



Environmental Thermal Engineering

Lecture Note #3

Professor Min Soo KIM





Compressor



The Main Contents of the Compressor

- Overview of Compressor
- Types of Compressor
- Reciprocating Compressor
- Ideal Compressor Performance
- Actual Compressor Performance

Overview of Compressor

- One of the most important components for refrigeration and air conditioning.
- A compressor is a machine that compresses a working gas to increase pressure by receiving power from an electric motor or a turbine power device and applying a compression work to air or refrigerant.
- It is used for refrigerant compressors, ventilation of buildings with low pressure, supercharging of automobile engines, compression of working fluid in power generation cycles, etc.

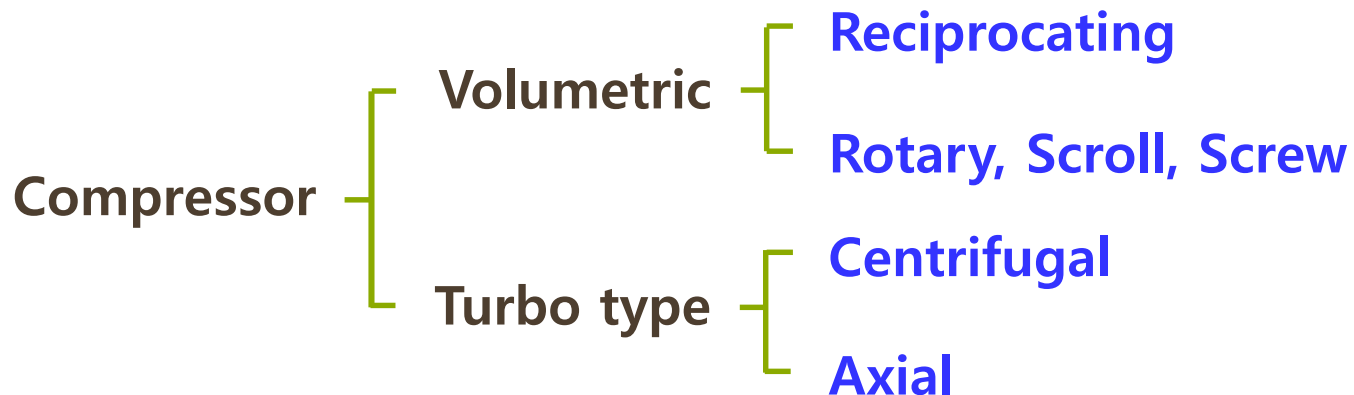
Types of Compressor

■ Positive Displacement Compressor

→ Pressure increase through volume reduction

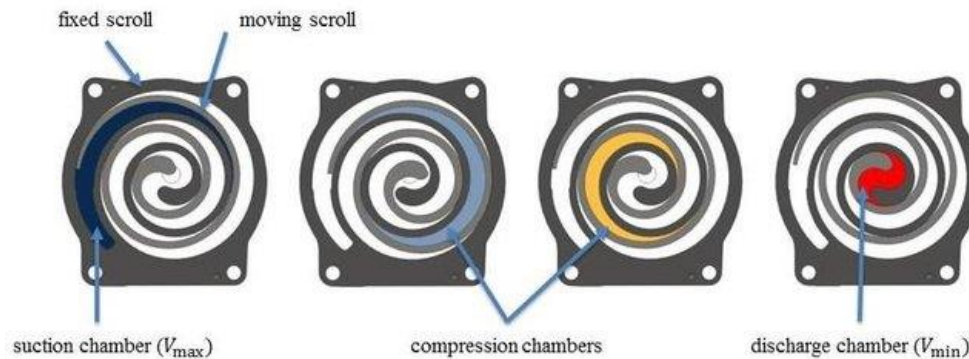
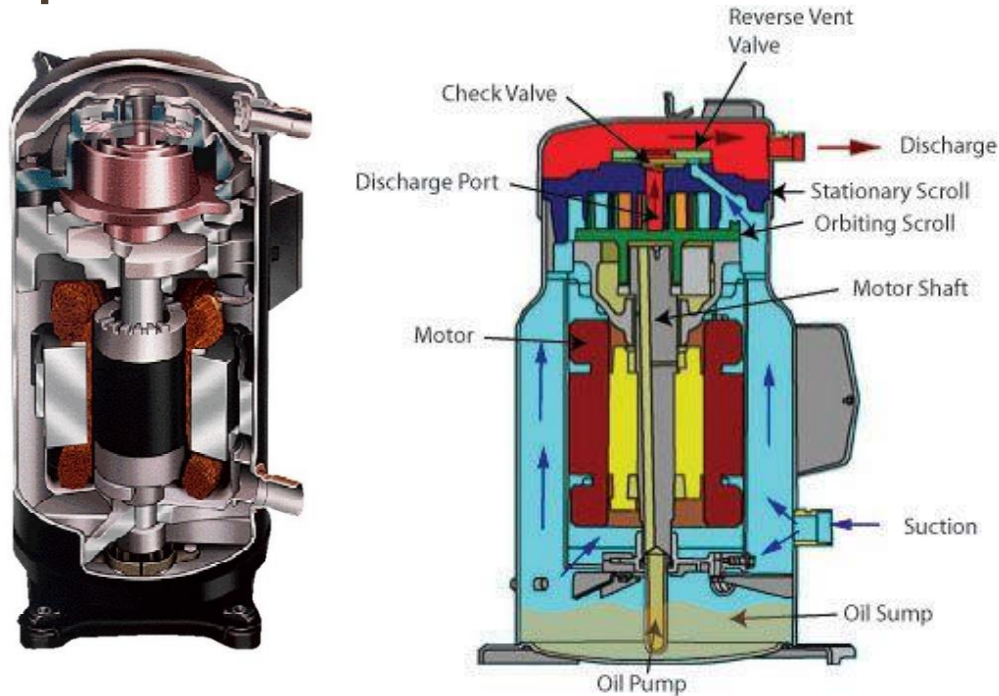
■ Turbo Compressor

→ Compression by converting the kinetic energy of gas into the form of pressure energy



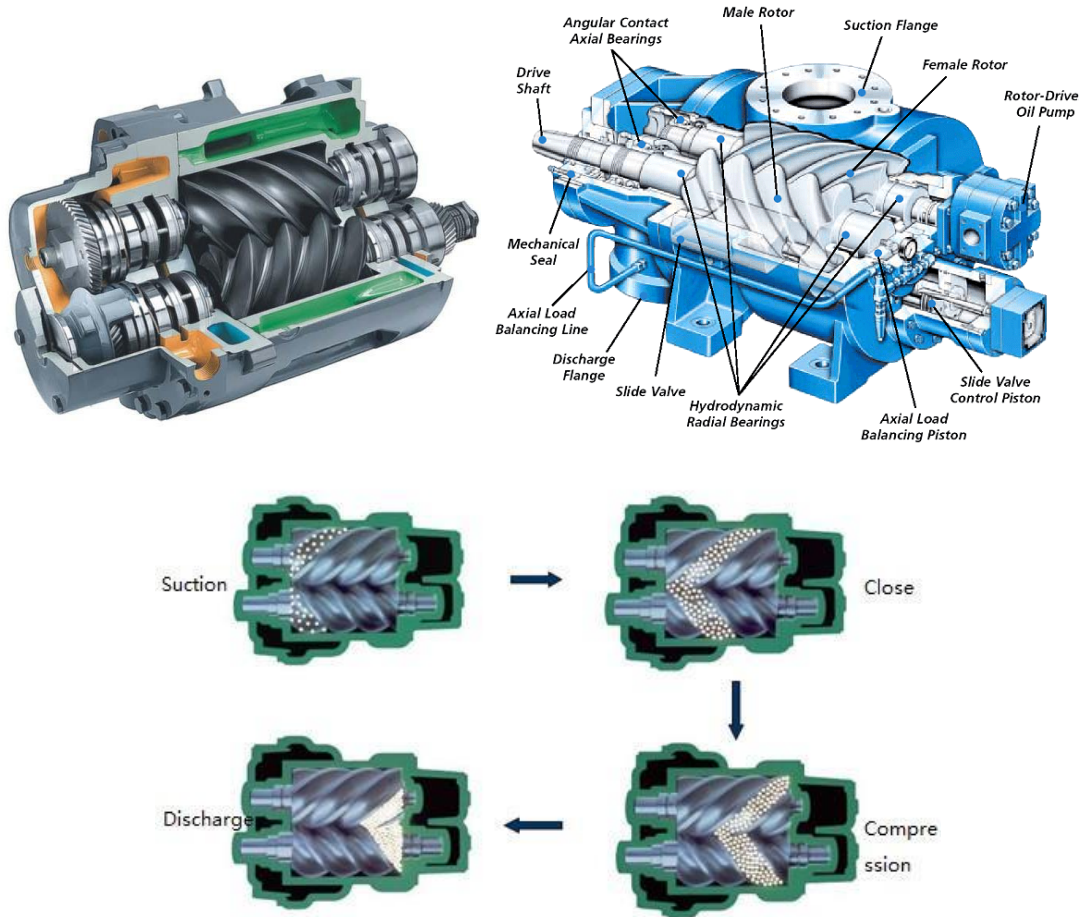
Types of Compressor

■ Scroll Compressor



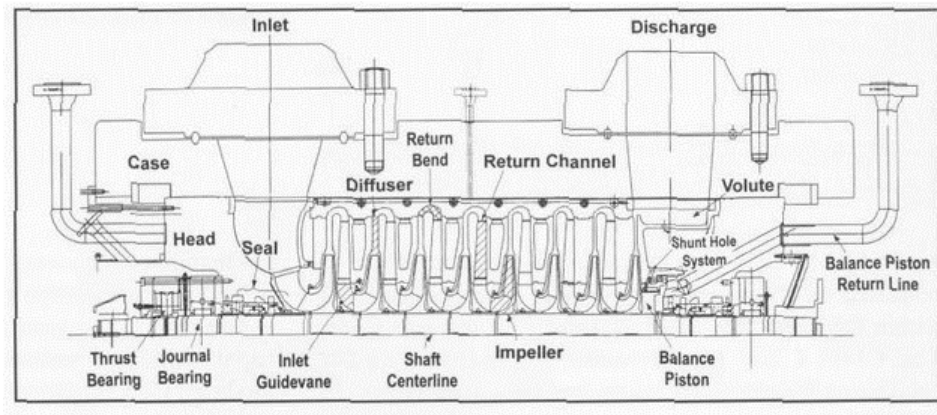
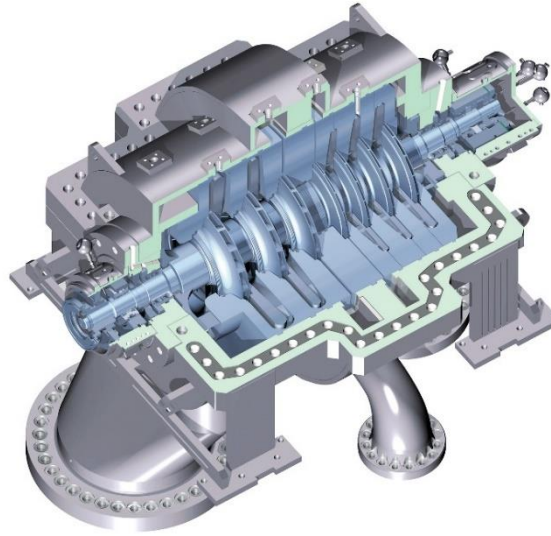
Types of Compressor

■ Screw Compressor



Types of Compressor

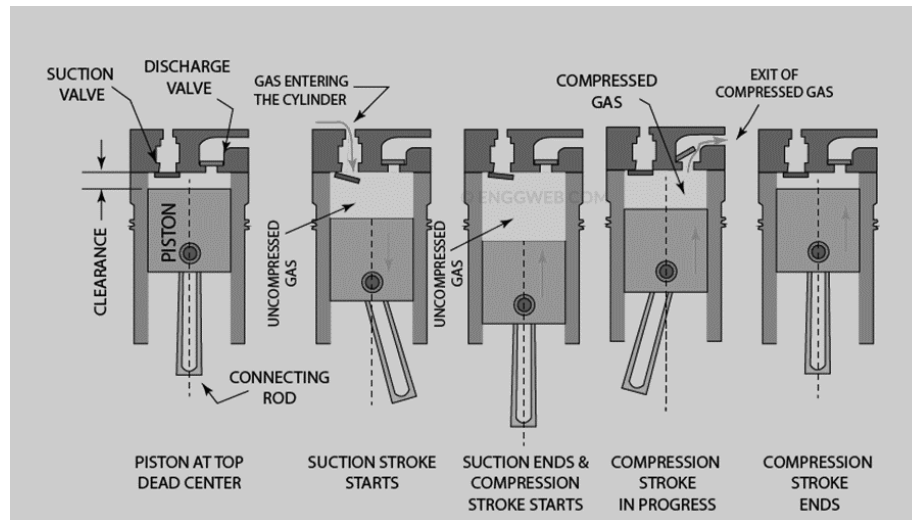
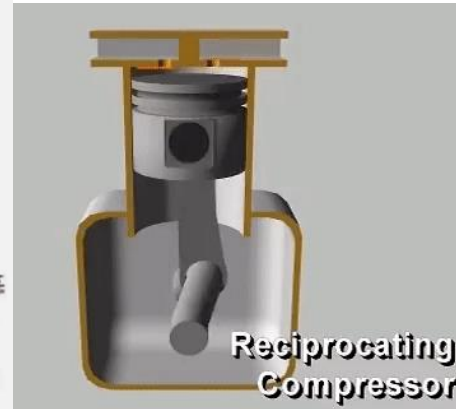
Centrifugal Compressor



Compressor

Types of Compressor

■ Reciprocating Compressor



The Scope of Application of Compressor

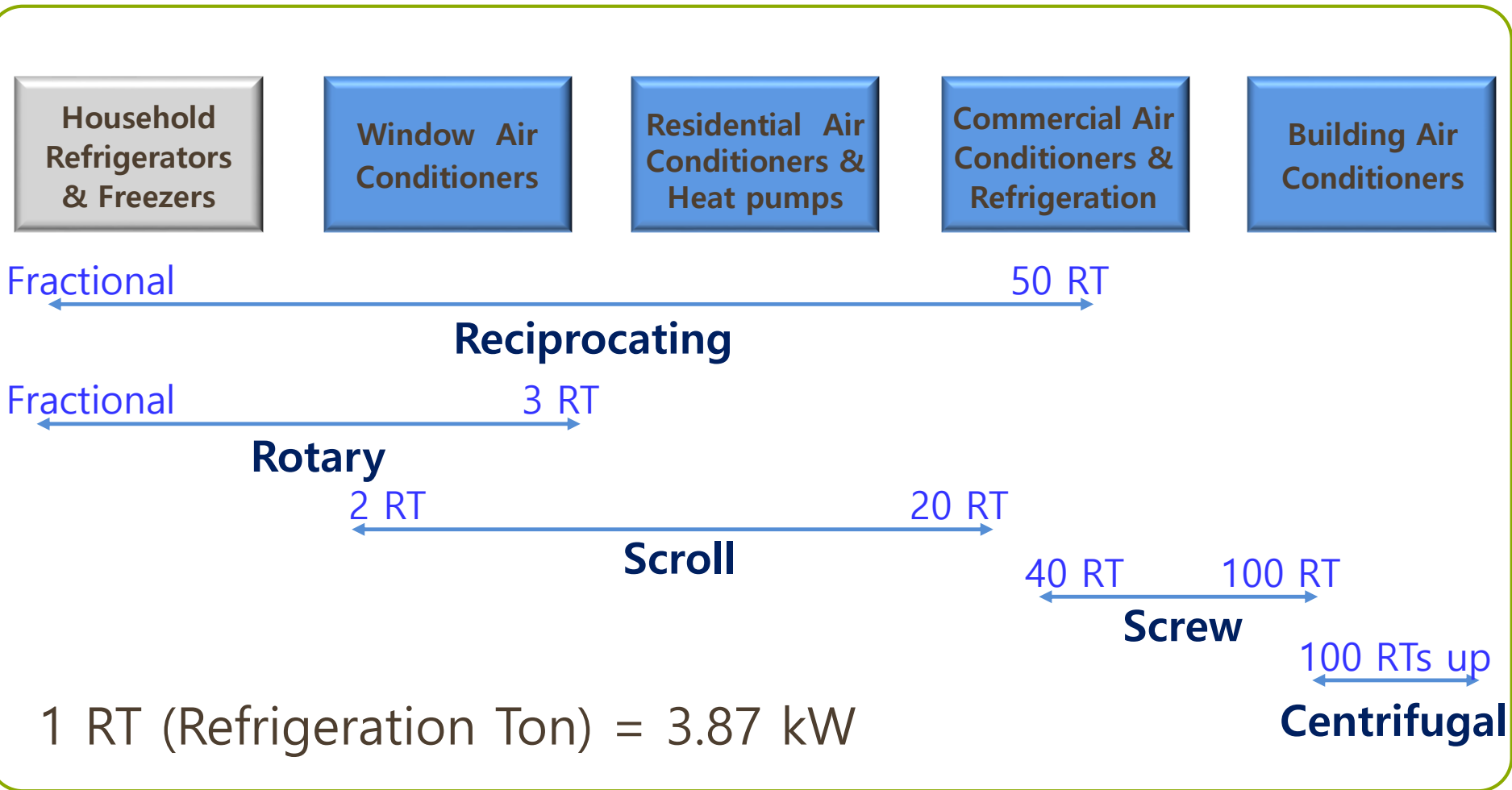


FIGURE Range of Applications of Compressor on Refrigerating Capacity

Types of Compressor

■ Open Type Compressor

➡ Compressor passing through the compressor housing so that the motor can be externally coupled to the shaft.

➡ Refrigerant gas leakage problem



Open Type Compressor

■ Hermetic Compressor

➡ Eliminates leakage problems by installing the motor within the housing of the compressor

➡ Requires electrical isolation of the motor

■ Semi-Hermetic Compressor

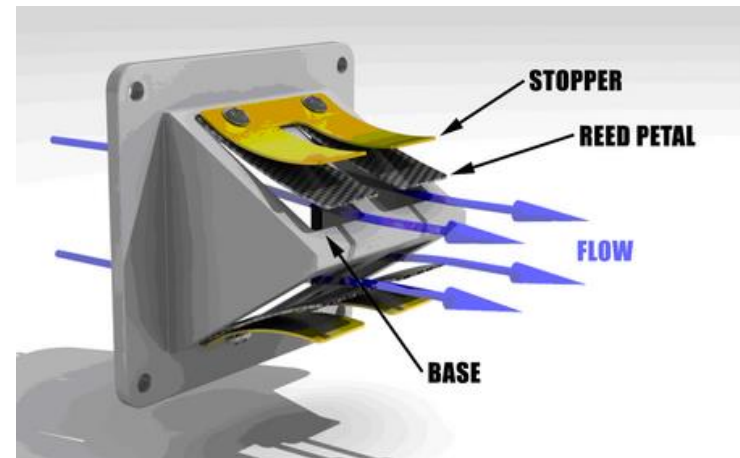
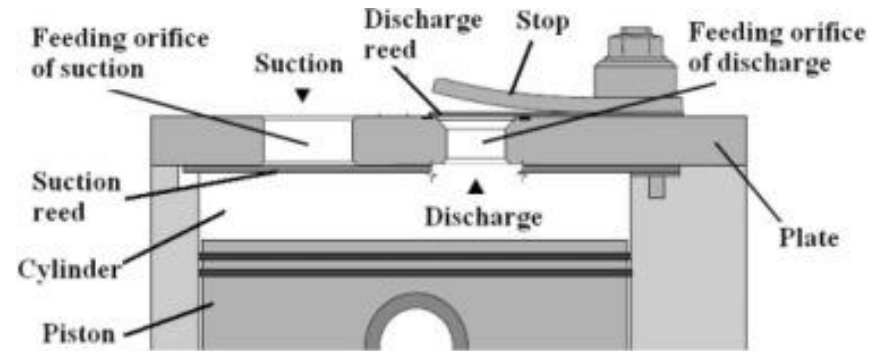
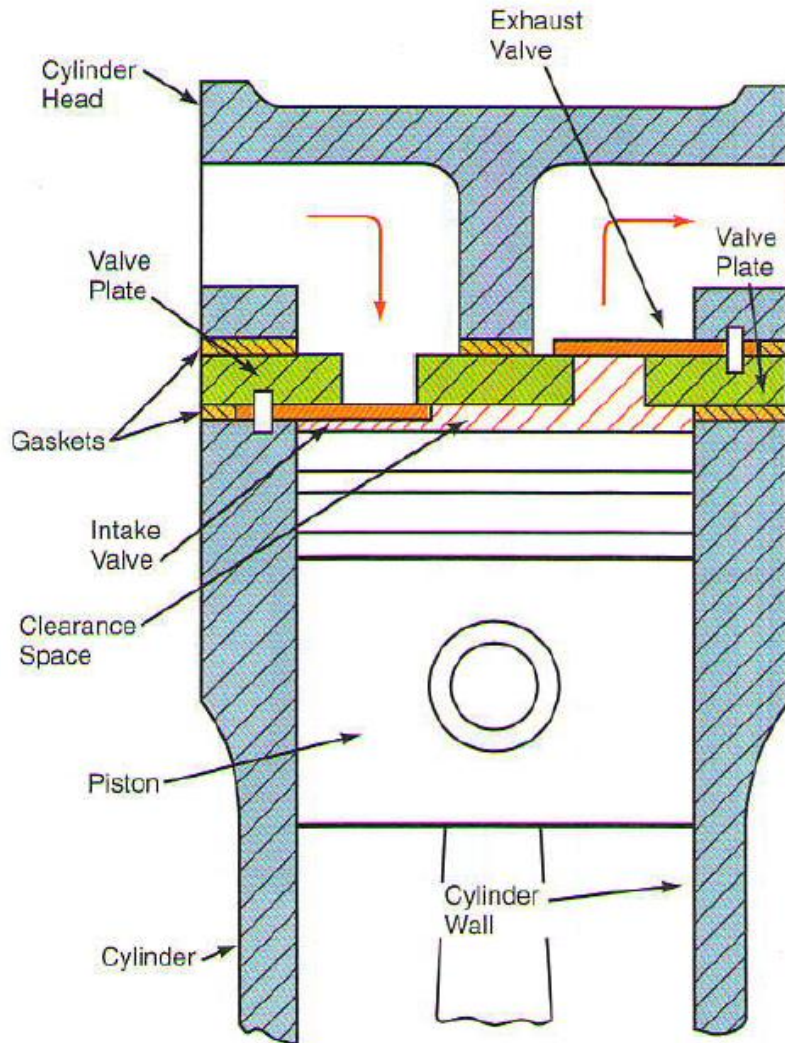
➡ Bolted Compressor for Field Repair



Hermetic Compressor

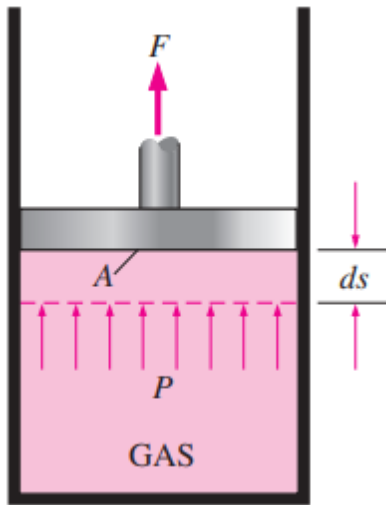
Compressor

Cross-sectional View



Thermodynamics Review

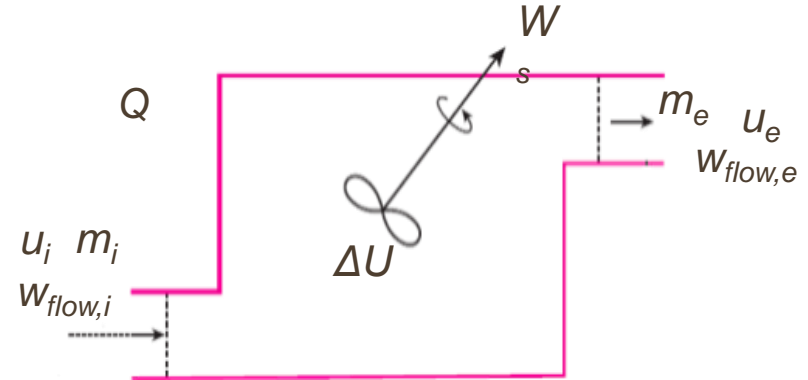
Closed system



$$W_b = \int F ds = \int P A ds$$

$$W = \int P dV$$

Open system



$$\dot{Q}_{in} + \dot{W}_{in} + \sum_{in} \dot{m} \left(h + \frac{V^2}{2} + gz \right) = \dot{Q}_{out} + \dot{W}_{out} + \sum_{out} \dot{m} \left(h + \frac{V^2}{2} + gz \right)$$

$$W = H_i - H_e + Q \dots (1)$$

$$H = U + PV \quad \underline{dH = dU + VdP + PdV}$$

$$\delta Q = dU + PdV$$

$$Q = H_e - H_i - \int_i^e V dP \dots (2)$$

Substituting (2) in (1),

$$W = - \int_i^e V dP$$

Compressor Work

■ Compression Work

➡ Work required to compress a gas from P_1 to P_2

$$W' = \int_{V_1}^{V_2} P dV$$

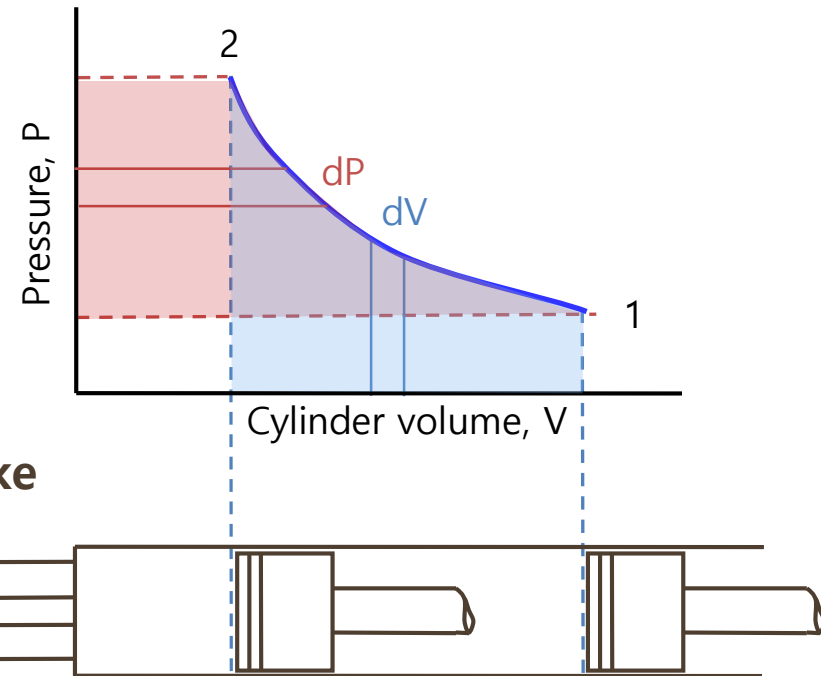
■ Compressor Work

➡ Work done on working gas by compressor during the entire stroke

➡ Sum of compression, discharge, and suction work.

$$W_{comp,in} = \int_{P_1}^{P_2} V dP$$

$$\text{where } W_{rev} = - \int_{P_1}^{P_2} V dP$$



Types of Compression

■ Isothermal Compression

$$PV = C(\text{constant})$$

Compression
Work

$$W'_{iso} = P_1 V_1 \ln \frac{P_1}{P_2}$$

Compressor
Work

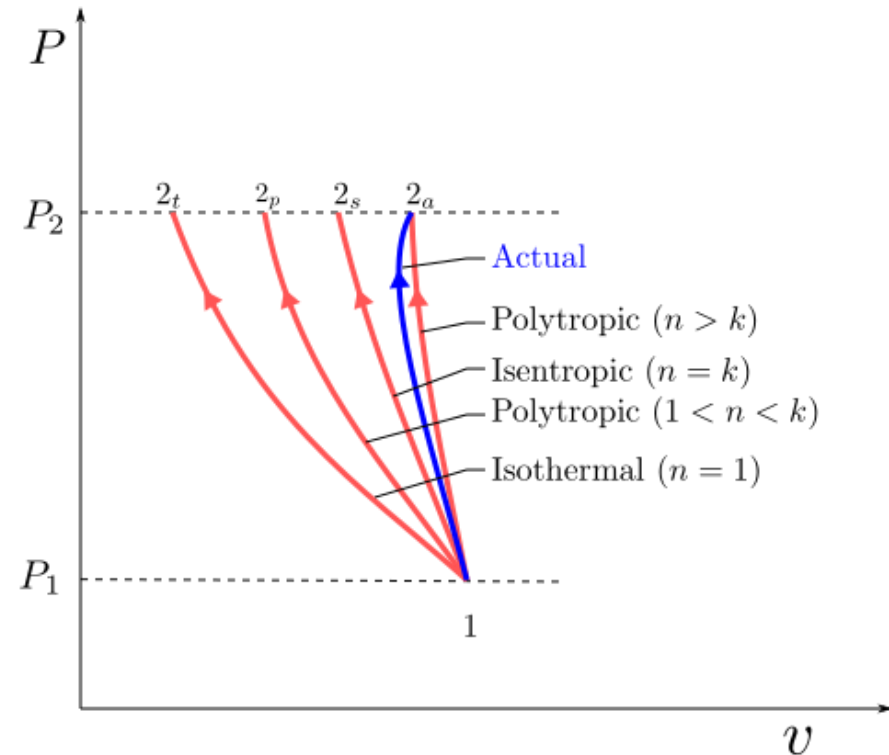
$$W_{iso} = -W'_{iso} = P_1 V_1 \ln \frac{P_2}{P_1}$$

$$W' = \int_{V_1}^{V_2} P dV = \int_{V_1}^{V_2} \frac{C}{V} dV = C \ln \frac{V_2}{V_1}$$

$$= P_1 V_2 \ln \frac{V_2}{V_1} = P_1 V_2 \ln \frac{P_1}{P_2}$$

$$W = \int_{P_1}^{P_2} V dP = \int_{P_1}^{P_2} \frac{C}{P} dP = C \ln \frac{P_2}{P_1}$$

$$= P_1 V_2 \ln \frac{P_2}{P_1}$$



Types of Compression

■ Isentropic Compression

$$PV^k = \text{const} \quad T_2 = T_1 \left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}}$$

Compression Work $W'_{isen} = \frac{-P_1 V_1}{k-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right]$

Compressor Work $W_{isen} = \frac{k P_1 V_1}{k-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right]$

$$\begin{aligned} W_{isen} &= \int_{V_1}^{V_2} V dP = \int_{V_1}^{V_2} \frac{C^{\frac{1}{k}}}{P^{\frac{1}{k}}} dP \\ &= \frac{C^{\frac{1}{k}}}{1 - \frac{1}{k}} \left(P_2^{\frac{k-1}{k}} - P_1^{\frac{k-1}{k}} \right) \\ &= C^{\frac{1}{k}} \frac{k}{k-1} P_1^{\frac{k-1}{k}} \left(\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right) \\ &= \frac{k P_1 V_1}{k-1} \left(\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right) \end{aligned}$$

Types of Compression

■ Polytropic Compression

$$PV^n = \text{const} \quad T_2 = T_1 \left(\frac{P_2}{P_1} \right)^{\frac{n-1}{n}}$$

Compression Work $W'_{pol} = \frac{-P_1 V_1}{n-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{n-1}{n}} - 1 \right]$

Compressor Work $W_{pol} = \frac{n P_1 V_1}{n-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{n-1}{n}} - 1 \right]$

$$\begin{aligned} W_{poly} &= \int_{V_1}^{V_2} V dP = \int_{V_1}^{V_2} \frac{C^{\frac{1}{n}}}{P^{\frac{1}{n}}} dP \\ &= \frac{C^{\frac{1}{n}}}{1 - \frac{1}{n}} \left(P_2^{\frac{n-1}{n}} - P_1^{\frac{n-1}{n}} \right) \\ &= C^{\frac{1}{n}} \frac{n}{n-1} P_1^{\frac{n-1}{n}} \left(\left(\frac{P_2}{P_1} \right)^{\frac{n-1}{n}} - 1 \right) \\ &= \frac{n P_1 V_1}{n-1} \left(\left(\frac{P_2}{P_1} \right)^{\frac{n-1}{n}} - 1 \right) \end{aligned}$$

Reciprocating Compressor

- Most widely used in the
"Refrigeration Industry"

- Refrigeration Range

Wide Range
(Small size to tens of kW)

- Types

Single-acting, Double-acting

- Working Principle

connected through a connecting rod and
a pin from the crankshaft actuated by piston

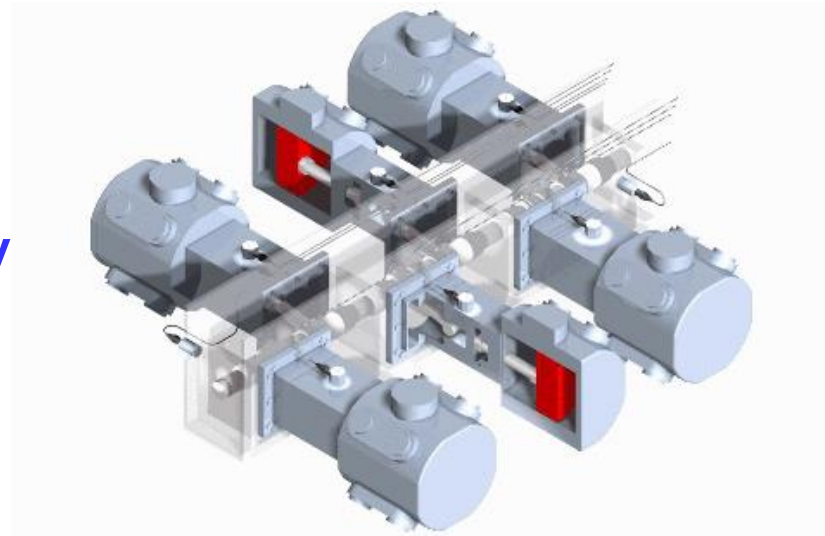
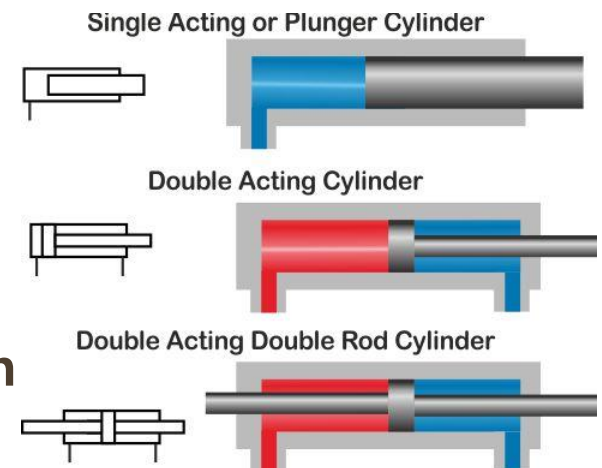


Figure Reciprocating Compressor



Reciprocating Compressor

■ Ideal reciprocating compressor (Process : 2s)

It is assumed that the compression process is reversibly adiabatic without pressure loss through suction and discharge ports and other valves.

■ Actual reciprocating compressor (Process : 2a)

Different from the ideal compressor, some losses occur, and these points must be taken into account in the performance of the compressor.

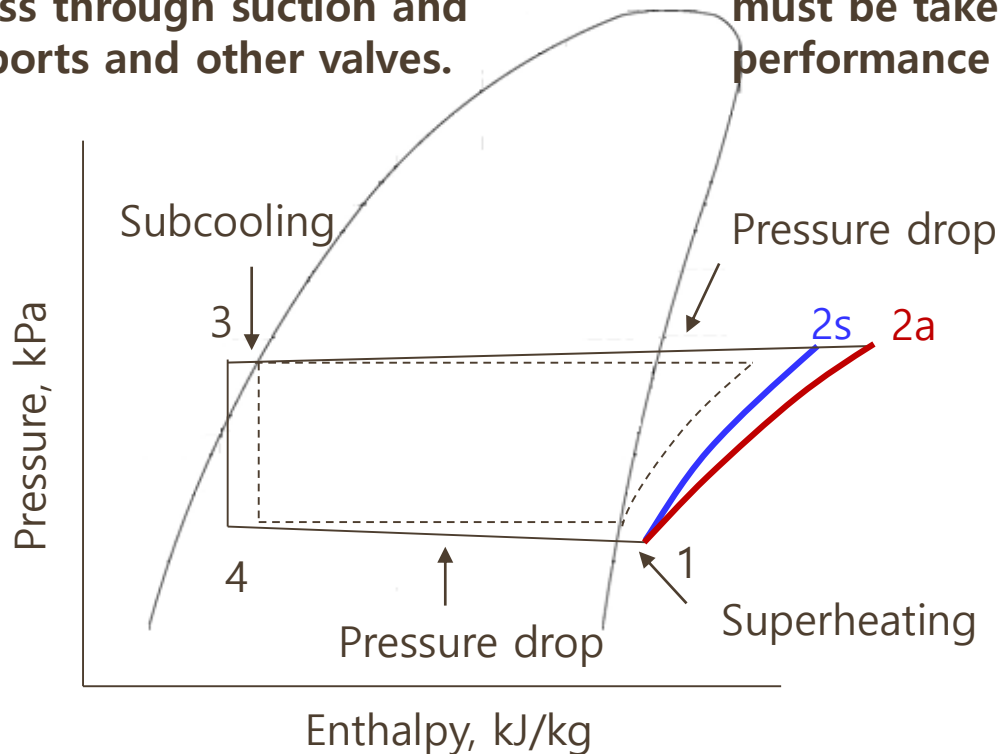
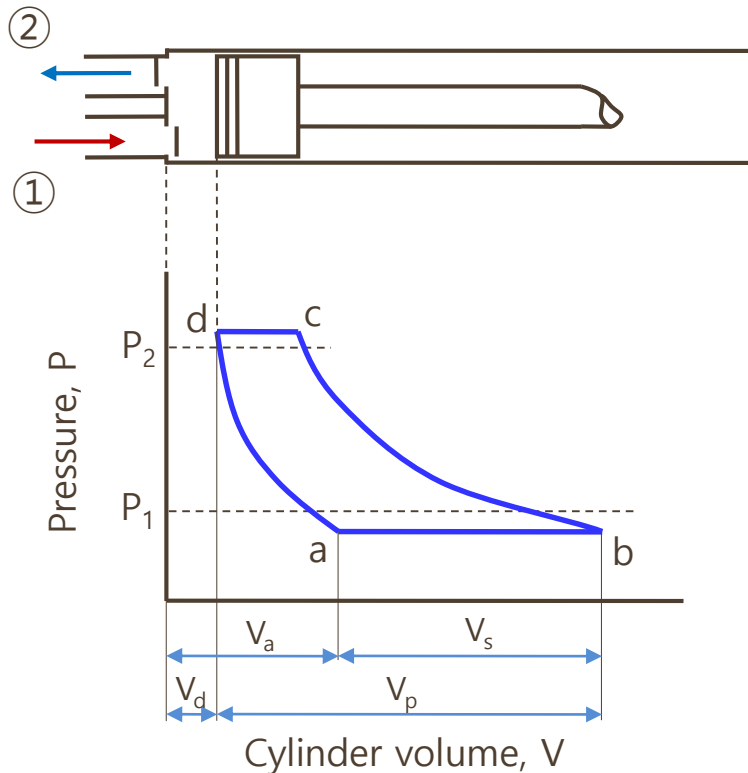


Figure The comparison of isentropic compression and actual compression

Performance of Reciprocating Compressor

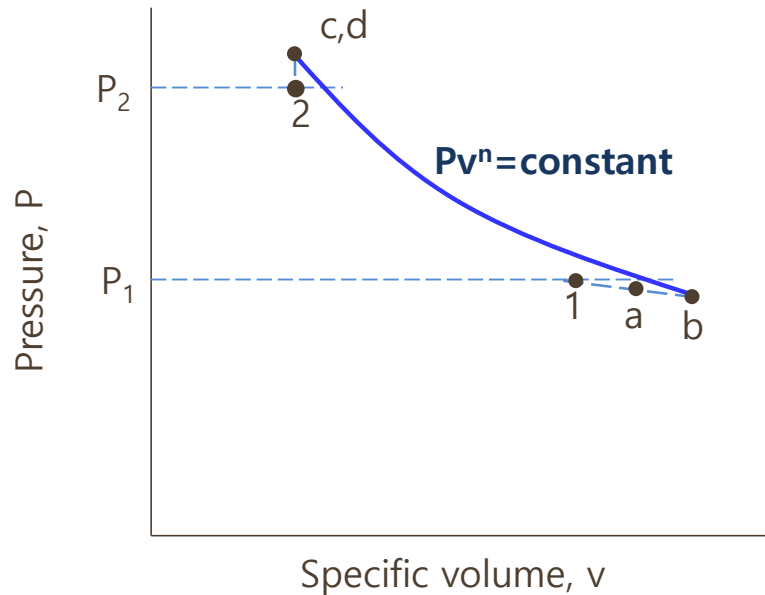


It is assumed that the pressure in the cylinder is constant ($P_c = P_d$, $P_a = P_b$) during the discharge and suction processes.

The pressure loss that occurs as it passes through the valve is taken into account.

Figure Schematic Indicator Diagram for a Reciprocating Compressor

Performance of Reciprocating Compressor



P_c (Start of discharge) =
 P_d (End of discharge)

P_2 : Discharge gas (After valve)

P_1 : Suction gas (Before valve)

P_a : Start of suction

P_b : End of suction

Figure Pressure-Specific Volume Diagram for Compressor



a → b Re-expansion caused by residual gas in the process

Volumetric Efficiency

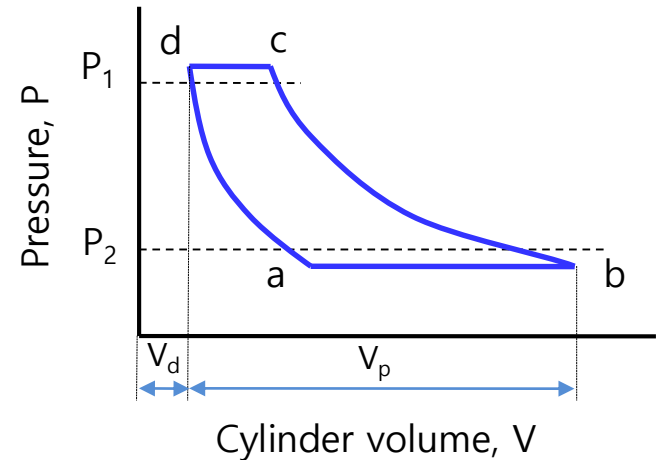
■ Clearance volume

➡ When the piston reaches top dead center, there is a slight clearance at the top

■ Gas Volume Ratio

➡ Ratio of clearance and stroke volume

$$C = \frac{V_d}{V_p}$$



■ After the compression and discharge strokes are completed, the fluid in the gap volume expands during the suction stroke, and the volume flowing into the compressor decreases as much as the expansion of the residual gas.

➡ **Re-expansion loss occurs**

Compressor

Volumetric Efficiency

Volumetric Efficiency

$$\eta_{vol} = \frac{\text{Actual refrigerant intake}}{\text{Theoretical refrigerant Intake}}$$

$$= \frac{V_s/v_b}{V_p/v_1} = \frac{V_p - (V_a - V_d) v_1}{V_p} \frac{v_1}{v_b}$$

➡ If the process at points d~a is a polytropic

$$V_a = V_d \left(\frac{P_d}{P_a} \right)^{\frac{1}{n}} = V_d \left(\frac{P_c}{P_b} \right)^{\frac{1}{n}}$$

The polytropic index n is determined by the experimental value.

$$\eta_{vol} = \frac{V_p - (V_a - V_d) v_1}{V_p} \frac{v_1}{v_b} = 1 - \frac{V_a - V_d}{V_p} \frac{v_1}{v_b}$$

$$\eta_{vol} = \left\{ 1 - C \left[\left(\frac{P_c}{P_b} \right)^{\frac{1}{n}} - 1 \right] \right\} \frac{v_1}{v_b} \quad \text{where } C = \frac{V_d}{V_p}$$

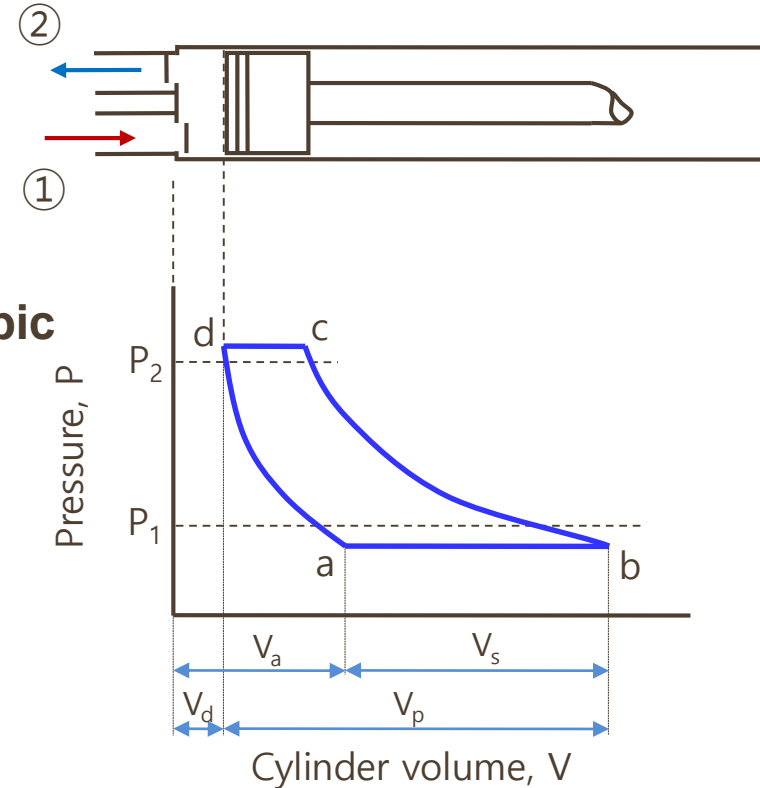


Figure Schematic Indicator Diagram for a Reciprocating Compressor

Volumetric Efficiency and Mass Flow Rate

➡ If the re-expansion and compression processes are isentropic,

Volumetric Efficiency

$$\eta_{vol} = \left[1 - C \left\{ \left(\frac{P_c}{P_b} \right)^{\frac{1}{n}} - 1 \right\} \right] \frac{v_1}{v_b} = \left[1 - C \left\{ \left(\frac{v_b}{v_c} \right) - 1 \right\} \right] \frac{v_1}{v_b}$$

Isentropic index k value
R12=1.13, R22=1.16

Mass Flow Rate

$$\dot{m} = \eta_{vol} \frac{V_p}{v_1} N_c = \left[1 - C \left\{ \left(\frac{P_c}{P_b} \right)^{\frac{1}{n}} - 1 \right\} \right] \frac{V_p}{v_b} N_c$$

V_p : Piston displacement [m³]

N_c : Crankshaft revolution [1/s]

Volumetric Efficiency and Mass Flow Rate

Volumetric Efficiency and Mass Flow Rate

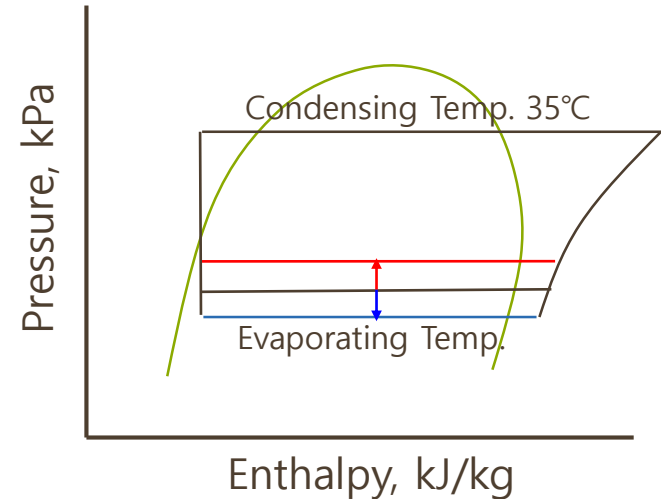
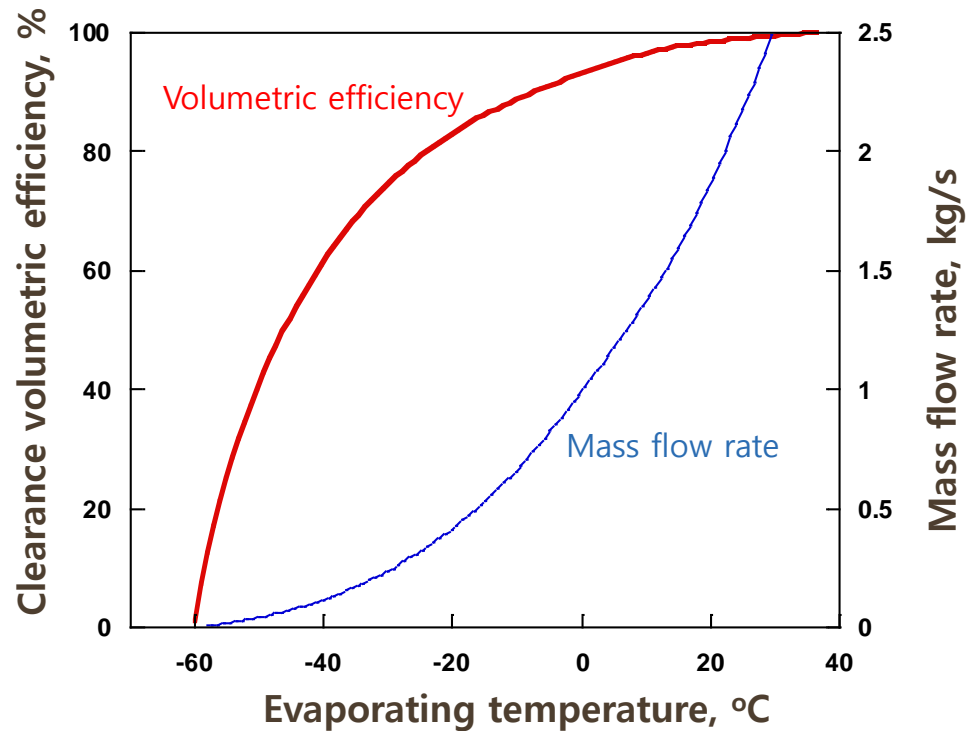
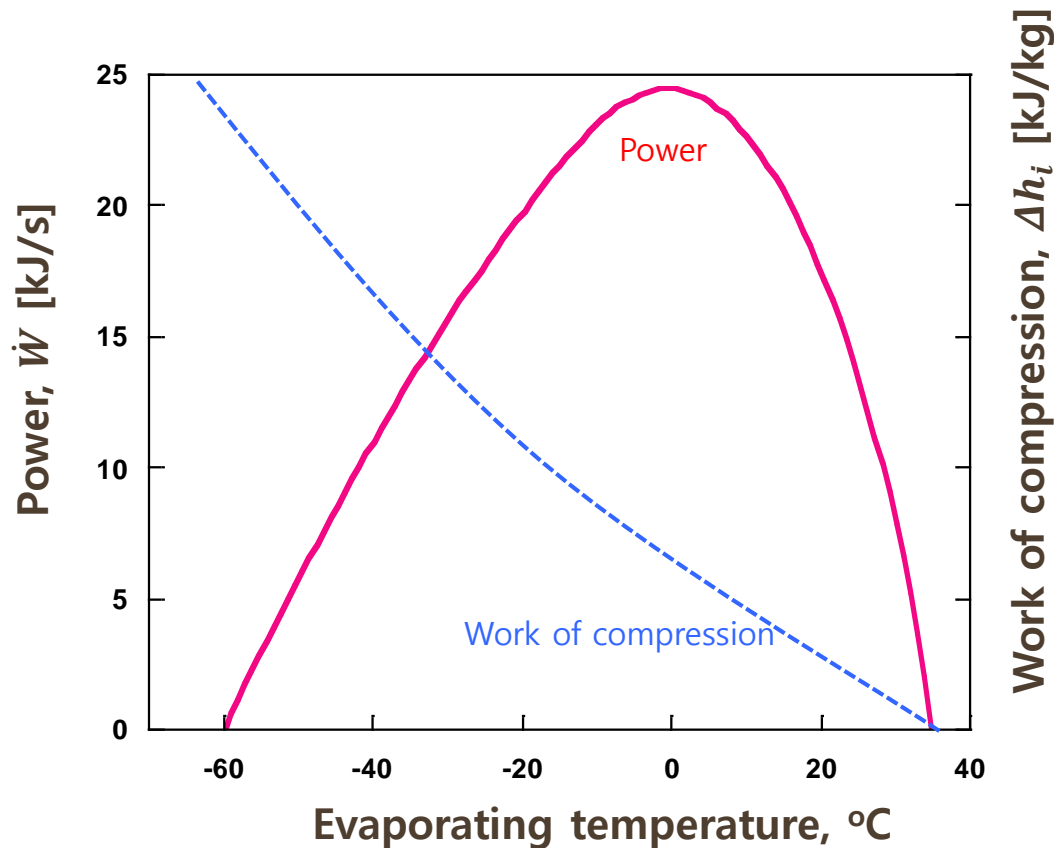


Figure Clearance Volumetric Efficiency and Mass Flow Rate of Ideal Compressor, Refrigerant 22, 4.5% Clearance, 50 L/s Displacement Rate, and 35°C Condensing Temperature

Compressor Required Power

■ Power Requirement for Compressor $\dot{W} = \dot{m}\Delta h_i$



Refrigeration Capacity and COP

■ Refrigeration Capacity

$$\dot{Q} = \dot{m}(h_1 - h_4)$$

■ Coefficient of Performance

$$COP_c = \frac{\dot{Q}}{\dot{W}} = \frac{(h_1 - h_4)}{\Delta h_i} = \frac{\text{Refrigeration Capacity}}{\text{Required Work}}$$

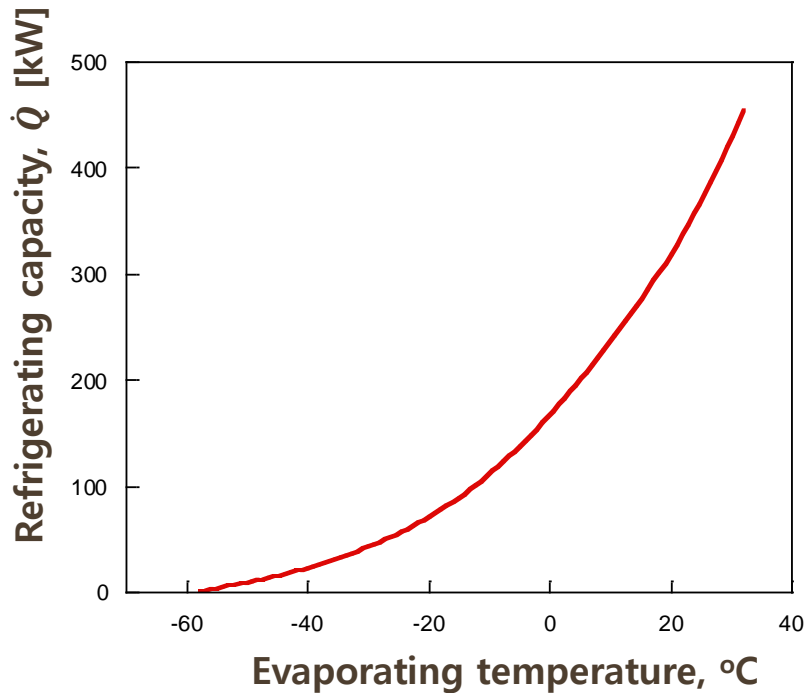


Figure Cooling Capacity according to evaporation temperature

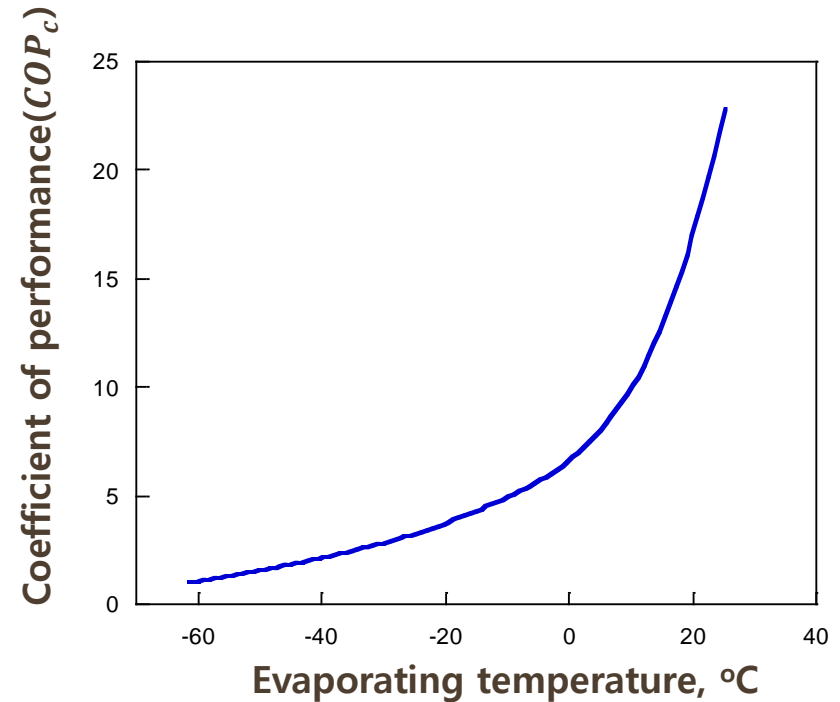


Figure COP according to evaporation temperature

Actual Compressor Performance

■ Performance trends for ideal compressors also exist for real compressors

■ Power required

➡ Theoretical power required (Adiabatic case)

$$W_o = \frac{kP_b V_b}{k-1} \left[\left(\frac{P_c}{P_b} \right)^{\frac{k-1}{k}} - 1 \right]$$

➡ Actual power required

$$W_s = \frac{W_o}{\eta_c \eta_{mech}} = \frac{1}{\eta_c \eta_{mech}} \frac{kP_b V_b}{k-1} \left[\left(\frac{P_c}{P_b} \right)^{\frac{k-1}{k}} - 1 \right]$$

η_c : Compression Efficiency (W_o/W)

η_{mech} : 0.85 ~ 0.95

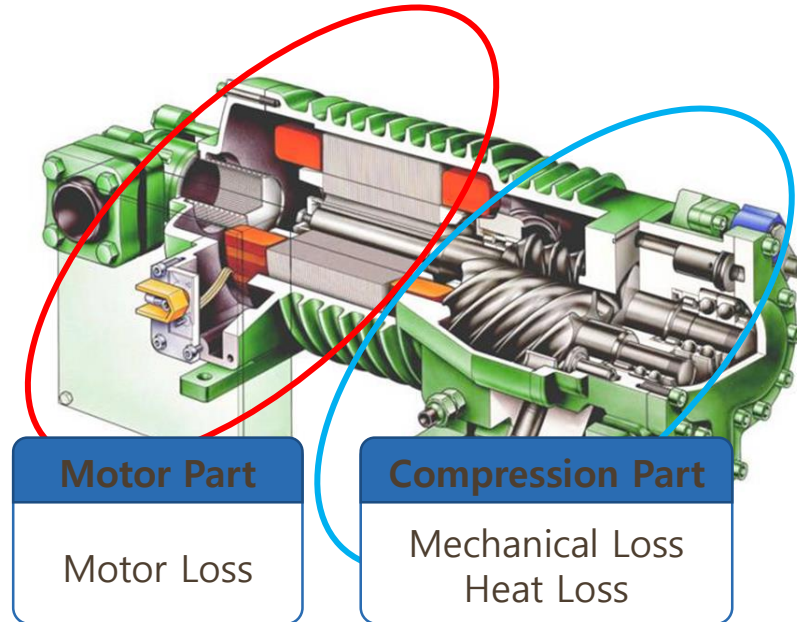
Isentropic Compression

$$PV^k = \text{const} \quad T_2 = T_1 \left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}}$$

Compression Work $W'_{isen} = \frac{-P_1 V_1}{k-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right]$

Compressor Work $W_{isen} = \frac{kP_1 V_1}{k-1} \left[\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right]$

Compressor Efficiency



**Power Required by
Compressor**

η_{motor}

η_{mech}

**Work sed for
Refrigerant
compression**

ex) 100 \times $\frac{90}{100}$ \times $\frac{80}{90}$ \rightarrow $\frac{80}{100}$

$$\eta_{comp} = \eta_{moter} \cdot \eta_{mech} = 0.8$$

Q&A

Question and Answer Session

