



Introduction to

Materials Science and Engineering

## Chapter 7

# Dislocations and Strengthening

- Why are dislocations observed primarily in metals and alloys?
- How are the strength and dislocation motion related?
- How do we increase the strength?
- How can heating change the strength and other properties?





# Contents



**1** Introduction

**2** Dislocations & Plastic Deformation

**3** Strengthening in Metals

**4** Recovery, Recrystallization and Grain Growth





# Dislocations & Materials Classes

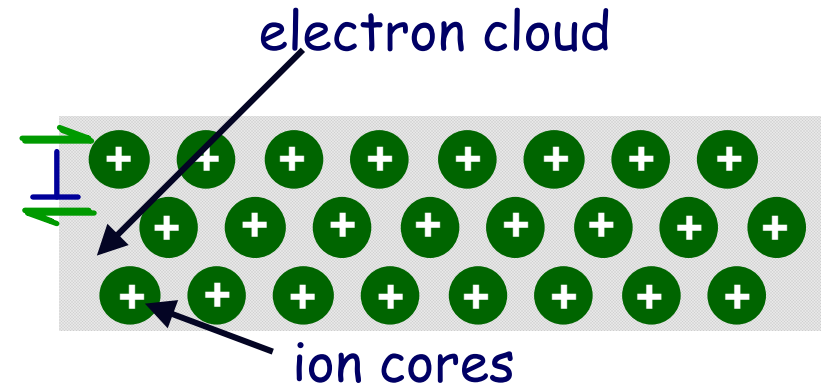


➤ Metals:

**Dislocation motion easy**

✓ Non-directional bonding

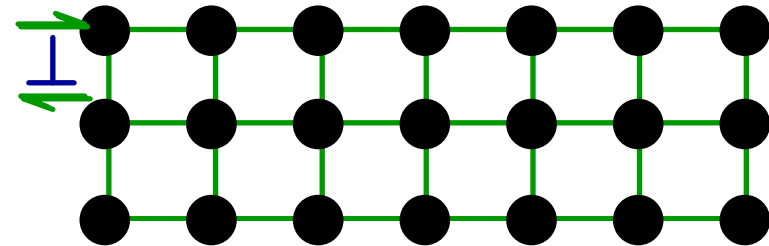
✓ Close-packed directions for slip



➤ Covalent Ceramics (Si, SiC, diamond):

**Motion hard**

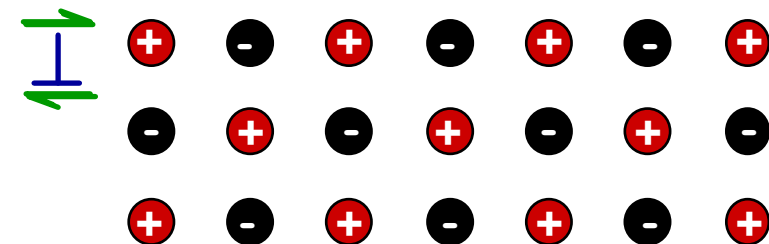
✓ Directional (angular) bonding



➤ Ionic Ceramics (NaCl):

**Motion hard**

✓ Need to avoid + and - neighbors

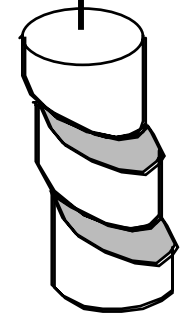
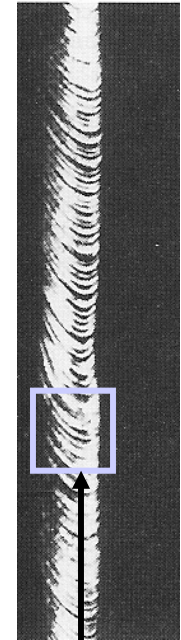
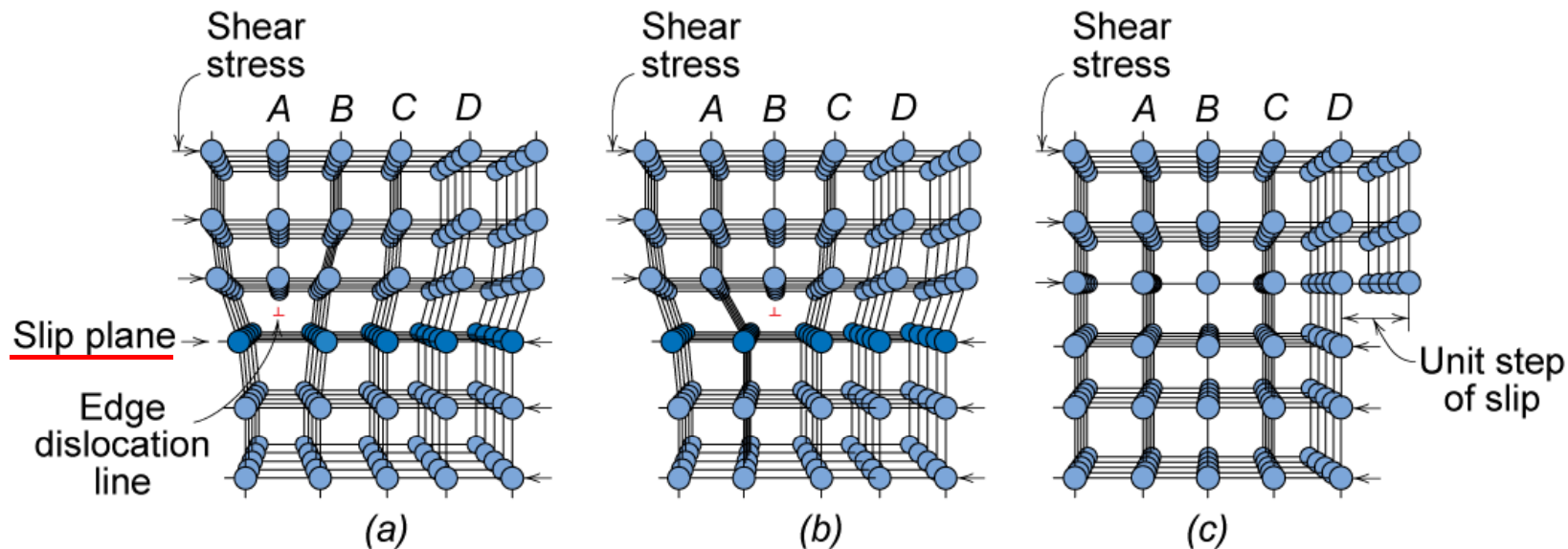




# Dislocation Motion



- Produces plastic deformation.
- Depends on incrementally breaking bonds.
- Metals - plastic deformation by **plastic shear or slip** where one plane of atoms slides over adjacent plane by defect (dislocation) motion.



(Fig. 7-1)

**If dislocation can not move, deformation doesn't occur.**

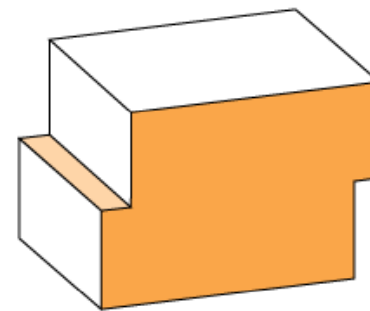
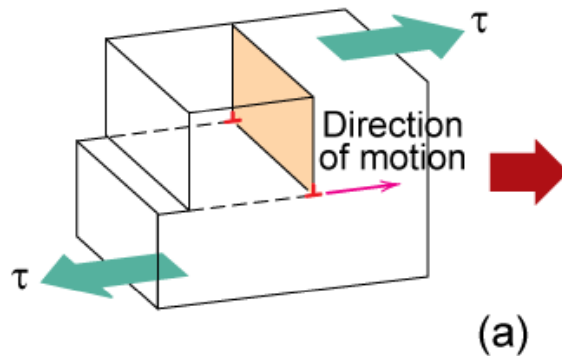




# Dislocation Motion

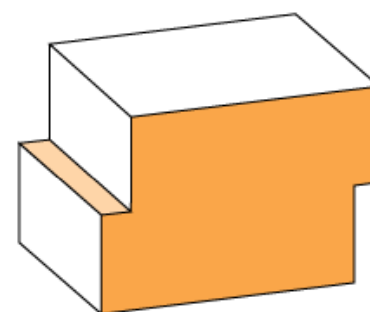
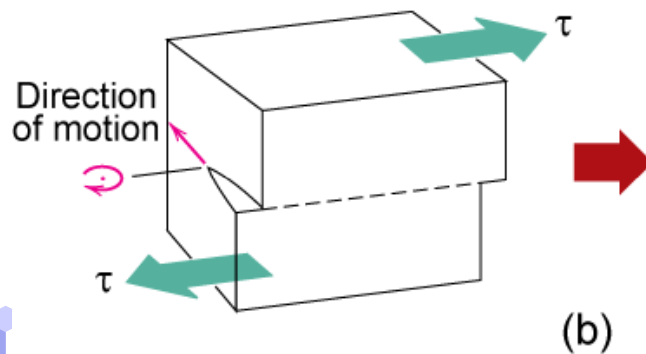


- **Edge:**  
Dislocation line moves in the slip direction.
- **Screw:**  
Dislocation line moves perpendicular to the slip direction.
- Slip direction: the same direction as Burgers vector.



**Edge dislocation**

**(Fig. 7-2)**

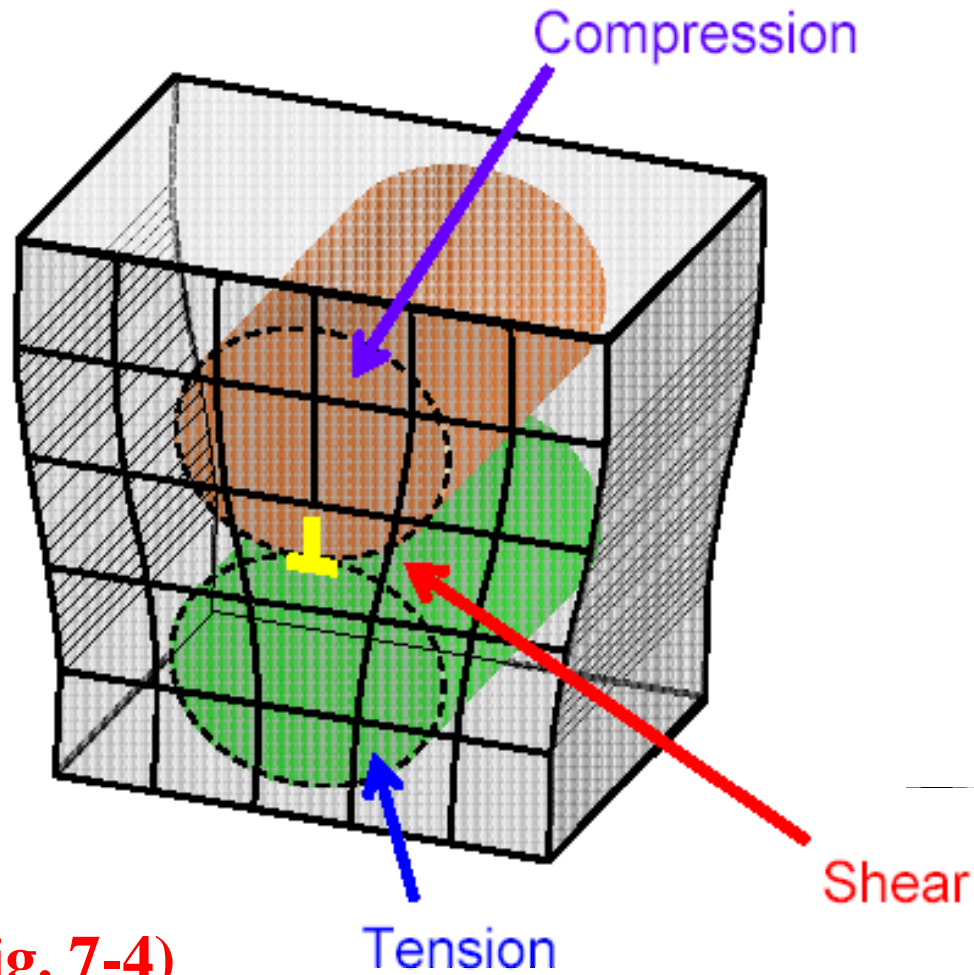


**Screw dislocation**





# Stress Field of Edge Dislocation



$$\sigma_{rr} = \sigma_{\theta\theta} = -\frac{Gb}{2\pi(1-\nu)} \frac{\sin\theta}{r}$$
$$\sigma_{zz} = \nu(\sigma_{rr} + \sigma_{\theta\theta}) = -\frac{Gb\nu}{\pi(1-\nu)} \frac{\sin\theta}{r}$$
$$\sigma_{r\theta} = \frac{Gb}{2\pi(1-\nu)} \frac{\cos\theta}{r}$$
$$\sigma_{zr} = \sigma_{\theta z} = 0 \quad (\text{skip this box})$$

(Fig. 7-4)

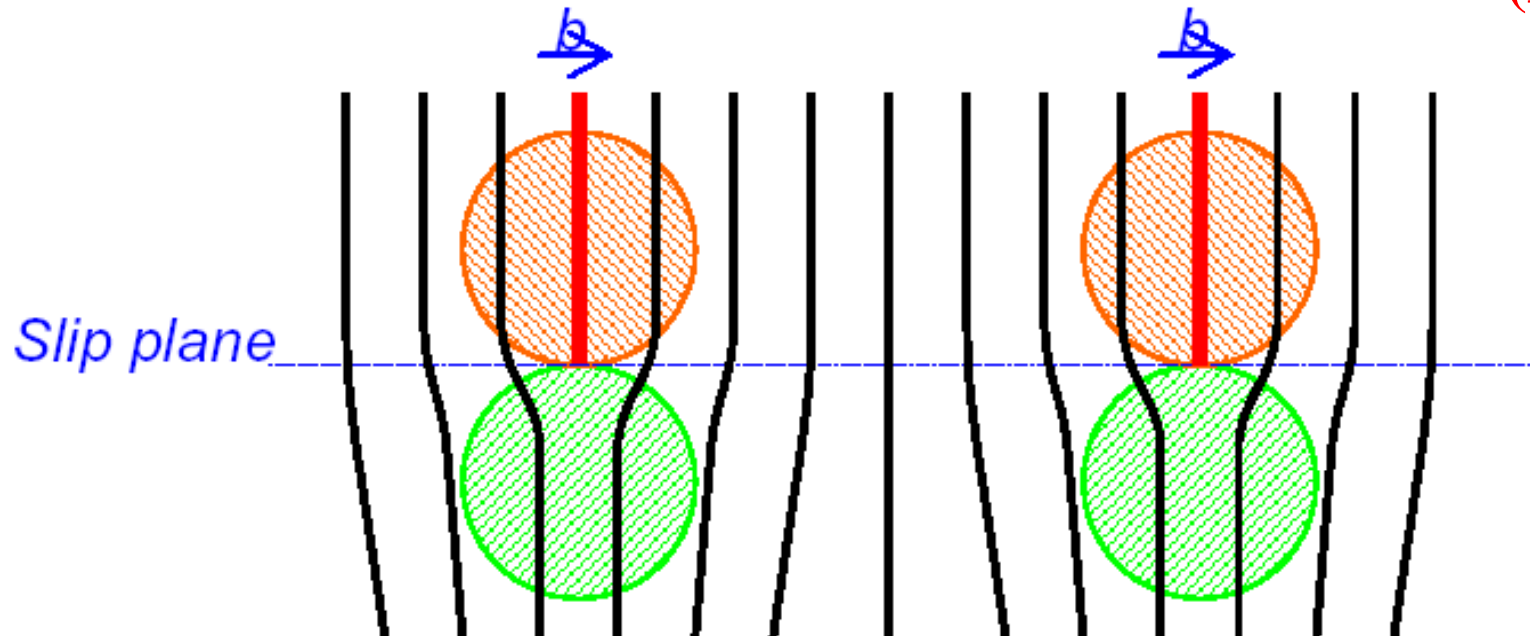




# Forces between Dislocations



(Fig. 7-5)



Two **like-edge dislocations** (i.e., both have parallel Burgers vectors) lying **on the same slip plane** will **repel** each other.

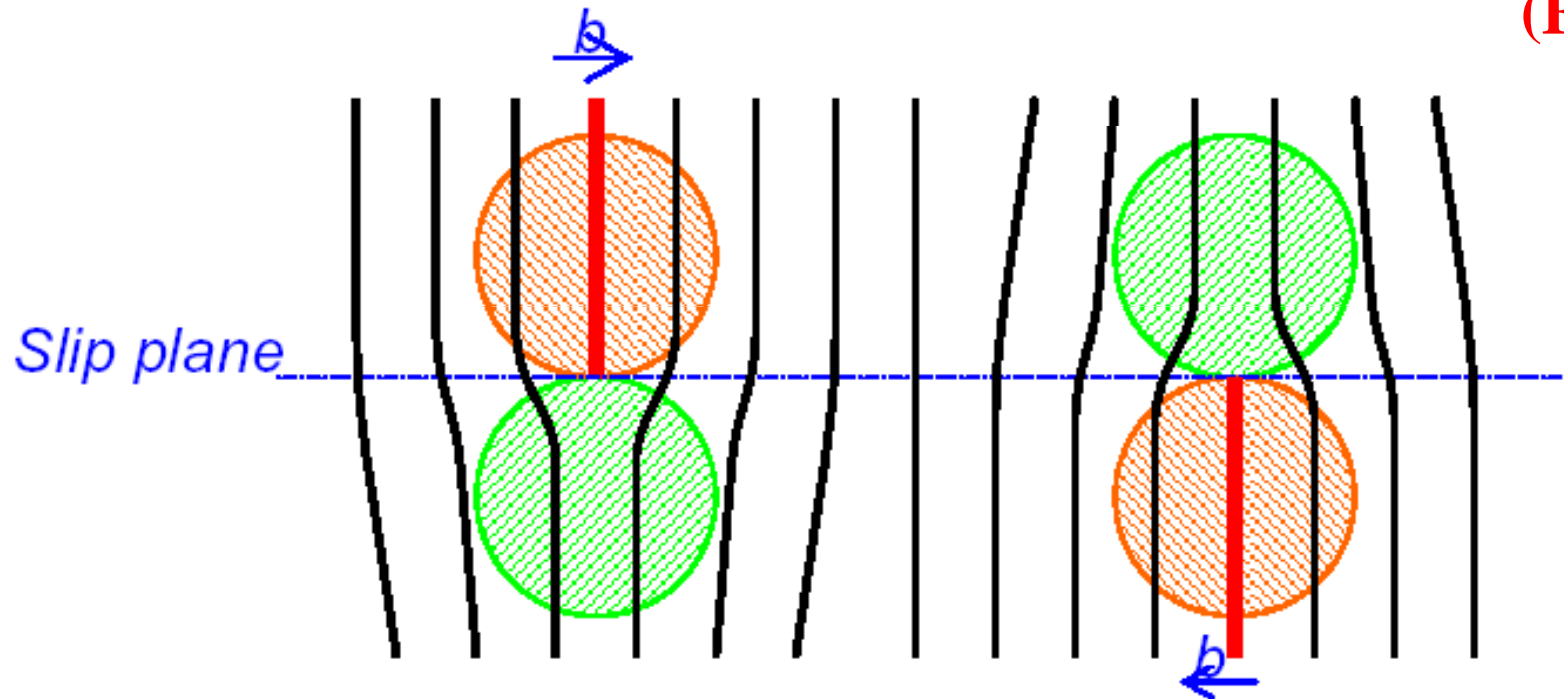




# Forces between Dislocations



(Fig. 7-5)



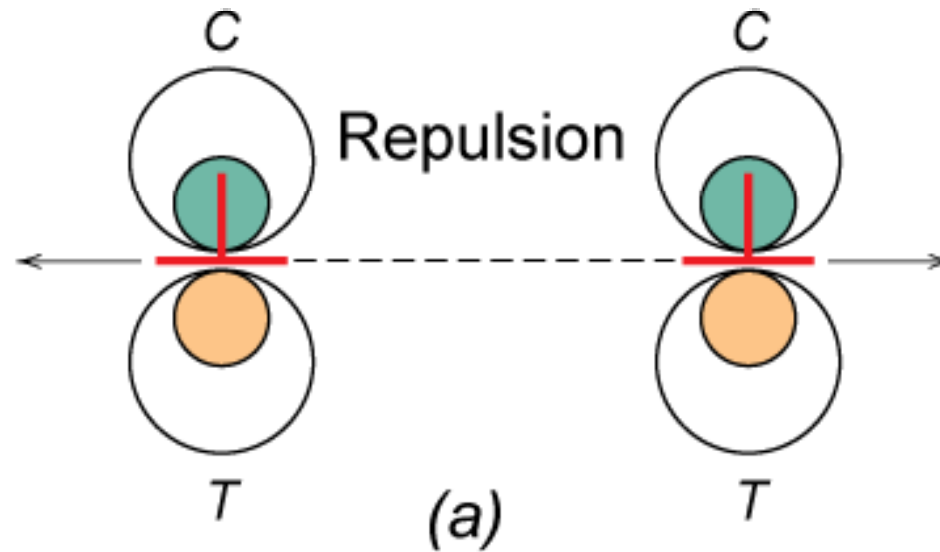
Two **unlike-edge dislocations** (i.e., both have opposite Burgers vectors) lying **on the same slip plane** will **attract** each other, and **annihilate** out.



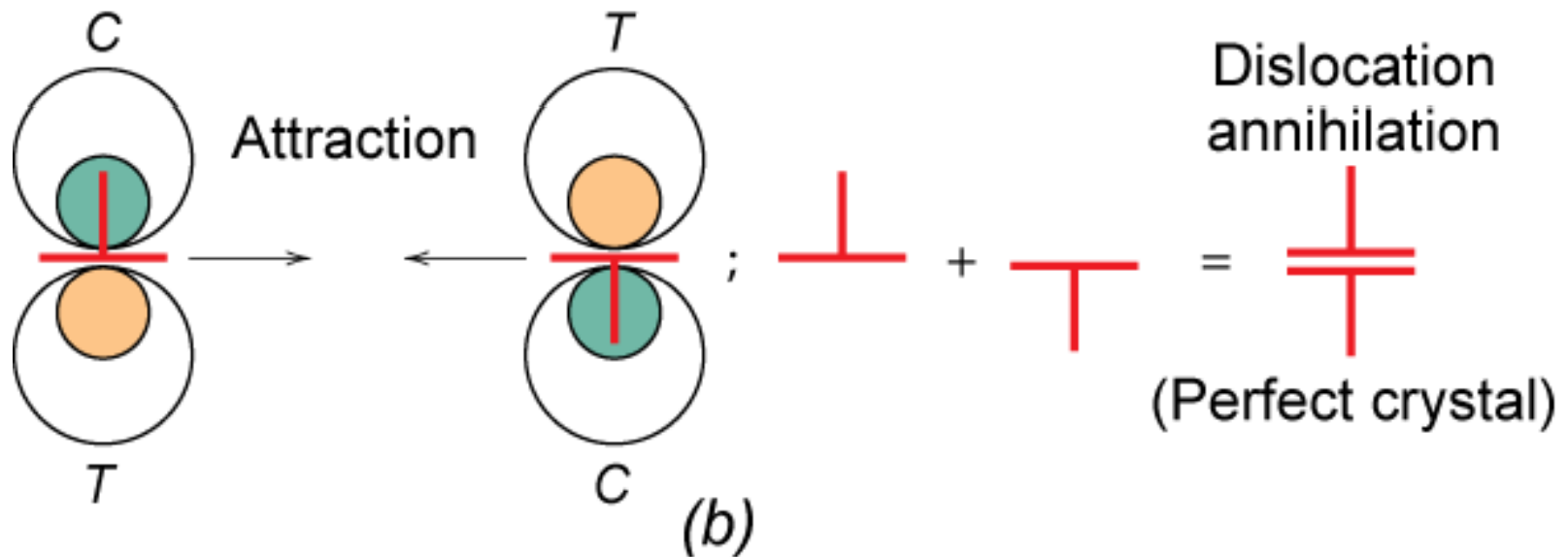




# Effects of Stress at Dislocations



(Fig. 7-5)



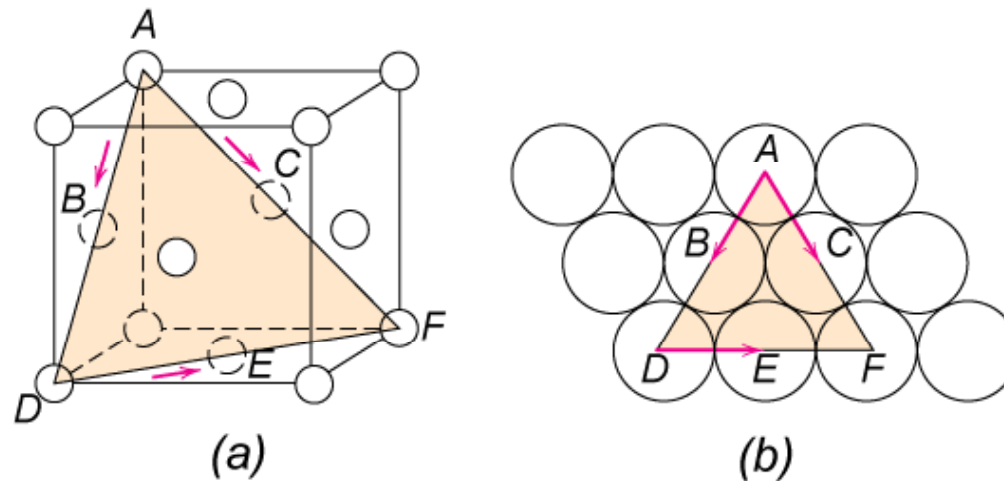


# Deformation Mechanisms



## ➤ Slip System

- Slip plane - plane allowing easiest slip
  - Wide interplanar spacing - **highest planar density**
- Slip direction - direction of movement - **highest linear density**



(Fig. 7-6)

- **FCC** slip occurs on **{111}** planes (**close-packed in 2-D**)  
in **<110>** directions (**close-packed in 1-D**)

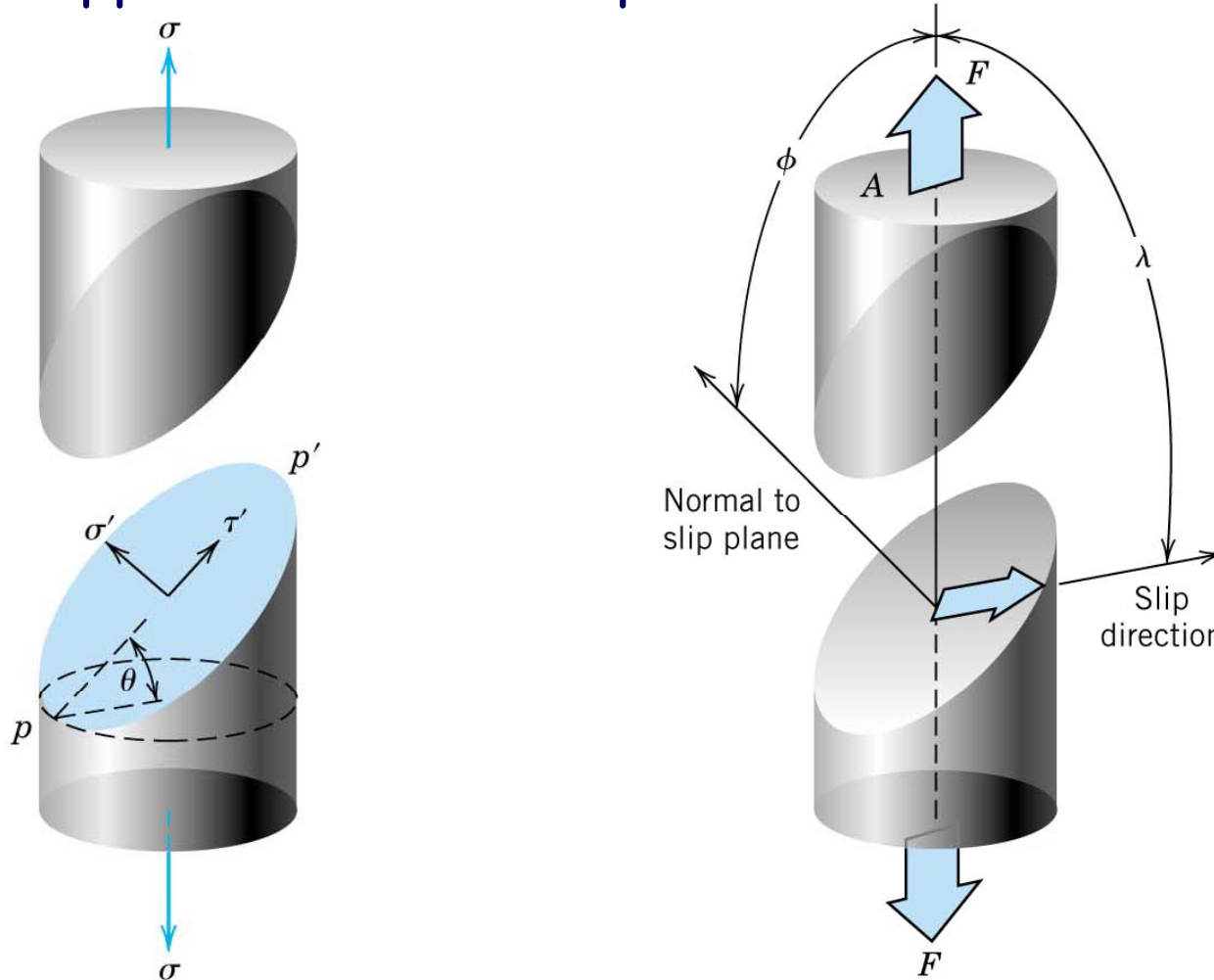




# Stress and Dislocation Motion



- Crystals slip due to a **resolved shear stress**,  $\tau_R$
- Applied tension can produce such a stress.



**(Fig. 7-7)**

*(skip equations)*

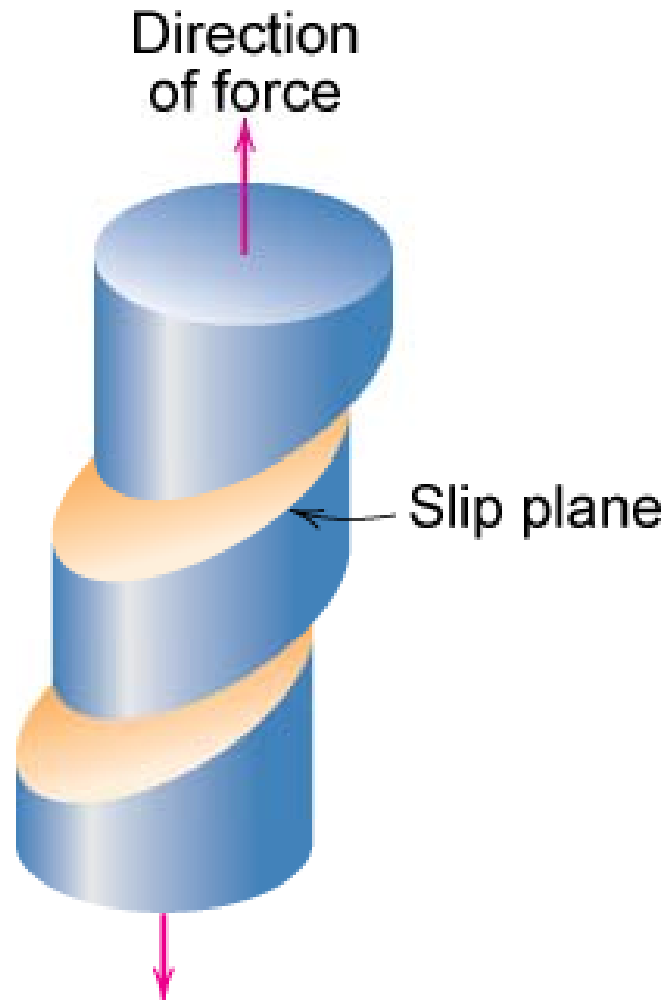




# Single Crystal Slip



**(Fig. 7-8)**



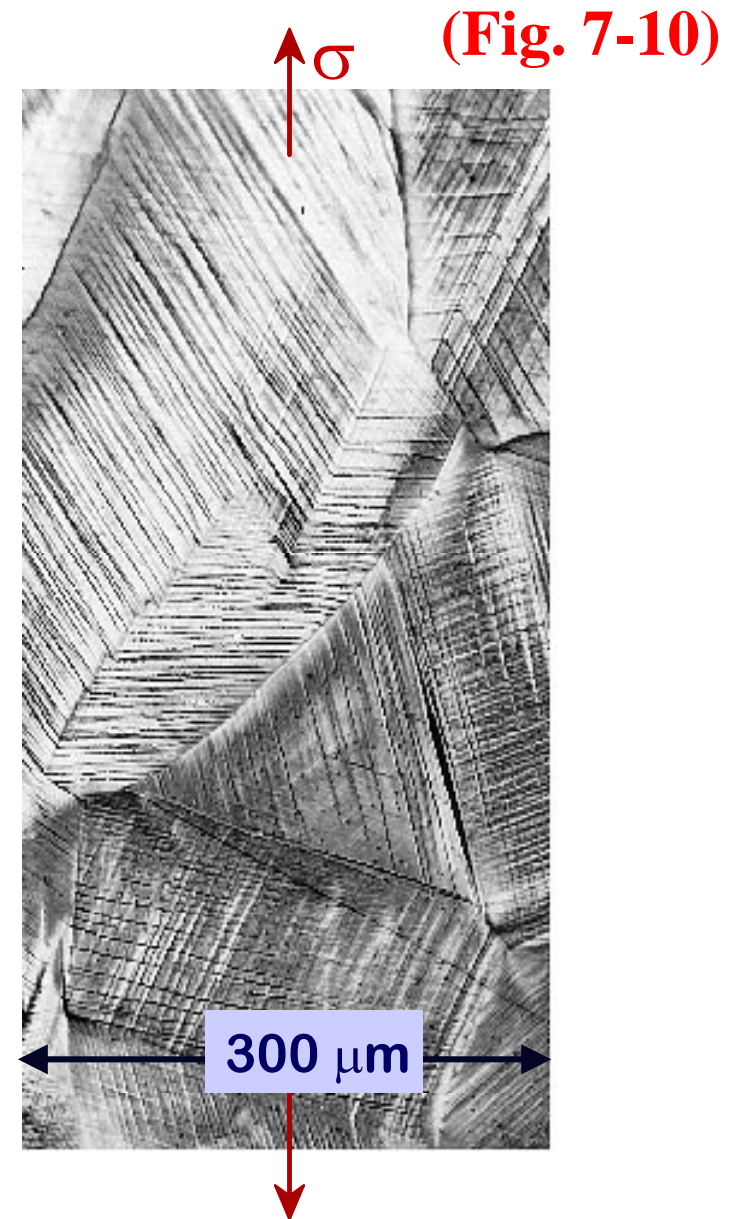
**(Fig. 7-9)**





# Dislocation Motion in Polycrystals

- Slip planes & directions change from one grain (crystal) to another grain.
- Less-favorably-oriented crystals yield later.
- Polycrystalline metals are stronger than their single-crystal equivalents (in general).





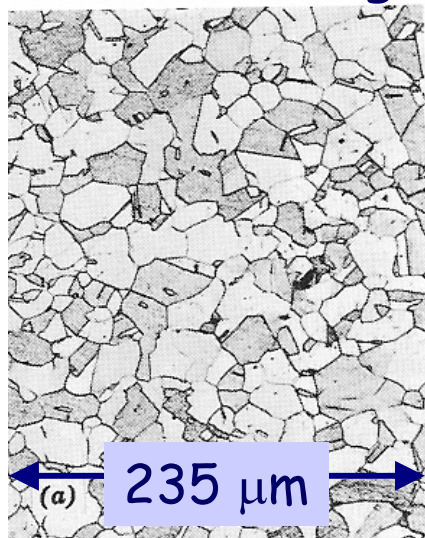
# Anisotropy in $\sigma_{\text{yield}}$



- Can be induced by rolling a polycrystalline metal.

(Fig. 7-11)

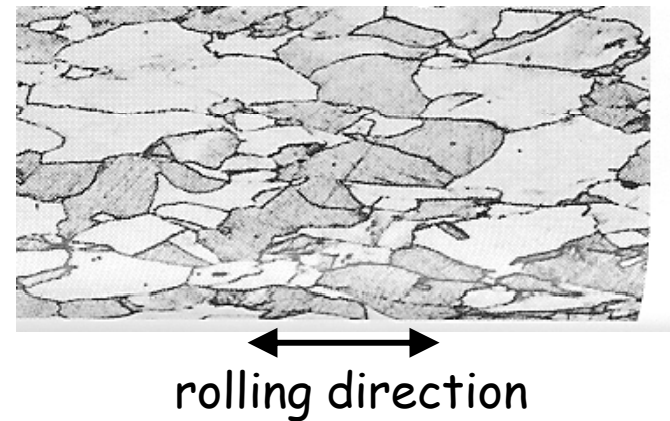
- before rolling



- Isotropic

Grains are approximately spherical & randomly oriented.

- after rolling



- Anisotropic

Rolling affects the grain orientation and shape.





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# Four Strategies for Strengthening



- 1<sup>st</sup>: Grain Size Reduction
- 2<sup>nd</sup>: Solid-Solution Strengthening
- 3<sup>rd</sup>: Precipitate Strengthening
- 4<sup>th</sup>: Cold Work (= Strain Hardening)  
(= Work Hardening)





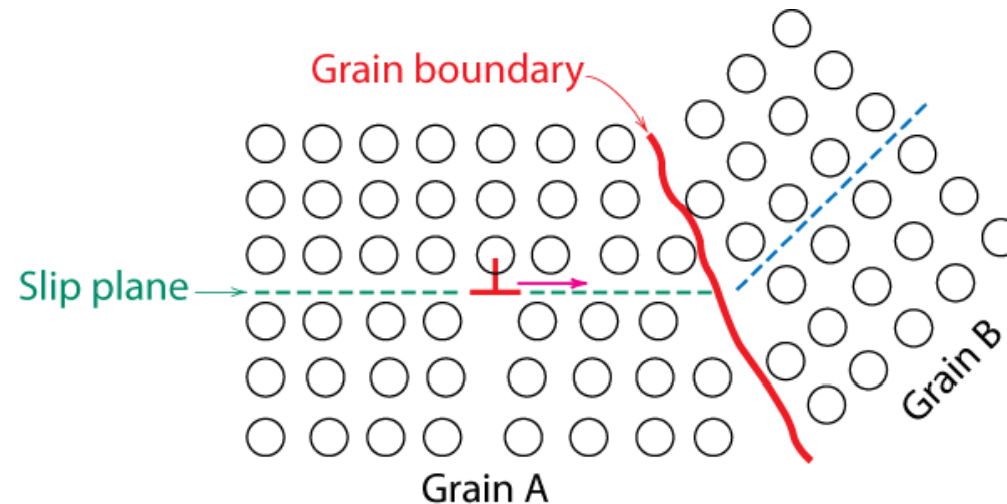


# Four Strategies For Strengthening

## 1<sup>st</sup>: Grain Size Reduction



- Grain boundaries are barriers to slip.
- Smaller grain size: more barriers to slip.



(Fig. 7-14)

➤ Hall-Petch Equation:

$$\sigma_{\text{yield}} = \sigma_0 + k_y d^{-1/2}$$

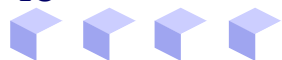
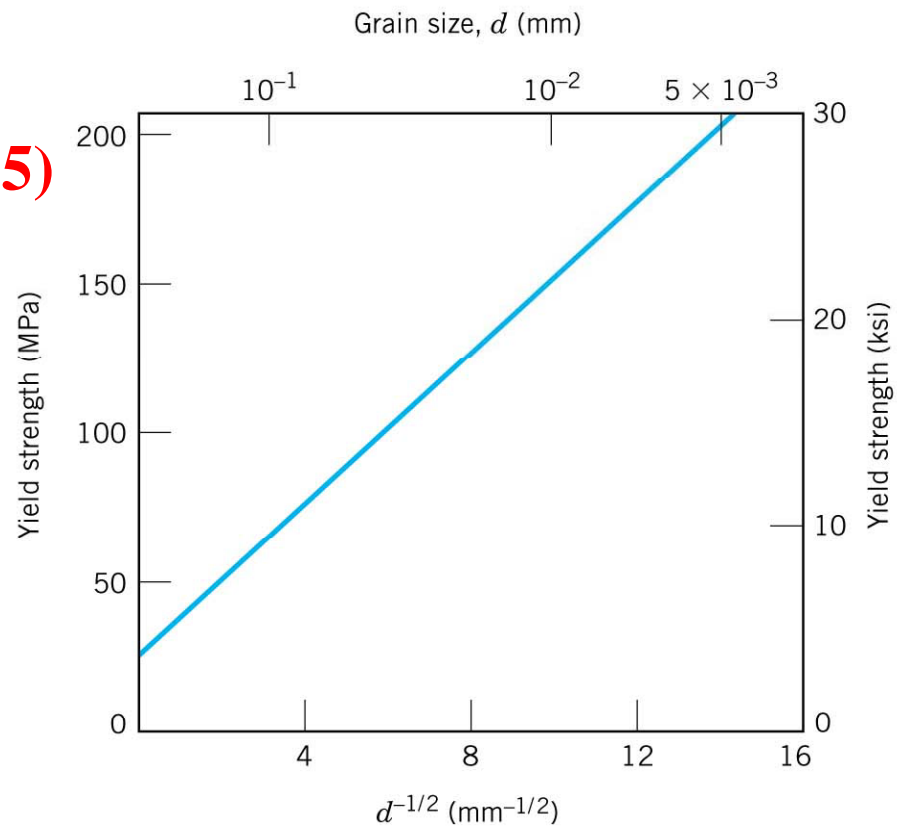




# Grain Size Reduction



**(Fig. 7-15)**



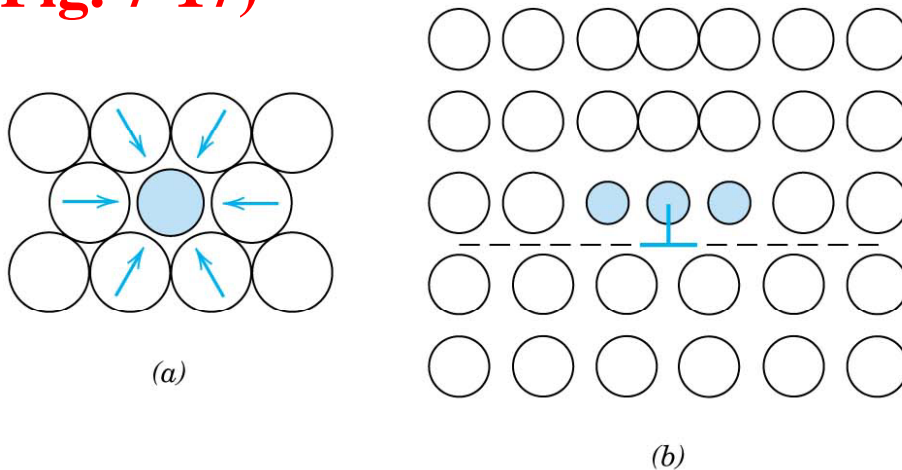


# Four Strengthening Strategy

## 2<sup>nd</sup>: Solid Solutions



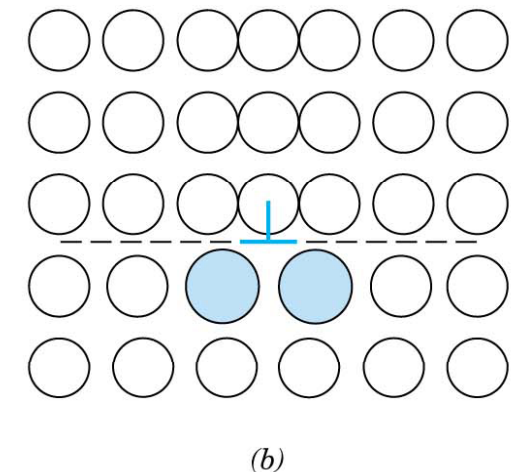
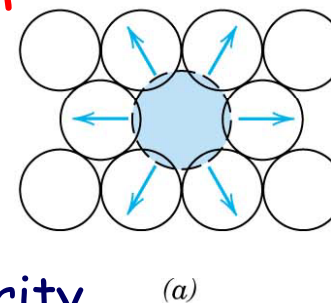
**(Fig. 7-17)**



Smaller substitutional impurities  
→ Tensile lattice strain

**(Fig. 7-18)**

➤ Reduce mobility of dislocation  
→ increase strength



Larger substitutional impurity  
→ Compressive lattice strain



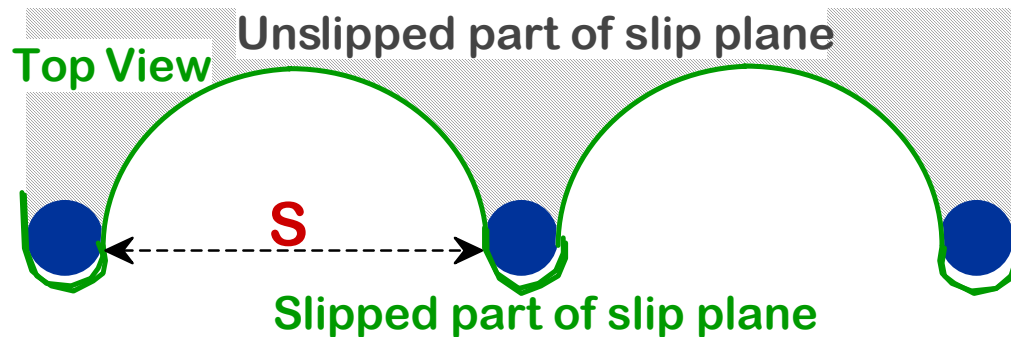
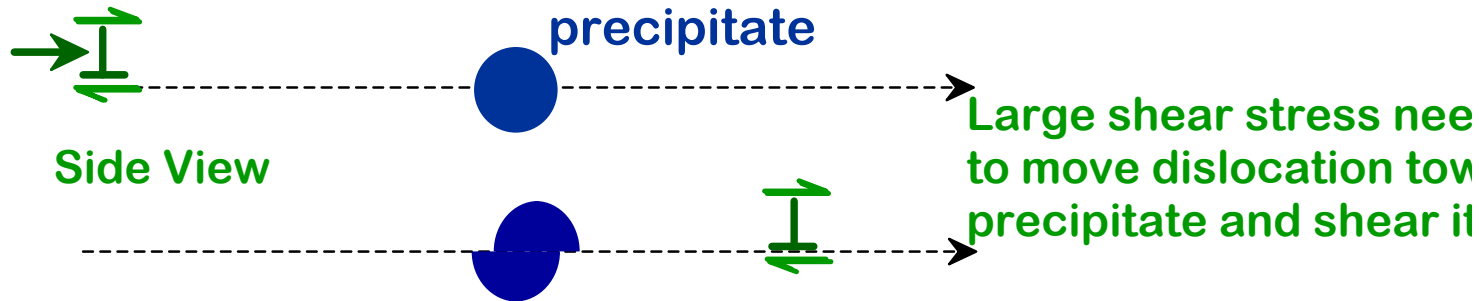


# Four Strengthening Strategy

## 3<sup>rd</sup>: Precipitate Strengthening



Hard precipitates are difficult to shear.



Dislocation advances.  
However, precipitates  
act as pinning sites.



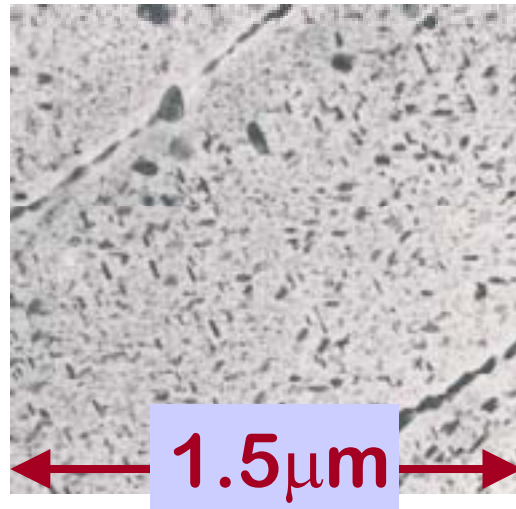


# Precipitate Strengthening: Applications

Internal wing structure on Boeing 767



Aluminum is strengthened with precipitates formed by alloying.



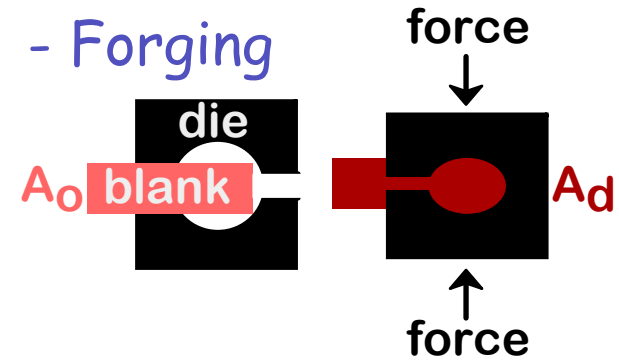
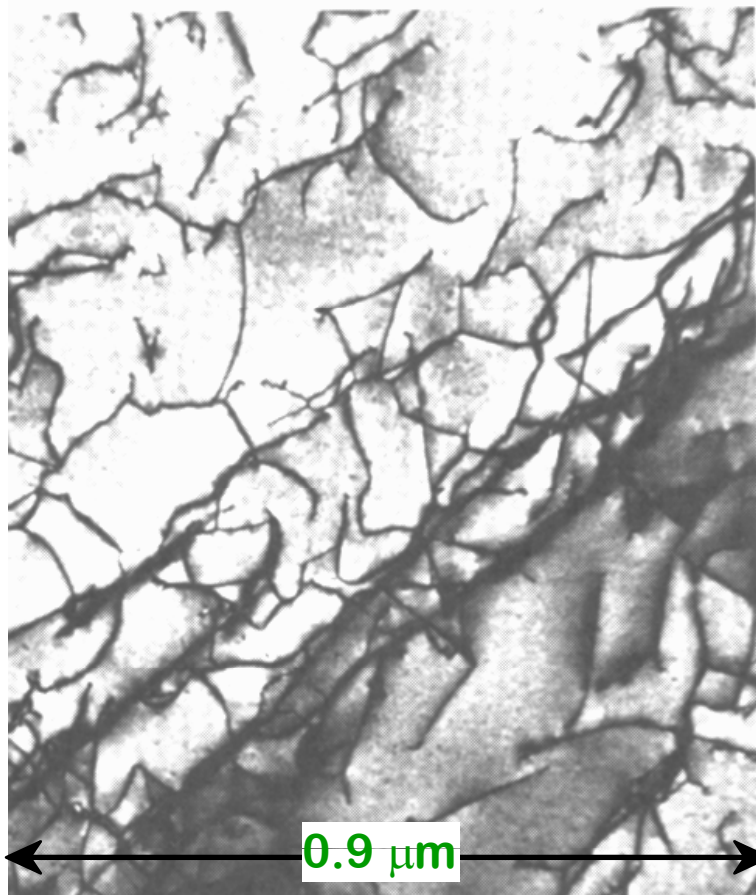


# Four Strengthening Strategy



## 4<sup>th</sup>: Cold Work (Strain Hardening = Work Hardening)

- Room temperature deformation.



- Dislocations entangle with one another during cold work.
- Dislocation motion becomes more difficult.





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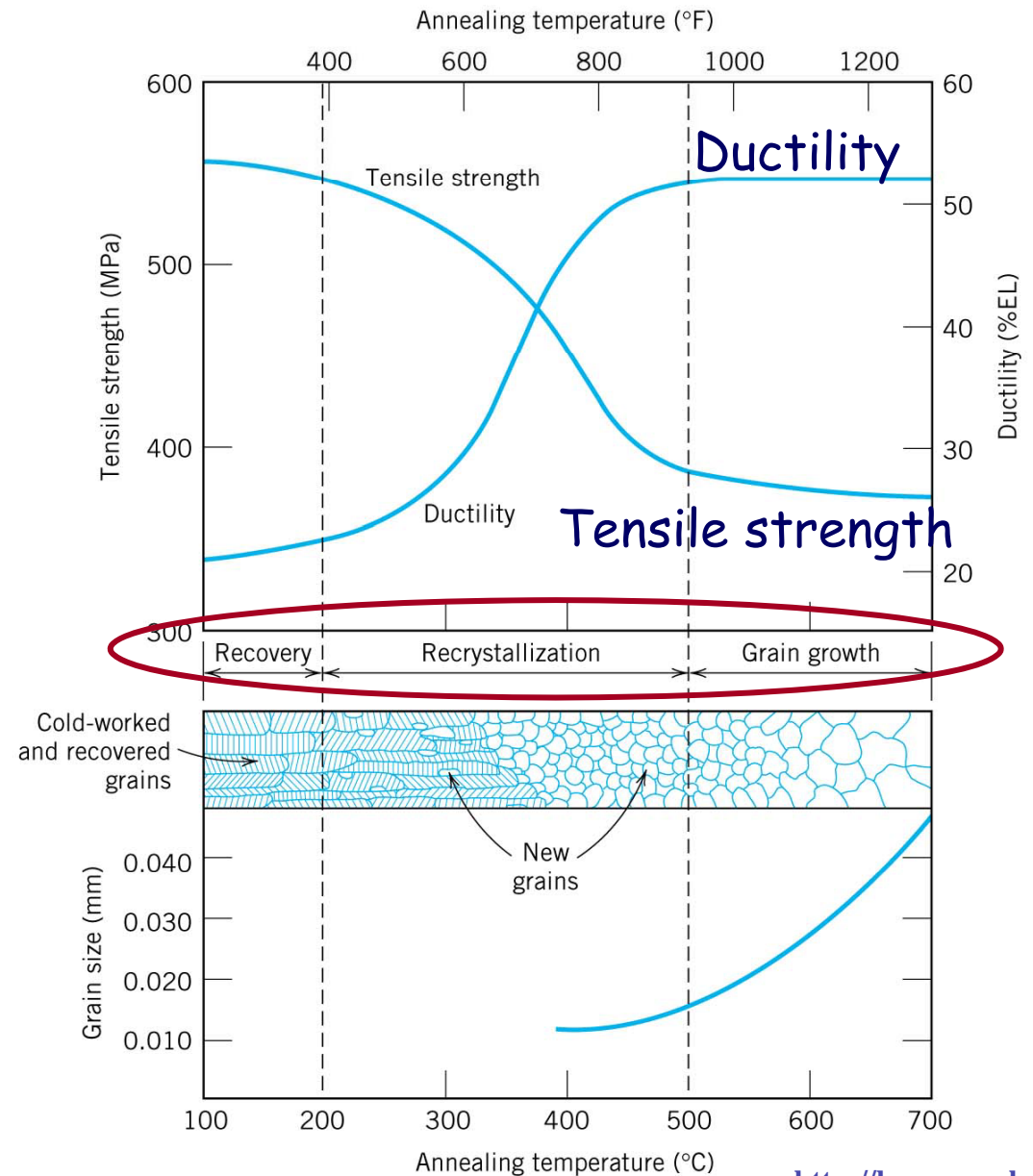


# Effect of Heating after Cold Work



- Heat treatment decreases the tensile strength and increases the ductility.
- Effects of cold work are reversed.

Three stages:



(Fig. 7-22)







# 1. Recovery



Thermal energy reduces the dislocation density by annihilation.



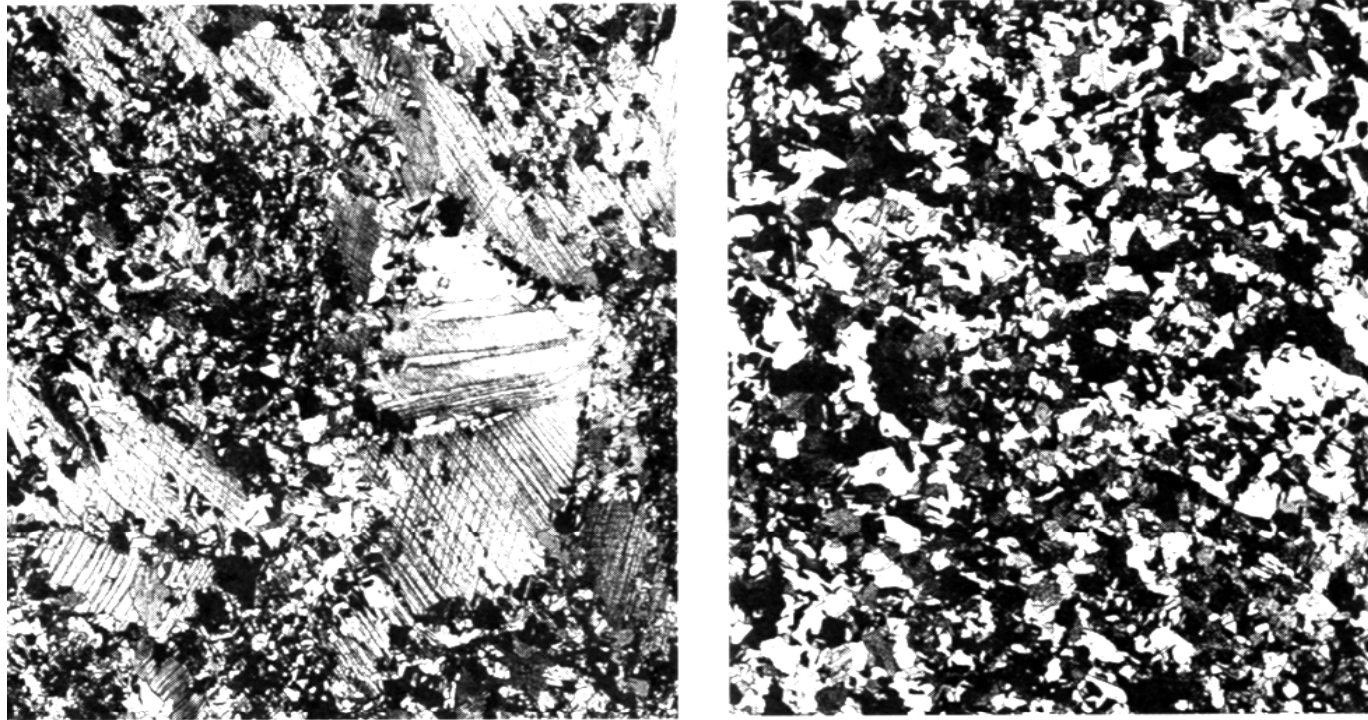


## 2. Recrystallization

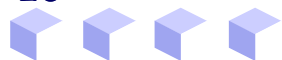


- New grains (by thermal energy) are formed that:
  - ✓ Have a smaller dislocation density.
  - ✓ Are small.
  - ✓ Consume cold-worked crystals.

**(Fig. 7-21)**



New crystals nucleate (신대륙 개척).

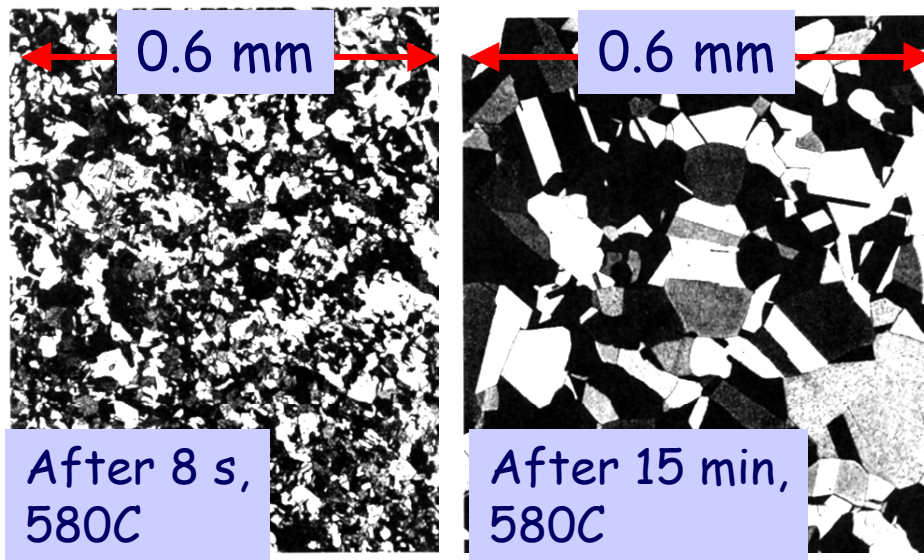




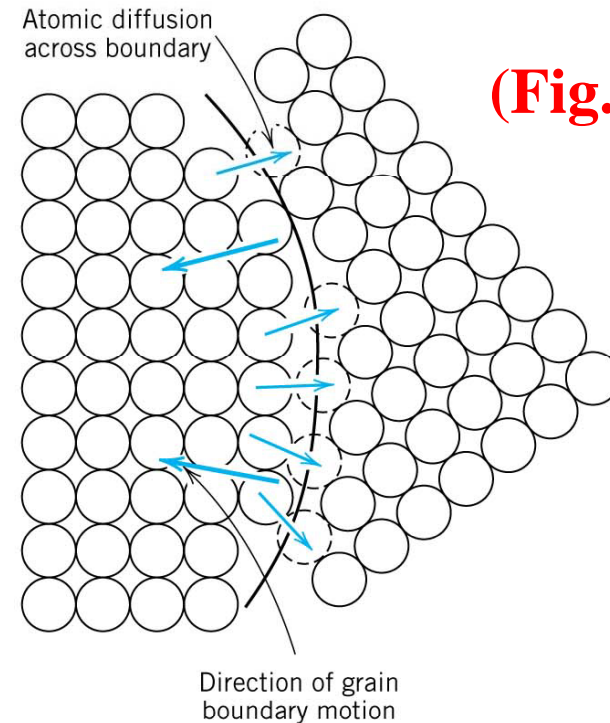
# 3. Grain Growth



- At longer times, larger grains consume smaller ones.
- Why? Grain-boundary area (and therefore energy) is reduced (the driving force).



(Fig. 7-21)



(Fig. 7-24)

exponent typ. ~ 2

grain diam. at time t

$$d^n - d_0^n = Kt$$

coefficient dependent on material and T

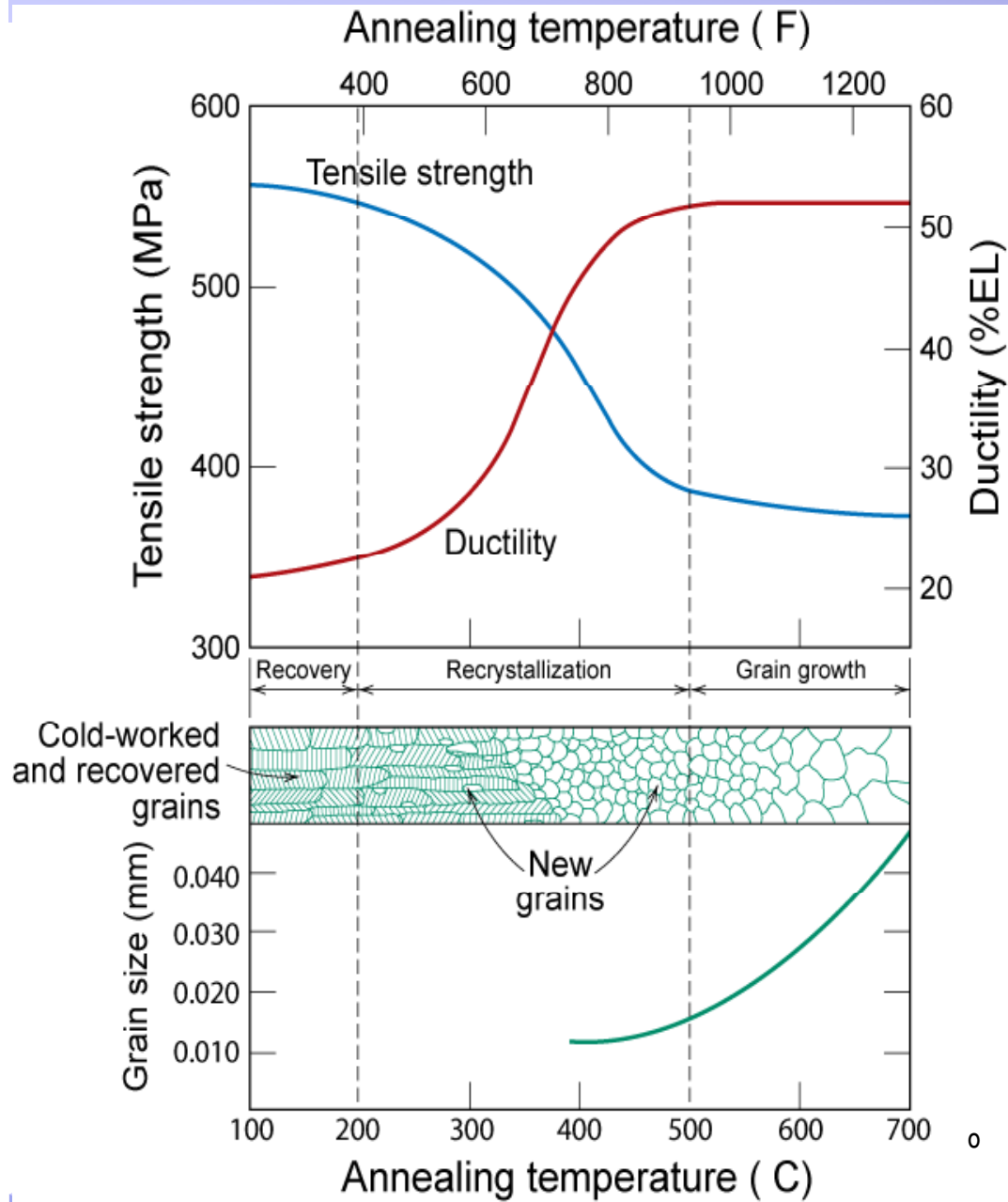
elapsed time

(Eq. 7-9)





# Process



(Fig. 7-22)





# Summary



- Dislocations are observed primarily in metals and alloys.
- Strength is increased by making the dislocation motion difficult.
- Particular ways to increase the strength are:
  - ✓ To decrease the grain size
  - ✓ Solid solution strengthening
  - ✓ Precipitate strengthening
  - ✓ Cold work
- Heating (*annealing*) can reduce the dislocation density and increase grain size. This decreases the strength.

➤ **Problems from Chap. 7**

<http://bp.snu.ac.kr>

Prob. 7-1 (Please answer in cm, cm<sup>-2</sup>, or cm<sup>3</sup> for your solutions.)

Prob. 7-2   Prob. 7-4   Prob. 7-20   Prob. 7-22   Prob. 7-33   Prob. 7-36

