#### Fusion Reactor Technology 2 (459.761, 3 Credits)

# **Prof. Dr. Yong-Su Na** (32-206, Tel. 880-7204)



- Particle transport



density: *n* 

The heat and momentum fluxes can be estimated in the similar fashion.

Г

 $\rightarrow \nabla n$ 

 $n(x+\Lambda x)$ 

#### **Plasma Transport Classical Diffusion** The Free Encyclopedia - Momentum transport Momentum flux $\pi_{\alpha\beta} = -\eta \frac{\partial v_y}{\partial x}$ $\eta \sim \frac{mn(\Delta x)^2}{2} \sim mnD$ : viscosity coefficient y dimension boundary plate (2D, moving) velocity, u - Heat transport shear stress, gradient, $\frac{\partial u}{\partial v}$ fluid Heat flux $q = -\kappa \frac{\partial T}{\partial x}$ : Fourier's law boundary plate (2D, stationary) $F = \mu A \frac{u}{v}, \ \tau = \mu \frac{du_x}{dv}$

 $\kappa \sim \frac{n(\Delta x)^2}{m} \sim nD$  : thermal conductivity

 $\boldsymbol{\tau}$ 

 $\mu$ : dynamic viscosity

- Classical Transport
  - Particle transport in weakly ionised plasmas

$$D = \frac{(\Delta x)^2}{2\tau}$$

Estimate transport coefficients:  $\Delta x$  from mean free path

$$\Delta x = \lambda_m = \frac{1}{n_n \sigma}$$

$$\frac{ct}{n_n \pi d^2 ct} = \frac{1}{n_n \pi d^2} = \frac{1}{n_n \sigma} : \text{ particle approach}$$

$$\Gamma = \Gamma_0 e^{-n_n \sigma x} \equiv \Gamma_0 e^{-x/\lambda_m} : \text{ fluid approach}$$





#### Classical Transport

- Particle transport in weakly ionised plasmas

$$D = \frac{(\Delta x)^2}{2\tau}$$

Estimate transport coefficients:  $\tau$  from collision frequency with neutrals





#### Classical Transport

- Particle transport in weakly ionised plasmas

$$\Gamma_{j} = nv_{j} = \pm \mu_{j} nE - D_{j} \nabla n$$

$$\mu \equiv \frac{|q|}{mv} \qquad : \text{Mobility}$$

$$D = \frac{kT}{mv} \sim v_{th}^{2} \tau \sim \frac{\lambda_{m}^{2}}{mv} \qquad : \text{Diffusion coefficients}$$

: Diffusion coefficient

**Ambipolar Diffusion** 

mv

$$\Gamma = -D_a \nabla n$$
$$D_a \equiv \frac{\mu_i D_e + \mu_e D_i}{\mu_i + \mu_e} \sim D_i + \frac{T_e}{T_i} D_i$$

au

#### Classical Transport

- Particle transport in weakly ionised plasmas with magnetic field

$$\vec{\Gamma}_{\perp j} = \vec{n} \vec{v}_{\perp j} = \pm \mu_{\perp j} \vec{nE} - D_{\perp j} \nabla n + \frac{n(v_E + v_D)}{1 + (v^2 / \omega_c^2)}$$

$$\mu_{\perp} \equiv \frac{\mu}{1 + \omega_c^2 \tau^2} \qquad : \text{Mobility}$$
$$D_{\perp} = \frac{D}{1 + \omega_c^2 \tau^2} = \frac{kTv}{m\omega_c^2} \sim v_{th}^2 \frac{r_L^2}{v_{th}^2} \sim \frac{r_L^2}{\tau} : \text{Diffusion coefficient}$$

#### Classical Transport

- Particle transport in fully ionised plasmas with magnetic field

$$\Gamma_{\perp} = nv_{\perp} = -D_{\perp}\nabla n$$
$$D_{\perp} = \frac{\eta_{\perp}n\sum kT}{B^{2}}$$

 $\tau$  from collision frequency

$$v_{ee} \approx v_{ei} \propto \frac{ne^4}{\sqrt{m_e T_e^{3/2}}}$$
$$v_{ie} = \left(\frac{m_e}{m_i}\right) v_{ee}$$
$$v_{ii} = \left(\frac{m_e}{m_i}\right)^{1/2} \left(\frac{T_e}{Ti}\right)^{3/2} v_{ee}$$



#### Classical Transport

- Classical thermal conductivity (expectation):  $\chi_i \sim 40\chi_e$
- Typical numbers expected: 10<sup>-4</sup> m<sup>2</sup>/s
- Experimentally found: 1 m<sup>2</sup>/s,  $\chi_i \sim \chi_e$

$$D_{\perp} = \frac{1}{16} \frac{kT_e}{eB}$$

#### Classical Transport



 $au_{E}$  in various types of discharges in the Model C Stellarator

*F. F. Chen, "Introduction to Plasma Physics and Controlled Fusion" (2006)* 

#### • Braginskii Equations



 $p_e = n_e T_e, \quad p_i = n_i T_i$ 

#### • Transport / Closure Theories

	Braginskii	Neoclassical transport	Unified Closure (Ji)
Collisionality	High	High: PS Low: banana	General
Magnetic field strength	General	Strong	Strong
Magnetic geometry	General	Nested	General
Collision operator	Landau	Landau	Landau

Jeong-Young Ji, Lecture at SNU, 2012

#### • Braginskii Equations

- Transfer of momentum from ions to electrons by collisions

 $\mathbf{R} = \mathbf{R}_u + \mathbf{R}_T$ 

 $\mathbf{R}_{u}$ : force of friction due to the existence of a relative velocity

 $u = v_e - v_i$ 

$$\begin{split} \mathbf{R}_{u} &= -\frac{m_{e}n_{e}}{\tau_{e}}(0.51\mathbf{u}_{\parallel} + \mathbf{u}_{\perp}) = en\left(\frac{\mathbf{j}_{\parallel}}{\sigma_{\parallel}} + \frac{\mathbf{j}_{\perp}}{\sigma_{\perp}}\right) \\ \mathbf{R}_{T} &= -0.71n_{e}\nabla_{\parallel}T_{e} - \frac{3}{2}\frac{n_{e}}{\omega_{e}\tau_{e}}\left(\frac{\mathbf{B}}{B}\times\nabla T_{e}\right) \\ \mathbf{G}_{\perp} &= \frac{e^{2}n_{e}\tau_{e}}{m_{e}} = \sigma_{1}T_{e}^{3/2} \\ \sigma_{\parallel} &= 1.96\sigma_{\perp} = 1.96\sigma_{1}T_{e}^{3/2} \\ \sigma_{1} &= \frac{0.9\times10^{13}}{(\lambda/10)Z} \left[s^{-1}\cdot eV^{-3/2}\right] \end{split}$$

#### **Braginskii Equations** •

- Heat flux

$$\mathbf{q}_e = \mathbf{q}_u^e + \mathbf{q}_T^e$$

$$\begin{split} \mathbf{q}_{u}^{e} &= 0.71 n_{e} T_{e} \mathbf{u}_{\parallel} + \frac{3}{2} \frac{n_{e} T_{e}}{\omega_{e} \tau_{e}} \left( \frac{\mathbf{B}}{B} \times \mathbf{u} \right) & \kappa \sim n D \\ \mathbf{q}_{T}^{e} &= -\kappa_{\parallel}^{e} \nabla_{\parallel} T_{e} - \kappa_{\perp}^{e} \nabla_{\perp} T_{e} - \frac{5}{2} \frac{n_{e} T_{e}}{eB} \left( \frac{\mathbf{B}}{B} \times \nabla T_{e} \right) \\ \kappa_{\parallel}^{e} &= 3.16 \frac{n_{e} T_{e} \tau_{e}}{m_{e}}, \quad \kappa_{\perp}^{e} = 4.66 \frac{n_{e} T_{e}}{m_{e} \omega_{e}^{2} \tau_{e}} \\ \mathbf{q}_{i} &= -\kappa_{\parallel}^{i} \nabla_{\parallel} T_{i} - \kappa_{\perp}^{i} \nabla_{\perp} T_{i} + \frac{5}{2} \frac{n_{i} T_{i}}{ZeB} \left( \frac{\mathbf{B}}{B} \times \nabla T_{i} \right) & \omega_{i} \tau_{i} \gg 1 \\ \kappa_{\parallel}^{i} &= 3.9 \frac{n_{i} T_{i} \tau_{i}}{m_{i}}, \quad \kappa_{\perp}^{i} = 2 \frac{n_{i} T_{i}}{m_{i} \omega_{i}^{2} \tau_{i}} \end{split}$$

$$D_{\perp} = \frac{kTv}{m\omega_c^2}$$

$$\kappa \sim nD$$

#### • Braginskii Equations

- Heat generated as a consequence of collisions

$$Q_{i} = Q_{\Delta} = \frac{3m_{e}}{m_{i}} \frac{n_{e}}{\tau_{e}} (T_{e} - T_{e})$$

$$Q_{e} = -\mathbf{R}\mathbf{u} - Q_{\Delta} = \frac{j_{\parallel}^{2}}{\sigma_{\parallel}} + \frac{j_{\perp}^{2}}{\sigma_{\perp}} + \frac{1}{en_{e}} \mathbf{j}\mathbf{R}_{T} - \frac{3m_{e}}{m_{i}} \frac{n_{e}}{\tau_{e}} (T_{e} - T_{e})$$

- Stress tensor in the absence of a magnetic field

$$\pi_{\alpha\beta} = nm \left\langle v_{\alpha}' v_{\beta}' - (v'^2 / 3) \delta_{\alpha\beta} \right\rangle = -\eta_0 W_{\alpha\beta}$$

Rate of strain tensor

viscosity coefficient

$$W_{\alpha\beta} = \frac{\partial v_{\alpha}}{\partial x_{\beta}} + \frac{\partial v_{\beta}}{\partial x_{\alpha}} - \frac{2}{3} \delta_{\alpha\beta} \nabla \cdot \mathbf{v}$$

#### • Braginskii Equations

In a strong magnetic field  $\omega \tau >> 1$ 

$$\begin{aligned} \pi_{zz} &= -\eta_0 W_{zz} \\ \pi_{xx} &= -\eta_0 \frac{1}{2} (W_{xx} + W_{yy}) - \eta_1 \frac{1}{2} (W_{xx} - W_{yy}) - \eta_3 W_{xy} \\ \pi_{yy} &= -\eta_0 \frac{1}{2} (W_{xx} + W_{yy}) - \eta_1 \frac{1}{2} (W_{yy} - W_{xx}) + \eta_3 W_{xy} \\ \pi_{xy} &= \pi_{yx} = -\eta_1 W_{xy} + \eta_3 \frac{1}{2} (W_{xx} - W_{yy}) \\ \pi_{xz} &= \pi_{zx} = -\eta_2 W_{xz} - \eta_4 W_{yz} \\ \pi_{yz} &= \pi_{zy} = -\eta_2 W_{yz} + \eta_4 W_{xz} \end{aligned}$$

$$D_{\perp} = \frac{kT\nu}{m\omega_c^2} \quad \eta \sim mnD$$

$$\eta_{0}^{i} = 0.96n_{i}I_{i}\tau_{i}$$
$$\eta_{1}^{i} = \frac{3}{10}\frac{n_{i}T_{i}}{\omega_{i}^{2}\tau_{i}}, \quad \eta_{2}^{i} = 4\eta_{1}^{i}$$
$$\eta_{3}^{i} = \frac{1}{2}\frac{n_{i}T_{i}}{\omega_{i}}, \quad \eta_{4}^{i} = 2\eta_{3}^{i}$$

viscosity coefficients

$$\eta_{0}^{e} = 0.73 n_{e} T_{e} \tau_{e}$$
  
$$\eta_{1}^{e} = 0.51 \frac{n_{e} T_{e}}{\omega_{e}^{2} \tau_{e}}, \quad \eta_{2}^{e} = 4 \eta_{1}^{e}$$
  
$$\eta_{3}^{e} = -\frac{1}{2} \frac{n_{e} T_{e}}{\omega_{e}}, \quad \eta_{4}^{i} = 2 \eta_{3}^{e}$$

#### • Braginskii Equations

- Heat generated as a result of viscosity

$$Q_{\rm vis} = -\pi_{\alpha\beta} \frac{\partial v_{\alpha}}{\partial x_{\beta}} = -\frac{1}{2} \pi_{\alpha\beta} W_{\alpha\beta}$$

# **Individual Charge Trajectories**

#### **Invariant of Motion**

$$\frac{d}{dt}E_{0} = \frac{d}{dt}\left(\frac{1}{2}mv_{\perp}^{2} + \frac{1}{2}mv_{\parallel}^{2}\right) = 0 \qquad \mu = \frac{mv_{\perp}^{2}/2}{B} \qquad m\frac{d\mathbf{v}_{\parallel}}{dt} = -\frac{\mu}{v_{\parallel}}\frac{d\mathbf{B}}{dt}$$
$$\mathbf{F}_{\parallel} = m\frac{d\mathbf{v}_{\parallel}}{dt} = -\mu\nabla_{\parallel}\mathbf{B} = -\mu\frac{\partial\mathbf{B}}{\partial s} = -\mu\frac{\partial\mathbf{B}}{\partial s}\cdot\frac{ds}{dt}\cdot\frac{1}{v_{\parallel}} = -\frac{\mu}{v_{\parallel}}\frac{d\mathbf{B}}{dt} \longrightarrow \frac{d}{dt}\left(\frac{1}{2}mv_{\parallel}^{2}\right) = -\mu\frac{dB}{dt}$$
$$\longrightarrow \frac{d}{dt}\left(\frac{1}{2}mv_{\perp}^{2} + \frac{1}{2}mv_{\parallel}^{2}\right) = \frac{d}{dt}(\mu B) + \left(-\mu\frac{dB}{dt}\right) = 0$$
$$\longrightarrow \frac{d}{dt}(\mu) = 0: \text{ adiabatic invariant} - \frac{\Gamma_{L}}{B}\nabla_{\parallel}B < -1$$
$$-\frac{1}{\omega_{c}B}\frac{dB}{dt} < 1$$



#### Enrico Fermi (1901-1954)

Nobel Laureate in physics in 1938 Cf. Marshall Rosenbluth (Doctoral student)



CP-1 (Chicago Pile-1, the world's first human-made nuclear reactor) and Drawings from the Fermi–Szilárd "neutronic reactor" patent

PHYSICAL REVIEW

#### VOLUME 75, NUMBER 8

APRIL 15, 1949

#### On the Origin of the Cosmic Radiation

ENRICO FERMI Institute for Nuclear Studies, University of Chicago, Chicago, Illinois (Received January 3, 1949)

A theory of the origin of cosmic radiation is proposed according to which cosmic rays are originated and accelerated primarily in the interstellar space of the galaxy by collisions against moving magmetic fields. One of the features of the theory is that it yields naturally an inverse power law for the spectral distribution of the cosmic rays. The chief difficulty is that it fails to explain in a straightforward way the heavy nuclei observed in the primary radiation.

The path of a fast proton in an irregular magnetic field of the type that we have assumed will be represented very closely by a spiraling motion around a line of force. Since the radius of this spiral may be of the order of  $10^{12}$  cm, and the irregularities in the field have dimensions of the order of  $10^{18}$  cm, the cosmic ray will perform many turns on its spiraling path before encountering an appreciably different field intensity. One finds by an elementary discussion that as the particle approaches a region where the field intensity increases, the pitch of the spiral will decrease. One finds precisely that

$$\sin^2\vartheta/H \approx \text{constant},$$
 (12)

where  $\vartheta$  is the angle between the direction of the line of force and the direction of the velocity of the particle, and H is the local field intensity. As the particle approaches a region where the field intensity is larger, one will expect, therefore, that the angle  $\vartheta$  increases until sin $\vartheta$  attains the maximum possible value of one. At this point the particle is reflected back along the same line of force and spirals backwards until the next region of high field intensity is encountered. This process will be called a "Type A" reflection. If the magnetic field were static, such a

$$E_{0} = \frac{1}{2}mv_{\perp}^{2} = \frac{1}{2}mv_{\perp}^{2} + \frac{1}{2}mv_{\parallel}^{2} = const.$$
$$\mu = \frac{\frac{1}{2}mv_{\perp}^{2}}{B} = \frac{\frac{1}{2}mv^{2}\sin^{2}\theta}{B} = const.$$

reflection would not produce any change in the kinetic energy of the particle. This is not so, however, if the magnetic field is slowly variable. It may happen that a region of high field intensity moves toward the cosmic-ray particle which collides against it. In this case, the particle will gain energy in the collision. Conversely, it may happen that the region of high field intensity moves away from the particle. Since the particle is much faster, it will overtake the irregularity of the field and be reflected backwards, in this case with loss of energy. The net result will be an average gain, primarily for the reason that head-on collisions are more frequent than overtaking collisions because the relative velocity is larger in the former case.

#### HW: Derive (13)

$$\frac{w'}{w} = \frac{1 + 2B\beta\cos\vartheta + B^2}{1 - B^2},\tag{13}$$

where  $\beta c$  is the velocity of the particle,  $\vartheta$  is the angle of inclination of the spiral, and Bc is the velocity of the perturbation. It is assumed that the

#### Fermi as a genuine scientist

spectral distribution of the cosmic rays. The chief difficulty is that it fails to explain in a straightforward way the heavy nuclei observed in the primary radiation.

The present theory is incomplete because no satisfactory injection mechanism is proposed except for protons which apparently can be regenerated at least in part in the collision processes of the cosmic radiation itself with the diffuse interstellar matter. The most serious difficulty is in the injection process for the heavy nuclear component of the radiation. For these particles the injection energy is very high and the injection mechanism must be correspondingly efficient.

some equivalent mechanism. With respect to the injection of heavy nuclei I do not know a plausible answer to this point.

Condition for Trapping of Particles

$$E_{0} = \frac{1}{2} m v_{\parallel}^{2} + \frac{1}{2} m v_{\perp}^{2} = \frac{1}{2} m v_{\parallel}^{2} + \mu B = \frac{1}{2} m (v_{\parallel}^{2})_{max} + \mu B_{min}$$

$$F_{\parallel} = -\frac{1}{2} \frac{m v_{\perp}^{2}}{B} \nabla_{\parallel} B = -\mu \nabla_{\parallel} B$$

$$F_{\parallel} = -\frac{1}{2} \frac{m v_{\perp}^{2}}{B} \nabla_{\parallel} B = -\mu \nabla_{\parallel} B$$

$$F_{\parallel} = -\frac{1}{2} \frac{m v_{\perp}^{2}}{B} \nabla_{\parallel} B = -\mu \nabla_{\parallel} B$$

$$V_{\parallel}^{2} = \frac{2E_{0}}{m} - v_{\parallel}^{2}$$

Condition for trapping of particles

$$v_{\parallel}\Big|_{B \le B_{\max}} = 0 \longrightarrow E_0 = \frac{1}{2} m (v_{\parallel}^2)_{\max} + \mu B_{\min} \le 0 + \mu B_{\max}$$

Condition for Trapping of Particles



#### Mirror Ratio





Why are particles reflected in the increased field of the mirrors?

$$\mathbf{F}_{\parallel} = -\frac{1}{2} \frac{m v_{\perp}^2}{B} \nabla_{\parallel} B = -\mu \nabla_{\parallel} B$$

Why are particles reflected in the increased field of the mirrors?

Adiabatic invariant 
$$\mu = \frac{mv_{\perp}^2/2}{B}$$
  $\frac{d}{dt}(\mu) = 0$ 

$$\mathbf{F}_{\parallel} = -\frac{1}{2} \frac{m \mathbf{v}_{\perp}^2}{B} \nabla_{\parallel} B = -\mu \nabla_{\parallel} B$$





- Neoclassical theory of transport
- A. A. Galeev and R. Z. Sagdeev
   *"Transport phenomena in a collisionless plasma in a toroidal magnetic system", Zhurnal Experimentalnoi i Teoreticheskoi Fiziki* 53 348 (1967)
- Major changes arise from toroidal effects characterised by inverse aspect ratio,  $\varepsilon = a/R_0$

Particle Trapping

 $\nabla \cdot B = 0$ 

Inverse aspect ratio  $\varepsilon = a/R_0$ 

Condition for trapping of particles

$$\frac{\left(v_{\parallel}^{2}\right)_{\max}}{\left(v_{\perp}^{2}\right)_{\min}} = \left(\frac{v_{\parallel}^{2}}{v_{\perp}^{2}}\right)_{mid-plane} \leq \frac{B_{\max}}{B_{\min}} - 1 = \frac{\frac{B_{0}}{1-\varepsilon}}{\frac{B_{0}}{1+\varepsilon}} - 1 = \frac{2\varepsilon}{1-\varepsilon} \sim 2\varepsilon$$
$$\Rightarrow \quad v_{\parallel}^{2} \leq 2\varepsilon v_{\perp}^{2}$$

#### Particle Trapping

 Particle trapping by magnetic mirrors trapped particles with banana orbits untrapped (transit or passing) particles with circular orbits

- Trapped fraction: 
$$f_{trap} = \sqrt{1 - \frac{1}{R_m}} = \sqrt{1 - \frac{B_{\min}}{B_{\max}}} = \sqrt{1 - \frac{1 - \varepsilon}{1 + \varepsilon}} = \sqrt{\frac{2\varepsilon}{1 + \varepsilon}} \sim \sqrt{\varepsilon}$$

for a typical tokamak,  $\varepsilon \sim 1/3 \rightarrow f_{trap} \sim 70\%$ 



#### Particle Trapping



#### **HOMEWORK:**

- The real particle trajectory is as shown. Why?
- In ST, B is small, what is the particle trajectory like?





#### Particle Trapping



J. P. Graves et al, Nature Communications 3 624 (2012)

Particle Trapping



J. P. Graves et al, Nature Communications **3** 624 (2012)

#### Particle Trapping



- With known particle trajectories it is possible to find corresponding kinetic coefficients by solving the kinetic equations with Coulomb collisions.
  - Rough estimation of transport coefficients:  $\delta^2 v_{eff}$ 
    - $\delta$ : particle displacement between collisions
    - $v_{eff}$ : appropriate frequency of collisions

- Particle Trapping
- Collisional excursion across flux surfaces untrapped particles:  $2r_g = 2r_{Li}$ trapped particles:  $\Delta r_{trap} >> 2r_g$

- enhanced radial diffusion across the confining magnetic field



Untrapped

Δr<sub>trap</sub>

Trapped

 If the fraction of trapped particle is large, this leakage enhancement constitutes a substantial problem in tokamak confinement.

Particle Trapping



trapped particles

Passing (transit) particles

Banana width:

$$\Delta x_{tr} \approx v_d t \approx q r_L / \sqrt{\varepsilon}$$

*t*: transit time of one half of the banana

$$v_d = \frac{v_{\parallel}^2 + v_{\perp}^2 / 2}{\omega_c R}, \quad v_{\parallel} \sim v_{\perp} \sqrt{\varepsilon}$$

R

 $q = \frac{rB_T}{RB_{\theta}}, r_L = \frac{v_T}{\omega_c}, v_T = \sqrt{2T/m}$ 

Displacement of transit particles:

$$\Delta x_{pass} \approx qr_L / \sqrt{\varepsilon}$$

$$\Delta x_{pass} \approx qr_L$$

for particles which have just become transit ones  $v_{\parallel} \sim v_{\perp} \sqrt{\varepsilon}$ for a typical particle  $v_{\parallel} \sim v_{\perp}$
### References

- Francis F. Chen, "Introduction to Plasma Physics and Controlled Fusion", 2<sup>nd</sup> Edition, Plenum Press, New York (1984)
- Acad. M. A. Leontovich et al, "Reviews of Plasma Physics, Volume 1", Consultants Bureau, New York (1965)
   Jeffrey P. Freidberg, "Plasma Physics and Fusion Energy", Cambridge University Press (2007)
- Hartmut Zohm, "Tokamaks: Equilibrium, Stability and Transport", IPP Summer University on Plasma Physics, Garching, 18 September, 2001

### **Plasma Transport**

- Classical Transport
  - Particle transport

$$n(x) = n(x_0) + \frac{\partial n}{\partial x}\Big|_{x=x_0} (x - x_0)$$

$$\Gamma_{+} = \frac{1}{2} \int_{0^{-}\Delta x/2}^{x_{0}} \frac{1}{\tau} n(x) dx = \frac{1}{4} \left[ n(x_{0}) - \frac{\partial n}{\partial x} \Delta x \right] \frac{\Delta x}{\tau}$$
  
$$\Gamma_{-} = \frac{1}{2} \int_{0^{+}\Delta x/2}^{x_{0}} \frac{1}{\tau} n(x) d(-x) = \frac{1}{4} \left[ n(x_{0}) + \frac{\partial n}{\partial x} \Delta x \right] \frac{\Delta x}{\tau}$$



$$\Gamma = \Gamma_{+} - \Gamma_{-} = -\frac{(\Delta x)^{2}}{2\tau} \frac{\partial n}{\partial x} = -D \frac{\partial n}{\partial x} : \text{Particle flux- Fick's law}$$
$$D = \frac{(\Delta x)^{2}}{2\tau} : \text{ diffusion coefficient (m2/s)}$$

The heat and momentum fluxes can be estimated in similar fashion.

### Fusion Reactor Technology 2 (459.761, 3 Credits)

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#### Neoclassical Transports

$$\Gamma = -D\nabla n \approx -\frac{(\Delta r)^2}{\tau}\nabla n$$
 : Fick's law



- Neoclassical Transports
- In the Pfirsch-Schlueter region,

$$j \times B = \nabla p \rightarrow j_{\perp} = -B^{-1}dp/dr$$

 $E + v \times B = \eta j \rightarrow e \eta_{\perp} j_{\perp} = e v B$ 

- Diffusion flux in a uniform field

$$nv = -n\frac{1}{B^2}\eta_{\perp}\frac{dp}{dr}$$

$$D_{\perp} = \frac{\eta_{\perp} n \sum kT}{B^2}$$

 Modified diffusion flux by the additional flux due to longitudinal current, so-called, the Pfirsch-Schlueter current owing to the toroidal effect

$$nv = -n \frac{1}{B^2} \eta_{\perp} \frac{dp}{dr} \left( 1 + \frac{2\eta_{\parallel}}{\eta_{\perp}} q^2 \right) \qquad \eta_{\perp} \approx 2\eta_{\parallel} \text{ in H and D plasmas}$$

- Compared with a uniform magnetic field, the flux in toroidal plasma is enhanced by a factor  $(1+q^2)$ .

D. Pfirsch and A. Schlueter, Der Einfluss der elecktrischen Leitfaehigkeit auf das Gleichgewichtsverhalten von Plasmen niedrigen Drucks in Stellaratoren, Max-Planck-Institut, Report MPI/PA/7/62 (1962)

Friction force = Lorentz force (ExB drift not contributing to diffusion)

#### Pfirsch-Schlüter Current



Diamagnetic current or current needed to establish an equilibrium,  $J \times B = \nabla p$ 

$$\vec{J}_{\perp} = \frac{B \times \nabla p}{B^2} = \left(\frac{IB}{B^2} - R\hat{\varphi}\right) \frac{dp}{d\psi} \neq 0$$

$$\left| \overrightarrow{J}_{\perp} \right| = \left| \frac{\nabla p}{B} \right|$$

 $\left|J_{\perp}^{A}\right| > \left|J_{\perp}^{B}\right| \rightarrow \text{charge separation}$ 

No charge accumulation on a flux surface (quasineutrality)

 $\longrightarrow \nabla J = 0 \longrightarrow J_{\parallel}$  needed: Pfirsch-Schlüter current

• Pfirsch-Schlüter Current (J. Wesson, Tokamaks)

$$j_{\perp} = \frac{1}{B} |\nabla p| = -\frac{1}{B} \frac{dp}{d\psi} |\nabla \psi| = -\frac{RB_p}{B} \frac{dp}{d\psi} = -\frac{RB_p}{B} \frac{dp}{d\psi} = -\frac{RB_p}{B} p'$$

$$j_p = -\frac{B_p}{B} j_{\parallel} - \frac{B_{\phi}}{B} j_{\perp} \qquad j_p = \frac{dF}{d\psi} B_p = F'B_p$$

$$\nabla \times E = -\frac{\partial B}{\partial t} \rightarrow -qE_{ps} ds = 0$$

$$\eta_{\parallel} j_{\parallel} = \frac{B_p}{B} E_{ps} + \frac{B_{\phi}}{B} E_{\phi}$$

$$F' = \frac{j_{\parallel}}{B} + \frac{\mu_0 Fp'}{B^2} = \frac{1}{B^2 \eta_{\parallel} / B_p} E_{ps} + \frac{E_{\phi} B_{\phi} / B_p}{\eta_{\parallel} B^2 / B_p} + \frac{\mu_0 Fp' / B_p}{B^2 / B_p}$$

$$= \mu_0 Fp' \frac{\langle 1/B_p \rangle}{\langle B^2 / B_p \rangle} + \frac{\langle E_{\phi} B_{\phi} / B_p \rangle}{\eta_{\parallel} \langle B^2 / B_p \rangle} \qquad \langle x \rangle = q x ds / q ds$$

• Pfirsch-Schlüter Current (J. Wesson, Tokamaks)

$$j_{\parallel} = \mu_{0} F p' \left( \frac{1}{B} - \frac{\left\langle 1 / B_{p} \right\rangle}{\left\langle B^{2} / B_{p} \right\rangle} B \right) + \frac{\left\langle E_{\phi} B_{\phi} / B_{p} \right\rangle}{\eta_{\parallel} \left\langle B^{2} / B_{p} \right\rangle} B$$
$$j_{PS} = \mu_{0} F p' \left( \frac{1}{B} - \frac{\left\langle 1 / B_{p} \right\rangle}{\left\langle B^{2} / B_{p} \right\rangle} B \right)$$

For the circular CX large aspect ratio toroidal configuration

$$j_{PS} = -2\frac{1}{B_{\theta}}\frac{r}{R}\frac{dp}{dr}\cos\theta \qquad \qquad B_{\phi} = \frac{B_{0}}{1+\varepsilon\cos\theta}$$

- This flow is dominant in the SOL region (high collisionality regime).
- Pfirsch-Schlüter current removes the main part of the charge separation caused by the curvature and gradient drifts (but residual charge separation still causes transport)

- Shafranov Shift
- The Pfirsch-Schlüter current produces vertical field B<sub>Z,0</sub>
- → Plasma shifted outwards
- → Shafranov shift

$$\Delta \approx \frac{R}{B_0 \iota} B_{Z,0}$$



• Pfirsch-Schlüter Diffusion (J. Wesson, Tokamaks)

$$\begin{aligned} \mathbf{v}_{\perp} &= \frac{E_{PS}B_{\phi} - E_{\phi}B_{p}}{B^{2}} - \frac{\eta_{\perp}\nabla_{\perp}p}{B^{2}} \quad \longleftarrow \quad \mathbf{v}_{\perp} = \frac{E \times B}{B^{2}} - \frac{\eta_{\perp}\nabla p}{B^{2}} \\ &= \frac{B_{\phi}}{B_{p}B}\eta_{\parallel}j_{\parallel} - \frac{\eta_{\perp}\nabla_{\perp}p}{B^{2}} - \frac{E_{\phi}}{B_{p}} \quad \longleftarrow \quad \eta_{\parallel}j_{\parallel} = \frac{B_{p}}{B}E_{ps} + \frac{B_{\phi}}{B}E_{\phi} \\ &= \frac{B_{\phi}}{B_{p}B}\eta_{\parallel}j_{PS} - \frac{\eta_{\perp}\nabla_{\perp}p}{B^{2}} + \frac{1}{B_{p}}\left(\frac{\left\langle E_{\phi}B_{\phi}/B_{p}\right\rangle}{\left\langle B^{2}/B_{p}\right\rangle}B_{\phi} - E_{\phi}\right) \ll j_{\parallel} = j_{PS} + \frac{\left\langle E_{\phi}B_{\phi}/B_{p}\right\rangle}{\eta_{\parallel}\left\langle B^{2}/B_{p}\right\rangle}B_{\phi} \end{aligned}$$

$$\Gamma = 2\pi n \int v_{\perp} R ds = 2\pi n \langle v_{\perp} R \rangle \int ds$$

$$\langle v_{\perp} R \rangle_{PS} = -\eta_{\parallel} \mu_0 F p' \left\langle \frac{RB_{\phi}}{B_p} \left( \frac{1}{B^2} - \frac{\langle 1/B_p \rangle}{\langle B^2/B_p \rangle} \right) \right\rangle \longleftrightarrow j_{PS} = \mu_0 F p' \left( \frac{1}{B} - \frac{\langle 1/B_p \rangle}{\langle B^2/B_p \rangle} B \right)$$

• Pfirsch-Schlüter Diffusion (J. Wesson, Tokamaks)

For the circular CX large aspect ratio toroidal configuration

$$\frac{\langle v_{\perp}R\rangle_{PS}}{R_0} = -2\left(\frac{r}{R}\right)^2 \eta_{\parallel} \frac{dp/dr}{B_{\theta}^2} \qquad \qquad j_{PS} = -2\frac{1}{B_{\theta}}\frac{r}{R}\frac{dp}{dr}\cos\theta$$

$$\frac{\langle v_{\perp}R\rangle}{R_0} = -\frac{dp/dr}{B^2} (\eta_{\perp} + 2q^2\eta_{\parallel}) - \frac{E_{\phi}B_{\theta}}{B^2} \quad < \qquad q = \frac{rB_{\phi}}{RB_{\theta}}$$

$$D = D^{C} \left( 1 + 2 \frac{\eta_{\parallel}}{\eta_{\perp}} q^{2} \right) \qquad D^{c} = \frac{\eta_{\perp} n \sum kT}{B^{2}}$$

### Neoclassical Transports Rarefied plasma at high temperature:

trapped particles are the main contributors to transport.

Diffusion and thermal conductivity are dominated by the collisions which correspond to transferring the particles from being trapped to

transit ones and vice versa.  $\lambda_{eff} = v_T / v_{eff} >> qR, \ \Delta \approx \Delta x_{tr} \approx qr_L / \sqrt{\varepsilon}$ 

- Effective collision frequency:

$$v_{eff} \approx (v_{\perp} / v_{\parallel})^2 v \approx v / \varepsilon \quad < \quad v_{\parallel} \sim v_{\perp} \sqrt{\varepsilon}$$

- Transport coefficients:  $\Delta x_{tr} \approx v_d t \approx qr_L / \sqrt{\varepsilon}$  $\sqrt{\varepsilon} (\Delta x_{tr})^2 v_{eff} = \varepsilon^{-3/2} v q^2 r_L^2 \quad \longleftarrow \quad \frac{\Delta x_{tr}}{\text{Trapped particle fraction}} = \varepsilon^{1/2}$
- The banana diffusion region is limited by the condition:  $\sqrt{\varepsilon} \lambda_{eff} = \sqrt{\varepsilon} v_T / v_{eff} = \varepsilon^{3/2} v_T / v >> qR$  <---- Trapped particle fraction =  $\varepsilon^{1/2}$  $v^* = v \varepsilon^{-3/2} q R / v_T \ll 1$

#### Neoclassical Transports

- In the plateau region,  $1 < v^* < \varepsilon^{-3/2}$  $(\varepsilon^{3/2} < vRq/v_T < 1 \text{ or } \varepsilon^{3/2}v_T/Rq < v < v_T/Rq$ )
  - The average collision frequency is less than the mean bounce frequency  $\rightarrow$  only slow-transit particles contribute to the transport
  - The relative number of slow-transit particles:  $v/v_{T}$
- Displacement:  $\Delta \approx qr_L v_T / v$
- Effective collision frequency:  $v_{eff} \approx v v_T^2 / v^2$
- Transport coefficients:

Neoclassical Transports

$$\Gamma = -D\nabla n \approx -\frac{(\Delta r)^2}{\tau} \nabla n : \text{Fick's law}$$
$$q = -\kappa \nabla T \approx -\frac{(\Delta r)^2 n}{\tau_F} \nabla T : \text{Fourier's law}$$

Thermal diffusivity



#### Ware Pinch

VOLUME 25, NUMBER 1

#### PHYSICAL REVIEW LETTERS

6 July 1970

#### PINCH EFFECT FOR TRAPPED PARTICLES IN A TOKAMAK

A. A. Ware

University of Texas, Austin, Texas 78712 (Received 11 May 1970)

Conservation of canonical angular momentum is shown to require that all trapped particles drift towards the magnetic axis with velocity  $cE_{\varphi}/B_{\theta}$  ( $E_{\varphi}$  is the toroidal electric field;  $B_{\theta}$  the poloidal magnetic field). This property, plus an amplification process for the number of trapped particles, will explain the relaxation oscillations which occur for q < 3. In addition, there is experimental evidence that it is an important contribution to the good containment when q > 3.

- Inward particle transport due to the toroidal electric field

- Ware Pinch (J. Wesson, Tokamaks)
- The inward flow occurs for trapped particles and their behaviour follows directly from the toroidal equation of motion.

$$\frac{d}{dt}(m_j v_{\phi}) = e_j \left[ E_{\phi} + (v \times B)_{\phi} \right]$$

Zero steady state time average of the left-hand side term for trapped particles (the integral between bounces is zero)

$$\left< \left( v \times B \right)_{\phi} \right> = - E_{\phi}$$

$$\langle v_{\perp} \rangle = - \frac{E_{\phi}}{B_{\theta}} \quad < \qquad (v \times B)_{\phi} = v_{\perp} B_{\theta}$$

$$\Gamma \sim \varepsilon^{1/2} n \frac{E_{\phi}}{B_{\theta}}$$
 <---- Trapped particle fraction =  $\varepsilon^{1/2}$ 

- Ware Pinch (J. Wesson, Tokamaks)
- The modified equation of motion along the magnetic field line

$$\frac{d^{2}s}{dt^{2}} = -\omega_{b}^{2}s + \frac{e_{j}E_{\phi}}{m_{j}}$$

$$\implies s = s_{b}\sin\omega_{b}t + \frac{e_{j}E_{\phi}}{m_{j}\omega_{b}^{2}}$$

$$\theta = \theta_{b}\sin\omega_{b}t + \frac{e_{j}B_{\theta}E_{\phi}}{m_{j}\omega_{b}^{2}rB} \iff s = (B/B_{\theta})r\theta$$
mean angle:  $\overline{\theta} = \frac{e_{j}B_{\theta}E_{\phi}}{m_{j}\omega_{b}^{2}rB}$ 

The effect of the  $\nabla B$  and curvature drift is not symmetric about the mid-plane

• Ware Pinch (J. Wesson, Tokamaks)



Resulting radial velocity for deeply trapped particles for which  $\theta$  is small

$$v_{r} = -v_{dj} \sin \theta$$

$$\sim -v_{dj}\theta = -v_{dj}\theta_{b} \sin \omega_{b}t - \frac{e_{j}v_{dj}B_{\theta}}{m_{j}\omega_{b}^{2}rB}E_{\phi}$$

$$\langle v_{r} \rangle = -\frac{\omega_{cj}v_{dj}B_{\theta}}{\omega_{b}^{2}rB}E_{\phi} = -\frac{E_{\phi}}{B_{\theta}} \iff v_{dj} = \frac{1}{2}m_{j}v_{\perp}^{2}/e_{j}RB, \quad \omega_{b} = (v_{\perp}/qR)(r/2R)^{1/2}$$

• Ware Pinch (J. Wesson, Tokamaks)



- The drift velocity is controlled by the balance of two forces, the electrical field force and the Lorentz force.
  - $v_{W} \sim 0.2$  m/s for E = 0.1 V/m,  $B_{\theta} = 0.5$  T
  - The effect is much larger  $(1/\epsilon^2)$  for trapped particles than that experienced by passing particles. **HW: Why?**

#### Bootstrap current

야후!   도움말   로그인	통합검색 통합사전	
YAHOO! 통합사전	bootstrap	▼ 검색
[NEW] 통합사전 영어사전 일어사전	백과사전 국어사전 한자사전	

#### 영머사전

bootstrap [búːtstræp] ④ PLAY ④ @ 단어장에 추가
 1.(편상화의) 손잡이 가죽.
 2.<재귀용법으로> 노력하여 [자기]를 어떤 상태로 되게 하다.
 3.자동(식)의; 자급(自給)의; 자력의.

- Named after the reported ability of Baron von Manchausen to lift
  - himself by his bootstraps (Raspe, 1785)
  - Suggested with 'Alice in Wonderland' in mind where the heroine

```
managed to support herself in the air by her shoelaces.
http://en.wikipedia.org/wiki/Bootstrapping
```

#### Bootstrap

#### **MEANING:**

verb tr.: To help oneself with one's own initiative and no outside help. noun: Unaided efforts. adjective: Reliant on one's own efforts.

#### **ETYMOLOGY:**

While pulling on bootstraps may help with putting on one's boots, it's impossible to lift oneself up like that. Nonetheless the fanciful idea is a great visual and it gave birth to the idiom "to pull oneself up by one's (own) bootstraps", meaning to better oneself with one's own efforts, with little outside help. It probably originated from the tall tales of Baron Münchausen who claimed to have lifted himself (and his horse) up from the swamp by pulling on his own hair.



Baron Münchausen lifting himself up from the swamp by his own hair Illustrator: Theodor Hosemann

In computing, booting or bootstrapping is to load a fixed sequence of instructions in a computer to initiate the operating system.

Earliest documented use: 1891.1

http://wordsmith.org/words/bootstrap.html 19

#### Bootstrap

"I was still a couple of miles above the clouds when it broke, and with such violence I fell to the ground that I found myself stunned, and in a hole nine fathoms under the grass, when I recovered, hardly knowing how to get out again. Looking down, I observed that I had on a pair of boots with exceptionally sturdy straps. Grasping them firmly, I pulled with all my might. Soon I had hoist myself to the top and stepped out on terra firma without further ado." - With acknowledgement to R. E. Raspe, *Singular Travels, Campaigns and Ad*-

*ventures of Baron Munchausen*, 1786. Edition edited by J. Carswell. London: The Cresset Press, 1948. Adapted from the story on p. 22(???).

#### **Bootstrap current**

### **Diffusion Driven Plasma Currents and Bootstrap Tokamak**

the usual toroidal coordinates. Then in the regime of low b y collision frequency and in the absence of any driving electric **R**. J. field, steady state diffusion is accompanied by a toroidal current R UKAEA Rei density of magnitude

 $j = -A\left(\frac{r}{R}\right)^{1/2}\frac{1}{R_0} \frac{\mathrm{d}p}{\mathrm{d}r}$ (1)

ment

toroid where A is a coefficient whose value depends on the exact the m to may collision operator but is of order unity, and p is the plasma currer pressure. of Tokamak machine which operates in a steady state, unlike present pulsed designs.

*Nature Physical Science* **229** 110 (1971)

#### Bootstrap current







- More & faster particles on orbits nearer the core (green .vs. blue) lead to a net "banana current".
- This is transferred to a helical bootstrap current via collisions.

24

#### Bootstrap Current

 Trapped-electron orbits and schematics of the velocity distribution function in a collisionless tokamak plasma



Small Coulomb collision smoothes the gap and causes particle diffusion in the velocity space. Collisional pitch angle scattering at the trappeduntrapped boundary produces unidirectional parallel flow/momentum input and is balanced by the collisional friction force between electrons



25

#### Bootstrap Current

- The trapped electron magnetization current



- Uniform temperature
- Infinitely massive ions

#### Bootstrap Current

- The passing electron magnetization current

$$J_{p} \approx -e \frac{\partial f_{e}(r_{0}, \mathbf{v})}{\partial r_{0}} \Delta r v_{\parallel} d\mathbf{v}, \quad v_{\parallel} > 0$$

 $\Delta r \approx qr_L$ 

$$J_{p} = -\frac{m_{e}q}{B_{0}} \int \frac{\partial F_{M}}{\partial r_{0}} v_{\perp} v_{\parallel} d\mathbf{v}, \quad v_{\parallel} > 0$$
$$= -\frac{3}{2} q \frac{T}{B_{0}} \frac{\partial n}{\partial r} \int_{c}^{\pi/2} \sin^{2} \theta \cos \theta d\theta$$
$$\approx -q \frac{T}{B_{0}} \frac{\partial n}{\partial r}$$



#### Bootstrap Current

- The collision-driven bootstrap current

$$\begin{split} \left(\Delta P_{\parallel}\right)_{t} &= m_{e}u_{t}n_{t}\overline{v}_{tp} = m_{e}\left(-\frac{J_{t}}{en_{t}}\right)n_{t}\overline{v}_{tp} \approx -\frac{m_{e}}{e}J_{t}\frac{\overline{v}_{ee}}{\varepsilon} \approx qT\varepsilon^{-1/2}\frac{\partial n}{\partial r}\frac{\overline{v}_{ee}}{|\omega_{ce}|} \\ \left(\Delta P_{\parallel}\right)_{p} &= m_{e}u_{p}n_{p}\overline{v}_{pt} = m_{e}\left(-\frac{J_{p}}{en_{p}}\right)n_{p}\overline{v}_{pt} \approx -\frac{m_{e}}{e}J_{p}\overline{v}_{ee} \approx qT\frac{\partial n}{\partial r}\frac{\overline{v}_{ee}}{|\omega_{ce}|} \\ \left(\Delta P_{\parallel}\right)_{p} \neq \left(\Delta P_{\parallel}\right)_{t} \quad \begin{array}{c} \text{Collisional momentum} \\ \text{balance violated} \end{array} \quad \left(\Delta P_{\parallel}\right)_{p} = \varepsilon^{1/2}\left(\Delta P_{\parallel}\right)_{t} \\ f_{p}\left(r_{g},\mathbf{v}\right) &= \frac{n\left(r_{g}\right)}{\pi^{3/2}v_{T}^{3}}\exp\left(-\frac{v_{\perp}^{2}+v_{\parallel}^{2}}{v_{\perp}^{2}}\right) \rightarrow \frac{n\left(r_{g}\right)}{\pi^{3/2}v_{T}^{3}}\exp\left[-\frac{v_{\perp}^{2}+\left(v_{\parallel}-u_{B}\right)^{2}}{v_{\perp}^{2}}\right] \quad \begin{array}{c} \text{Shifted} \\ \text{Maxwellian} \\ \approx \frac{n\left(r_{g}\right)}{\pi^{3/2}v_{T}^{3}}\left[1+\frac{v_{\parallel}}{|v_{\parallel}|}\left(\frac{1}{n}\frac{\partial n}{\partial r}\right)\left(\Delta r\right)_{p}+2\frac{v_{\parallel}u_{B}}{v_{T}^{2}}\right]\exp\left[-\frac{v_{\perp}^{2}+v_{\parallel}^{2}}{v_{\perp}^{2}}\right] \end{split}$$

 The shift must be in the passing particles since the trapped particles are "trapped" and thus are not allowed to drift toroidally. 28

Bootstrap Current

$$\left(\Delta P_{\parallel}\right)_{p} \approx m_{e}\left(-\frac{J_{p}}{e}+n_{p}u_{B}\right)\overline{v}_{ee}$$

the usual toroidal coordinates. Then in the regime of low collision frequency and in the absence of any driving electric field, steady state diffusion is accompanied by a toroidal current density of magnitude

$$j = -A\left(\frac{r}{R}\right)^{1/2} \frac{1}{B_{\theta}} \frac{\mathrm{d}p}{\mathrm{d}r}$$
(1)

where A is a coefficient whose value depends on the exact collision operator but is of order unity, and p is the plasma pressure.

$$\left(\Delta P_{\parallel}\right)_{p} = \left(\Delta P_{\parallel}\right)_{t} \qquad qT \frac{\partial n}{\partial r} \frac{\overline{v}_{ee}}{|\omega_{ce}|} + m_{e} u_{B} n_{p} \overline{v}_{ee} = qT \varepsilon^{-1/2} \frac{\partial n}{\partial r} \frac{\overline{v}_{ee}}{|\omega_{ce}|}$$

$$J_{B} = -en_{p}u_{B} \approx -q\varepsilon^{-1/2}\frac{T}{B_{0}}\frac{\partial n}{\partial r}$$

 $1/\epsilon$  and  $1/\epsilon^{1/2}$  larger than the trapped and passing particle magnetization current, respectively

$$J_{B} = -4.71q\varepsilon^{-1/2}\frac{T}{B_{0}}\left[\frac{\partial n}{\partial r} + 0.04\frac{n}{T}\frac{\partial T}{\partial r}\right]$$

Large aspect ratio Circular CX Non-massive ions Non-uniform temperature

 A transport driven toroidal plasma current carried by the passing electrons generated by collisional friction with the trapped electron

magnetization current

#### Bootstrap Current

where the coefficients are given in Eqs. (13)–(17) as functions of  $f_t$ , Eq. (12),  $\nu_{e*}$  and  $\nu_{i*}$ , Eq. (18), and Z. Note that the parallel current can also be written as follows, assuming  $\partial \ln n_e / \partial \psi = \partial \ln n_i / \partial \psi$ .

$$\begin{split} \langle j_{\parallel}B \rangle &= \sigma_{\rm neo} \langle E_{\parallel}B \rangle - I(\psi)p(\psi) \bigg[ \mathcal{L}_{31} \frac{\partial \ln n_e}{\partial \psi} + R_{pe}(\mathcal{L}_3) \\ &+ \mathcal{L}_{32}) \frac{\partial \ln T_e}{\partial \psi} + (1 - R_{pe}) \\ &\times \bigg( 1 + \frac{\mathcal{L}_{34}}{\mathcal{L}_{31}} \alpha \bigg) \mathcal{L}_{31} \frac{\partial \ln T_i}{\partial \psi} \bigg]. \end{split}$$

As  $\mathcal{L}_{31} \approx \mathcal{L}_{34} \approx -0.5$ ,  $\mathcal{L}_{32} \approx 0.2$ ,  $\alpha \approx -0.5$ , and  $R_{pe} \approx 0.5$ , one sees that the coefficient of the bootstrap current driven by the density gradient is about -0.5, while it is around -0.15 for  $T_e$  and -0.1 for  $T_i$ . Therefore, density gradients are more efficient in driving bootstrap current, which can be significant for the neoclassical tearing modes as mentioned in Ref. 3.

#### - Sauter pop 99

#### **Bootstrap Current**

Bootstrap current fraction

$$f_B(r) \equiv \frac{J_B}{J_{\phi}} \approx -1.18G\varepsilon^{1/2}\beta_P \sim \varepsilon^{1/2}\beta_P$$
$$G(r) = (\ln n + 0.04\ln T)' / (\ln rB_{\phi})'$$

In high- $\beta$  tokamak,  $\beta_{\rho} \sim 1/\epsilon$ , implying that  $f_{B} \sim 1/\epsilon^{1/2} >>1$ :

The bootstrap current can theoretically overdrive the total current

- No obvious "anomalous" degradation of  $J_{B}$  due to micro-turbulence
- The bootstrap current is capable of being maintained in steady state without the need of an Ohmic transformer or external current drive. This is indeed a favourable result as it opens up the possibility of steady state operation without the need for excessive amounts of external current drive power.
- This is critical since bootstrap current fractions on the order of  $f_{\rm B} > 0.7$ are probably required for economic viability of fusion reactors.

#### 100% bootstrap discharges

Y. Takase, IAEA FEC 1996, S. Coda, IAEA FEC 2008



### Toroidal current evolution and control



- As for tokamaks, the non-inductive Bootstrap (BS) current is a matter of concern in W7-X.
- The island divertor (ID) concept that we rely on for heat/ particle exhaust is quite sensitive to toroidal currents which need to be kept low (10 kA or less).
- High-density (> 1e20 /m3) plasmas require multi-pass O2 ECR heating, for which the current drive efficiency is low .
- The magnetic configuration needs to be tailored to have low BS in the parameter regime of operational relevance.

### lota profiles and divertor island structure





- Low-shear iota profiles to avoid low-order rationals in the core region.
- But low shear makes the divertor island sensitive to toroidal currents.

J. A. Alonso for the W7-X Team. | ITPA IOS Meeting | Intercity Hotel, Daejeon | 9-12 Apr. 2018 | Page 6
#### How sensitive?





What currents are acceptable? Estimate the change in iota,

$$\Delta \iota = \frac{d\Psi_{pol}/dr}{d\Psi_{tor}/dr} \approx \frac{\mu_0 I_{tor} R/r}{2\pi r B_0}$$
$$\Delta \iota(a) \approx 1.6 \times 10^{-3} I_{tor} [\text{kA}]$$

which causes a radial shift of the edge island

 $\Delta r[\mathrm{cm}] = \Delta \iota / \iota'(a) \approx 0.3 \times I_{tor}[\mathrm{kA}]$ 

For W7-X parameters, a toroidal current of 10 kA causes a 3 cm radial movement of the edge island (Note: strike lines in the divertor can move more than that!)

J. A. Alonso for the W7-X Team. | ITPA IOS Meeting | Intercity Hotel, Daejeon | 9-12 Apr. 2018 | Page 7

#### Neoclassical Transports

- May increase D,  $\chi$  up to two orders of magnitude:
  - $\chi_i$  'only' wrong by factor 3-5
  - *D*,  $\chi_e$  still wrong by up to two orders of magnitude!



- Transport in fusion plasmas is 'anomalous'.
- Normal (water) flow: Hydrodynamic equations can develop nonlinear turbulent solutions (Reynolds, 1883)
- Transport mainly governed by MHD turbulence: radial extent of turbulent eddy: 1 - 2 cm typical lifetime of turbulent eddy: 0.5 - 1 ms
- Anomalous transport coefficients are of the order 1 m<sup>2</sup>/s

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#### Fusion Reactor Technology 2 (459.761, 3 Credits)

# **Prof. Dr. Yong-Su Na** (32-206, Tel. 880-7204)

- Profile consistency (or profile resilience or stiffness)
- The observation that profiles (of temperature, density, and pressure) often tend to adopt roughly the same shape (in tokamaks), regardless of the applied heating and fueling profiles.
  B. Coppi, "Nonclassical Transport and the "Principle of Profile Consistency"", Comments Plasma Phys. Cont. Fusion 5 6 261-270 (1980)
  - → tendency of profiles to stay close to marginal stability
  - Due to plasma self-organisation, i.e., the feedback mechanism regulating the profiles (by turbulence) is often dominant over the various source terms.

• Profile consistency (or profile resilience or stiffness)



 Three zones in which transport processes play the dominant part
 1: sawtooth oscillations - volume depending on the inversion radius

which depending on  $q_a$ 

- 2: heat transfer responsible for
  - magnetic confinement
- 3: atomic processes

#### • Profile consistency (or profile resilience or stiffness)



#### • Profile consistency (or profile resilience or stiffness)



#### • Profile consistency (or profile resilience or stiffness)





#### Flux-Gradient Relation



Generalization of Fick's Law:

$$\begin{pmatrix} Q_i \\ Q_e \\ \Gamma \\ \Gamma_{\phi} \end{pmatrix} = - \begin{bmatrix} \chi_i & \cdots & \cdots & \cdots \\ \cdots & \chi_e & \cdots & \cdots \\ \cdots & D & \cdots \\ \cdots & \cdots & D & \cdots \\ \cdots & \cdots & \chi_{\phi} \end{bmatrix} \begin{pmatrix} \nabla T_i \\ \nabla T_e \\ \nabla n \\ \nabla U_{\phi} \end{pmatrix}$$

*Ch. P. Ritz et al, PRL* **62** 1844 (1989) *X. Garbet, C.R. Physique* **7** 573 (2006)

#### Transport dominated by turbulence



FIG. 1. Relative fluxuation levels of density  $\tilde{n}/n$ , plasma potential  $e\tilde{\varphi}_{pl}/k_BT_e$ , electron temperature  $\tilde{T}_e/T_e$ , and magnetic field  $\tilde{B}_r/B_{\phi}$ , as functions of radius. Filled symbols represent data from Langmuir probes, and open symbols from the HIBP.



FIG. 2. Radial profiles of the total electron and ion energy flux  $q = q_e + q_i$  from power balance (shaded area, defined by the standard deviation), the fluctuation-induced convected flux  $q_{conv}^{\bar{E}}$  (filled circles from Langmuir probes, and open circles from HIBP; dotted line is upper bound in presence of  $\eta_i$  mode), and the total convected energy flux  $q_{conv}(r)$  from a neutralpenetration code and  $H_a$  measurements.

 It was proved that in edge plasmas, turbulence particle and energy fluxes agree with the fluxes deduced from particle and heat balance (i.e., integral of the particle and heating sources). Since then, several studies have confirmed the close connection between turbulence and transport. In particular, a reduction of the fluctuation level is observed when a transport barrier is formed.



Anomalous Transport

- Trapped electron modes are one of the candidates to explain turbulence driven electron heat transport observed in tokamaks.
- This instability has two characteristics: a threshold in normalized gradient and stabilization by collisions.
- Experiments using modulated
  ECH in the ASDEX Upgrade
  tokamak demonstrate explicitly
  the existence of the threshold.

F. Ryter et al, PRL **95** 085001 (2005)



#### Anomalous Transport





#### Anomalous Transport - Microinstabilities

 $D^{\exp} = D^{NC} + D^{anomalous} > D^{NC}$ 

 $\chi^{\exp} = \chi^{NC} + \chi^{anomalous} > \chi^{NC}$ 

 Plasma waves and their associated instabilities Electron drift wave: 'Universal', trapped electron Sound wave: Ion temperature gradient Alfven wave: Micro-tearing







- Anomalous Transport Microinstabilities
- Electrostatic instabilities: drift wave instabilities
   perturbations of the magnetic field are ignored,
   so that only the perturbed electric field matters.
   Assumption appropriate if the plasma beta is lower than the
   instability threshold for electromagnetic interchange modes
   (called 'kinetic ballooning modes')
   Passing particle instabilities
  - Trapped particle instabilities
  - Ex. Ion Temperature Gradient (ITG) modes,
    - Trapped Electron Modes (TEM)
  - Electromagnetic instabilities: micro-tearing modes

#### Anomalous Transport

- Main instabilities are interchange modes.



# "Bad Curvature" instability in plasmas≈ Inverted Pendulum / Rayleigh-Taylor Instability



#### Anomalous Transport

- Main instabilities are interchange modes.
  - The exchange of two flux tubes around a field line releases free energy.



#### Anomalous Transport



Unstable region:  $\nabla B_t \cdot \nabla p > 0$ 

- Trapped particles are localised on the low field side, as this corresponds to the zone of minimum field along the field lines.
  - → Trapped particles are expected to play a prominent role in the interchange process.

#### Anomalous Transport - ITG/TEM

- Unstable in the limit of large wavelengths:  $k_{\perp}\rho_i$  <1
  - Electron and/or ion modes are unstable above a threshold.
  - Underlie particle, electron and ion heat transport:
- interplay between all channels
  ITG: For a given *q*-profile, the threshold of a pure ion mode (i.e., when the electron response follow a Boltzmann law) appears as
  - a critical ion temperature logarithmic gradient  $-R\nabla T_i/T_i$  that depends on the logarithmic density gradient  $-R\nabla n_i/n_i$ , and on the ratio of electron to ion temperature  $T_e/T_i$ .

An ion mode usually rotates in the ion diamagnetic direction.

- TEM: usually rotate in the electron diamagnetic direction and are mainly driven through a resonant interaction of the modes with trapped electrons at the precession frequency. The threshold is a critical value of  $-R\nabla T_e/T_e$  that depends on

 $R\nabla n_{e}/n_{e}$  and the fraction of trapped electrons  $f_{r}$ .

#### Anomalous Transport - ITG/TEM

- Unstable in the limit of large wavelengths:  $k_{\perp}\rho_i$  <1
  - Electron and/or ion modes are unstable above a threshold.
  - Underlie particle, electron and ion heat transport: interplay between all channels



Stability diagram (Weiland model)

#### Anomalous Transport

- Fluctuations of **E**x**B** drift velocity produce turbulent transport.



Twist in **B** carries plasma from bad curvature region to good curvature region:



Similar to how twirling a honey dipper can prevent honey from dripping.

#### Spherical Torus has improved confinement and pressure li mits (but less room in center for coils)



Tokamak

#### Simple picture of reducing turbulence by n egative magnetic shear

- Particles that produce an eddy tend to follo w field lines.
- Reversed magnetic shear twists eddy in a sh ort distance to point in the ``good curvat ure direction".
- Locally reversed magnetic shear naturally p roduced by squeezing magnetic fields at high plasma pressure: ``Second stabilit y'' Advanced Tokamak or Spherical Toru s.

Shaping the plasma (elongation and triangul arity) can also change local shear



#### **Turbulence Stabilisation**



Magnetic shear can twist plasma disturbances

#### Sheared flows can suppress or reduce turbulence



Carreras, Waltz, Hahm, Kolmogorov, et al.

#### Fascinating Diversity of Regimes in Fusion Plasmas. What Triggers Change? What Regulates Confinement?



# Transition to Enhanced Confinement Regime is Correlated with Suppression of Core Fluctuations in TFTR



 Similar suppression observed on JET (X-mode reflectometer) and DIII-D (FIR Scattering)

Hahm, Burrell, Phys. Plas. 1995, E. Mazzucato et al., PRL 1996.



R. Nazikian et al.

Anomalous Transport

F. Ryter et al, PRL **86** 2325 (2001), PRL **86** 5498 (2001), NF **41** 537 (2001)

$$\chi = T^{3/2} \Big[ \xi_0 + \xi_{TG} G \Big( R / L_T - R / L_{T,c} \Big) \Big]$$

- $\xi_0$ : transport when TG turbulence is not active
- $\xi_0 G$ : transport caused by TG turbulence
- $T^{3/2}$ : reflecting the gyro-Bohm assumption
- G = 0 for  $L_{\tau} \ge L_{\tau,c}$  but increases strongly when  $L_{\tau} < L_{\tau,c}$ and eventually saturates. When  $L_{\tau} < L_{\tau,c}$  transport is high to keep  $L_{\tau}$  close to  $L_{\tau,c}$ , providing the stiffness.

### **Confinement scaling**



 $\tau_{\mathsf{E}}^{\mathsf{ITER89P}} = 0.048 M^{0.5} \mathsf{I}_{\mathsf{p}}^{0.85} \mathsf{B}_{\mathsf{t}}^{0.2} \mathsf{R}^{1.2} \mathsf{a}^{0.3} \kappa^{0.5} \mathsf{n}_{\mathsf{20}}^{0.1} \mathsf{P}^{\mathsf{-}0.5}$ 

$$\chi \sim \frac{a^2}{\tau_E} \sim \chi_{Bohm} (\rho^*)^{\mu} F(\beta, v^*): \text{ nearly "Bohm" scaling } (\mu \sim 0)$$
$$\chi_{Bohm} = \frac{cT}{eB} \qquad \qquad \text{M for DT mixture?}$$

 $\tau_{E,th}^{IPB98(y)} = 0.0365 M^{0.2} I_{p}^{0.97} B_{t}^{0.08} R^{1.7} a^{0.23} \kappa_{a}^{0.67} n_{19}^{0.41} P^{-0.63}$ 

$$\propto \tau_{\rm B} \rho^{*-0.83} \beta^{-0.50} \nu^{*-0.10} M^{0.97} q^{-2.52} \epsilon^{-0.55} \kappa_{\rm a}^{-2.72}$$

 $\chi \sim \frac{a^{2}}{\tau_{E}} \sim \chi_{Bohm} (\rho^{*})^{\mu} F(\beta, v^{*}) : \text{close to "gyroBohm" scaling } (\mu \sim 1, \tau_{E}/\tau_{B} \propto \rho^{*-1})$   $\chi \sim \left(\frac{\rho_{i}}{L}\right) \left(\frac{cT_{i}}{eB}\right) \quad \left(\frac{\rho_{i}}{L}\right) <<1$ 31

### **Confinement scaling**



 $\begin{array}{l} \Delta x \sim 1/\overline{k}_{\perp} \sim \sqrt{a\rho_{i}} \ (\text{radially elongated eddy}) \ \text{and} \ \gamma \sim \omega_{*e} \sim \frac{\overline{k}_{\perp}\rho_{s}}{a}c_{s} \\ \Rightarrow \quad \chi \sim \frac{T_{e}}{eB} \qquad \text{Bohm scaling} \\ \Delta x \sim 1/\overline{k}_{\perp} \sim \rho_{i} \ (\text{sheared eddy}) \ \text{and} \ \gamma \sim \omega_{*e} \sim \frac{\overline{k}_{\perp}\rho_{s}}{a}c_{s} \\ \Rightarrow \quad \chi \sim \frac{\rho_{i}}{a}\frac{T_{e}}{eB} \quad \text{gyroBohm scaling} \end{array}$
### **XGC1 turbulence simulation**



# These physical mechanisms can be seen in gyrokinetic simulations and movies

particles quickly move along field lines, so density perturbations are very extended along fields lines, which twist to connect unstable to stable side

Stable

smaller

eddies

side,

Unstable bad-curvature

side, eddies point out,

direction of effective

gravity

Movie <a href="http://fusion.gat.com/THEORY/images/3/35/D3d.n16.2x\_0.6\_fly.mpg">http://fusion.gat.com/theory/Gyromovies</a> shows contour plots of density fluctuations in a cut-away view of a GYRO simulation (Candy & Waltz, GA). This movie illustrates the physical mechanisms described in the last few slides. It also illustrates the important effect of sheared flows in breaking up and limiting the turbulent eddies. Long-wavelength equilibrium sheared flows in this case are driven primarily by external toroidal beam injection. (The movie is made in the frame of reference rotating with the plasma in the middle of the simulation. Barber pole effect makes the dominantly-toroidal rotation appear poloidal..) Short-wavelength, turbulent-driven flows also play important role in

nonlinear saturation.



#### Sheared ExB Flows can regulate or completely suppr ess turbulence (analogous to twisting honey on a fork)



Dominant nonlinear interaction between turbulent eddies and  $\pm \theta$ -directed zonal flows.

Additional large scale sheared zonal flow (driven by beams, neoclassical) can completely suppress turbulence

Waltz, Kerbel, Phys. Plasmas 1994 w/ Hammett, Beer, Dorland, Waltz Gyrofluid Eqs., Numerical Tokamak Project, DoE Computational Grand Challenge

#### Anomalous Transport



- Ion density fluctuations in the DIII-D tokamak for discharge 121717

#### Anomalous Transport

- Evolution of potential fluctuations in a plasma very similar to DIII-D 101381/101391. Simulation is centered at r/a = 0.6. Note the strong equilibrium sheared rotation, which leads to a strong reduction in transport. This landmark simulation from 2002 includes kinetic electrons at finite-beta, along with the equilibrium **E**x**B** variation.

#### Anomalous Transport





-  $\chi_i$  vs  $R/L_{\tau}$  from the gyrofluid code using the 1994 "thesis closure",

an improved 1998 gyrofluid closure, the 1994 IFS-PPPL model, the LLNL and U. Colorado flux-tube and UCLA (Sydora) global

gyrokinetic codes, and the MMM model for the Dill-D base case.



- Transport modelling e.g. CDBM, Weiland, GLF23, TGLF
- Simplified version is a critical gradient model

$$\gamma_{lin} = \chi_s \frac{c_s}{R} \left( \frac{-R\partial_r T}{T} - \kappa_c \right)$$
  
Stiffness number



#### Density Peaking

C. Angioni et al, PRL **90** 205003 (2003)



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#### References

- T. S. Hahm, "Turbulent Transport in Tokamaks", Lecture at NFRI and SNU (2009)
- X. Garbet, "Physics of Transport in Tokamaks", EPS (2004)
- Greg Hammett (PPPL), "Status of Research on Fusion Energy and Plasma Turbulence", University of Ottawa, Physics Dept. Seminar (Nov. 29, 2007)
- https://fusion.gat.com/theory/Gyromovies

UROPEAN FUSION DEVELOPMENT AGREEME



# Density and safety factor profiles are correlated in L-mode

 $\overline{X,G}$  shear

 Combined heating and current drive :

 $\tilde{\mathbf{O}}$ 

- consistent with curva re pinch
- no indication of therm diffusion:
- e/ion-mode transition?
- → density and q profile are correlated in JET, DIIID, TCV, TS...

JET- H. Weisen, A.Zabolotsky ).5<L\_<0.6 1.8 0.6<L<sub>Te</sub><0.7 Peaking 0.7<L<sub>1</sub><0.9 ensi 1.61.51.3 Inductance  $\propto$  global

31st EPS Conference on Plasma Physics, London