# 9. The kinematics and stability of removable blocks 

## 1) Introduction

- Analysis
- Mode analysis: to distinguish keys + p. keys from stable blocks (R.F.)
- Stability analysis: to distinguish key blocks from potential key blocks (S.F.)
- Failure mode
- Lifting: move to free space without sliding
- Single face sliding: move to free space with sliding on a plane (joint)
- Double face sliding: move to free space with sliding on two adjacent planes
- Nomenclature
$\vec{r}$ : resultant force
$\vec{d}:$ driving force $(\hat{d}=\vec{d} /|\vec{d}|)$
$\hat{n}_{i}$ : upward normal vector of plane $i$
$\hat{v}_{i}$ : normal vector of plane $i$ pointing the inside of a block


## 2) Failure mode and driving force

- If $\vec{r} \cdot \hat{v}_{l}>0$ for all planes, the block is lifting
$\rightarrow \vec{d}=\vec{r}$, no supporting plane
- If $\vec{r} \cdot \hat{v}_{l} \leq 0$ only for the plane $l=i$, the block is of single face sliding on the plane $i$

$$
\begin{aligned}
\rightarrow \quad \hat{d} & =\frac{\left(\hat{v}_{i} \times \vec{r}\right) \times \hat{v}_{i}}{\left|\hat{v}_{i} \times \vec{r}\right|} \quad\left(=\hat{s}_{i}\right) \\
\vec{d} & =\left(\left|\hat{v}_{i} \times \vec{r}\right|+\left(\hat{v}_{i} \cdot \vec{r}\right) \tan \phi_{i}\right) \hat{d}
\end{aligned}
$$

- If $\vec{r} \cdot \hat{v}_{l} \leq 0$ only for the plane $i$ and $j$, the block may be of double face sliding on the plane $i$ and $j$
$\rightarrow \quad \hat{s}_{i}=\frac{\left(\hat{v}_{i} \times \vec{r}\right) \times \hat{v}_{i}}{\left|\hat{v}_{i} \times \vec{r}\right|}, \quad \hat{s}_{j}=\frac{\left(\hat{v}_{j} \times \vec{r}\right) \times \hat{v}_{j}}{\left|\hat{v}_{j} \times \vec{r}\right|}$
If $\hat{s}_{i} \cdot \hat{v}_{j}>0$ and $\hat{s}_{j} \cdot \hat{v}_{i}<0 \rightarrow i$ th plane is a supporting plane (single face sliding)
If $\hat{s}_{i} \cdot \hat{v}_{j}<0$ and $\hat{s}_{j} \cdot \hat{v}_{i}>0 \rightarrow j$ th plane is a supporting plane (single face sliding)
If $\hat{s}_{i} \cdot \hat{v}_{j}<0$ and $\hat{s}_{j} \cdot \hat{v}_{i}<0 \rightarrow i$ th and $i$ th planes are supporting planes (double face sliding)


## 2) Failure mode and driving force

$$
\begin{aligned}
& \rightarrow \quad \hat{d}=\frac{\left(\hat{v}_{i} \times \hat{v}_{j}\right)}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|} \operatorname{sign}\left[\hat{v}_{i} \times \hat{v}_{j} \cdot \vec{r}\right] \quad\left(=\hat{s}_{i j}\right) \\
& \quad \vec{d}=\left(\vec{r} \cdot \hat{s}_{i j}-N_{i} \tan \phi_{i}-N_{j} \tan \phi_{j}\right) \hat{s}_{i j} \\
& \text { where } N_{i}=\frac{\left|\left(\vec{r} \times \hat{v}_{j}\right) \cdot\left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}} \text { and } N_{j}=\frac{\left|\left(\vec{r} \times \hat{v}_{i}\right) \cdot\left(\hat{v}_{i} \times \hat{v}_{j}\right)\right|}{\left|\hat{v}_{i} \times \hat{v}_{j}\right|^{2}}
\end{aligned}
$$

# 3) JP of each failure mode for a given resultant force 

- Lifting

$$
\hat{v}_{i}=\operatorname{sign}\left[\vec{r} \cdot \hat{n}_{i}\right] \hat{n}_{i} \text { for all } i \quad\left(\rightarrow \vec{r} \cdot \hat{v}_{i} \geq 0\right)
$$

- Single face sliding (sliding plane $i$ )

$$
\begin{aligned}
& \hat{v}_{i}=-\operatorname{sign}\left[\vec{r} \cdot \hat{n}_{i}\right] \hat{n}_{i} \\
& \hat{v}_{l}=\operatorname{sign}\left[\hat{s} \cdot \hat{n}_{l}\right] \hat{n}_{l} \text { for } l \neq i
\end{aligned}
$$

- Double face sliding (adjacent sliding planes $i, j$ )

$$
\begin{aligned}
& \hat{v}_{i}=-\operatorname{sign}\left[\hat{s}_{j} \cdot \hat{n}_{i}\right] \hat{n}_{i} \\
& \hat{v}_{j}=-\operatorname{sign}\left[\hat{s}_{i} \cdot \hat{n}_{j}\right] \hat{n}_{j} \\
& \hat{v}_{l}=\operatorname{sign}\left[\hat{s}_{i j} \cdot \hat{n}_{l}\right] \hat{n}_{l} \text { for } l \neq i, j
\end{aligned}
$$

## 4) Failure mode analysis by using stereographic projection

- Failure mode of JPs with a given $\hat{r}$

1) Locate $\hat{r}, \hat{s}_{i}$, and $\hat{s}_{i j}$
$\hat{s}_{i}$ : one of two intersections made by intersection of the great circle $i$
and $\hat{n}_{i}- \pm \hat{r}$ passing great circle (closer to $\hat{r}$ between the two points)
$\hat{s}_{i j}$ : One of two intersections of the $i$ th and $j$ th great circles (closer to $\hat{r}$ )
2) Analyze the failure mode of each JP

Lifting: JP including $\hat{r}$
Single face sliding: JP including $\hat{s}_{i}$ and excluding $\hat{r}\left(\hat{r} \cdot \hat{v}_{i}<0\right)$
Double face sliding: JP including $\hat{s}_{i j}$ and not including $\hat{s}_{i}$ in the half space of the $j$ th plane as well as not including $\hat{s}_{j}$ in the half space of the $i$ th plane, which satisfies $\hat{s}_{i} \cdot \hat{v}_{j}<0$ and $\hat{s}_{j} \cdot \hat{v}_{i}<0$
Stables: JPs not belonging to above mentioned modes (there is no sliding vector in it) (refer to p. 309 of the textbook)
4) Failure mode analysis by using stereographic projection


## 4) Failure mode analysis by using stereographic projection

- Failure mode of a JP with variable $\hat{r}$

Equilibrium region: location of $\hat{r}$ where the same failure mode is applied
A JP consisting of a plane: Fig.9.9
A JP consisting of two or more planes: Fig.9.11

1) $\hat{w}_{i}$ is defined as a normal vector of the $i$ th plane pointing outside of a block.

$$
\text { ex) } \mathrm{JP}=1100 \rightarrow \hat{w}_{1}=\hat{n}_{1}, \hat{w}_{2}=\hat{n}_{2}, \hat{w}_{3}=-\hat{n}_{3}, \hat{w}_{4}=-\hat{n}_{4}
$$

2) Draw the JP and locate its $\hat{c}_{i j}$ and $\hat{w}_{i}$.
3) Draw the boundary lines of equilibrium regions.

$$
\begin{aligned}
\hat{w}_{i} \times \hat{c}_{i j}: & \text { upwards }-\hat{w}_{i} \rightarrow \hat{c}_{i j} \text { in ccw } \\
& \text { downwards }-\hat{w}_{i} \rightarrow \hat{c}_{i j} \text { in cw } \\
\hat{w}_{1}-\hat{w}_{2}, & \hat{w}_{2}-\hat{w}_{4} \ldots: \text { draw great circles connecting } \hat{w}_{i} \\
& \text { and } \hat{w}_{j} \text { in the same order of JP forming planes. }
\end{aligned}
$$

## 4) Failure mode analysis by using stereographic projection

4) Failure mode labelling (Fig.9.11)

Lifting: inside of JP
Single face sliding: $\hat{c}_{i j}-\hat{c}_{i k}-\hat{w}_{i}$
Double face sliding: $\hat{w}_{i}-\hat{w}_{j}-\hat{c}_{i j}$ Stable: $\hat{w}_{i}-\hat{w}_{j} \ldots \hat{w}_{i}$
5) Drawing friction angle lines $\hat{\mathrm{t}}_{i j}=\cos \left(\phi_{i}\right) \hat{w}_{i}+\sin \left(\phi_{i}\right) \hat{c}_{i j}$


