



Data Structure

Lecture#22: Searching 3 (Chapter 9)

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In This Lecture

- Motivation of collision resolution policy
- Open hashing for collision resolution
- Closed hashing for collision resolution



Hashing

- Given a key k , can we search k in a constant time?
 - Yes!
 - We can do it by hashing. It is faster than binary search, QBS, and sequential search
- Hash table HT is the array that holds the records
 - HT has M slots (slots numbered from 0 to $M-1$)
- Hash function h maps key K to a number (position)
 - $0 \leq h(K) \leq M - 1$
 - E.g., $h(K) = K \% M$
- Goal of a hashing system: arrange things such that for a given key K , and $i = h(K)$, the record for the key K is located in $HT[i]$
 - Then, the searching time would be constant



Hashing

- Goal of a hashing system: arrange things such that for a given key K , and $i = h(K)$, the record for the key K is located in $HT[i]$
- Collision: two different keys k_1 and k_2 map to a slot
 - $h(k_1) = \beta = h(k_2)$
- Finding a record with key value K by hashing:
 - Compute the table location $h(K)$
 - Starting with slot $h(K)$, locate the record containing key K using a collision resolution policy



Collision Resolution

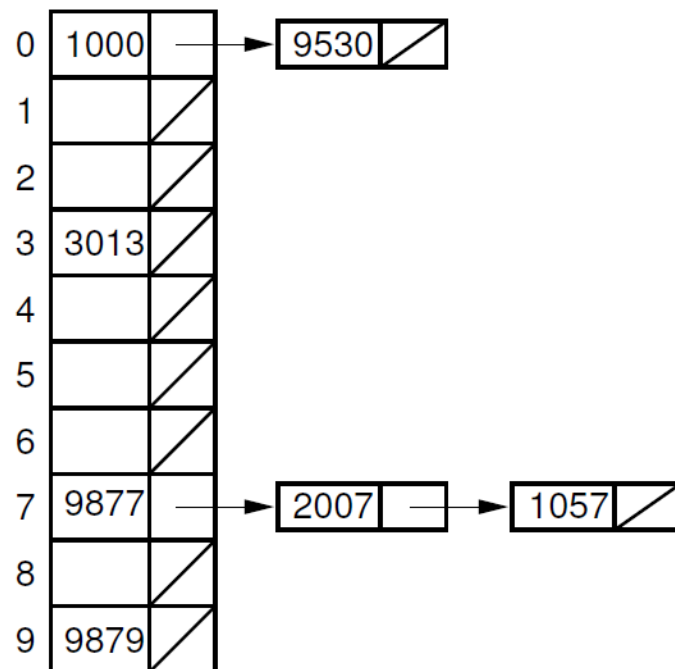
- Collision is unavoidable in many cases. How can we insert an item to hash table in case of collision?

- Collision resolution techniques
 - Open hashing (also called `separate chaining`)
 - Collisions are stored outside the table
 - Closed hashing (also called `open addressing`)
 - Collisions are stored at another slot in the table



Open Hashing

- Open hashing (also called `separate chaining`)
 - Collisions are stored outside the table
 - Limitation: some slots in the table may not be used





Closed Hashing

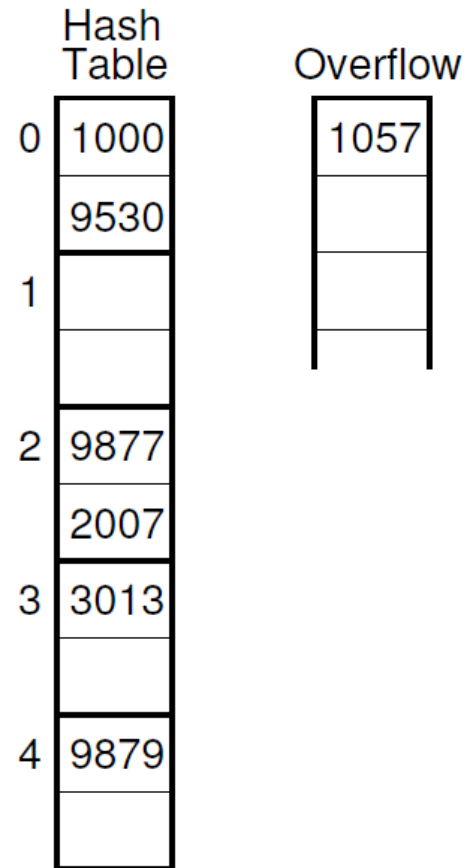
- Closed hashing (also called 'open addressing')
 - Collisions are stored at another slot in the table
 - Each record R with key k_R has a home position $h(k_R)$
 - If another record already occupies R 's home position, R will be stored at some other slot in the table

- Examples
 - Bucket Hashing
 - Linear Probing
 - ...



Bucket Hashing (1)

- Group hash table slots into buckets
 - M slots are divided into B buckets (each bucket: M/B slots)
- Hash function (key \rightarrow bucket number) assigns each record to the first slot in the bucket that the record is mapped to.
 - If the first slot is empty, insert
 - If the first slot is occupied, find the next empty slot in the bucket
 - If all the slots in the bucket are occupied, store in an overflow bucket



Insertion order:

9877, 2007, 1000, 9530, 3013, 9879, 1057

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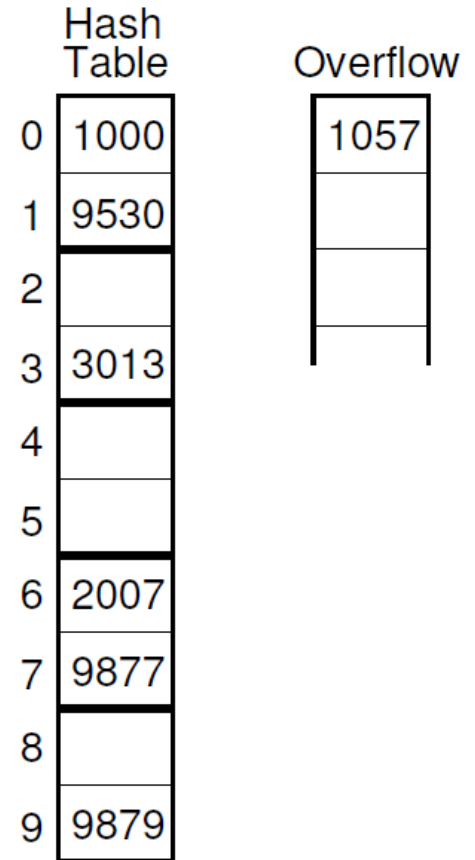
M = 10, B = 5

$h(K) = K \bmod 5$



Bucket Hashing (2)

- A variation on bucket hashing: hash a key to a slot in the hash table as though bucketing were not being used
 - If the slot is empty, insert
 - If the slot is occupied, find the next empty slot in the bucket
 - If all the slots in the bucket are occupied, store in an overflow bucket



Insertion order:

9877, 2007, 1000, 9530, 3013, 9879, 1057

U Kang (2016)

$M = 10, B = 5$

$h(K) = K \bmod 10$



Bucket Hashing (3)

- Bucket hashing vs open hashing?
 - Bucket hashing has more collision => longer running time to search an item
 - Bucket hashing has less storage requirement => less space
- Limitation of Bucket Hashing
 - If a bucket is full, then all the inserts to the bucket will be stored in the overflow bucket, even when the hash table has many empty areas



Linear Probing

- Closed hashing with no bucketing, and a collision resolution policy can use any slot in the hash table
- If the home position is occupied, the new position is determined by $(\text{home} + \text{probe_function}())$
 - The sequence of slots is called 'probe sequence'

```
/** Insert record r with key k into HT */
void hashInsert(Key k, E r) {
    int home; // Home position for r
    int pos = home = h(k); // Initial position
    for (int i=1; HT[pos] != null; i++) {
        pos = (home + p(k, i)) % M; // Next probe slot
        assert HT[pos].key().compareTo(k) != 0 :
            "Duplicates not allowed";
    }
    HT[pos] = new KVpair<Key,E>(k, r); // Insert R
}
```



Linear Probing

- Linear probing: move down i slots in the table
 - $p(K, i) = i$

M = 10

$h(K) = K \bmod 10$

0	9050
1	1001
2	
3	
4	
5	
6	
7	9877
8	2037
9	

(a)

0	9050
1	1001
2	
3	
4	
5	
6	
7	9877
8	2037
9	1059

(b)

**Insertion order:
1001, 9050, 9877, 2037**

1059 is added



Linear Probing

- Problem of linear probing
 - Primary clustering: nonempty slots are clustered, and thus giving unequal probability to empty slots
 - E.g., in the figure below, what is the probability that a random key k will be inserted at slot i ?
 - $P(\text{slot } 2) = 0.6$
 - $P(\text{slot } 3) = P(\text{slot } 4) = P(\text{slot } 5) = P(\text{slot } 6) = 0.1$

0	9050
1	1001
2	
3	
4	
5	
6	
7	9877
8	2037
9	1059



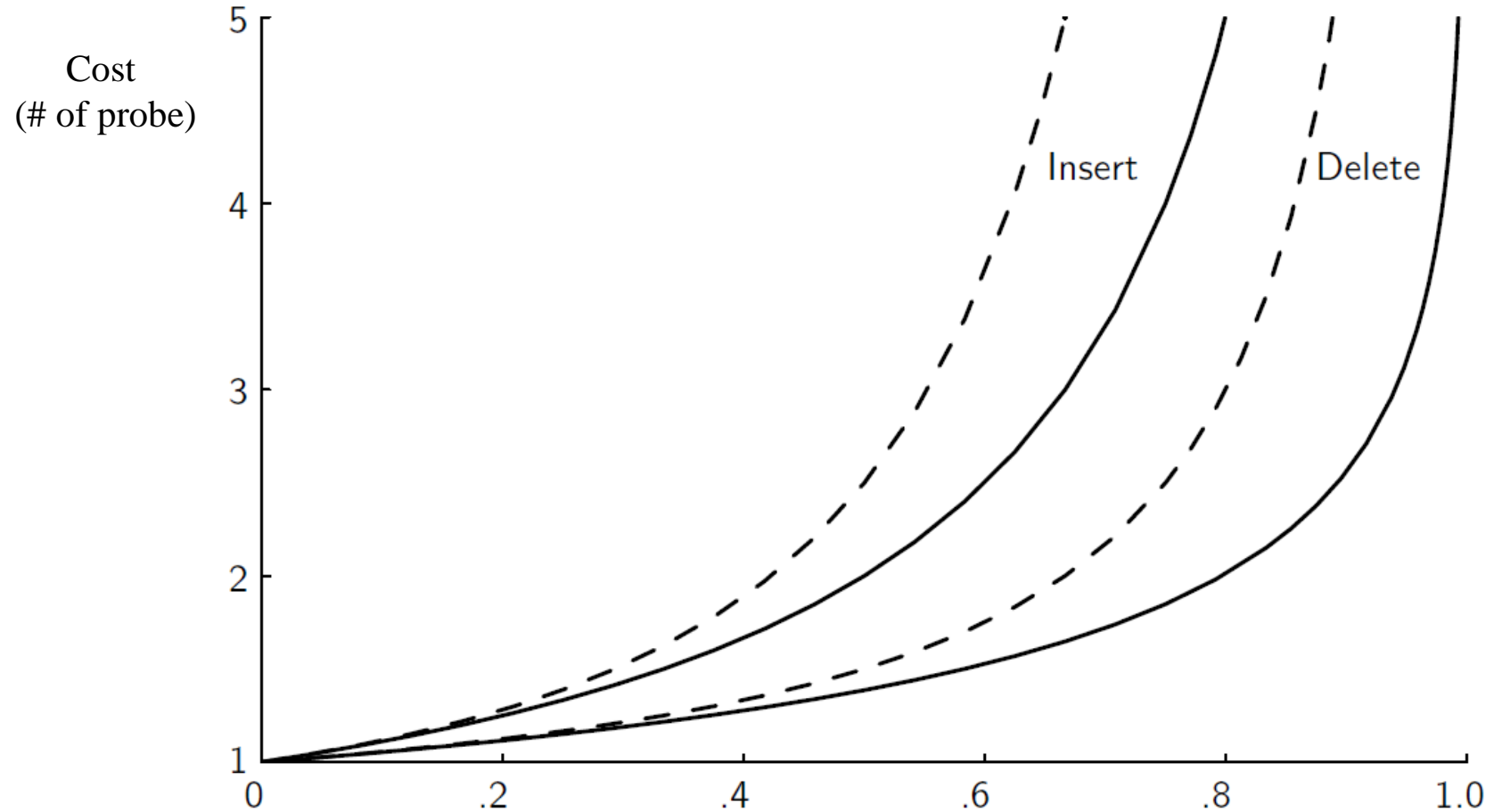
Improved Collision Revision

- Use linear probing, but skip slots by a constant c
 - $p(K, i) = ci$
 - c should be relatively prime to M (why?)
 - Limitation: one section of slots will be used more, if inputs are skewed
 - E.g., if $c = 2$, and accesses are all odd numbers
- Pseudo-random probing
 - $p(K, i) = \text{Perm}[i - 1]$, where Perm is an array of length $M-1$ containing a random permutation of the values from 1 to $M-1$
- Quadratic probing
 - $p(K, i) = c_1i^2 + c_2i + c_3$



Performance of Closed Hashing

Linear Probing Lower Bound Linear Probing Lower Bound





Discussion

- How can we make the probability of collision very small?
 - Open hashing vs. closed hashing
 - Time and space tradeoff
- Open hashing vs. bucket hashing
 - Bucket hashing uses space more efficiently, but has more collisions
- Bucket hashing vs linear probing?



What you need to know

- Collision resolution
 - Hard to avoid collision in most cases
- Open hashing
 - Simple, but some slots may not be used
- Closed hashing
 - Open hashing vs. bucket hashing
 - Bucket hashing vs. linear probing



Questions?