

**Spring Semester, 2011**  
**Energy Engineering**  
**에너지공학**

# **Basic information, unit & concept of energy**

**Ref. Textbook (AJ), Ch. 1. Introduction**  
**SS, Ch. 1**

# Definition & forms of energy

Energy: the capacity or capability to do work

Forms of energy

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biofuels (e.g., wood)	mass
chemical	mechanical-kinetic
electrical	mechanical-potential
gravitational	nuclear
heat (thermal)	radiation
magnetic	sound

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Energy conversion: transformations between different forms of energy

conversion efficiency  $\rightarrow$  input > output : < 100%

e.g., solar cell ~ 10% (radiant to electrical)

automobile engine ~25% (chemical to thermal, thermal to mechanical)

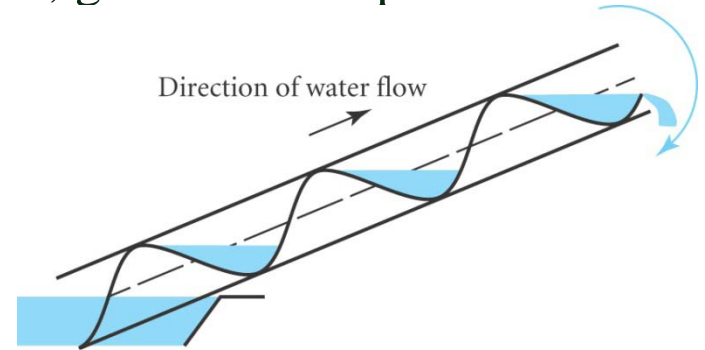
fuel cell ~60% (chemical to electrical)

secondary battery ~75% (chemical to electrical, electrical to chemical)

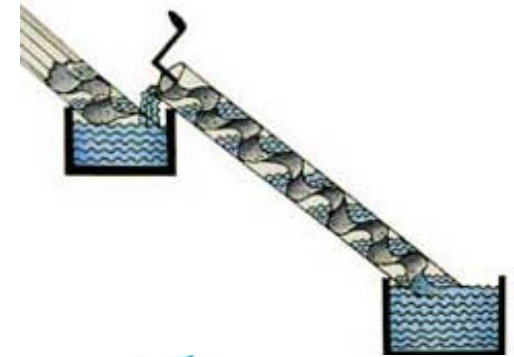
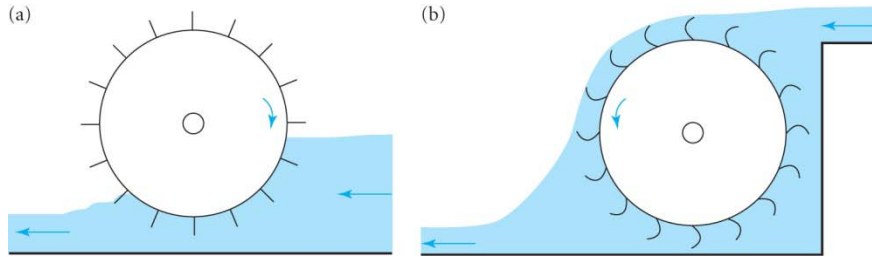
# History of energy technology

**Archimedes' screw:** water from river/flooded mine, grain from ship...

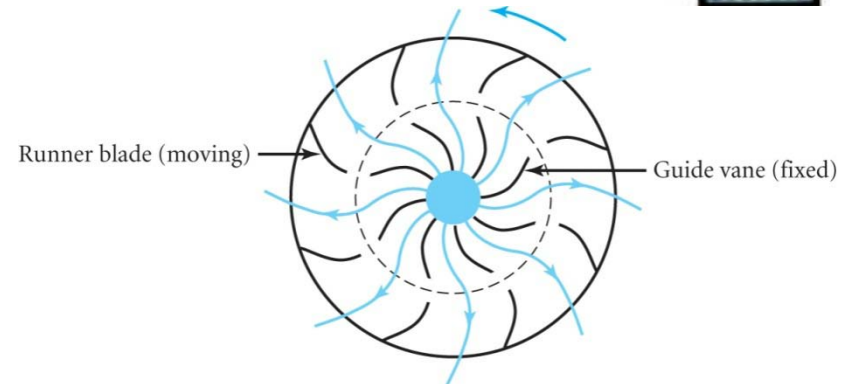
**Hanging garden of Babylon (하늘정원)**



**Waterwheels:** ancient, common in Europe by 1000 AD

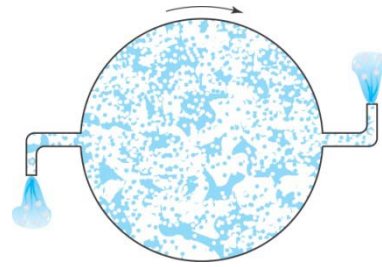


**Fourneyron turbine (ch. 4): 1832**

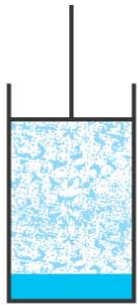


**Hero's steam engine: 1 century AD**

**Steam engines:**

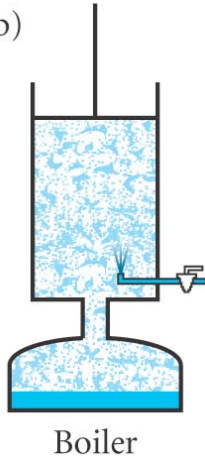


(a)



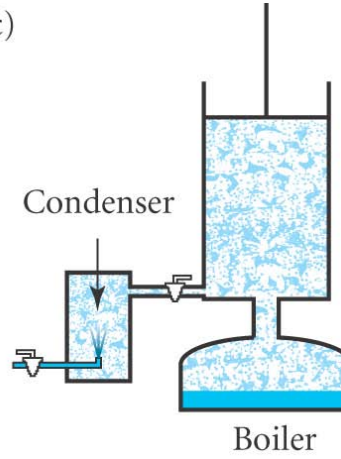
Papin

(b)



Newcomen (1712)

(c)



Watt (1769)

**James Watt:** reduce heat loss in the piston chamber (~80%)

**James Joule:** heat & mechanical energy are equivalent, energy is conserved (1840s)

**Nicholas Carnot (1824):** maximum possible efficiency of an ideal heat engine depends only on hot & cold temperatures between which it operates

## Thermodynamics and heat energy

Heat: a form of energy

Quantity of heat ( $Q$ )

1 calorie: heat to raise 1 g of water through  $1^{\circ}\text{C}$

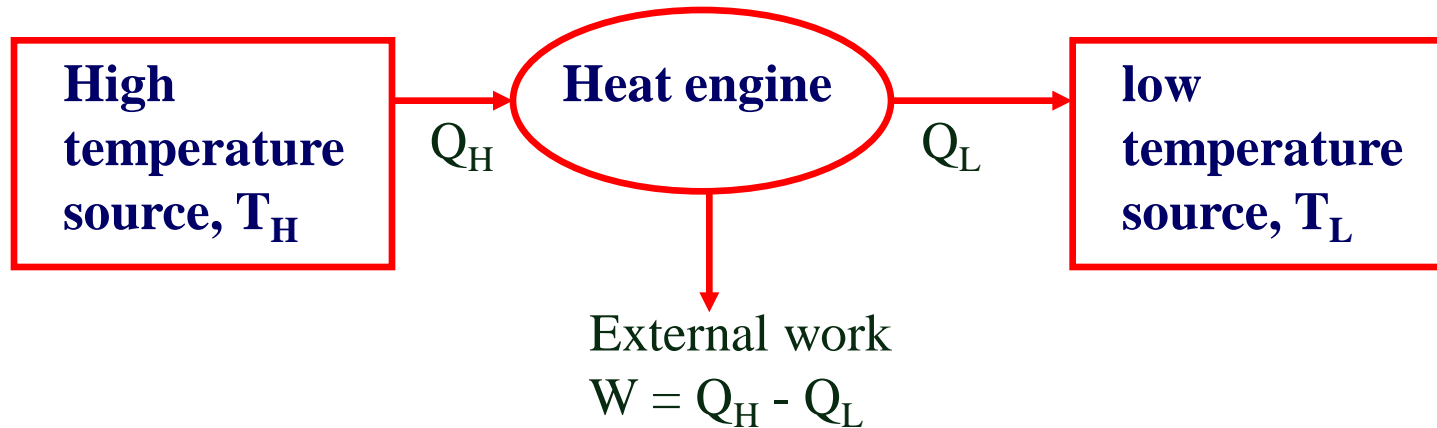
1 BTU (British thermal unit): 1 pound (lb) of water through  $1^{\circ}\text{F}$

Mechanical equivalent of heat

$$1 \text{ cal} = 4.186 \text{ J}$$

$$1 \text{ BTU} = 7718 \text{ ft lb} = 252 \text{ cal} = 1054.7 \text{ J} \sim 0.293 \text{ kWh}$$

Ideal heat engine (heat-work converter)



$$Q_H - Q_L = W \text{ (1}^{\text{st}} \text{ law of thermodynamics)}$$

Efficiency

$$\eta = \text{work output} / \text{work input} = W/Q_H = 1 - Q_L/Q_H$$

2<sup>nd</sup> law of thermodynamics: no system in a closed cycle can convert all the heat from a heat reservoir into the same amount of work

“Carnot efficiency” (theoretical maximum efficiency) (Carnot cycle)

$$\eta_{\text{carn}} = 1 - T_L/T_H$$

T: absolute temperature (K)

e.g., 600°C fluid to 100°C via mechanical work converter

$$\text{max. efficiency} = 1 - 373/873 = 57.3\%$$

Practical heat engine: most efficient engine ~2/3 of Carnot efficiency

automobile petrol engine ~25%, diesel engine ~35%

**Michael Faraday** (early 19 century)

Electromagnetic induction: current is induced across a rotating copper disk between a strong magnet

→ introducing of electric lighting

Joseph Swan (1860), Thomas Edison (1879)

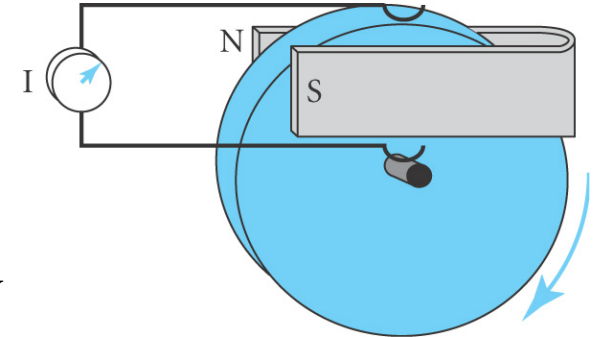
1881, 1<sup>st</sup> world electric power station (Edison): 160 kW

Intense rivalry between Edison's direct current system(직류) & AC system(교류)  
(George Westinghouse) → AC system became adopted worldwide

1<sup>st</sup> large-scale hydroelectric power station (1895): Niagara Falls using  
Founeyron turbines

Nuclear power station (late 1950s): more popular after Arab-Israeli War (1973).  
Slow down after incidents at Three-Mile Island (USA, 1979) & Chernobyl  
(Ukraine, 1986)

Alternating energy technologies after oil price shocks of the 1970s



## Power

Power: the time rate of doing work or of expending energy

Power = energy/time = work/time

Instantaneous power  $P = dW/dt$

Average power  $P = W/t$

Unit: watt (W) = J/s

1 horsepower (HP) = 746 W

### Power ratings of various devices & animals

$10^{18}$  W solar power input to earth

$10^{12}$  W electricity capacity in USA (2000)

$10^9$  W large electric power plant

$10^7$  W train

$10^5$  W automobile

1000 W horse

100 W man/woman resting

0.1~1 W Si solar cell

0.01 W human heart



e.g., 5933000 BTU = 6259 MJ = 6259 MW<sub>s</sub> = 6259/3600 MWh (1.739 MWh)  
1 kWh = 1000 x 60 x 60 = 3.6 x 10<sup>6</sup> J ~ 3411 BTU ~ 859.6 Kcal

cf. 1 barrel = 42 US gallons ~ 0.136 tonnes ~ 159 L

Fuel equivalence: 1 tonnes oil ~ 1.5 tonnes hard coal ~ 3 tonnes lignite ~  
12000 kWh

Million tonnes of oil equivalent (1 Mtoe = 41.9 PJ)

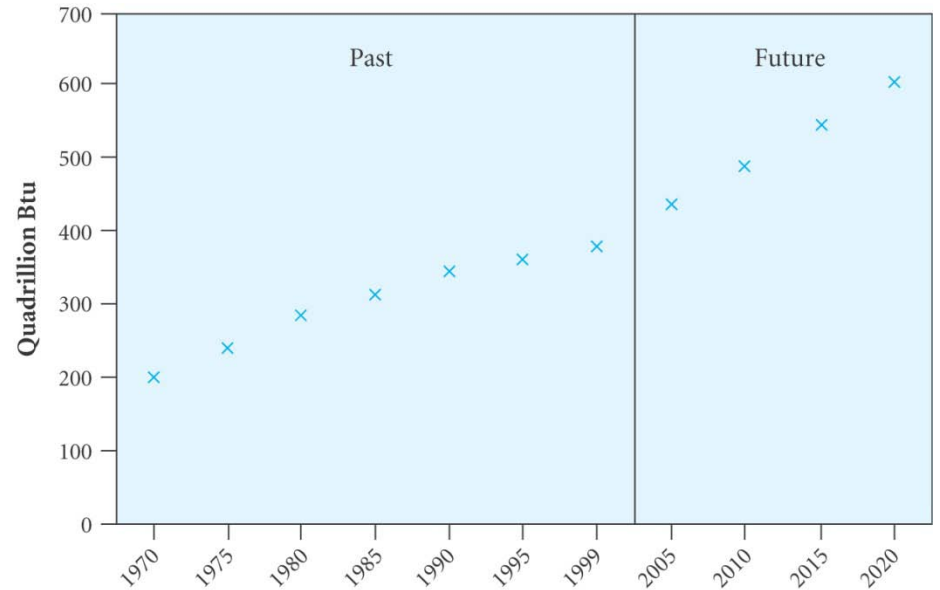
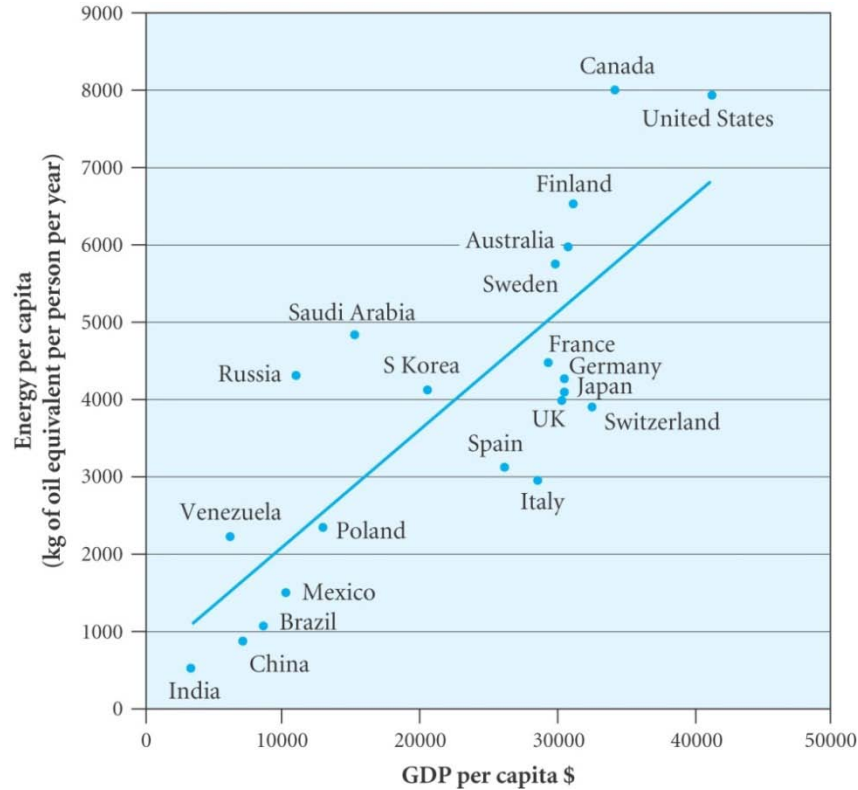
MW(mega-), GW,(giga-) TW(tera-), PW(peta-), EW(exa-):

10<sup>6</sup>, 10<sup>9</sup>, 10<sup>12</sup>, 10<sup>15</sup>, 10<sup>18</sup> W

## **History of energy technology: Power scales**

Treadwheel (AD 0)	0.2 kW
Strong horse	0.7 kW
Newcomen steam engine (1712)	4 kW
Fourneyron water turbine (1832)	30 kW
Steam engine (1900)	1000 kW
Wind turbine (1942)	1300 kW
Boeing 747 gas turbine (1969)	60000 kW
Nuclear power station (1992)	1.2 x 10 <sup>6</sup> kW
Coal power station (1986)	3.9 x 10 <sup>6</sup> kW

# Global energy trends



		Population(x 10 <sup>9</sup> )	Power per capita (kW)	Total power (kW)
<b>1992</b>	Developed countries	1.2	7.5	9.0
	Less developed	4.1	1.1	4.5
	Total	5.3		13.5
<b>2025</b>	Developed	1.4	3.8	5.3
	Less developed	6.8	2.2	15.0
	Total	8.2		20.3

## Energy stored within the fossil fuels

Fossil fuels: coal, oil-shale, petroleum, natural gas

Estimates of the rates of use and the years of fossil-fuel reserves remaining:

reserve/production (R/P) ratio

Increased R/P ratio: new discovery

## Primary fuel shares (% of total)

	2000	2010	2020
Oil	39.2	36.4	34.4
Gas	23.0	23.8	25.5
Coal	23.8	25.3	26.1
Nuclear	6.5	5.7	5.4
Renewables	7.6	8.9	8.7

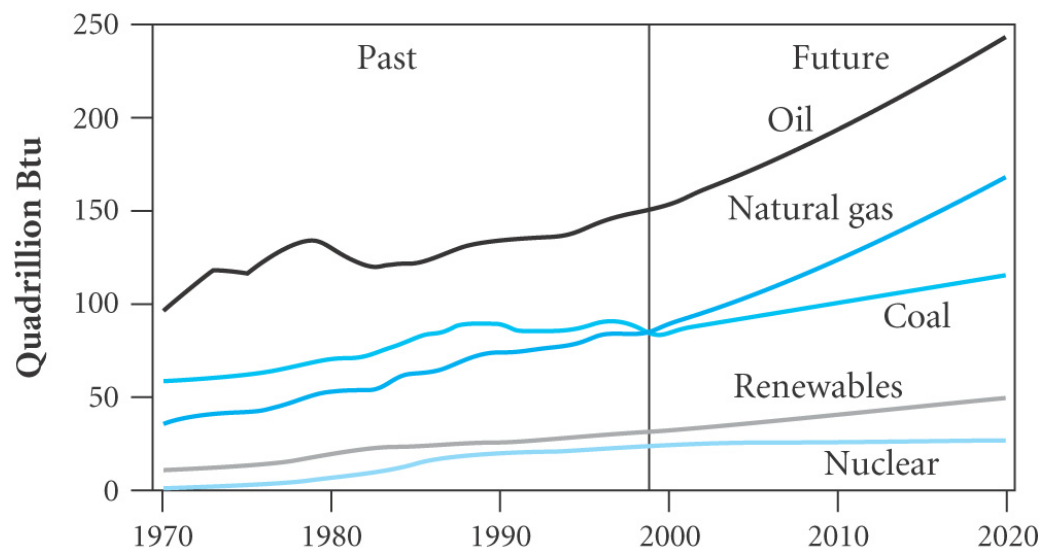
Remaining:

Oil 40 yrs

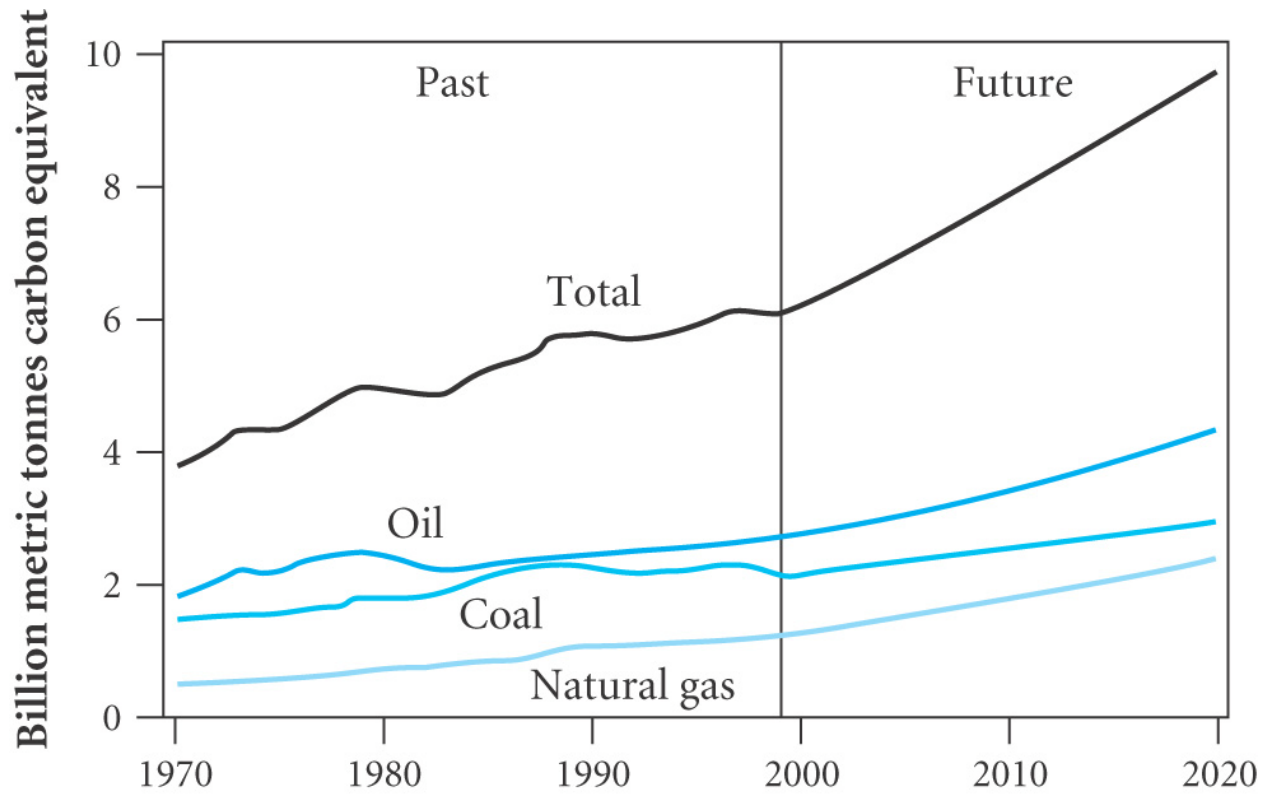
Gas 70 yrs

Coal 250 yrs

Hubbert's peak: oil production peak  
at 1970s. Bell-shaped peak



# CO<sub>2</sub> emission



## Risks associated with energy systems

Annual CO<sub>2</sub> emission: 8 billion tonnes (2010), 9.8 billion (2020)  
56%↑ & 100%↑ than 1990 level

1998 Kyoto Protocol agreement

CO<sub>2</sub> emission from various sources (life cycle analysis)

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	CO <sub>2</sub> emission (kg/kWh)
Wood	1.5
Coal	0.8-1.05
Natural gas	0.43
Nuclear power	0.006
Photovoltaic	0.06-0.15
Hydroelectric	0.004
wind power	0.003-0.022

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CO<sub>2</sub> emission: oil > coal

# Gases emissions and the greenhouse effect

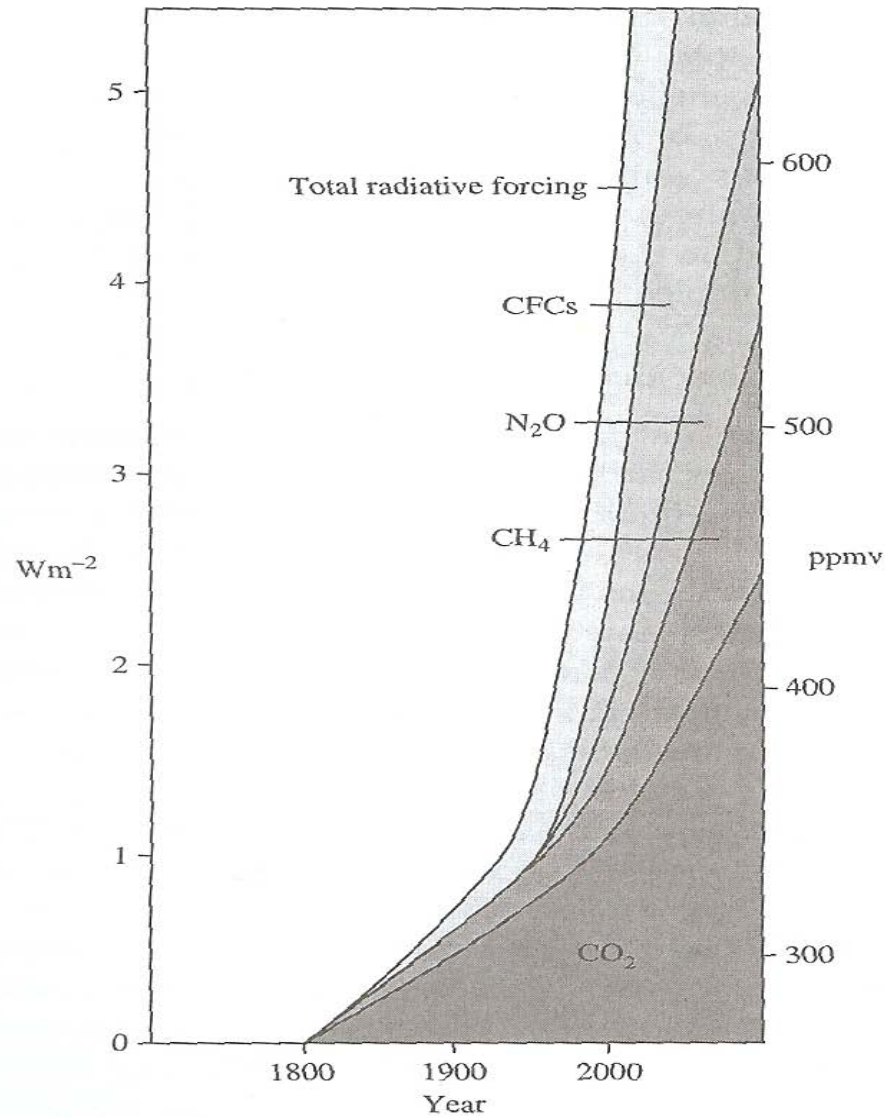
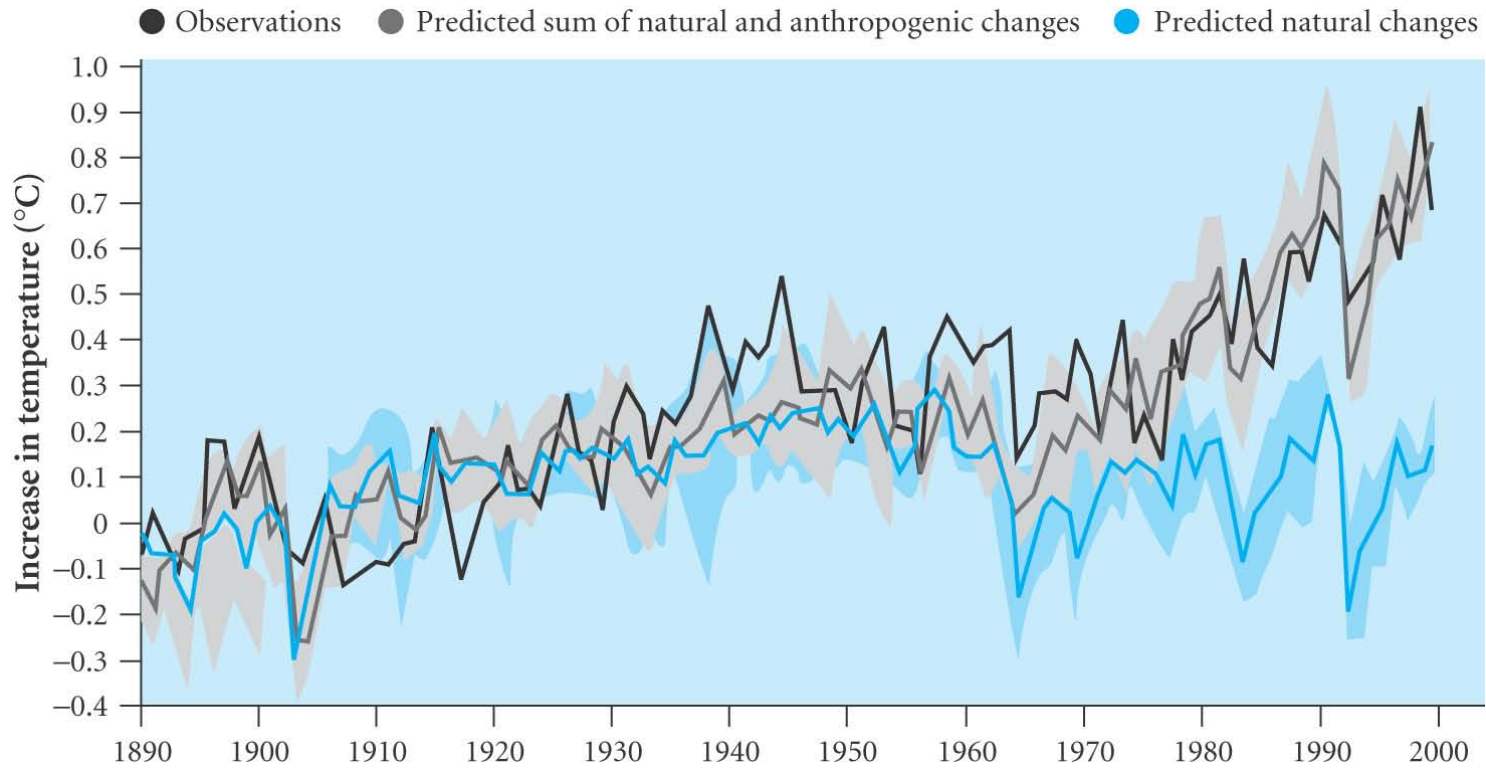


Fig. 2.21. World greenhouse gas emissions [12].  $\text{CH}_4$  = methane;  $\text{N}_2\text{O}$  = nitrogen oxide;  $\text{CO}_2$  = carbon dioxide; CFCs = chlorofluorocarbons.

# Global warming



# Energy Resources and Energy Use

## Energy input to the earth

### Solar radiation and annual variation

- solar constant (at atmospheric boundary):  $1377 \text{ W/m}^2$  (Jan. & July 3-4% difference)
- Earth radiation rate =  $1377 \times \pi \times r^2 = 1377 \times \pi \times (6.324 \times 10^6)^2 = 1.73 \times 10^{17} \text{ W}$
- Total input radiation( $W_{\text{annual}}$ ) =  $365.25 \times 24 \times 3600 \times 1.73 \times 10^{17} = 5.46 \times 10^{24} \text{ J}$
- Year 2000,  $W_{\text{world consumption}} = 8752.4 \text{ mtoes} = 8752.4 \times 12 \times 10^9 \text{ kWh} =$   
 $= 8752.4 \times 12 \times 10^9 \times 3.6 \times 10^6 \text{ J} = 3.781 \times 10^{20} \text{ J}$
- Year 2000: energy input > primary energy consumption (14,440 times)

### Terrestrial energy from inside the earth

- energy flow from the interior earth to its surface:  $0.063 \text{ W/m}^2$
- Total:  $0.063 \times 4\pi r^2 \sim 3.2 \times 10^{13} \text{ W}$

Tidal (gravitational) input energy:  $3 \times 10^{12} \text{ W}$



## Energy flow upon the earth from natural sources

~47% of incoming solar radiation ( $8.1 \times 10^{16}$  W): absorbed by oceans, land, atmosphere

~23% ( $4 \times 10^{16}$  W): hydrological cycle (evaporation, rivers...)

~0.21% ( $3.7 \times 10^{14}$  W): ocean and atmospheric convection and circulations → wind, wave, ocean current motion

~0.0023% ( $4 \times 10^{13}$  W): photosynthesis

(cf. annual energy of photosynthesis ~ world commercial energy consumption ( $\sim 10^{20}$  J))

## Energy outflow from the Earth

~30% of the incoming solar radiation ( $5.2 \times 10^{16}$  W): reflected back into space in the form of short-wave radiation

~47% ( $8.1 \times 10^{16}$  W): converted to low-grade heat & then re-radiated as long-wavelength radiation

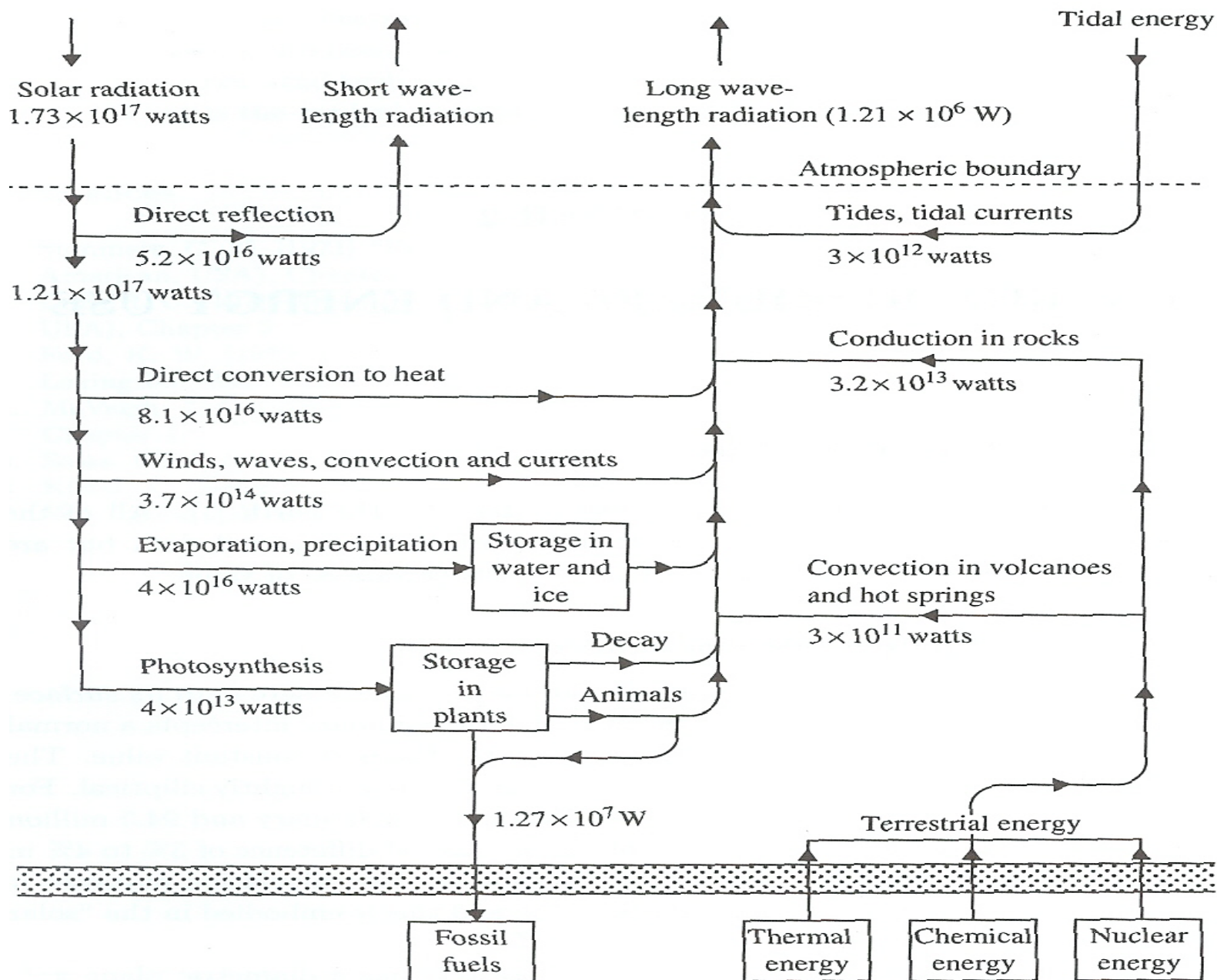


Fig. 2.1. Rate of energy flow diagram for the earth [1].

# Units and dimensional analysis