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# Transmission Electron Microscopy III - Spectroscopy

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## Electron Energy Loss Spectrometry

Electrons that are inelastically (forward) scattered in the TEM are used for chemical analysis of the specimen. Electron energy loss spectrometry (EELS)

The inelastic scattering process can promote:

- 1) The transition of an electron from an inner-shell (K,L,M) to an unoccupied energy level (i.e. above the Fermi level) or to the vacuum (ionization).
- 2) Transition of a valence electron across the energy gap (insulators and semiconductors) or excitation of a plasmon resonance (collective oscillation of free electrons)

The energy loss by the incident electrons is characteristic of the chemical properties of the specimen

In EELS we are interested in measuring the "number of electrons" that have lost a given amount of energy. -> this traduces the relative probability that a particular transition occurs

When a core electron is promoted to an unoccupied state, the density of these final states determines the relative probability of the transition

By EELS one can study the electronic structure (Density of states above the Fermi level) of a material with resolution at the nanometer scale !

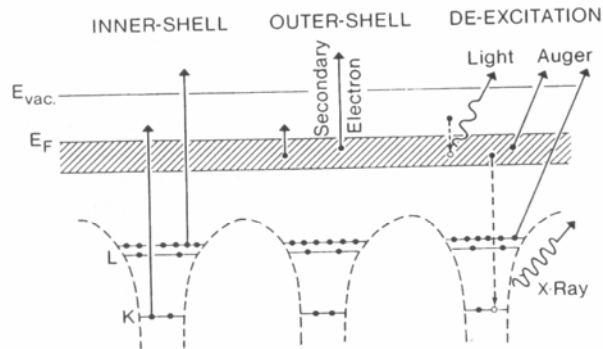


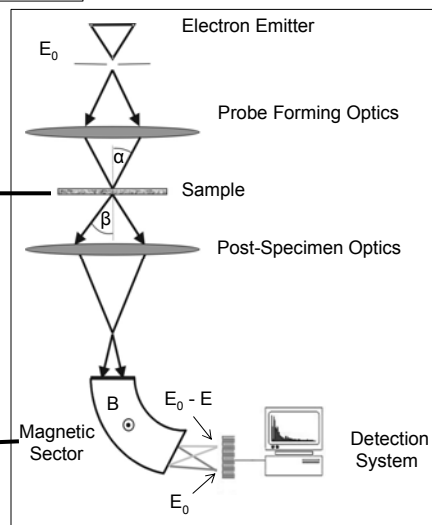
Figure 1.2. Energy-level diagram of a solid, including K- and L-shell core levels and a valence band of delocalized states (shaded);  $E_F$  is the Fermi level and  $E_{vac}$  the vacuum level. The primary processes of inner- and outer-shell excitation are shown on the left, secondary processes of photon and electron emission on the right.

### Principle of EELS

#### TEM with a post-column EELS Spectrometer



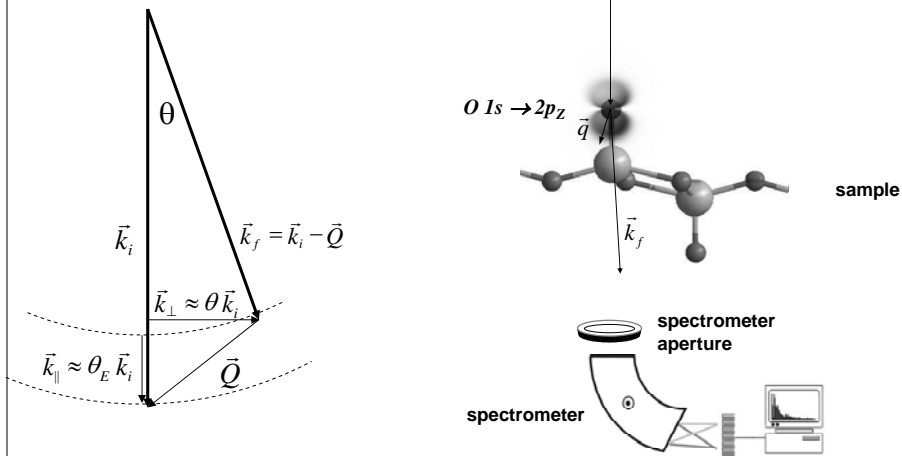
Philips CM200 FE6



Credits: Marc-Georg Willinger

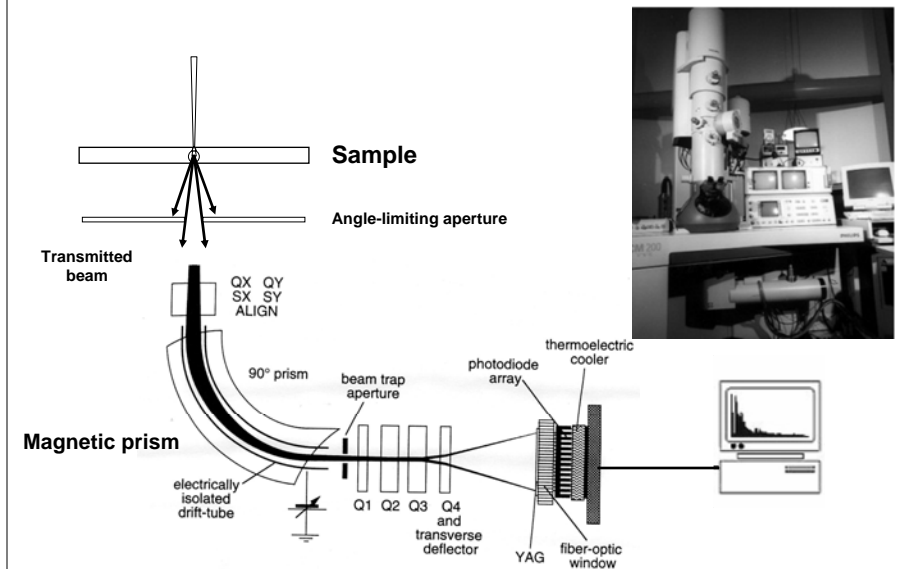
### Principle of EELS

#### Momentum transfer





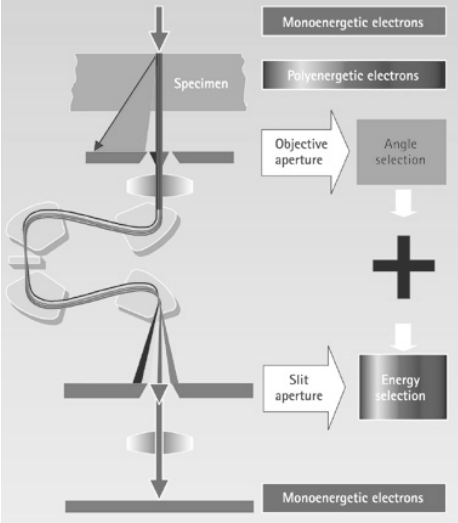

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### Energy Filter



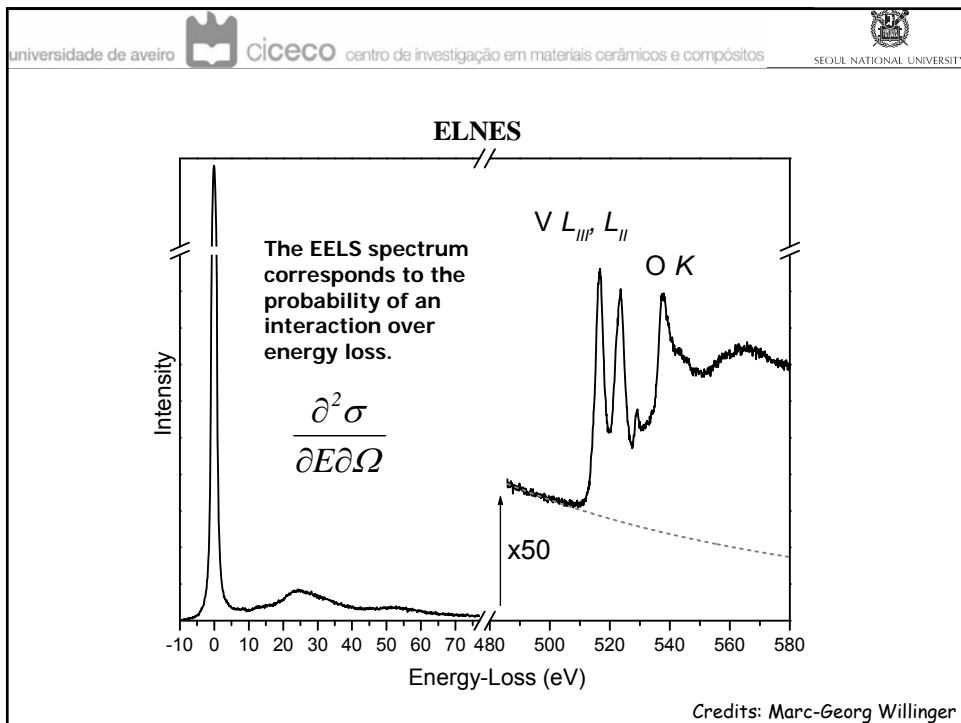
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JEOL JEM2200FS

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**What kind of information do you get?**

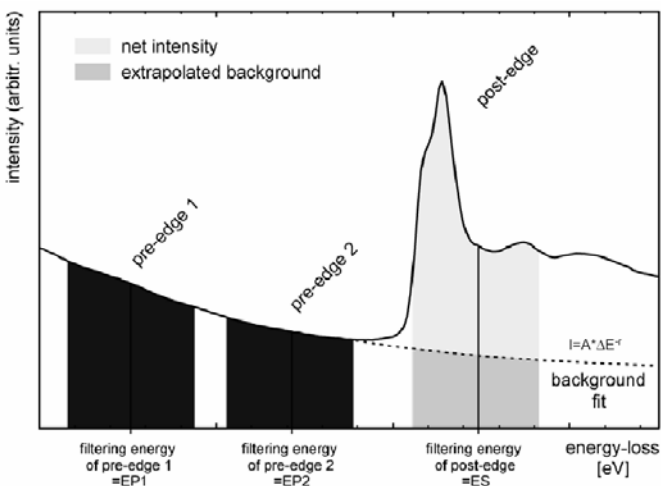
1 - Elemental composition

2 - Chemical information

3 - "Structural" information

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**Using energy loss electrons for imaging**



The graph plots intensity (arbitrary units) on the y-axis against energy-loss [eV] on the x-axis. It shows a curve with two pre-edge features and a post-edge peak. A dashed line represents the background fit, and a solid line represents the net intensity. The area under the net intensity curve is shaded light gray, while the area under the background fit is shaded dark gray. Vertical lines indicate filtering energies: EP1 (pre-edge 1), EP2 (pre-edge 2), and ES (post-edge). The equation  $I = A \cdot \Delta E^n$  is shown near the background fit.

intensity (arbitr. units)

net intensity

extrapolated background

pre-edge 1

pre-edge 2

post-edge

$I = A \cdot \Delta E^n$

background fit



filtering energy of pre-edge 1 =EP1

filtering energy of pre-edge 2 =EP2

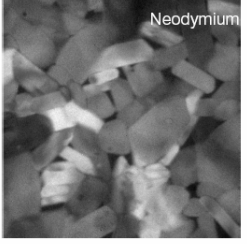
filtering energy of post-edge =ES

energy-loss [eV]

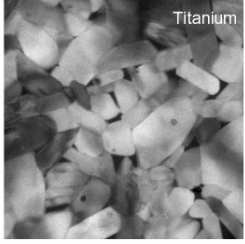
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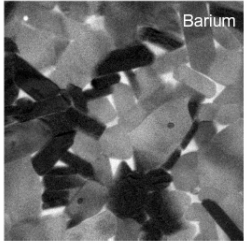
### Elemental Composition: EFTEM



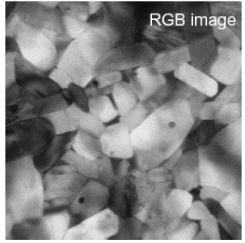
Neodymium



Titanium



Barium



RGB image

Ti
  Nd
  Ba

200nm

**3 images/element using:**



Nd M<sub>45</sub> edge: @ 978 eV  
 Ti L<sub>23</sub> edge: @ 455 eV  
 Ba M<sub>45</sub> edge: @ 781 eV

**Color overlays, RGB images:**

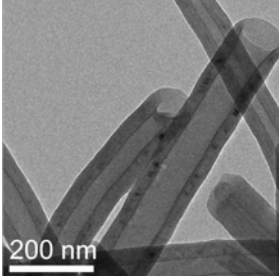
- assign a color to each elemental map: Ti green, Nd blue and Ba red
- superimpose three color layers to form RGB composite

shows chemical phase distribution qualitatively only

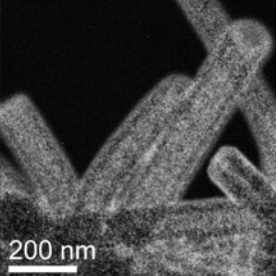
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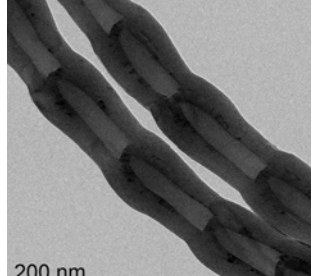
### Energy filtering in images



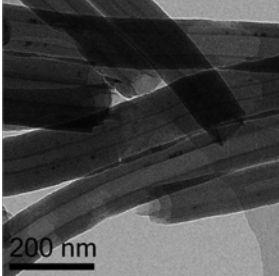
200 nm



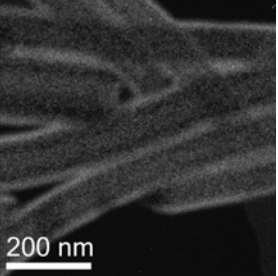
200 nm



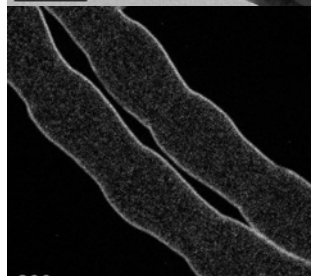
200 nm



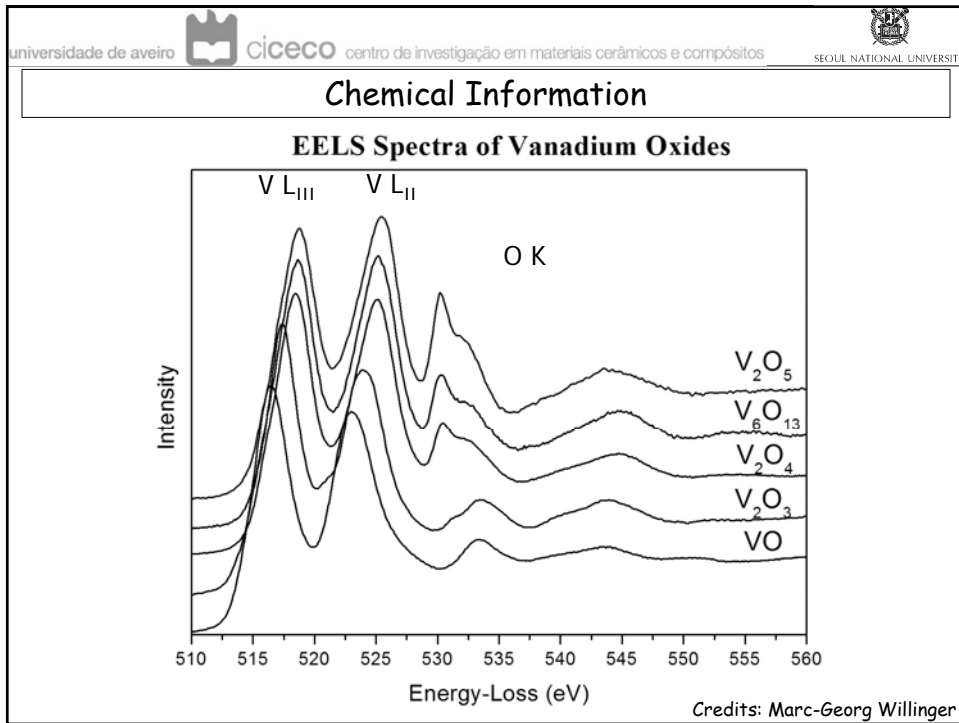
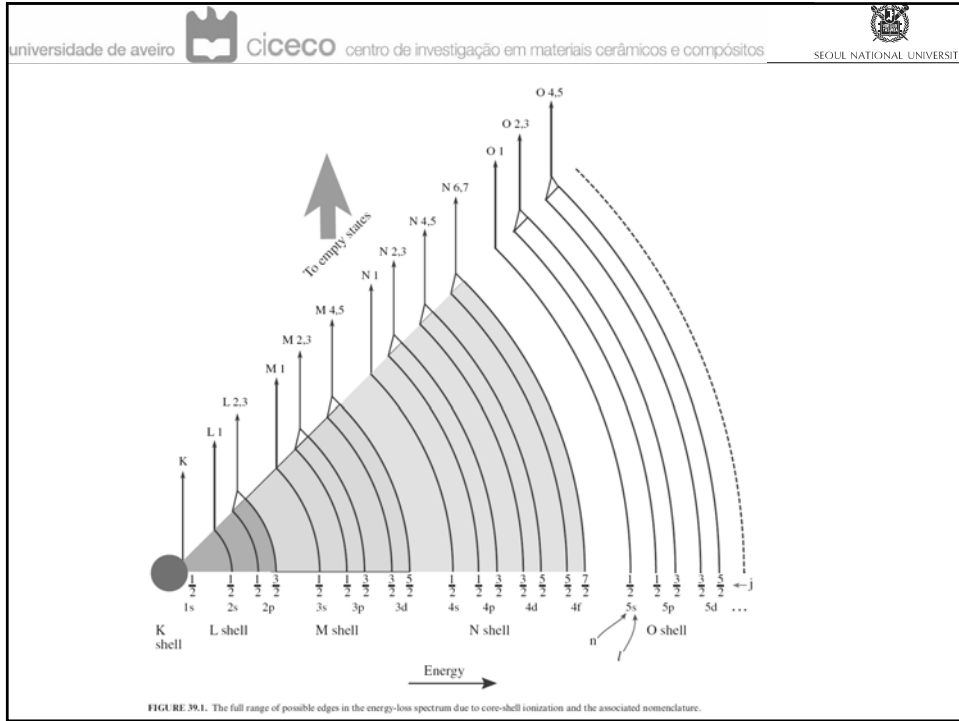
200 nm





200 nm



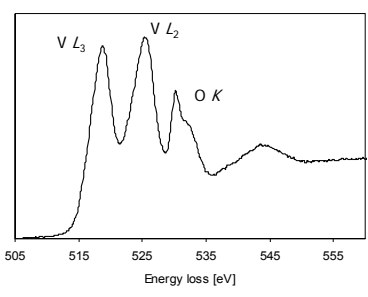
200 nm



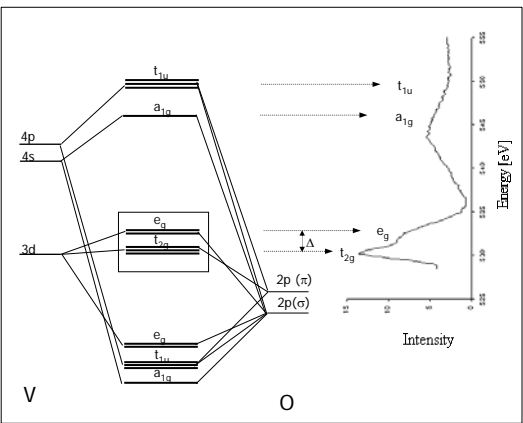
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## Chemical Information



V L-edge and O K-edge of  $V_2O_5$ :



Molecular orbital energy-level diagram and an O-K ELNES of  $V_2O_5$ :

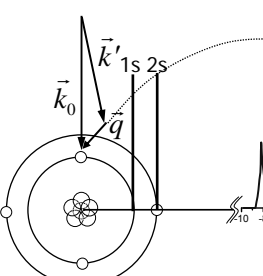


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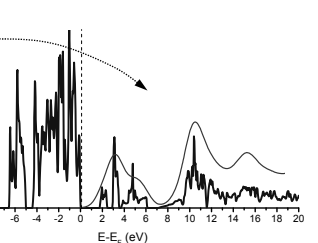
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## The near edge fine structure (ELNES)

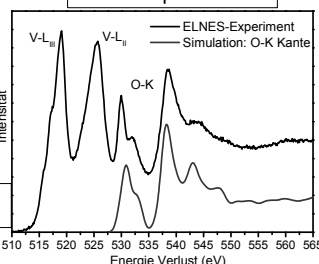
Excitation of an electron from a core shell...



... into an unoccupied state above the Fermi-level



EELS Spectra

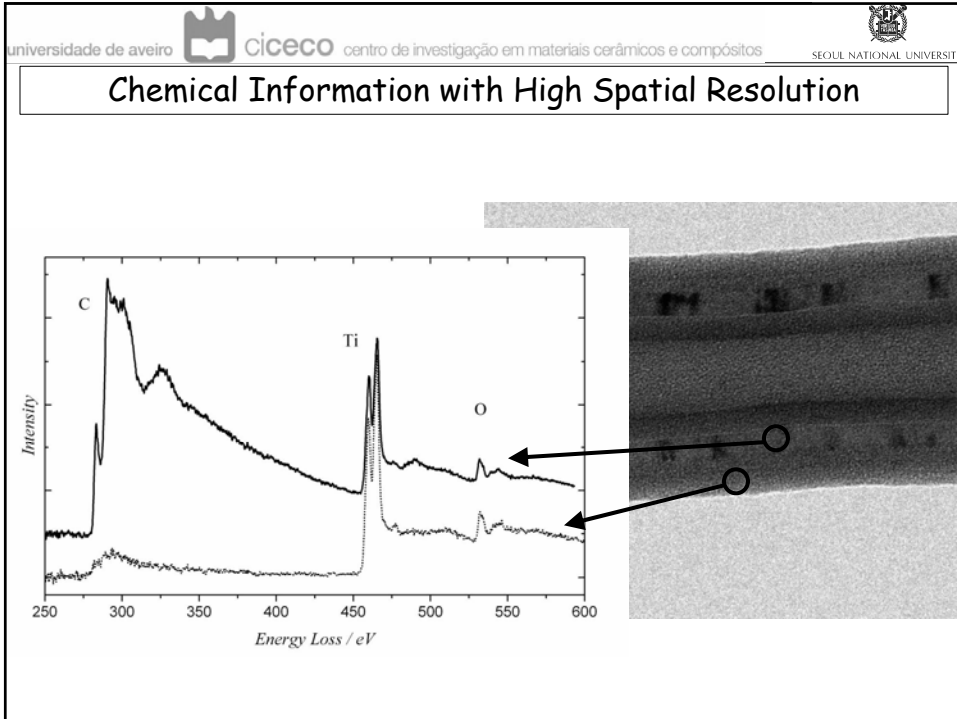




O Atom  $\frac{\partial^2 \sigma}{\partial \Omega \partial E} \propto \frac{1}{q^4} \sum_F \sum_I \left| \langle I | e^{i\vec{q}\vec{R}_I} | F \rangle \right|^2 \delta(E - (E_F - E_I))$  } Matrix element term & density of states term (DOS)

Dipole Approximation & Orthogonality of  $|i\rangle, |f\rangle$ :  $\langle f | e^{i\vec{q}\vec{R}} | i \rangle \Rightarrow \langle f | i\vec{q}\vec{R} | i \rangle$  } Matrix element equal to XAS

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## Comparison to X-Ray absorption spectroscopy (XAS)

The fundamental phenomenon underlying is the absorption of an X-ray photon by a core level of an atom in a solid and consequent emission of a photoelectron (EXAFS) or promotion of an electron to an unoccupied orbital.

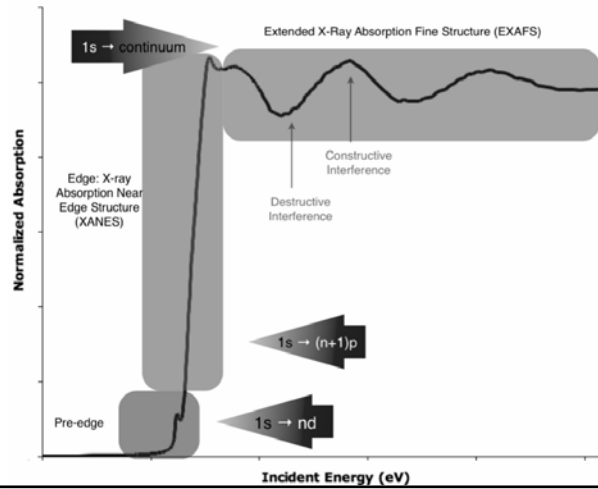
Here we are not interested in studying the photoelectron (XPS) or the deexcitation of the ionized atom (Auger - X-ray emission)

In XAS we measure the absorption as a function of the incident energy

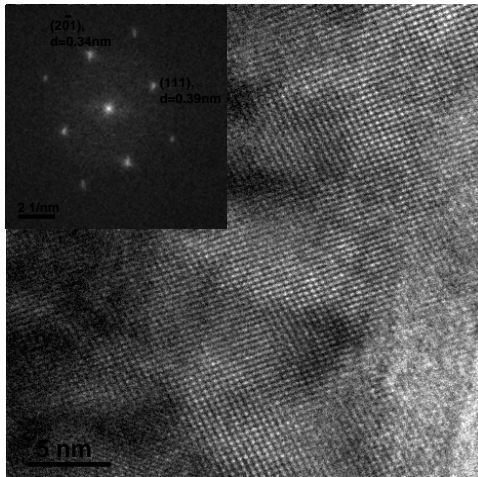
Similar information can also be acquired by collecting the fluorescence intensity as a function of the incident energy of the X-rays

Finally, the information acquired is similar to EELS (information on the unoccupied states)

The absorption edge structure often consists of discrete absorption bands superimposed on the steeply rising continuum absorption edge. These discrete absorption bands are caused by transitions of core electrons to discrete bound valence levels. The absorption edges that are of most interest are the K-edge (e.g.  $1s \rightarrow 3p$ ), followed by the three L-edges. These edges are element specific and shifts to higher energies when the atomic number increases. Since the core levels depend on the element and its chemical environment, they also show chemical specificity. X-ray absorption spectroscopy is therefore not only an element specific technique, but it is also sensitive to the immediate environment of the absorbing atom.



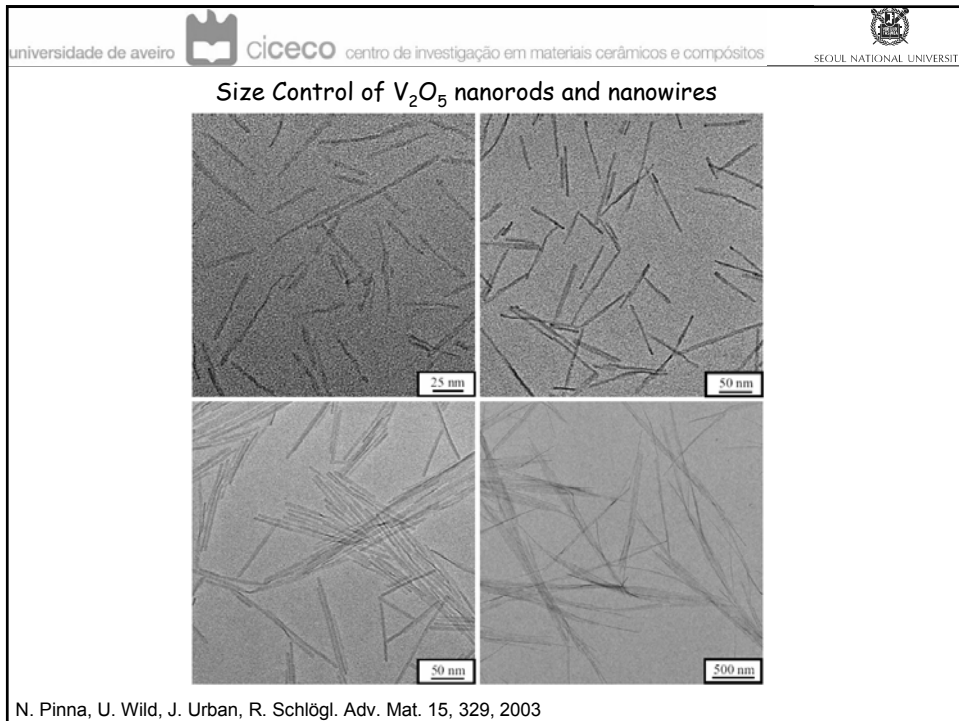
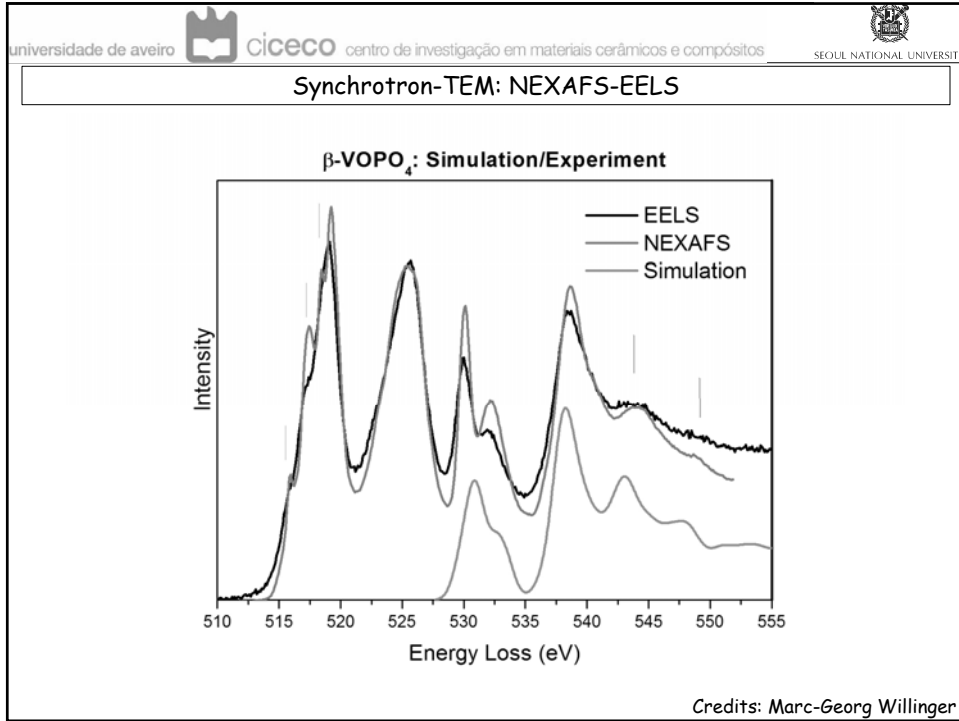
### Synchrotron-TEM: NEXAFS-EELS

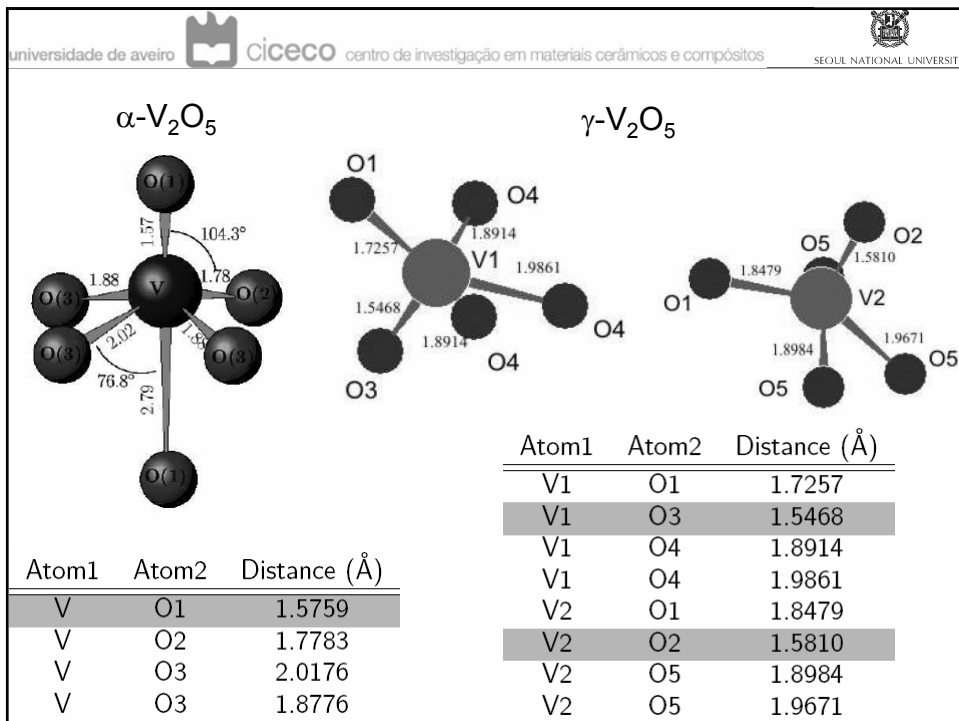
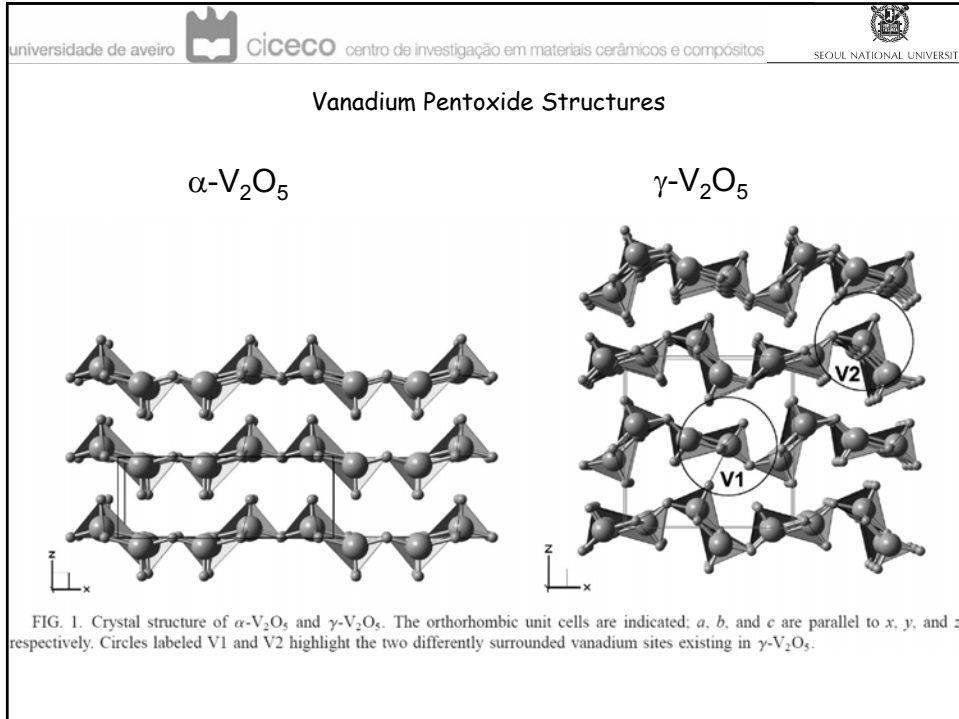


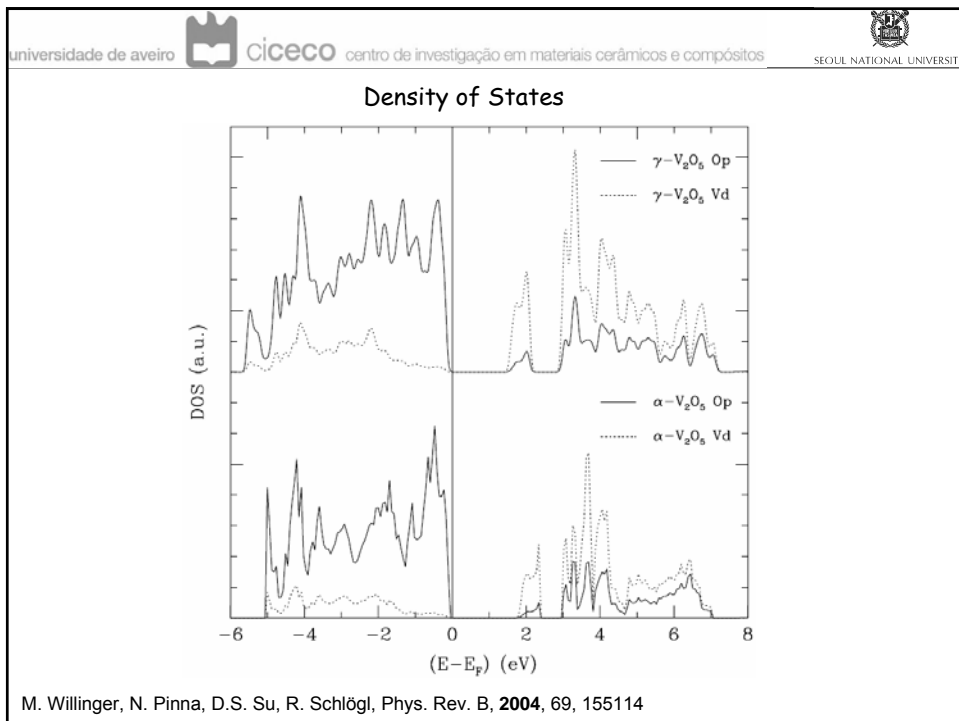
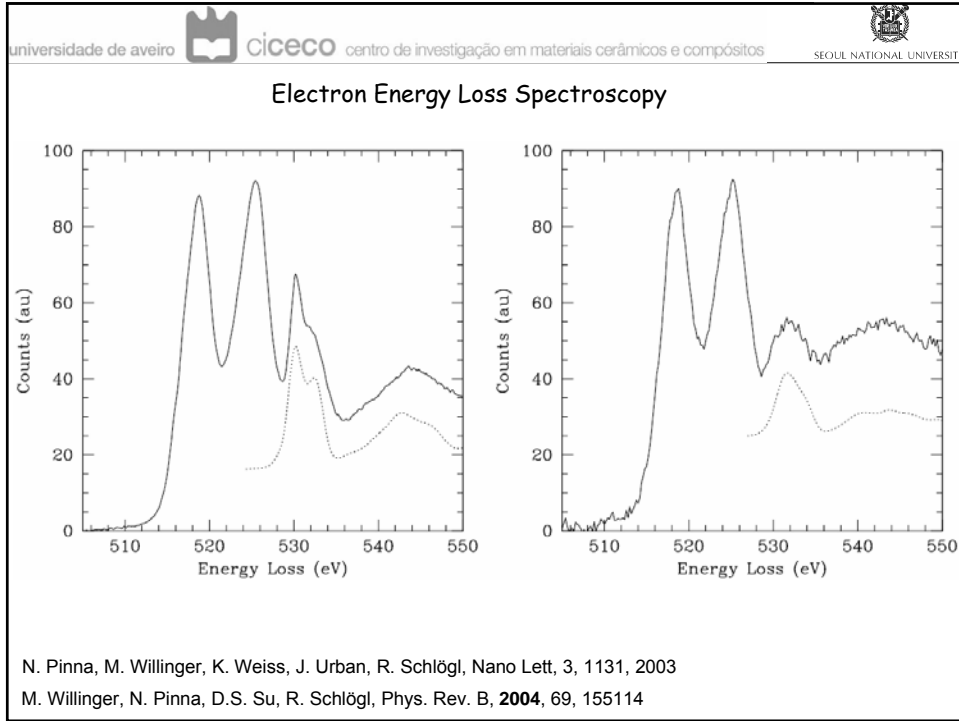
TEM offers very high spatial resolution!

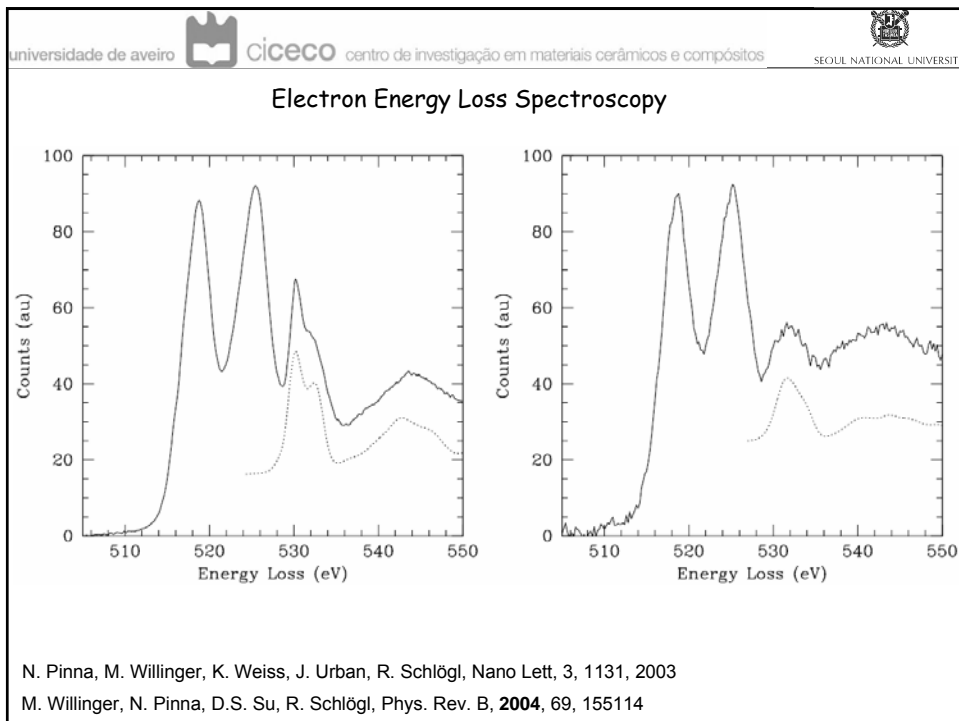
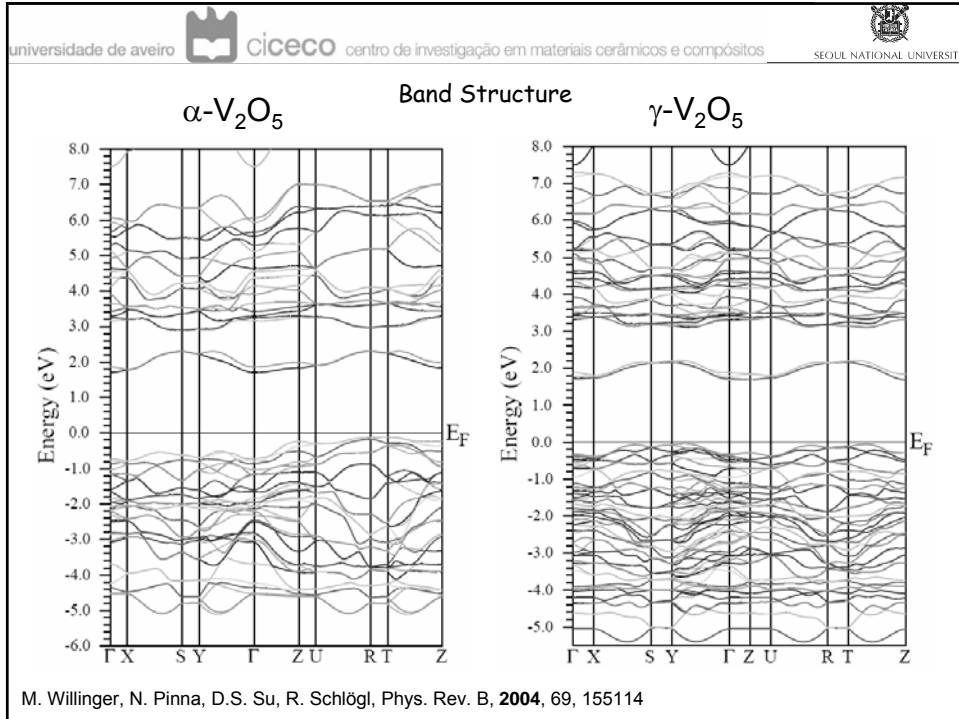


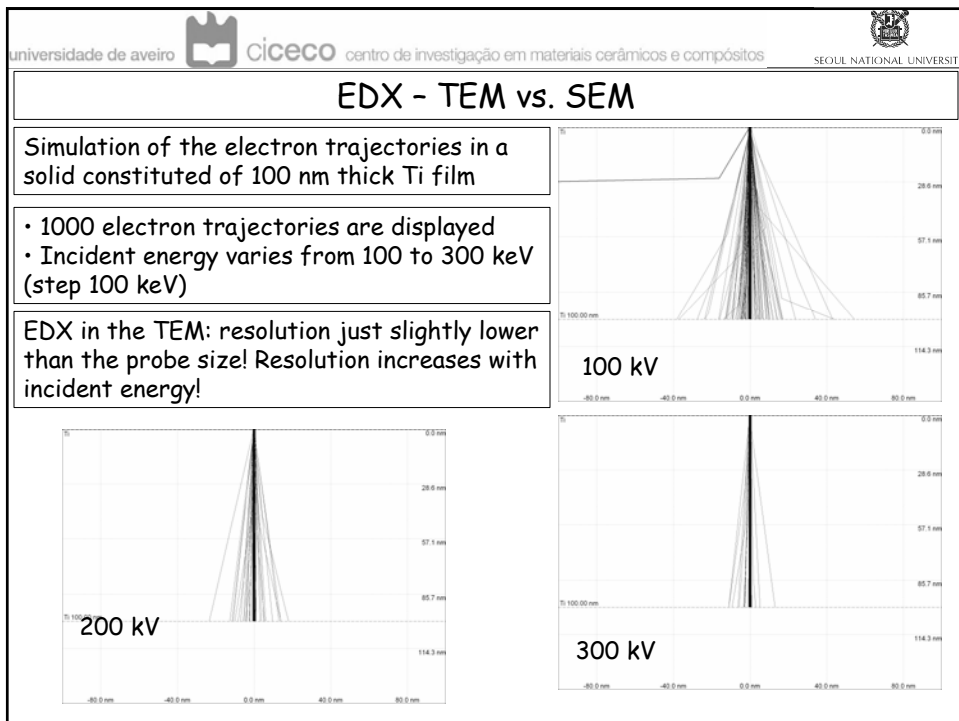
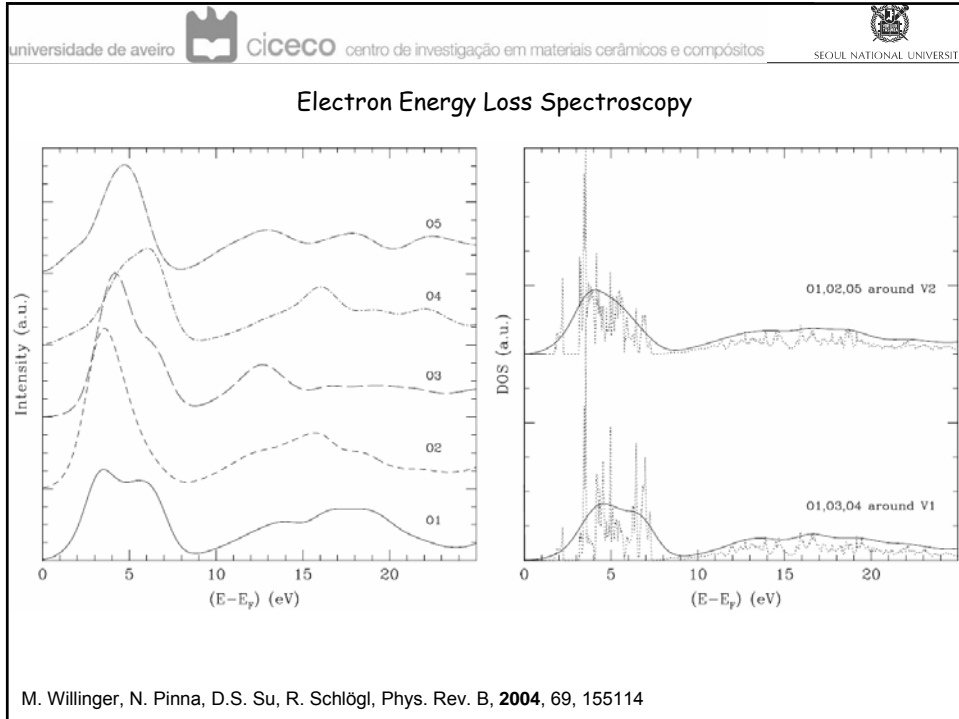
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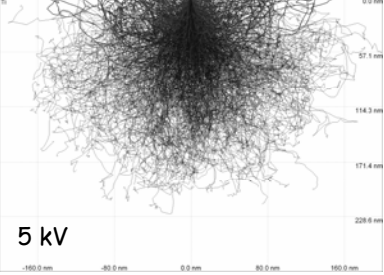
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## EDX - TEM vs. SEM

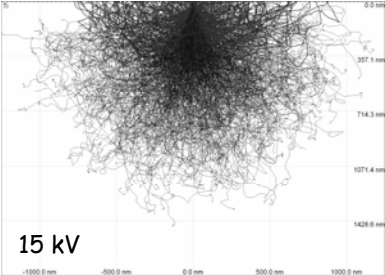
Simulation of the electron trajectories in a bulk solid constituted Ti

- 1000 electron trajectories are displayed
- Incident energy varies from 5 to 30 keV

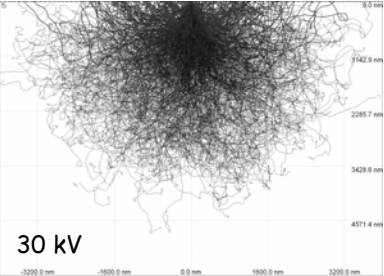
EDX in the SEM: resolution much lower than the probe size! Resolution decreases with incident energy!





5 kV



15 kV



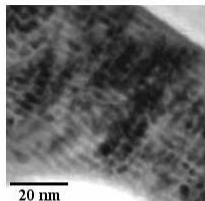
30 kV

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## EDX map from a Cu/Nb multilayer

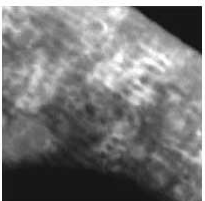
Electron Microscopy Group in the Department of Materials Science and Metallurgy, University of Cambridge

a) BF Image

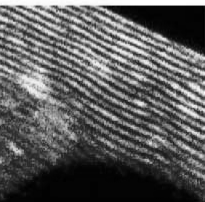


20 nm

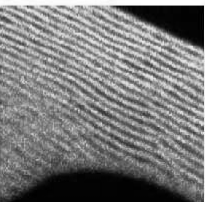
b) ADF Image



c) Cu K $\alpha$  counts



d) Nb L $\alpha$  counts



<http://www-hrem.msm.cam.ac.uk/hrem/index.shtml>