9. The kinematics and stability of removable blocks

1) Introduction

- Analysis
 - Mode analysis: to distinguish keys + p. keys from stable blocks (R.F.)
 - Stability analysis: to distinguish key blocks from potential key blocks (S.F.)

• Failure mode

- Lifting: move to free space without sliding
- Single face sliding: move to free space with sliding on a plane (joint)
- Double face sliding: move to free space with sliding on two adjacent planes

• Nomenclature

- \vec{r} : resultant force
- \vec{d} : driving force $(\hat{d} = \vec{d} / |\vec{d}|)$
- \hat{n}_i : upward normal vector of plane *i*
- \hat{v}_i : normal vector of plane *i* pointing the inside of a block

2) Failure mode and driving force

- If $\vec{r} \cdot \hat{v}_l > 0$ for all planes, the block is lifting $\rightarrow \vec{d} = \vec{r}$, no supporting plane
- If $\vec{r} \cdot \hat{v}_i \leq 0$ only for the plane l = i, the block is of single face sliding on the plane *i*

$$\rightarrow \hat{d} = \frac{\left(\hat{v}_{i} \times \vec{r}\right) \times \hat{v}_{i}}{\left|\hat{v}_{i} \times \vec{r}\right|} \quad (=\hat{s}_{i})$$
$$\vec{d} = \left(\left|\hat{v}_{i} \times \vec{r}\right| + \left(\hat{v}_{i} \cdot \vec{r}\right) \tan \phi_{i}\right) \hat{d}$$

- If $\vec{r} \cdot \hat{v}_i \le 0$ only for the plane *i* and *j*, the block may be of double face sliding on the plane *i* and *j*

$$\rightarrow \hat{s}_i = \frac{\left(\hat{v}_i \times \vec{r}\right) \times \hat{v}_i}{\left|\hat{v}_i \times \vec{r}\right|}, \quad \hat{s}_j = \frac{\left(\hat{v}_j \times \vec{r}\right) \times \hat{v}_j}{\left|\hat{v}_j \times \vec{r}\right|}$$

If $\hat{s}_i \cdot \hat{v}_j > 0$ and $\hat{s}_j \cdot \hat{v}_i < 0 \rightarrow i$ th plane is a supporting plane (single face sliding) If $\hat{s}_i \cdot \hat{v}_j < 0$ and $\hat{s}_j \cdot \hat{v}_i > 0 \rightarrow j$ th plane is a supporting plane (single face sliding) If $\hat{s}_i \cdot \hat{v}_j < 0$ and $\hat{s}_j \cdot \hat{v}_i < 0 \rightarrow i$ th and *i* th planes are supporting planes (double face sliding)

2) Failure mode and driving force

$$\rightarrow \hat{d} = \frac{\left(\hat{v}_{i} \times \hat{v}_{j}\right)}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|} \operatorname{sign}\left[\hat{v}_{i} \times \hat{v}_{j} \cdot \vec{r}\right] \quad \left(=\hat{s}_{ij}\right)$$

$$\vec{d} = \left(\vec{r} \cdot \hat{s}_{ij} - N_{i} \tan \phi_{i} - N_{j} \tan \phi_{j}\right) \hat{s}_{ij}$$

$$\text{where } N_{i} = \frac{\left|\left(\vec{r} \times \hat{v}_{j}\right) \cdot \left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}} \quad \text{and} \quad N_{j} = \frac{\left|\left(\vec{r} \times \hat{v}_{i}\right) \cdot \left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}}$$

3) JP of each failure mode for a given resultant force

- Lifting

$$\hat{v}_i = \operatorname{sign}\left[\vec{r} \cdot \hat{n}_i\right] \hat{n}_i \text{ for all } i \quad \left(\rightarrow \vec{r} \cdot \hat{v}_i \ge 0 \right)$$

- Single face sliding (sliding plane *i*)

$$\hat{v}_{i} = -\operatorname{sign}\left[\vec{r} \cdot \hat{n}_{i}\right]\hat{n}_{i}$$
$$\hat{v}_{l} = \operatorname{sign}\left[\hat{s} \cdot \hat{n}_{l}\right]\hat{n}_{l} \text{ for } l \neq i$$

- Double face sliding (adjacent sliding planes i, j)

$$\hat{v}_{i} = -\operatorname{sign}\left[\hat{s}_{j} \cdot \hat{n}_{i}\right]\hat{n}_{i}$$
$$\hat{v}_{j} = -\operatorname{sign}\left[\hat{s}_{i} \cdot \hat{n}_{j}\right]\hat{n}_{j}$$
$$\hat{v}_{l} = \operatorname{sign}\left[\hat{s}_{ij} \cdot \hat{n}_{l}\right]\hat{n}_{l} \text{ for } l \neq i, j$$

- Failure mode of JPs with a given \hat{r}

1) Locate \hat{r} , \hat{s}_i , and \hat{s}_{ij}

 \hat{s}_i : one of two intersections made by intersection of the great circle *i*

and $\hat{n}_i - \pm \hat{r}$ passing great circle (closer to \hat{r} between the two points)

 \hat{s}_{ij} : One of two intersections of the *i* th and *j* th great circles (closer to \hat{r})

2) Analyze the failure mode of each JP

Lifting: JP including \hat{r}

Single face sliding: JP including \hat{s}_i and excluding \hat{r} ($\hat{r} \cdot \hat{v}_i < 0$)

Double face sliding: JP including \hat{s}_{ij} and not including \hat{s}_i in the half space of the j th plane

as well as not including \hat{s}_j in the half space of the *i* th plane, which satisfies $\hat{s}_i \cdot \hat{v}_j < 0$ and $\hat{s}_j \cdot \hat{v}_i < 0$

Stables: JPs not belonging to above mentioned modes (there is no sliding vector in it)

(refer to p.309 of the textbook)



- Failure mode of a JP with variable \hat{r}
 - Equilibrium region: location of \hat{r} where the same failure mode is applied
 - A JP consisting of a plane: Fig.9.9
 - A JP consisting of two or more planes: Fig.9.11
 - 1) \hat{w}_i is defined as a normal vector of the *i* th plane pointing outside of a block.

ex) JP = 1100
$$\rightarrow \hat{w}_1 = \hat{n}_1, \hat{w}_2 = \hat{n}_2, \hat{w}_3 = -\hat{n}_3, \hat{w}_4 = -\hat{n}_4$$

- 2) Draw the JP and locate its \hat{c}_{ij} and \hat{w}_i .
- 3) Draw the boundary lines of equilibrium regions.

$$\begin{split} \hat{w}_i \times \hat{c}_{ij} : \text{ upwards } - \hat{w}_i &\to \hat{c}_{ij} \text{ in ccw} \\ \text{ downwards } - \hat{w}_i &\to \hat{c}_{ij} \text{ in cw} \\ \hat{w}_1 - \hat{w}_2, \quad \hat{w}_2 - \hat{w}_4 \text{ ... : draw great circles connecting } \hat{w}_i \\ \text{ and } \hat{w}_j \text{ in the same order of JP forming planes} \end{split}$$

4) Failure mode labelling (Fig.9.11)

Lifting: inside of JP Single face sliding: \hat{c}_{ij} - \hat{c}_{ik} - \hat{w}_i Double face sliding: \hat{w}_i - \hat{w}_j - \hat{c}_{ij} Stable: \hat{w}_i - \hat{w}_j ... \hat{w}_i

5) Drawing friction angle lines $\hat{t}_{ij} = \cos(\phi_i)\hat{w}_i + \sin(\phi_i)\hat{c}_{ij}$

