzero elements is approximately 20 billion x 4 bytes = 80 billion bytes (80 GB)



Representation of USM

- Single linear list in row-major order
 - Scan the nonzero elements of the sparse matrix in row-major order
 - Each nonzero element is represented by a triple
(row, column, value)
 - The list of triples may be an array list or a linked list (chain)



USM is viewed as Single Linear List

0 0 3 0 4
0 0 5 7 0
0 0 0 0 0
0 2 6 0 0

list =

row	1	1	2	2	4	4
column	3	5	3	4	2	3
value	3	4	5	7	2	6



USM is implemented using Array Linear List

list =

row	1	1	2	2	4	4
column	3	5	3	4	2	3
value	3	4	5	7	2	6

element[]	0	1	2	3	4	5
row	1	1	2	2	4	4
column	3	5	3	4	2	3
value	3	4	5	7	2	6

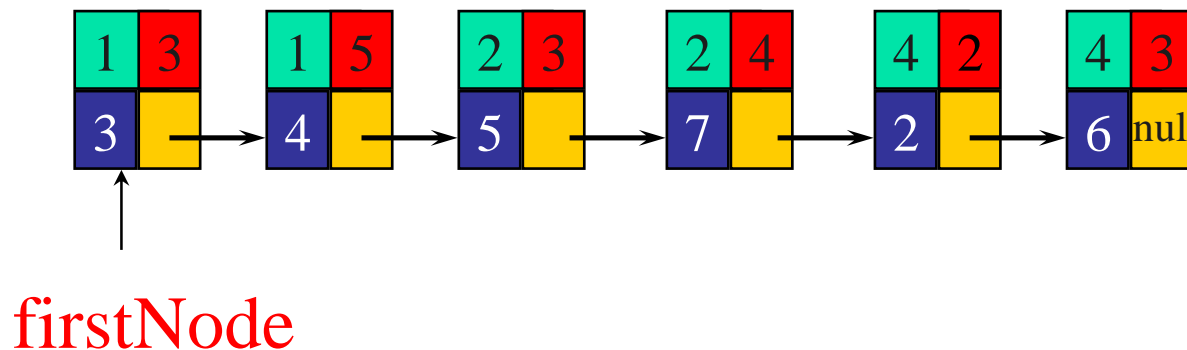
USM implementation using array linear list

- Node Structure

row	col
value	next

USM Implementation using array linear list

	row	1	1	2	2	4	4
list =	column	3	5	3	4	2	3
	value	3	4	5	7	2	6





One Linear List Per Row

- USM is viewed as array of linear list
- Synonym: Array of row chains

0 0 3 0 4

0 0 5 7 0

0 0 0 0 0

0 2 6 0 0

row1 = [(3, 3), (5,4)]

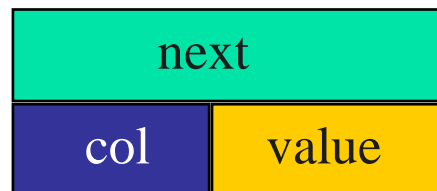
row2 = [(3,5), (4,7)]

row3 = []

row4 = [(2,2), (3,6)]

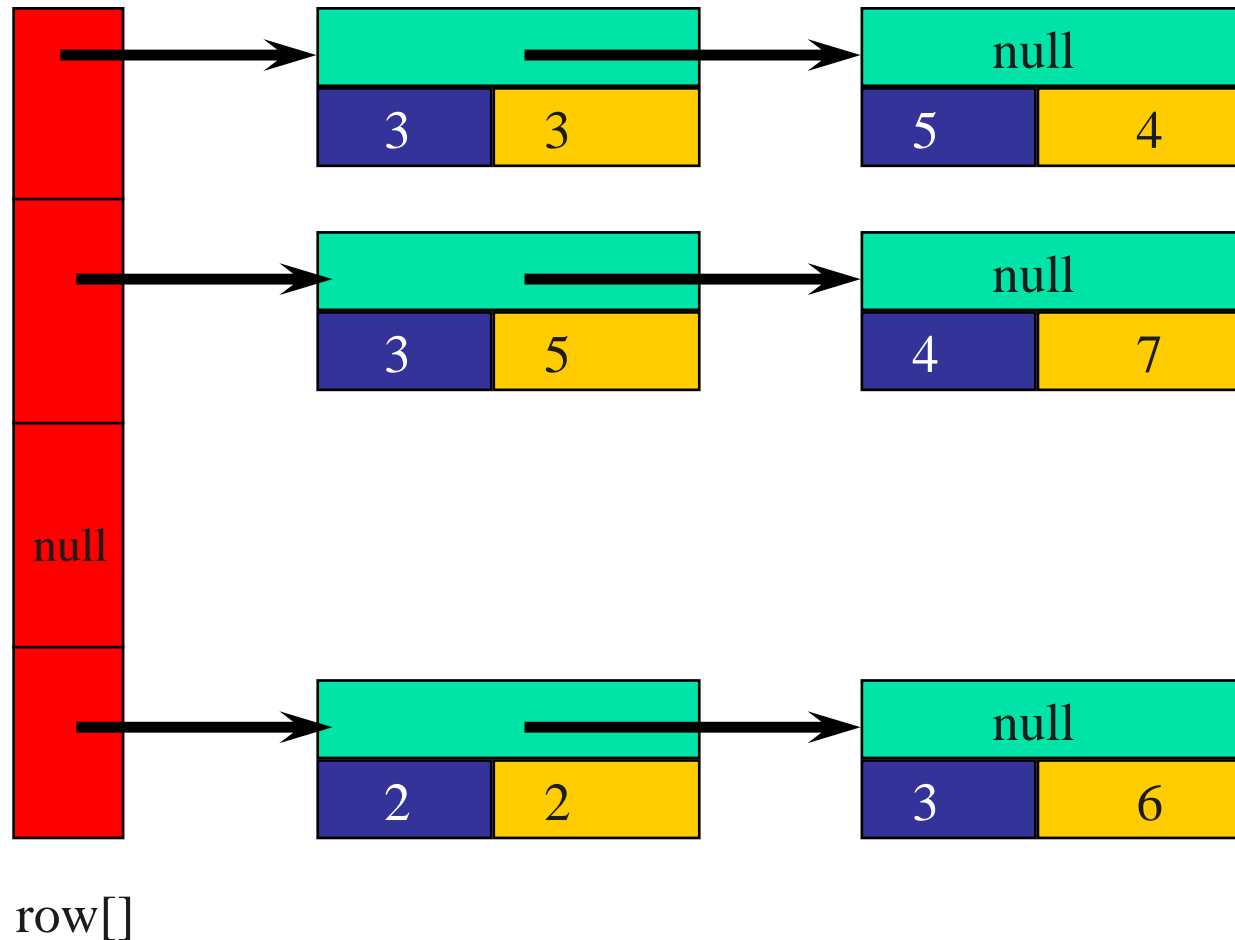
USM implementation using array of row chains (1/2)

- Each row has a chain of the following node structure



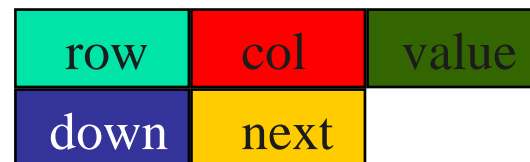
USM implementation using array of row chains (2/2)

0 0 3 0 4
 0 0 5 7 0
 0 0 0 0 0
 0 2 6 0 0



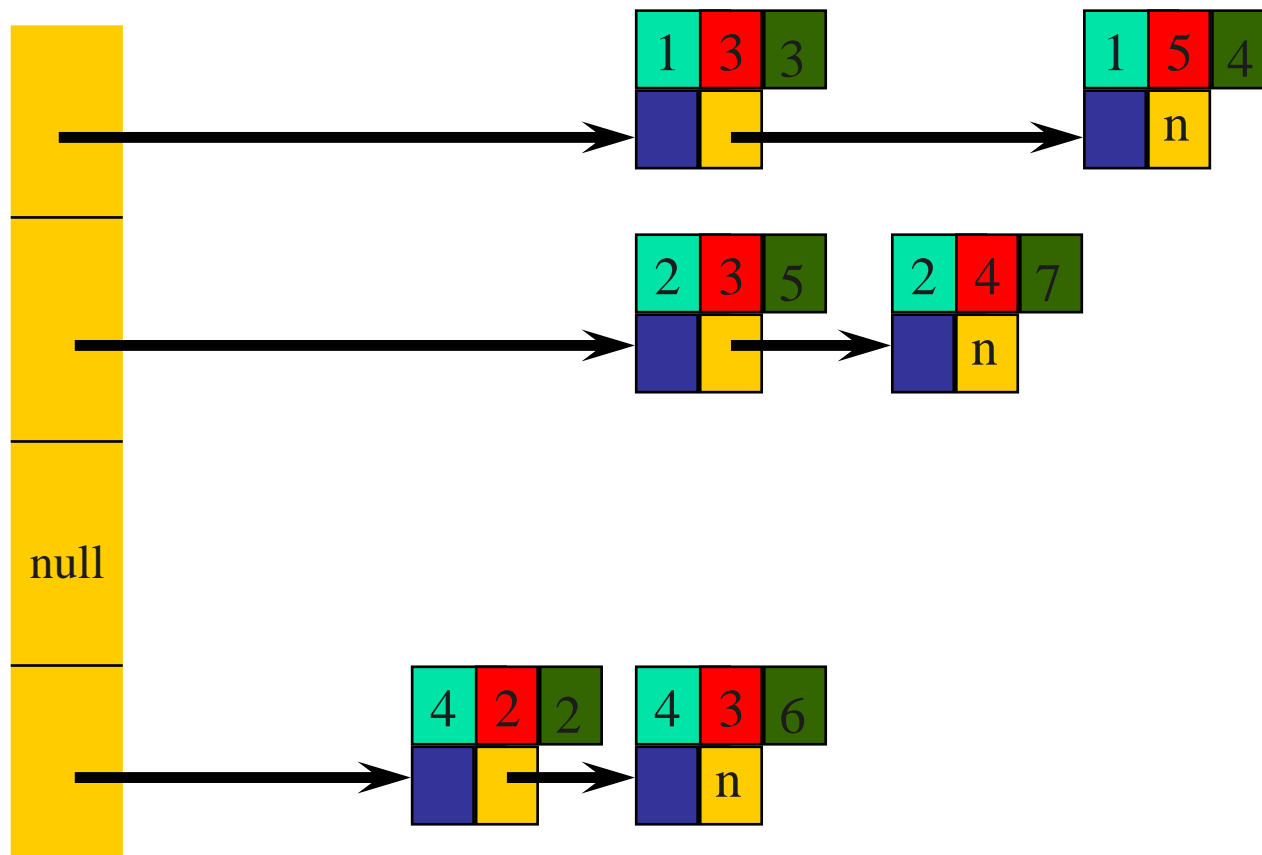
USM implementation using Orthogonal Lists

- Both row and column lists
- More expensive than array of row chains
- More complicated implementation
- Not much advantage!
- Node structure



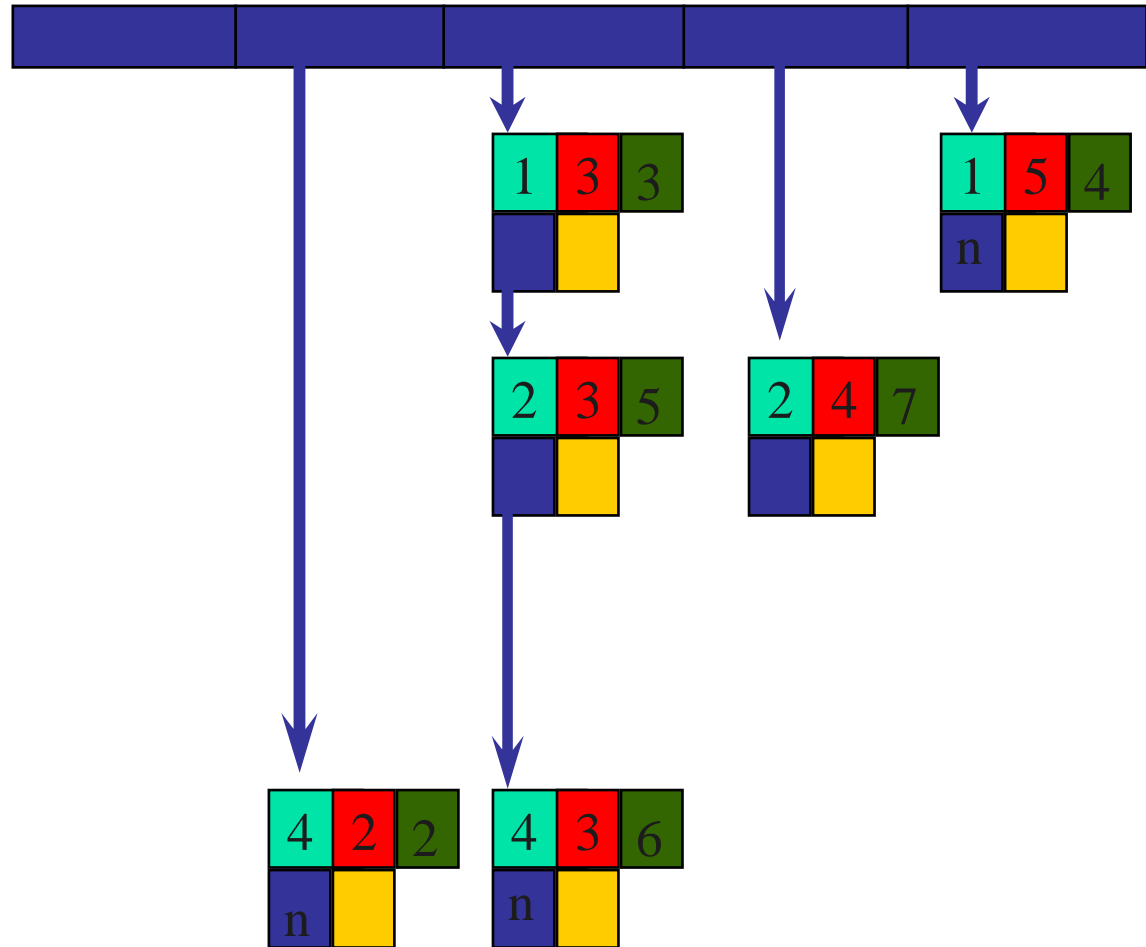
Row Lists

0 0 3 0 4
 0 0 5 7 0
 0 0 0 0 0
 0 2 6 0 0



Column Lists

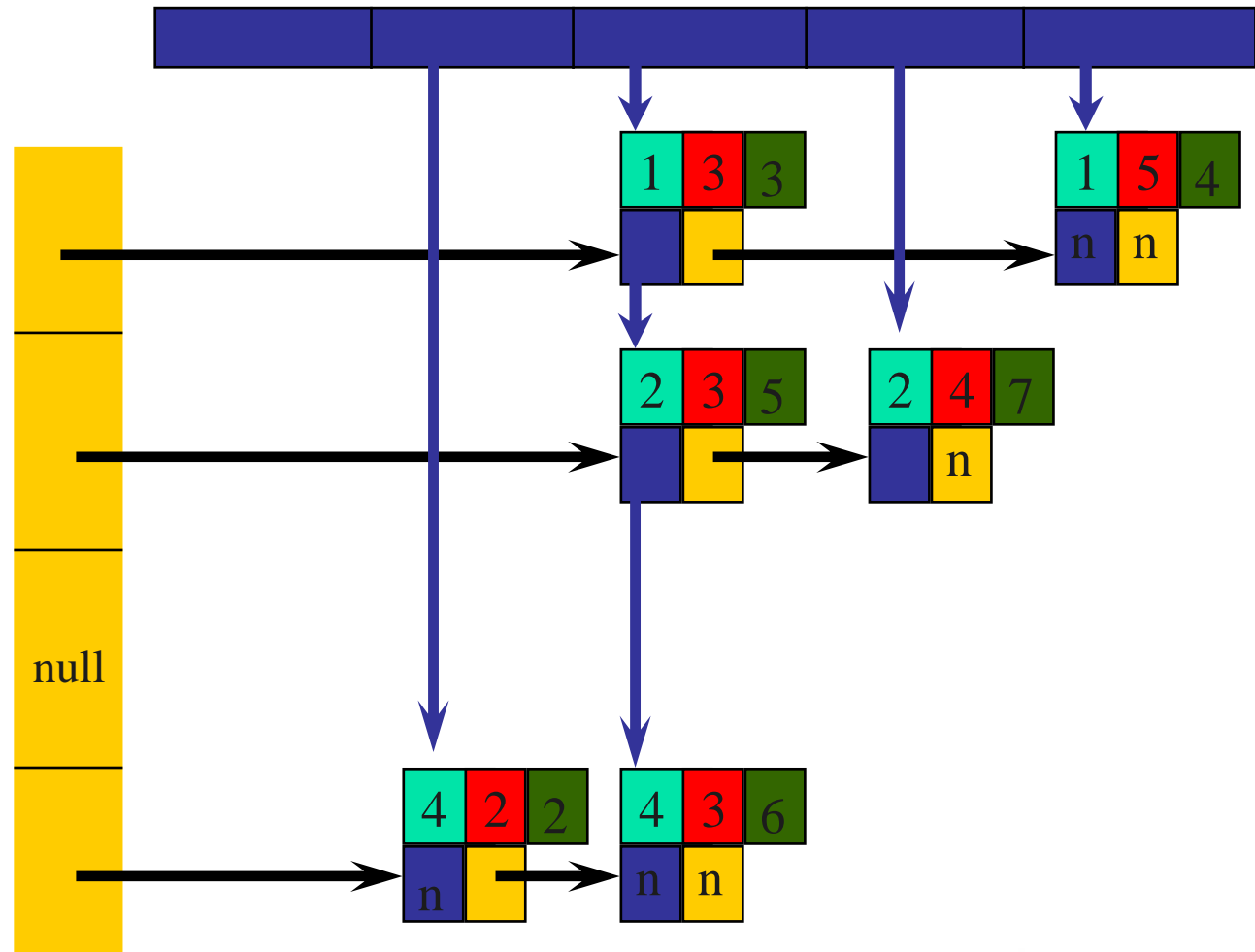
0 0 3 0 4
0 0 5 7 0
0 0 0 0 0
0 2 6 0 0



Orthogonal Lists

0 0 3 0 4
 0 0 5 7 0
 0 0 0 0 0
 0 2 6 0 0

row[]





Approximate Memory Requirements

- **500 x 500** matrix with **1994** nonzero elements
 - 2D array: $500 \times 500 \times 4 = 1\text{million}$ bytes
 - Single Array Linear List: $3 \times 1994 \times 4 = 23,928$ bytes
 - One Chain Per Row: $23928 + 500 \times 4 = 25,928$ bytes
 - Orthogonal List: your job!



Runtime Performance (1/2)

- Matrix Transpose operation
- **500 x 500** matrix with **1994** nonzero elements
 - 2D array **210 ms**
 - Array Linear List **6 ms**
 - One Chain Per Row **12 ms**



Runtime Performance (2/2)

- Matrix Addition operation
- **500 x 500** matrices with **1994** and **999** nonzero elements
 - 2D array **880 ms**
 - Array Linear List **18 ms**
 - One Chain Per Row **29 ms**



Summary

- In practice, data are often in tabular form
 - Arrays are the most natural way to represent it
 - Reduce both the space and time requirements by using a customized representation

- This chapter
 - Representation of a **multidimensional array**
 - Row major and column major representation
 - Develop **the class Matrix**
 - Represent two-dimensional array
 - Indexed beginning at 1 rather than 0
 - Support operations such as add, multiply, and transpose
 - Introduce **matrices with special structures**
 - Diagonal, triangular, and symmetric matrices
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