# **Chapter 1 Concepts and Definitions**

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- 1.3 Strategies and Approaches for Problems Solving
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### 1.1 The Role of Hydrology and Hydraulic Engineering in Environmental Management

• Water quality = water quantity

+ hydrodynamics of transport and mixing

+ chemistry and biology of natural water systems

atmosphere - air motion

hydrosphere - water motion

Fluid motions transport and disperse disposal of residuals

- massive discharges of wastewater into rivers and oceans

Without fluid motion, there is no transport and dispersion

[Ex] estuarine modification or barriers  $\rightarrow$  radically change the circulation patterns decreasing flushing of pollutants

### **1.1.1 Overall Framework for Environmental Management**

•Environmental Fluid Mechanics

- study of <u>fluid motions</u> in the lower atmosphere, in the ground, and in rivers, lakes, and seas that relate to problems connected to human activities within the environment.

#### •Environmental Hydraulics

- study of <u>water motions</u> in the ground, and in rivers, lakes, and seas that relate to problems connected to human activities within the environment.

•Transport - Hydraulic engineer

Transformation - Chemist

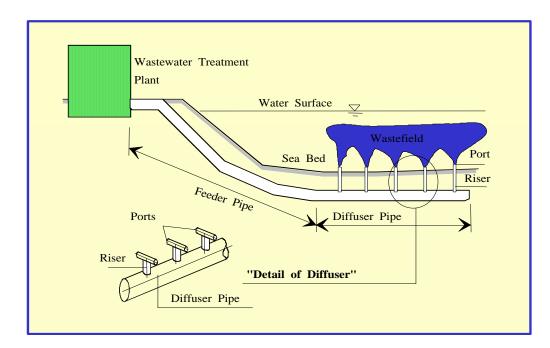
Accumulation - Biologist

 $\Rightarrow$  processes that takes places between the point where a pollutant is discharged into the

water environment and some other sites (downstream site in rivers) where the ambient water quality is observed.

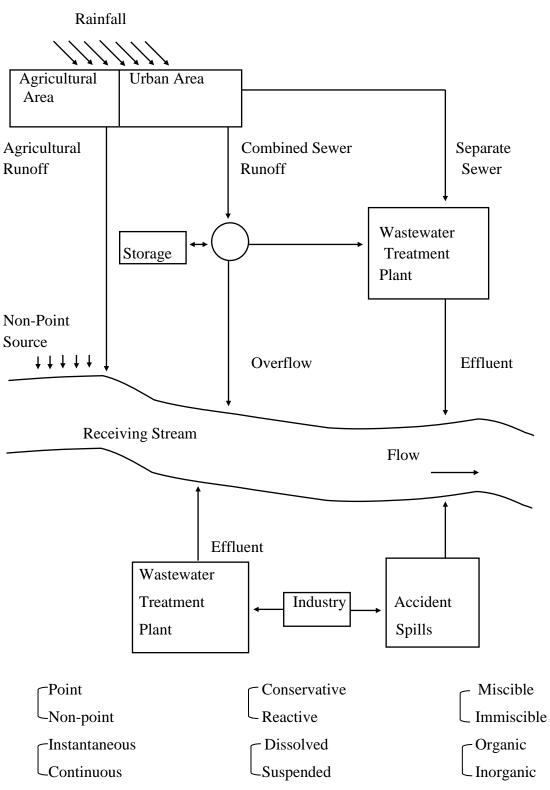
• Role of hydraulic engineer in environmental management

1) design of hydraulic structure (outfalls, diffusers)



# -> jets and plumes

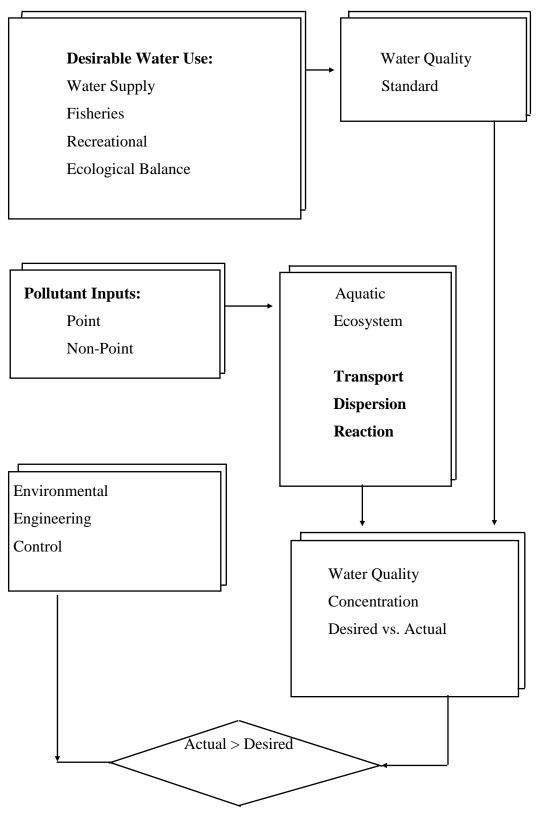
# 2) analyze water quality processes -> diffusion and dispersion



## **Conceptual View of Pollutant Inputs**

- Environmental Control System
- Optimization of
- (a) control of pollutants at the source (pre-treatment, clean technology)
- (b) wastewater treatment (primary, secondary treatment)
- (c) disposal in the environment (post-treatment, wastewater outfalls)
- Role of Hydraulic Engineer (Environmental Hydraulics)
- make interface between man's activities and the natural environment
- draw water supply from natural water bodies
- develop technology how wastewater is returned  $\rightarrow$  design of outfalls

## Flow Diagram of Water Quality Engineering



1-6

## 1.1.2 Using the Water Environment for Waste Assimilation

• Types of pollutant (from the least dangerous to the most hazardous)

### (1) Natural inorganic salts and sediments

- not toxic unless in excessive doses

(2) Waste heat or heated water discharges

- cooling water for electric generating plants

- decrease water's assimilative capacity for oxygen

## (3) Organic wastes

- domestic sewage  $\rightarrow$  biochemical oxygen demand (BOD)

- carbon, nitrogen, phosphorous: nutrients  $\rightarrow$  eutrophication

## • BOD

- amount of dissolved oxygen for bacteria to oxidize the organic wastes in the water

bacteria  
Organic waste 
$$+ O_2 \rightarrow CO_2 + H_2O$$

• COD

- amount of dissolved oxygen to oxidize the organic wastes using chemicals

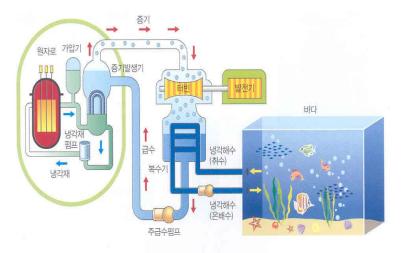
COD > BOD



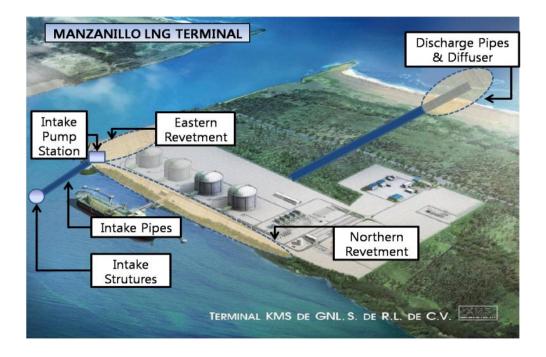
Yangz River



Nakdong River



Cooling system for nuclear power plant



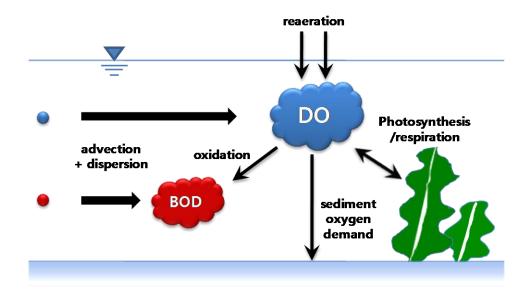
LNG plant (Manzanillo, Mexico)

• Drinking water quality

class	BOD	
1	< 1ppm	
2	< 3ppm	
3	< 6ppm	
4	< 8ppm	

• ppm = parts per million =  $mg/\ell$ 

1ppm = 1g of BOD/1,000,000g of water



BOD-DO coupled system

### (4) Trace metals

- industrial wastewater electro-planting, battery manufacturing, mining, smelting, refining
- lead, mercury, cadmium, selenium
- toxic in high concentration (accumulation)

### (5) Synthetic organic chemicals

- slow to degrade in environment
- bioaccumulate in the aquatic food chain
- industrial chemicals: phenol, benzenes, PCB(Poly-Chlorinated Biphenyls),
- agricultural chemicals: pesticides, herbicides, DDT

\* biological process (multiplying the concentration by a factor of  $10^5$  in successive food chain steps)

 $\Leftrightarrow$  physical process of mixing (= high dilution reduces the concentration)

### (6) Radioactive materials

- resulting from production of nuclear energy, nuclear weapons, and production of radioactive materials for industrial use

- plutonium - 239/240, strontium-90, cesium-137

- long-term storage of radio-active wastes w/o leakage
- [Cf] radioisotopes for tracer materials: I-131 (half-life 8.3 days)

# (7) Chemical and biological warfare agents

- exceedingly toxic; cannot be dispersed in the environment

[Re] "Dilution is the solution to pollution."

- suitable only for heat and natural organic materials

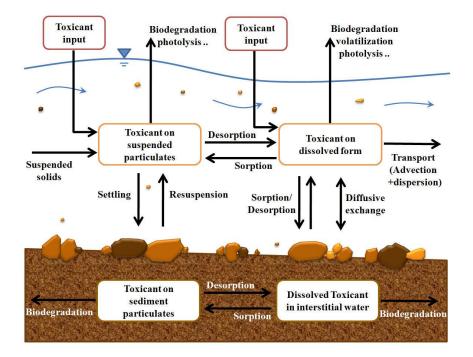
[Re] Toxic substances: trace metals, synthetic chemicals, radioactive materials

- results in acute effects of mortality and long-term chronic effect

- tendency to sorb to particulates in the water body

- tendency to be toxic at relatively low concentrations of  $\mu g/l$  or ng/l

- tendency to be concentrated by aquatic organisms and transferred up the food chain



### 1.1.3 Mass Balance Concepts in Residual Management

Conservation of mass

- Flux of substance source must balance the fluxes for subsequent transport and diffusion with <u>adjustments for chemical and biological conversions and sinks</u>, such as deposition on the <u>river bed or sea floor</u>.

- flux of solute mass = mass of a solute crossing a unit area per unit time in a given direction
- For steady state, total influx = total efflux

• Types of pollutant source

1) point source

- discharge from a structure which is specially designed for the outflow of wastewater or accidental spill

[Ex] - industrial and municipal sewerage system

- accidental spill of chemicals or oil from a ship

- release of heated water from power plant

2) nonpoint source

- widely distributed points where pollutants are introduced into the hydrologic cycle

[Ex] runoff of salts, soil erosion, acid rainfall, street drainage

[Cf] classification by input period

continuous input - municipal sewerage system, heated water from power plant

instantaneous input - accidental spills



Effluent discharge channel (Tancheon STP)

# 1.1.4 Impacts of Some Traditional Activities of Hydraulic Engineers

• Adverse effects of traditional hydraulic works on water quality

(1) man-made reservoirs  $\rightarrow$  summertime thermal stratification  $\rightarrow$  oxygen depletion in the lower layer

(2) diversion water for <u>consumptive uses</u>  $\rightarrow$  reduce river flow (inflow) and its ability to provide natural flushing

(3) canals  $\rightarrow$  transport huge amount of dissolved salts, sediment, nutrients and parasites

(4) agricultural drainage system  $\rightarrow$  accelerate the leaching of nutrients and salts from land to natural hydrologic systems

(5) breakwater for harbors  $\rightarrow$  interfere with natural nearshore circulation which could otherwise carry away pollutants

(6) estuarine modification or barriers  $\rightarrow$  radically change the circulation patterns decreasing flushing of pollutants



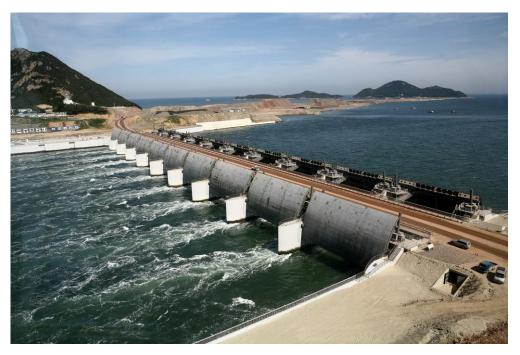
Panama Canal



Breakwater for harbors



SaemanGeum



SaemanGeum Barrier

## **1.2 Environmental Hydraulics**

### 1.2.1 Hydrologic transport processes

• Hydrologic transport processes

- physical processes of flow of natural water bodies which cause pollutants or natural

substances to be transported and mixed, or exchanged with other media

⇐⇒ man-made unit process (chemical plant)

(1) Advection: transport by an imposed current system of receiving (ambient) water bodies

(2) Convection: vertical transport induced by hydrostatic instability (buoyancy)

[Ex] - flow over a heated plate

- flow below a chilled water surface in a lake (winter time)

(3) Molecular diffusion

- scattering of particles by random molecular motion
- Brownian motion
- described by Fick's law
- molecular diffusivity

[Re] **diffusion** (physics): the process in which there is movement of a substance from an area of high concentration of that substance to an area of lower concentration

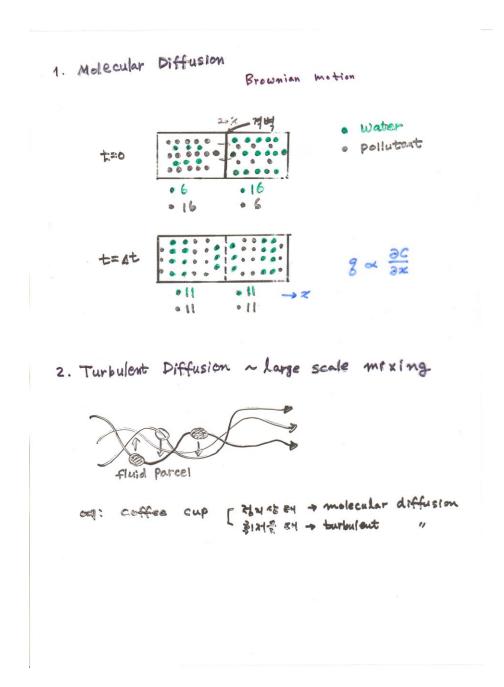
(4) Turbulent diffusion

- random scattering of particles by turbulent motion

- analogous to molecular diffusion

- molecular diffusion  $\ll$  turbulent diffusion

[Ex] mixing in coffee cup: in rest vs. stirring

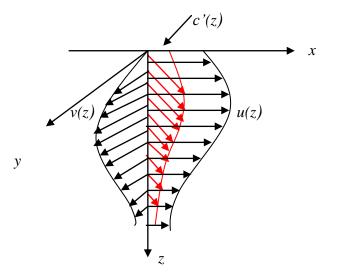


(5) Shear (shear flow)

- advection of fluid at different velocities (direction and magnitude) at different positions

[Ex] - velocity distribution over stream bed

- complex flow in estuary or coastal areas



(6) Dispersion

- scattering of particles or cloud of contaminants by the combined effects of shear and

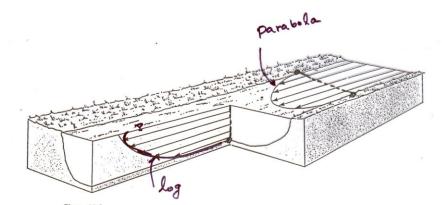
diffusion

- shear advection + vertical and/or transverse diffusion

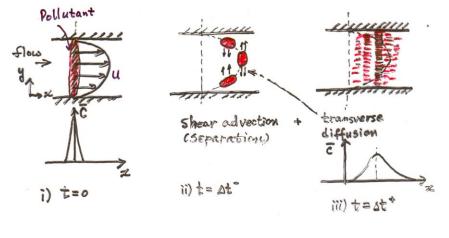
- molecular diffusion  $\ll$  turbulent diffusion  $\leq$  dispersion

[Re] In optics, dispersion is the phenomenon in which the phase velocity of a wave depends on its frequency, or alternatively when the group velocity depends on the frequency.

# 3. Shear Flow Dispersion







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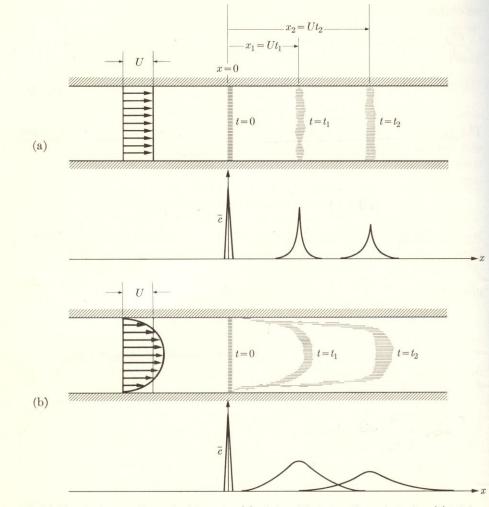


FIG. 16–10. Mechanism of longitudinal dispersion: (a) <u>turbulent diffusion</u> in uniform velocity flow; (b) <u>turbulent</u> dispersion due to <u>nonuniform velocity distribution</u>.

(7) Mixing

- diffusion or dispersion

- turbulent diffusion in buoyant jets and plumes

- any process which causes one parcel of water to be mixed with or diluted by another

(8) Evaporation

- transport of water vapor from a water or soil surface to the atmosphere

(9) Radiation

- flux of radiant energy at a water surface
- (10) Particle settling

- sinking (or rising) of particles having densities different from the ambient fluid

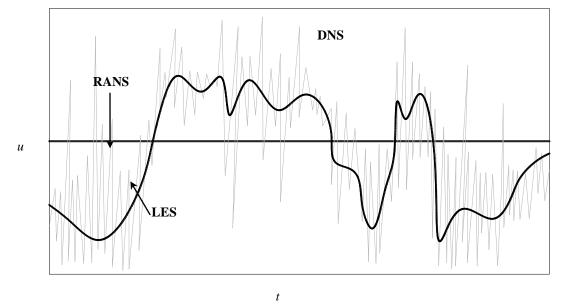
[Ex] sand grains, dead plankton  $\rightarrow$  downward transport of nutrients in lakes and ocean

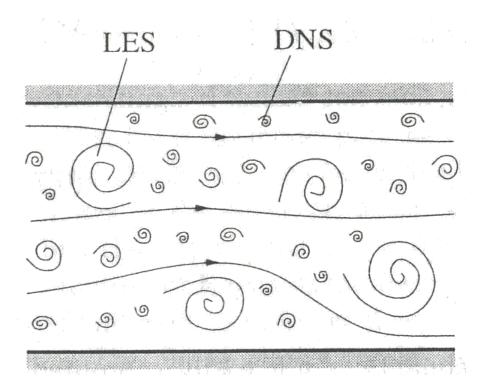
(11) Particle entrainment

- picking up of particles (sand, organic detritus) from the bed by turbulent flow past the bed

• flow analysis - mean velocity is important

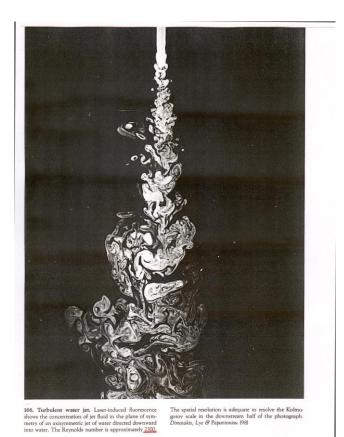
• pollutant analysis - fluctuation and <u>irregularities in hydrologic systems</u> are equally important



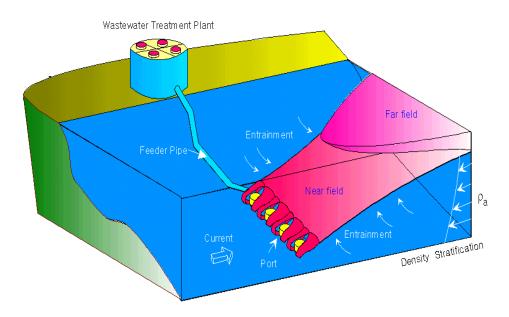


# **1.2.2 Buoyant Jets and Plumes**

- submerged (momentum) jet
- increase the dilution of effluent discharge with the surrounding waters
- submerged buoyant jet
- when discharge fluid is lighter or heavier than surrounding waters
- heated water discharge (momentum jet) vs. wastewater discharge (buoyant jet)
- plume
- initial momentum is not important



- •Analysis of buoyant jets and plumes
- a) jet parameters : initial momentum flux, mass flux, buoyancy flux
- b) ambient conditions : ambient density stratification, ambient velocity
- c) geometric factors : jet shape, angle, orientation



# 1.2.3 Density-Stratified Flows in a Natural Environment and Geophysical Fluid

## Mechanics

• Density stratification

 $\rightarrow$  lake, reservoirs - due to temperature variation

 $\rightarrow$  estuary - salinity profiles

- internal structure causes effect on both mean flow fields and turbulent mixing and

dispersion

# **1.2.4 Sedimentation and Erosion**

- particle setting and entrainment, stream morphology
- erosion, transport and deposition  $\rightarrow$  "Advance River Engineering"

# **1.2.5 Interdisciplinary Modeling**

- fate of pollutants governed by combination of physical, chemical and biological processes
- different  $\underline{\text{time scales}}$  between the dominance of the various processes
- hydrodynamic mixing in the jets min
- dispersion, biochemical reaction hours, days
- biological or ecological effects weeks, months

# 1.3 Strategies and Approaches for Problems Solving

## **1.3.1 Strategies**

• Strategy:

- a. Identify problems
- b. For large complicated problems, break a problem (of mixing) into submodels (component parts)
- c. Use two or more approaches (mixed approaches/hybrid modeling)

- interweaving of all of the approaches

- better than single approach, computer model, hydraulic model, and field studies

[Re] Approaches:

- computer modeling
- hydraulic modeling
- field experimentation
- (1) Problem identification
- a) For acute toxic effects
- $\rightarrow$  predict <u>maximum instantaneous point concentration</u> of a pollutant

b) For long-term ecological effects

 $\rightarrow$  predict changes in monthly <u>averages over broad areas</u>

At different scales of length and time, different processes will be important.

 $\rightarrow$  Table 1.1 Effluent flow from a sewer outfall (ocean)

phase	phenomenon	length scale	time scale
1		(m)	(sec)
1	initial jet mixing	< 10 <sup>2</sup>	< 10 <sup>3</sup>
2	establishment of sewage field	$10 \sim 10^{3}$	$10^2 \sim 10^3$
3	natural lateral diffusion / dispersion	$10^2 \sim 10^4$	$10^3 \sim 10^5$
4	advection by currents	$10^3 \sim 10^5$	$10^3 \sim 10^6$
5	large scale flushing (by tidal motion)	$10^4 \sim 10^6$	$10^6 \sim 10^8$

(2) Definition of submodels

a) Omnibus model

- cover all steps

b) Component models

- break problems into components for different length and time scales

- simplifying (idealized) representations can be made

- concentrate on the dominant processes and important features of the environment

[Ex] Thermal discharge problem

· near-field mixing:

- initial jet and plume mixing occurs; momentum and buoyancy of the jet are important

(active mixing)  $\rightarrow$  use <u>hydraulic modeling</u>

 $\cdot$  far-field mixing:

- heat loss, natural lateral dispersion and advection by currents are dominant (passive mixing)

 $\rightarrow$  use <u>computer model</u>

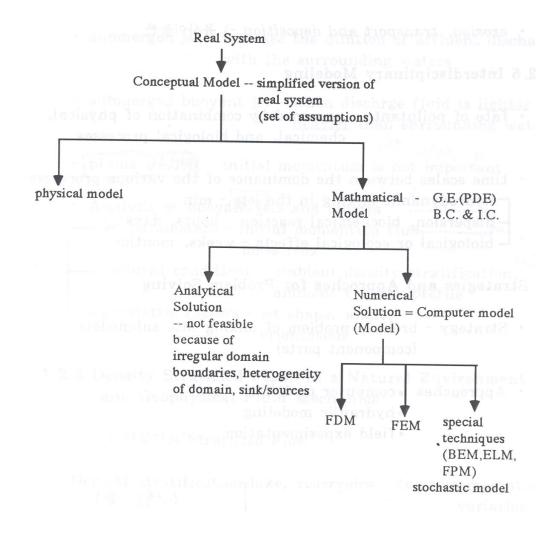
- results from near-field model are used as input of far-field model

[Re] Model

- idealized representations

- concentrate on the dominant processes and important features of the water domain

- omit many secondary details or interactions



• Computer model:

- numerical solution of mathematical equations

 $\rightarrow$  cannot be better that the validity of the underlying approximations

- can include meteorological factors (wind, surface cooling)

- can avoid scaling errors

• Physical model:

- reproduce complex 3-D flows (density-stratified flows)

- large scale phenomena (large scale vortices, internal waves and hydraulic jumps, multilayer shear flows, gravitational spreading) are represented

- scaling errors  $\rightarrow$  <u>viscous effects</u> are too strong in reduced laboratory models

[Re] scaling based on Froude laws

- Reynolds numbers are much reduced from the prototype

- alter turbulence and resistance characteristics

- distorted models are used for big estuary (river) model

• Field Studies

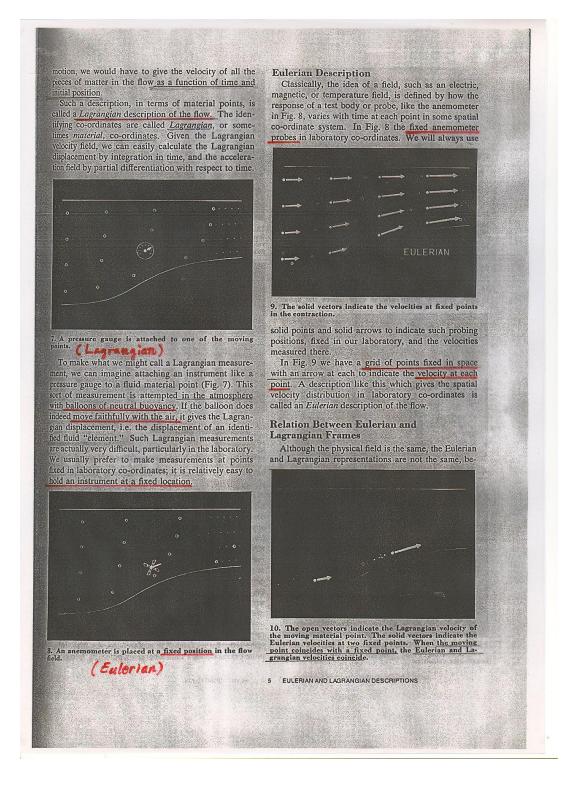
-can be used to verify or adjust numerical models

a. Eulerian-type measurements

- fixed-location recording meter  $\rightarrow$  time series data at a fixed point

b. Lagrangian experiments

- follow drogues to track flow trajectories and dispersion  $\rightarrow$  time series data for a given parcel of water



## 1.3.2 Approaches

- Order-of-magnitude analysis
- quick approximate solution (quick-and-dirty)
- scaling
- powers of ten
- show the correct dependence on the most important parameters
- based on dimensional analysis

[Ex] complete vertical mixing

Find longitudinal distance required for complete vertical mixing of <u>surface discharge</u> pollutants

mixing time 
$$T = \alpha \frac{d^2}{\varepsilon_v}$$
 (time scale)

$$d = depth (cm)$$

 $\mathcal{E}_{v}$  = vertical eddy diffusivity  $(m^{2}/s)$ 

set  $\mathcal{E}_{v} = 0.07u^{*}d$ 

$$u^*$$
 = shear velocity =  $\overline{u}\sqrt{\frac{f}{8}}$ 

f = Darcy - Weisbach friction factor

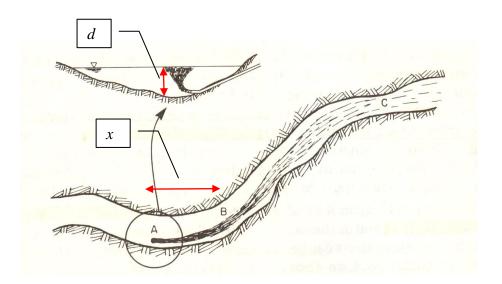
then 
$$T = \alpha \frac{d^2}{0.07 d\overline{u}} \sqrt{\frac{8}{f}}$$

$$\sqrt{\frac{8}{f}} \approx 15; \ \alpha \approx 0.35$$
$$\therefore T \approx 75 \frac{d}{\overline{u}}$$

substitute  $X = \overline{u}T$ 

$$\therefore \frac{x}{d} \approx 75 \approx 10^2$$

x = longitudinal distance required for complete vertical mixing



### **1.4 Basic Definitions and Concepts**

### **1.4.1** Concentration

• Concentration

- units of mass of tracer or contaminant per unit volume

$$C = \lim_{\Delta V \to 0} \frac{\Delta M}{\Delta V}$$

where  $\Delta M$  = tracer mass in elemental volume  $\Delta V$ 

• Time average of *C* 

$$C = C(x, y, z, t)$$

 $\rightarrow$  include turbulent fluctuations

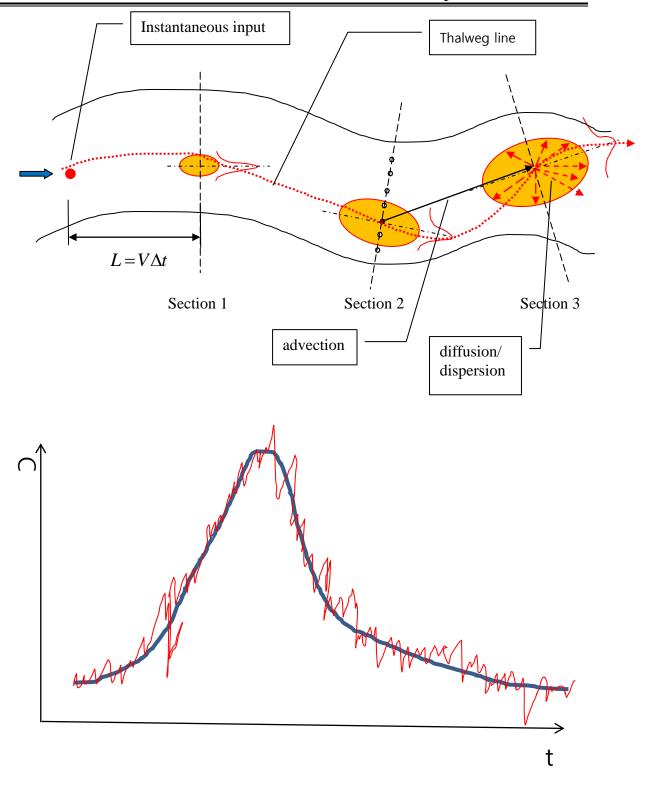
$$\overline{C}(x, y, z, t_0) = \frac{1}{T} \int_{t_0}^{t_0 + T} C(x, y, z, t) dt$$

where *T* = averaging time interval

- sec, min for turbulence fluctuation

$$\overline{C} = fn(x, y, z, t_0, T)$$

→ slowly varying function; reflects only change of flow rate and ambient water conditions
- hours, day for unsteady flow



• Spatial average of *C* 

$$\overline{C}_{v}(x_0, y_0, z_0, t) = \frac{1}{V} \iiint_{\Delta V} C(x, y, z, t) dV$$

- wipes out turbulent fluctuations occurring on scales smaller than  $V^{\frac{1}{3}}$ 

- Flux average of  $C = \overline{C}_f$
- flux = mass per unit area per unit time

Flux of contaminant mass through AA

= 
$$\overline{C}_f \cdot (\text{flux of water through AA})$$

$$\int_{A} C \ u \ dA = \overline{C}_{f} \int_{A} u \ dA = \overline{C}_{f} Q$$
$$\therefore \ \overline{C}_{f}(t) = \frac{1}{Q} \int_{A} C \ u \ dA$$

• Total mass M

$$M = \int_0^T \overline{C}_f(t) \ Qdt = \int_0^T \int_A C \ u \ dAdt$$

### 1.4.2 Dilution

• Dilution: rate at which tracer is diluted, *S* 

$$S = \frac{\text{total volume of sample}(= \text{vol. of mixture})}{\text{volume of effluent contained in the sample}}$$

 $S=1 \rightarrow$  undiluted effluent

p = volume fraction of effluent in a sample

= 1/S = relative concentration

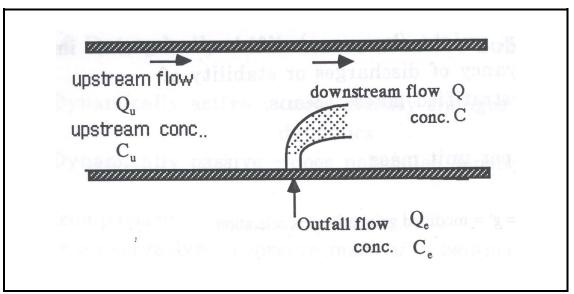
- mixture of effluent with ambient water of background concentration  $C_a$ 

items	effluent	ambient water	mixture
vol.	$vol_e$	$vol_a$	$vol_e + vol_a$
conc. of contaminant	C <sub>e</sub>	$C_a$	$\frac{vol_e C_e + vol_a C_a}{vol_e + vol_a}$ ( <u>harmonic mean</u> )

$$\therefore C = \frac{vol_e C_e + vol_a C_a}{vol_e + vol_a} = \frac{(vol_e + vol_a)C_a + vol_e (C_e - C_a)}{vol_e + vol_a}$$
$$= C_a + \frac{vol_e}{vol_e + vol_a} (C_e - C_a)$$
$$= C_a + P(C_e - C_a)$$
$$= C_a + \frac{1}{S} (C_e - C_a)$$

 $\rightarrow$  increment of concentration above background is <u>reduced by the dilution</u> factor *S* or *p* from the point of discharge to the point of measurement of effluent

$$\therefore p = \frac{C - C_a}{C_e - C_a}$$
$$S = \frac{C_e - C_a}{C - C_a}$$



Mass balance at effluent outfall

• After effluent is fully mixed across the section,

mass rate of substance upstream + mass rate added by outfall

= mass rate of substance downstream from outfall

$$Q_u C_u + Q_e C_e = QC$$
  
 $w \Rightarrow Q_e C_e = \text{impact waste load [M/T]}$ 

• dilution of a composite sample

$$\overline{S} = \frac{\text{total vol.}}{\text{total effluent vol.}} = \frac{1}{P} = \frac{\sum_{i=1}^{N} \text{vol}_{i}}{\sum_{i=1}^{N} \text{vol}_{i} \frac{1}{S_{i}}}$$

### 1.4.4 Density

• weight density of water plays an important role in mixing in water body

weight density = weight per unit volume =  $\rho g$  = weight/vol.

where  $\rho$  = mass density = *M*/*vol*. g = gravitational acceleration

• Variation of  $\rho$  is less than 3% in estuary and ocean

 $\Rightarrow$  unimportant for fluid acceleration (fluid dynamics)

 $\Rightarrow$  however, weight (buoyancy) difference (=  $g\Delta\gamma$ ) is important for buoyancy of

discharges of stability of density-stratified flows (mixing mechanics)

• buoyancy per unit mass

$$g \frac{\Delta \rho}{\rho} = g' = \text{modified gravitational acceleration}$$

•  $\sigma_t = \sigma - units$  for water density

$$\rho = 1 + \frac{\sigma_t}{1000} (g/cm^3) = 1000 + \sigma_t (kg/m^3)$$

 $\sigma_t = \sigma - units$ =  $f_n(temperature, salinity) \rightarrow See App.1$ 

### **1.4.5 Density Stratification**

• density profile  $\rho_a(z)$  z = vertical coordinates  $\frac{d\rho_a}{dz} < 0$ • linear density stratification  $-g\frac{d\rho_a}{dz} = const.$ 

### 1.4.6 Dynamically Active versus Passive Substances

- Dynamically active substance:
- cause significant density changes to affect the flow dynamics
- massive heated water discharge
- need to recalculate flow fields at each time step  $\rightarrow$  coupled model
- Dynamically passive substance:
- does not cause density changes
- wastewater discharge

- flow fields are separately calculated and used as given input to mixing analysis  $\rightarrow$  scalar transport model

[Cf] conservative substance: conserve mass

non-conservative substance: reactive, BOD

### 1.4.7 Velocity Distribution in Turbulent Shear Flow

- turbulent shear flow in a long pipe or channel
- driving force: pressure gradient and gravity
- resisting force: shear stresses at the wall
- velocity contour map (Fig.5.11)
- u = u(y, z)
- Vertical velocity distribution
- approximated by a logarithmic function

a) Pipe: 
$$u = \overline{u} + \frac{3}{2} \frac{u^*}{\kappa} + \frac{2.30}{\kappa} u^* \log_{10} \frac{z}{R}$$
 (1.27)

b) Wide channel : 
$$u = \overline{u} + \frac{u^*}{\kappa} + \frac{2.30}{\kappa} u^* \log_{10} \frac{z}{d}$$
 (1.28)

where z = distance from the wall

R = pipe radius

d = channel depth

- = Von Karman constant  $\approx 0.4$
- mean velocity in the cross section related to mean wall shear stress

$$\tau_o = \frac{1}{8} f \rho \overline{u}^2 \longrightarrow [\text{Re1}]$$

where  $\tau_o =$  mean wall shear stress

f = Darcy-Weisbach friction factor

f - estimated from Moody diagram for circular pipes

- use Moody diagram  $R_h = D/4$  with for open channels

$$\overline{u} = \frac{1}{A} \int_{A} u(y, z) dA$$

$$\sqrt{\frac{\tau_o}{\rho}} = \sqrt{\frac{f}{8}}\overline{u}$$

define

$$\sqrt{\frac{\tau_o}{\rho}} \equiv u^* = \text{ shear (friction) velocity} \rightarrow [\text{Re2}]$$

• mean shear stress  $\leftarrow$  balance of force

$$\tau_o = \rho g R_h S$$
  
$$\therefore u^* = \sqrt{g R_h S} \longrightarrow [\text{Re3}]$$
  
$$\frac{\overline{u}}{u^*} = \sqrt{\frac{8}{f}}$$

[Re1]

$$h_{L} = f \frac{L}{D} \frac{v^{2}}{2g}, \quad h_{L} = \frac{\tau_{0}L}{\gamma R_{h}}$$
$$\therefore \tau_{o} \frac{L}{\gamma \frac{D}{4}} = f \frac{L}{D} \frac{v^{2}}{2g}$$
$$\therefore \tau_{o} = \frac{1}{8} f \rho v^{2}$$

[Re2]

Shear velocity 
$$= u^* = \sqrt{\frac{\tau_o}{\rho}} = \sqrt{gRS}$$
 for steady unsteady flow

$$= \sqrt{gD \left(S_o - \frac{\partial D}{\partial x} - \frac{v}{g}\frac{\partial v}{\partial x} - \frac{1}{g}\frac{\partial v}{\partial x}\right)} \quad \text{for}$$

unsteady flow

- dimensions of velocity

- varies with the boundary friction  $au_o$ 

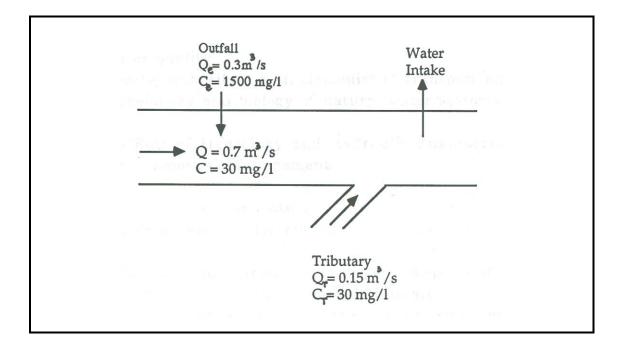
[Re3]

$$\tau_o = \gamma R_h \frac{h_L}{l}$$

 $S_0 =$  channel slope for uniform flow

#### Homework #1

### **Due: 1 Week from Today**



Upstream flow with a background level of chlorides, a conservative substance, of  $30 \text{mg}/\ell$  is supplemented by an industrial discharge of  $0.3 \text{m}^3/\text{s}$  carrying  $1,500 \text{mg}/\ell$  chlorides and a downstream tributary of  $0.15 \text{m}^3/\text{s}$  with background chlorides concentration of  $30 \text{mg}/\ell$ . Assume downstream tributary chlorides concentration does not vary with flow.

To maintain a desired chlorides concentration of  $250 \text{mg/}\ell$  at the water intake, determine: (a) the required industrial reduction in chloride concentration (b) the required increase in tributary flow.