### Fusion Reactor Technology 2 (459.761, 3 Credits)

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### **H-mode: Limitations**

• Stability of H-mode plasmas related safety factor profile: q(r)



 $q_0 < 1$ : Sawtooth instability, periodic flattening of the pressure in the core

#### **q** = 3/2 and **q** = 2:

Neoclassical Tearing Modes (NTMs):

- limit the achievable  $\beta \equiv 2\mu_0 p/B^2$
- degrade confinement (+ disruptions)
- often triggered by sawteeth

ITER work point is chosen conservatively:  $\beta_N \leq 1.8$ 

 $q_{95} (\propto 1/l_p) = 3$ : Safe operation at max.  $l_p$ 

### **MHD Instability**

#### Instabilities limiting beta



R. Buttery, EFPW 05

#### LETTERS

The purpose of this Letters section is to provide rapid dissemination of important new results in the fields regularly covered by The Physics of Fluids. Results of extended research should not be presented as a series of letters in place of comprehensive articles. Letters cannot exceed four printed pages in length, including space allowed for title, figures, tables, references and an abstract limited to about 100 words.

### Island bootstrap current modification of the nonlinear dynamics of the tearing mode

R. Carrera, R. D. Hazeltine, and M. Kotschenreuther Institute for Fusion Studies, The University of Texas at Austin, Austin, Texas 78712-1068

(Received 1 November 1985; accepted 13 January 1986)

A kinetic theory for the nonlinear evolution of a magnetic island in a collisionless plasma confined in a toroidal magnetic system is presented. An asymptotic analysis of a Grad-Shafranov equation including neoclassical effects such as island bootstrap current defines an equation for the time dependence of the island width. Initially, the island bootstrap current strongly influences the island evolution. As the island surpasses a certain critical width the effect of the island bootstrap current diminishes and the island grows at the Rutherford rate. For current profiles such that  $\Delta' < 0$  the island bootstrap current saturates the island.

*R. Carrera et al, Physics of Fluids* **29** 899 (1986)- One of the earliest theoretical paper

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H. Zohm et al., Plasma Phys. Contr. Fusion 37 (1995)

- Neoclassical tearing modes can occur well below ideal limit
- 'practical  $\beta$ -limit' in ITER standard scenario (ELMy H-mode)
- can also lead to disruptive temination (especially at low q)

• Ideal MHD:  $\eta = 0$ 

#### Resistive MHD: $\eta \neq 0$











- Pressure flattening across magnetic islands due to large transport coefficients along magnetic field lines



- Pressure flattening across magnetic islands due to large transport coefficients along magnetic field lines





R. Buttery et al, Plasma Physics and Controlled Fusion (2000)







• At high  $\beta_p$ , pressure gradient drives plasma current by thermo-electric effects (Bootstrap current):

 $j_{\rm BS} \propto \nabla p$ 

• Inside islands  $\nabla p$  and thus  $j_{BS}$  vanish (negative  $\delta j$ )



 Loss of BS current inside magnetic islands (helical hole) acts as helical perturbation current driving the islands – so once seeded, island is sustained by lack of bootstrap current

pressure p(r)

### **Resistive MHD Instabilities**

- growing more slowly compared with the ideal instabilities (10<sup>-4</sup>-10<sup>-2</sup> s)
- resulting from the diffusion or tearing of the magnetic field lines relative to the plasma fluid
- destroying the nested topology of the magnetic flux surfaces



H. P. Furth et al, "Finite-Resistivity Instabilities of a Sheet Pinch" Phys. Fluids 6, 459 (1963)



- Tokamaks have good confinement because the flux surfaces lie on nested tori.
- If current flows preferentially along certain field lines, magnetic islands form
- The plasma is then 'short-circuited' across the island region.
- As a result, the plasma pressure is flattened across the island region, and the confinement is degraded:





### The concept of $\Delta'$

 $\psi$  is almost constant, but has a jump in its

derivative

• We begin by defining the perturbed flux:

 $\delta B = \nabla \phi \times \nabla \psi = B_r \sin m\xi \qquad \psi = \widetilde{\psi} \cos m\xi \qquad B_r = \frac{m\widetilde{\psi}}{rR}$ Away from the rational surface,  $\psi$  is determined by the equations of ideal MHD: a second order differential equation.  $\frac{d\psi}{dr}\Big|_{r=r_s}$ 

- it predicts that  $\psi$  has a discontinuous derivative at  $r=r_s$
- this is conventionally parameterised by  $\Delta'$ :

$$\Delta' = \frac{1}{\psi} \left[ \frac{d\psi}{dr} \Big|_{r \to r_s^+} - \frac{d\psi}{dr} \Big|_{r \to r_s^-} \right]$$



The discontinuous derivative arises because of currents, localised around the rational surface, where ideal MHD breaks down.



Integrate this over a period in  $\xi$  and out to a large distance, l, from the rational surface ( $w < < l < < r_s$ ): basic tearing mode equation.

$$\Delta'\widetilde{\psi} = 2\mu_0 R \int_{-\infty}^{\infty} dx \int d\xi J_{\parallel} \cos m\xi$$

 $x = r - r_s$ 

• The different models for non-linear tearing mode evolution employ different models for  $J_{\parallel}$ .



• Basic 'Rutherford' model: take a simple Ohm's law for  $J_{\parallel}$ 

$$\eta J_{\parallel} = E_{\parallel} = \frac{\partial \widetilde{\psi}}{\partial t} \cos m \xi - \nabla_{\parallel} \varphi$$

- In the absence of perpendicular drifts, perpendicular currents are zero, and so we have  $\nabla \cdot J = \nabla_{\parallel} J_{\parallel} = 0$ , or  $J_{\parallel} = J_{\parallel}(\Omega)$
- Thus, by averaging around flux surfaces <...>, we eliminate  $\varphi$  to derive.  $J_{\parallel} = \frac{1}{\eta} \frac{\partial \widetilde{\psi}}{\partial t} \langle \cos m \xi \rangle \qquad \Delta' \widetilde{\psi} = 2\mu_0 R \int_{-\infty}^{\infty} dx \int d\xi J_{\parallel} \cos m\xi$
- Relating  $\psi$  to the island width, w, we then arrive at Rutherford's eqn:

$$0.82\tau_r \frac{dw}{dt} = r_s^2 \Delta' \qquad \qquad \tau_r = \frac{\mu_0 r_s^2}{\eta} \qquad \qquad w = 2 \left(\frac{q\tilde{\psi}}{RB_\theta dq/dr}\right)^{1/2}$$

 $\rightarrow$  Classical tearing mode with  $\Delta' > 0$ 



- The bootstrap current in banana collisionality regime is approximately:  $J_{bs} = -2.44 \frac{\sqrt{\varepsilon}}{B_o} \frac{dp}{dr}$
- Recall that the pressure gradient is removed from inside the island:
  - there is a 'hole' in the bootstrap current around the island O-points.
  - provides another contribution to  $J_{\parallel}$  perturbation to drive the tearing mode.
    - Using the above expression, we derive the neoclassical tearing mode equation:

$$0.82\frac{\tau_r}{r_s^2}\frac{dw}{dt} = \Delta' + a_2\sqrt{\varepsilon}\frac{\beta_\theta}{w}\frac{L_q}{L_p}$$

$$\beta_{\theta} = \frac{2\mu_0 p}{B_{\theta}^2} \qquad L_p^{-1} = -\frac{d\ln p}{dr} \qquad L_q^{-1} = \frac{d\ln q}{dr}$$



### Neoclassical tearing mode: properties

$$0.82\frac{\tau_r}{r_s^2}\frac{dw}{dt} = \Delta' + a_2\sqrt{\varepsilon}\frac{\beta_\theta}{w}\frac{L_q}{L_p}$$

- For typical tokamak profiles bootstrap contribution *drives* island growth.
- When  $\Delta' < 0$  (Rutherford stable), there exists a stable, saturated island width solution:



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### Saturated island width

- The saturated island width is:  $\frac{W_{sat}}{r_s} = a_2 \sqrt{\varepsilon} \frac{\beta_{\theta}}{(-r_s \Delta')} \frac{L_q}{L_p}$
- The saturated island width increases with  $\beta_{\rho}$ .
  - as the confinement will deteriorate with increasing island size, this sets an effective  $\beta$ -limit in tokamaks.
  - the saturated island width can become a large fraction of the plasma radius, and this can lead to disruption.
  - the saturated island width can reduce with  $\beta_{\rho}$  (see TFTR case) which is different from classical tearing modes.
- As  $\Delta$ ' becomes more negative for increasing poloidal mode number, *m*, it is the lowest *m* modes which are most dangerous ( $r_s \Delta' \sim -m$ ).
- Nevertheless, the above model predicts magnetic islands at all rational surfaces:
  - why does the tokamak work? All the small islands will grow!
  - additional "threshold" physics is important at small island width.

• Critical  $\beta_N$  (beta-limit)



T.C. Hender et al. Nucl. Fusion **44** 788 (2004)

- Critical beta for m/n=2/1 NTM: scales with  $\rho_i^*$ ,  $\nu^*$ 



# Threshold effects: small island width physics

- For sufficiently small island widths, the pressure is not completely flattened inside the island.
  - $\Rightarrow$  the bootstrap current drive is not so effective for small islands.
  - $\Rightarrow$  we refer to this as 'finite radial diffusion effects'.

- The expression for the bootstrap current is based on an expansion in the ratio of the banana width to the equilibrium length scales
  - $\Rightarrow$  the theory must therefore be questioned for islands with a width comparable to the ion banana width.
  - $\Rightarrow$  we refer to this as 'finite orbit width effects'.

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### Fitzpatrick Model for Transport Threshold

- The connection length  $L_c$  is the distance along a field line from one side of the island to the other - i.e. the route for the enhanced transport that flattens the temperature.  $L_c \sim 1/w$  so the **enhanced transport is reduced for small islands**.
- When w is close to a critical width w<sub>c</sub>, both the flattening and hence the bootstrap drive are reduced, giving rise to a threshold.



### Finite radial diffusion: (Kieran will discuss more detail)

- For a simple illustration, consider diffusive electron heat fluxes parallel and perpendicular to field lines:  $Q_{\parallel} = -\chi_{\parallel} \nabla_{\parallel} T$   $Q_{\perp} = -\chi_{\perp} \nabla_{\perp} T$
- In the absence of heat sources  $abla\cdot\mathbf{Q}\cdot\mathbf{Q}$  =0, so that
- Now  $\chi_{\parallel} >> \chi_{\perp}$   $\chi_{\parallel} \nabla_{\parallel}^2 T + \chi_{\perp} \nabla_{\perp}^2 T = 0$ 
  - $\Rightarrow$  generally radial diffusion can be ignored
  - $\Rightarrow \nabla_{\parallel} T=0$ , so that the temperature is flattened across the island
- However, the gradient operators depend on island size:
  - $\nabla_{\perp} \sim \partial / \partial r \sim 1/w$ -  $\nabla_{\parallel} = (\mathbf{B} \cdot \nabla) / B \sim mw / (RqL_a)$

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- Balancing terms  $\Rightarrow$  radial diffusion is important for  $w < w_{\chi}$ , where  $w_{\chi} = \sqrt{\frac{RqL_q}{m}} \left(\frac{\chi_{\perp}}{\chi_{\parallel}}\right)^{1/4}$  the island width for which perpendicular and parallel transport are equal.
- Needs much more care for ion thermal transport and particle transport

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### Finite radial diffusion: Threshold

• Thus, for sufficiently small islands,  $w < w_{\chi}$ , the temperature is not flattened across the island, and the bootstrap drive is weakened:

$$0.82\frac{\tau_r}{r_s^2}\frac{dw}{dt} = \Delta' + a_2\sqrt{\varepsilon}\frac{\beta_\theta}{w}\frac{L_q}{L_p}\left(\frac{w^2}{w^2 + w_\chi^2}\right)$$



- For  $\beta_{\theta} < \beta_{\chi c}$ , dw/dt < 0 for all  $w \Rightarrow$  all islands decay away.
- For  $\beta_{\theta} > \beta_{\chi c}$ , an additional, unstable, root for dw/dt=0 at  $w=w_{\chi c}$ .
  - ⇒ an island will only grow to its saturated state provided.

$$w > w_{\chi c}$$
 AND  $\beta_{\theta} > \beta_{\chi c}$ 

 $\Rightarrow$  a 'seed' island is required .

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### **Finite orbit width effects**

- For small islands of width comparable to the ion banana width, ions and electrons respond differently to the island:
  - an electrostatic potential is required to maintain quasi-neutrality in the vicinity of the island.
  - ions and electrons experience  $\mathbf{E} \times \mathbf{B}$  drifts.
  - the ions experience an orbit averaged drift, which differs from the local drift experienced by the electrons for island width~ion banana width.
  - a perpendicular current is generated; this is the polarisation current.
  - the polarisation current is not divergence-free.
  - sets up an electric field to drive a current parallel to field lines.
  - this current can influence island evolution.
- The theory is still under development
- Consider island width much greater that the ion banana width
  - led to the inclusion of the so-called 'polarisation term' in the modified Rutherford equation.





**Polarisation term** 

• Allowing for the polarisation term, the modified Rutherford equation is:

$$0.82 \frac{\tau_r}{r_s^2} \frac{dw}{dt} = \Delta' + a_2 \sqrt{\varepsilon} \frac{\beta_{\theta}}{w} \frac{L_q}{L_p} \left( \frac{w^2}{w^2 + w_{\chi}^2} \right) - a_3 g(\varepsilon, v_i) \left( \frac{\rho_{bi}}{w} \right)^2 \left( \frac{L_q}{L_p} \right)^2 \frac{\beta_{\theta}}{w}$$

$$\frac{dw}{dt}$$

$$\frac{dw}{dt}$$

$$g(\varepsilon, v_i) = \begin{cases} 1.64\varepsilon^{1/2} & v_i / \varepsilon \omega <<1\\\varepsilon^{-1} & v_i / \varepsilon \omega >>1 \end{cases}$$
Note: 
$$w_c = \sqrt{\frac{a_3}{3a_2}} \frac{\sqrt{g(\varepsilon, v_i)}}{\varepsilon^{1/4}} \sqrt{\frac{L_p}{L_q}} \rho_{bi}$$

$$\beta_{\theta c} = \frac{3\sqrt{3}}{2a_2\sqrt{\varepsilon}} \frac{L_p}{L_q} (-w_c \Delta') \propto \rho_*$$

- In general, the full story is more complicated.
- The transport and polarisation terms interact (especially ion thermal and particle transport).



- Both the transport model and the polarisation current provide a threshold island width comparable to the ion banana width:
  - Kinetic theory with full ion banana widths is essential
- This provides a rich, essentially unexplored vein of physics.
- Gyrokinetic models are being developed to address this issue.



#### Consider various helical currents on resonant surface...

$$B_{\theta}(r_{s}^{+}) - B_{\theta}(r_{s}^{-}) \propto \delta I = I_{Ohm} + I_{bs} + I_{extern}$$

$$I_{Ohm} \propto j_{Ohm} W \propto \sigma W \frac{d\psi}{dt} \propto \sigma W^2 \frac{dW}{dt} \qquad \text{inductive}$$

$$I_{bs} \propto j_{bs} W \propto -\frac{\nabla p}{B_{\theta}} W \qquad \text{pressure driven}$$

I<sub>extern</sub>

externally driven

#### ...leads to the so-called Rutherford equation

$$\tau_{res} \frac{dW}{dt} = a_1 \Delta' + a_2 \frac{\nabla p}{W} - a_3 \frac{I_{extern}}{W^2}$$

where 
$$\Delta' = (B_{\theta}(r_s^+) - B_{\theta}(r_s^-)) / \psi$$





Interpretation of the different terms

$$\tau_{res} \frac{dW}{dt} = a_1 \Delta' + a_2 \frac{\nabla p}{W} - a_3 \frac{I_{extern}}{W^2}$$

- for small ∇p, current gradient (Δ') dominates
   ⇒ 'classical Tearing Mode', current driven
- for larger ∇p, pressure gradient dominates:
   ⇒ 'neoclassical Tearing Mode', pressure driven
- adding an externally driven helical current can stabilise

#### How to stabilize NTM?

If the modes cannot be avoided, a limit of  $\beta_N = 2 - 2.2 \ (\beta_p \approx 0.7)$  is predicted for ITER since, at this beta value, the saturated island size at the q=2 surface is of the order of the distance to the edge and will cause a disruption. However, due to the very large resistive time in ITER, the island will need 150-200 s to grow to its full size. Therefore one has operational time to detect the mode and end the discharge before the disruption, since one needs less than 30 s to decrease  $\beta$  by a factor 10,<sup>39</sup> or control the final saturated size with an external feedback mechanism. Such a system could be ECCD, in order to replace the reduction of the bootstrap current inside the island. We have shown that this is possible with 50 MW of ECRH power, using the present design specifications for the gyrotrons. However, this needs to be first demonstrated in the present experiments. Other possible control mechanisms are keeping  $q_0 > 1$ , reverse shear configurations, since the neoclassical tearing modes are stable for q' < 0, and profile control since they are very sensitive to local parameters.



Helical current can be driven by electron cyclotron resonance waves Deposition controlled by local B-field  $\Rightarrow$  very good localisation Feedback control of position possible via launch angle of ECCD beam

2.60 R[m]

The Modified Rutherford Equation (MRE)

1<sup>st</sup> : Conventional tearing mode stability: assumed as  $\Delta_0 r_s \approx -m$  for m/n NTM

2<sup>nd</sup>: Tearing mode stab. enhancement by ECCD: Westerhof's model with no-island assumption

ng me :  $s = \frac{5\pi^{3/2}}{32} a_2 \frac{L_q}{\delta_{ec}} F(e) \frac{j_{ec}}{j_{\parallel}} \tau \text{, where the missing}$ estabilization from perturbed bootstrap current: fitted by inferred size of saturated NTM island (e.g. ISLAND) fitted by inferred size of saturated ntm island (e.g. ISLAND) from small island & polarization threshold: width)  $\delta \Delta r_s \approx -\frac{5\pi^{3/2}}{32}a_2\frac{L_q}{\delta}F(e)\frac{j_{ec}}{i}\tau$ , where the misalignment function  $F(e) = 1 - 2.43e + 1.40e^2 - 0.23e^3$ 

3<sup>rd</sup>: Destabilization from perturbed bootstrap current:

 $a_{2}$ 

4<sup>th</sup>: Stabilization from small island & polarization threshold:

 $w_{mara} \approx 2\varepsilon^{1/2} \rho_{\theta i}$  (= twice ion banana width)

5<sup>th</sup>: Stabilization from replacing bootstrap current by ECCD:

 $\mathbf{K}_{1}$  calculated from improved Perkins' current drive model



R. J. La Haye et al, Nuclear Fusion 46 451 (2006) 37

#### • JT-60U







 Complete stabilisation by searching the position of the magnetic island by scanning magnetic field in quantitative agreement with theory.

1<sup>st</sup> Paper: G. Gantenbein et al, PRL 85 1242 (2000) 39



Target: a discharge that disrupts due to an early (2,1) NTM  $(q = 3.9, \beta_N = 2.6)$ 

B. Esposito et al, Nucl. Fusion (2011) 40



Disruption avoidance by ECRH

 SDEX Upgrade
 MW of ECCD sufficient to avoid disruption, prepare safe landing
 note: discharge never recovers performance – need to develop strategy
 analysis of 'scalability' ongoing
 B. Esposito et al, Nucl. Fusion (2011) 41



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 Feedback control simulation with minimum seeking method in KSTAR (island growth rate control)

Minhwa Kim et al, Nuclear Fusion **55** 023006 (2015)

• KSTAR

#### **KSTAR**

#6272



Kyungjin Kim et al, Current Appl. Phys. **15** 547 (2015) 44

• KSTAR

**KST**AR

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Kyungjin Kim et al, Current Appl. Phys. **15** 547 (2015)

• KSTAR



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Kyungjin Kim et al, Current Appl. Phys. **15** 547 (2015)



Courtesy from R. J. La Haye, APS (2005)







### **Development issues on plasma MHD con**trol



### References

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