

# 9 zk-snark

tkkwon@snu.ac.kr

source: Ariel Gabizon, <https://z.cash/blog/snark-explain>

# outline

- zk-snark?
- Homomorphic Hiding (HH)
- polynomials and linear combinations
- knowledge of Coefficient (KC) test
- extended KCA
- verifiable blind evaluation protocol
- Quadratic Arithmetic Program (QAP)
- Pinocchio Protocol

# zk-snark

- “Zero-Knowledge Succinct Non-Interactive Argument of Knowledge”
- “Zero-knowledge” proofs allow one party (the prover) to prove to another (the verifier) that a statement is true, without revealing any information beyond the validity of the statement itself
- “Succinct” zero-knowledge proofs can be verified within a few milliseconds, with a proof length of only a few hundred bytes even for statements about programs that are very large
- “non-interactive” constructions, the proof consists of a single message sent from prover to verifier
  - we need initial setup phase that generates a common reference string shared between prover and verifier.
- “argument of Knowledge” the prover can convince the verifier not only that the number exists, but that they know such a number – again, without revealing any information about the number

# homomorphic hiding (HH)

- An HH  $E(x)$  of a number  $x$  is a function satisfying the following:
  - for most  $x$ 's, given  $E(x)$ , it is hard to find  $x$
  - different inputs lead to different outputs
    - if  $x \neq y$ ,  $E(x) \neq E(y)$
  - if someone knows  $E(x)$  and  $E(y)$ , he can generate the HH of arithmetic expressions in  $x$  and  $y$ 
    - e.g.  $E(x+y)$  can be calculated from  $E(x)$  and  $E(y)$

# HH example

- Alice wants to prove to Bob that she knows number  $x, y$  such that  $x+y=7$ 
  1. Alice sends  $E(x), E(y)$  to Bob
  2. Bob computes  $E(x+y)$  from these values
  3. Bob also computes  $E(7)$ , and now checks whether  $E(x+y) = E(7)$
- in this case, we say HH supports addition

# before HH: revisit modular multiplication

- group: a set of elements with a binary operation
  - the outcome of the operation should satisfy four properties below
- The group of positive integers modulo a prime  $p$   
 $Z_p^* \equiv \{1, 2, 3, \dots, p-1\}$   
 $*_p \equiv$  multiplication modulo  $p$   
Denoted as:  $(Z_p^*, *_p)$
- Required properties
  1. Closure. Yes.
  2. Associativity. Yes.
  3. Identity. 1.
  4. Inverse. Yes.
- Example:  $Z_7^* = \{1, 2, 3, 4, 5, 6\}$   
 $1^{-1} = 1, 2^{-1} = 4, 3^{-1} = 5, 6^{-1} = 6$

# HH construction

(mod  $p$ ) is omitted below

- if we want to prove we know  $x, y$  with  $x+y=7$ 
  - $g$ : generator of group of order  $p$  where DLP is hard.
  - Prover: sends  $E(x) = g^x, E(y) = g^y$
  - Verifier: Checks  $E(x+y) = g^{x+y \bmod (p-1)} = g^x g^y = E(x)E(y)$

# polynomial

- $F_p$  is the field of size  $p$ ; the elements of  $F_p$  are  $\{0, \dots, p-1\}$  and addition and multiplication are done mod  $p$
- a polynomial  $P$  of degree  $d$  over is an expression as follows:

$$P(X) = a_0 + a_1X^1 + a_2X^2 + \dots + a_dX^d \text{ for some } a_0, \dots, a_d \in F_p$$

- we can evaluate  $P$  at a point  $s \in F_p$

$$P(s) = a_0 + a_1s^1 + a_2s^2 + \dots + a_ds^d$$

- note that  $P(s)$  is a linear combination of  $1, s^1, s^2, \dots, s^d$

- HH supports linear combinations, which means

- given  $a, b, E(x), E(y)$ , we can compute  $E(ax+by)$

$$E(ax+by) = g^{ax+by} = g^{ax} g^{by} = (g^x)^a (g^y)^b = E(x)^a E(y)^b$$



# blind evaluation of a polynomial: a naïve approach

- Alice has a polynomial  $P$  of degree  $d$ , Bob has a point  $s \in F_p$
- Bob wishes to learn  $E(P(s))$ ; how?
- two naïve ways
  - Alice sends  $P$  to Bob; he computes  $E(P(s))$
  - Bob sends  $s$  to Alice; she computes  $E(P(s))$  and sends it back to Bob
- however, in blind evaluation problem,
  - we want Bob to learn  $E(P(s))$  without learning  $P$ 
    - $d$  is order of millions in Zcash; sending  $P$  is too much overhead; recall succinct!
  - we don't want Alice to learn  $s$  (so-called blind evaluation)

Henceforth, Alice is prover and Bob is verifier

# blind evaluation of a polynomial

- Using HH, we perform blind evaluation as follows
  1. Bob sends to Alice the hidings  $E(1), E(s), \dots, E(s^d)$
  2. Alice computes  $E(P(s))$  from the linear combination of the elements in the 1<sup>st</sup> step, and sends  $E(P(s))$  to Bob
- why do we need this?
  - verifier (Bob) has a correct polynomial in mind and wishes to check the prover knows it
  - making the prover (Alice) blindly evaluate the polynomial at a random point not known to prover
  - if the prover has the wrong polynomial, she will give the wrong answer

Schwartz-Zippel Lemma: different polynomials are different at most points

# operation change in finite group

- from now on, we write the finite group additively rather than multiplicatively
- For  $\alpha \in \mathbb{F}_p$ , we used to write  $g^\alpha \bmod p$
- Now we write  $\alpha \cdot g \bmod p$ ,
  - the result of summing  $\alpha$  copies of  $g$
  - if someone receives  $\alpha \cdot g$ , she cannot know  $\alpha$
- recall ECC

# Knowledge of Coefficient (KC)

- Prover (Alice) can compute  $E(P(s))$  but may not send  $E(P(s))$
- how can we enforce the prover to send  $E(P(s))$ ?

- KC test

for  $\alpha \in \mathbb{F}_p^*$ , a pair of elements  $(a, b)$  in  $G$  is an  $\alpha$ -pair if  $b = \alpha \cdot a$

1. Bob chooses random  $\alpha \in \mathbb{F}_p^*$ ,  $a \in G$ ; he computes  $b = \alpha \cdot a$
2. He sends to Alice the "challenge" pair  $(a, b)$ , which is an  $\alpha$ -pair
3. Alice must respond with a different pair  $(a', b')$ , another  $\alpha$ -pair
4. Bob accepts Alice's response only if  $(a', b')$  is an  $\alpha$ -pair

Again, Alice is prover and Bob is verifier; only Bob knows  $\alpha$

# How can Alice generate another $\alpha$ -pair?

- Alice knows only  $\alpha \cdot a$ , not  $\alpha$ 
  - since  $G$  is a group for DLP
- Alice chooses some  $\gamma \in \mathbb{F}_p^*$ , and responds with  $(a', b') = (\gamma a, \gamma b)$ 
  - $b' = \gamma b = \gamma \alpha a = \alpha a'$ ,
- Knowledge of Coefficient Assumption (KCA)
  - if she sends  $(a', b')$  in response to Bob's challenge  $(a, b)$ , then she knows the ratio  $\gamma$  such that  $a' = \gamma a$

# Make Blind Evaluation Verifiable

- Want to construct a protocol that allows Bob to learn  $E(P(s))$  with two additional properties
  1. blindness: Alice will not learn  $s$  (and Bob will not learn  $P$ )
  2. Verifiability: the probability that Alice sends a value not  $E(P(s))$ , but Bob still accepts is negligible

# An extended KCA

- Bob sends Alice several  $\alpha$ -pairs  $(a_1, b_1), \dots, (a_d, b_d)$  (for the same  $\alpha$ )
- After receiving these pairs, Alice is challenged to generate another  $\alpha$ -pair  $(a', b')$
- Alice now takes a linear combination of the given  $d$  pairs

$$(a', b') = \left( \sum_{i=1}^d c_i a_i, \sum_{i=1}^d c_i b_i \right), \text{ where Alice chooses any } c_i \in \mathbb{F}_p$$

- The extended KCA states that this is the only way Alice can generate an  $\alpha$ -pair; she knows a linear relation between  $a'$  and  $a_1, \dots, a_d$  – called  $d$ -power knowledge of coeff. assumption ( $d$ -KCA)
  - $d$ -KCA: Bob sends Alice  $(g, \alpha g), (sg, \alpha sg), \dots, (s^d g, \alpha s^d g)$ ; then Alice outputs another  $\alpha$ -pair  $(a', b')$
- Alice knows  $c_0, c_1, \dots, c_d \in \mathbb{F}_p$  s.t.  $\sum_{i=0}^d c_i s^i g = a'$  (and  $\sum_{i=0}^d \alpha c_i s^i g = b'$ )

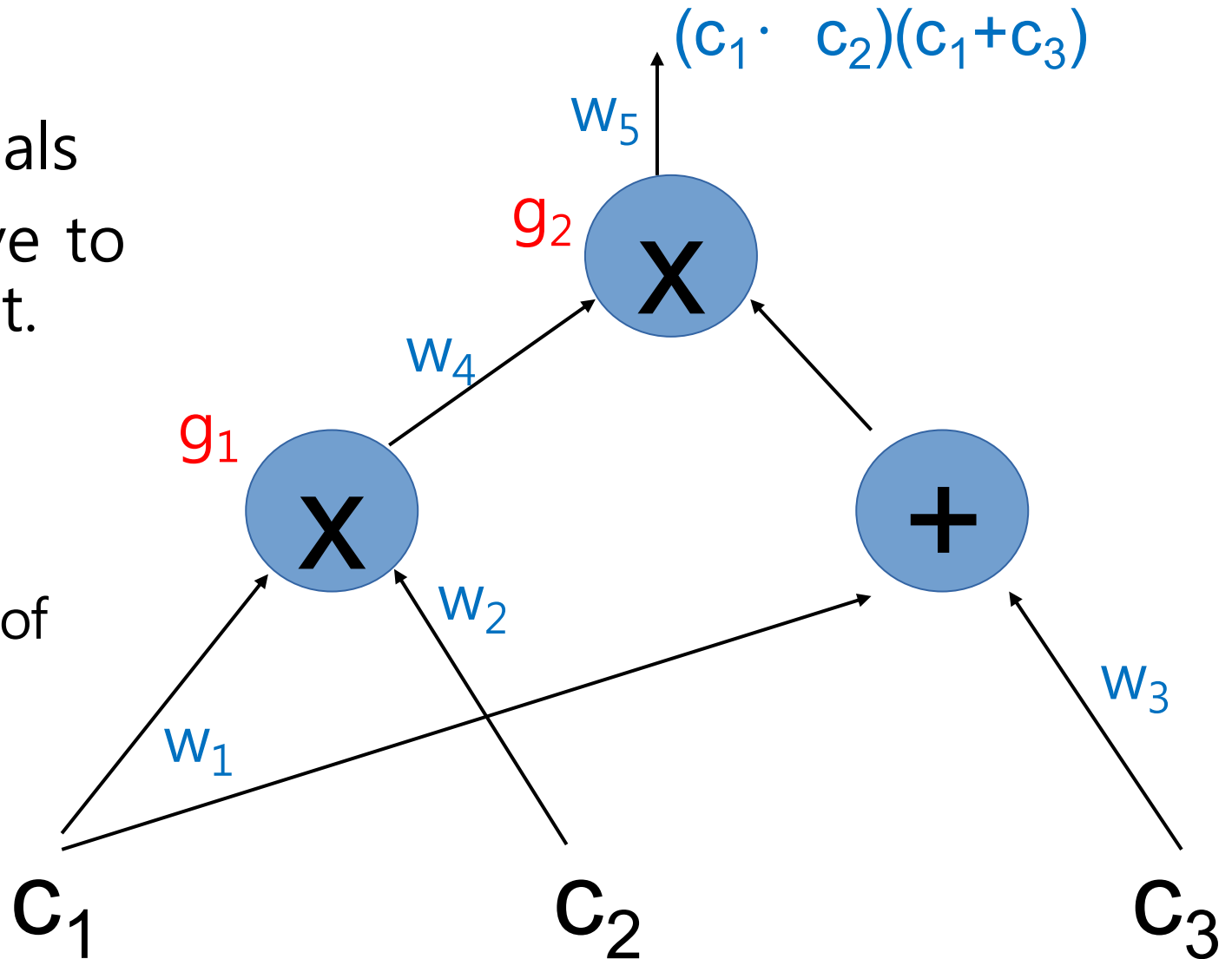
# Verifiable Blind Evaluation Protocol

- HH is the mapping  $E(x) = x \cdot g$  for generator  $g$  of  $G$
- We present the protocol for this  $E(x)$ 
  1. Bob chooses a random  $\alpha \in \mathbb{F}_p^*$ , and sends Alice the following
    - the hidings of  $1, s^1, s^2, \dots, s^d$ , which are  $g, s \cdot g, \dots, s^d \cdot g$
    - the hidings of  $\alpha, \alpha \cdot s, \alpha \cdot s^2, \dots, \alpha \cdot s^d$ , which are  $\alpha \cdot g, \alpha \cdot s \cdot g, \dots, \alpha \cdot s^d \cdot g$
  2. Alice computes  $a = P(s) \cdot g$  and  $b = \alpha \cdot P(s) \cdot g$ , which are sent to Bob
  3. Bob checks that  $b = \alpha \cdot a$ , and accepts iff this equality holds
    - $P(s) \cdot g$  is a linear combination of  $g, s \cdot g, \dots, s^d \cdot g$ , which is  $E(P(s))$
    - $\alpha \cdot P(s) \cdot g$  is a linear combination of  $\alpha \cdot g, \alpha \cdot s \cdot g, \dots, \alpha \cdot s^d \cdot g$
    - by  $d$ -KCA, if Alice sends  $a, b$  s.t.  $b = \alpha \cdot a$ , then she knows  $c_0, c_1, \dots, c_d \in \mathbb{F}_p$  s.t.  $a = \sum_{i=0}^d c_i s^i g$



# Quadratic Arithmetic Program (QAP)

- QAP: translation of computations into polynomials
- suppose Alice wants to prove to Bob she knows  $c_1, c_2, c_3 \in \mathbb{F}_p$  s.t.  $(c_1 \cdot c_2)(c_1 + c_3) = 7$
- 1<sup>st</sup> step: expression to arithmetic circuit
  - An arithmetic circuit consists of gates computing arithmetic operations like addition and multiplication, with wires connecting the gates



# constructing an arithmetic circuit

- bottom wires are the input, and the top wire is the output
- When the same outgoing wire goes into more than one gate, we still think of it as one wire – like  $w_1$  in the example.
- We assume multiplication gates have exactly two input wires, which we call the left wire and right wire
- We don't label the wires going from an addition to a multiplication gate, nor the addition gate; we think of the inputs of the addition gate as going directly into the multiplication gate. So in the example we think of  $w_1$  and  $w_3$  as both being right inputs of  $g_2$ .
- A **legal assignment** for the circuit, is an assignment of values to the labeled wires where the output value of each multiplication gate is indeed the product of the corresponding inputs.
  - $c_4 = c_1 \cdot c_2$  and  $c_5 = c_4 \cdot (c_1 + c_3)$
- what Alice wants to prove is that she knows a legal assignment  $(c_1, \dots, c_5)$  such that  $c_5 = 7$

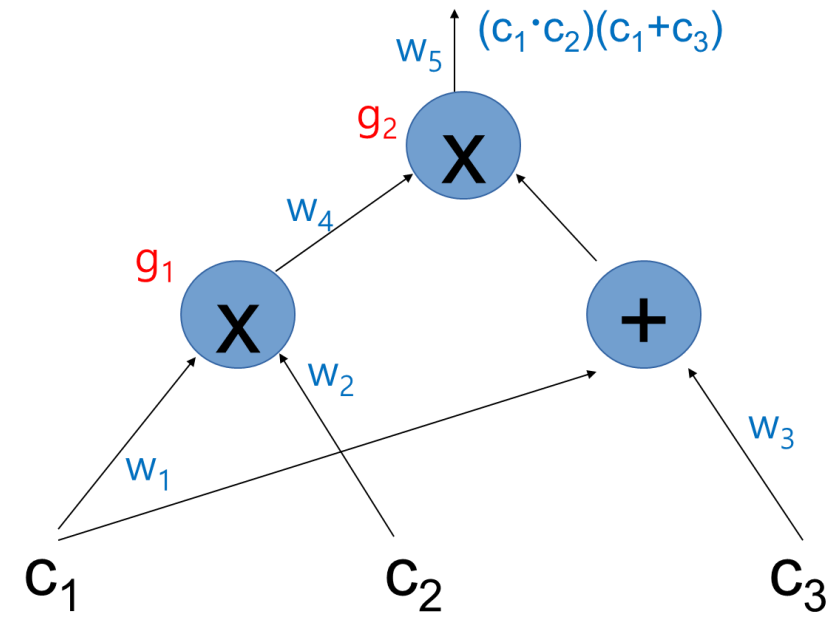
$c_4$  is for  $w_4$ ;  $c_5$  is for  $w_5$

# reduction to a QAP

- We associate each (label of) multiplication gate with a field element
  - $g_1$  will be associated with  $1 \in F_p$  and  $g_2$  with  $2 \in F_p$
- We call the points  $\{1,2\}$  our **target points**. Now we need to define a set of "left wire polynomials"  $L_1, \dots, L_5$ , "right wire polynomials"  $R_1, \dots, R_5$  and "output wire polynomials"  $O_1, \dots, O_5$ .
- the polynomials will usually be zero on the target points
  - they will be **ones** at the target point's corresponding **multiplication gate**.

# reduction to a QAP: an example

- $w_1, w_2, w_4$  are the left, right and output wire of  $g_1$
- we define  $L_1=R_2=O_4=2-X$  as the polynomial  $2-X$  is one on the point 1 corresponding to  $g_1$  and zero on the point 2 corresponding to  $g_2$
- $w_1$  and  $w_3$  are *both* right inputs of  $g_2$ . Therefore, we define similarly  $L_4=R_1=R_3=O_5=X-1$  as  $X-1$  is one on the target point 2 corresponding to  $g_2$  and zero on the other target point
- We set the rest of the polynomials to be the zero polynomial
- Thus,  $L = \sum_{i=1}^5 c_i L_i$ ,  $R = \sum_{i=1}^5 c_i R_i$ ,  $O = \sum_{i=1}^5 c_i O_i$
- then we define the polynomial  $P=L \cdot R-O$
- $(c_1, \dots, c_5)$  is a legal assignment to the circuit iff  $P$  vanishes on all the target points.



# illustration of the QAP reduction

- $L(1) = c_1 \cdot L_1(1) = c_1; R(1) = c_2; O(1) = c_4$
- $P(1) = c_1 \cdot c_2 - c_4$   $P=L \cdot R-O$
- $P(2) = c_4 (c_1+c_3) - c_5$
- $P$  vanishes on the target points if  $(c_1, \dots, c_5)$  is a legal assignment
- For a polynomial  $P$  and a point  $a \in F_p$ , we have  $P(a) = 0$  iff the polynomial  $(X-a)$  divides  $P$ 
  - $P = (X-a) \cdot H$  for some polynomial  $H$
- Define a target polynomial  $T(X) = (X-1)(X-2)$ 
  - $T$  divides  $P$  iff  $(c_1, \dots, c_5)$  is a legal assignment

# QAP summary

- A Quadratic Arithmetic Program  $Q$  of degree  $d$  and size  $m$  consists of polynomials,  $L_1, \dots, L_m, R_1, \dots, R_m, O_1, \dots, O_m$  and a target polynomial  $T$  of degree  $d$
- An assignment  $(c_1, \dots, c_m)$  satisfies  $Q$  if, defining  $L = \sum_{i=1}^m c_i L_i$ ,  $R = \sum_{i=1}^m c_i R_i$ ,  $O = \sum_{i=1}^m c_i O_i$ , and  $P = L \cdot R - O$ , we have that  $T$  divides  $P$
- Alice wants to prove that "I know  $c_1, c_2, c_3$  s.t.  $(c_1 \cdot c_2) \cdot (c_1 + c_3) = 7$ " can be translated into an equivalent statement about polynomials using QAPs

# Background before Pinocchio Protocol

- Alice can send a very short proof to Bob showing she has a satisfying assignment to a QAP
- If Alice know the legal assignment, there exists a polynomial  $H$  such that  $P=H\cdot T$ 
  - in particular  $s\in F_p$ ,  $P(s) = H(s)\cdot T(s)$
- if Alice *doesn't* have a satisfying assignment, but she still constructs  $L,R,O,P$  as above from some unsatisfying assignment  $(c_1,\dots,c_m)$ .
  - Then we are guaranteed that  $T$  does not divide  $P$
  - if  $p$  is much larger than  $2d$ , the prob. that  $P(s)=H(s)\cdot T(s)$  for a randomly chosen  $s\in F_p$  is very small

Schwartz-Zippel Lemma: different polynomials are different at most points  
two different polynomials of degree at most  $2d$  can agree on at most  $2d$  points,  $s\in F_p$

# Pinocchio Protocol

- sketch of proving Alice has a satisfying assignment
  1. Alice chooses polynomials  $L, R, O, H$  of degree at most  $d$
  2. Bob chooses a random point  $s \in \mathbb{F}_p$ , and computes  $E(T(s))$ .
  3. Alice sends Bob the hidings of all these polynomials evaluated at  $s$ , i.e.  $E(L(s)), E(R(s)), E(O(s)), E(H(s))$
  4. Bob checks if the desired equation holds at  $s$ . That is, he checks whether  $E(L(s) \cdot R(s) - O(s)) = E(T(s) \cdot H(s))$



bilinear pairing



# a non-interactive evaluation protocol

- setup: random  $F_r^*$ ,  $s$  are chosen and the common reference string (CRS) is published
  - CRS:  $E(1), E(s^1), E(s^2), \dots, E(s^d)$  and  $E(\alpha), E(\alpha \cdot s), E(\alpha \cdot s^2), \dots, E(\alpha \cdot s^d)$
- Proof: Alice computes  $a = E(P(s))$  and  $b = E(\alpha P(s))$  using the CRS