

b) K_0 – consolidation condition.

[In field → K_0 – state.
In lab → Generally isotropic state (triaxial test) to simplify the field conditions.

< For sedimented soils >

* Field : During sedimentation and subsequent loading (no lateral strain), soil structure is formed to resist efficiently to vertical loading.

(→ anisotropy)

- * Lab : During consolidating for undisturbed anisotropic samples isotropically, soil structure can be altered to have isotropic-inclined characteristics. And total confining stress $p' = (\sigma'_1 + \sigma'_2 + \sigma'_3) / 3$ is different from (larger than) that in field.

- The effect of **isotropic consolidation** on undrained behavior of N.C. or lightly O.C. clays. ($K_0 < 1 \rightarrow p'_{iso} > p'_{K_0}$ and rearrange of soil structure during isotropic consolidation (more isotropic structure))
 - ⇒ Comparing the shear behaviors under isotropic consolidation with those under K_0 -consolidation
 - ⇒ Subsequent shearing also induces structure rearrangement to activate the effective resistance to the given shearing mode.

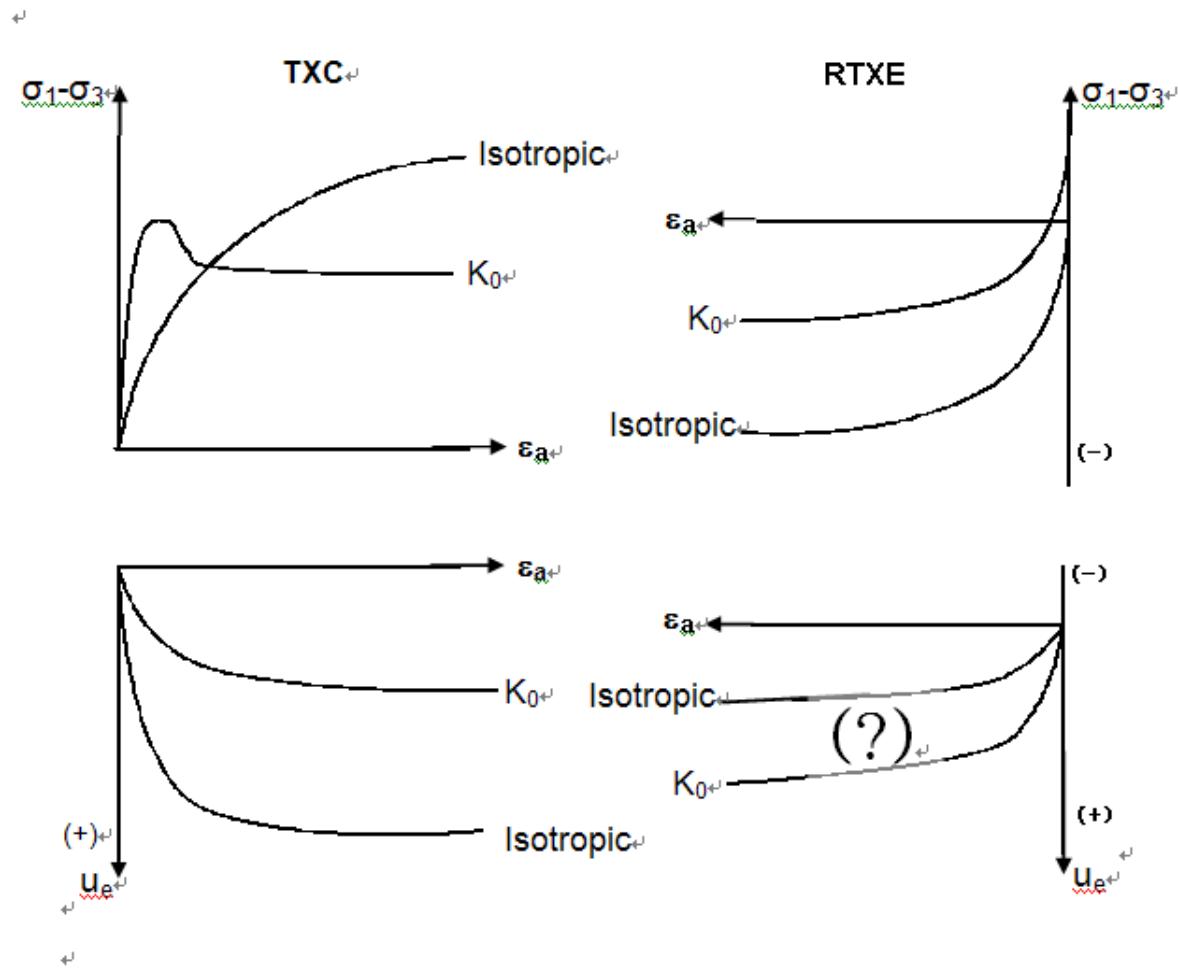
(1) Compression shearing.

- Soil structure: needs more strain to the peak and develops higher excess pore pressure and lower s_u .
- Higher p' induces higher s_u and possibly higher excess pore pressure during shearing.

(2) Extension shearing.

- Soil structure: increases s_u , and possibly (but not significantly) less strains to the peak and lower excess pore pressure.
- Higher p' induces higher s_u and higher excess pore pressure.

(3) Isotropic consolidation has no effect on ϕ' .



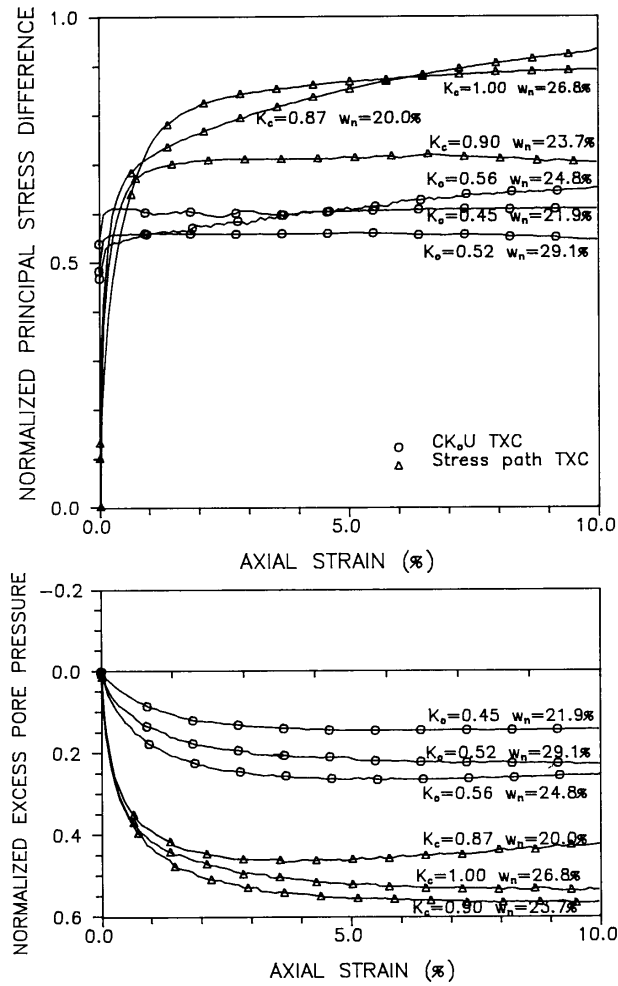


Figure 4-14 Consolidation Stress Ratio Effects on Stress-strain and Pore Pressure-Strain Response for Normally Consolidated Clays: Compression

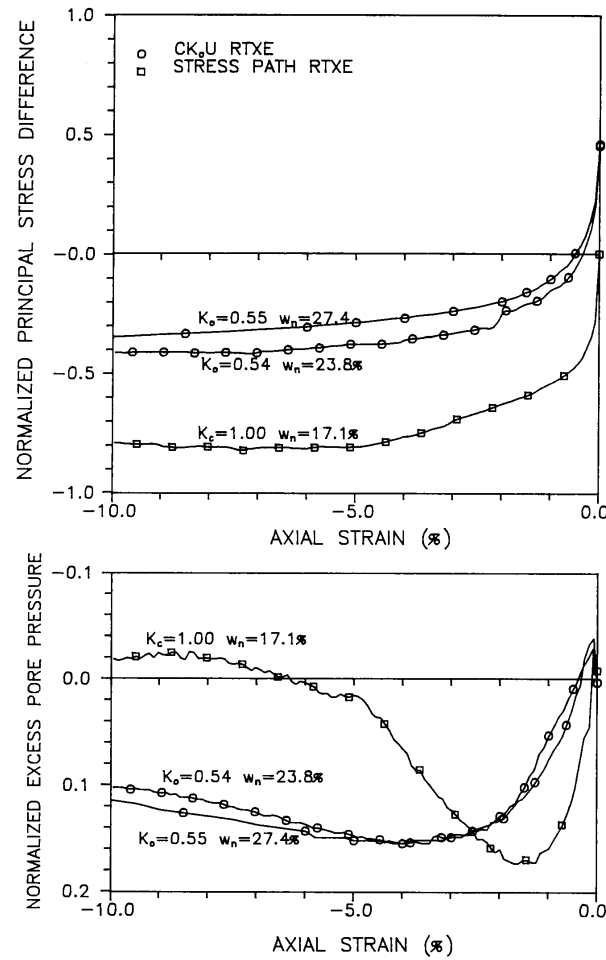


Figure 4-15 Consolidation Stress Ratio Effects on Stress-strain and Pore Pressure-Strain Response for Normally Consolidated Clays: Extension

* The Effect of Isotropic Consolidation on Shearing Behavior.

	Triaxial Compression	Triaxial Extension
Soil	$s_u \downarrow$ (Stiffness \downarrow)	$s_u \uparrow$ (Stiffness \uparrow)
Structure Change	($u_e \uparrow$)	($u_e \downarrow$)
Increasing p'	$s_u \uparrow$ $u_e (\uparrow)$	$s_u \uparrow$ $u_e (\uparrow)$

* Anisotropy of s_u (for NC or lightly OC clays).

$$\left(\frac{(s_u)_E}{(s_u)_C} \right)_i > \left(\frac{(s_u)_E}{(s_u)_C} \right)_{K_0}$$

* Mayne (1985), for 42 soil types,

$$\text{For comp. , } \left(s_u / \sigma'_{vc} \right)_{K_0} \approx 0.87 \left(s_u / \sigma'_{vc} \right)_{iso}$$

$$\text{For ext. , } \left(s_u / \sigma'_{vc} \right)_{K_0} \approx 0.60 \left(s_u / \sigma'_{vc} \right)_{iso}$$

* Sivakugan and et al.

Base on using $K_0 (=1-\sin\phi')$ and pore pressure parameter at failure, A_f for isotropic and

K_0 – consolidation;

$$\frac{\left(\frac{s_u}{\sigma'_{vc}} \right)_{CKoUC}}{\left(\frac{s_u}{\sigma'_{vc}} \right)_{CIUC}} = \frac{K_0 + 2(1 - K_0)A_{f,i}}{K_0 + 2(1 - K_0)A_{f,K_0}} \left(A_{f,i}(1 - K_0) + K_0 \right)$$

* Wroth

$$\frac{\left(\frac{s_u}{\sigma'_{vc}}\right)_{CKoUC}}{\left(\frac{s_u}{\sigma'_{vc}}\right)_{CIUC}} = \frac{3 - 2 \sin \phi'}{3} (1 - a^2)^\Lambda$$

$$\text{where } a = \frac{3 - \sin \phi'}{2(3 - 2 \sin \phi')}, \quad \Lambda = 1 - \frac{C_r}{C_c}$$

* For heavily OC clays

$$K_0 = (1 - \sin \phi)(OCR)^{\sin \phi} \Rightarrow \text{For } OCR = 4, K_0 \approx 1$$

So, the higher OCR (<4), the lower anisotropy.

2) Shearing Conditions.

a) Anisotropy

2 types $\left\{ \begin{array}{l} 1) \text{ Material} \rightarrow \text{Inherent anisotropy.} \\ 2) \text{ } K_0 \neq 1 \rightarrow \text{Stress system anisotropy .} \end{array} \right.$

→ Caused by tendency of clay particles to become horizontally oriented during deposition (1 – D Compression).

Anisotropy will affect

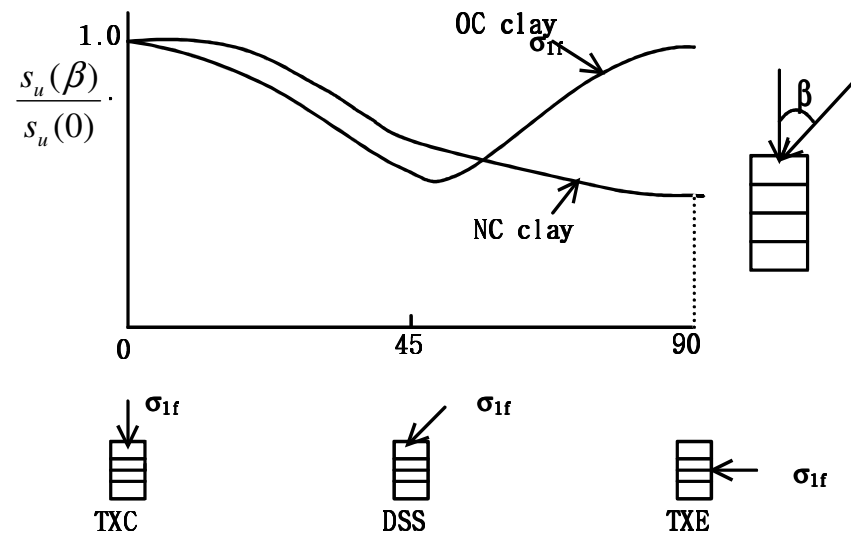
→ $\phi', c' \Rightarrow \phi'_{\text{ext}} > \phi'_{\text{com}} (?)$.

→ S_u .

→ Deformation parameters ($\sigma - \epsilon$ response).

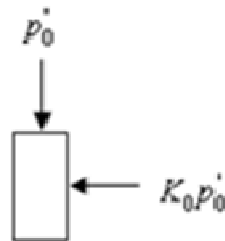
→ Pore pressure response.

As the direction of major principal stress changes, anisotropy of undrained strength ($s_u(\beta)/s_u(0)$) is shown as,

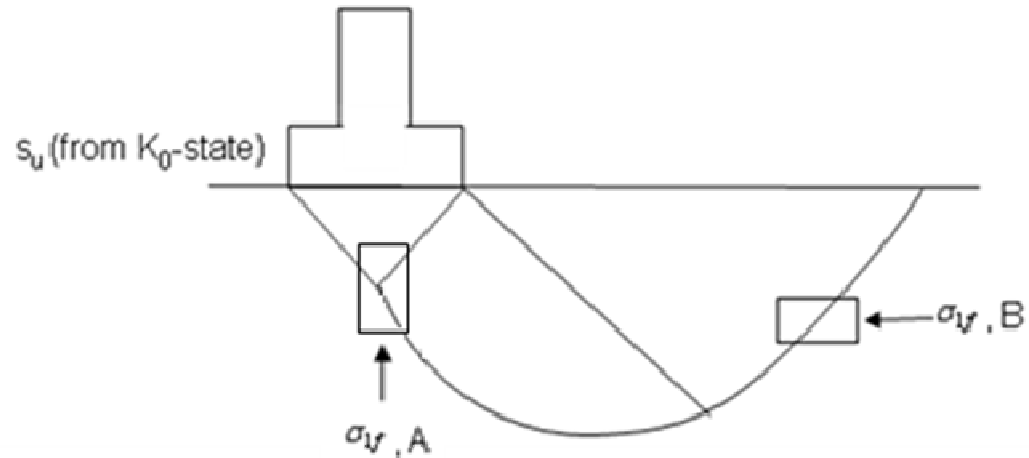


● Stress reorientation effects

• Initial state

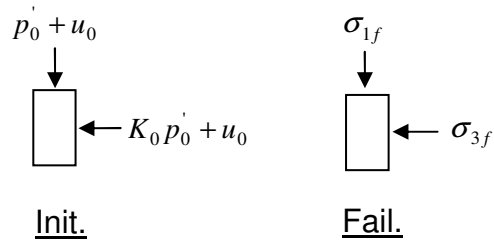


• Failure state



We want to evaluate the change in strength caused by the rotation of principal stress direction (\Leftarrow stress system induced anisotropy due to $K_0 \neq 1$).

Point A

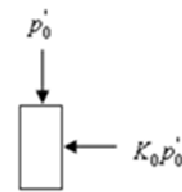


$$s_u = \frac{\sigma_{1f} - \sigma_{3f}}{2} = \frac{(\sigma_1 - \sigma_3)_f}{2}$$

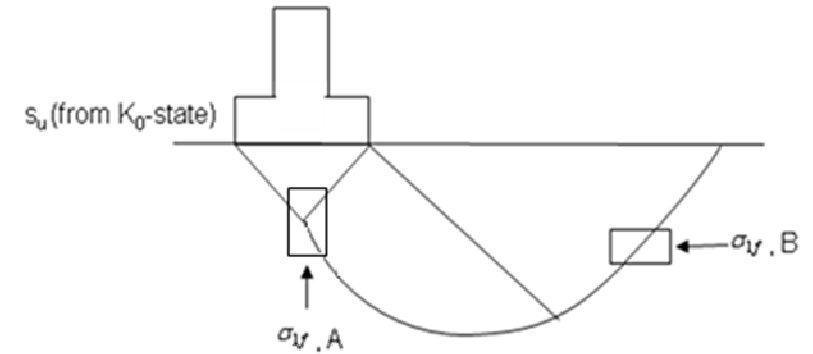
Look at N.C. clays ($c'=0$), based on Mohr-coulomb criteria to define failure,

$$\sin \phi' = \frac{(\sigma_1 - \sigma_3)_f}{\sigma'_{1f} + \sigma'_{3f}}$$

• Initial state



• Failure state



By Algebra,

$$(\sigma_1 - \sigma_3)_f = \sigma'_{3f} \left(\frac{2 \sin \phi'}{1 - \sin \phi'} \right) \text{-----} \textcircled{1}$$

Where $\sigma'_{3f} = \sigma_{3f} - \Delta u - u_0$

$$= \sigma'_{3i} + u_0 + \Delta \sigma_3 - \Delta u - u_0 = \sigma'_{3i} + \Delta \sigma_3 - \Delta u$$

We know : $\Delta \sigma_1 = \sigma_{1f} - p_0 - u_0$

$$\Delta \sigma_3 = \sigma_{3f} - K_0 p_0 - u_0$$

$$\Delta u = B(\Delta \sigma_3 + A(\Delta \sigma_1 - \Delta \sigma_3)) \text{ (for saturated soil, } B=1)$$

$$\begin{aligned} \sigma'_{3f} &= \sigma'_{3i} + \Delta \sigma_3 - \Delta u \\ &= K_0 p_0 + (\sigma_{3f} - K_0 p_0 - u_0) \\ &\quad - [\sigma_{3f} - K_0 p_0 - u_0 + A(\sigma_{1f} - p_0 - u_0 - \sigma_{3f} + K_0 p_0 + u_0)] \end{aligned}$$

$$\sigma'_{3f} = K_0 p_0 - A(\sigma_{1f} - \sigma_{3f} - p_0 + K_0 p_0) \text{-----} \textcircled{2}$$

Sub. ② → ①.

$$(\sigma_1 - \sigma_3)_f = [K_0 p_0' - A((\sigma_1 - \sigma_3)_f - p_0' + K_0 p_0')] \left(\frac{2 \sin \phi'}{1 - \sin \phi'} \right)$$

$$(\sigma_1 - \sigma_3)_f \left(1 + \frac{2A \sin \phi'}{1 - \sin \phi'} \right) = [K_0 p_0' - A(-p_0' + K_0 p_0')] \left(\frac{2 \sin \phi'}{1 - \sin \phi'} \right)$$

$$\Rightarrow \frac{(\sigma_1 - \sigma_3)_f}{2 p_0'} \left(= \frac{s_u}{p_0'} \right) = [K_0 - A(K_0 - 1)] \left(\frac{\sin \phi'}{1 - \sin \phi' + 2A \sin \phi'} \right)$$

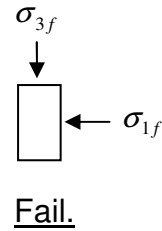
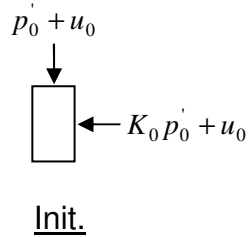
NC clays (typical values)

For $\phi' = 30^\circ$

$$K_0 = 0.5$$

$$A_f = 1 \quad \Rightarrow \quad \frac{s_u}{p_0'} = 0.3$$

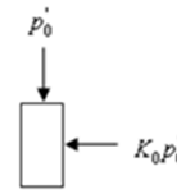
Point B



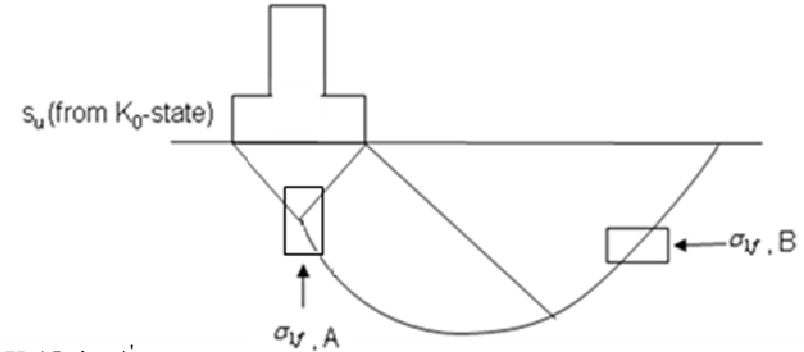
$$\Delta\sigma_1 = \sigma_{1f} - K_0 p'_0 - u_0,$$

$$\Delta\sigma_3 = \sigma_{3f} - p'_0 - u_0,$$

• Initial state



• Failure state



$$\frac{s_u}{p'_0} = \frac{[1 - A(1 - K_0)] \sin \phi'}{1 - \sin \phi' + 2A \sin \phi'}$$

NC clays (typical values)

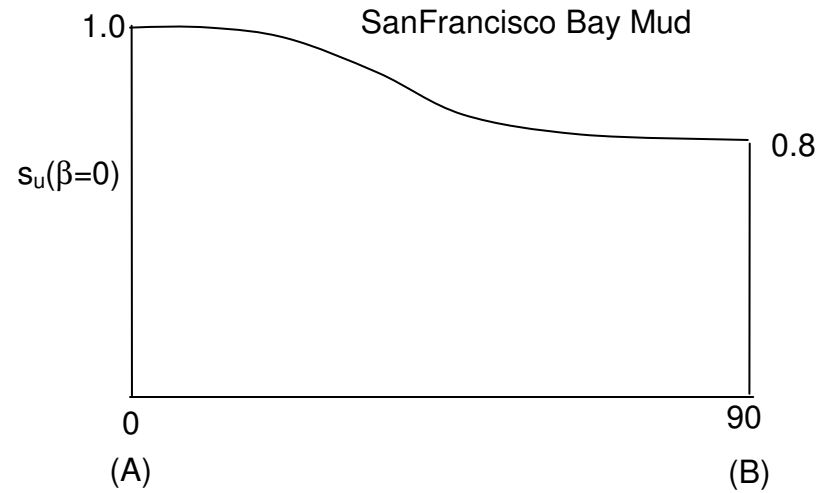
For $\phi' = 30^\circ$

$$K_0 = 0.5$$

$$A_f = 1 \Rightarrow \frac{s_u}{p'_0} = 0.17$$

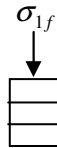
Question : $\phi'_{com} = \phi'_{ext} (?)$, $(A_f)_{compression} = (A_f)_{extension} (?)$

- Example of undrained strength anisotropy

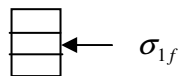


⇒ Combined effect of inherent and stress system anisotropy

A : $\frac{s_u}{p_0} = 0.37$



B : $\frac{s_u}{p_0} = 0.30$



Plane strain tests vs. Triaxial tests.

Plane strain tests comparing to triaxial tests gives;(the effect of intermediate stress σ_2)

① Slight increase ($5 \pm \%$) in s_u .

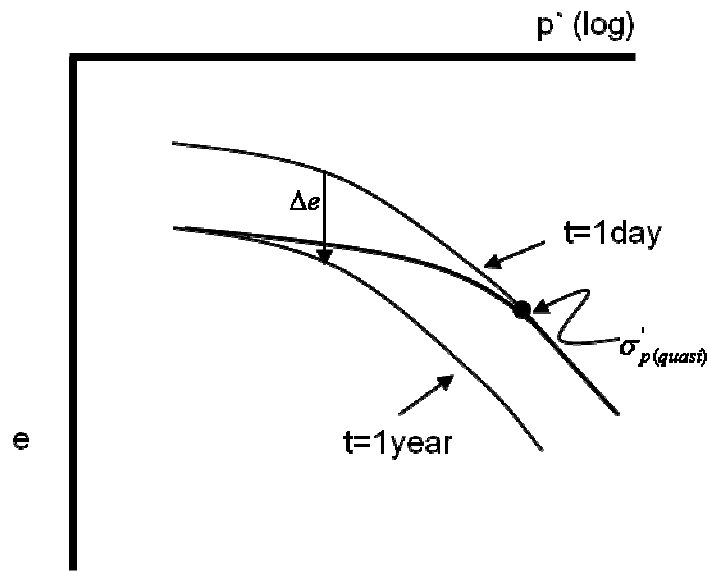
Loading direction	$s_u(TX)/s_u(PL)$
$\beta=0^\circ$	0.92 ± 0.5 (5 clays)
$\beta=90^\circ$	0.82 ± 0.2 (4 clays)

② Increase ϕ' by $2 \pm 2^\circ$.

③ Lower strain at failure and increase tendency of the strain softening in plain strain tests, perhaps because of the more general formation of failure plane.

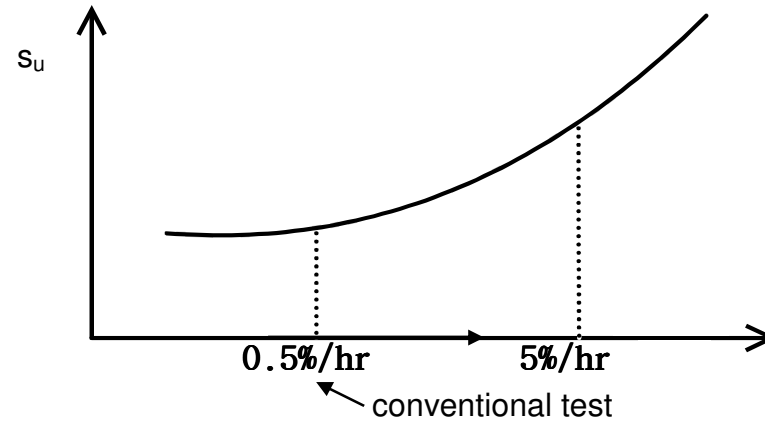
b) Aging effect.

Aging → increase undrained strength, owing to decrease of e .



In lab → one log cycle of time for secondary compression is required for aging.

c) Rate of shearing.



$$(S_u)_{\text{conventional test}} = 1.3 S_{u(0.5\%/hr)}$$