



# Environmental Thermal Engineering

Lecture Note #5

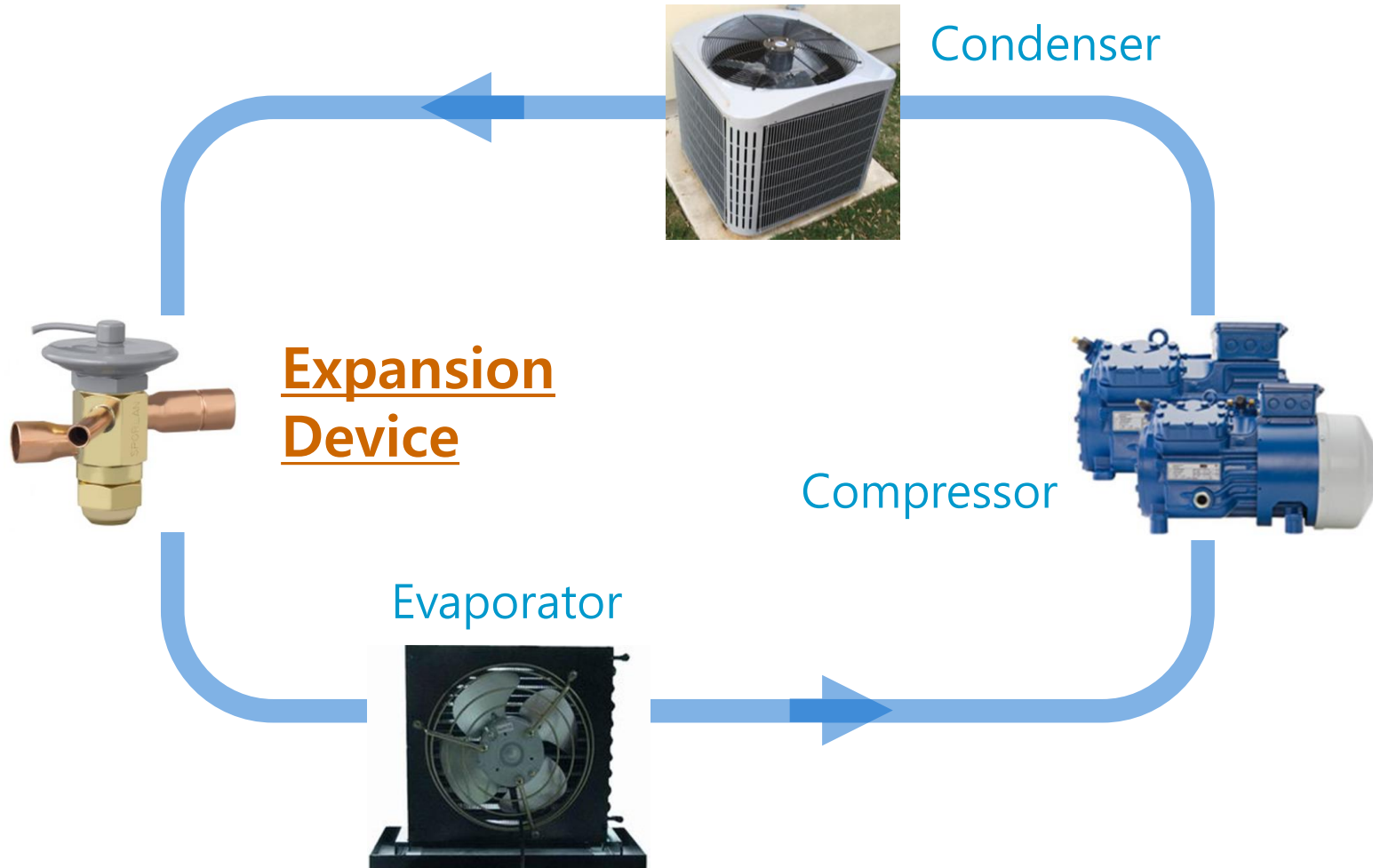
**Professor Min Soo KIM**



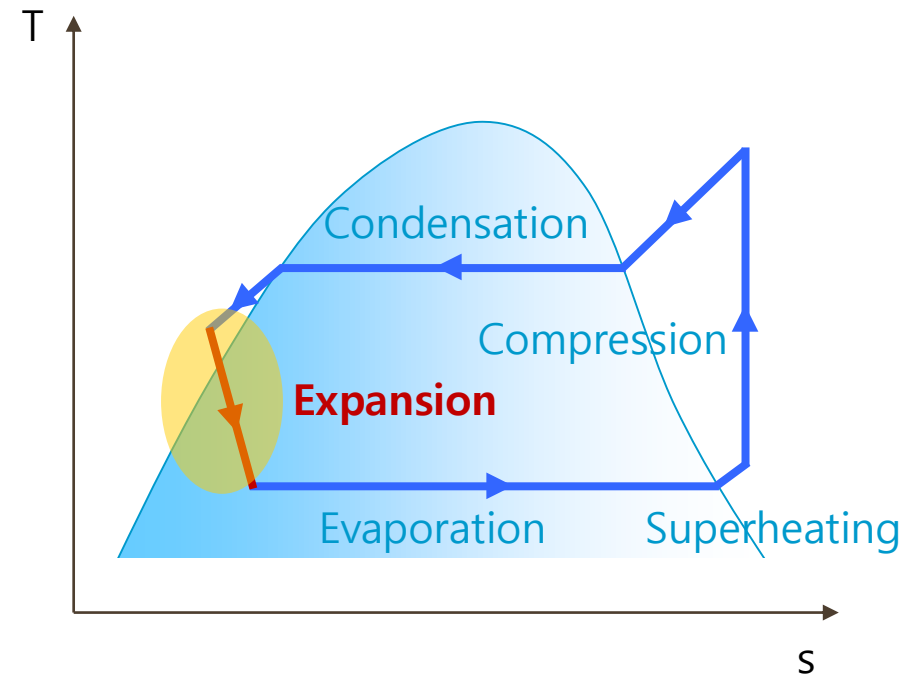
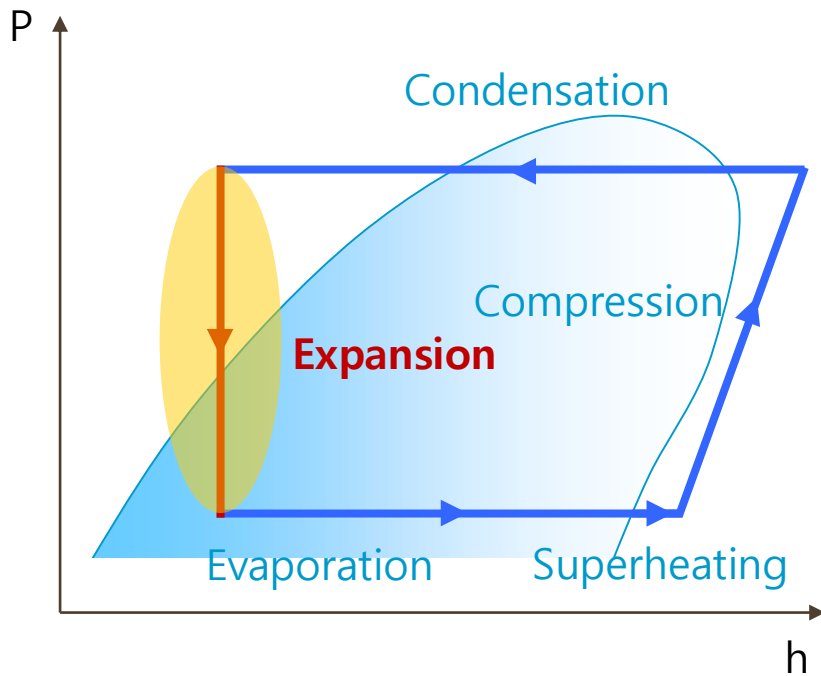
# Expansion Device

## EXPANSION DEVICE

WHERE? – IN REFRIGERATION SYSTEM



# Expansion Process



# Expansion Process

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## ❑ Purpose

- (1) To Reduce the pressure of the liquid refrigerant
- (2) To Regulate the flow of refrigerant to the evaporator

## ❑ Types

- (1) Manual expansion valve
- (2) Capillary tube
- (3) Automatic expansion valve
  - Constant pressure expansion valve
  - Float type expansion valve
  - Thermostatic expansion valve  
(Superheat controlled expansion valve)
  - Electric expansion valve

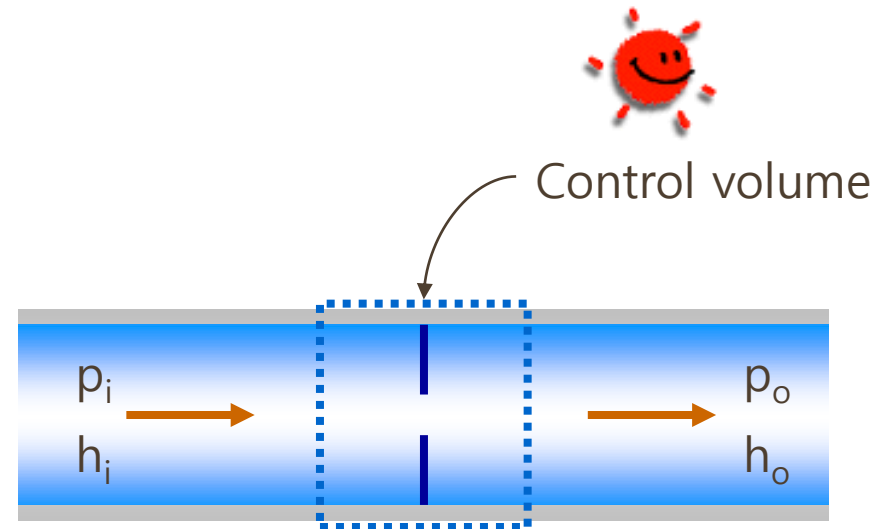


# Throttling



# Throttling

- ❑ A throttling process occurs when a fluid flowing in a line suddenly encounters a restriction in the flow passage.
- ❑ Abrupt pressure drop  
 $p_i > p_o$
- ❑ Constant enthalpy process  
 $h_i = h_o$

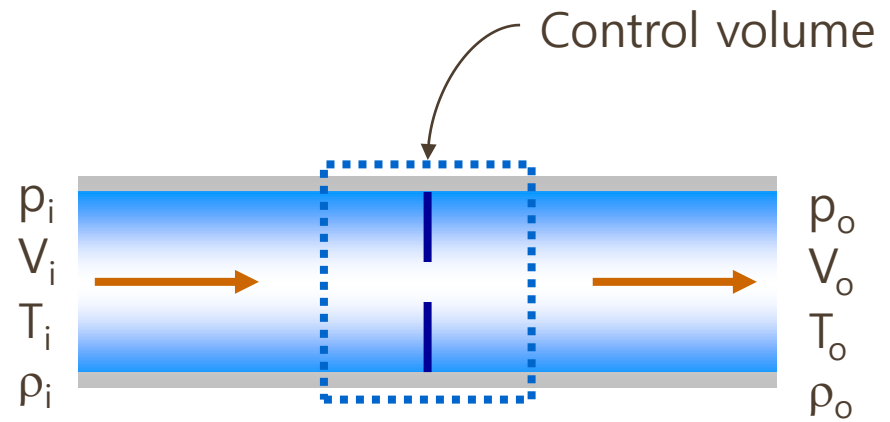


# Energy Conservation in Open System

$$\dot{Q} + \int_{A_i} \left( h + \frac{1}{2} V^2 + gz \right) \rho V dA = \dot{W}_s + \int_{A_o} \left( h + \frac{1}{2} V^2 + gz \right) \rho V dA$$

$$\Rightarrow h_i(p_i, T_i) = h_o(p_o, T_o)$$

- ☐ No heat transfer
- ☐ No shaft work
- ☐ No potential energy change
- ☐ Velocity variation is negligible



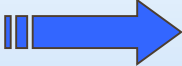
# Throttling Joule-Thomson Effect

## □ Joule-Thomson coefficient

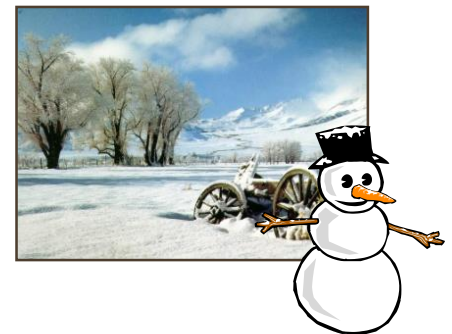
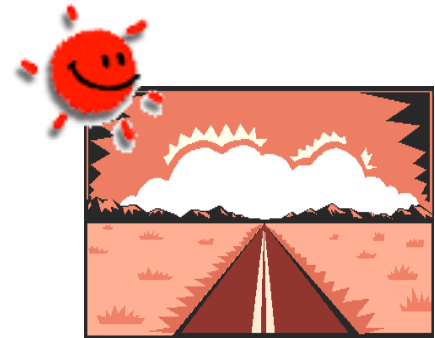
$$\left. \begin{array}{l} h_i = h_o \\ p_i = p_o \end{array} \right\} \mu_{JT} = \left( \frac{\partial T}{\partial P} \right)_h = \frac{1}{C_p} \left[ T \frac{\partial v}{\partial T} - v \right]$$

## □ Joule-Thomson effect

$\mu_{JT} > 0$   
Adiabatic Expansion



Refrigeration  
Liquefaction





# Joule-Thomson Effect (Expansion device)

## □ Joule-Thomson coefficient

$$\mu_{JT} = \left( \frac{\partial T}{\partial p} \right)_h = - \left( \frac{\partial T}{\partial h} \right)_p \left( \frac{\partial h}{\partial p} \right)_T \quad \left( \frac{\partial T}{\partial p} \right)_h \left( \frac{\partial h}{\partial T} \right)_p \left( \frac{\partial p}{\partial h} \right)_T = -1$$

$$dh = \left( \frac{\partial h}{\partial T} \right)_p dT + \left( \frac{\partial h}{\partial P} \right)_T dP = c_P dT + \left[ v - T \left( \frac{\partial v}{\partial T} \right)_p \right] dP$$

$$\rightarrow \left( \frac{\partial h}{\partial T} \right)_p = c_P, \quad \left( \frac{\partial h}{\partial P} \right)_T = v - T \left( \frac{\partial v}{\partial T} \right)_p$$

$$\begin{aligned} \mu_{JT} = \left( \frac{\partial T}{\partial P} \right)_h &= - \left( \frac{\partial T}{\partial h} \right)_p \left( \frac{\partial h}{\partial P} \right)_T \\ &= \frac{1}{c_P} \left[ T \left( \frac{\partial v}{\partial T} \right)_p - v \right] \end{aligned}$$

# Joule-Thomson Effect (Expansion device)

Maxwell's Relation

$$\left\{ \begin{array}{l} -\left(\frac{\partial S}{\partial P}\right)_T = \left(\frac{\partial V}{\partial T}\right)_P \\ \left(\frac{\partial T}{\partial V}\right)_S = -\left(\frac{\partial p}{\partial S}\right)_V \\ \left(\frac{\partial T}{\partial p}\right)_S = +\left(\frac{\partial V}{\partial S}\right)_p \\ \left(\frac{\partial S}{\partial V}\right)_T = \left(\frac{\partial p}{\partial T}\right)_V \end{array} \right.$$

$$Tds = dh - v dP$$

$$T \left( \frac{ds}{dP} \right)_T = \left( \frac{dh}{dP} \right)_T - v$$

$$-T \left( \frac{\partial V}{\partial T} \right)_P = \left( \frac{dh}{dP} \right)_T - v$$

# Throttling Inversion Curve

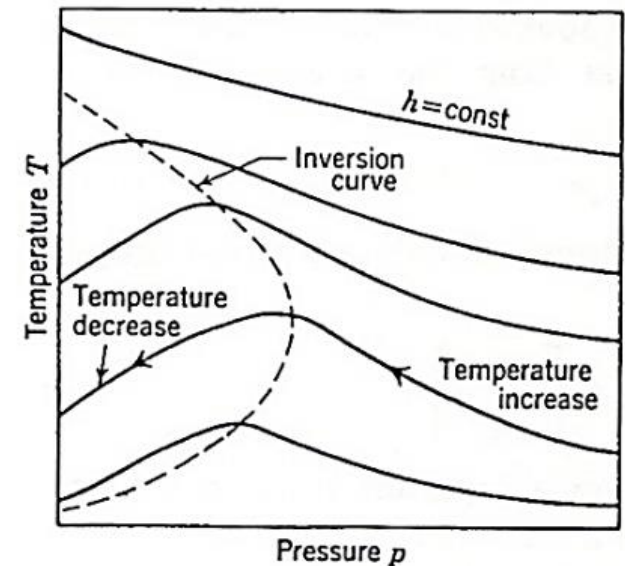
## ❑ Inversion Temperature

- The temperature at which

$$\mu_{JT} = \left( \frac{\partial T}{\partial P} \right)_h = \frac{1}{C_p} \left[ T \frac{\partial v}{\partial T} - v \right] = 0$$

## ❑ Joule-Thomson cooling effect

- Left area of the inversion curve

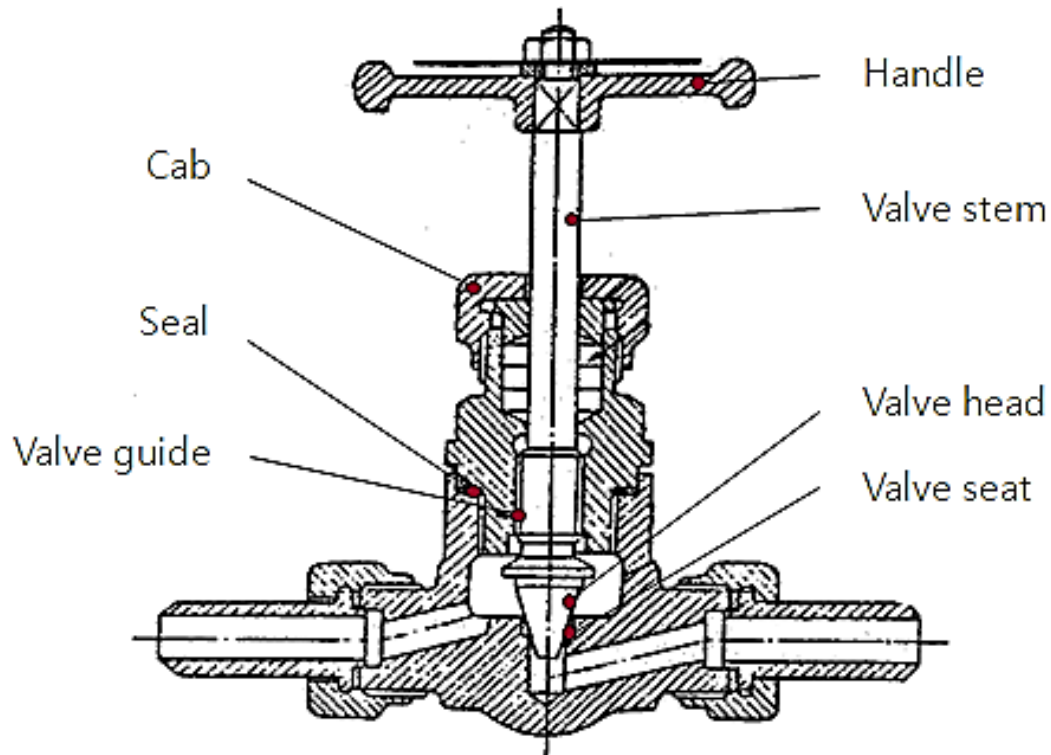


**FIGURE** Isenthalpic, inversion curve for a real gas



# Manual Expansion Valve

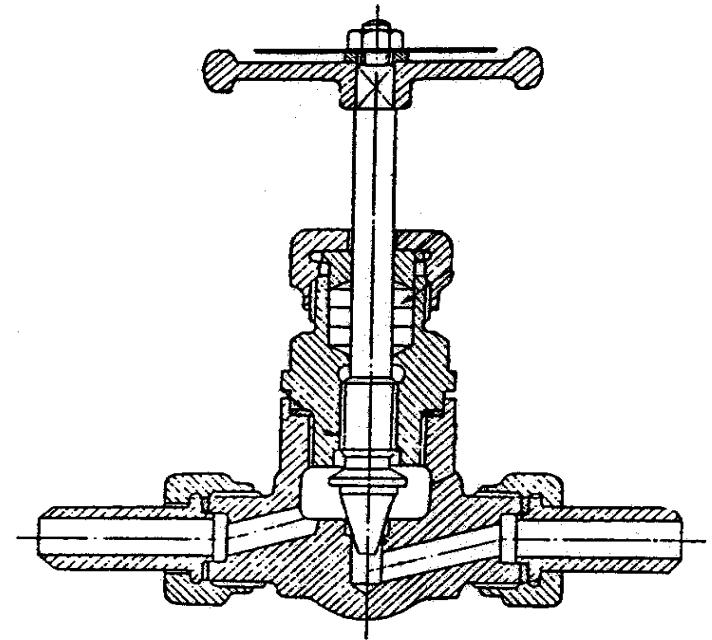
# Manual Expansion Valve



**FIGURE** Manual type expansion valve (cone type)

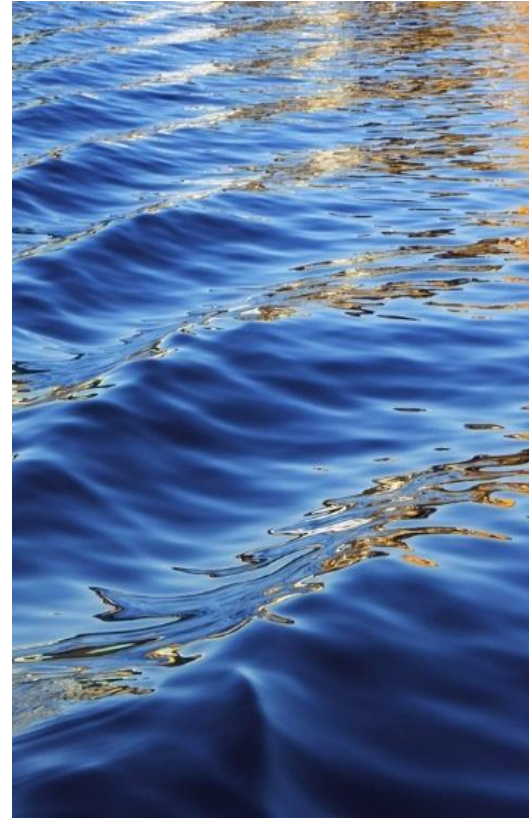
# Manual Expansion Valve

- ❑ Used in ammonia refrigerator
- ❑ Mass flow rate is adjusted manually by controlling handle
- ❑ Scale plate on the handle
- ❑ Filter is installed in entrance to prevent scale or sand to be mixed and circulated



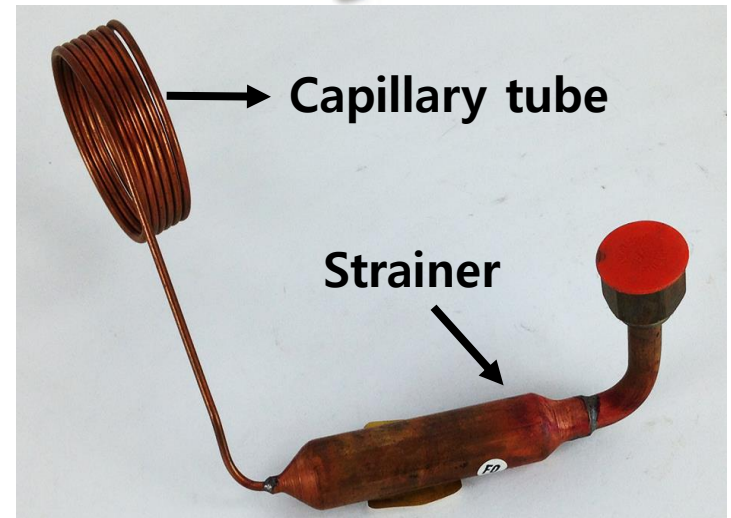
**FIGURE** Manual type expansion valve

# Capillary Tube



# Capillary Tube

- ❑ Small refrigeration system
  - ~ 10kW
- ❑ Pressure drop due to friction and acceleration of the refrigerant.
- ❑ Liquid flashing into vapor
- ❑ The tube **cannot adjust** to variations in discharge pressure, suction pressure, or load



**FIGURE** Capillary tube and strainer

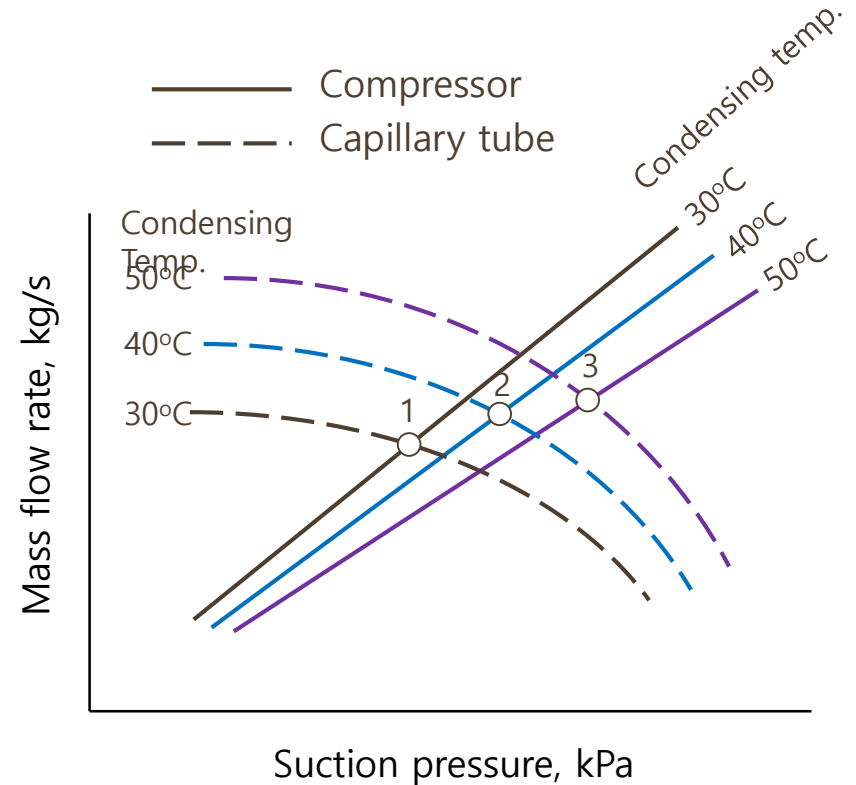


# Capillary Tube Balance Point

## □ Balance Point

- Expansion valve feeds as much as the compressor pumps from the evaporator.

- The heat transfer coefficient of the evaporator must also satisfied.



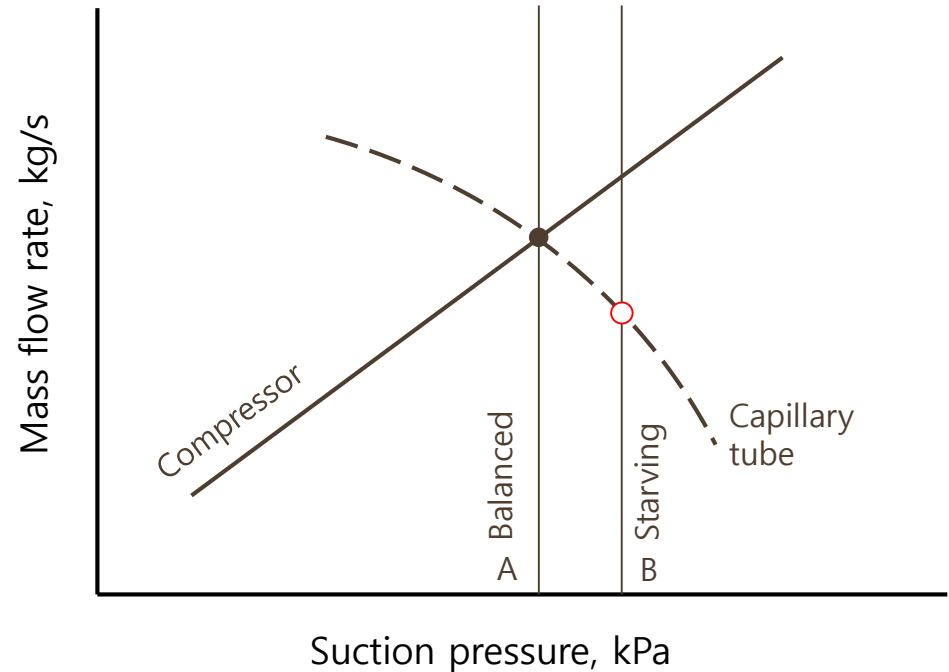
**FIGURE** Balance points with a reciprocating compressor and capillary tube.

# Capillary Tube Starving

## ❑ Heavy heat load condition

- (1) Suction pressure rise
- (2) Capillary tube does not feed sufficient refrigerant

## ➤ Starving the evaporator

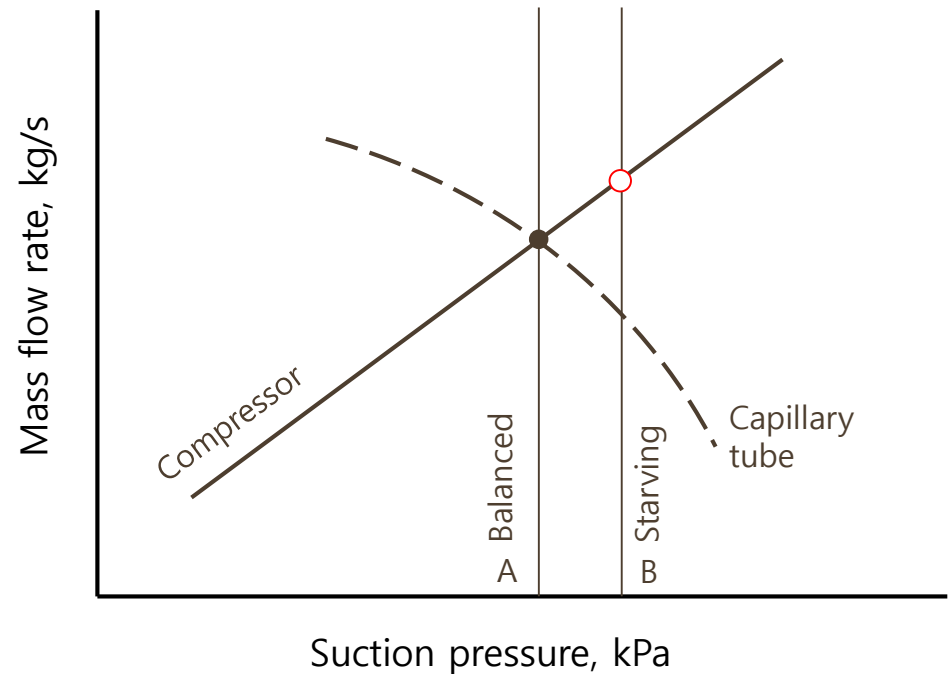


**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Starving

## ❑ At **point B** (Starving condition)

- (1) The compressor draws more refrigerant out of the evaporator than the capillary tube supply.
- (2) The evaporator becomes short of refrigerant

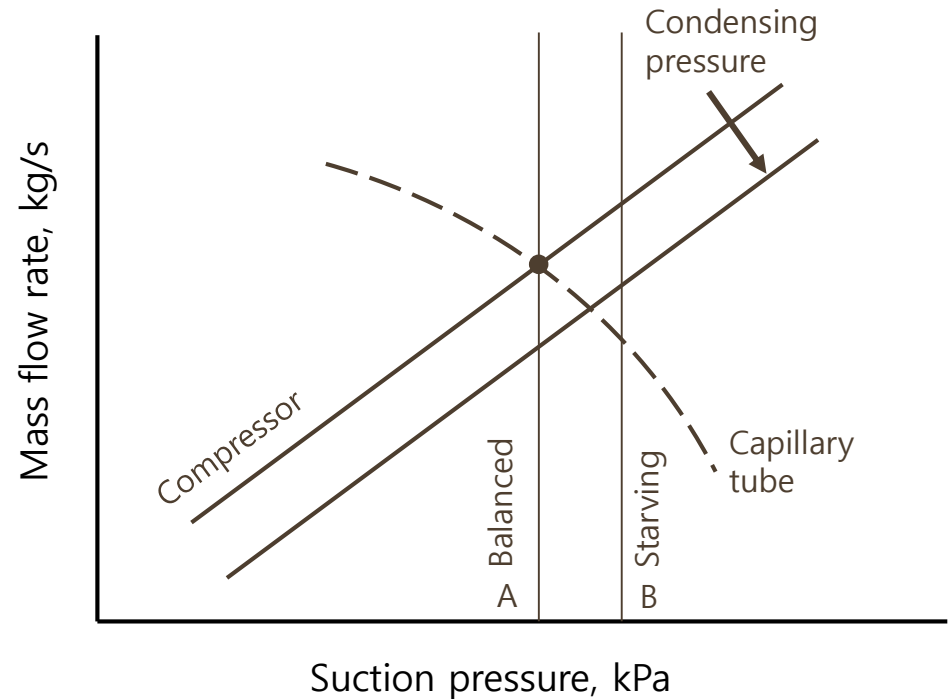


**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Starving

## 1. Liquid back up into the condenser

- Reducing condensing area
- Raising condenser pressure
- Reducing compressor capacity
- Increasing the feed rate of capillary tube



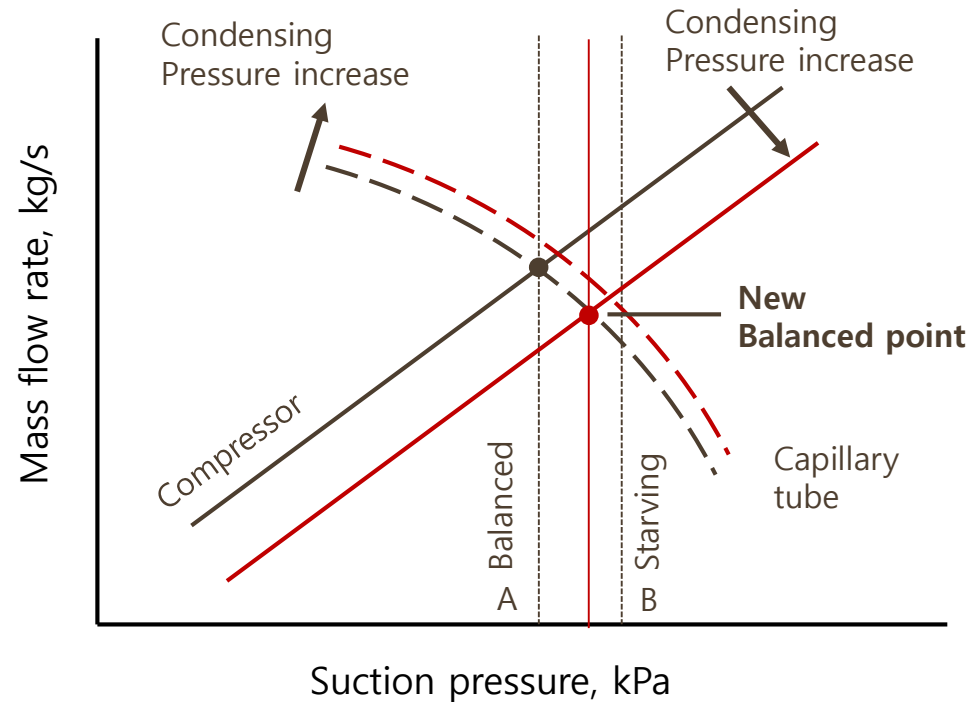
**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Starving

## 2. Decrease of the heat transfer coefficient of the evaporator

- Suction pressure drops back to the pressure A.

**Restoring balanced flow**



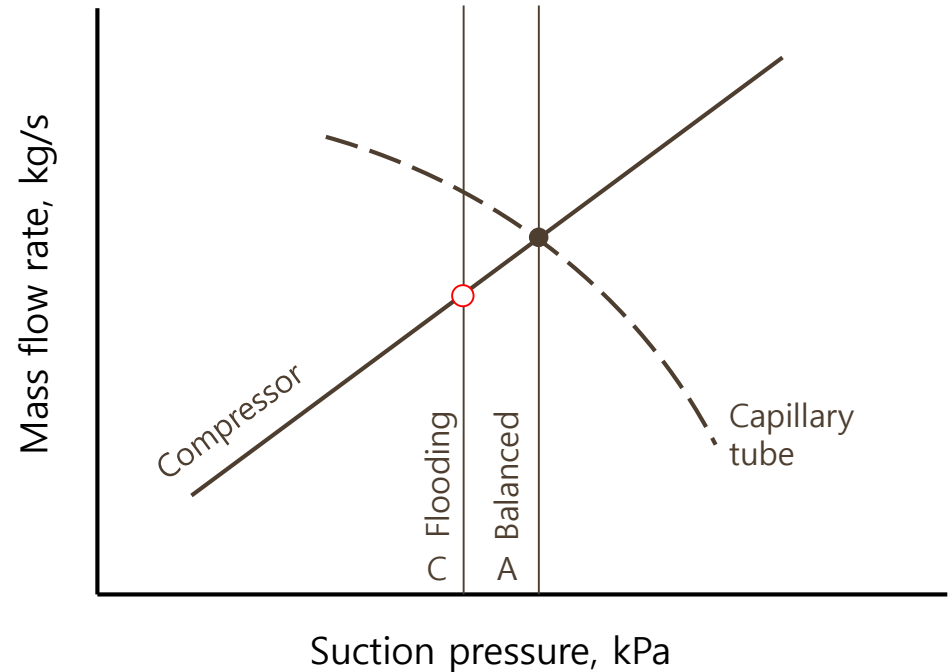
**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Flooding

## ❑ Low heat load condition

- Suction temperature and pressure drops to **point C**

**Flooding the evaporator**

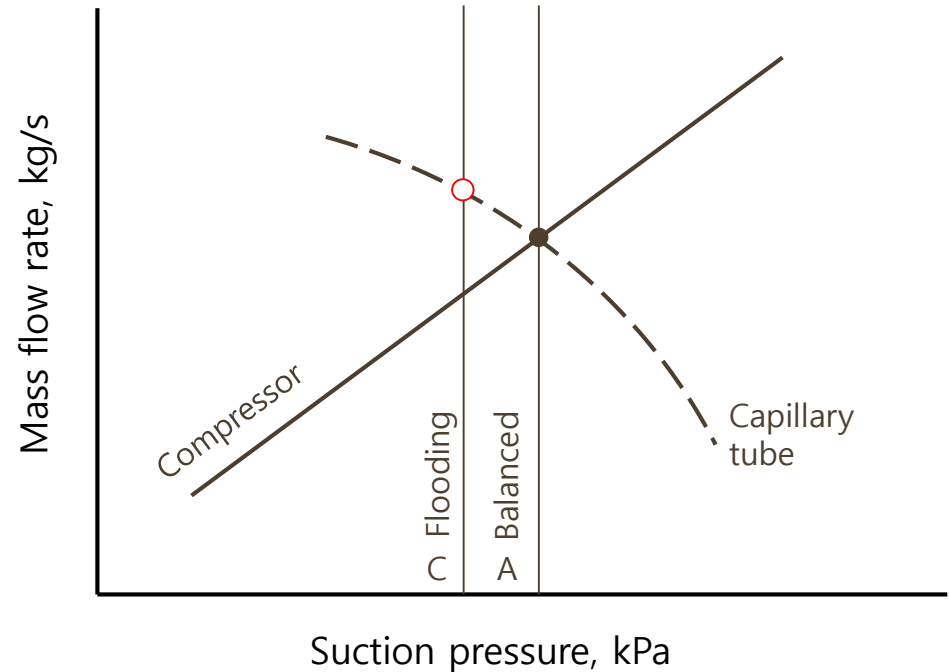


**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Flooding

## ❑ At **point C** (Flooding condition)

- (1) The capillary tube feed more refrigerant to the evaporator than the compressor draw out
- (2) The evaporator fills with liquid and would **spill over into the compressor**

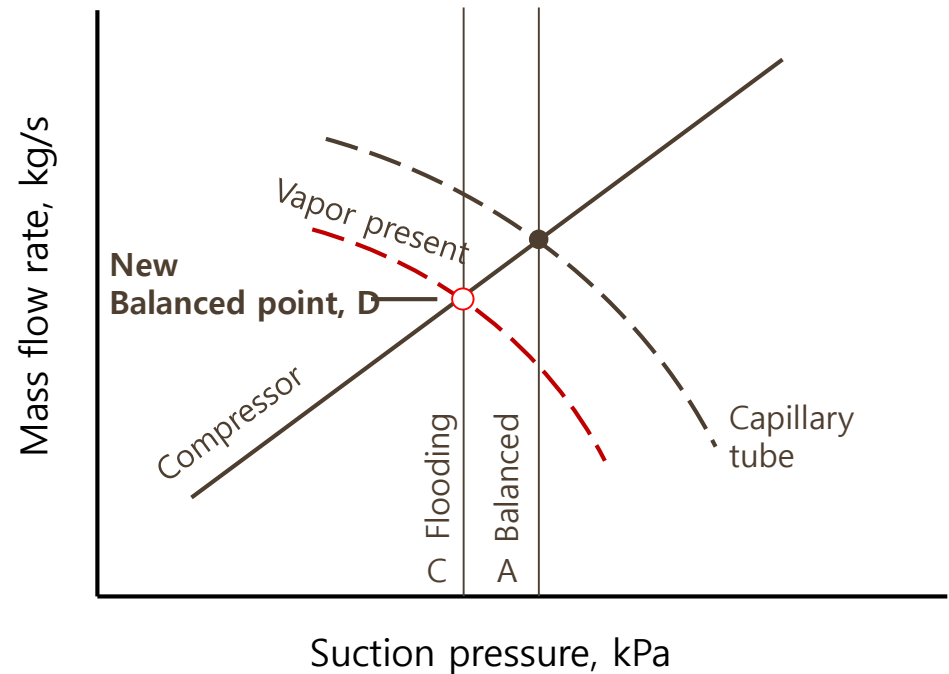


**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.

# Capillary Tube Flooding

## ❑ Gas enters the capillary tube

- High specific volume of the vapor
- Reducing the feed rate of capillary tube



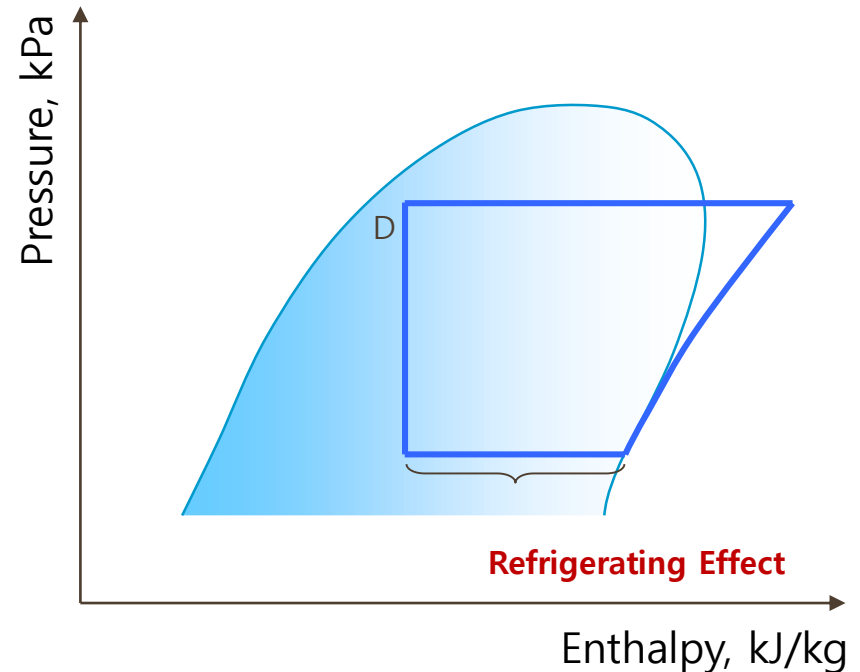
**FIGURE** Unbalanced conditions causing starving or flooding of the evaporator. The condensing pressure is constant.



# Capillary Tube Flooding

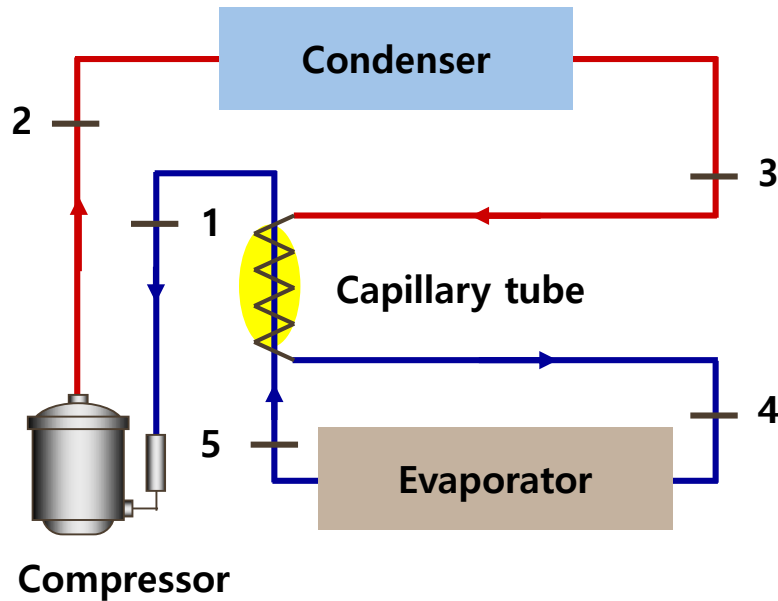
## ❑ At point D

- (1) Balanced condition, not a satisfactory condition
- (2) Reducing refrigerating effect compared with that when saturated or sub-cooled liquid enters the capillary tube

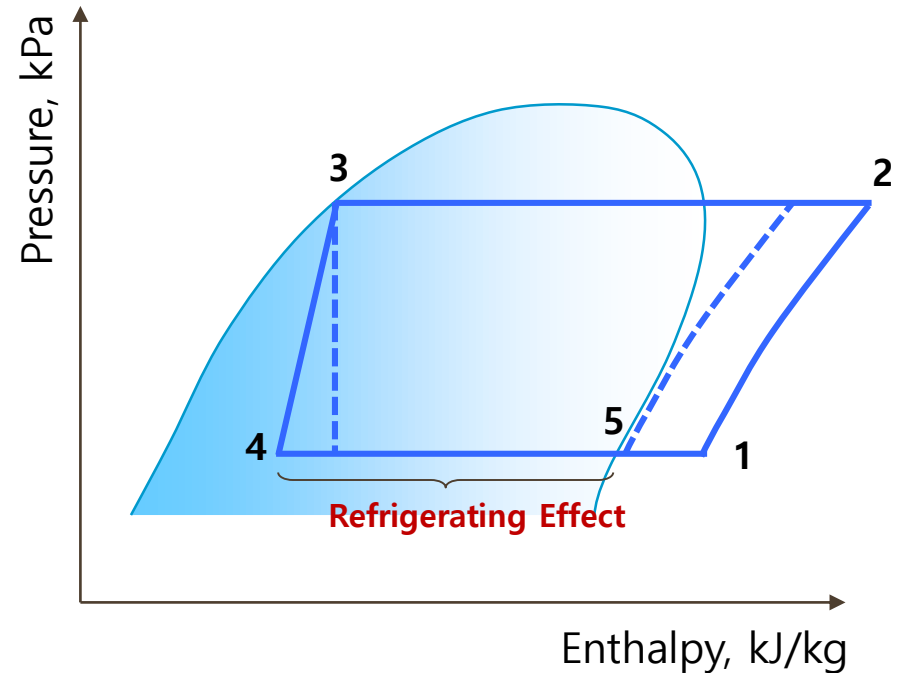


**FIGURE** Reduction in refrigerating effect when some vapor enters the capillary tube

# Liquid-Line Suction-Line Heat Exchanger

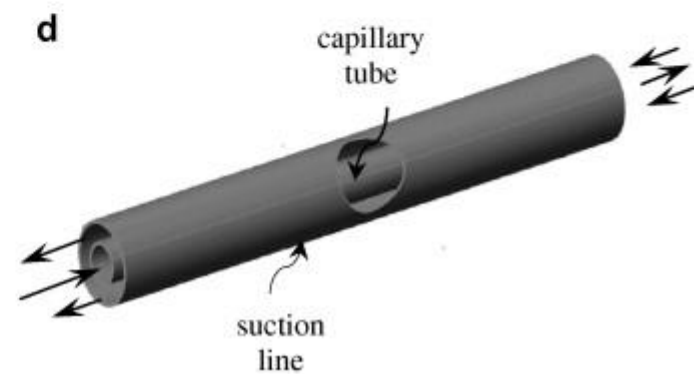
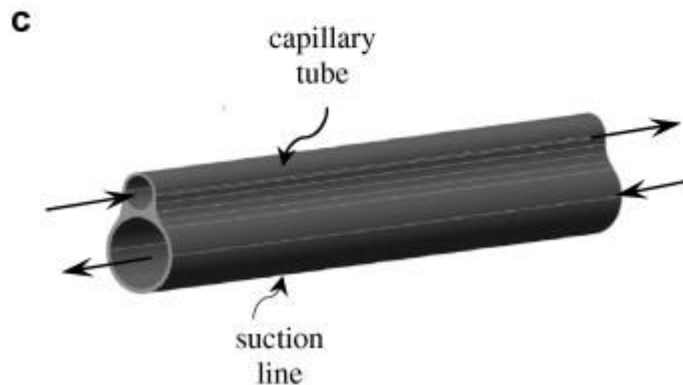
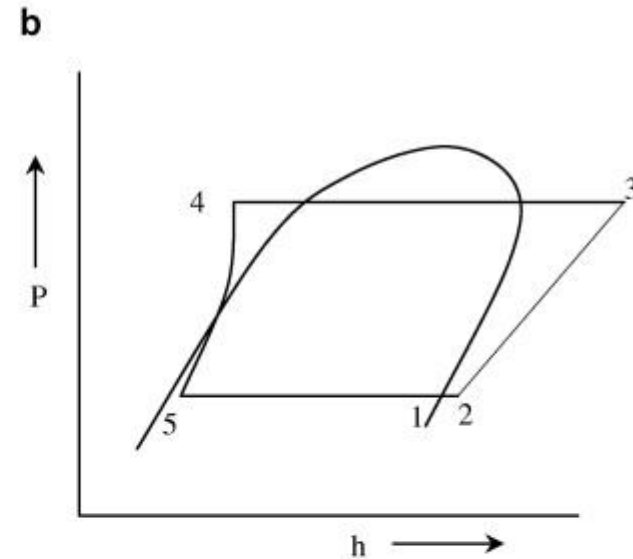
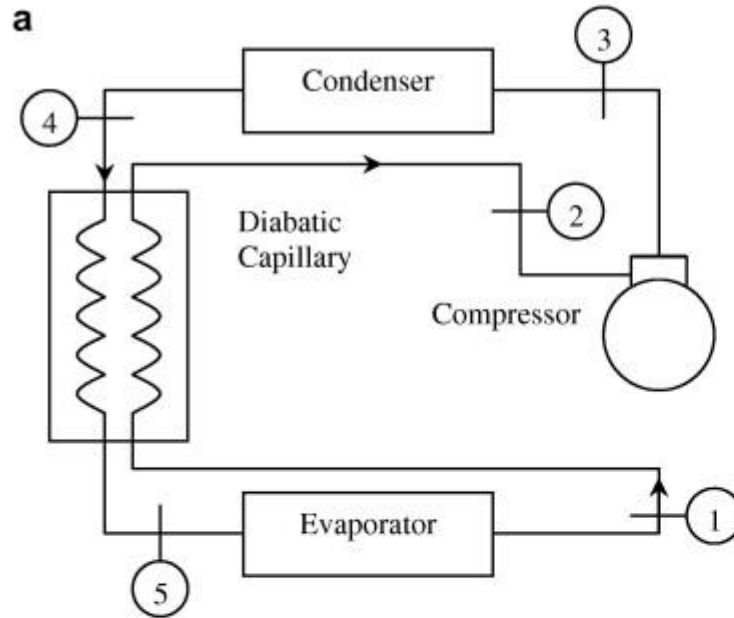


**FIGURE** Schematic diagram of the refrigeration system with LLSL heat exchanger



**FIGURE** P-h diagram of the refrigeration system with LLSL heat exchanger

# Capillary Tube



# Advantages & Disadvantages

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## Advantages

1. Simple
2. No moving parts
3. Inexpensive
4. Equalizes the pressures in the system during the off cycle
  - **Low starting torque**

## Disadvantages

1. Not adjustable to changing load condition
2. Susceptible to clogging by foreign matter
3. The mass of refrigerant charge should be held in close limits



# Capillary Tube "Selection"



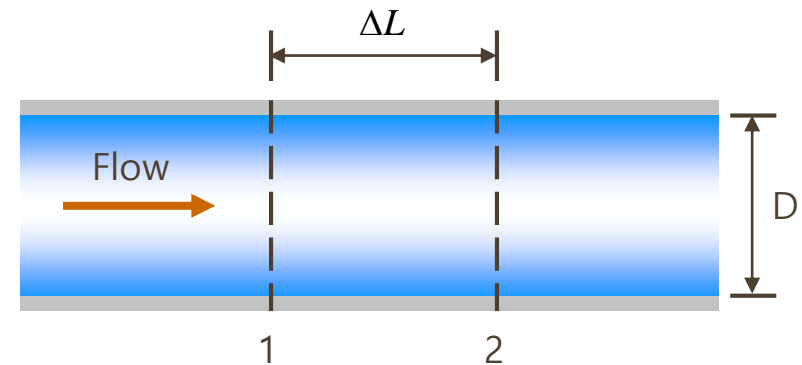
## Capillary Tube - Selection

# Flooding

### ❑ Bore and the length of the capillary tube

- The compressor and the tube fix a balance point at the desired evaporating temperature

- **Analytical method**
- **Graphical method**



**FIGURE** Incremental length of capillary tube

# Capillary Tube - Selection

## Analytical Method

### □ Notations

$A$  = cross-sectional area of inside of tube,  $\text{m}^2$

$D$  = inner diameter of tube,  $\text{m}$

$f$  = friction factor

$\Delta L$  = length of increment,  $\text{m}$

$p$  = pressure,  $\text{Pa}$

$T$  = temperature,  $^{\circ}\text{C}$

$\text{Re}$  = Reynolds number

$V$  = velocity,  $\text{m/s}$

$m$  = mass flow rate,  $\text{kg/s}$

$G$  = mass flux,  $\text{kg/m}^2\text{s}$

$x$  = quality

$h$  = enthalpy,  $\text{J/kg}$

$\nu$  = specific volume,  $\text{m}^3/\text{kg}$

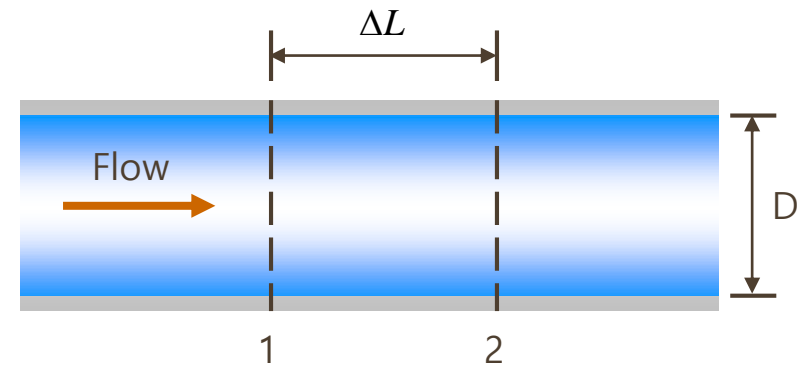
$\mu$  = viscosity,  $\text{Pa}\cdot\text{s}$

$\tau_w$  = wall shear stress,  $\text{Pa}$

### □ Subscript

$f$  = saturated liquid

$g$  = saturated vapor



**FIGURE** Incremental length of capillary tube

# Capillary Tube - Selection

## Analytical Method

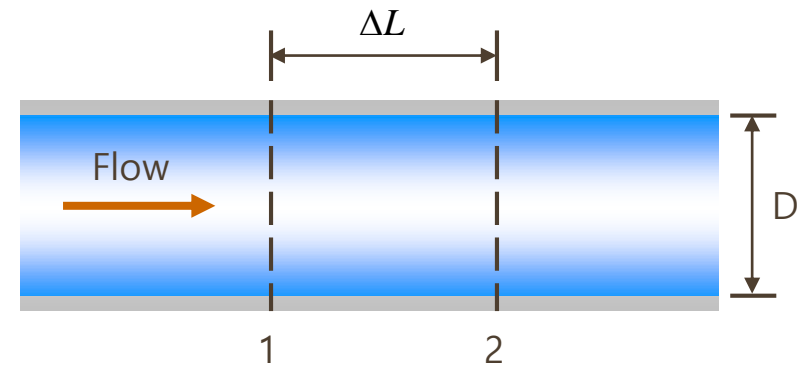
### ❑ Refrigerant property calculation

- The mass flow rate and all the conditions at point 1 are known.

- Select  $T_2$

- Compute the followings

$$p_2, h_{f_2}, h_{g_2}, v_{f_2}, v_{g_2}, \mu_{f_2}, \mu_{g_2}$$



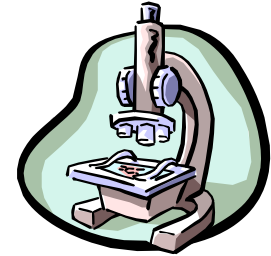
**FIGURE** Incremental length of capillary tube



# Capillary Tube - Selection

## Analytical Method

### Equations for properties of saturated refrigerant 22



$$\Rightarrow \ln\left(\frac{p}{1000}\right) = 15.06 - \frac{2418.4}{T + 273.15}$$

$$v_f = \frac{0.777 + 0.002063T + 0.00001608T^2}{1000}, \quad v_g = \frac{-4.26 + 94050(T + 273.15)/p}{1000}$$

$$\frac{h_f}{1000} = 200.0 + 1.172T + 0.001854T^2, \quad \frac{h_g}{1000} = 405.5 + 0.3636T - 0.002273T^2$$

$$\mu_f = 0.0002367 - 1.715 \times 10^{-6}T + 8.869 \times 10^{-9}T^2$$

$$\mu_g = 11.945 \times 10^{-6} + 50.06 \times 10^{-9}T + 0.2560 \times 10^{-9}T^2$$

# Capillary Tube - Selection

## Analytical Method

### □ Conservation of mass

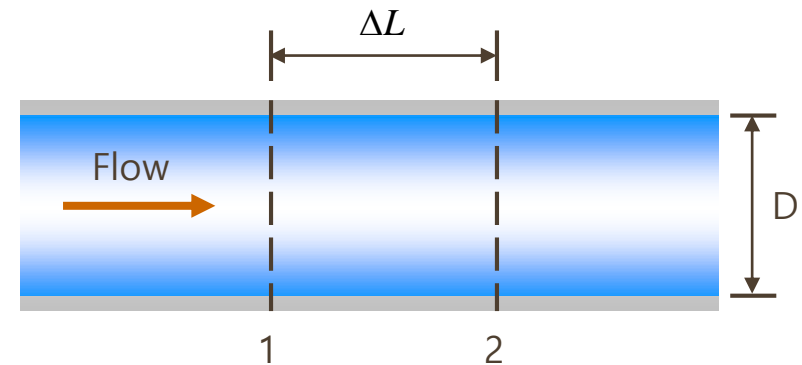
$$\Rightarrow \dot{m} = \frac{AV_1}{v_1} = \frac{AV_2}{v_2}$$

$$G = \frac{\dot{m}}{A} = \frac{V_1}{v_1} = \frac{V_2}{v_2}$$

### □ Conservation of energy

- Heat transfer is negligible
- No work, no potential energy change

$$\Rightarrow h_1 + \frac{V_1^2}{2} = h_2 + \frac{V_2^2}{2}$$



**FIGURE** Incremental length of capillary tube

# Capillary Tube - Selection

## Analytical Method

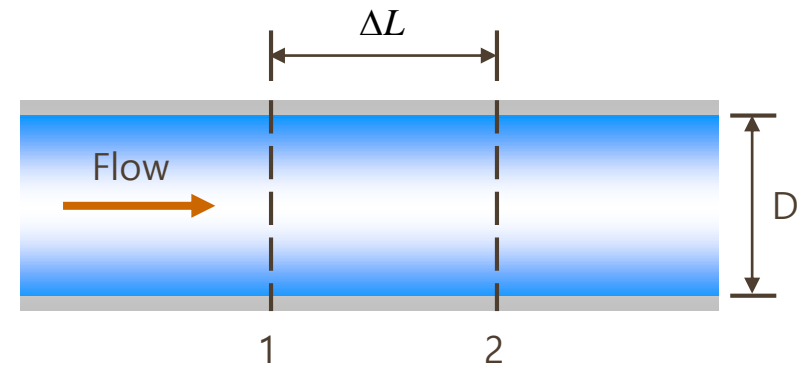
### ❑ Combination of mass & energy conservation

$$\Rightarrow h_1 + \frac{(Gv_1)^2}{2} = h_2 + \frac{(Gv_2)^2}{2}$$

### ❑ Equations of $h_2$ & $v_2$

$$\Rightarrow h_2 = h_{f2}(1-x) + h_{g2}x$$

$$v_2 = v_{f2}(1-x) + v_{g2}x$$



**FIGURE** Incremental length of capillary tube

$$\Rightarrow \left\{ h_{f2} + (1-x)h_{g2} \right\} + \frac{\left[ G \left\{ v_{f2} + (1-x)v_{g2} \right\} \right]^2}{2} = h_1 + \frac{(Gv_1)^2}{2} \quad \Rightarrow x$$

# Capillary Tube - Selection

## Analytical Method

- ❑ **Friction factor  $f$** 
  - Lower range of turbulent region

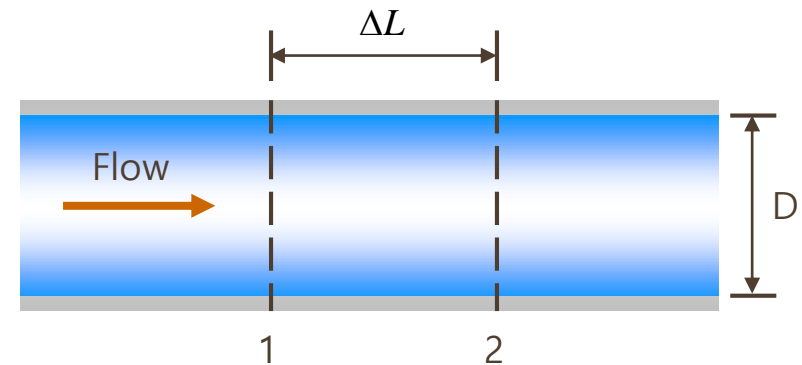
$$\Rightarrow f = \frac{0.33}{\text{Re}^{0.25}} = \frac{0.33}{(VD/\mu v)^{0.25}}$$

$$\mu = \mu_f (1-x) + \mu_g x$$

- ❑ **Shear stress  $\tau_w$**

$$\Rightarrow \tau_w = f_m \frac{V_m^2}{8v_m} = f_m \frac{v_m G^2}{8}$$

$$f_m = \frac{f_1 + f_2}{2}, \quad v_m = \frac{v_1 + v_2}{2}$$



**FIGURE** Incremental length of capillary tube

# Capillary Tube - Selection

## Analytical Method

### ❑ Conservation of momentum

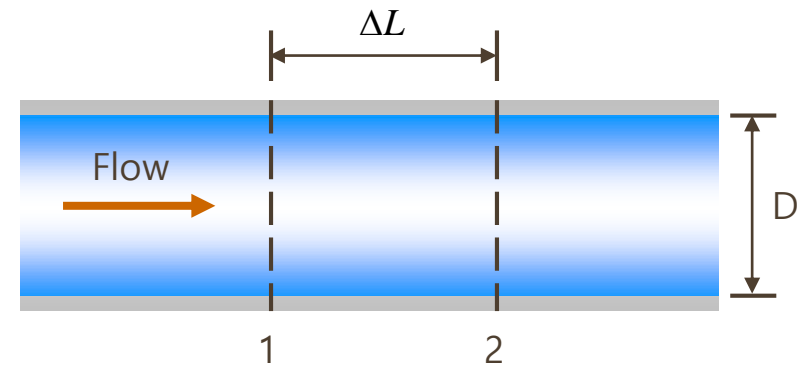
- The difference in forces applied to the element

$$p_1 A - p_2 A - \tau_w P \Delta L = \frac{A V_1}{v_1} (V_2 - V_1)$$

$$P = \pi D, \quad A = \frac{\pi D^2}{4}$$

$$\Rightarrow p_1 - p_2 = f \frac{G^2 \Delta L}{2 \rho D} + G(V_2 - V_1)$$

$$\Rightarrow \Delta L$$

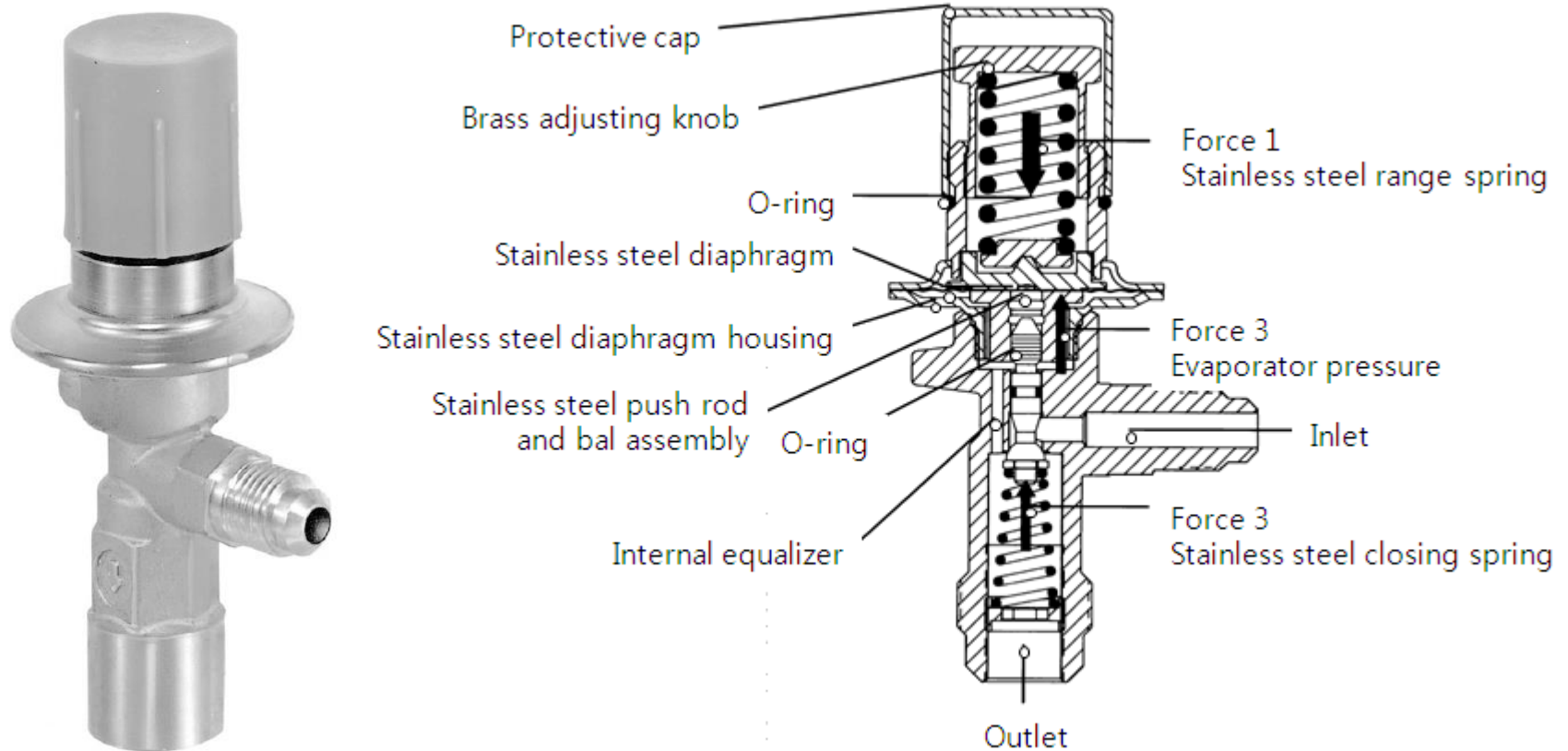


**FIGURE** Incremental length of capillary tube



# Constant Pressure Expansion Valve

# Constant Pressure Expansion Valve Schematic View



**FIGURE** Schematic view of a constant pressure expansion valve

# Constant Pressure Expansion Valve

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- ❑ Maintains a constant pressure at its outlet
- ❑ Sensing the evaporator pressure
  - Below the control point
    - Opens wider
  - Above the control point
    - Partially closes
- ❑ Less than about 30 kW
- ❑ Critical charge – feasible to prevent liquid from flooding
- ❑ Pressure limiting characteristic
  - Constant evaporating temperature
  - Control the humidity
  - Prevent freezing in water coolers
  - Protection against overload of the compressor

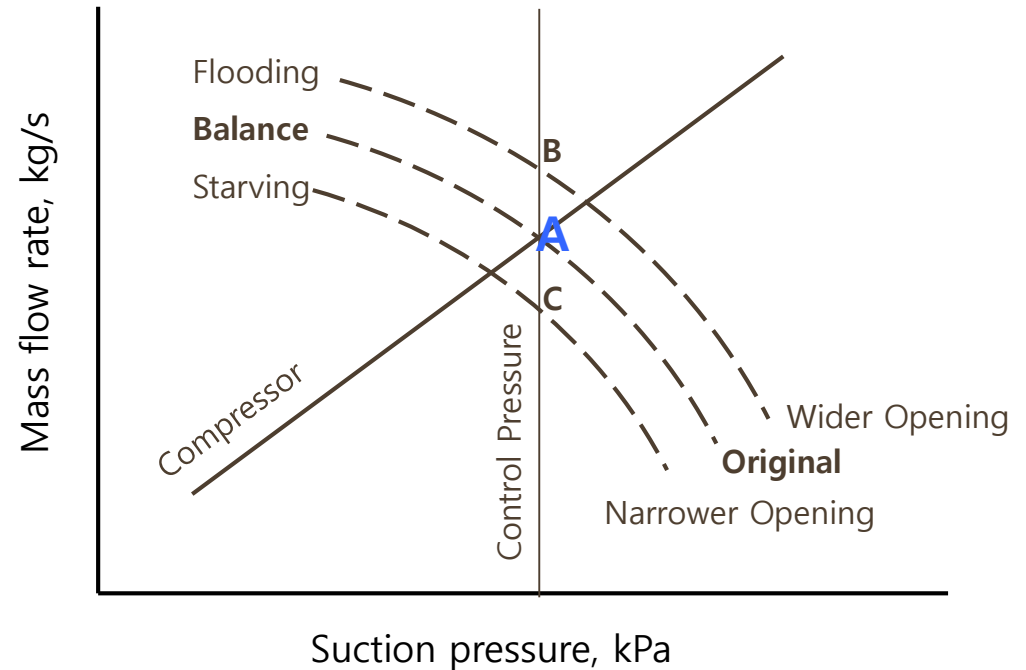


# Constant Pressure Expansion Valve

## Balance Point

### ❑ Balance Point, A

- Expansion valve feeds as much as the compressor pumps from the evaporator



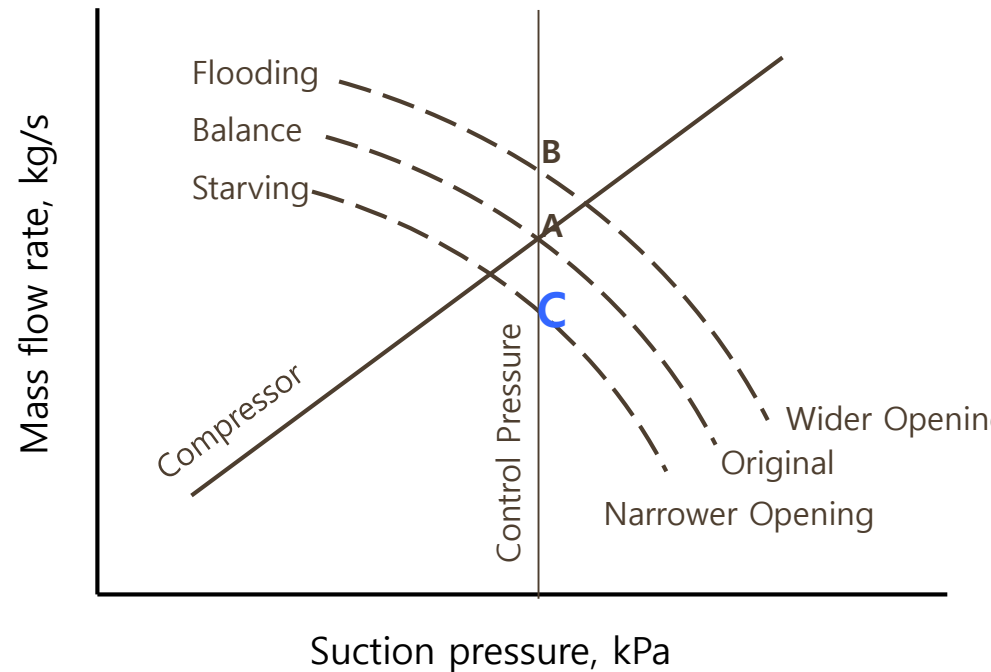
**FIGURE** Balanced and unbalanced conditions using a constant-pressure expansion valve. The condensing pressure is constant.

# Constant Pressure Expansion Valve Starving Condition

## ❑ Heavy heat load condition

- Temperature and pressure attempt to rise
- The valve partially closes
- Prevent the rise in pressure
- Decrease in the feed rate of the valve

**Point C : Starving of the evaporator**



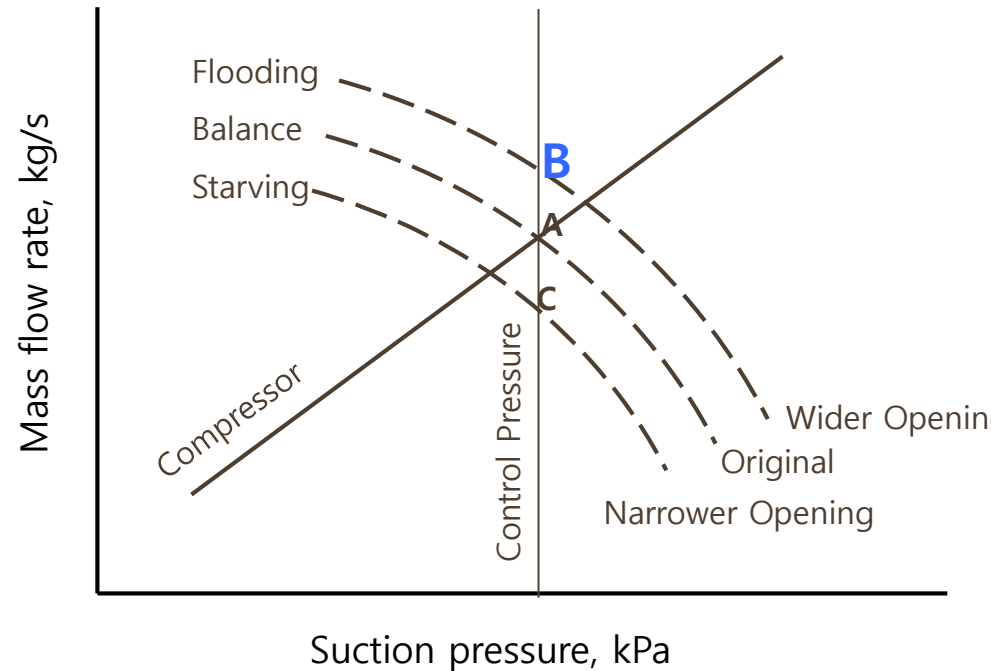
**FIGURE** Balanced and unbalanced conditions using a constant-pressure expansion valve. The condensing pressure is constant.

# Constant Pressure Expansion Valve Flooding Condition


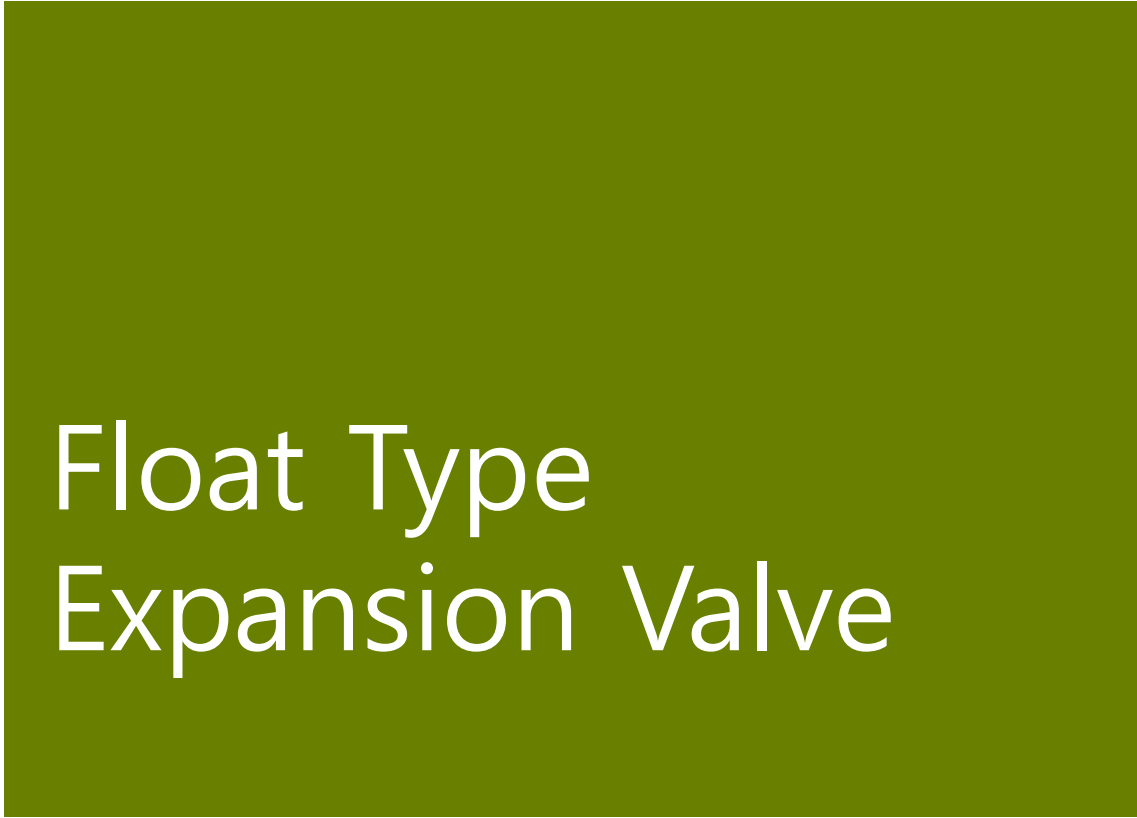

## ❑ Low heat load condition

- Temperature and pressure attempt to drop off
- The valve opens wider
- Prevent the drop in pressure
- Increase the feed rate of the valve

**Point B : Flooding of the evaporator**



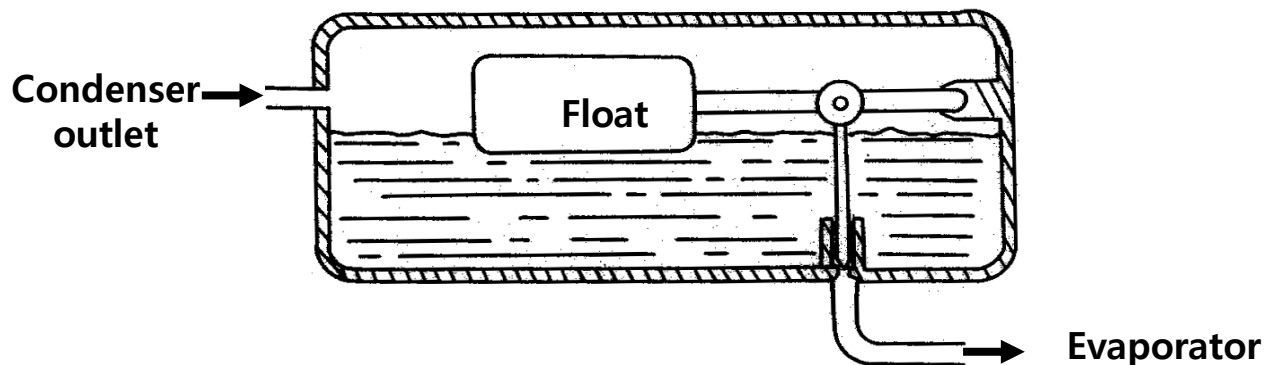
**FIGURE** Balanced and unbalanced conditions using a constant-pressure expansion valve. The condensing pressure is constant.



# Float Type Expansion Valve

# Float Type expansion Valve

- ❑ Maintains the liquid at a constant level in a vessel or an evaporator.
- ❑ Always establishes balanced conditions of flow between the compressor and itself.
- ❑ Float valves and float-switch-solenoid combinations are used primarily in large installations.
- ❑ Regulate the flow to flooded evaporators in response to the level of the evaporator.



**FIGURE** Float type expansion valve

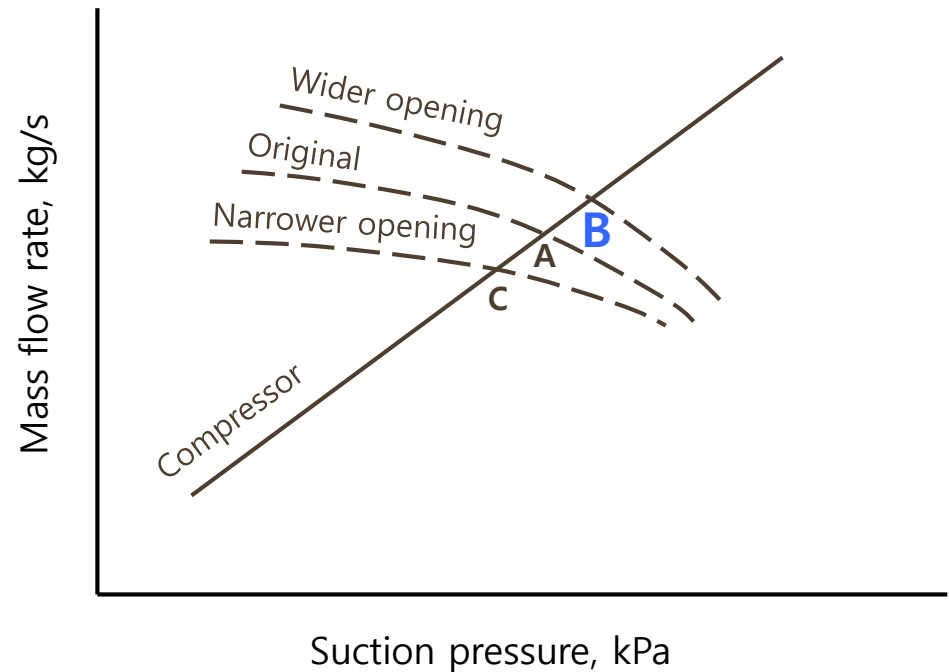
# Float Type Expansion Valve

## Starving Condition

### ❑ Heavy heat load condition

- Evaporating temperature and pressure rise
- The compressor pumps a greater rate of flow than the valve feeding
- The valve opens wider

**A new balance point, B**



**FIGURE** Balance points with various load conditions using a float valve. The condensing pressure is constant.

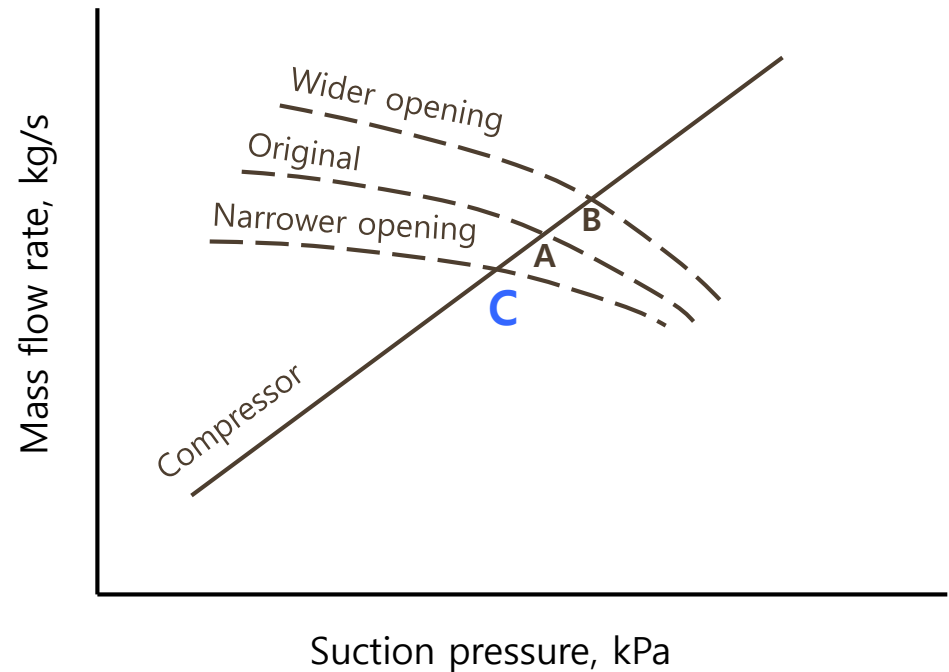
# Float Type Expansion Valve

## Flooding Condition

### ❑ Low heat load condition

- Evaporating temperature and pressure drop
- The compressor pumps a lesser flow than the valve feeding
- The valve partially closes

**A new balance point, C**



**FIGURE** Balance points with various load conditions using a float valve. The condensing pressure is constant.

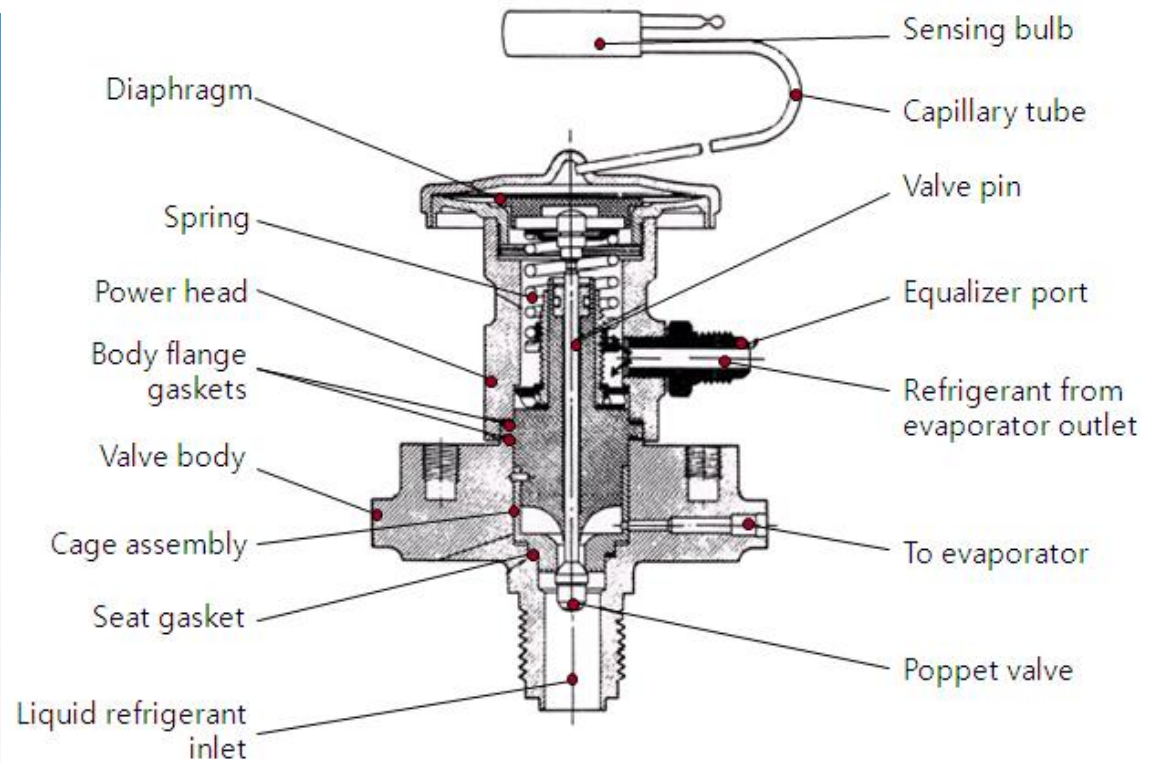


# Thermostatic Expansion Valve





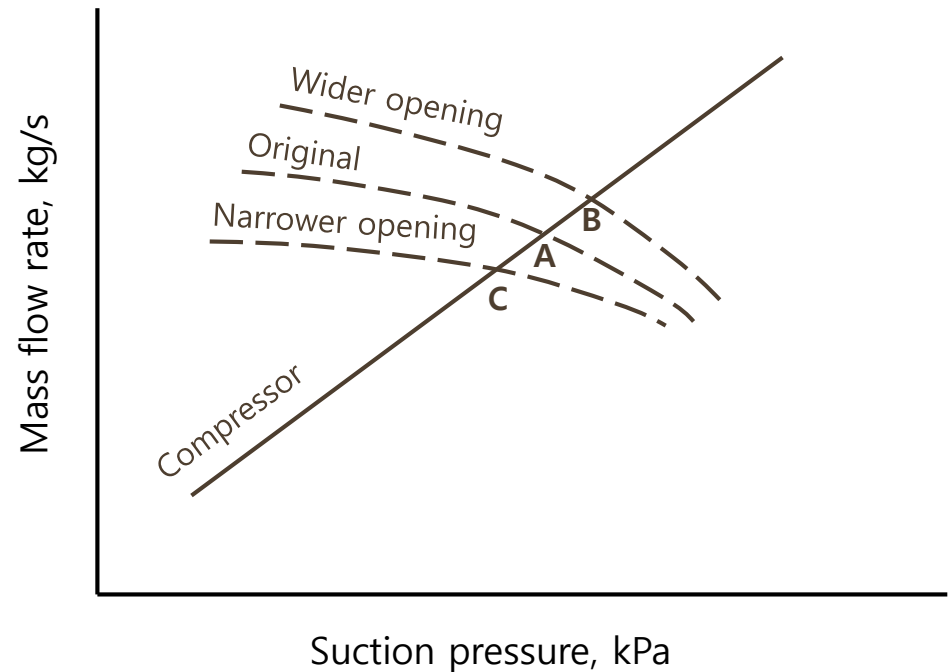
# Thermostatic Expansion Valve Schematic View



**FIGURE** Schematic view of a thermostatic expansion valve

# Thermostatic Expansion Valve

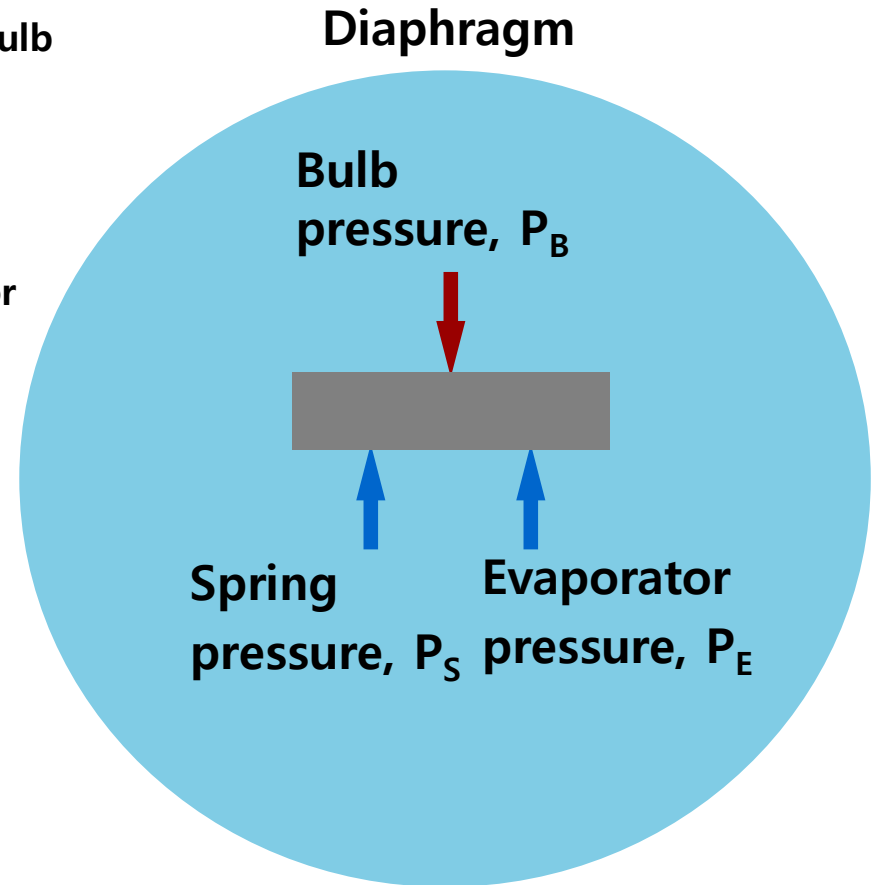
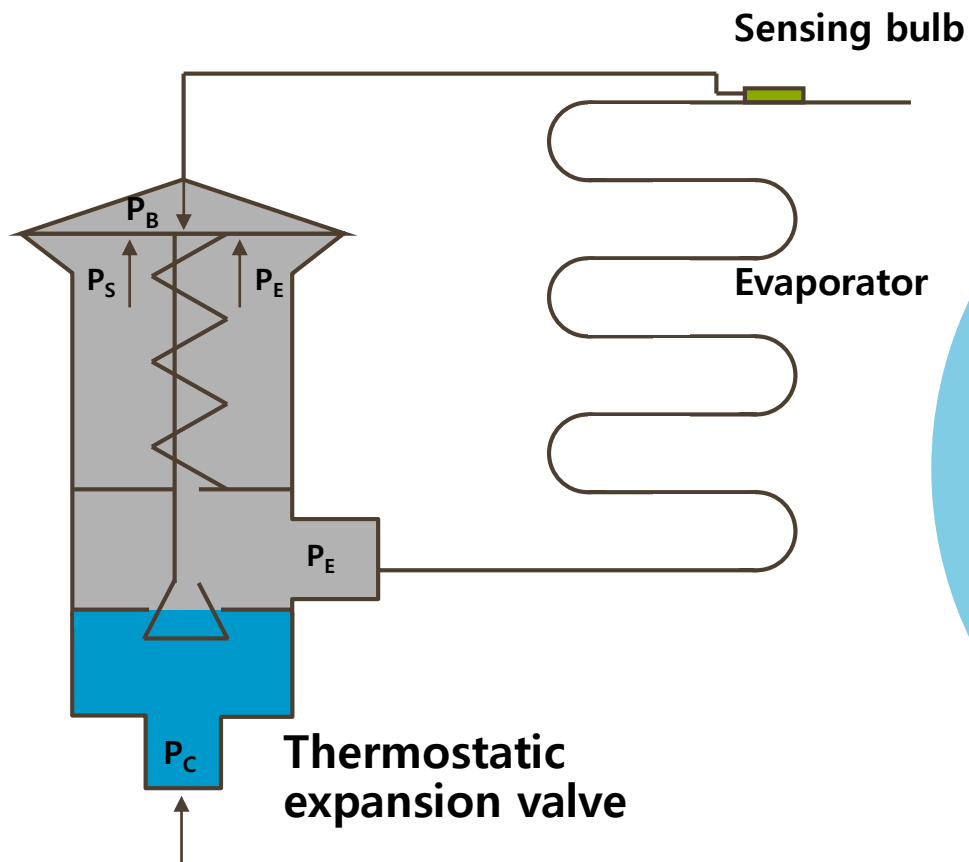
- ❑ Moderate-sized refrigeration system
- ❑ Regulating the rate of flow of liquid refrigerant in proportion to the rate of evaporation
- ❑ The balance of the flow rate is identical to those of the thermostatic expansion valve.



**FIGURE** Balance points with various load conditions using a float valve. The condensing pressure is constant.

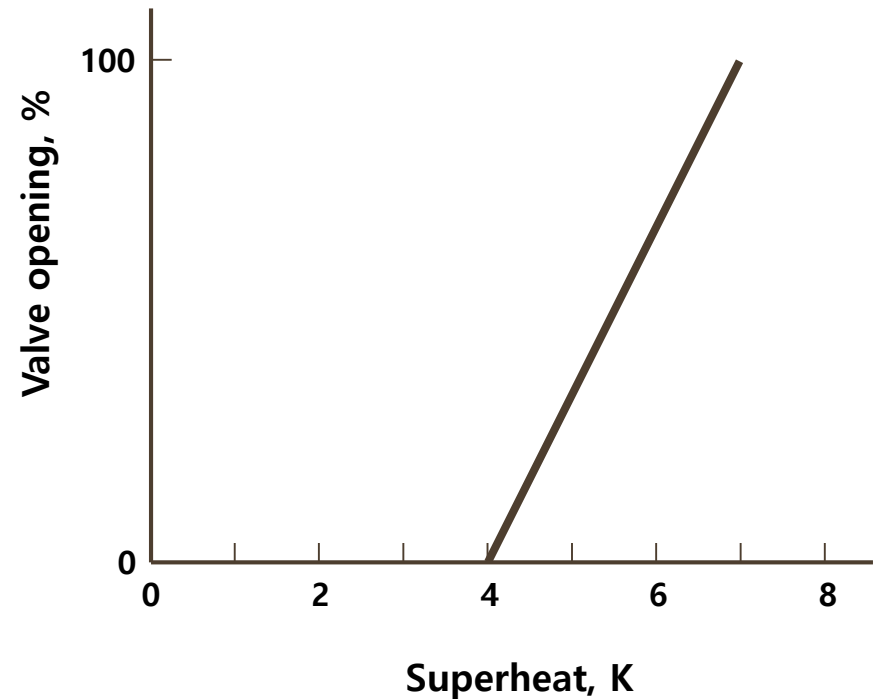
## Thermostatic Expansion Valve

# Thermostatic Expansion Valve



# Thermostatic Expansion Valve

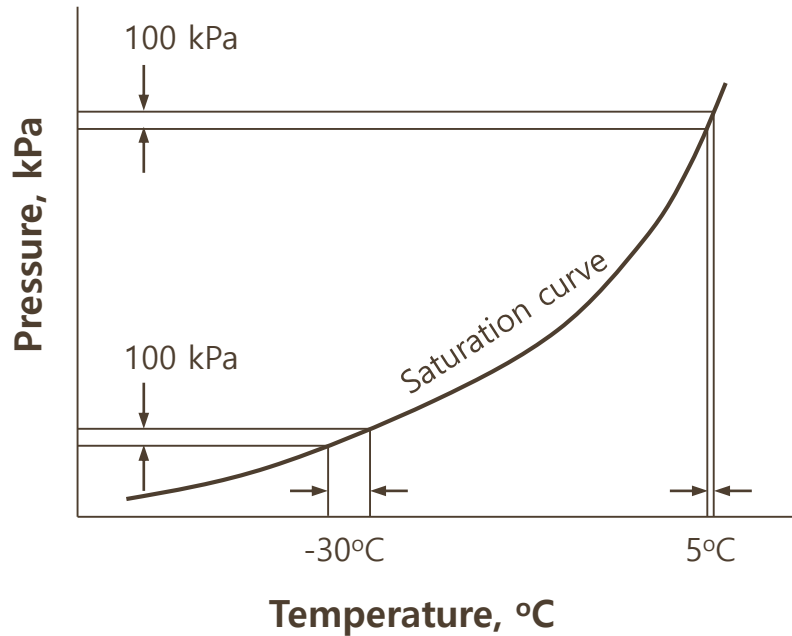
- ❑ **Power fluid**
  - the fluid in the bulb
- ❑ **A certain amount of superheat is required (4K)**
- ❑ **7K of superheat is needed to open valve completely.**
- ❑ **The operation of the valve maintains an approximately constant quantity of liquid**



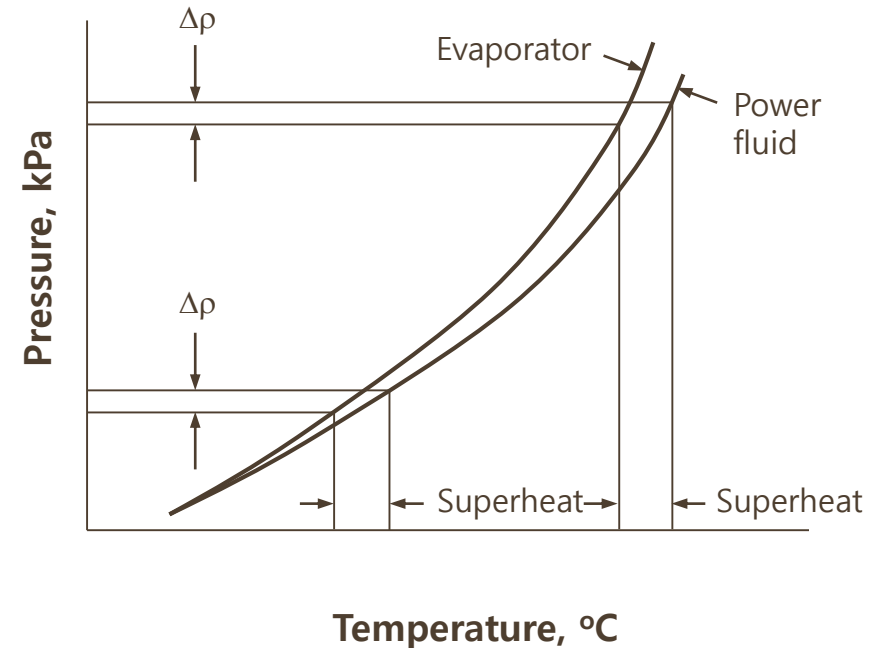
**FIGURE** Throttling range of thermostatic valve

# Thermostatic Expansion Valve

## Cross Charge



**FIGURE** Pressure-temperature characteristic of a refrigerant R22 power fluid.



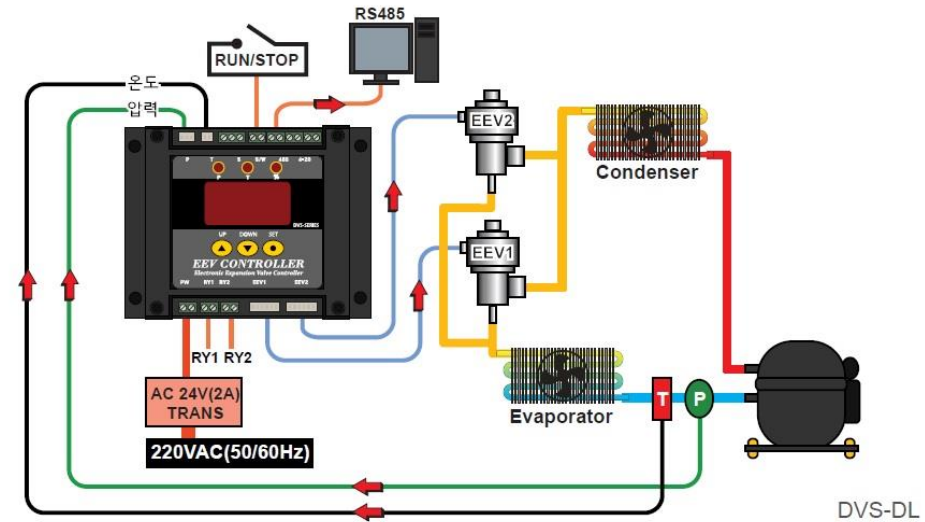
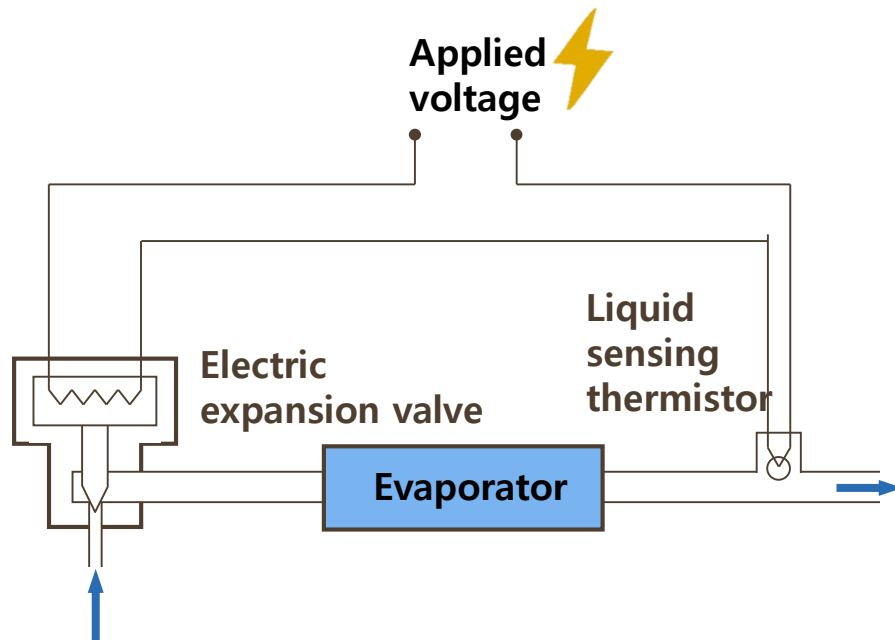
**FIGURE** Evaporator and power fluid temperature in a thermostatic expansion valve with a cross charge.



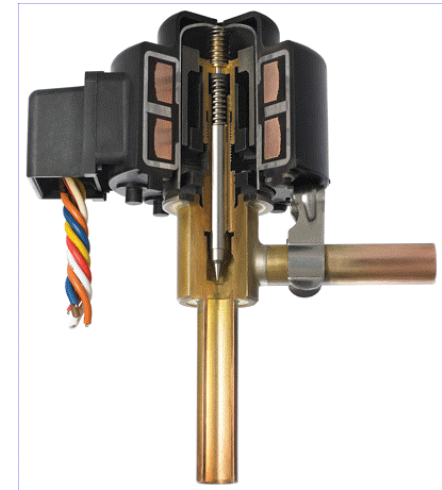
# Electric Expansion Valve



# Electric Expansion Valve

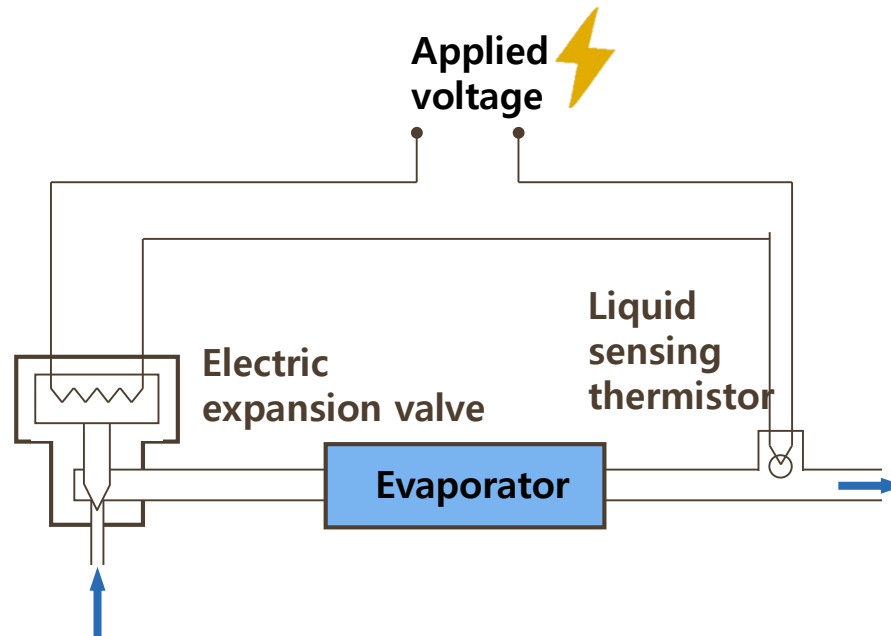


**FIGURE** An electric expansion valve



# Electric Expansion Valve

- ❑ Sensing the presence of liquid
  - Temperature and resistance of the thermistor
  - Current flow through the heater
  - Valve opening



**FIGURE** An electric expansion valve



Q&A

# Question and Answer Session

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