

# Frequency Domain Techniques and Loop Shaping

Francis Ch.1-7  
Chen Ch. 9

Dongjun Lee (이동준)

Department of Mechanical & Aerospace Engineering  
Seoul National University

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## Course Info

- Control Systems I

Introductory graduate-level control course, mainly focusing on linear systems, bridge between System Control Theory (undergrad. classical control) and Control System II (nonlinear/optimal control).

- Instructor: Dongjun Lee (djlee@snu.ac.kr, 301-1517, 880-1724)

- Teaching Assistants:

- Nguyen Hai-Nguyen (Lead: hainguyen@snu.ac.kr, 301-211, 880-1690)
- Hoyong Lee, Jeongseob Lee (hylee0428, overjs94@snu.ac.kr, same as above)

- Prerequisites

- System Control Theory (ME2794.002100) or equivalent; or by the consent of instructor

- Grading

1. HW 20% (score 0/1, 0.5/1, 1/1)
2. Mid-term exam 40% 4/19/2017 W 7-9:30pm
3. Final exam 40% 6/14/2017 W 7-10pm

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## Course Topics

1. Loop shaping and fundamental limitations
2. Control design using Youla parameterization, coprime factorization
3. Linear system state-space representation and solution
4. Stability
5. Controllability, observability, canonical decomposition
6. Minimal realization, balanced realization
7. State-space control design, state estimation, separation principle
8. Linear quadratic regulator (LQR)
9. Kalman-Bucy filter and linear quadratic Gaussian (LQG)
10. Kalman estimation and extended Kalman filtering (EKF)

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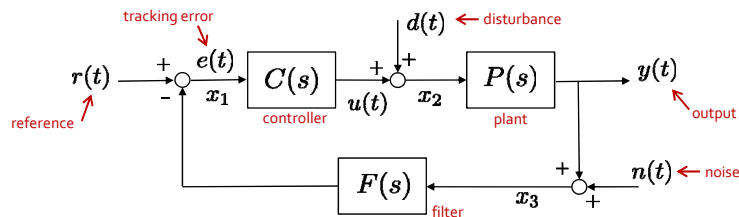
## Course Info

- Textbooks
  - Linear System Theory and Design, Chen, Oxford University Press
  - Feedback Control Theory, Doyle, Francis & Tannenbaum, Dover
  - Control System Design, Friedland, Dover
- References
  - Multivariable Feedback Control, Skogestad & Postlethwaite, Wiley
  - Control System Design, Goodwin, Gradebe & Salgado, Prentice Hall
  - Linear System Theory, Rugh & Kailath, Prentice Hall
  - Principles of Robot Motion, Choset et al, MIT Press
  - Optimal Control: Linear Quadratic Methods, Anderson & Moore, Dover

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## Basic Feedback Loop



- Plant  $P(s)$ , controller  $C(s)$ , filter  $F(s)$ , all SISO.
- Exogeneous signals: reference  $r(t)$ , disturbance  $d(t)$ , noise  $n(t)$ .
- Signal signals: tracking error  $e(t)$ , output  $y(t)$ , control  $u(t)$  (or  $x_1, x_2, x_3$ ).
- Closed-loop transfer functions:

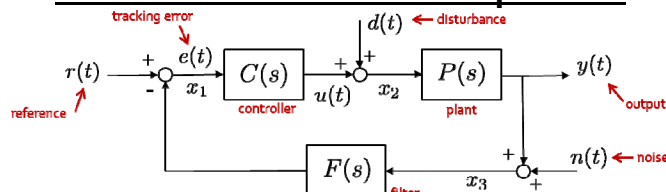
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \frac{1}{1 + PCF} \begin{bmatrix} 1 & -PF & -F \\ C & 1 & -CF \\ PC & P & 1 \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- **Well-posedness** (stronger than stability): all closed-loop TFs exist  $\Leftrightarrow 1 + P(s)C(s)F(s) \neq 0 \forall s \in \mathcal{C}$  (e.g.,  $P = C = 1, F = -1$ ).

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## Well-Posedness and Proper TFs



- Closed-loop transfer functions:

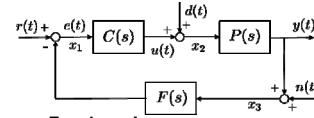
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \frac{1}{1 + PCF} \begin{bmatrix} 1 & -PF & -F \\ C & 1 & -CF \\ PC & P & 1 \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- Ill-posedness arises due to the lack of time to response (cf. integrator).
- CL system well-posed if  $P(s)$  is strictly proper and  $C(s), F(s)$  are proper.
- $G(s)$  is (strictly) stable if analytic in closed-RHP (i.e.,  $\text{Re}(s) \geq 0$ ).
- $G(s)$  is proper if  $G(j\infty)$  is finite (i.e.,  $\deg(N) = \deg(D)$ ).
- $G(s)$  is strictly proper if  $G(j\infty) = 0$  (i.e.,  $\deg(D) > \deg(N)$ ).
- CL system well-posed if  $1 + P(s)C(s)F(s)$  is not strictly proper.

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## Internal Stability



- Closed-loop transfer functions:

$$\begin{pmatrix} e \\ y \\ u \end{pmatrix} = \frac{1}{1+PCF} \begin{bmatrix} 1 & -PF & -F \\ PC & P & -PCF \\ C & -PCF & -CF \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- **Def:** The CL system is **internally stable** if all nine TFs are stable.

- Not enough just to ensure stability of input-output TF (i.e.,  $\frac{PC}{1+PCF}$ ).
- Internal instability with unstable pole-zero cancellation (e.g., with  $P = \frac{1}{s^2-1}$ ,  $C = \frac{s-1}{s+1}$ ,  $F = 1$ ,  $r \rightarrow y$  stable, yet,  $d \rightarrow y$  unstable)

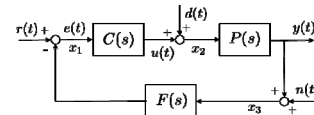
- **Th. 1-1:** CL system is internally stable iff no CL poles in  $\text{Re}(s) \geq 0$ .

- Write  $P, C, F$  as coprime polynomials (i.e., with no common factors):  
 $P = \frac{N_P}{M_P}$ ,  $C = \frac{N_C}{M_C}$ ,  $F = \frac{N_F}{M_F} \Rightarrow \frac{1}{1+PCF} = \frac{M_P M_C M_F}{N_P N_C N_F + M_P M_C M_F}$ .
- **CL poles** = zeros of  $N_P N_C N_F + M_P M_C M_F = 0$  (w/o PZ-cancellation).
- CL TF matrix:  $\frac{1}{N_P N_C N_F + M_P M_C M_F} \begin{bmatrix} M_P M_C & -N_P M_C & -M_P M_C \\ M_P N_C & M_P M_C & -M_P N_C \\ N_P N_C & -N_P M_C & M_P M_C \end{bmatrix}$
- ( $\Leftarrow$ ) obvious; ( $\Rightarrow$ ) if not, that unstable pole should be canceled in all nine TFs  $\rightarrow$  impossible w/ coprimeness among  $M_*, N_*$ .

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## Internal Stability



- Feedback system transfer functions:

$$\begin{pmatrix} e \\ y \\ u \end{pmatrix} = \frac{1}{1+PCF} \begin{bmatrix} 1 & -PF & -F \\ PC & P & -PCF \\ C & -PCF & -CF \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- **Th. 1-2:** The CL system is internally stable if and only if:

1.  $1 + PCF = \frac{N_P N_C N_F + M_P M_C M_F}{M_P M_C M_F}$  has no zeros in  $\text{Re}(s) \geq 0$ ; and
  2. No unstable pole-zero cancellation when the loop-TF  $PCF$  is formed.
- ( $\Rightarrow$ ) First condition obvious. Also, from Th. 1-1, no unstable zeros of  $N_P N_C N_F + M_P M_C M_F \rightarrow$  no unstable pole-zero cancellation possible.
  - ( $\Leftarrow$ ) Will show that, under two conditions, CL poles necessarily stable. Suppose not. Then,  $\exists s_o$ , s.t.,  $[N_P N_C N_F + M_P M_C M_F](s_o) = 0$ ,  $\text{Re}(s_o) \geq 0$ .
    1. Suppose  $[M_P M_C M_F](s_o) \neq 0 \rightarrow \frac{N_P N_C N_F + M_P M_C M_F}{M_P M_C M_F}(s_o) = [1 + PCF](s_o) = 0 \rightarrow$  contradict to condition 1.
    2. Suppose  $[M_P M_C M_F](s_o) = 0 \rightarrow [N_P N_C N_F](s_o) = 0 \rightarrow$  unstable PZ-cancellation  $\rightarrow$  contradict to condition 2.

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## Unit Feedback System

- Unit-feedback CL transfer functions with  $F(s) = 1$ :

$$\begin{pmatrix} e \\ y \\ u \end{pmatrix} = \frac{1}{1+PC} \begin{bmatrix} 1 & -P & -1 \\ PC & P & -PC \\ C & -PC & -C \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- Nyquist stability theorem:** Construct Nyquist plot of  $L = PC$ , indenting to RHP around poles on  $jw$ -axis. Let  $n$  denote unstable poles of  $P$  and  $C$ . Then, CL system is internally stable iff Nyquist plot doesn't pass through  $(-1, 0)$  and encircles it exactly  $n$ -times counter-clockwise.
- Sensitivity function  $S := \frac{e}{r} = \frac{1}{1+L} = \frac{1}{1+PC} = \frac{M_P M_C}{N_P N_C + M_P M_C}$ .
  - Step tracking if  $S$  has at least one zero at  $s = 0$ ; ramp tracking if two zeros at  $s = 0$  (cf. system type, smaller  $\rightarrow$  better performance).
- Complementary sensitivity function  $T := \frac{y}{r} = \frac{L}{1+L} = \frac{PC}{1+PC} = \frac{N_P N_C}{N_P N_C + M_P M_C}$ .
  - Total transfer function from reference  $r$  to output  $y$ .
  - $S$  is sensitivity of total TF  $T$  against  $\Delta P$ :  $S = \frac{\partial T / T}{\partial P / P}$ .
  - $S(s) + T(s) = 1$  with  $T$  relevant to robust stability.

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## Control Parameterization: Stable P

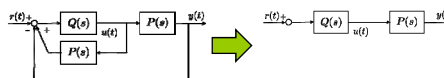
- Unit-feedback transfer functions:

$$\begin{pmatrix} e \\ y \\ u \end{pmatrix} = \frac{1}{1+PC} \begin{bmatrix} 1 & -P & -1 \\ PC & P & -PC \\ C & -PC & -C \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- Control synthesis:** given  $P$ , design  $C$  s.t., feedback system (1) is internally stable and (2) acquires some desired properties (e.g., tracking).
- Parameterize stabilizing  $C \rightarrow$  tweak  $C$  to achieve desired properties.

- Th. 1-3:** Suppose  $P \in \mathcal{S}$ , where  $\mathcal{S}$  is the set of all stable, proper, real-rational functions. Then, all the stabilizing controllers are given by

$$C = \frac{Q}{1 - PQ}, \quad \forall Q \in \mathcal{S}$$



- Affine relation:  $S = 1 - PQ, T = PQ \rightarrow$  not work for unstable  $P$ .
- (Ex) Design ramping tracking control for  $P(s) = \frac{1}{(s+1)(s+2)}$ .
  - $S$  with at least two zeros at  $s = 0 \rightarrow Q = \frac{as+b}{s+1} \rightarrow$  CL stable.
  - $S = 1 - PQ = \frac{s^3 + 4s^2 + (5-a)s + (2-b)}{(s+1)^2(s+2)} \rightarrow a = 5, b = 2$  (increase order  $[C]$ ).

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## Coprime Factorization

- **Bezout identity:** given polynomials  $N(s), M(s)$ ,  $\exists$  polynomials  $X(s), Y(s)$  s.t.,

$$N(s)X(s) + M(s)Y(s) = 1$$

iff  $N(s), M(s)$  are coprime ( $X = s + 1, Y = s^2 + s$ ; also for integers).

- **Coprimeness of TFs:** we say  $N(s), M(s) \in \mathcal{S}$  are coprime if  $\exists X(s), Y(s) \in \mathcal{S}$  s.t.,

$$N(s)X(s) + M(s)Y(s) = 1$$

This coprimeness of  $N, M$  holds is iff  $N(s), M(s)$  have no common zero in  $\text{Re}(s) \geq 0$  and at  $s \rightarrow \infty$  (i.e., both  $N, M$  can't be strictly proper).

- **Coprime factorization:** for any real-rational TF  $G(s)$ , we can always write its coprime factorization over  $\mathcal{S}$  s.t.,

$$G(s) = \frac{N(s)}{M(s)}, \quad NX + MY = 1, \quad N, M, X, Y \in \mathcal{S}$$

- (Ex)  $G(s) = \frac{1}{s-1} \rightarrow N(s) = \frac{1}{s+1}, M(s) = \frac{s-1}{s+1}$  (all pass). What if  $(N, M) = (\frac{1}{(s+1)^2}, \frac{s-1}{(s+1)^2})$  or  $(N, M) = (\frac{(s-2)}{(s+1)^2}, \frac{(s-1)(s-2)}{(s+1)^2})$ ?
- (Ex: Euclid algorithm)  $G(s) = \frac{1}{(s-1)(s-2)} \rightarrow N = \frac{1}{(s+1)^2}, M = \frac{(s-1)(s-2)}{(s+1)^2}, X = \frac{19s-11}{s+1}, Y = \frac{s+6}{s+1}$ .

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## Youla Parameterization

- **Th. 1-4:** Consider unit feedback system with possibly unstable  $P = \frac{N}{M}$ , where  $N, M \in \mathcal{S}$  are coprime with  $NX + MY = 1, X, Y \in \mathcal{S}$ . Then, all the stabilizing controllers are given by

$$C = \frac{X + MQ}{Y - NQ}, \quad \forall Q \in \mathcal{S}$$

- Main idea of proof:

- (Lemma 1-1) Let  $C = \frac{N_C}{M_C}$  be a coprime factorization. Then, the feedback system is internally stable iff  $(NN_C + MM_C)^{-1} \in \mathcal{S}$ .
- ( $\Leftarrow$ ) Given  $Q \in \mathcal{S}$  and  $C$  as above, define  $N'_C := X + MQ, M'_C := Y - NQ$  (not necessarily coprime)  $\rightarrow$  put these into  $NX + MY = 1$ , we have  $NN'_C + MM'_C = 1$ , implying  $N'_C, M'_C$  are coprime. Further, CL stable from Lem. 1-1 w/  $NN'_C + MM'_C \in \mathcal{S}$ .
- ( $\Rightarrow$ ) Suppose internal stability with  $C$  and let  $C = \frac{N_C}{M_C}$  its coprime factorization. Define  $V := (NN_C + MM_C)^{-1} \in \mathcal{S}$  (Lem. 1-1).

Define  $Q$  s.t.,  $M_C V = Y - NQ \rightarrow$  put this into  $NN_C V + MM_C V = 1 \rightarrow NN_C V + M(Y - NQ) = 1$ . Also, from  $NX + NY = 1, N(X + MQ) + M(Y - NQ) = 1$ . Thus,  $N_C V = X + MQ$ , and  $C = \frac{N_C V}{M_C V} = \frac{X + MQ}{Y - NQ}$ . Further,  $(NX + MY)Q = YN_C V - XM_C V \rightarrow Q \in \mathcal{S}$ .

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## Q-Parameterization: Example

- **Th. 1-4:** Consider unit feedback system with possibly unstable  $P = \frac{N}{M}$ , where  $N, M \in \mathcal{S}$  are coprime with  $NX + MY = 1$ ,  $X, Y \in \mathcal{S}$ . Then, all the stabilizing controllers are given by

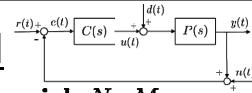
$$C = \frac{X + MQ}{Y - NQ}, \quad \forall Q \in \mathcal{S}$$

- $S = M(Y - NQ)$  and  $T = N(X + MQ)$ , still all affine in  $Q$ .
- (Ex) Design control  $C$  for  $P = \frac{1}{(s-1)(s-2)}$  s.t., (1) CL system internally stable; (2) perfect step tracking when  $d = 0$ ; and (3) rejection of sinusoid disturbance  $d$  of 10rad/s.
  - From Th. 1-4,  $C = \frac{X+MQ}{Y-NQ}$  with  $Q \in \mathcal{S}$ . With this,  $H_{r \rightarrow y} = T = N(X + MQ)$  and  $H_{d \rightarrow y} = N(Y - NQ)$ .
  - Step tracking/stabilization (dc-gain):  $H_{r \rightarrow y}(0) = 1 = N(0)[X(0) + M(0)Q(0)] = 1 \rightarrow Q(0) = 6$ .
  - Disturbance rejection (zero at  $jw$ ):  $H_{d \rightarrow y}(jw) = 0 = N(10j)[Y(10j) - N(10j)Q(10j)] \rightarrow Q(10j) = -94 + 70j$ .
  - Three constraints for  $Q$ :  $Q = a + \frac{b}{s+1} + \frac{c}{(s+1)^2} \rightarrow C(s)$ .

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## Pole Placement Control



- Unit feedback system:  $P = \frac{N_P}{M_P}$ ,  $C = \frac{N_C}{M_C}$  **coprime polynomials**  $N_*, M_*$ ,

$$\begin{pmatrix} e \\ y \\ u \end{pmatrix} = \frac{1}{N_P N_C + M_P M_C} \begin{bmatrix} M_P M_C & -N_P M_C & -M_P M_C \\ N_P N_C & N_P M_C & -N_P N_C \\ N_C M_P & -N_P N_C & -N_C M_P \end{bmatrix} \begin{pmatrix} r \\ d \\ n \end{pmatrix}$$

- **Pole-placement control:** given desired CL-CE  $F(s)$  and  $N_P(s), M_P(s)$ , design  $N_C(s), M_C(s)$  s.t.,

$$N_C(s)N_P(s) + M_C(s)M_P(s) = F(s)$$

- From Bezout's identity, there exist coprime polynomials  $X, Y$  s.t.,  $N_P X + M_P Y = 1$  iff  $N_P, M_P$  are coprime.
- Thus, we can always find a solution  $\bar{N}_C(s) = F(s)X(s)$ ,  $\bar{M}_C(s) = F(s)Y(s)$  iff  $N_P, M_P$  are coprime (may not stabilizing though).
- General solution:  $N_C(s) = \bar{N}_C(s) + M_P(s)Q(s)$ ,  $M_C(s) = \bar{M}_C(s) - N_P(s)Q(s)$  for any polynomial  $Q(s)$  with

$$C(s) = \frac{X(s)F(s) + M_P(s)Q(s)}{Y(s)F(s) - N_P(s)Q(s)}$$

- $H_{r \rightarrow y} = \frac{N_C N_P}{N_P N_C + M_P M_C}$  implying that unit-feedback cannot alter open-loop zeros  $\rightarrow$  two-DOF control for model (i.e., pole-zero) matching.

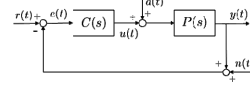
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## Pole Placement via Linear Algebra

- **Pole-placement control:** given desired CL-CE  $F(s)$  and  $N_P(s), M_P(s)$ , design  $N_C(s), M_C(s)$  s.t.,

$$N_C(s)N_P(s) + M_C(s)M_P(s) = F(s)$$



- Given  $\deg(M_P) = n$ , choose  $\deg(M_C) = m$  to match  $\deg(F) = n + m$ . The larger  $m$  is (i.e., more DOFs), the more likely can match  $F(s)$ . Then,

$$\begin{aligned} N_P(s) &= a_{p0} + a_{p1}s + \dots + a_{pn}s^n, & M_P(s) &= b_{p0} + b_{p1}s + \dots + b_{pn}s^n, \\ N_C(s) &= a_{c0} + a_{c1}s + \dots + a_{cm}s^m, & M_C(s) &= b_{c0} + b_{c1}s + \dots + b_{cm}s^m, \\ F(s) &= f_0 + f_1s + \dots + f_{n+m}s^{n+m} \end{aligned}$$

with  $a_{pn} = 0, b_{pn} \neq 0, b_{cm} \neq 0 \rightarrow f_{n+m} = b_{pn}b_{cm} + a_{pn}a_{cm} = b_{pn}b_{cm} \neq 0$ .

- The pole-placement can then be written by linear equation (Chern Ch.9):

$$[S_m]_{(n+m+1) \times 2(m+1)} [b_{co}; a_{co}; \dots; b_{cm}; a_{cm}] = [f_o; \dots; f_{n+m}]$$

which has a solution if  $2(m+1) \geq n+m+1$  due to the structure of  $S_m$ .

- **Th. 1-5:** For the above problem, for any  $F(s)$  with  $\deg(F) = n + m$ , there always exist a proper  $C = \frac{N_C}{M_C}$  with  $\deg(C) = m$ , if  $m \geq n - 1$ .

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## Example: Chen 9.2

- For  $P = \frac{s-2}{s^2-1}$ , design a proper compensator  $C$  so that  $y$  can track any step response.

1. Choose desired CL poles:  $F(s) = (s+2)(s^2+2s+2) = s^3+4s^2+6s+4$ .
2. Set up linear pole-placement equation:

$$\begin{bmatrix} -1 & -2 & 0 & 0 \\ 0 & 1 & -1 & -2 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{pmatrix} b_o \\ a_o \\ b_1 \\ a_1 \end{pmatrix} = \begin{pmatrix} 4 \\ 6 \\ 4 \\ 1 \end{pmatrix}$$

with  $S_m$  full column rank (i.e., solution exists if  $m \geq n - 1$ ).

3. Compute the control law:  $C = -\frac{22s+23}{3s+34}$ .
4. Analyze dc-tracking performance:  $T(0) = \frac{P(0)C(0)}{1+P(0)C(0)} = \frac{23}{6}$ .
5. Design pre-compensator  $P(s) = \frac{6}{23}\bar{P}(s)$ ,  $\bar{P}(s) \in \mathcal{S}$  with  $P(0) = 1$ .

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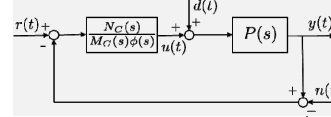
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## Internal Model Control

- Suppose we want to track a class of reference signal  $r(t)$  while rejecting a class of disturbance  $d(t)$ , given by

$$r(s) = \frac{\hat{N}_r(s)}{D_r(s)}, \quad d(s) = \frac{\hat{N}_d(s)}{D_d(s)}$$



where  $D_r, D_d$  are **known**, yet,  $\hat{N}_r, \hat{N}_d$  unknown (e.g., any ramp  $r(s) = \frac{\hat{N}_r(s)}{s^2}$ , any step+sinusoid with known  $w$   $d(s) = \frac{\hat{N}_d(s)}{s(s^2+w^2)}$ ).

- Incorporate this information of  $r, d$  into the controller to achieve robust tracking and disturbance rejection → **internal model control**.
- Define  $\phi(s)$  to be least common denominator of unstable (i.e., non-vanishing) poles of  $r(s), d(s)$  (e.g.,  $\phi = s^2(s^2 + w^2)$ ) and incorporate into control.
- $H_{d \rightarrow y}(s) = \frac{N_P M_C \phi}{N_P N_C + M_P M_C \phi} \rightarrow y(s) = \frac{N_P M_C \phi}{N_P N_C + M_P M_C \phi(s)} \frac{\hat{N}_d(s)}{D_d(s)} = \frac{N_P M_C \phi(s)}{F(s)} \frac{\hat{N}_d(s)}{D_d(s)}$   
→ with CL-CE  $F(s)$  Hurwitz,  $y(\infty) = \lim_{s \rightarrow 0} s \frac{N_P M_C \phi(s)}{F(s)} \frac{\hat{N}_d(s)}{D_d(s)} = 0$ .
- $H_{r \rightarrow e}(s) = \frac{M_P M_C \phi}{N_P N_C + M_P M_C \phi} \rightarrow e(s) = \frac{M_P M_C \phi}{N_P N_C + M_P M_C \phi(s)} \frac{\hat{N}_r(s)}{D_r(s)} = \frac{M_P M_C \phi(s)}{F(s)} \frac{\hat{N}_r(s)}{D_r(s)}$   
→ with CL-CE  $F(s)$  Hurwitz,  $e(\infty) = \lim_{s \rightarrow 0} s \frac{M_P M_C \phi(s)}{F(s)} \frac{\hat{N}_r(s)}{D_r(s)} = 0$ .

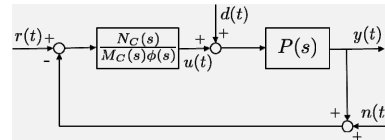
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## Pole Placement for IMC

- Suppose we want to track a class of reference signal  $r(t)$  while rejecting a class of disturbance  $d(t)$ , given by

$$r(s) = \frac{\hat{N}_r(s)}{D_r(s)}, \quad d(s) = \frac{\hat{N}_d(s)}{D_d(s)}$$



- CL pole placement with IMC:**

$$N_P(s)N_C(s) + M_P(s)M_C(s)\phi(s) = F(s)$$

where  $N_P, M_P$  are coprime. Thus, if no common zeros between  $\phi(s)$  and  $N_P(s)$ ,  $N_P$  and  $M_P\phi$  are coprime → from **Th.1-5**,  $\exists$  a proper  $C(s) = \frac{N_C}{M_C}$  if  $m \geq n + n_d - 1$ ,  $n = \deg(P)$ ,  $n_d = \deg(\phi)$ ,  $m = \deg(C)$ .

- A simplest form of IMC is I-control to reject constant disturbance.
- (Chen Ex 9.3) Design control for  $P = \frac{s-2}{s^2-1}$  to track any step reference.
  - IMC  $\phi(s) = s$ . Then,  $m \geq 2 \rightarrow \deg[F(s)] = 5$ .
  - Choose  $F(s) = (s+2)(s^2+4s+5)(s^2+2s+5)$ .
  - Solve LA to obtain  $N_C, M_C$ :  $C(s) = \frac{N_C(s)}{M_C(s)\phi(s)} = -\frac{96.3s^2+118.7s+25}{s(s^2+127.3)}$ .
  - $T(0) = \frac{P(0)C(0)}{1+P(0)C(0)} = 1$  (dc-tracking).  $H_{d \rightarrow y}(0) = \frac{P(0)}{1+P(0)C(0)} = 0$  (constant disturb. rejection)

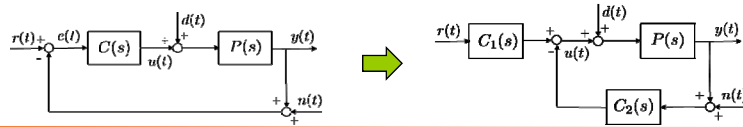
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## Model Matching Control

- So far, we have been working on CL pole placement control (i.e.,  $F(s)$ ), yet, CL system behavior also (possibly severely) depends on zeros as well.
  - **Model matching problem:** given  $P$ , design a proper  $C$  s.t., the CL behavior  $H_{r \rightarrow y}(s)$  matches with a desired TF  $H_o(s)$ .
  - Unit feedback can do arbitrary pole-placement  $F(s)$ , yet, not general model matching  $H_o(s) \rightarrow$  FF pre-compensator  $C_1$  + FB control  $C_2$ .
  - Even with two-DOF control, not arbitrary  $H_o(s)$  implementable due to OL-dynamics  $P(s)$  (e.g.,  $H_{r \rightarrow y} = \frac{N_P N_1}{N_P N_2 + M_P M_2}$  w/ unmovable zeros of  $P$ ).
- **Th. 1-6:** Consider  $P = \frac{N_P}{M_P}$ . Then,  $H_o(s) = \frac{E(s)}{F(s)}$  is **implementable** iff:

  1. CL-CE  $F(s)$  is Hurwitz.
  2.  $\deg(F) - \deg(E) \geq \deg(M_P) - \deg(N_P)$  (relative degree can't decrease).
  3. All zeros of  $N_P(s)$  with zero/positive real parts are also zeros of  $E(s)$  (non-minimum phase zeros not removable).



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## Model Matching Procedure

Given  $P = \frac{N_P}{M_P}$  and  $H_o = \frac{E}{F}$  (satisfying **Th. 1-6**), design proper  $C_1 = \frac{N_1}{M_C}$  and  $C_2 = \frac{N_2}{M_C}$  s.t.,  $H_{r \rightarrow y}(s) = H_o(s)$  while ensuring CL internal stability.

1. Define coprime  $\bar{E}, \bar{F}$  s.t.,  $\frac{H_o}{N_P} = \frac{E}{F N_P} = \frac{\bar{E}}{\bar{F}}$ 
  - May attempt  $H = \frac{P C_1}{1 + P C_2} = \frac{N_P N_1}{M_P M_C + N_P N_2} = H_o = \frac{\bar{E} N_P}{\bar{F}}$   $\rightarrow N_1 = \bar{E}$  and solve  $M_C, N_2$  from  $M_P M_C + N_P N_2 = \bar{F}$  with coprime  $N_P, M_P \rightarrow$  typically,  $\deg(\bar{F})$  not enough (e.g., improper  $C_2$  w/ HO  $P$ ).
2. Augment  $\bar{F}(s)$  w/ a Hurwitz  $\hat{F}(s)$  s.t.,  $\deg(\bar{F} \hat{F}) \geq 2n - 1$ ,  $n = \deg(M_P)$ .
3. Rewrite  $H_o = \frac{\bar{E} \hat{F} N_P}{\bar{F} \hat{F}} = H = \frac{N_P N_1}{M_P M_C + N_P N_2}$ , and choose/solve for  $N_2, M_C$ :

$$N_1(s) = \bar{E}(s) \hat{F}(s), \quad M_P(s) M_C(s) + N_P(s) N_2(s) = \bar{F}(s) \hat{F}(s)$$

which has a solution  $N_2, M_C$ , since  $N_P, M_P$  coprime and  $m = \deg(M_C) = \deg(\bar{F} \hat{F}) - \deg(M_P) \geq n - 1$  (cf. **Th. 1-5**).

4.  $C_2 = \frac{N_2}{M_C}$  is proper (**Th. 1-5**) Also, for  $C_1 = \frac{\bar{E} \hat{F}}{M_C} = \frac{N_1}{M_C}$ , from item 2 of **Th. 1-6**,  $\deg(\bar{F} \hat{F}) - \deg(\bar{E} \hat{F} N_P) \geq \deg(M_P) - \deg(N_P) \rightarrow \deg(\bar{E} \hat{F}) = \deg(N_1) \leq \deg(\bar{F} \hat{F}) - \deg(M_P) = n + m - n = m \rightarrow C_1$  also proper.

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## Model Matching: Example 9.8 Chen

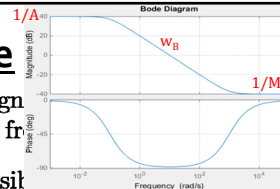
- (Ex 9.8) Given  $P = \frac{s-2}{s^2-1}$ , match  $H_o(s) = \frac{-(s-2)(4s+2)}{(s+2)(s^2+2s+2)}$ .
  - $H_o(s)$  ensures step and ramp tracking:  $H_o = \frac{-4s^2+6s+4}{s^3+4s^2+6s+4}$
  - $H_o(s)$  is implementable:
  - Compute  $\bar{E}(s), \bar{F}(s)$ :  $\frac{H_o}{N_P} = \frac{\bar{E}}{\bar{F}} = \frac{-(4s+2)}{s^3+4s^2+6s+4}$ .
  - Compute  $\hat{F}(s)$ :  $\deg(\bar{F}\hat{F}) \geq 2n-1 = 3 \rightarrow \hat{F} = 1$ .
  - Compute  $C_1$  via direct substitution and Bezout:  $N_1 = -(4s+2)$ ,  $M_C = s+34/3 \rightarrow C_1 = \frac{-(4s+2)}{s+34/3}$ .
  - Compute  $C_2$  via Bezout:  $C_2 = \frac{-(22s+23)}{3s+34}$ .

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## Nominal Performance

- We have considered tracking of step, ramp or sinusoid sign freq. Yet, in practice, often, need to track signals with fr
- For tracking, ideally, we want  $\|S\|_\infty = \frac{\varepsilon}{r} \equiv 0 \rightarrow$  impossibl



- Nominal performance:**  $\|W_p S\|_\infty < 1$ , where  $W_p(s) \in \mathcal{S}$  is frequency-dependent performance weight:

- $W_p(s) = \frac{100}{(s+1)^3}$  for tracking up to 1rad/s with error less than 1/100.
- $W_p(s) = \frac{s/M+w_B^*}{s+w_B^*A}$  for CL bandwidth  $w_B^*$  ( $w/|W_p(jw_B^*)| \approx 1$ ), LF track  $|e_{ss}| < A < 1$  and HF max peak of  $|S(jw)| < M$  (stability).

- Signal norm of  $u(t) \in \Re$ :

- Defining properties: (1)  $\|u\| \geq 0$ ; (2)  $\|u\| = 0$  iff  $u(t) \equiv 0$ ; (3)  $\|au\| = |a| \cdot \|u\|$ ; (4)  $\|u+v\| \leq \|u\| + \|v\|$ .
- 2-norm:  $\|u\|_2 := \sqrt{\int_{-\infty}^{+\infty} |u(t)|^2 dt}$ ;  $\infty$ -norm  $\|u\|_\infty := \sup_t |u(t)|$ ,

- System norm of  $H(s)$  (or  $h(t)$ ):

- $\infty$ -norm:  $\|H\|_\infty := \sup_w |H(jw)|$  with  $\|y\|_2 = \|H\|_\infty \|u\|_2$  (via Parseval's identity); if  $u(t) = A \sin wt$ ,  $w \in \Re$ ,  $\|y\|_\infty = \|H\|_\infty A$ .

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## Multiplicative Uncertainty

- So far, we have rather neglected uncertainty in system, which only degrades performance but also destabilizes CL system.
- Goal: maintain CL-system stability (i.e., robust stability) and performance (i.e., robust performance) in the presence of uncertainty.

- **Multiplicative uncertainty:** plant perturbed by uncertainty given by

$$\tilde{P} = (1 + W_u \Delta)P, \quad \frac{\tilde{P} - P}{P} = W_u \Delta \Rightarrow \left| \frac{\tilde{P}(jw) - P(jw)}{P(jw)} \right| \leq |W_u(jw)|$$

where  $W_u \in \mathcal{S}$  is uncertainty weight (e.g., large for HP),  $\Delta \in \mathcal{S}$  is (any) nominal uncertainty with  $\|\Delta\|_\infty < 1$  w/o unstable pole cancellation of  $P$  (i.e., allowable uncertainty).

- Given scattered gain/phase measurements  $(M_{ik}, \phi_{ik})$  at  $w_i$ :

$$\left| \frac{M_{ik} e^{j\phi_{ik}}}{M_i e^{j\phi_i}} - 1 \right| < |W_u(jw_i)|$$

- Nominal  $P(s) = 1/s^2$  perturbed by delay up to  $\tau = 0.1s$  with  $\tilde{P} = e^{-\tau s} \frac{1}{s^2} \Rightarrow \left| \frac{\tilde{P}}{P} - 1 \right| = |e^{-j\tau w} - 1| < |W_u(jw)|$  for  $W_u = \frac{0.21s}{0.1s+1}$ .

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## Robust Stability

- Given  $P$  and uncertainty information  $W_u$ , design control law  $C$  to ensure **robust stability** for any plant  $\tilde{P} \in \mathcal{P} := \{(1 + W_u \Delta)P\}$ .

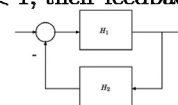
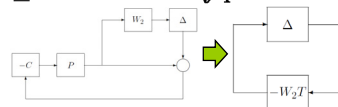
- **Th. 1-7:** Assume  $C$  stabilizes nominal plant  $P$ , with  $PC$  and  $\tilde{P}C$  having same number of RHP-poles. Then,  $C$  provides robust stability for any plant  $\tilde{P} \in \mathcal{P}$  iff  $\|W_u T\|_\infty < 1$ , where  $T = \frac{PC}{1+PC}$ .

- ( $\Leftarrow$ ) Convert feedback diagram and apply small-gain theorem.
- ( $\Rightarrow$ ) Suppose not, i.e.,  $|W_u(jw)T(jw)| \geq \gamma \geq 1$ . Consider Nyquist plot of  $\tilde{L} = \tilde{P}C$  w.r.t.  $(-1, 0)$ -point:

$$1 + \tilde{L} = 1 + \tilde{P}C = (1 + L)(1 + \Delta W_u T)$$

where  $1 + L \neq 0$  with # of encirclement same as OP RHP-poles. Then, can find  $\Delta$  w/  $|\Delta(jw)| = 1/\gamma \leq 1$ ,  $\angle \Delta(jw) = -\pi + \angle W_u T$  at  $w \rightarrow \tilde{L}$  touches  $(-1, 0) \rightarrow$  unstable  $\rightarrow$  contradiction.

- **Small gain theorem:** Let  $H_1$  and  $H_2$  be (possibly nonlinear) stable systems with finite IO-gains  $\|H_1\|, \|H_2\|$ . If  $\|H_1\| \cdot \|H_2\| < 1$ , their feedback system is also stable.



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## Robust Performance

- Given  $P$  and uncertainty  $W_u$ , design control  $C$  to ensure **robust stability** and **robust performance** for any plant  $\tilde{P} \in \mathcal{P} := \{(1 + W_u \Delta)P\}$ , i.e.,

$$\|W_u T\|_\infty < 1, \quad \|W_p \tilde{S}\|_\infty < 1, \quad \forall \tilde{P} \in \mathcal{P}$$

where  $\tilde{S} = \frac{1}{1+\tilde{L}} = \frac{1}{1+\tilde{P}C}$ . Then,  $\|W_p \tilde{S}\|_\infty = \left\| \frac{W_p S}{1+\Delta W_u T} \right\|_\infty < 1$

- Th. 1-8:** A necessary and sufficient condition for RP (also RS) is

$$\| |W_p S| + |W_u T| \|_\infty < 1$$

– ( $\Leftarrow$ )  $\|W_u T\|_\infty < 1$  obvious. Also,  $\| |W_p S| + |W_u T| \|_\infty < 1 \rightarrow |W_p S| + |W_u T| < 1 \rightarrow \frac{|W_p S|}{1-|W_u T|} < 1 \rightarrow \left\| \frac{W_p S}{1+\Delta W_u T} \right\|_\infty \leq \left\| \frac{|W_p S|}{1-|W_u T|} \right\|_\infty < 1$ .

– ( $\Rightarrow$ )  $\left\| \frac{W_p S}{1+\Delta W_u T} \right\|_\infty < 1 \rightarrow \left\| \frac{W_p S}{1-|W_u T|} \right\|_\infty \leq \left\| \frac{W_p S}{1+\Delta W_u T} \right\|_\infty < 1$ .

- Maximum tolerable uncertainty  $\|\Delta\|_\infty \leq \beta$ :

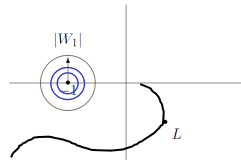
– RS:  $1 + \tilde{L} = (1 + L)(1 + \Delta W_u T) \rightarrow |\beta W_u T| < 1 \rightarrow \beta < \frac{1}{\|W_u T\|_\infty}$ .

– RP:  $\left\| \frac{W_p S}{1+\Delta W_u T} \right\|_\infty < 1 \rightarrow \left| \frac{W_p S}{1-\beta|W_u T|} \right| < 1 \rightarrow \beta < \left\| \frac{1-|W_p S|}{W_u T} \right\|_\infty$ .

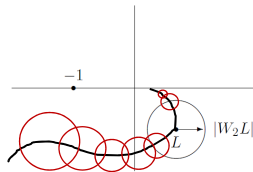
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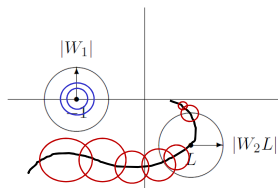
## Graphical Representations



- Nominal performance:  $\|W_p S\|_\infty < 1$   
 $|1 + L(jw)| > |W_p(jw)|, \forall w$



- Robust stability:  $\|W_u T\|_\infty < 1$   
 $|1 + L(jw)| > |W_u(jw)L(jw)|, \forall w$



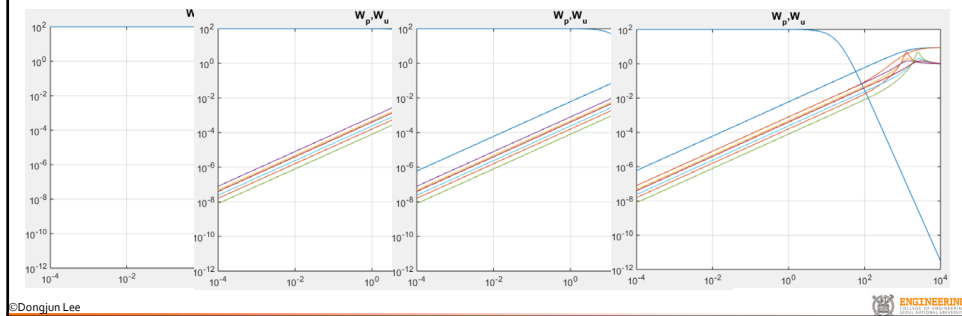
- Robust performance:  $\| |W_p S| + |W_u T| \|_\infty < 1$   
 $|1 + L(jw)| > |W_p(jw)| + |W_u(jw)L(jw)|, \forall w$

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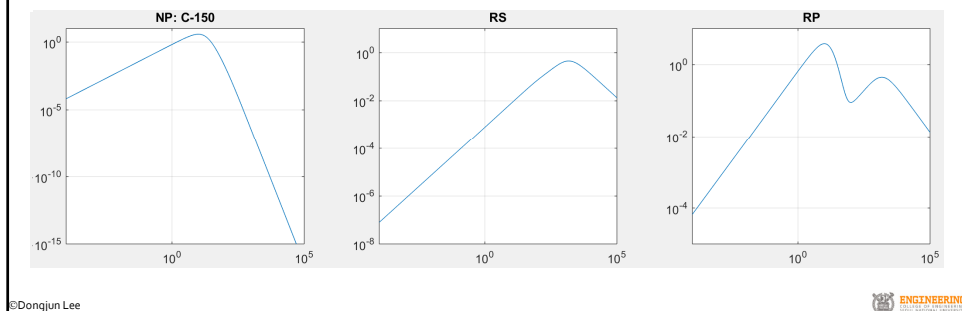
## RP Design Example

- Nominal plant (integrator):  $P = \frac{1}{s}$ .
- Perturbed plant:  $\tilde{P} = \frac{1}{s} \frac{k}{ms^2 + bs + k} \rightarrow \frac{\tilde{P} - P}{P} = \frac{s^2 + 2\zeta w_n s}{s^2 + 2\zeta w_n s + w_n^2}$ .
- Performance specification:  $w_c = 20\text{rad/s}$ , dc-tracking error  $\leq 1\%$
- Design performance weight function:  $W_p = \frac{100w_c^5}{(s + w_c)^5}$ .
- Uncertainty:  $w_n \in [200, 500]\text{Hz}$ ,  $\zeta \in [0.1, 0.5]$ .
- Design uncertainty weight function:  $W_u = \frac{9s}{s + 1500} \rightarrow W_u = \frac{9s(s + 200)}{(s + 1500)^2}$ .



## RP Design Example

- Perturbed plant:  $\tilde{P} = \frac{1}{s} \frac{k}{ms^2 + bs + k}$ .  $W_u = \frac{9s(s + 200)}{(s + 1500)^2}$ ,  $W_p = \frac{100}{(\frac{s}{20} + 1)^5}$ .
- $w_n \in [200, 500]\text{Hz}$ ,  $\zeta \in [0.1, 0.5]$ ;  $w_c = 20\text{rad/s}$ , dc-tracking error  $\leq 1\%$ .
- Design P-control  $C(s) = K$  s.t.,  $\|W_p S\| + \|W_u T\|_\infty < 1$ .
  - Design  $K$  for NP:  $K = 600$  and check RS and RP.
  - Decrease  $K$  improves RS, yet, degrades RP  $\rightarrow$  P-control can't satisfy both (or reduce performance  $W_p$  – or improve system-ID  $W_u$  –).
  - More complicated control  $\rightarrow$  Loop shaping.



## Loop Shaping

- Loop shaping: graphical technique to shape loop-transfer function  $L(s) = P(s)C(s)$  to satisfy RP and internal stability:

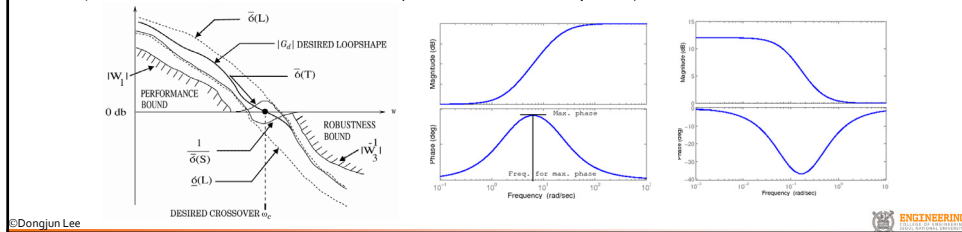
$$\|W_p S + W_u T\|_\infty < 1$$

if such control law exists, where  $S = \frac{1}{1+L}$  and  $T = \frac{L}{1+L}$ .

- Typically,  $L$  should have large gain in LF (for performance) and low gain in HF (for robust stability). More precisely, **basic loop shaping condition**:

$$|L(jw)| > \frac{|W_p|}{1-|W_u|} \text{ (LF)}, \quad |L(jw)| < \frac{1-|W_p|}{|W_u|} \text{ (HF)}$$

- Simplest loop shaping: lead (to increase  $w_c$  while improving PM) and lag (to increase tracking in LF w/o affecting GM/PM).



## Loop Shaping: Derivation

- **Stability-performance trade-off:** A necessary condition for  $L(s)$  to satisfy RP is

$$\min\{|W_p(jw)|, |W_u(jw)|\} < 1, \quad \forall w \geq 0$$

can't allow high performance & high uncertainty at same band

i.e., both  $|W_p|$  and  $|W_u|$  can't be larger than 1 at the same time.

- Suppose not, i.e., at  $w'$ ,  $|W_p| \geq 1$  and  $|W_u| \geq 1$ . WLG, assume  $|W_p| \geq |W_u|$ . Then, at  $w'$ ,

$$|W_p S| + |W_u T| \geq |W_u S| + |W_u T| = |W_u S| + |W_u(1 - S)| \geq |W_u| \geq 1$$

- Now, define  $\Gamma(w) := \frac{|W_p|}{1+|L|} + \frac{|W_u L|}{1+|L|}$ . Then, RP iff  $\Gamma(w) < 1 \forall w$ . Also,

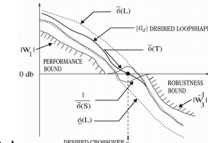
$$\frac{|W_p| + |W_u| \cdot |L|}{1+|L|} \leq \Gamma(w) \leq \frac{|W_p| + |W_u| \cdot |L|}{|1-|L||}$$

- (LF)  $|W_p| \gg 1 > |W_u|$  and  $|L| \gg 1 \rightarrow$

$$|L| > \frac{|W_p|-1}{1-|W_u|} \approx \frac{|W_p|}{1-|W_u|}, \quad |L| > \frac{|W_p|+1}{1-|W_u|} \approx \frac{|W_p|}{1-|W_u|}$$

- (HF)  $|W_u| \gg 1 > |W_p|$  and  $|L| \ll 1 \rightarrow$

$$|L| < \frac{1-|W_p|}{|W_u|-1} \approx \frac{1-|W_p|}{|W_u|}, \quad |L| < \frac{1-|W_p|}{1+|W_u|} \approx \frac{1-|W_p|}{|W_u|}$$



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## Loop Shaping: Conditions

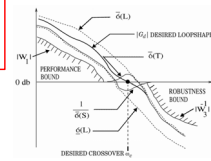
- We assume stable/minimum-phase  $P(s)$  (i.e., no RHP pole/zero) to avoid unstable/non-minimum-phase  $L = PC$  (general case  $\rightarrow$  Doyle Ch. 8).
- A necessary condition for  $W_p, W_u$ :  $\min\{|W_p(jw)|, |W_u(jw)|\} < 1$ .
- HF roll-off of  $L$  should be at least as fast as that of  $P$  (proper  $C$ ).
- Slope of  $|L|$  at crossover frequency  $w_c$  (i.e.,  $|L(jw_c)|$ ) should be as gentle as possible ( $-20[\text{dB/dec}]$  to  $-40[\text{dB/dec}]$ ).
- Loop shaping is based on approximation: NS, RP should be checked *a posteriori* (RP assumes RS, RS assumes NS).
- Bode's gain formula: For a non-minimum phase stable  $L$  with all positive coefficients, its phase  $\angle L(jw_o)$  is uniquely given by: with  $\nu := \ln(w/w_o)$ ,

$$\angle L(jw_o) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{d \ln |L|}{d \nu} \ln \frac{|w + w_o|}{|w - w_o|} d \nu$$

where, if constant slope  $\frac{d \ln |L|}{d \nu} = c$  at  $w_o$ ,  $\angle L(jw_o) = -\frac{c\pi}{2}$ .

- The stiffer the slope of  $|L(jw_c)|$  is, the less the PM is.

- For system w/ RHP-zeros, phase angle larger than minimum angle above.



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## Loop Shaping: Procedure

1. For stable/minimum-phase nominal plant  $P$ , design  $W_p, W_u$  s.t.,

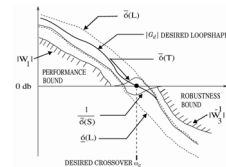
$$\min\{|W_p|, |W_u|\} < 1, \quad \forall w$$

2. On  $(\log w, 20 \log |L|)$  plane, plot LF and HF bounds:

$$\frac{|W_p|}{1 - |W_u|}, \quad |W_p| \gg 1 > |W_u| \text{ (LF)} \quad \frac{1 - |W_p|}{|W_u|}, \quad |W_u| \gg 1 > |W_p| \text{ (HF)}$$

3. Construct a desired loop-TF candidate  $L = PC$  s.t.,

- $|L|$  is above (or below) the LF (or HF) bounds.
- Roll-off of  $L$  at HF at least as fast as  $P$ .
- Slope of  $L$  at crossover frequency as gentle as possible ( $< -40\text{dB/dec}$ ).



4. Check RP by observing if  $|W_p S| + |W_u T| < 1$ .
5. Check NS by ensuring roots of  $1 + L(s) = 0$  in LHP.
6. Determine the controller  $C(s) = L(s)/P(s)$ .

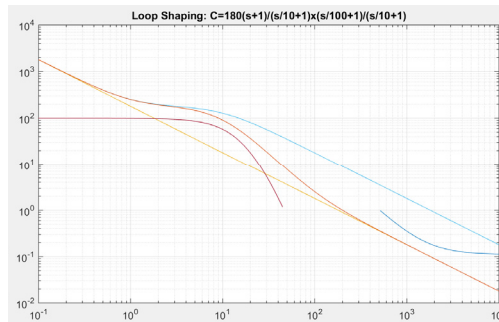
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## Loop Shaping: Example

- Nominal plant;  $P = \frac{1}{s}$ . perturbed plant:  $\tilde{P} = \frac{1}{s} \frac{k}{ms^2 + bs + k}$ .
- $w_n \in [200, 500]\text{Hz}$ ,  $\zeta \in [0.1, 0.5]$ ;  $w_c = 20\text{rad/s}$ , dc-tracking error  $\leq 1\%$
- Do loop shaping to design  $C(s)$  s.t.,  $||W_p S| + |W_u T|||_\infty < 1$ .
  - Check  $L(s)$  with  $C(s) = 180$ , i.e.,  $L(s) = 180 \frac{1}{s} \rightarrow$  violate LF bound.
  - Shape  $L(s)$  w/ Lead  $\rightarrow L(s) = 180 \frac{1}{s} \frac{s+1}{10+1} \rightarrow$  violate HF bound.
  - Shape  $L(s)$  w/ Lag  $\rightarrow L(s) = 180 \frac{1}{s} \frac{s+1}{10+1} \frac{100s+1}{10+1} \rightarrow$  LF/HF bounds satisfied.

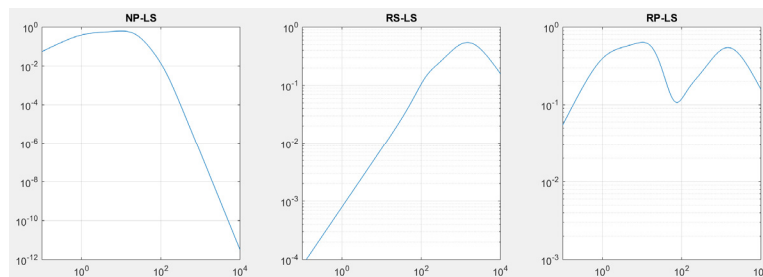


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## Loop Shaping: Example

- Nominal plant;  $P = \frac{1}{s}$ . perturbed plant:  $\tilde{P} = \frac{1}{s} \frac{k}{ms^2 + bs + k}$ .
- $W_u = \frac{9s(s+200)}{(s+1500)^2}$ ,  $W_p = \frac{100}{(\frac{s}{20}+1)^5}$ .
- Do loop shaping to design  $C(s)$  s.t.,  $||W_p S| + |W_u T|||_\infty < 1$ .
  - With  $C(s) = 180 \frac{s+1}{10+1} \frac{100s+1}{10+1} \rightarrow$  LF/HF bounds satisfied.
  - Check RP via Bode plot of  $|W_p S| + |W_u T|$ .
  - Check NS via CL CE: CL poles  $-0.9925, -99.5023 \pm 90.45j$ .



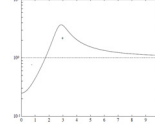
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## Waterbed Effects

- **Bode's integral theorem for sensitivity function:** Suppose  $L(s)$  has relative-degree  $\geq 1$ , has  $M$  RHP poles  $p_i$  (with  $\text{Re}(p_i) > 0$ ), and  $k = \lim_{s \rightarrow \infty} sL(s)$  (e.g.,  $k = 0$  if relative-degree  $\geq 2$ ). Then,

$$\int_0^\infty \ln |S(jw)| dw = -k \frac{\pi}{2} + \pi \cdot \sum_{i=1}^M \text{Re}(p_i)$$



- If you “push down”  $|S(jw)|$  at some frequency-band, it leads into “swelling-up” at another frequency-band.
- Overall level of  $|S(jw)|$  will increase if open-loop system is unstable with fast poles (difficult to stabilize).
- **Bode's integral theorem for complementary sensitivity function:** Suppose  $L(s)$  has at least 1 pole at 0,  $M$  RHP zeros  $z_i$ , with  $k_v = \lim_{s \rightarrow 0} sL(s)$  (e.g.,  $k_v = \infty$  if type  $\geq 2$ ). Then,

$$\int_0^\infty \frac{1}{w^2} \ln |T(jw)| dw = -\frac{\pi}{2k_v} + \pi \cdot \sum_{i=1}^M \frac{1}{z_i}$$

- Waterbed effect for  $|T(jw)|$ .
- Overall level of  $|T(jw)|$  increases w/ RHP-zeros (bad for performance).

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## RHP Poles and RHP Zeros

- **Effect of combined RHP zeros/poles:** Suppose  $L(s)$  has  $N_z$  RHP-zeros  $z_j$  and  $N_p$  RHP-poles  $p_i$ . Then,  $\forall j = 1, \dots, N_z, i = 1, \dots, N_p$ ,

$$\|W_p S\|_\infty \geq \prod_{i=1}^{N_p} \frac{|z_j + \bar{p}_i|}{|z_j - p_i|} |W_p(z_j)|, \quad \|W_u T\|_\infty \geq \prod_{i=1}^{N_p} \frac{|z_j + \bar{p}_i|}{|z_j - p_i|} |W_p(z_j)|$$

- It would be extremely difficult to control if RHP-pole and RHP-zero are close with each other (i.e., unstable mode nearly uncontrollable/unobservable).

1. Suppose  $L(s)$  has RHP-pole  $p$  and RHP-zero  $z$ . Then,  $S(p) = 0, T(p) = 1$  and  $S(z) = 1, T(z) = 0$ .
2. Then, we can write  $S = S_{ap} S_{mp} = \frac{s-p}{s+\bar{p}} S_{mp}$  where  $S_{ap}$  is all-pass with  $|S_{ap}(jw)| = 1$  and  $|S_{mp}(jw)| = |S(jw)|$ . Further,  $|S_{mp}(z)| = |S(z)|/|S_{zp}(z)| = \left| \frac{z+p}{z-p} \right|$ .
3. Moreover, from maximum modulus theorem,

$$\begin{aligned} \|W_p S\|_\infty &= \sup_w |W_p(jw) S_{mp}(jw)| \geq \sup_{\text{Re}(s) \geq 0} |W_p(s) S_{mp}(s)| \\ &\geq W_p(z) |S_{mp}(z)| = |W_p(z)| \left| \frac{z+p}{z-p} \right| \end{aligned}$$

- If  $W_p = W_u = 1$ ,  $\|S\|_\infty \geq \left| \frac{z+p}{z-p} \right|$  and  $\|T\|_\infty \geq \left| \frac{z+p}{z-p} \right|$ , again, shows difficulty of control.

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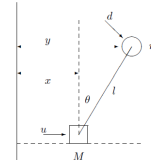


## Bandwidth Limitation with RHP Poles/Zeros

- RHP-poles typically require aggressive/fast control to stabilize. RHP-zeros typically require non-aggressive/slow control due to inverse response.
- **Effect of RHP zeros:** approximate bound for the open-loop bandwidth  $w_B$  of  $L(s)$  is given by

$$w_B \approx w_C \leq \begin{cases} |z|/4 & \text{if } \operatorname{Re}(z) \gg \operatorname{Im}(z) \\ |z|/2.8 & \text{if } \operatorname{Re}(z) = \operatorname{Im}(z) \\ |z| & \text{if } \operatorname{Re}(z) \ll \operatorname{Im}(z) \end{cases}$$

- CL-BW  $w_c$  is limited by  $z$  and should be slower w.r.t.  $z$ .
- RHP-zeros close to origin is bad.



- **Effect of RHP-poles:**  $w_C \approx w_B > 2p$ , i.e. should be fast enough to stabilize RHP-pole.
- It would be extremely difficult to control system w/ RHP-poles and RHP-zeros close with each other; w/ slow RHP-zeros and fast RHP-poles.

- Ex) inverted pendulum:  $G_1(s) = \frac{-g}{s^2(Mls^2 - (M+m)g)}$  and  $G_2(s) = \frac{ls^2 - g}{s^2(Mls^2 - (M+m)g)}$  (e.g., short/light rod, small  $m/M$ , large  $m$ ).